

For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020

Name of foundation S MARK TAPER FOUNDATION		A Employer identification number 32-0516588
Number and street (or P.O. box number if mail is not delivered to street address) 12011 SAN VICENTE BLVD NO 400	Room/suite	B Telephone number (see instructions) (310) 476-5543
City or town, state or province, country, and ZIP or foreign postal code LOS ANGELES, CA 90049		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here..... ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ... ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶\$ <u>156,794,727</u>	J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	8,281	8,281		
	4 Dividends and interest from securities	2,256,335	2,256,335		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	3,954,400			
	b Gross sales price for all assets on line 6a 27,830,839				
	7 Capital gain net income (from Part IV, line 2)		3,954,400		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	6,080	6,080		
	12 Total. Add lines 1 through 11	6,225,096	6,225,096		
	13 Compensation of officers, directors, trustees, etc.	403,840	343,956		59,884
	14 Other employee salaries and wages	611,317	151,286		460,031
	15 Pension plans, employee benefits	129,777	60,141		69,636
	16a Legal fees (attach schedule)	5,914	946		4,968
	b Accounting fees (attach schedule)	18,900	0		18,900
	c Other professional fees (attach schedule)	345,635	335,525		0
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	118,553	24,976		31,563
	19 Depreciation (attach schedule) and depletion	21,921	10,961		
	20 Occupancy	191,559	95,780		95,780
	21 Travel, conferences, and meetings	2,136	0		2,136
	22 Printing and publications				
	23 Other expenses (attach schedule)	136,326	89,603		46,722
	24 Total operating and administrative expenses. Add lines 13 through 23	1,985,878	1,113,174		789,620
	25 Contributions, gifts, grants paid	5,919,100			5,919,100
	26 Total expenses and disbursements. Add lines 24 and 25	7,904,978	1,113,174		6,708,720
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-1,679,882			
	b Net investment income (if negative, enter -0-)		5,111,922		
	c Adjusted net income (if negative, enter -0-)				

Part II		Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing				
	2	Savings and temporary cash investments	4,065,037	4,284,125	4,284,125	
	3	Accounts receivable ▶ <u>198,901</u>				
		Less: allowance for doubtful accounts ▶ _____	165,631	198,901	198,901	
	4	Pledges receivable ▶ _____				
		Less: allowance for doubtful accounts ▶ _____				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ _____				
		Less: allowance for doubtful accounts ▶ _____				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U.S. and state government obligations (attach schedule)	15,913,366	16,971,935	16,966,888	
	b	Investments—corporate stock (attach schedule)	47,064,475	46,374,819	58,425,153	
	c	Investments—corporate bonds (attach schedule)				
	11	Investments—land, buildings, and equipment: basis ▶ _____				
	Less: accumulated depreciation (attach schedule) ▶ _____					
12	Investments—mortgage loans					
13	Investments—other (attach schedule)	35,671,714	33,567,438	76,828,314		
14	Land, buildings, and equipment: basis ▶ <u>230,376</u>					
	Less: accumulated depreciation (attach schedule) ▶ <u>139,030</u>	113,267	91,346	91,346		
15	Other assets (describe ▶ _____)					
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	102,993,490	101,488,564	156,794,727		
Liabilities	17	Accounts payable and accrued expenses	121,758	296,714		
	18	Grants payable				
	19	Deferred revenue.				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶ _____)				
	23	Total liabilities (add lines 17 through 22).	121,758	296,714		
Net Assets or Fund Balances		Foundations that follow FASB ASC 958, check here ▶ ☒ and complete lines 24, 25, 29 and 30.				
	24	Net assets without donor restrictions	102,871,732	101,191,850		
	25	Net assets with donor restrictions				
		Foundations that do not follow FASB ASC 958, check here ▶ ☐ and complete lines 26 through 30.				
	26	Capital stock, trust principal, or current funds				
	27	Paid-in or capital surplus, or land, bldg., and equipment fund				
	28	Retained earnings, accumulated income, endowment, or other funds				
	29	Total net assets or fund balances (see instructions)	102,871,732	101,191,850		
	30	Total liabilities and net assets/fund balances (see instructions) .	102,993,490	101,488,564		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	102,871,732
2	Enter amount from Part I, line 27a	2	-1,679,882
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	101,191,850
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	6	101,191,850

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a PUBLICLY TRADED SECURITIES - ST			2020-01-01	2020-12-31
b PUBLICLY TRADED SECURITIES - LT			2019-01-01	2020-12-31
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 8,199,312		7,760,029	439,283
b 19,631,527		16,116,410	3,515,117
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
a			439,283
b			3,515,117
c			
d			
e			

Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	3,954,400
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	}	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1 Reserved		
(a) Reserved	(b) Reserved	(c) Reserved
2 Reserved		2
3 Reserved.		3
4 Reserved		4
5 Reserved		5
6 Reserved		6
7 Reserved		7
8 Reserved		8

Part VII

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.		
	Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Reserved	1	71,056
All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	71,056
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.	5	71,056
6	Credits/Payments:		
a	2020 estimated tax payments and 2019 overpayment credited to 2020	6a	166,891
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d	7	166,891
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid .	10	95,835
11	Enter the amount of line 10 to be: Credited to 2021 estimated tax Refunded	11	0

Part VII-A

Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			No
		1b		No
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. (2) On foundation managers.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?.			
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	6	Yes	
		7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►WWW.SMTFOUNDATION.ORG	13	Yes	
14	The books are in care of ►ROY WEITZ Telephone no. ►(310) 476-5543 Located at ►12011 SAN VICENTE BLVD 400 LOS ANGELES CA 90049 ZIP+4 ►			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance check here. ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? ☐ Yes ☒ No If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

Organizations relying on a current notice regarding disaster assistance check here.

☐

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

☐ Yes ☐ No

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b

If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?

☐ Yes ☒ No

8

Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?

☐ Yes ☒ No

	Yes	No
5b		
6b		No
7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JANICE TAPER LAZAROF 12011 SAN VICENTE BOULEVARD STE 400 LOS ANGELES, CA 90049	TRUSTEE, PRESIDENT 10.00	0	0	0
AMELIA TAPER BOLKER 12011 SAN VICENTE BOULEVARD STE 400 LOS ANGELES, CA 90049	TRUSTEE, VP, SECRETARY, TREASURER 10.00	30,000	0	0
ROY WEITZ 12011 SAN VICENTE BOULEVARD STE 400 LOS ANGELES, CA 90049	TRUSTEE, CFO 35.00	373,840	25,128	0
ADRIENNE WITTENBERG 12011 SAN VICENTE BLVD STE 400 LOS ANGELES, CA 90049	EXECUTIVE DIRECTOR 35.00	261,377	41,433	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DEBORAH GREEN 12011 SAN VICENTE BLVD STE 400 LOS ANGELES, CA 90049	ACCOUNTING 35.00	104,222	30,778	0
KRISTEN HAGGINS 12011 SAN VICENTE BLVD STE 400 LOS ANGELES, CA 90049	PROGRAM OFFICER 35.00	107,043	15,526	0
ALYSSA VILLAIRE 12011 SAN VICENTE BLVD STE 400 LOS ANGELES, CA 90049	PROGRAM OFFICER 35.00	79,167	8,489	0
AMANDA ADAMS 12011 SAN VICENTE BLVD STE 400 LOS ANGELES, CA 90049	GRANTS ADMINISTRATOR 35.00	59,508	8,423	0
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
HOTCHKIS & WILEY CAPITAL MANAGEMENT	INVESTMENT MGMT	127,266
601 S FIGUEROA ST 3900 LOS ANGELES, CA 90017		
FRONTIER CAPITAL MANAGEMENT	INVESTMENT MGMT	92,783
99 SUMMER ST 1900 BOSTON, MA 02110		
BANK OF AMERICAUS TRUST	INVESTMENT MGMT	83,102
901 MAIN ST DALLAS, TX 752023738		

Total number of others receiving over \$50,000 for professional services.

0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	132,165,347
b	Average of monthly cash balances.	1b	4,935,163
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	137,100,510
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d.	3	137,100,510
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	2,056,508
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	135,044,002
6	Minimum investment return. Enter 5% of line 5.	6	6,752,200

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	6,752,200
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	71,056
b	Income tax for 2020. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b.	2c	71,056
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	6,681,144
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	6,681,144
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . .	7	6,681,144

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	6,708,720
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	6,708,720
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	6,708,720

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				6,681,144
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			6,517,781	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015.				
b From 2016.				
c From 2017.				
d From 2018.				
e From 2019.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2020 from Part XII, line 4: ► \$ <u>6,708,720</u>				
a Applied to 2019, but not more than line 2a			6,517,781	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount				190,939
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				6,490,205
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions)	0			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9:				
a Excess from 2016				
b Excess from 2017				
c Excess from 2018.				
d Excess from 2019				
e Excess from 2020				

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling

b

Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2020	(b) 2019	(c) 2018	(d) 2017	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon:					
a	"Assets" alternative test—enter:					
(1)	Value of all assets					
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c	"Support" alternative test—enter:					
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3)	Largest amount of support from an exempt organization					
(4)	Gross investment income					

Part XV

Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

ADRIENNE WITTENBERG
12011 SAN VICENTE BLVD 400
LOS ANGELES, CA 90049
(310) 476-5543
QUESTIONS@SMTFOUNDATION.ORG

b

The form in which applications should be submitted and information and materials they should include:

ONLINE LETTER OF INQUIRY CONTAINING DESCRIPTION AND PURPOSES OF APPLICANT, AND INTENDED USE OF GRANT REQUESTED. FOR FURTHER DETAIL SEE WWW.SMTFOUNDATION.ORG.

c

Any submission deadlines:

APPLICATION LETTERS ACCEPTED FROM DECEMBER 1 THROUGH FEBRUARY 1.

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

GEOGRAPHICAL PREFERENCE TO SOUTHERN CALIFORNIA RECIPIENTS. NO GRANTS TO INDIVIDUALS

Part XV Supplementary Information (continued)				
3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN NATIONAL RED CROSS FBO AMERICAN RED CROSS LOS ANGELES REGION 1450 S CENTRAL AVENUE LOS ANGELES,CA 90021	N/A	P C	CAPITAL SUPPORT FOR DOWNTOWN HEADQUARTERS.	380,000
APLA HEALTH & WELLNESS THE DAVID GEFFIN CENTER 611 KINGLEY DR LOS ANGELES,CA 90005	N/A	P C	GENERAL OPERATING SUPPORT.	100,000
ASSISTANCE LEAGUE OF LONG BEACH 6220 E SPRING ST LONG BEACH,CA 90815	N/A	P C	RENOVATION OF THE CHILDREN'S CENTER.	25,000
ASSISTANCE LEAGUE OF SAN PEDRO SOUTH BAY 1441 W 8TH ST SAN PEDRO,CA 90732	N/A	P C	SUPPORT OF FRANCES J. JOHNSON DENTAL CENTER.	50,000
ASSISTANCE LEAGUE OF VICTOR VALLEY PO BOX 39 APPLE VALLEY,CA 92307	N/A	P C	PROGRAM SUPPORT OF OPEARTION SCHOOL BELL.	15,000
BABY2BABY 5830 W JEFFERSON BLVD LOS ANGELES,CA 90016	N/A	P C	GENERAL OPERATING SUPPORT.	100,000
BET TZEDEK 3250 WILSHIRE BLVD SUITE 1300 LOS ANGELES,CA 90010	N/A	P C	SUPPORT OF CARGIVER SERVICES PROJECT.	100,000
BRIDGE HOUSING CORPORATION 600 CALIFORNIA ST SUITE 900 SAN FRANCISCO,CA 941082706	N/A	P C	PROGRAM SUPPORT OF WORKFORCE DEVELOPMENT IN WATTS.	80,000
BRIGHT PROSPECT 1460 E HOLT AVE SUITE 74 POMONA,CA 91767	N/A	P C	GENERAL OPERATING SUPPORT.	100,000
CALIFORNIA AQUATIC THERAPY & WELLNESS CENTER INC DBA POOLS OF HOPE 6801 LONG BEACH BLVD LONG BEACH,CA 90805	N/A	P C	GENERAL OPERATING SUPPORT.	25,000
CASA CORNELIA LEGAL SERVICES DBA CASA CORNELIA LAW CENTER 2760 FIFTH AVE SUITE 200 SAN DIEGO,CA 921036330	N/A	P C	GENERAL OPERATING SUPPORT.	50,000
CENTER FOR NON-VIOLENT EDUCATION & PARENTING DBA ECHO PO BOX 26938 LOS ANGELES,CA 90026	N/A	P C	GENERAL OPERATING SUPPORT.	50,000
CENTRAL COAST UNITED FOR A SUSTAINABLE ECONOMY AKA CAUSE 2021 SPERRY AVE SUITE 9 VENTURA,CA 930037418	N/A	P C	GENERAL OPERATING SUPPORT.	50,000
CHILD DEVELOPMENT INSTITUTE 6340 VARIEL AVE SUITE A WOODLAND HILLS,CA 91367	N/A	P C	BUILDING RENOVATION.	50,000
CHILDREN'S INSTITUTE INC 2121 W TEMPLE ST LOS ANGELES,CA 90026	N/A	P C	CONSTRUCTION OF EARLY CHILDHOOD CENTER IN WATTS.	150,000
COLLEGE ACCESS PLAN 871 E WASHINGTON BLVD SUITE 207 PASADENA,CA 91104	N/A	P C	GENERAL OPERATING SUPPORT.	65,000
COMMUNITY ACTION PARTNERSHIP OF ORANGE COUNTY 11870 MONARCH ST GARDEN GROVE,CA 928412113	N/A	P C	GENERAL OPERATING SUPPORT.	50,000
COMMUNITY FAMILY GUIDANCE CENTER 10929 SOUTH ST SUITE 208B CERRITOS,CA 907035340	N/A	P C	GENERAL OPERATING SUPPORT.	50,000
COMMUNITY PARTNERS FBO WRITEGIRL 1000 N ALAMEDA ST SUITE 240 LOS ANGELES,CA 90012	N/A	P C	GENERAL OPERATING SUPPORT.	35,000
COMMUNITY PARTNERS FBO SOUTHERN CALIFORNIA COLLEGE ACCESS NETWORK 1000 N ALAMEDA ST SUITE 240 LOS ANGELES,CA 90012	N/A	P C	GENERAL OPERATING SUPPORT.	75,000
COMMUNITY PARTNERS FBO MATERNAL MENTAL HEALTH NOW 1000 N ALAMEDA ST SUITE 240 LOS ANGELES,CA 90012	N/A	P C	PROGRAM SUPPORT.	50,000
COMMUNITY SENIORSERV INC DBA MEALS ON WHEELS ORANGE COUNTY 1200 N KNOLLWOOD CIRCLE ANAHEIM,CA 92801	N/A	P C	GENERAL OPERATING SUPPORT.	50,000
CONEJO FREE CLINIC 80 E HILLCREST DR SUITE 102 THOUSAND OAKS,CA 91360	N/A	P C	GENERAL OPERATING SUPPORT.	65,000
CONEJO HOSPICE INC DBA HOSPICE OF THE CONEJO 80 E HILLCREST DR SUITE 204 THOUSAND OAKS,CA 91360	N/A	P C	GENERAL OPERATING SUPPORT.	10,000
DIDI HIRSCH PSYCHIATRIC SERVICE DBA DIDI HIRSCH MENTAL HEALTH SERVICES 4760 S SEPULVEDA BLVD CULVER CITY,CA 902304820	N/A	P C	BUILDING PURCHASE AND RENOVATION FOR THE SUICIDE PREVENTION CENTER.	50,000
EL NIDO FAMILY CENTERS 440 SHATTO PLACE SUITE 417 LOS ANGELES,CA 900201793	N/A	P C	PROGRAM EXPANSION.	50,000
FAMILY HOPE DBA ELIZABETH HOUSE PO BOX 94077 LOS ANGELES,CA 911094077	N/A	P C	GENERAL OPERATING SUPPORT.	35,000
FOODBANK OF SANTA BARBARA COUNTY 4554 HOLLISTER AVE SANTA BARBARA,CA 93110	N/A	P C	GENERAL OPERATING SUPPORT.	50,000
FOODBANK OF SOUTHERN CALIFORNIA DBA THE FOODBANK OF SOUTHERN CALIFORNIA 1444 SAN FRANCISCO AVE LONG BEACH,CA 90813	N/A	P C	GENERAL OPERATING SUPPORT.	150,000
FOOTHILL FAMILY SERVICE AKA FOOTHILL FAMILY 2500 E FOOTHILL BLVD SUITE 300 PASADENA,CA 91107	N/A	P C	GENERAL OPERATING SUPPORT.	75,000
GOOD PLUS FOUNDATION INC DBA GOODFOUNDATION 4005 W JEFFERSON BLVD LOS ANGELES,CA 90016	N/A	P C	PROGRAM SUPPORT.	50,000
GRANTMAKERS CONCERNED WITH IMMIGRANTS AND REFUGEES PO BOX 1100 SEBASTOPOL,CA 95473	N/A	P C	FUNDING FOR CALIFORNIA IMMIGRANT RESILIENCE FUND	25,000
HARBOR INTERFAITH SERVICES INC 670 W 9TH ST SAN PEDRO,CA 90731	N/A	P C	SAFETY UPGRADES AND MAINTENANCE OF ALL BUILDING.	20,000
HEAL THE BAY 1444 9TH ST SANTA MONICA,CA 90401	N/A	P C	GENERAL OPERATING SUPPORT.	50,000
HOUSING WORKS 1277 N WILCOX AVE LOS ANGELES,CA 90038	N/A	P C	GENERAL OPERATING SUPPORT.	80,000
INDEPENDENT SHAKESPEARE CO INC THE DBA INDEPENDENT SHAKESPEARE COMPANY 3191 CASITAS AVE SUITE 130 LOS ANGELES,CA 90039	N/A	P C	SUPPORT OF THE GRIFFITH PARK FREE SHAKESPEARE FESTIVAL.	35,000
INNER-CITY ARTS 720 KOHLER ST LOS ANGELES,CA 90021	N/A	P C	GENERAL OPERATING SUPPORT.	150,000
JERICOHO ROAD PASADENA INC 75 S GRAND AVE PASADENA,CA 91105	N/A	P C	GENERAL OPERATING SUPPORT.	20,000
JEWISH FAMILY SERVICE OF LOS ANGELES 3580 WILSHIRE BLVD SUITE 700 LOS ANGELES,CA 90010	N/A	P C	CAPITAL SUPPORT FOR HEADQUARTERS AND SERVICE CENTER.	100,000
JWCH INSTITUTE INC 5650 JILLSON ST COMMERCE,CA 90040	N/A	P C	RENOVATION OF CLINIC IN LYNNWOOD.	150,000
KOREATOWN YOUTH AND COMMUNITY CENTER INC 3727 W 6TH ST SUITE 300 LOS ANGELES,CA 90020	N/A	P C	GENERAL OPERATING SUPPORT.	75,000
LA GOAL 4911 OVERLAND AVE CULVER CITY,CA 902304202	N/A	P C	GENERAL OPERATING SUPPORT.	80,000
LONG BEACH PUBLIC LIBRARY FOUNDATION 200 W BROADWAY LONG BEACH,CA 90802	N/A	P C	GENERAL OPERATING SUPPORT.	75,000
LOS ANGELES FREE CLINIC DBA SABAN COMMUNITY CLINIC 8405 BEVERLY BLVD LOS ANGELES,CA 900483401	N/A	P C	RENOVATION OF VIRGIL FAMILY HEALTH CENTER	100,000
LOS ANGELES LGBT CENTER 1118 N MCCADDEN PLACE LOS ANGELES,CA 90038	N/A	P C	PROGRAM SUPPORT OF GENERATIONS ELEVATED CULINARY ARTS.	100,000
LOS ANGELES POVERTY DEPARTMENT PO BOX 26190 LOS ANGELES,CA 900263127	N/A	P C	GENERAL OPERATING SUPPORT.	25,000
MARIANNE FROSTIG CENTER OF EDUCATIONAL THERAPY DBA THE MARIANNE FROSTIG CEN 971 N ALTADENA DR PASADENA,CA 91107	N/A	P C	GENERAL OPERATING SUPPORT.	60,000
MENTAL HEALTH AMERICA OF LOS ANGELES 100 WEST BRADWAY SUITE 5010 LONG BEACH,CA 90802	N/A	P C	RENOVATION OF HOMELESS INTEGRATED SERVICE CENTER	75,000
MF PLACE DBA MY FRIEND'S PLACE PO BOX 3867 HOLLYWOOD,CA 90078	N/A	P C	GENERAL OPERATING SUPPORT.	100,000
MIXTECO INDIGENA COMMUNITY ORGANIZING PROJECT AKA MICOP PO BOX 20543 OXNARD,CA 930340543	N/A	P C	GENERAL OPERATING SUPPORT.	50,000
PERFORMING ARTS CENTER OF LOS ANGELES COUNTY DBA THE MUSIC CENTER 135 N GRAND AVE LOS ANGELES,CA 90012	N/A	P C	RENOVATION OF THE MUSIC CENTER PLAZA	25,000
NATIONAL AUDUBON SOCIETY INC FBO AUDUBON CALIFORNIA FBO AUDUBON CENTER AT AUDUBON CENTER AT DEBS PARK 4700 N GRIFFIN AVE LOS ANGELES,CA 90031	N/A	P C	PROGRAM SUPPORT.	50,000
NATIONAL HEALTH FOUNDATION 515 S FIGUEROA ST SUITE 1300 LOS ANGELES,CA 90071	N/A	P C	SUPPORT OF MID-CITY RECUPERATIVE CARE PROGRAM.	50,000
NEIGHBORHOOD LEGAL SERVICES OF LOS ANGELES COUNTY 1102 E CHEVY CHASE DR GLENDALE,CA 912052511	N/A	P C	GENERAL OPERATING SUPPORT.	75,000
NORTHEAST COMMUNITY CLINIC 2550 W MAIN ST SUITE 301 ALHAMBRA,CA 91801	N/A	P C	GENERAL OPERATING SUPPORT.	75,000
OPERATION JUMP START 3515 LINDEN AVE LONG BEACH,CA 90807	N/A	P C	GENERAL OPERATING SUPPORT.	75,000
ORANGEWOOD FOUNDATION 1575 E 17TH ST SANTA ANA,CA 927058506	N/A	P C	ORANGEWOOD RESOURCE CENTER SUPPORT.	35,000
PACIFIC CLINICS 800 S SANTA ANITA AVE ARCADIA,CA 91006	N/A	P C	FUNDS FOR SAFETY AND MAINTENANCE UPGRADES TO RAMPART HOUSE.	10,000
PATHWAYS LA 3325 WILSHIRE BLVD SUITE 100 LOS ANGELES,CA 90010	N/A	P C	GENERAL OPERATING SUPPORT.	50,000
PATHWAYS VOLUNTEER HOSPICE 4645 WOODRUFF AVE LAKEWOOD,CA 90713	N/A	P C	GENERAL OPERATING SUPPORT.	25,000
PEACE 4 KIDS DBA PEACE4KIDS PO BOX 5347 COMPTON,CA 90224	N/A	P C	GENERAL OPERATING SUPPORT.	30,000
PEACE OVER VIOLENCE 1015 WILSHIRE BLVD SUITE 200 LOS ANGELES,CA 90017	N/A	P C	GENERAL OPERATING SUPPORT.	50,000
PLANNED PARENTHOOD OF THE PACIFIC SOUTHWEST 1075 CAMINO DEL RIO SOUTH SAN DIEGO,CA 92108	N/A	P C	GENERAL OPERATING SUPPORT.	75,000
POMONA COMMUNITY HEALTH CENTER DBA PARKTREE COMMUNITY HEALTH CENTER 1450 E HOLT AVE POMONA,CA 91767	N/A	P C	GENERAL OPERATING SUPPORT.	75,000
PROJECT ANGEL FOOD 922 VINE ST LOS ANGELES,CA 90038	N/A	P C	GENERAL OPERATING SUPPORT.	100,000
RAINBOW SERVICES LTD 453 W 7TH ST SAN PEDRO,CA 90731	N/A	P C	GENERAL OPERATING SUPPORT.	25,000
READING IS FUNDAMENTAL OF SOUTHERN CALIFORNIA 7250 BANDINI BLVD SUITE 209 LOS ANGELES,CA 90040	N/A	P C	GENERAL OPERATING SUPPORT.	50,000
READING LEGACIES 2750 HISTROIC DECATUR RD SUITE 210 SAN DIEGO,CA 92106	N/A	P C	GENERAL OPERATING SUPPORT.	35,000
SAN DIEGO HUNGER COALITION 4305 UNIVERSITY AVE SUITE 545 SAN DIEGO,CA 92105	N/A	P C	GENERAL OPERATING SUPPORT.	75,000
SANTA CATALINA ISLAND CONSERVANCY 320 GOLDEN SHORE SUITE 220 LONG BEACH,CA 90802	N/A	P C	CONSTRUCTION FUNDING FOR TRAILHEAD VISTOR CENTER.	50,000
SCHOOL ON WHEELS INC PO BOX 23371 VENTURA,CA 93002	N/A	P C	GENERAL OPERATING SUPPORT.	100,000
SERVING PEOPLE IN NEED INC 151 KALMUS DR COSTA MESA,CA 926265988	N/A	P C	PROGRAM SUPPORT.	50,000
SERVING SENIORS 525 14TH ST SUITE 200 SAN DIEGO,CA 92101	N/A	P C	GENERAL OPERATING SUPPORT.	60,000
SHOES THAT FIT 1420 N CLAREMONT BLVD SUITE 204A CLAREMONT,CA 91711	N/A	P C	GENERAL OPERATING SUPPORT.	75,000
SKIRBALL CULTURAL CENTER 2701 N SEPULVEDA BLVD LOS ANGELES,CA 90049	N/A	P C	RENOVATION OF THE MARK TAPER FOUNDATION COURTYARD.	400,000
ST JOHN'S WELL CHILD AND FAMILY CENTER 808 W 58TH ST LOS ANGELES,CA 90037	N/A	P C	CAPITAL SUPPORT FOR FURNITURE, FIXTURES, AND EQUIPMENT.	50,000
STARTING OVER INC 1390 W 6TH ST SUITE 100 CORONA,CA 92882	N/A	P C	SUPPORT OF PATH TO SEED PROGRAM.	50,000
STUDENTS WITHOUT LIMITS PO BOX 90224 SAN DIEGO,CA 92169	N/A	P C	GENERAL OPERATING SUPPORT.	15,000
THRIVE ANIMAL RESCUE INC 13875 OLD EL CAMINO REAL SAN DIEGO,CA 921303028	N/A	P C	GENERAL OPERATING SUPPORT.	15,000
TRUST FOR PUBLIC LAND DBA THE TRUST FOR PUBLIC LAND 135 W GREEN ST 2ND FLOOR PASADENA,CA 91105	N/A	P C	PROGRAM SUPPORT.	50,000
VENICE ARTS 13445 BEACH AVE VENICE,CA 90292	N/A	P C	GENERAL OPERATING SUPPORT.	50,000
VENTURA COUNTY COMMUNITY DEVELOPMENT CORPORATION 2231 STURGIS RD UNIT A OXNARD,CA 930307813	N/A	P C	GENERAL OPERATING SUPPORT.	50,000
WESTMINSTER FREE CLINIC 2673 SAN MIGUEL CIRCLE THOUSAND OAKS,CA 91360	N/A	P C	GENERAL OPERATING SUPPORT.	75,000
WILL GEER THEATRICUM BOTANICUM PO BOX 1222 TOPANGA,CA 90290	N/A	P C	FUNDING OF 2021 AND 2022 REPERTORY SEASONS.	125,000
YOUNG MENS CHRISTIAN ASSOCIATION OF GREATER LONG BEACH 820 LONG BEACH BLVD LONG BEACH,CA 90813	N/A	P C	SUPPORT THE YOUTH INSTITUTEPROGRAM.	50,000
YWCA OF GLENDALE CALIFORNIA 735 E LEXINGTON DR GLENDALE,CA 91206	N/A	P C	DOMESTIC VIOLENCE PROGRAM SUPPORT.	50,000
SOUTHERN CALIFORNIA GRANTMAKERS 1000 NORTH ALAMEDA ST NO 230 LOS ANGELES,CA 90012	N/A	P C	MEMBERSHIP DUES	9,100
LOS ANGELES COUNTY MUSEUM OF ART 5905 WILSHIRE BLVD LOS ANGELES,CA 90036	N/A	P C	MEMBERSHIP DUES	5,000
Total			3a	5,919,100
b <i>Approved for future payment</i>				
Total			3b	

Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
Enter gross amounts unless otherwise indicated.				
1 Program service revenue:				
a _____				
b _____				
c _____				
d _____				
e _____				
f _____				
g Fees and contracts from government agencies				
2 Membership dues and assessments.				
3 Interest on savings and temporary cash investments		14	8,281	
4 Dividends and interest from securities		14	2,256,335	
5 Net rental income or (loss) from real estate:				
a Debt-financed property.				
b Not debt-financed property.				
6 Net rental income or (loss) from personal property				
7 Other investment income.				
8 Gain or (loss) from sales of assets other than inventory		18	3,954,400	
9 Net income or (loss) from special events:				
10 Gross profit or (loss) from sales of inventory				
11 Other revenue:				
a OTHER INCOME _____		14	6,080	
b _____				
c _____				
d _____				
e _____				
12 Subtotal. Add columns (b), (d), and (e).	0		6,225,096	0
13 Total. Add line 12, columns (b), (d), and (e).		13	6,225,096	6,225,096

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of:

(1) Cash.

(2) Other assets.

b Other transactions:

(1) Sales of assets to a noncharitable exempt organization.

(2) Purchases of assets from a noncharitable exempt organization

(3)* Rental of facilities, equipment, or other assets.

(4) Reimbursement arrangements.

(5) Loans or loan guarantees.

(6) Performance of services or membership or fundraising solicitations.

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

2021-11-09

Signature of officer or trustee

Date

Title

May the IRS discuss this return with the preparer shown below
(see instr.) ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
	LAUREN A HAVERLOCK		2021-11-09		P00545829
	Firm's name ▶ MOSS ADAMS LLP				Firm's EIN ▶ 91-018931
	Firm's address ▶ 21700 OXNARD ST STE 300 LOS ANGELES, CA 91367				Phone no. (818) 577-1900

Additional Data

Return to Form

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

TY 2020 IRS 990 e-File Render

Name: S MARK TAPER FOUNDATION
EIN: 32-0516588

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	18,900	0		18,900

TY 2020 IRS 990 e-File Render		
Name: S MARK TAPER FOUNDATION		
EIN: 32-0516588		
Name of Stock	End of Year Book Value	End of Year Fair Market Value
AES CORP	97,327	146,264
ALLETE INC	131,785	150,266
AON PLC	90,868	133,311
ABBOTT LABORATORIES	57,199	142,775
ACADIA HEALTHCARE CO INC	71,712	118,111
ACTIVISION BLIZZARD (FKA ACTIVISION INC)	152,199	234,818
ADIANT PLC	92,820	122,842
ADOBE SYSTEMS	91,836	193,046
ADTALEM GLOBAL EDUCATION INC	206,138	192,598
AIR PRODUCTS & CHEMICALS	36,159	63,660
ALEXION PHARMACEUTICALS	94,299	126,711
ALPHABET INC CL A (FKA: GOOGLE INC CL A)	98,814	248,875
ALPHABET INC CL C (FKA: GOOGLE INC CL C)	120,936	292,564
ALTRA HOLDINGS INC	162,424	258,526
AMAZON.COM INC	316,779	768,635
AMERICAN ELECTRIC POWER CO INC	145,794	134,398
AMERICAN EXPRESS CO	124,402	154,402
AMERICAN INTERNATIONAL GROUP	1,647,305	1,423,536
AMERICAN TOWER	14,575	32,771
ANTHEM INC.	703,203	1,047,396
APACHE CORP	655,952	385,968
APPLE COMPUTER	207,559	980,314
APPLIED ENERGENICS INC	3,530	1,324
APPLIED INDL TECH (FKA: AMERITECH CORP)	139,341	201,682
ARGO GROUP INT'L HOLDINGS, LTD	233,211	252,368
AUTO DESK	97,995	146,258
AUTOZONE	84,144	103,133
AZZ INC	77,204	87,906
BMC STOCK HOLDINGS INC	81,901	207,366
BAKER HUGHES (FKA MICHAEL BAKER CORP)	134,860	170,970
BANCORP INC	223,155	323,177
BANKAMERICA CORP.	429,941	730,683
BANKUNITED INC	171,075	266,241
BEACON ROOFING SUPPLY	248,760	244,797
BECTON DICKINSON	149,581	160,641
BELDEN INC	196,953	232,922
BERKSHIRE HATHAWAY INC CL B	277,943	429,655
BIOMARIN PHARMACEUTICAL	57,866	55,859
BLACK ROCK INC	155,735	265,527
BOOKING HOLDINGS INC	175,505	267,272
BOOT BARN HOLDINGS INC	47,178	80,866
BRISTOL MYERS SQUIBB CO.	215,895	217,105
CIGNA CORP.	85,790	117,205
CNH INDUSTRIAL NV	460,251	690,792
CVS CORPORATION	92,451	89,200
CANADIAN NATURAL RESOURCES	154,046	164,045
CARPENTER TECHNOLOGY CORPORATION	166,139	139,834
CARRIER GLOBAL CORP	62,324	153,219
CHEVRONTEXACO CORP	159,904	148,041
CISCO SYSTEMS	125,652	142,887
CITIGROUP INC (FKA CITICORP)	1,539,039	1,880,630
CITIZENS FINANCIAL GROUP	574,664	711,624
COCA COLA COMPANY	249,534	272,171
COLGATE PALMOLIVE CO	50,955	65,330
COMCAST CORP NEW CL A	637,291	984,858
COMPASS MATERIALS INTERNATION INC	81,499	87,272
CONCENTRIX CORP	59,064	151,011
COOPER TIRE & RUBBER	144,143	204,890
CORNING INC	380,117	453,600
CORPORATE OFFICE PROPERTIES TRUST INC	275,453	273,553
CORTEVA, INC	85,611	125,182
COUSINS PROPERTIES INC	56,829	54,471
CUMMINS INC	334,103	590,460
DARDEN RESTAURANTS INC	84,617	116,976
DENTSPLY SIRONA INC	75,866	94,510
DISCOVER FIN'L (FKA DEPARTMENT 56)	234,004	289,696
DISCOVERY COMMUNICATIONS SER C	369,420	445,230
DOLLAR TREE STORES INC	121,721	169,083
EBAY INC.	164,825	217,382
EAGLE MATERIALS INC	255,337	304,861
ELI LILLY	123,950	131,020
EMBRAER (FKA: EMBRAER-EMPRESA BRASILEIRA)	131,638	41,541
ENCORE WIRE CORPORATION	155,971	227,985
ENERSYS	198,746	223,681
ENVISTA HOLDINGS CORP	101,068	192,733
EOG RESOURCES INC (FKA ENRON OIL & GAS)	87,447	77,997
EQUITABLE HLDGS (FKA AXA EQUITABLE HLDGS)	297,792	376,173
ERICSSON	268,272	474,415
EVERCORE PARTNERS INC	162,707	304,361
FDX CORP (FKA FEDERAL EXPRESS CORP)	238,277	477,701
FABRINET	99,241	179,388
FACEBOOK INC CL A	126,891	296,379
FIDELITY NAT'L INFORMATION SERVICES INC	118,823	223,224
FIRST BANCORP	182,147	283,045
FISERV INC	123,696	214,626
FLIR SYSTEM INC	68,068	83,715
FLUSHING FIN'L CORP	218,139	208,882
GENERAL ELECTRIC CO.	964,925	1,414,800
GENERAL MOTORS	810,626	924,408
GLAXOSMITHKLINE PLC ADS	566,415	504,160
GOLDMAN SACHS GROUP	669,507	857,058
GREENBRIER COS INC	150,092	183,828
HNI CORPORATION	171,041	143,250
HALLIBURTON CO.	221,470	200,340
HARLEY DAVIDSON INC	241,451	209,190
HARTFORD FINANCIAL SVCS GROUP	278,041	372,248
HESS CORP	495,663	596,527
HEWLETT PACKARD ENTERPRISES	643,901	585,390
HONEYWELL INTERNATIONAL INC	106,694	185,049
HOST HOTELS RESORTS INC REIT	100,720	134,596
INSIGHT ENTERPRISES	156,591	307,328
INT'L FLAVORS & FRAGRANCES	28,890	28,625
INT'L SEAWAYS INC (FTO INSWEB CORP)	141,281	110,423
INTERFACE, INC	252,369	164,220
INTERNATIONAL PAPER CO.	325,545	392,788
INTUIT	135,603	178,530
ITRON INC	42,253	73,172
J P MORGAN CHASE & CO	118,707	255,792
JABIL CIRCUIT	158,358	281,123
JAMES RIVER GROUP HLDGS LTD	165,203	266,196
JOHNSON & JOHNSON	282,829	377,712
KAR AUCTION SERVICES INC	179,993	198,680
KBR INC	156,430	234,697
KONTOOR BRANDS INC	168,628	235,654
LCI INDUSTRIES	151,353	213,324
LGI HOMES INC	41,920	81,081
LAM RESEARCH	77,688	204,965
LANDS' END INC	99,741	121,439
LOWES COS INC	145,286	284,263
MDU RESOURCES GROUP INC	242,784	286,816
MKS INSTRUMENTS INC	103,143	182,195
MRC GLOBAL INC (FKA MONTEREY RESOURCES)	168,459	62,587
MACOM TECHNOLOGY	50,462	138,316
MAGNA INTL INC CLASS A	455,283	722,160
MARATHON OIL GROUP (FKA: USX MARATHON GRP)	574,671	487,577
MARATHON PETROLEUM CORP	309,290	268,923
MARVELL TECHNOLOGY GROUP	19,283	47,302
MASTERCARD INC	70,818	325,172
MCDONALDS CORP	24,335	38,410
MEDTRONIC PLC (FKA MEDTRONIC INC)	618,843	873,513
MERIT MEDICAL SYSTEMS INC	51,548	113,351
MICROSOFT CORP	493,043	1,463,079
MODINE MANUFACTURING CO.	179,127	198,787
MONDELEZ INT'L INC (FKA KRAFT FOODS, INC)	246,063	346,376
MORGAN STANLEY	145,839	242,391
MURPHY OIL CORP	143,751	71,753
NMI HOLDINGS INC CL A	120,395	131,574
NOV INC (FKA NAT'L OILWELL VARCO INC)	794,531	466,820
NXP SEMICONDUCTORS	95,859	135,159
NEWMONT MINING CORP	147,776	217,161
NUTRIEN LTD	120,206	155,990
NVIDIA CORP	47,681	155,093
ORACLE CORP	590,112	756,873
P P & L RESOURCES INC	657,655	617,580
PACCAR INC.	120,386	189,816
PDC ENERGY INC.	323,253	171,159
PJT PARTNERS INC CL A	90,899	159,304
PNC BANK CORP.	252,347	357,600
PACIRA PHARMACEUTICALS INC	143,758	203,456
PALO ALTO NETWORKS INC	117,123	184,092
PAYPAL HOLDING INC	90,189	177,289
PERDOCEO EDUCATION CORP	82,119	135,002
PERFICIENT INC	139,111	168,443
PHILIP MORRIS INTERNATIONAL	217,040	216,744
PINNACLE FIN'L PARTNERS INC	274,880	306,415
POPULAR INC	210,752	371,318
PORTLAND GENERAL ELECTRIC CO	204,612	256,192
QUANTA SERVICES INC	86,305	186,028
RPT REALTY (FKA RAMCO-GERSHENSON PPTYS TR)	135,941	102,182
RAYTHEON TECHNOLOGIES CORP	222,532	250,428
RENASANT CORP	206,596	211,510
ROYAL DUTCH SHELL PLC CL A	775,554	474,531
SITE CENTERS CORP	224,750	187,847
STAG INDUSTRIAL INC	227,896	271,920
SAIA INC	22,478	56,590
SANOFI	318,004	349,848
SCHLUMBERGER LTD	162,629	216,117
SEMTECH CORP.	36,027	71,513
SHERWIN WILLIAMS	14,889	41,155
SOUTHERN CO	143,270	202,719
SOUTHWEST AIRLINES	16,983	23,072
SPIRIT REALTY CAPITAL INC	234,041	233,548
STANLEY BLACK & DECKER INC (STANLEY WORKS)	167,540	242,663
STATE STREET BOSTON	397,006	409,897
STEELCASE INC	91,991	78,644
STERICYCLE INC	101,662	141,087
STONERIDGE INC	85,710	148,520
STRYKER CORP	60,380	94,340
SUNSTONE HOTEL INVESTORS INC	238,682	180,476
SYNNEX CORP	62,303	126,395
SYSCO CORP	105,873	133,594
T-MOBILE US INC	153,972	243,269
TE CONNECTIVITY LTD (FKA TYCO ELECTRONICS)	442,425	734,895
TAPESTRY INC	43,363	55,851
TEXAS INSTRUMENTS INC	99,032	180,543
TRAVELERS GROUP INC	459,726	533,406
UBER TECHNOLOGIES INC	128,348	204,357
ULTA SALON COSMETICS & FRAGRANCE INC	28,865	35,034
UNDER ARMOUR INC CL A	29,925	35,576
UNILEVER PLC	333,179	362,160
UNION PAC CORP	183,240	253,196
UNITED HEALTHCARE CORP.	314,904	476,925
UNITIL CORP	147,466	199,658
VAREX IMAGING CORP	55,783	79,480
VIACOMCBS INC CL B	323,617	231,012
VODAFONE GROUP ADR (FKA VODAFONE AIRTOUCH)	738,579	469,515
WESCO INT'L INC	142,689	198,448
WPX ENERGY INC	141,759	132,495
WSFS FINANCIAL CORP	207,639	243,115
WALT DISNEY COMPANY	126,743	222,489
WASHINGTON FEDERAL INC	249,444	272,793
WEBSTER FINANCIAL	265,049	270,603
WELLS FARGO & CO NEW	1,839,503	1,268,646
WESTERN DIGITAL	87,563	117,981
WINTRUST FINANCIAL CORP	253,227	247,476
ZIMMER BIOMET HOLDINGS	147,717	246,544

TY 2020 IRS 990 e-File Render

Name: S MARK TAPER FOUNDATION

EIN: 32-0516588

**US Government Securities - End of
Year Book Value:**

16,971,935

**US Government Securities - End of
Year Fair Market Value:**

16,966,888

**State & Local Government
Securities - End of Year Book
Value:**

0

**State & Local Government
Securities - End of Year Fair
Market Value:**

0

TY 2020 IRS 990 e-File Render**Name:** S MARK TAPER FOUNDATION**EIN:** 32-0516588

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ISHARES TR RUSSELL MIDCAP VALUE INDEX FD	AT COST	5,011,109	9,749,584
JULIUS BAER INTL EQUITY FUND CLASS I	AT COST	6,184,046	8,581,416
NEUBERGER & BERMAN EQUITY FD RE FD	AT COST	3,673,038	3,824,237
OAKMARK INT'L FUND - INSTITUTIONAL	AT COST	6,935,500	7,372,456
VANGUARD GROWTH INDEX FUND INST	AT COST	7,124,501	32,960,719
VANGUARD MID-CAP INDEX FUND INST SHS	AT COST	4,639,244	14,339,902

TY 2020 IRS 990 e-File Render**Name:** S MARK TAPER FOUNDATION**EIN:** 32-0516588

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
INVESTMENT SOFTWARE	3,147	3,147	0	
FOUNDATION SOFTWARE	4,650	4,650	0	
SCANNING SOFTWARE	6,973	6,973	0	
XEROX COPIER	20,248	20,248	0	
COMPUTER TOWER - HP 6000	982	982	0	
DESKTP - HP 6000	1,718	1,718	0	
DESKTOP COMPUTER - HP 6200	1,258	1,258	0	
DESKTOP COMPUTERS (3) - HP 6200	3,078	3,078	0	
SCANNER & WORKSTATION COMPUTER	2,881	2,881	0	
PAPER SHEDDER	2,912	2,912	0	
COMPUTER SERVER	13,065	11,758	1,307	1,306
LAPTOP COMPUTER	2,513	1,759	754	754
NEC TELE SYSTEM	18,125	8,156	9,969	9,969
FILING CABINETS	6,571	6,571	0	
OFFICE FURNISHINGS-DESKS, CHAIRS, ETC.	49,752	43,533	6,219	6,219
MOBILE PEDESTAL FILES	1,282	1,007	275	275
FILE CABINETS	6,008	4,720	1,288	1,287
DESKS, FUNISHINGS & CONFERENCE TABLE	13,909	2,981	10,928	10,929
LEASEHOLD IMPROVEMENTS-RENOVATION	71,304	10,698	60,606	60,607

TY 2020 IRS 990 e-File Render

Name: S MARK TAPER FOUNDATION

EIN: 32-0516588

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	5,914	946		4,968

TY 2020 IRS 990 e-File Render

Name: S MARK TAPER FOUNDATION

EIN: 32-0516588

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK ACCOUNT FEES	26,670	26,670		0
BANK CUSTODY FEES	15,700	15,700		0
BANK FEES	1,373	1,373		0
DUES & PUBLICATIONS	1,248	636		611
FILING FEES	168	0		168
INSURANCE	7,384	0		7,384
OFFICE EXPENSE	15,077	7,538		7,539
PAYROLL SERVICE	5,268	2,634		2,634
REPAIR & MAINTENANCE	30,564	15,282		15,282
TELEPHONE	15,117	3,023		12,094
WORKERS' COMPENSATION	2,000	990		1,010
MISCELLANEOUS	15,757	15,757		0

TY 2020 IRS 990 e-File Render

Name: S MARK TAPER FOUNDATION

EIN: 32-0516588

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INCOME	6,080	6,080	6,080

TY 2020 IRS 990 e-File Render

Name: S MARK TAPER FOUNDATION

EIN: 32-0516588

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	335,525	335,525		0
CONSULTING	10,110	0		0

TY 2020 IRS 990 e-File Render

Name: S MARK TAPER FOUNDATION

EIN: 32-0516588

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	62,014	0		0
PAYROLL TAXES	56,263	24,976		31,287
PROPERTY TAX	276	0		276