

or Section 4947(a)(1) Trust Treated as Private Foundation

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For calendar year 2020, or tax year beginning 01-01-2020, and ending 12-31-2020

Name of foundation THE MELVIN AND BREN SIMON CHARITABLE FOUNDATION NUMBER ONE		<b>A Employer identification number</b> 35-2049367
Number and street (or P.O. box number if mail is not delivered to street address) 329 MAIN STREET	Room/suite	<b>B Telephone number (see instructions)</b> (970) 963-5898
City or town, state or province, country, and ZIP or foreign postal code CARBONDALE, CO 816232027		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here..... <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here ..... <input type="checkbox"/>
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$</b> 15,502,421	<b>J</b> Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... <input type="checkbox"/>

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions.)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)	97,255			
	<b>2</b> Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch. B				
	<b>3</b> Interest on savings and temporary cash investments				
	<b>4</b> Dividends and interest from securities	324,711	324,711		
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	1,571			
	<b>b</b> Gross sales price for all assets on line 6a	4,009,131			
	<b>7</b> Capital gain net income (from Part IV, line 2)		1,571		
	<b>8</b> Net short-term capital gain				
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less: Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule)	1,436	0			
<b>12 Total.</b> Add lines 1 through 11	424,973	326,282			
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc.	0	0		0
	<b>14</b> Other employee salaries and wages				
	<b>15</b> Pension plans, employee benefits				
	<b>16a</b> Legal fees (attach schedule)				
	<b>b</b> Accounting fees (attach schedule)				
	<b>c</b> Other professional fees (attach schedule)	44,190	44,190		0
	<b>17</b> Interest				
	<b>18</b> Taxes (attach schedule) (see instructions)	2,147	2,147		0
	<b>19</b> Depreciation (attach schedule) and depletion				
	<b>20</b> Occupancy				
	<b>21</b> Travel, conferences, and meetings				
	<b>22</b> Printing and publications				
	<b>23</b> Other expenses (attach schedule)	2,034	2,034		0
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	48,371	48,371		0
<b>25</b> Contributions, gifts, grants paid	1,825,000			1,825,000	
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	1,873,371	48,371		1,825,000	
<b>27</b> Subtract line 26 from line 12:					
<b>a Excess of revenue over expenses and disbursements</b>	-1,448,398				
<b>b Net investment income</b> (if negative, enter -0-)		277,911			
<b>c Adjusted net income</b> (if negative, enter -0-)					

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .	12		
	<b>2</b> Savings and temporary cash investments . . . . .	374,839	1,583,199	1,583,199
	<b>3</b> Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>4</b> Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U.S. and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	7,875,172	7,338,379	9,871,028
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .	5,072,788	3,424,376	3,696,305
	<b>11</b> Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .	1,117,381	331,410	351,889
	<b>14</b> Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
<b>15</b> Other assets (describe ▶ _____)				
<b>16 Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	14,440,192	12,677,364	15,502,421	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue. . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons . . . . .			
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)			
	<b>23 Total liabilities</b> (add lines 17 through 22). . . . .	0	0	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow FASB ASC 958, check here</b> <input type="checkbox"/> <b>and complete lines 24, 25, 29 and 30.</b>			
	<b>24</b> Net assets without donor restrictions . . . . .			
	<b>25</b> Net assets with donor restrictions . . . . .			
	<b>Foundations that do not follow FASB ASC 958, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 26 through 30.</b>			
	<b>26</b> Capital stock, trust principal, or current funds . . . . .	0	0	
	<b>27</b> Paid-in or capital surplus, or land, bldg., and equipment fund . . . . .	0	0	
	<b>28</b> Retained earnings, accumulated income, endowment, or other funds . . . . .	14,440,192	12,677,364	
<b>29 Total net assets or fund balances</b> (see instructions) . . . . .	14,440,192	12,677,364		
<b>30 Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	14,440,192	12,677,364		

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	14,440,192
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	-1,448,398
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	0
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	12,991,794
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	314,430
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	<b>6</b>	12,677,364

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1 a</b> PUBLICLY TRADED SECURITIES - SHORT TERM	P		
<b>b</b> PUBLICLY TRADED SECURITIES - LONG TERM TRANSACTIONS	P		
<b>c</b> PUBLICLY TRADED SECURITIES - LONG TERM TRANSACTIONS	P		
<b>d</b> LONG-TERM CAPITAL GAINS	P		
<b>e</b> LONG-TERM CAPITAL GAINS	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 1,504,124		1,564,221	-60,097
<b>b</b> 2,487,084		2,443,339	43,745
<b>c</b> 15			15
<b>d</b> 17,598			17,598
<b>e</b> 310			310

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b>			-60,097
<b>b</b>			43,745
<b>c</b>			15
<b>d</b>			17,598
<b>e</b>			310

Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	1,571
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	}	<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

**SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE**

(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
<b>1</b> Reserved			
<b>2</b> Reserved			<b>2</b>
<b>3</b> Reserved			<b>3</b>
<b>4</b> Reserved			<b>4</b>
<b>5</b> Reserved			<b>5</b>
<b>6</b> Reserved			<b>6</b>
<b>7</b> Reserved			<b>7</b>
<b>8</b> Reserved			<b>8</b>

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 main rows and sub-rows (a-e) for credits and payments. Includes columns for line numbers and amounts. Total tax due is 3,987.

Part VII-A Statements Regarding Activities

Table with 10 main rows and sub-rows (a-e) for activity statements. Includes columns for Yes/No responses. Includes a section for states where the foundation reports or is registered.

**Part VII-A Statements Regarding Activities (continued)**

<b>11</b> At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . .			<b>No</b>
<b>12</b> Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions . . . . .			<b>No</b>
<b>13</b> Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	<b>13</b>	<b>Yes</b>	
<b>14</b> The books are in care of ▶ <u>MBS ASSOCIATES LLC</u> Telephone no. ▶ <u>(970) 963-5898</u> Located at ▶ <u>329 MAIN STREET CARBONDALE CO</u> ZIP+4 ▶ <u>816232027</u>			
<b>15</b> Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —check here . . . . . ▶ and enter the amount of tax-exempt interest received or accrued during the year . . . . . <span style="border: 1px solid black; padding: 2px;"><b>15</b></span>			
<b>16</b> At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign	<b>16</b>	<b>Yes</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

			<b>Yes</b>	<b>No</b>
<b>1a</b> During the year did the foundation (either directly or indirectly):				
<b>(1)</b> Engage in the sale or exchange, or leasing of property with a disqualified person? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>				
<b>(2)</b> Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>				
<b>(3)</b> Furnish goods, services, or facilities to (or accept them from) a disqualified person? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>				
<b>(4)</b> Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>				
<b>(5)</b> Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>				
<b>(6)</b> Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>				
<b>b</b> If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ <input type="checkbox"/>	<b>1b</b>			
<b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020? . . . . .	<b>1c</b>			<b>No</b>
<b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
<b>a</b> At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? . . . . . <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> If "Yes," list the years ▶ 20____, 20____, 20____, 20____				
<b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions.) . . . . .	<b>2b</b>			
<b>c</b> If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____				
<b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>				
<b>b</b> If "Yes," did it have excess business holdings in 2020 as a result of <b>(1)</b> any purchase by the foundation or disqualified persons after May 26, 1969; <b>(2)</b> the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or <b>(3)</b> the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.) . . . . .	<b>3b</b>			
<b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>			<b>No</b>
<b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	<b>4b</b>			<b>No</b>

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a During the year did the foundation pay or incur any amount to:
(1) Carry on propaganda, or otherwise attempt to influence legislation...
(2) Influence the outcome of any specific public election...
(3) Provide a grant to an individual for travel, study, or other similar purposes?
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)?
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes...
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance?
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?
6b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?
7b If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?

Table with 3 columns: Yes, No, and a third column for question 5b. Rows correspond to questions 5a(1-5), 5b, 5c, 6a, 6b, 7a, 7b, and 8.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

Table with 5 columns: (a) Name and address, (b) Title, and average hours per week devoted to position, (c) Compensation (If not paid, enter -0-), (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances. Row 1: BREN SIMON, TRUSTEE, 0.25, 0, 0.

2 Compensation of five highest-paid employees (other than those included on line 1--see instructions). If none, enter "NONE."

Table with 5 columns: (a) Name and address of each employee paid more than \$50,000, (b) Title, and average hours per week devoted to position, (c) Compensation, (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances. Row 1: NONE.

Total number of other employees paid over \$50,000. 0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Total** number of others receiving over \$50,000 for professional services. . . . . **0**

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
<b>1</b>	
<b>2</b>	
<b>3</b> All other program-related investments. See instructions.	

**Total.** Add lines 1 through 3 . . . . . **0**

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	11,922,832
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	1,730,450
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	351,891
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	14,005,173
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	14,005,173
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	210,078
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	13,795,095
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b>	689,755

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b>	689,755
<b>2a</b>	Tax on investment income for 2020 from Part VI, line 5. . . . .	<b>2a</b>	3,863
<b>b</b>	Income tax for 2020. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	3,863
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1. . . . .	<b>3</b>	685,892
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	0
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	685,892
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	<b>7</b>	685,892

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. . . . .	<b>1a</b>	1,825,000
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	1,825,000
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. . . . .	<b>5</b>	0
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b>	1,825,000

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
<b>1</b> Distributable amount for 2020 from Part XI, line 7				685,892
<b>2</b> Undistributed income, if any, as of the end of 2020:				
<b>a</b> Enter amount for 2019 only. . . . .			0	
<b>b</b> Total for prior years: 20____, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2020:				
<b>a</b> From 2015. . . . .	1,083,429			
<b>b</b> From 2016. . . . .	899,256			
<b>c</b> From 2017. . . . .				
<b>d</b> From 2018. . . . .	1,027,106			
<b>e</b> From 2019. . . . .				
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	3,009,791			
<b>4</b> Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ _____				
<b>a</b> Applied to 2019, but not more than line 2a			0	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2020 distributable amount				685,892
<b>e</b> Remaining amount distributed out of corpus	1,139,108			
<b>5</b> Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	4,148,899			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b. . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions. . . . .		0		
<b>e</b> Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions. . . . .			0	
<b>f</b> Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions)	1,083,429			
<b>9</b> <b>Excess distributions carryover to 2021.</b> Subtract lines 7 and 8 from line 6a	3,065,470			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2016	899,256			
<b>b</b> Excess from 2017				
<b>c</b> Excess from 2018. . . . .	1,027,106			
<b>d</b> Excess from 2019				
<b>e</b> Excess from 2020	1,139,108			



**Part XV** **Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> SUMMIT 54 1550 LARIMER STREET SUITE 770 DENVER, CO 80202	NONE	PC	GENERAL SUPPORT	100,000
UCLA FOUNDATION 10889 WILSHIRE BLVD SUITE 1500 LOS ANGELES, CA 90024	NONE	PC	GENERAL SUPPORT	500,000
LIFE INTER-FAITH TEAM ON UNEMPLOYMENT & POVERTY DBA LIFT-UP PO BOX 1928 RIFLE, CO 81650	NONE	PC	GENERAL SUPPORT	200,000
THE ASPEN INSTITUTE INC 2300 N STREET NW NO 700 WASHINGTON, DC 20037	NONE	PC	GENERAL SUPPORT	1,000,000
FRIENDS OF CASITA LINDA AC INC PO BOX 514 EAST FALMOUTH, MA 02536	NONE	PC	GENERAL SUPPORT	25,000
<b>Total . . . . .</b>			<b>▶ 3a</b>	<b>1,825,000</b>
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b>			<b>▶ 3b</b>	<b>0</b>





## **Additional Data**

**Return to Form**

**Software ID:**

**Software Version:**

**Form 990PF - Special Condition Description:**

**Special Condition Description**

**TY 2020 IRS 990 e-File Render**

**Name:** THE MELVIN AND BREN SIMON CHARITABLE  
FOUNDATION NUMBER ONE  
**EIN:** 35-2049367

Name of Bond	End of Year Book Value	End of Year Fair Market Value
SUMITOMO MITSUI FINL GRP	64,743	65,311
B P CAP MARKETS AMERICA	59,510	62,386
BANCO SANTANDER SA	69,651	73,698
SHIRE ACQ INV IRELAND DA	66,305	68,923
TELEFONICA EMISIONES SAU	78,265	75,420
HSBC HOLDINGS PLC	63,734	66,203
DOWDUPONT INC	61,254	66,190
JPMORGAN CHASE & CO	75,947	78,875
GOLDMAN SACHS GROUP INC	68,244	70,730
BANK OF AMERICA CORP	63,816	68,910
UNITEDHEALTH GROUP INC	56,740	59,332
AMAZON.COM INC ( AMZN )	59,977	60,868
GLAXOSMITHKLINE CAPITAL	64,661	69,735
GENERAL DYNAMICS CORP	34,749	35,337
AERCAP IRELAND CAP LTD/A	71,724	70,879
FORD MOTOR CREDIT CO LLC	35,112	35,018
VERIZON COMMUNICATIONS	71,828	72,164
FISERV INC	74,213	76,238
EBAY INC	62,582	65,532
ELECTRONIC ARTS INC	65,934	65,147
ABBVIE INC	61,533	65,419
AMGEN INC	64,214	67,007
BANK OF NOVA SCOTIA	74,906	77,451
S P D R BLOOMBERG BARCLAYS HIGH YIELD BOND ETF	307,436	308,764
JOHN DEERE	61,920	67,204
KIMCO RLTY CORP	75,960	79,172
LLOYDS BANKING GROUP PLC	65,852	67,912
CONSTELLATION BRANDS INC	76,031	77,136
COMCAST CORP CL A ( CMCSA )	68,012	68,918
MCCORMICK & CO	65,523	67,289
CITIGROUP INC	75,258	77,120
DEUTSCHE BANK AG	75,247	75,673
MORGAN STANLEY	85,170	89,062
DISCOVERY COMMUNICATIONS	33,810	35,819
CREDIT SUISSE GRP FDG GUERNS	66,347	70,000
ISHARES BROAD USD INVESTMENT	226,878	240,134
ISHARES CORE US AGGREGATE	151,884	166,057
C V S HEALTH CORP	16,413	16,984
BARCLAYS PLC	80,134	81,318
REPUBLIC SERVICES INC	60,755	64,062
BIOGEN INC	78,357	79,065
RABOBANK NEDERLAND	81,869	85,018
BNYM HIGH YIELD - I	1,289	155,072
BOSTON PROPERTIES LP	74,337	76,052
WELLS FARGO & COMPANY	62,517	67,280
ORACLE CORP	63,735	64,421

**TY 2020 IRS 990 e-File Render****Name:** THE MELVIN AND BREN SIMON CHARITABLE

FOUNDATION NUMBER ONE

**EIN:** 35-2049367

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ABBOTT LABORATORIES ( ABT )	26,613	41,606
O'REILY AUTOMOTIVE INC.	24,805	28,964
NVIDIA CORP	46,390	98,174
NIKE INC CLASS B STOCK ( NKE )	33,110	66,632
NEXTERA ENERGY INC ( NEE )	23,672	44,438
NETFLIX COM INC ( NFLX )	11,238	17,303
MONDELEZ INTERNATIONAL ( MDLZ )	29,142	39,175
MICROSOFT CORPORATION ( MSFT )	121,486	226,646
MICRON TECHNOLOGY INC ( MU )	43,596	76,684
MEDTRONIC, PLC ( MDT )	37,264	46,153
LUMENTUM HOLDINGS INC	16,400	19,908
OTIS WORLDWIDE CORPORATION	18,842	25,331
K L A - TENCOR CORP ( KLAC )	19,188	29,775
ISHARES TR ( IJH ) S & P MIDCAP 400 INDEX FD	1,057,028	1,246,138
ISHARES MSCI EAFE SMALL CAP INDEX ( SCZ ) FUND	304,073	352,208
ISHARES MSCI EAFE INDEX FD ( EFA )	347,264	394,203
ISHARES DOW JONES EPAC SELECT ( IDV ) DIVIDEND INDEX FUND	357,621	342,147
ISHARES DJ SELECT DIVIDEND INDEX ( DVY ) FUND	520,701	498,789
INTUIT ( INTU )	19,477	26,210
ILLINOIS TOOL WKS INC ( ITW )	42,155	51,989
HONEYWELL INTL INC ( HON )	44,612	61,470
HOME DEPOT INC ( HD )	34,569	45,421
G Q G PARTNERS EMERGING MARKETS ( GQGIX ) EQUITY FUND	0	32,310
FACEBOOK INC. ( FB )	68,608	114,727
EXXON MOBIL CORP ( XOM )	24,211	14,015
JP MORGAN CHASE & CO ( JPM )	69,503	78,910
EXELON CORP ( EXC )	24,550	26,176
PARNASSUS MID CAP FUND ( PFPMX )	0	188,819
PFIZER INC ( PFE )	34,384	36,442
VOYA FINANCIAL INC ( VOYA )	35,946	41,755
VISA INC-CLASS A SHRS ( V )	58,044	93,835
VIATRIS	1,886	2,286
VERTEX PHARMACEUTICALS INC ( VRTX )	12,276	16,780
VANGUARD TOTAL STOCK MARKET ( VTI ) VIPERS	493,282	914,613
VANGUARD SMALL-CAP VIPERS ( VB )	587,682	735,501
VANGUARD EMERGING MARKETS ETF ( VWO )	620,531	716,072
VALERO ENERGY CORP NEW ( VLO )	22,126	13,860
UNITEDHEALTH GROUP INC ( UNH )	42,325	61,720
TRUIST FINL CORP ( TFC )	36,705	39,542
TRANE TECHNOLOGIES PLC	33,643	64,451
TRADE DESK INC	11,021	40,851
PAYPAL HOLDINGS, INC. ( PYPL )	52,551	114,055

Name of Stock	End of Year Book Value	End of Year Fair Market Value
THERMO FISHER SCIENTIFIC INC. ( TMO )	23,915	42,386
THE PROCTER & GAMBLE COMPANY ( PG )	48,102	64,839
TEXAS INSTRUMENTS INC ( TXN )	39,071	54,819
T -MOBILE US, INC. ( TMUS )	11,844	22,925
SYNCHRONY FINANCIAL ( SYF )	25,714	28,462
SQUARE, INC. ( SQ )	23,335	71,821
SPDR S&P 500 ETF TRUST ( SPY )	403,353	517,450
SEMPRA ENERGY	26,316	21,277
SCHWAB CHARLES CORP NEW ( SCHW )	26,046	27,581
SALESFORCE.COM INC ( CRM )	14,713	29,596
S & P GLOBAL INC. ( SPGI )	33,351	48,323
RAYTHEON TECHNOLOGIES CORP	14,974	13,587
PIONEER NATURAL RESOURCES COMPANY ( PXD )	25,480	17,197
PHILIP MORRIS INTERNATIONAL INC ( PM )	45,417	43,134
THE WALT DISNEY COMPANY ( DIS )	33,346	54,173
YUM! BRANDS INC ( YUM )	32,776	40,927
ELI LILLY & CO.	30,826	32,417
COMCAST CORP CL A ( CMCSA )	22,861	32,488
COCA - COLA CO ( KO )	42,071	42,775
CME GROUP INC ( CME )	43,920	43,146
CHEVRON CORPORATION ( CVX )	54,670	40,283
CHARTER COMMUNICATIONS INC	38,726	48,955
CENTENE CORP	27,460	25,213
CELANESE CORP ( CE )	35,512	42,750
BRISTOL-MYERS SQUIBB COMPANY ( BMY )	32,979	37,218
BOSTON SCIENTIFIC CORPORATION ( BSX )	35,453	35,231
BIOMARIN PHARMACEUTICAL INC.	16,069	11,400
CONSTELLATION BRANDS INC-A ( STZ )	17,606	18,619
BANK OF AMERICA CORPORATION ( BAC )	43,693	43,950
AT&T INC ( T )	8,002	6,615
APPLE INC ( AAPL )	116,298	283,426
AMGEN INC ( AMGN )	18,811	20,463
AMERICAN INTERNATIONAL GROUP INC ( AIG )	52,858	47,704
AMAZON.COM INC ( AMZN )	78,511	179,131
ALPHABET, INC. ( GOOGL )	88,054	131,448
AIRPRODUCTS & CHEMICALS INC.	26,241	33,333
ADVANCED MICRO DEVICES INC ( AMD )	17,395	61,446
ADOBE SYSTEMS INC ( ADBE )	22,113	51,512
ABBVIE INC. ( ABBV )	47,593	62,147
ATLASSIAN CORPORATION PLC ( TEAM )	5,650	24,089
COSTCO WHSL CORP NEW ( COST )	24,109	44,460
C S X CORP ( CSX )	37,976	54,450
DOLLAR GENERAL CORP	19,601	28,811
EATON CORP PLC ( ETN )	25,277	38,445
DEERE & CO ( DE )	38,375	62,420
CVS HEALTH CORPORATION ( CVS )	18,568	21,856
CROWDSTRIKE HOLDING INC.	14,828	40,246

**TY 2020 IRS 990 e-File Render**

**Name:** THE MELVIN AND BREN SIMON CHARITABLE  
FOUNDATION NUMBER ONE

**EIN:** 35-2049367

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
AMERICAN TOWER CORPORATION ( AMT )	AT COST	19,281	26,037
PORTFOLIO ADVISORS (OFFSHORE) PRIVATE EQUITY FUND III, LP	FMV	69,473	69,472
EQUINIX, INC. ( EQIX )	AT COST	29,099	41,422
VANGUARD REAL ESTATE ETF ( VNQ )	AT COST	213,557	214,958

## TY 2020 IRS 990 e-File Render

**Name:** THE MELVIN AND BREN SIMON CHARITABLE  
FOUNDATION NUMBER ONE

**EIN:** 35-2049367

Description	Amount
CUMULATIVE PRIOR YEAR DIFFERENCE	314,430

# TY 2020 IRS 990 e-File Render

**Name:** THE MELVIN AND BREN SIMON CHARITABLE  
FOUNDATION NUMBER ONE

**EIN:** 35-2049367

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER EXPENSES	2,034	2,034		0

# TY 2020 IRS 990 e-File Render

**Name:** THE MELVIN AND BREN SIMON CHARITABLE  
FOUNDATION NUMBER ONE

**EIN:** 35-2049367

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
NONDIVIDEND DISTRIBUTION	1,436		1,436

## TY 2020 IRS 990 e-File Render

**Name:** THE MELVIN AND BREN SIMON CHARITABLE  
FOUNDATION NUMBER ONE

**EIN:** 35-2049367

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BNY MANAGEMENT FEES	44,190	44,190		0

## TY 2020 IRS 990 e-File Render

**Name:** THE MELVIN AND BREN SIMON CHARITABLE  
FOUNDATION NUMBER ONE

**EIN:** 35-2049367

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	2,147	2,147		0