Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0052

**2020**

**Open to Public Inspection**

**For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020**

Name of foundation
LAURA AND JOHN ARNOLD FOUNDATION

**A Employer identification number**
26-3241764

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
1717 WEST LOOP SOUTH NO 1800

**B** Telephone number (see instructions)
(713) 554-1349

City or town, state or province, country, and ZIP or foreign postal code
HOUSTON, TX 770273048

**C** If exemption application is pending, check here ▶ ☐

**G** Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☑ Amended return ☐ Address change ☐ Name change

**D 1.** Foreign organizations, check here..... ▶ ☐
**2.** Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

**H** Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ..... ▶ ☐

**I** Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 2,692,538,333

**J** Accounting method: ☐ Cash ☐ Accrual ☑ Other (specify) MODIFIED CASH
*(Part I, column (d) must be on cash basis.)*

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... ▶ ☐

**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

| | | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|---|
| Revenue | 1 | Contributions, gifts, grants, etc., received (attach schedule) | 482,334,584 | | | |
| | 2 | Check ▶☐ if the foundation is **not** required to attach Sch. B | | | | |
| | 3 | Interest on savings and temporary cash investments | 602,200 | 602,200 | | |
| | 4 | Dividends and interest from securities | 63,860,874 | 54,308,774 | | |
| | 5a | Gross rents | | | | |
| | b | Net rental income or (loss) | | | | |
| | 6a | Net gain or (loss) from sale of assets not on line 10 | 55,457,934 | | | |
| | b | Gross sales price for all assets on line 6a 560,950,187 | | | | |
| | 7 | Capital gain net income (from Part IV, line 2) | | 7,901,067 | | |
| | 8 | Net short-term capital gain | | | | |
| | 9 | Income modifications | | | | |
| | 10a | Gross sales less returns and allowances | | | | |
| | b | Less: Cost of goods sold | | | | |
| | c | Gross profit or (loss) (attach schedule) | | | | |
| | 11 | Other income (attach schedule) | 114,018,613 | 2,679,619 | 196,225 | |
| | 12 | **Total.** Add lines 1 through 11 | 716,274,205 | 65,491,660 | 196,225 | |
| Operating and Administrative Expenses | 13 | Compensation of officers, directors, trustees, etc. | 0 | 0 | 0 | 0 |
| | 14 | Other employee salaries and wages | | | | |
| | 15 | Pension plans, employee benefits | | | | |
| | 16a | Legal fees (attach schedule) | 103,726 | 9,191 | 0 | 94,535 |
| | b | Accounting fees (attach schedule) | 113,443 | 29,653 | 0 | 33,315 |
| | c | Other professional fees (attach schedule) | 3,011,960 | 1,492,522 | 0 | 1,519,438 |
| | 17 | Interest | | | | |
| | 18 | Taxes (attach schedule) (see instructions) | 2,634,962 | 1,318,239 | 0 | 0 |
| | 19 | Depreciation (attach schedule) and depletion | | 155,841 | | |
| | 20 | Occupancy | | | | |
| | 21 | Travel, conferences, and meetings | 28,772 | 0 | 0 | 28,772 |
| | 22 | Printing and publications | | | | |
| | 23 | Other expenses (attach schedule) | 35,000 | 4,925,807 | 0 | 35,000 |
| | 24 | **Total operating and administrative expenses.** Add lines 13 through 23 | 5,927,863 | 7,931,253 | 0 | 1,711,060 |
| | 25 | Contributions, gifts, grants paid | 212,674,878 | | | 212,501,573 |
| | 26 | **Total expenses and disbursements.** Add lines 24 and 25 | 218,602,741 | 7,931,253 | 0 | 214,212,633 |
| | 27 | Subtract line 26 from line 12: | | | | |
| | a | **Excess of revenue over expenses and disbursements** | 497,671,464 | | | |
| | b | **Net investment income** (if negative, enter -0-) | | 57,560,407 | | |
| | c | **Adjusted net income** (if negative, enter -0-) | | | 196,225 | |

**Part II** **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

| | | | Beginning of year | End of year | |
|---|---|---|---|---|---|
| | | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 | Cash—non-interest-bearing | | | |
| | 2 | Savings and temporary cash investments | 227,831,768 | 402,598,745 | 402,598,745 |
| | 3 | Accounts receivable ▶ | | | |
| | | Less: allowance for doubtful accounts ▶ | 6,551,644 | 0 | 0 |
| | 4 | Pledges receivable ▶ | | | |
| | | Less: allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ | | | |
| | | Less: allowance for doubtful accounts ▶ | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10a | Investments—U.S. and state government obligations (attach schedule) | | | |
| | b | Investments—corporate stock (attach schedule) | 777,884,038 | 1,160,510,936 | 1,160,510,936 |
| | c | Investments—corporate bonds (attach schedule) | 212,518,256 | 236,657,619 | 236,657,619 |
| | 11 | Investments—land, buildings, and equipment: basis ▶ | | | |
| | | Less: accumulated depreciation (attach schedule) ▶ | | | |
| | 12 | Investments—mortgage loans | | | |
| | 13 | Investments—other (attach schedule) | 1,118,483,254 | 879,701,189 | 879,701,189 |
| | 14 | Land, buildings, and equipment: basis ▶ | | | |
| | | Less: accumulated depreciation (attach schedule) ▶ | | | |
| | 15 | Other assets (describe ▶ ) | 12,733,636 | 13,069,844 | 13,069,844 |
| | 16 | **Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I) | 2,356,002,596 | 2,692,538,333 | 2,692,538,333 |
| Liabilities | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe ▶ ) | | | |
| | 23 | **Total liabilities**(add lines 17 through 22) | 0 | 0 | |
| Net Assets or Fund Balances | | **Foundations that follow FASB ASC 958, check here** ▶ ☐ **and complete lines 24, 25, 29 and 30.** | | | |
| | 24 | Net assets without donor restrictions | | | |
| | 25 | Net assets with donor restrictions | | | |
| | | **Foundations that do not follow FASB ASC 958, check here** ▶ ☑ **and complete lines 26 through 30.** | | | |
| | 26 | Capital stock, trust principal, or current funds | 0 | 0 | |
| | 27 | Paid-in or capital surplus, or land, bldg., and equipment fund | 0 | 0 | |
| | 28 | Retained earnings, accumulated income, endowment, or other funds | 2,356,002,596 | 2,692,538,333 | |
| | 29 | **Total net assets or fund balances** (see instructions) | 2,356,002,596 | 2,692,538,333 | |
| | 30 | **Total liabilities and net assets/fund balances** (see instructions) | 2,356,002,596 | 2,692,538,333 | |

**Part III** **Analysis of Changes in Net Assets or Fund Balances**

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) | 1 | 2,356,002,596 |
| 2 | Enter amount from Part I, line 27a | 2 | 497,671,464 |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | 0 |
| 4 | Add lines 1, 2, and 3 | 4 | 2,853,674,060 |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | 161,135,727 |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 | 6 | 2,692,538,333 |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1 a | PUBLICLY TRADED SECURITIES | | | |
| b | PUBLICLY TRADED SECURITIES | | | |
| c | FOREIGN CAPITAL GAIN DISTRIBUTIONS | P | 2019-01-01 | 2020-12-31 |
| d | ALTERNATIVE INVESTMENTS | P | 2019-01-01 | 2020-12-31 |
| e | DISTRIBUTION IN EXCESS OF BASIS | P | 2019-01-01 | 2020-12-31 |
| | DISPOSAL OF CHENIERE PARTNERS, LP | D | 2019-01-01 | 2020-12-31 |
| | DISPOSAL OF CHENIERE PARTNERS, LP | P | 2019-01-01 | 2020-12-31 |
| | LIQUIDATION OF PRES INITIAL CAPITAL AGGREGATOR | P | 2019-01-01 | 2020-12-31 |
| | LIQUIDATION GT US QP FUND | P | 2019-01-01 | 2020-12-31 |
| | MERGER OF EQM MIDSTREAM PARTNERS, LP | P | 2019-01-01 | 2020-12-31 |
| | WRITE-OFF ORGANIZATIONAL COSTS | P | 2019-01-01 | 2020-12-31 |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 43,622,133 | | 10,950,455 | 32,671,678 |
| b | 497,362,632 | | 494,403,535 | 2,959,097 |
| c | 19,965,422 | | | 19,965,422 |
| d | 7,905,028 | | | 7,905,028 |
| e | 136,194 | | | 136,194 |
| | 7,512,604 | | 4,802,755 | 2,709,849 |
| | 1,154,973 | | 650,624 | 504,349 |
| | | | 58,666,170 | -58,666,170 |
| | | | 7,701 | -7,701 |
| | 202,764 | | 469,305 | -266,541 |
| | | | 10,138 | -10,138 |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|---|
| a | | | | 32,671,678 |
| b | | | | 2,959,097 |
| c | | | | 19,965,422 |
| d | | | | 7,905,028 |
| e | | | | 136,194 |
| | | | | 2,709,849 |
| | | | | 504,349 |
| | | | | -58,666,170 |
| | | | | -7,701 |
| | | | | -266,541 |
| | | | | -10,138 |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } | 2 | 7,901,067 |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 | 3 | |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

**SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE**

1 Reserved

| (a) Reserved | (b) Reserved | (c) Reserved | (d) Reserved |
|---|---|---|---|
| | | | |

| | | |
|---|---|---|
| 2 Reserved | 2 | |
| 3 Reserved | 3 | |
| 4 Reserved | 4 | |
| 5 Reserved | 5 | |
| 6 Reserved | 6 | |
| 7 Reserved | 7 | |
| 8 Reserved | 8 | |

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

| | | | |
|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ **(attach copy of letter if necessary–see instructions)** | | |
| b | Reserved | 1 | 800,090 |
| c | All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 2 | 0 |
| 3 | Add lines 1 and 2 | 3 | 800,090 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 4 | 0 |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- | 5 | 800,090 |
| 6 | Credits/Payments: | | |
| a | 2020 estimated tax payments and 2019 overpayment credited to 2020 — 6a: 1,626,758 | | |
| b | Exempt foreign organizations—tax withheld at source — 6b: | | |
| c | Tax paid with application for extension of time to file (Form 8868) — 6c: 1,650,000 | | |
| d | Backup withholding erroneously withheld — 6d: 0 | | |
| 7 | Total credits and payments. Add lines 6a through 6d | 7 | 626,787 |
| 8 | Enter any **penalty** for underpayment of estimated tax. Check here ☑ if Form 2220 is attached. | 8 | 0 |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | 9 | 173,303 |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | 10 | |
| 11 | Enter the amount of line 10 to be: **Credited to 2021 estimated tax** ▶ **Refunded** ▶ | 11 | |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | No |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition) | 1b | | No |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | No |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0 **(2)** On foundation managers. ▶ $ 0 | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0 | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.* | 2 | | No |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | No |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | Yes | |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | Yes | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.* | 5 | | No |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | Yes | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.* | 7 | Yes | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA, NY, TX, DC, OK | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* | 8b | Yes | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. If "Yes," complete Part XIV | 9 | | No |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.* | 10 | | No |

## Part VII-A Statements Regarding Activities (continued)

| | | | | |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions | 11 | Yes | |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions | 12 | | No |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | Yes | |

Website address ▶ WWW.ARNOLDFOUNDATION.ORG

14 The books are in care of ▶ ELIZABETH BANKS Telephone no. ▶ (713) 554-1349

Located at ▶ 1717 WEST LOOP SOUTH SUITE 1800 HOUSTON TX ZIP+4 ▶ 770273048

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | No |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly): | | | |
| | **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No | | | |
| | **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No | | | |
| | **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☑ Yes ☐ No | | | |
| | **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No | | | |
| | **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No | | | |
| | **(6)** Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☑ Yes ☐ No | | | |
| b | If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions | 1b | | No |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020? | 1c | Yes | |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | |
| a | At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? ☐ Yes ☑ No<br>If "Yes," list the years ▶ 20____, 20____, 20____, 20____ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.) | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.<br>▶ 20____, 20____, 20____, 20____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☑ Yes ☐ No | | | |
| b | If "Yes," did it have excess business holdings in 2020 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)* | 3b | | No |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | No |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020? | 4b | | No |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| **5a** | During the year did the foundation pay or incur any amount to: | | | |
| | **(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No | | | |
| | **(2)** Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No | | | |
| | **(3)** Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No | | | |
| | **(4)** Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☑ Yes ☐ No | | | |
| | **(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No | | | |
| **b** | If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions | **5b** | | No |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| **c** | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☑ Yes ☐ No | | | |
| | *If "Yes," attach the statement required by Regulations section 53.4945–5(d).* | | | |
| **6a** | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No | | | |
| **b** | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | **6b** | | No |
| | *If "Yes" to 6b, file Form 8870.* | | | |
| **7a** | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No | | | |
| **b** | If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction? | **7b** | | |
| **8** | Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No | | | |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation. See instructions**

| **(a)** Name and address | **(b)** Title, and average hours per week devoted to position | **(c)** Compensation **(If not paid, enter -0-)** | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| JOHN D ARNOLD<br>1717 WEST LOOP SOUTH SUITE 1800<br>HOUSTON, TX 77027 | DIRECTOR/CO-CHAIR<br>20.00 | 0 | 0 | 0 |
| LAURA E ARNOLD<br>1717 WEST LOOP SOUTH SUITE 1800<br>HOUSTON, TX 77027 | DIRECTOR/CO-CHAIR<br>20.00 | 0 | 0 | 0 |
| ROXANNE ALMARAZ<br>1717 WEST LOOP SOUTH SUITE 1800<br>HOUSTON, TX 77027 | SECRETARY<br>20.00 | 0 | 0 | 0 |
| ELIZABETH BANKS<br>1717 WEST LOOP SOUTH SUITE 1800<br>HOUSTON, TX 77027 | TREASURER<br>20.00 | 0 | 0 | 0 |
| KELLI RHEE<br>1717 WEST LOOP SOUTH SUITE 1800<br>HOUSTON, TX 77027 | DIRECTOR/PRESIDENT & CEO<br>20.00 | 0 | 0 | 0 |

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

| **(a)** Name and address of each employee paid more than $50,000 | **(b)** Title, and average hours per week devoted to position | **(c)** Compensation | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ 0

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

| **(a)** Name and address of each person paid more than $50,000 | **(b)** Type of service | **(c)** Compensation |
|---|---|---|
| MORGAN CREEK CAPITAL MANAGEMENT LLC<br>301 W BARBEE CHAPEL RD<br>CHAPEL HILL,NC 27517 | CONSULTING - INV. MANAGEMENT | 619,979 |
| MORGAN STANLEY INVESTMENT MANAGEMENT<br>522 5TH AVENUE<br>NEW YORK,NY 10036 | CONSULTING - INV. MANAGEMENT | 381,727 |
| FIDELITY INSTITUTIONAL ASSET MANAGEMENT LLC<br>24671 NETWORK PLACE<br>CHICAGO,IL 60673 | CONSULTING - INV. MANAGEMENT | 373,427 |
| OPENLATTICE INC<br>333 TWIN DOLPHIN DRIVE<br>REDWOOD CITY,CA 94065 | CONSULTING - CRIMINAL JUSTICE | 228,000 |
| ACTUARIAL RESEARCH CORPORATION<br>6928 LITTLE RIVER TURNPIKE<br>ANNANDALE,VA 22003 | CONSULTING - HEALTH CARE | 117,084 |

**Total** number of others receiving over $50,000 for professional services. . . . . ▶ 12

**Part IX-A** **Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|---|---|
| **1** CRIMINAL JUSTICE -THROUGH ITS CRIMINAL JUSTICE ASSISTANCE, THE LAURA AND JOHN ARNOLD FOUNDATION STRIVES TO ADVANCE COMMUNITY SAFETY AND THE VALUES OF FAIRNESS, EFFECTIVENESS, AND RACIAL JUSTICE. | 695,653 |
| **2** HEALTH CARE -THROUGH ITS HEALTH CARE ASSISTANCE, THE LAURA AND JOHN ARNOLD FOUNDATION AIMS TO IMPROVE HEALTH CARE DELIVERY, LOWER COSTS, AND REDUCE DISPARITIES IN ACCESS. | 445,591 |
| **3** EVIDENCE-BASED POLICY -THROUGH ITS EVIDENCE-BASED POLICY ASSISTANCE, THE LAURA AND JOHN ARNOLD FOUNDATION SEEKS TO INCREASE THE EFFECTIVENESS OF SOCIAL SPENDING THROUGH THE USE OF RIGOROUS EVIDENCE ABOUT WHAT WORKS. | 77,150 |
| **4** OTHER DIRECT CHARITABLE ACTIVITIES INCLUDE EDUCATION, PUBLIC FINANCE, PUBLIC HEALTH, AND RESEARCH. | 312,070 |

**Part IX-B** **Summary of Program-Related Investments** (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|---|
| **1** IMMITO, LLC: TO SUPPORT THE SMALL BUSINESS ADMINISTRATION'S PAYCHECK PROTECTIONS PROGRAM. THIS PROGRAM-RELATED INVESTMENT WAS MADE IN THE FORM OF A LOAN, WHICH WAS COMPLETELY REPAID IN 2020. | 10,000,000 |
| **2** FRONTLINE SUPPORT INC: TO SUPPORT THE PURCHASE OF PPE ON BEHALF OF THE STATE OF CALIFORNIA FOR FRONT-LINE HEALTHCARE WORKERS. THIS WAS A DEBT PROGRAM RELATED INVESTMENT MADE IN 2020. $1,954,995 WAS REPAID DURING 2020 AND THE REMAINING $45,005 WAS FORGIVEN AS AN ADDITIONAL GRANT IN 2020. | 2,000,000 |
| All other program-related investments. See instructions.<br>**3** | -10,286,951 |
| **Total.** Add lines 1 through 3 . . . . . ▶ | 1,713,049 |

## Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | | | |
| a | Average monthly fair market value of securities | | | 1a | 984,923,875 |
| b | Average of monthly cash balances | | | 1b | 233,373,866 |
| c | Fair market value of all other assets (see instructions) | | | 1c | 1,052,584,423 |
| d | **Total** (add lines 1a, b, and c) | | | 1d | 2,270,882,164 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | 0 | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | | | 2 | 0 |
| 3 | Subtract line 2 from line 1d | | | 3 | 2,270,882,164 |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | | | 4 | 34,063,232 |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | | | 5 | 2,236,818,932 |
| 6 | **Minimum investment return.** Enter 5% of line 5 | | | 6 | 111,840,947 |

## Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 111,840,947 |
| 2a | Tax on investment income for 2020 from Part VI, line 5 | 2a | 800,090 | | |
| b | Income tax for 2020. (This does not include the tax from Part VI.) | 2b | 19,045,143 | | |
| c | Add lines 2a and 2b | | | 2c | 19,845,233 |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | | 3 | 91,995,714 |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | 3,750,020 |
| 5 | Add lines 3 and 4 | | | 5 | 95,745,734 |
| 6 | Deduction from distributable amount (see instructions) | | | 6 | 0 |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | | 7 | 95,745,734 |

## Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26 | 1a | 214,212,633 |
| b | Program-related investments—total from Part IX-B | 1b | 1,713,049 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 215,925,682 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions | 5 | 0 |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 215,925,682 |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** **Undistributed Income** (see instructions)

| | (a) Corpus | (b) Years prior to 2019 | (c) 2019 | (d) 2020 |
|---|---|---|---|---|
| **1** Distributable amount for 2020 from Part XI, line 7 | | | | 95,745,734 |
| **2** Undistributed income, if any, as of the end of 2020: | | | | |
| **a** Enter amount for 2019 only. . . . . | | | 0 | |
| **b** Total for prior years: 20___, 20___, 20___ | | 0 | | |
| **3** Excess distributions carryover, if any, to 2020: | | | | |
| **a** From 2015. . . . . 33,614,593 | | | | |
| **b** From 2016. . . . . 101,460,964 | | | | |
| **c** From 2017. . . . . 77,536,617 | | | | |
| **d** From 2018. . . . . 125,719,762 | | | | |
| **e** From 2019. . . . . 106,849,245 | | | | |
| **f** **Total** of lines 3a through e. . . . . | 445,181,181 | | | |
| **4** Qualifying distributions for 2020 from Part XII, line 4: ▶ $ 215,925,682 | | | | |
| **a** Applied to 2019, but not more than line 2a | | | 0 | |
| **b** Applied to undistributed income of prior years (Election required—see instructions). . . . . | | 0 | | |
| **c** Treated as distributions out of corpus (Election required—see instructions). . . . . | 0 | | | |
| **d** Applied to 2020 distributable amount . . . . . | | | | 95,745,734 |
| **e** Remaining amount distributed out of corpus | 120,179,948 | | | |
| **5** Excess distributions carryover applied to 2020. *(If an amount appears in column (d), the same amount must be shown in column (a).)* | 0 | | | 0 |
| **6** **Enter the net total of each column as indicated below:** | | | | |
| **a** Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | 565,361,129 | | | |
| **b** Prior years' undistributed income. Subtract line 4b from line 2b . . . . . | | 0 | | |
| **c** Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . . | | 0 | | |
| **d** Subtract line 6c from line 6b. Taxable amount —see instructions . . . . . | | 0 | | |
| **e** Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . . | | | 0 | |
| **f** Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 . . . . . | | | | 0 |
| **7** Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . . | 482,334,584 | | | |
| **8** Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions) . . . | 0 | | | |
| **9** **Excess distributions carryover to 2021.** Subtract lines 7 and 8 from line 6a . . . . . | 83,026,545 | | | |
| **10** Analysis of line 9: | | | | |
| **a** Excess from 2016 . . . . | | | | |
| **b** Excess from 2017 . . . . | | | | |
| **c** Excess from 2018. . . . | | | | |
| **d** Excess from 2019 . . . . | | | | |
| **e** Excess from 2020 . . . . 83,026,545 | | | | |

## Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | (e) Total |
|---|---|---|---|---|---|
| | **(a)** 2020 | **(b)** 2019 | **(c)** 2018 | **(d)** 2017 | |
| **2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| **b** 85% of line 2a | | | | | |
| **c** Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| **d** Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| **e** Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| **3** Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets | | | | | |
| **(2)** Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| **b** "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| **c** "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| **(2)** Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| **(3)** Largest amount of support from an exempt organization | | | | | |
| **(4)** Gross investment income | | | | | |

## Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

JOHN D ARNOLD

LAURA E ARNOLD

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

JOHN D ARNOLD

LAURA E ARNOLD

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions.) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue: | | | | | |
| a PROGRAM-RELATED INTEREST | | | | | 196,225 |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 602,200 | |
| 4 Dividends and interest from securities | | | 14 | 63,860,874 | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | 18 | 112,071,961 | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 55,457,934 | |
| 9 Net income or (loss) from special events: | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | | |
| a RETURNED GRANTS | | | | | 1,747,727 |
| b REFUNDS | | | 01 | 2,700 | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | 0 | | 231,995,669 | 1,943,952 |
| 13 **Total.** Add line 12, columns (b), (d), and (e) | | | | 13 | 233,939,621 |

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.) |
|---|---|
| 1 A | PROGRAM-RELATED INTEREST INCOME |
| 11 A | RETURNED GRANTS |

**Part XVII** **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

| | | Yes | No |
|---|---|---|---|
| **1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| **a** Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| **(1)** Cash | 1a(1) | Yes | |
| **(2)** Other assets | 1a(2) | | No |
| **b** Other transactions: | | | |
| **(1)** Sales of assets to a noncharitable exempt organization | 1b(1) | | No |
| **(2)** Purchases of assets from a noncharitable exempt organization | 1b(2) | | No |
| **(3)** Rental of facilities, equipment, or other assets | 1b(3) | | No |
| **(4)** Reimbursement arrangements | 1b(4) | | No |
| **(5)** Loans or loan guarantees | 1b(5) | Yes | |
| **(6)** Performance of services or membership or fundraising solicitations | 1b(6) | | No |
| **c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | Yes | |

**d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

| **(a)** Line No. | **(b)** Amount involved | **(c)** Name of noncharitable exempt organization | **(d)** Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| 1 c | 0 | ACTION NOW INC | LAURA AND JOHN ARNOLD FOUNDATION AND ACTION NOW, INC., FORMERLY KNOWN AS ACTION NOW INITIATIVE, HAVE A "HISTORIC AND CONTINUING RELATIONSHIP" BECAUSE OF THEIR SHARED USE OF FACILITIES AND EQUIPMENT. ALL EXPENSES RELATED TO THE SHARED FACILITIES AND EQUIPMENT ARE PAID FOR BY LAURA AND JOHN ARNOLD. THERE ARE NO DIRECT TRANSFERS OR TRANSACTIONS BETWEEN THE LAURA AND JOHN ARNOLD FOUNDATION AND ACTION NOW, INC. IN ADDITION, LAURA AND JOHN ARNOLD FOUNDATION AND ACTION NOW, INC., HAVE AN "ELEMENT OF COMMON CONTROL" BECAUSE MORE THAN 50% OF THE DIRECTORS OF THE LAURA AND JOHN ARNOLD FOUNDATION SERVE AS DIRECTORS FOR ACTION NOW, INC. |
| 1a(1) | 250,000 | THE AMERICAN LEGION | CASH CONTRIBUTION TO SUPPORT ADVOCACY FOR INCREASED ACCOUNTABILITY IN HIGHER EDUCATION AND TO IMPROVE OUTCOMES FOR STUDENT VETERANS. |
| 1a(1) | 42,281 | ASSOCIATION FOR COMMUNITY AFFILIATED PLANS | CASH CONTRIBUTION TO ANALYZE THE WAYS THAT DUAL ELIGIBLE SPECIAL NEEDS PLANS ARE UTILIZING NON-MEDICAL SUPPLEMENTAL BENEFITS. |
| 1b(5) | 4,005,596 | CIVICA INC | PROGRAM-RELATED INVESTMENT MADE IN THE FORM OF A LOAN TO PROMOTE AVAILABILITY AND AFFORDABILITY OF ESSENTIAL GENERIC DRUGS. |

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☑ Yes ☐ No

**b** If "Yes," complete the following schedule.

| **(a)** Name of organization | **(b)** Type of organization | **(c)** Description of relationship |
|---|---|---|
| ACTION NOW INC | 501(C)(4) | LAURA AND JOHN ARNOLD FOUNDATION AND ACTION NOW, INC., FORMERLY KNOWN AS ACTION NOW INITIATIVE, HAVE A "HISTORIC AND CONTINUING RELATIONSHIP" BECAUSE OF THEIR SHARED USE OF FACILITIES AND EQUIPMENT. ALL EXPENSES RELATED TO THE SHARED FACILITIES AND EQUIPMENT ARE PAID FOR BY LAURA AND JOHN ARNOLD. THERE ARE NO DIRECT TRANSFERS OR TRANSACTIONS BETWEEN THE LAURA AND JOHN ARNOLD FOUNDATION AND ACTION NOW, INC. IN ADDITION, LAURA AND JOHN ARNOLD FOUNDATION AND ACTION NOW, INC., HAVE AN "ELEMENT OF COMMON CONTROL" BECAUSE MORE THAN 50% OF THE DIRECTORS OF THE LAURA AND JOHN ARNOLD FOUNDATION SERVE AS DIRECTORS FOR ACTION NOW, INC. |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee — Date: 2023-03-27 — Title

May the IRS discuss this return with the preparer shown below (see instr.) ☑ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's Signature | Date | Check if self-employed ☐ | PTIN |
|---|---|---|---|---|
| JENNIFER BECKER HARRIS | | 2023-03-27 | | P00183358 |

Firm's name ▶ CLARK NUBER PS — Firm's EIN ▶ 91-1194016

Firm's address ▶ 10900 NE 4TH STREET SUITE 1400, BELLEVUE, WA 98004 — Phone no. (425) 454-4919

## Additional Data

Return to Form

**Software ID:**

**Software Version:**

**Part VI Line 7 -Original Return Overpayment:** -2,649,971

**Form 990PF - Special Condition Description:**

| Special Condition Description |
| --- |

**Schedule B**
**(Form 990, 990-EZ, or 990-PF)**
Department of the Treasury
Internal Revenue Service

# Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**
▶ **Go to *www.irs.gov/Form990* for the latest information.**

OMB No. 1545-0047

**2020**

| Name of the organization | **Employer identification number** |
|---|---|
| LAURA AND JOHN ARNOLD FOUNDATION | 26-3241764 |

**Organization type** (check one):

| **Filers of:** | **Section:** |
|---|---|
| Form 990 or 990-EZ | ☐ 501(c)( ) (enter number) organization |
| | ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation |
| | ☐ 527 political organization |
| Form 990-PF | ☑ 501(c)(3) exempt private foundation |
| | ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | ☐ 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule.**
**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . . ▶ $ _____

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
LAURA AND JOHN ARNOLD FOUNDATION

**Employer identification number**
26-3241764

**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

**Contributors**

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|---|---|---|---|
| 1 | JOHN D AND LAURA E ARNOLD<br>1717 WEST LOOP SOUTH SUITE 1800<br>HOUSTON, TX 770273048 | $ 482,334,584 | ☑ Person<br>☐ Payroll<br>☑ Noncash<br>(Complete Part II for noncash contributions.) |
| - | | $ | ☐ Person<br>☐ Payroll<br>☐ Noncash<br>(Complete Part II for noncash contributions.) |
| - | | $ | ☐ Person<br>☐ Payroll<br>☐ Noncash<br>(Complete Part II for noncash contributions.) |
| - | | $ | ☐ Person<br>☐ Payroll<br>☐ Noncash<br>(Complete Part II for noncash contributions.) |
| - | | $ | ☐ Person<br>☐ Payroll<br>☐ Noncash<br>(Complete Part II for noncash contributions.) |
| - | | $ | ☐ Person<br>☐ Payroll<br>☐ Noncash<br>(Complete Part II for noncash contributions.) |

Name of organization
LAURA AND JOHN ARNOLD FOUNDATION

**Employer identification number**
26-3241764

**Part II** **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
|---|---|---|---|
| 1 | STOCKS | $ 262,334,584 | 2020-12-31 |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

Name of organization
LAURA AND JOHN ARNOLD FOUNDATION

**Employer identification number**
26-3241764

**Part III** ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.) ▶ $ _____**

Use duplicate copies of Part III if additional space is needed.

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee |
|---|---|
| | |

## Additional Data

Return to Form

**Software ID:**

**Software Version:**

# TY 2020 IRS 990 e-File Render

**Name:** LAURA AND JOHN ARNOLD FOUNDATION

**EIN:** 26-3241764

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| TAX PREPARATION | 104,008 | 29,653 | 0 | 23,880 |
| OTHER ACCOUNTING FEES | 9,435 | 0 | 0 | 9,435 |

# TY 2020 IRS 990 e-File Render

**Name:** LAURA AND JOHN ARNOLD FOUNDATION

**EIN:** 26-3241764

| Category | Amount |
|---|---|
| CIVICA, INC: TO PROMOTE AVAILABILITY AND AFFORDABILITY OF ESSENTIAL GENERIC DRUGS. THE PROGRAM-RELATED INVESTMENT WAS MADE IN THE FORM OF A LOAN. | 1,470,385 |
| DENVER PFS, LLC: TO PROVIDE PERMANENT SUPPORTIVE HOUSING SERVICES TO APPROXIMATELY 250 CHRONICALLY HOMELESS INDIVIDUALS. THE PROGRAM-RELATED INVESTMENT WAS MADE IN THE FORM OF A LOAN. | 169,359 |
| SOCIAL FINANCE CT FAMILY STABILITY 2016 LLC: TO SUPPORT THE CONNECTICUT FAMILY STABILITY PAY FOR SUCCESS PROJECT. THIS PROGRAM-RELATED INVESTMENT WAS MADE IN THE FORM OF A LOAN, WHICH WAS REPAID IN 2020. | 179,009 |
| PROGRAM RELATED INVESTMENTS RE-PAID IN THE CURRENT YEAR. | -12,105,704 |

**Note: To capture the full context of this document, please select landscape mode (11" x 8.5") when printing.**

**TY 2020 IRS 990 e-File Render**

**Name:** LAURA AND JORIN AROUND FOUNDATION

**EIN:** 26-3241754

| Grantee's Name | Grantee's Address | Grant Status | Grant Amount | Grant Purpose | Amount Paid during Year | Any Relationship to Donor? | Date of Grant Approval | Date of Last Payment | Results of Grantee's Activities |
|---|---|---|---|---|---|---|---|---|---|
| 1 GIRLS ADVISORS | 1 GIRLS ADVISORS 1 GRAND SUITE 1 GRAND SUITE 1 GRAND, MI 49503 | 2020-06-30 | 250,000 | TO HELP ESTABLISHING BETTER UNDERSTANDING THE ECONOMICS BEHIND THE MEDICAID PRESCRIPTION DRUG PROGRAM. | 75,000 | NO | 5/26/2020 6/26/2020 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| ART ASSOCIATES INC. | 1025 LEFLUNE BOULEVARD NOT APPLICABLE NOT APPLICABLE, MI 49503 | 2019-10-31 | 150,000 | TO PRODUCE AND PUBLISH A COMPREHENSIVE REVIEW OF THE EVIDENCE BEHIND FOUNDATION-FUNDED REFORMS IN MEDICAID. | 150,000 | NO | 9/26/2019 10/26/2019 12/30/2020 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| ART ASSOCIATES INC. | 1025 LEFLUNE BOULEVARD NOT APPLICABLE NOT APPLICABLE, MI 49503 | 2020-08-31 | 441,176 | TO CONTINUE A BANDWIDTH CONTROLLED TRIAL TO EVALUATE NEW MODELS ADDRESSING CHRONIC ABSENTEEISM, SCHOOL CLIMATE, PLACE, AND THE STATE'S EUPHEMISM AND HIGH SCHOOL CHARTER SCHOOLS. | 377,453 | NO | 9/25/2020 10/26/2020 12/30/2020 1/8/2021 4/4/2021 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| ART ASSOCIATES INC. | 1025 LEFLUNE BOULEVARD NOT APPLICABLE NOT APPLICABLE, MI 49503 | 2019-10-31 | 37,188 | FOR THE EXTENDED FOLLOW-UP OF TWO RANDOMIZED CONTROLLED TRIALS TO EVALUATE THE DIRECT OF THE UP-2 PROFESSIONAL TRAINING CORPS. | 34,438 | NO | 9/26/2019 10/26/2019 1/8/2021 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| ART ASSOCIATES INC. | 1025 LEFLUNE BOULEVARD NOT APPLICABLE NOT APPLICABLE, MI 49503 | 2020-01-31 | 114,125 | TO EVALUATE AN INNOVATIVE APPROACH TO MICROFINANCING SPRING A HIGH RISK PROMOTION POPULATION. | 12,528 | NO | 12/30/2020 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| ASSOCIATION FOR COMMUNITY AND HUMAN SERVICES | 1225 STAY STREET NOT APPLICABLE NOT APPLICABLE, MI 49503 | 2019-08-31 | 242,185 | TO ANALYZE THE WAYS THAT DUAL ELIGIBLE SPECIAL NEEDS PLANS ARE UTILIZING NON-MEDICAL SUPPLEMENTAL BENEFITS. | 242,185 | NO | 8/26/2019 10/27/2020 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| UNIVERSITY | 1 W. MONTCALM 1 W. MONTCALM 1 W. MONTCALM, MI 49503 | 2020-03-31 | 150,000 | TO ADVANCE FOR GREATER USES OF RESEARCH REPORTS, A PUBLISHING FORMAT DESIGNED TO ELEMINATE A SHORTCUT OF QUESTIONABLE RESEARCH PRACTICES, WHILE ALLOWING FLEXIBILITY TO REPORT RESEARCH. | 6,410 | NO | 4/5/2021 | 4/5/2021 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| CHARTER FUND INC. | 12001 W LAPEER 1 LAPEER SUITE SUITE 1 LAPEER, MI 48503 | 2022-11-30 | 12,250,000 | TO SUPPORT THE EXPANSION AND REPLICATION OF HIGH-QUALITY SCHOOLS IN NEW ORLEANS, LOUISIANA. | 11,500,000 | NO | SEMI-ANNUAL REPORTS FOR 2021 DUE 7/30/2021 8/30/2021 9/30/2021 10/30/2021 11/30/2021 12/30/2021 | 9/30/2021 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| CHARTER FUND INC. | 12001 W LAPEER 1 LAPEER SUITE SUITE 1 LAPEER, MI 48503 | 2019-12-31 | 9,500,000 | TO SUPPORT THE EXPANSION AND REPLICATION OF HIGH-QUALITY SCHOOLS IN CAMDEN, NEW JERSEY. | 8,476,462 | NO | 9/26/2019 10/30/2019 11/30/2019 12/30/2019 1/30/2020 2/28/2020 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| CHARTER FUND INC. | 12001 W LAPEER 1 LAPEER SUITE SUITE 1 LAPEER, MI 48503 | 2013-07-31 | 1,500,000 | TO SUPPORT CONTINUED INVESTMENTS IN HIGH-PERFORMING CHARTER SCHOOLS. | 6,000,000 | NO | 11/20/2012 12/31/2012 1/31/2013 2/28/2013 3/31/2013 4/15/2013 5/31/2013 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| CHARTER FUND INC. | 12001 W LAPEER 1 LAPEER SUITE SUITE 1 LAPEER, MI 48503 | 2019-07-31 | 4,100,000 | TO SUPPORT THE CHARTER SCHOOL GROWTH FUND. THIS PROGRAM WILL ENCOURAGE THE GROWTH OF HIGH-QUALITY CHARTER SCHOOLS IN THE STATE BY ADDRESSING THE DEBT AND ON-LOCATION OF WHICH ELIGIBLE AND REPAID IN 2021. | 4,100,000 | NO | 9/23/2019 12/30/2020 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| CHOICE INC | 35 SOUTH CAPITOL 1 SOUTH CAPITOL 1 SOUTH CAPITOL, LST 48503 | 2018-06-30 | 1,000,000 | TO PROMOTE AVAILABILITY AND AFFORDABILITY OF ESSENTIAL GENERIC DRUGS. | 1,000,000 | NO | 1/3/2018 1/31/2018 9/6/2018 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| CHOICE INC | 35 SOUTH CAPITOL 1 SOUTH CAPITOL 1 SOUTH CAPITOL, LST 48503 | 2018-06-30 | 4,505,556 | TO PROMOTE AVAILABILITY AND AFFORDABILITY OF ESSENTIAL GENERIC DRUGS. THIS PROGRAM RELATES INVESTMENT MADE BEGIN IN THE FORM OF A LOAN. | 271,263 | NO | 1/3/2018 1/31/2018 9/6/2018 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| COBB CONDITIONAL GRADUATION LLC | 885 LINCOLN 1 LINCOLN, LN 48503 | 2018-10-31 | 180,000 | TO ASSESS THE PUBLIC SAFETY ASSESSMENT TO PRETRIAL RISK ASSESSMENT TOOL. | 180,000 | NO | 12/3/2018 12/30/2020 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| DREAMS FYS LLC | 12000 WILLOW LANE 1 WILLOW LANE 1 WILLOW LANE COLORADO, MN 55304 | 2018-12-31 | 1,700,000 | TO PROVIDE PERMANENT SUPPORTIVE HOUSING SERVICES TO APPROXIMATELY 250 YOUNG PEOPLE EXPERIENCING REGIONAL TYPES OF SLEEP PROGRAM RELATED INVESTMENT. | 1,689,179 | NO | QUARTERLY REPORTS FOR 2020 DUE 7/30/2020 10/30/2020 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| FRONLINE SURVEY INC | 247 4TH 1 LINCOLN, LN 48503 | 2020-04-30 | 2,000,000 | TO SUPPORT THE PURCHASE OF PRE-EM BEHALF OF THE STATE OF CALIFORNIA FOR ADDITIONAL SURVEY WORK. THIS PROGRAM RELATES INVESTMENT MADE IN 2018 AND WAS PAID BACKED UP FOR REMAINING APPLIES WAS FUNDED IN AN ADDITIONAL GRANT IN 2020. | 2,000,000 | NO | 8/28/2020 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| GSP SOLUTIONS | 235 E KING 1 KING, MN 48503 | 2018-05-31 | 396,011 | TO DEVELOP AND PILOT A MODEL TO STREAMLINE ACCESS TO LONG-ACTING CONTRACEPTIVE METHODS. | 396,011 | NO | 1/18/2018 1/31/2018 4/13/2018 5/15/2018 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| HEALTH CARE INST INSTITUTES | 1 SOUTH WASHINGTON 1 SOUTH WASHINGTON 1 SOUTH WASHINGTON, LC 20005 | 2020-06-30 | 100,000 | TO RESEARCH THE DIFFERENCE IN MEDICARE RATES VERSUS COMMERCIAL PRICES FOR PROCEDURES. | 100,000 | NO | 1/31/2021 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| HEALTH CARE INST INSTITUTES | 1 SOUTH WASHINGTON 1 SOUTH WASHINGTON 1 SOUTH WASHINGTON, LC 20005 | 2020-06-30 | 250,000 | TO PROVIDE GENERAL OPERATING SUPPORT. | 250,000 | NO | 6/29/2021 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| HEALTH INNOVATION SERVICES INC | 1 SOUTH WASHINGTON SQUARE SUITE 1 SOUTH WASHINGTON SQUARE, MI 48503 | 2019-06-30 | 300,524 | TO PROVIDE TECHNICAL ASSISTANCE AND INFORMATION EDUCATIONAL RESOURCES TO COUNTY COMMISSIONERS SELECTED THROUGH GRANTEES PLANNING INITIATIVES TO ADDRESS THE OPIOID CRISIS. THIS PROGRAM RELATES INVESTMENT MADE IN 2018 AND ESCROW REQUEST FOR PROPERLY, WITH THE ORIGINAL GOAL OF PREPARING COMMUNITIES TO ADDRESS THE OPIOID CRISIS THROUGH THE PLAN ADDRESS TREATMENT PROGRAMS IN JAILS AND THE LARGER COMMUNITY. | 300,524 | NO | 1/13/2020 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| HEALTH INNOVATION SERVICES INC | 1 SOUTH WASHINGTON SQUARE SUITE 1 SOUTH WASHINGTON SQUARE, MI 48503 | 2019-06-30 | 175,000 | TO SUPPORT A BROAD POLICY PLATFORM AIMED AT INCREASING INTEGRATION BETWEEN MEDICARE AND MEDICAID FOR DUAL ELIGIBLES. | 133,000 | NO | 1/13/2020 1/31/2020 5/15/2020 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| HEALTH INNOVATION SERVICES INC | 1 SOUTH WASHINGTON SQUARE SUITE 1 SOUTH WASHINGTON SQUARE, MI 48503 | 2019-06-30 | 448,000 | TO SUPPORT A BROAD POLICY PLATFORM AIMED AT INCREASING INTEGRATION BETWEEN MEDICARE AND MEDICAID FOR DUAL ELIGIBLES. | 441,000 | NO | 1/13/2020 1/31/2020 8/30/2020 9/30/2020 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| HEALTH INNOVATION SERVICES INC | 1 SOUTH WASHINGTON SQUARE SUITE 1 SOUTH WASHINGTON SQUARE, MI 48503 | 2020-05-30 | 100,000 | TO RESEARCH AND IDENTIFY POLICY OPTIONS FOR ADVANCING EFFICIENCY AND DAMAGE IN MEDICINE. | 50,000 | NO | 5/3/2021 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| IMPACT LLC | 1 SOUTH SUITE 1 SOUTH SUITE 1 SOUTH SUITE, CO 80202 | 2020-04-30 | 10,000,000 | TO SUPPORT THE SMALL BUSINESS REPRESENTATIVES VACCINE PROTECTION PROGRAM. THIS PROGRAM RELATES INVESTMENT MADE IN THE FORM OF A LOAN WHICH WAS COMPLETELY REPAID IN 2020. | 10,000,000 | NO | 4/30/2020 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| INNOVATIONAL LLC | 2000 LITTLE MAKI SUITE 1 MAKI SUITE 1 MAKI SUITE, CO 1100 | 2018-04-30 | 250,000 | TO FUND A RANDOMIZED CONTROLLED TRIAL OF A PROGRAM FOR NEW DIAPER/PANTY INSURANCE CLAIMANTS IN GEORGIA. | 250,000 | NO | 9/19/2018 10/30/2018 11/30/2018 1/9/2019 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| INPHO FOUNDATION INC | 2000 LITTLE MAKI SUITE 1 MAKI SUITE 1 MAKI SUITE, CO 1100 | 2020-07-31 | 87,000 | TO ESTABLISH A HUMAN CENTERED DESIGN APPROACH TO UNDERSTAND HOW DUAL ELIGIBLE BENEFICIARIES WERE INTEGRATION OF THE "AFL-CIO, TRIPOD". | 76,253 | NO | 10/30/2020 11/30/2020 2/23/2021 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| LEAGUE AND JORIN AROUND CHARITABLE FUND | 1707 WEST 1 WEST, MI 48503 | 2014-03-31 | 1,300 | TO SUPPORT EVIDENCE BASED, MULTI-DISCIPLINARY INITIATIVES. | 980 | NO | 12/31/2014 12/31/2015 12/31/2016 12/31/2017 12/31/2018 12/31/2019 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| MARCY PHELPS AND PHILLIPS LLC | 2000 CONNECTICUT AVENUE, NW 1 CONNECTICUT AVENUE, DC 20012 | 2019-08-31 | 251,887 | TO ASSESS THE DISTRICT OF COLUMBIA'S REPRODUCTIVE HEALTH POLICIES AND PROVIDE TECHNICAL ASSISTANCE. | 251,887 | NO | 2/1/2020 2/28/2020 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| MARCY PHELPS AND PHILLIPS LLC | 2000 CONNECTICUT AVENUE, NW 1 CONNECTICUT AVENUE, DC 20012 | 2019-08-31 | 246,109 | TO CONVENE STAKEHOLDERS TO DISCUSS POLICY SOLUTIONS FOR ADDRESSING HIGH HEALTH CARE PRICES. | 246,109 | NO | 1/30/2020 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| MARCY PHELPS AND PHILLIPS LLC | 2000 CONNECTICUT AVENUE, NW 1 CONNECTICUT AVENUE, DC 20012 | 2020-08-31 | 240,000 | TO SUPPORT THE DEVELOPMENT OF A PLATFORM FOR STRONGER IMPLEMENTATION OF STATE POLICIES FOR PHARMACIST PRESCRIBED BIRTH CONTROL. | 240,000 | NO | 8/12/2020 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| METAMORPHOSIS RESEARCH INC | 400 ALEXANDER 1 ALEXANDER, MI 48240 | 2018-04-30 | 291,500 | TO EVALUATE THE IMPACT OF EDUCATION REFORMS IN WASHINGTON, D.C. ON STUDENT OUTCOMES. | 291,500 | NO | 9/13/2018 11/30/2018 12/30/2018 6/19/2019 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| METAMORPHOSIS RESEARCH INC | 400 ALEXANDER 1 ALEXANDER, MI 48240 | 2018-12-31 | 177,115 | TO EXTENDED A LONG-TERM FOLLOW-UP OF A RANDOMIZED CONTROLLED TRIAL EVALUATION OF NEW CHARTER MIDDLE SCHOOLS. | 177,115 | NO | 10/31/2018 2/28/2019 9/30/2019 1/9/2021 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| METAMORPHOSIS RESEARCH INC | 400 ALEXANDER 1 ALEXANDER, MI 48240 | 2019-03-31 | 275,000 | TO FUND A RANDOMIZED CONTROLLED TRIAL OF THE FEDERAL ESB CORE PROGRAM. | 277,075 | NO | 9/19/2019 10/30/2019 12/30/2019 1/9/2020 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| METAMORPHOSIS RESEARCH INC | 400 ALEXANDER 1 ALEXANDER, MI 48240 | 2019-03-31 | 145,000 | TO CONDUCT LONG-TERM FOLLOW-UP OF A RANDOMIZED CONTROLLED TRIAL OF THE STEM OPTIONS TO PROMOTE PROGRAMS PROGRAM. | 74,010 | NO | 1/30/2020 2/28/2020 9/30/2020 1/9/2021 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| METAMORPHOSIS RESEARCH INC | 400 ALEXANDER 1 ALEXANDER, MI 48240 | 2019-06-30 | 532,675 | TO SUPPORT A RANDOMIZED CONTROLLED TRIAL TO EVALUATE A PROGRAM DESIGNED TO REDUCE BEHAVIOR PROGRAMS/JAIL. | 365,393 | NO | 2/28/2020 3/30/2020 9/30/2020 1/9/2021 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| METAMORPHOSIS RESEARCH INC | 400 ALEXANDER 1 ALEXANDER, MI 48240 | 2019-12-31 | 570,482 | TO SUPPORT A RANDOMIZED CONTROLLED TRIAL TO EVALUATE A BURIED LED HOSPITAL PROGRAM. THIS PROGRAM RELATES INVESTMENT MADE IN 2018 AND WAS PAID BACKED UP FOR REMAINING APPLIES WAS MADE IN 2019, BUT THE FIRST PAYMENT WAS MADE IN 2020. | 517,736 | NO | 2/11/2020 3/30/2020 1/9/2021 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| METAMORPHOSIS RESEARCH INC | 400 ALEXANDER 1 ALEXANDER, MI 48240 | 2020-02-29 | 200,000 | TO EXAMINE DUAL ELIGIBLE SPECIAL NEEDS PROGRAMS. | 216,791 | NO | 9/30/2020 1/9/2021 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| MEGABRIDGE DEVELOPERS INC | 8165 S 47TH 1 SOUTH, CO 80127 | 2016-09-30 | 210,582 | TO FUND A REPLICATION RANDOMIZED CONTROLLED TRIAL OF AN INTERVENTION DESIGNED TO REDUCE RECIDIVISM AMONG HOUSEBOUND AND OFTEN DISCIPLINARY TRANSITION TO PRIDE SCHOOL. | 210,582 | NO | 1/3/2017 1/31/2017 12/31/2019 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| MEGABRIDGE DEVELOPERS INC | 8165 S 47TH 1 SOUTH, CO 80127 | 2017-06-30 | 181,088 | TO SUPPORT A RANDOMIZED CONTROLLED TRIAL OF BERNIE'S BOOK BANK, A PROGRAM DESIGNED TO REDUCE SUMMER LEARNING LOSS FROM INCOME SUPPERVISED AUDIO COLLEGIN IN GREATER CHICAGO, ILLINOIS. | 181,088 | NO | 12/30/2017 1/31/2018 12/31/2019 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| MEGABRIDGE DEVELOPERS INC | 8165 S 47TH 1 SOUTH, CO 80127 | 2016-05-31 | 178,475 | TO FUND A RANDOMIZED CONTROLLED TRIAL OF KEAS FOR SUCCESS, A PROGRAM DESIGNED TO IMPROVE ACADEMIC ACHIEVEMENT FOR CLEVERLY SCHOOL STUDENTS | 172,600 | NO | 8/31/2016 12/31/2016 12/31/2020 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| NATIONAL ASSOCIATION OF DEFENSE INC | PO BOX 111 1 SOUTH, MI 48503 | 2019-04-30 | 214,500 | TO ESTIMEMATE THE FINDINGS OF A STUDY ANALYZING THE WORKLOADS OF LEGISLATORS PUBLIC DEFENDERS AND TO PURSUE SUITS IMPRIMENT LITIGATION. | 214,500 | NO | 1/3/2019 1/31/2019 4/13/2019 5/15/2019 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| PRINCETONIAN SOCIETY OF AMERICA | 1 N VALLEY 1 N VALLEY, DE 19381 | 2020-02-29 | 4,125 | TO CONDUCT A 810 MONTH PILOT TEST OF THE ARIAL INVESTIGATIVE RESEARCH PROGRAM TO ASSIST THE BAI CRITICAL COY POLICE OFFENDERS. | 3,125,000 | NO | 6/17/2020 9/15/2020 9/15/2021 12/30/2021 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| PSM GALAXY CONSULTING | 601 RIVERWALK 1 RIVERWALK, TN 37203 | 2019-01-31 | 1,000,000 | TO SUPPORT A PILOT INITIATIVE TO REDUCE LOCAL GOVERNMENTS' RELIANCE ON CRIMINAL FINES AND FEES AS A REVENUE SOURCE. | 1,000,000 | NO | 2/1/2019 2/28/2019 1/30/2020 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| SPECULATIVE EDUCATION ENGLAND, LLC | 1000 HANOVER 1 HANOVER, CT 06517 | 2020-01-31 | 20,000 | TO UNDERSTAND TRENDS IN VALUES TO APPEAR OVER TIME WITH THE SAM FRANCISCO PRETRIAL DIVERSION PROJECT. | 132,641 | NO | 4/31/2020 9/30/2020 9/30/2021 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| SPILT ENTERPRISES LLC | 2000 CHAPLIN 1 CHAPLIN, AR 20036 | 2020-06-30 | 30,000 | TO CREATE RESOURCES THAT SUPPORT IMPLEMENTATION AND FINANCING OF JAIL-BASED MEDICATION-ASSISTED TREATMENT PROGRAMS IN JAILS. | 10,000 | NO | 10/15/2020 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| SOCIAL FINANCE LLC | 19 MILK 1 MILK, MA 02108 | 2019-06-30 | 1,000,000 | TO SUPPORT THE CONNECTICUT FAMILY STABILITY FUN FOR SUCCESS PROJECT. THIS PROGRAM RELATES INVESTMENT MADE IN THE FORM OF A LOAN, WHICH WAS COMPLETELY REPAID IN 2020. | 1,000,000 | NO | QUARTERLY REPORTS FOR 2020 DUE 7/30/2020 10/30/2020 4/30/2021 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| SOCIAL INNOVATIONS AND COMMUNITY COMPETITION FOUNDATION | 700 MONROE 1 MONROE SUITE AND COMPETITION SUITE AND COMPETITION, DC 20002 | 2020-03-31 | 61,833 | TO ACCOMPLISH THEIR A RANDOMIZED CONTROLLED TRIAL OF THE CARRERAS ACCELERATE AND EXPLORE YOUR CAREERS INTERNATIONAL. | 46,175 | NO | 9/30/2020 10/30/2020 3/30/2021 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| SPECIAL CHANGE STRATEGIES LLC | 48 BURTON 1 BURTON, CO 37215 | 2020-02-31 | 311,500 | TO ASSIST STATES WITH THE ALIGNMENT OF SERVICES FOR DUAL ELIGIBLE MEMBERS THROUGH A SINGLE PLAN FOR MEDICARE AND MEDICAID. | 76,123 | NO | 5/15/2020 6/15/2020 9/6/2021 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| SPECIAL CHANGE STRATEGIES LLC | 48 BURTON 1 BURTON, CO 37215 | 2020-01-30 | 76,000 | TO CREATE A BRIEF OUTLINING POLICY OPTIONS FOR STATES TO ENCOURAGE THEIR ADOPTION OF INTEGRATED MODELS IN LIGHT OF COVID-19. | 76,075 | NO | 5/26/2020 9/26/2020 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| STATISTICA SERVICES CORPORATION | 75 TO 1 TO 1 TO, CO 80202 | 2017-06-30 | 577,000 | TO CONDUCT A LARGE SCALE RANDOMIZED CONTROLLED TRIAL ON THE EFFECTS OF ADDING A PROGRAM DESIGNED TO REDUCE RECIDIVISM AMONG HOUSEBOUND AND OFTEN THE GREAT ASSEMENT FATED SUCCESS" BEAT TERRITORIES IN 2020. AS AN ADDITIONAL GRANT WAS MADE IN 2020 TO ADDRESS THE ISSUE OF COVID-19 DUE TO BECOME A REFORM, CAUSING THE TRIAL GREAT ASSEMENT AMOUNT TO BE WITTLED. | 577,000 | NO | 6/17/2016 5/30/2020 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| STERLA'S CONSULTING LLC | 2000 CHAPLIN 1 CHAPLIN, AR 20036 | 2017-06-30 | 2,524,425 | TO SUPPORT THE DEVELOPMENT OF FIVE, OPEN SOURCE CRYPTOGRAPHIC TOOLS DESIGNED TO ENCOURAGE THE SECURED CONTACT STUDENTS THAT ARE SENSITIVE, PRIVATE INFORMATION. | 2,504,168 | NO | 1/3/2018 1/31/2018 12/31/2020 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| THE ABELL FOUNDATION INC | 111 S. CALVERT 1 S. CALVERT, MD 21202 | 2020-05-31 | 150,000 | TO SUPPORT EVIDENCE BASED LAW ENFORCEMENT STRATEGIES. | 100,000 | NO | 6/15/2021 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| THE AMERICAN GIVEAN | 46 MAX 1000 INDIANAPOLIS, IN 46204 | 2019-07-31 | 275,000 | TO SUPPORT ADVOCACY FOR INCREASED ACCOUNTABILITY ON HIGHER EDUCATION, TO IMPROVE OUTCOMES FOR STUDENT VETERANS. | 275,000 | NO | 4/5/2019 7/8/2019 8/5/2019 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| WARNING CONSULTING INC | 1 DEL CONNECTICUT 1 DEL CONNECTICUT 1 DEL CONNECTICUT, DC 20036 | 2019-06-30 | 74,407 | TO CREATE AN EVIDENCE BASED TO SUPPORT THE POLICY DEBATE RELATED TO STAGE PRESCRIPTION DRUG PRICING. | 74,407 | NO | 12/23/2019 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| WARNING CONSULTING INC | 1 DEL CONNECTICUT 1 DEL CONNECTICUT 1 DEL CONNECTICUT, DC 20036 | 2019-08-30 | 750,000 | TO PROVIDE INFORMATION AND TECHNICAL SUPPORT TO HELP POLICYMAKERS LOWER HEALTH CARE COSTS. | 750,000 | NO | 1/14/2020 2/14/2020 5/15/2020 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| WARNING CONSULTING INC | 1 DEL CONNECTICUT 1 DEL CONNECTICUT 1 DEL CONNECTICUT, DC 20036 | 2020-03-31 | 200,000 | TO REVIEW INNOVATING INVESTIGATIONS INTO PHARMACEUTICAL INDUSTRY PRICING PRACTICES. | 200,000 | NO | 4/1/2020 5/31/2021 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| WARNING CONSULTING INC | 1 DEL CONNECTICUT 1 DEL CONNECTICUT 1 DEL CONNECTICUT, DC 20036 | 2020-06-30 | 90,000 | TO DEVELOP A WHITE PAPER OUTLINING ISSUES THAT ARE KEY FOR THE SUCCESSFUL ADMINISTRATION OF A COVID-19 VACCINE. | 90,000 | NO | 5/31/2021 | 12/30/2020 | THE GRANTEE AND NO REASON TO BELIEVE THE GRANTEE COURT THE RELIABILITY OF THE INFORMATION PROVIDED IN THE REPORT AND MADE. |
| WESTAT INC | 1000 CONNECTICUT 1 CONNECTICUT 1 CONNECTICUT, MD 20850 | 2018-01-31 | 1,145,626 | TO SUPPORT A RANDOMIZED CONTROLLED TRIAL OF THE INDEPENDENCE PROJECT, AN IMPROVEMENT ON COOKED FOSTER CARERS. | 945,789 | NO | 6/28/2018 8/30/

# TY 2020 IRS 990 e-File Render

**Name:** LAURA AND JOHN ARNOLD FOUNDATION

**EIN:** 26-3241764

| Identifier | Return Reference | Explanation |
|---|---|---|
| | SECTION VII-B, LINE 1C | THE FOUNDATION HAS DETERMINED A DISQUALIFIED PERSON ENGAGED IN ACTIVITIES THAT CONSTITUTE SELF-DEALING AS DEFINED IN SECTION 4941 OF THE U.S. INTERNAL REVENUE CODE. THE DISQUALIFIED PERSON IS NO LONGER EMPLOYED BY, OR ASSOCIATED WITH, THE FOUNDATION. THE FOUNDATION HAS TAKEN THE APPROPRIATE CORRECTIVE ACTION AND REPORTED THE ACTIVITIES ON THE FORM 4720. |
| | SECTION VII-A, LINE 8B | THE DISTRICT OF COLUMBIA DOES NOT REQUIRE A COPY OF THE FORM 990-PF TO BE SENT TO THE OFFICE OF TAX AND REVENUE. THE LAURA AND JOHN ARNOLD FOUNDATION DOES PLAN TO SEND A COPY OF THE FORM 990-PF TO THE PROPER CALIFORNIA, NEW YORK, OKLAHOMA AND TEXAS AGENCIES. |
| | SECTION VII-A, LINE 11, SCHEDULE OF CONTROLLED ENTITIES | NAME OF CONTROLLED ENTITY: TS IMPACT, INC. EMPLOYER ID: 98-1207798ADDRESS: 190 ELGIN AVENUE GEORGE TOWN, GRAND CAYMAN, CAYMAN ISLANDS KY1-9005EXCESS BUSINESS HOLDING [ ] YES [X ] NOTRANSFER FROM CONTROLLED ENTITY: $13,690,180DESCRIPTION: DISTRIBUTIONTRANSFER TO CONTROLLED ENTITY: $5,701DESCRIPTION: CONTRIBUTION---------- NAME OF CONTROLLED ENTITY: HOFFER CO-INVEST, LPEMPLOYER ID: 46-3412190ADDRESS: 2100 MCKINNEY AVENUE, SUITE 1500 DALLAS, TX 75201EXCESS BUSINESS HOLDING [ ] YES [X ] NOTRANSFER FROM CONTROLLED ENTITY: $4,340,000DESCRIPTION: DISTRIBUTION---------- NAME OF CONTROLLED ENTITY: ACTION NOW INC. EMPLOYER ID: 45-4083809ADDRESS: 1717 WEST LOOP SOUTH, SUITE 1800 HOUSTON, TX 77027-3048EXCESS BUSINESS HOLDING [ ] YES [X ] NOCONTROL FOR THIS LIMITED PURPOSE IS DEEMED TO EXIST SOLELY BY VIRTUE OF ACTION NOW INC.'S BOARD COMPOSITION OVERLAPPING WITH THE FOUNDATION'S BOARD COMPOSITION.---------- NAME OF CONTROLLED ENTITY: ARNOLD VENTURES INC.EMPLOYER ID: 37-1906348ADDRESS: 1717 WEST LOOP SOUTH, SUITE 1800 HOUSTON, TX 77027-3048EXCESS BUSINESS HOLDING [ ] YES [X ] NOCONTROL FOR THIS LIMITED PURPOSE IS DEEMED TO EXIST SOLELY BY VIRTUE OF ARNOLD VENTURES INC.'S BOARD COMPOSITION OVERLAPPING WITH THE FOUNDATION'S BOARD COMPOSITION. |
| | SECTION XIII, LINE 7, OUT OF CORPUS | STATEMENT REGARDING STATUS AS A CONDUIT PRIVATE FOUNDATION TREASURY REGULATION 53.4942(A)-3(C)(2)(IV) ELECTIONATTACHMENT TO FORM 990-PF, PART XIII UNDISTRIBUTED INCOME----------THE FOUNDATION HAD NO REMAINING UNDISTRIBUTED INCOME FOR THE CURRENT (2020) OR PRIOR TAX YEAR (2019) AS REQUIRED BY TREASURY REGULATION 53.4942-3(C)(2)(I).THE FOLLOWING AMOUNT REPRESENTS THE CONTRIBUTIONS MADE TO THE FOUNDATION DURING 2020 AND THE SUBSEQUENT OUT OF CORPUS ELECTION TO DISTRIBUTE AN AMOUNT EQUAL TO ALL CONTRIBUTIONS IN SATISFACTION OF THE REQUIREMENTS OF TREASURY REGULATION 53.4942(A)-3(C)(2), ALLOWING THE FOUNDATION TO QUALIFY AS A CONDUIT FOUNDATION FOR THE 2020 TAX YEAR.AMOUNTS RECEIVED IN 2020 $482,334,584 PART XIII, LINE 7AMOUNT DISTRIBUTED BY 12/31/2020 ($482,334,584)REMAINING AMOUNT TO BE DISTRIBUTED $0 |
| | SECTION XIII, LINE 7, OUT OF CORPUS | LINE 7 - AMOUNTS TREATED AS DISTRIBUTIONS OUT OF CORPUS TO SATISFY REQUIREMENTS IMPOSED BY IRC SECTION 170(B)(1)(F)(II).THE FOUNDATION HAS USED $37,153,403 OF CURRENT YEAR (2020) EXCESS DISTRIBUTIONS TO SATISFY THE REQUIREMENTS OF IRC 170(B)(1)(A)(VII), 170(B)(1)(F)(II) AND 4942(H).ELECTION TO TREAT UNUSED PRIOR YEAR DISTRIBUTIONS AS CURRENT YEAR CORPUS DISTRIBUTION PURSUANT TO TREASURY REGULATION 53.4942(A)-3(C)(2)(IV), THE FOUNDATION ELECTS TO TREAT, AS A CURRENT DISTRIBUTION OUT OF CORPUS, THE FOLLOWING UNUSED PRIOR YEAR DISTRIBUTIONS. THESE AMOUNTS HAVE NOT BEEN USED FOR ANY OTHER PURPOSE UNDER TREASURY REGULATION 53.4942(A)-3(D)(1)(III) IN SUCH PRIOR TAX YEAR:TAX YEAR AMOUNT2015 $33,614,5932016 $101,460,9642017 $77,536,6172018 $125,719,7622019 $106,849,245TOTAL $445,181,181PART XIII, LINE 7 SUMMARY:CURRENT YEAR (2020) EXCESS DISTRIBUTIONS $37,153,403ELECTION TO USE PRIOR YEAR DISTRIBUTIONS (TOTAL) $445,181,181 TOTAL OUT OF CORPUS $482,334,584THE FOUNDATION MEETS THE REQUIREMENTS OF IRC 170(B)(1)(A)(VII), 170(B)(1)(F)(II) AND 4942(H) IN THAT QUALIFYING DISTRIBUTION OUT OF CORPUS WERE MADE WITHIN 2 MONTHS AND 15 DAYS FOLLOWING THE CLOSE OF THE 2020 TAX YEAR IN AN AMOUNT EQUAL TO 100% OF ALL CONTRIBUTIONS RECEIVED DURING THE 2020 TAX YEAR AND THERE IS NO REMAINING UNDISTRIBUTED INCOME FOR THE 2019 AND 2020 TAX YEARS. THEREFORE, THE FOUNDATION QUALIFIES AS A CONDUIT PRIVATE FOUNDATION AND A 50% CHARITABLE CONTRIBUTION UNDER IRC 170(B)(1)(A). THE ELECTION UNDER 53.4942(A)-3(C)(2) IS EFFECTIVELY SIGNED WITH THE SIGNATURE ON FORM 8879-EO, WHICH AUTHORIZES THE FORM 990-PF TO BE ELECTRONICALLY FILED. THE ELECTION WILL BE EFFECTIVE AS OF THE DATE ON FORM 8879-EO.NAME OF OFFICER SIGNING ELECTION: JOHN D. ARNOLDTITLE: DIRECTOR/CO-CHAIR |
| | FORM 990-PF, BOX G - EXPLANATION OF CHANGES | BASED ON UPDATED TAX REPORTING INFORMATION DETERMINED AFTER THE ORIGINAL TAX FILING DEADLINE, THE FOUNDATION IS AMENDING THEIR 2020 FORM 990-PF TO MODIFY THE FOLLOWING TRANSACTIONS BASED ON REPORTED SCHEDULE K-1S:1. REMOVE CAPITAL LOSS ON DISPOSAL OF INTEREST IN ALTERNATIVE INVESTMENT 48 IN THE AMOUNT OF $39,527,495; 2. REMOVE EXCESS BUSINESS INTEREST DEDUCTION FROM ALTERNATIVE INVESTMENT 5 IN THE AMOUNT OF $4,566,777. THE ABOVE ADJUSTMENTS RESULTED IN THE FOLLOWING CHANGES TO THE RETURN:TAX RETURN REFERENCE ORIGINAL ADJUSTMENTS AMENDEDPART I, LINE 7, COL (B) NONE $7,901,067 $7,901,067PART I, LINE 12, COL (B) $57,590,593 $7,901,067 $65,491,660PART I, LINE 23 REMOVE EXCESS BUSINESS INTEREST EXPENSE FROM SCH. K-1S $9,492,584 ($4,566,777) $4,925,807PART I, LINE 27B, COL (B) $45,092,563 $12,467,844 $57,560,407PART IV, LINE 2 REMOVE CAP. LOSS FROM SCHEDULE K-1S * ($31,626,428) ($39,527,495) $7,901,067PART VI, LINES 1, 3, AND 5 ** $626,787 $173,303 $800,090PART XI, LINE 2A $626,787 $173,303 $800,090PART XI, LINE 3 $92,169,017 $173,303 $91,995,714PART XI, LINES 5 AND 7 $95,919,037 $173,303 $95,745,734PART XIII, LINE 1 $95,919,037 $173,303 $95,745,734PART XIII, LINE 6A $565,187,826 $173,303 $565,361,129DUE TO THE REMOVING CAPITAL LOSS ON DISPOSAL OF ALTERNATIVE INVESTMENT 48 FROM CAPITAL GAIN AND LOSSES, THE FOUNDATION HAS A REVISED REALIZED CAPITAL GAIN OF $7,901,067 WHICH IS CALCULATED AS FOLLOWS:ORIGINAL CAPITAL LOSS ($31,626,428)REMOVE CAPITAL LOSS ON LIQUIDATION OF ALTERNATIVE INVESTMENT 48 ($39,527,495)AMENDED CAPITAL GAIN PART IV, LINE 2 $7,901,067DUE TO THE ABOVE CHANGE, THE FOUNDATION HAS AN ADDITIONAL EXCISE TAX OF $173,303, WHICH IS CALCULATED AS FOLLOWS:ADDITIONAL CAPITAL GAIN NET INCOME FROM PART IV, LINE 2 $7,901,067REMOVE EXCESS BUSINESS INTEREST EXPENSES OF ALTERNATIVE INVESTMENT 5 $4,566,777CHANGE IN NET INVESTMENT INCOME FROM PART I, LINE 27B, COL (B) ABOVE: $12,467,844EXCISE TAX RATE 1.39%ADDITIONAL EXCISE TAX PART VI, LINE 1 $173,303 |

# TY 2020 IRS 990 e-File Render

**Name:** LAURA AND JOHN ARNOLD FOUNDATION

**EIN:** 26-3241764

| Name of Bond | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| CORPORATE BOND 4 | 4,874,986 | 4,874,986 |
| CORPORATE BOND 5 | 15,000,000 | 15,000,000 |
| CORPORATE BOND 10 | 8,647,303 | 8,647,303 |
| CORPORATE BOND 11 | 5,049,000 | 5,049,000 |
| CORPORATE BOND 12 | 6,866,357 | 6,866,357 |
| CORPORATE BOND 13 | 7,936,071 | 7,936,071 |
| CORPORATE BOND 14 | 16,591,277 | 16,591,277 |
| CORPORATE BOND 16 | 54,125,000 | 54,125,000 |
| CORPORATE BOND 17 | 20,651,600 | 20,651,600 |
| CORPORATE BOND 18 | 1,020,690 | 1,020,690 |
| CORPORATE BOND 19 | 3,378,120 | 3,378,120 |
| CORPORATE BOND 20 | 19,169,700 | 19,169,700 |
| CORPORATE BOND 21 | 32,942,967 | 32,942,967 |
| CORPORATE BOND 22 | 8,905,126 | 8,905,126 |
| CORPORATE BOND 23 | 29,743,451 | 29,743,451 |
| CORPORATE BOND 24 | 1,755,971 | 1,755,971 |

**TY 2020 IRS 990 e-File Render**

**Name:** LAURA AND JOHN ARNOLD FOUNDATION

**EIN:** 26-3241764

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| PUBLICLY TRADED SECURITY 1 | 151,316,789 | 151,316,789 |
| PUBLICLY TRADED SECURITY 2 | 136,946,321 | 136,946,321 |
| PUBLICLY TRADED SECURITY 3 | 115,056,436 | 115,056,436 |
| PUBLICLY TRADED SECURITY 4 | 9,581,829 | 9,581,829 |
| PUBLICLY TRADED SECURITY 5 | 28,524,216 | 28,524,216 |
| PUBLICLY TRADED SECURITY 6 | 28,590,682 | 28,590,682 |
| PUBLICLY TRADED SECURITY 8 | 866,000 | 866,000 |
| PUBLICLY TRADED SECURITY 10 | 108,188,126 | 108,188,126 |
| PUBLICLY TRADED SECURITY 11 | 11,411,651 | 11,411,651 |
| PUBLICLY TRADED SECURITY 12 | 57,163,461 | 57,163,461 |
| PUBLICLY TRADED SECURITY 13 | 146,870,653 | 146,870,653 |
| PUBLICLY TRADED SECURITY 21 | 1,902,293 | 1,902,293 |
| PUBLICLY TRADED SECURITY 22 | 17,571,455 | 17,571,455 |
| PUBLICLY TRADED SECURITY 23 | 59,929 | 59,929 |
| PUBLICLY TRADED SECURITY 24 | 3,031,774 | 3,031,774 |
| PUBLICLY TRADED SECURITY 25 | 19,541,580 | 19,541,580 |
| PUBLICLY TRADED SECURITY 26 | 1,183,000 | 1,183,000 |
| PUBLICLY TRADED SECURITY 27 | 1,689,000 | 1,689,000 |
| PUBLICLY TRADED SECURITY 28 | 12,748,538 | 12,748,538 |
| PUBLICLY TRADED SECURITY 29 | 19,155,087 | 19,155,087 |
| PUBLICLY TRADED SECURITY 30 | 1,299,600 | 1,299,600 |
| PUBLICLY TRADED SECURITY 31 | 910,100 | 910,100 |
| PUBLICLY TRADED SECURITY 32 | 4,474,827 | 4,474,827 |
| PUBLICLY TRADED SECURITY 33 | 14,578,890 | 14,578,890 |
| PUBLICLY TRADED SECURITY 34 | 19,754,167 | 19,754,167 |
| PUBLICLY TRADED SECURITY 35 | 23,191,680 | 23,191,680 |
| PUBLICLY TRADED SECURITY 36 | 25,362,120 | 25,362,120 |
| PUBLICLY TRADED SECURITY 37 | 7,514,657 | 7,514,657 |
| PUBLICLY TRADED SECURITY 38 | 196,176 | 196,176 |
| PUBLICLY TRADED SECURITY 39 | 519,240 | 519,240 |
| PUBLICLY TRADED SECURITY 40 | 12,473,633 | 12,473,633 |
| PUBLICLY TRADED SECURITY 41 | 12,446,734 | 12,446,734 |
| PUBLICLY TRADED SECURITY 42 | 17,942,291 | 17,942,291 |
| PUBLICLY TRADED SECURITY 43 | 17,828,497 | 17,828,497 |
| PUBLICLY TRADED SECURITY 44 | 483,840 | 483,840 |
| PUBLICLY TRADED SECURITY 45 | 3,346,307 | 3,346,307 |
| PUBLICLY TRADED SECURITY 46 | 13,494,483 | 13,494,483 |
| PUBLICLY TRADED SECURITY 47 | 13,098,016 | 13,098,016 |
| PUBLICLY TRADED SECURITY 48 | 20,296,761 | 20,296,761 |
| PUBLICLY TRADED SECURITY 49 | 24,424,568 | 24,424,568 |
| PUBLICLY TRADED SECURITY 50 | 965,000 | 965,000 |
| PUBLICLY TRADED SECURITY 51 | 1,454,760 | 1,454,760 |
| PUBLICLY TRADED SECURITY 52 | 415,000 | 415,000 |
| PUBLICLY TRADED SECURITY 53 | 12,590,715 | 12,590,715 |
| PUBLICLY TRADED SECURITY 54 | 13,416,972 | 13,416,972 |
| PUBLICLY TRADED SECURITY 55 | 13,183,993 | 13,183,993 |
| PUBLICLY TRADED SECURITY 56 | 13,449,089 | 13,449,089 |

# TY 2020 IRS 990 e-File Render

**Name:** LAURA AND JOHN ARNOLD FOUNDATION

**EIN:** 26-3241764

| Category/ Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|---|---|---|---|
| ALTERNATIVE INVESTMENT 1 | FMV | 20,700,000 | 20,700,000 |
| ALTERNATIVE INVESTMENT 4 | FMV | 17,799,567 | 17,799,567 |
| ALTERNATIVE INVESTMENT 5 | FMV | 55,953 | 55,953 |
| ALTERNATIVE INVESTMENT 6 | FMV | 41,580,420 | 41,580,420 |
| ALTERNATIVE INVESTMENT 7 | FMV | 12,319,580 | 12,319,580 |
| ALTERNATIVE INVESTMENT 8 | FMV | 2,919,177 | 2,919,177 |
| ALTERNATIVE INVESTMENT 9 | FMV | 7,597,998 | 7,597,998 |
| ALTERNATIVE INVESTMENT 10 | FMV | 141,021,864 | 141,021,864 |
| ALTERNATIVE INVESTMENT 13 | FMV | 1,129,548 | 1,129,548 |
| ALTERNATIVE INVESTMENT 14 | FMV | 5,794,731 | 5,794,731 |
| ALTERNATIVE INVESTMENT 15 | FMV | 1,994,381 | 1,994,381 |
| ALTERNATIVE INVESTMENT 16 | FMV | 38,921,284 | 38,921,284 |
| ALTERNATIVE INVESTMENT 17 | FMV | 7,361,983 | 7,361,983 |
| ALTERNATIVE INVESTMENT 18 | FMV | 9,099,693 | 9,099,693 |
| ALTERNATIVE INVESTMENT 19 | FMV | 425,471 | 425,471 |
| ALTERNATIVE INVESTMENT 21 | FMV | 18,044 | 18,044 |
| ALTERNATIVE INVESTMENT 22 | FMV | 488,154 | 488,154 |
| ALTERNATIVE INVESTMENT 23 | FMV | 27,696,732 | 27,696,732 |
| ALTERNATIVE INVESTMENT 24 | FMV | 1,410,000 | 1,410,000 |
| ALTERNATIVE INVESTMENT 26 | FMV | 17,099 | 17,099 |
| ALTERNATIVE INVESTMENT 27 | FMV | 25,118 | 25,118 |
| ALTERNATIVE INVESTMENT 28 | FMV | 25,719,111 | 25,719,111 |
| ALTERNATIVE INVESTMENT 29 | FMV | 153,472 | 153,472 |
| ALTERNATIVE INVESTMENT 30 | FMV | 19,163,351 | 19,163,351 |
| ALTERNATIVE INVESTMENT 31 | FMV | 2,628,294 | 2,628,294 |
| ALTERNATIVE INVESTMENT 32 | FMV | 430,132 | 430,132 |
| ALTERNATIVE INVESTMENT 35 | FMV | 297,879 | 297,879 |
| ALTERNATIVE INVESTMENT 36 | FMV | 2,220,180 | 2,220,180 |
| ALTERNATIVE INVESTMENT 39 | FMV | 2,960,548 | 2,960,548 |
| ALTERNATIVE INVESTMENT 40 | FMV | 2,655,788 | 2,655,788 |
| ALTERNATIVE INVESTMENT 41 | FMV | 12,375,995 | 12,375,995 |
| ALTERNATIVE INVESTMENT 42 | FMV | 213,162 | 213,162 |
| ALTERNATIVE INVESTMENT 43 | FMV | 1,406,537 | 1,406,537 |
| ALTERNATIVE INVESTMENT 44 | FMV | 84,402,534 | 84,402,534 |
| ALTERNATIVE INVESTMENT 45 | FMV | 2,622,549 | 2,622,549 |
| ALTERNATIVE INVESTMENT 46 | FMV | 83,782,515 | 83,782,515 |
| ALTERNATIVE INVESTMENT 50 | FMV | 28,964,750 | 28,964,750 |
| ALTERNATIVE INVESTMENT 51 | FMV | 21,302,848 | 21,302,848 |
| ALTERNATIVE INVESTMENT 52 | FMV | 121 | 121 |
| ALTERNATIVE INVESTMENT 53 | FMV | 74,971,250 | 74,971,250 |
| ALTERNATIVE INVESTMENT 55 | FMV | 23,320,121 | 23,320,121 |
| ALTERNATIVE INVESTMENT 56 | FMV | 6,351,222 | 6,351,222 |
| ALTERNATIVE INVESTMENT 57 | FMV | 72,907,900 | 72,907,900 |
| ALTERNATIVE INVESTMENT 59 | FMV | 13,687,500 | 13,687,500 |
| ALTERNATIVE INVESTMENT 60 | FMV | 7,665,707 | 7,665,707 |
| ALTERNATIVE INVESTMENT 61 | FMV | 13,857,285 | 13,857,285 |
| ALTERNATIVE INVESTMENT 62 | FMV | 20,208,010 | 20,208,010 |
| ALTERNATIVE INVESTMENT 64 | FMV | 10,747,500 | 10,747,500 |
| ALTERNATIVE INVESTMENT 65 | FMV | 6,308,131 | 6,308,131 |

# TY 2020 IRS 990 e-File Render

**Name:** LAURA AND JOHN ARNOLD FOUNDATION

**EIN:** 26-3241764

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| LEGAL FEES | 103,726 | 9,191 | 0 | 94,535 |

# TY 2020 IRS 990 e-File Render

**Name:** LAURA AND JOHN ARNOLD FOUNDATION

**EIN:** 26-3241764

| Description | Beginning of Year - Book Value | End of Year - Book Value | End of Year - Fair Market Value |
|---|---|---|---|
| PROGRAM RELATED INVESTMENTS | 9,041,147 | 8,849,223 | 8,849,223 |
| ACCRUED INTEREST | 3,680,382 | 4,220,621 | 4,220,621 |
| ORGANIZATIONAL COSTS | 10,138 | 0 | 0 |
| OTHER ASSETS | 1,969 | 0 | 0 |

# TY 2020 IRS 990 e-File Render

**Name:** LAURA AND JOHN ARNOLD FOUNDATION

**EIN:** 26-3241764

| Description | Amount |
|---|---|
| UNREALIZED LOSS | 161,133,805 |
| PRIOR PERIOD ADJUSTMENTS | 1,922 |

# TY 2020 IRS 990 e-File Render

**Name:** LAURA AND JOHN ARNOLD FOUNDATION

**EIN:** 26-3241764

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| DUES AND LICENSES | 35,000 | 0 | 0 | 35,000 |
| PASS-THROUGH PARTNERSHIP EXPENSES | 0 | 4,925,807 | 0 | 0 |

# TY 2020 IRS 990 e-File Render

**Name:** LAURA AND JOHN ARNOLD FOUNDATION

**EIN:** 26-3241764

| Description | Revenue And Expenses Per Books | Net Investment Income | Adjusted Net Income |
|---|---|---|---|
| EQUITY PARTNERSHIP INVESTMENTS | 112,062,541 | 2,483,394 | |
| NON-DIVIDEND DISTRIBUTIONS | 9,420 | 0 | |
| PROGRAM-RELATED INTEREST | 196,225 | 196,225 | 196,225 |
| RETURNED GRANTS | 1,747,727 | 0 | 0 |
| REFUNDS | 2,700 | 0 | 0 |

# TY 2020 IRS 990 e-File Render

**Name:** LAURA AND JOHN ARNOLD FOUNDATION

**EIN:** 26-3241764

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| INVESTMENT MANAGEMENT FEES | 1,473,652 | 1,473,652 | 0 | 0 |
| CONSULTING FOR CHARITABLE ACTIVITIES | 1,519,438 | 0 | 0 | 1,519,438 |
| OTHER PROFESSIONAL FEES | 18,870 | 18,870 | 0 | 0 |

# TY 2020 IRS 990 e-File Render

**Name:** LAURA AND JOHN ARNOLD FOUNDATION

**EIN:** 26-3241764

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| EXCISE TAX | 2,385,000 | 0 | 0 | 0 |
| STATE TAX | 249,962 | 0 | 0 | 0 |
| FOREIGN TAX | 0 | 1,318,239 | 0 | 0 |

# TY 2020 IRS 990 e-File Render

**Name:** LAURA AND JOHN ARNOLD FOUNDATION

**EIN:** 26-3241764

| Name | US / Foreign Address | EIN | Description | Amount |
|---|---|---|---|---|
| TS IMPACT INC | 190 ELGIN AVENUE<br>GEORGE TOWN, GRAND CAYMAN KY1-9005<br>CJ | 98-1207798 | DISTRIBUTION | 5,701 |
| HOFFER CO-INVEST LP | 301 COMMERCE STREET SUITE 3300<br>FORT WORTH, TX 76102 | 46-3412190 | DISTRIBUTION | 0 |
| **Total** | | | | 18,030,180 |

# TY 2020 IRS 990 e-File Render

**Name:** LAURA AND JOHN ARNOLD FOUNDATION

**EIN:** 26-3241764

| Name | US / Foreign Address | EIN | Description | Amount |
|---|---|---|---|---|
| TS IMPACT INC | 190 ELGIN AVENUE<br>GEORGE TOWN, GRAND CAYMAN KY1-9005<br>CJ | 98-1207798 | CONTRIBUTION | 5,701 |
| **Total** | | | | 5,701 |