

990

Return of Organization Exempt From Income Tax

OMB No. 1545-

0047 2020

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundation): Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990 for instructions and the latest information.

Open to Public Inspection

A For the 2020 calendar year, or tax year beginning 01-01-2020, and ending 12-31-2020

- B Check if applicable: Address change, Name change, Initial return, Final return/terminated, Amended return, Application pending

C Name of organization: CLIMATEWORKS FOUNDATION. Doing business as: Number and street (or P.O. box if mail is not delivered to street address) Room/suite: 235 MONTGOMERY STREET NO 1300 City or town, state or province, country, and ZIP or foreign postal code: SAN FRANCISCO, CA 94104

D Employer identification number: 26-2303250. E Telephone number: (415) 433-0500. G Gross receipts \$ 228,776,673

F Name and address of principal officer: CHRIS DECARDY, 235 MONTGOMERY STREET NO 1300, SAN FRANCISCO, CA 94104

H(a) Is this a group return for subordinates? No. H(b) Are all subordinates included? No. H(c) Group exemption number

I Tax-exempt status: 501(c)(3)

J Website: WWW.CLIMATEWORKS.ORG

K Form of organization: Corporation

L Year of formation: 2008. M State of legal domicile: DE

Part I Summary

1 Briefly describe the organization's mission or most significant activities: TO END THE CLIMATE CRISIS BY AMPLIFYING THE POWER OF PHILANTHROPY.

Table with 3 columns: Description, 3, 4, 5, 6, 7a, 7b. Rows include: 2 Check this box, 3 Number of voting members, 4 Number of independent voting members, 5 Total number of individuals employed, 6 Total number of volunteers, 7a Total unrelated business revenue, 7b Net unrelated business taxable income.

Table with 3 columns: Description, Prior Year, Current Year. Rows include: 8 Contributions and grants, 9 Program service revenue, 10 Investment income, 11 Other revenue, 12 Total revenue, 13 Grants and similar amounts paid, 14 Benefits paid to or for members, 15 Salaries, other compensation, 16a Professional fundraising fees, 16b Total fundraising expenses, 17 Other expenses, 18 Total expenses, 19 Revenue less expenses.

Table with 3 columns: Description, Beginning of Current Year, End of Year. Rows include: 20 Total assets, 21 Total liabilities, 22 Net assets or fund balances.

Part II Signature Block. Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete.

Sign Here. Signature of officer: CHRIS DECARDY ACTING CEO. Date: 2021-10-14.

Paid Preparer Use Only. Print/Type preparer's name: MOSS ADAMS LLP. Preparer's signature: Date: 2021-10-14. Firm's name: MOSS ADAMS LLP. Firm's address: 101 SECOND STREET SUITE 900, SAN FRANCISCO, CA 94105.

May the IRS discuss this return with the preparer shown above? (see instructions) Yes No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

1 Briefly describe the organization's mission:

SEE SCHEDULE O

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? Yes No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? Yes No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ 74,954,922 including grants of \$ 48,474,134) (Revenue \$ 524,130)
SEE SCHEDULE O

4b (Code:) (Expenses \$ 27,788,109 including grants of \$ 19,653,629) (Revenue \$ 35,000)
SEE SCHEDULE O

4c (Code:) (Expenses \$ including grants of \$) (Revenue \$)

4d Other program services (Describe in Schedule O.)
(Expenses \$ including grants of \$) (Revenue \$)

4e Total program service expenses **102,743,031**

Part IV Checklist of Required Schedules

		Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	Yes	
2	Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I		No
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II	Yes	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III		No
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I		No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III		No
9	Did the organization report an amount in Part X, line 21 for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV	Yes	
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi endowments? If "Yes," complete Schedule D, Part V		No
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
11a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI	Yes	
11b	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII		No
11c	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII		No
11d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX		No
11e	Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	Yes	
11f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)?	Yes	
12a	Did the organization obtain a separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII	Yes	
12b	Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional		No
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E		No
14a	Did the organization maintain an office, employees, or agents outside of the United States?	Yes	
14b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV	Yes	
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV	Yes	
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV		No
17	Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions)		No
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II		No
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III		No
20a	Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H		No
20b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		
21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	Yes	

Part IV Checklist of Required Schedules (continued)

		Yes	No
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>		No
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i>		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>		No
26	Did the organization report any amount on Part X, line 5 or 22 for receivables from or payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons?		No
27	Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>		No
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a	A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? <i>If "Yes," complete Schedule L, Part IV</i>		No
b	A family member of any individual described in line 28a? <i>If "Yes," complete Schedule L, Part IV</i>		No
c	A 35% controlled entity of one or more individuals and/or organizations described in lines 28a or 28b? <i>If "Yes," complete Schedule L, Part IV</i>	Yes	
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions?		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3?	Yes	
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>		No
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?		No
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>		
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O.	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable		
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable		
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance (continued)

Form 990 (2020) Part V Statements Regarding Other IRS Filings and Tax Compliance (continued). Includes sections 2a through 16 with various questions and input fields.

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions. Check if Schedule O contains a response or note to any line in this Part VI



Section A. Governing Body and Management

Table with 3 columns: Question, Yes, No. Rows include: 1a Enter the number of voting members... 1b Enter the number of voting members... 2 Did any officer, director, trustee... 3 Did the organization delegate control... 4 Did the organization make any significant changes... 5 Did the organization become aware... 6 Did the organization have members... 7a Did the organization have members... 7b Are any governance decisions... 8 Did the organization contemporaneously document... 8a The governing body? 8b Each committee... 9 Is there any officer, director, trustee, or key employee listed in Part VII...

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

Table with 3 columns: Question, Yes, No. Rows include: 10a Did the organization have local chapters... 10b If "Yes," did the organization have written policies... 11a Has the organization provided a complete copy... 11b Describe in Schedule O the process... 12a Did the organization have a written conflict of interest policy... 12b Were officers, directors, or trustees... 12c Did the organization regularly and consistently monitor... 13 Did the organization have a written whistleblower policy... 14 Did the organization have a written document retention... 15 Did the process for determining compensation... 15a The organization's CEO... 15b Other officers or key employees... 16a Did the organization invest in, contribute assets to... 16b If "Yes," did the organization follow a written policy...

Section C. Disclosure

Table with 2 columns: Question, Answer. Rows include: 17 List the states with which a copy of this Form 990 is required to be filed. 18 Section 6104 requires an organization to make its Form 1023... 19 Describe in Schedule O whether (and if so, how) the organization made its governing documents... 20 State the name, address, and telephone number of the person who possesses the organization's books and records.

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

See instructions for the order in which to list the persons above.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(1) CHARLOTTE PERA PRESIDENT AND CEO	40.00	X		X			566,661	0	55,978	
(2) SHAWN P REIFSTECK VP, STRATEGY, COLLABORATIONS & BRAND	40.00				X		410,447	0	78,163	
(3) CHARLES MCELWEE VP, PROGRAMS	40.00				X		412,444	0	75,603	
(4) SUSAN ELIZABETH COOK VP, OPERATIONS AND PLANNING	40.00				X		420,131	0	56,883	
(5) IRENE KIM VP, DEVELOPMENT	40.00				X		393,612	0	76,525	
(6) SURABI MENON VP, GLOBAL INTELLIGENCE	40.00				X		351,470	0	67,252	
(7) BRIAN MCCRACKEN CFO, TREASURER	40.00			X			327,764	0	79,188	
(8) DANIEL ZARIN SR. DIRECTOR OF PROGRAMS, CLUA	40.00					X	305,206	0	74,498	
(9) MARY RAFTERY SR. ADVISOR, FUNDER COLLABORATIONS	40.00					X	298,683	0	56,203	
(10) JAN DERRICKSON PROG. DIR., CARBON DIOXIDE REMOVAL	40.00					X	284,612	0	62,915	
(11) ILMI GRANOFF PROG. DIR., SUSTAINABLE FINANCE	40.00					X	268,027	0	76,772	
(12) ANTHONY EGGERT PROGRAM DIRECTOR, TRANSPORTATION	40.00					X	282,260	0	60,452	
(13) GRETCHEN RAU SECRETARY	40.00			X			161,386	0	34,051	
(14) DANIEL HAMZA-GOODACRE FORMER EXECUTIVE DIRECTOR, K-CEP	40.00					X	183,983	0	8,030	
(15) CHRIS DECARDY BOARD DIRECTOR THROUGH 9/2020	2.00	X					0	0	0	
(16) JAMSHYD GODREJ BOARD DIRECTOR	2.00	X					0	0	0	
(17) CAIO KOCH-WESER BOARD DIRECTOR	2.00	X					0	0	0	

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(18) LARRY KRAMER BOARD DIRECTOR	2.00	X					0	0	0	
(19) NANCY LINDBORG BOARD DIRECTOR	2.00	X					0	0	0	
(20) PAMELA MATSON BOARD DIRECTOR THROUGH 4/2020	2.00	X					0	0	0	
(21) FRANKLIN M ORR JR BOARD DIRECTOR	2.00	X					0	0	0	
(22) KYUNG-AH PARK BOARD DIRECTOR	2.00	X					0	0	0	
(23) KRISTIAN PARKER BOARD DIRECTOR	2.00	X					0	0	0	
(24) JOHN D PODESTA BOARD DIRECTOR	2.00	X					0	0	0	
(25) SUSAN TIERNEY BOARD CHAIR	2.00	X					0	0	0	
(26) ANTHA WILLIAMS BOARD DIRECTOR	2.00	X					0	0	0	
1b Sub-Total										
c Total from continuation sheets to Part VII, Section A										
d Total (add lines 1b and 1c)							4,666,686	0	862,513	

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **▶ 5 2**

	Yes	No
3 Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>	Yes	
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	Yes	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
VIVID ECONOMICS BV 2E DELISTRAAT 29 3531 SX UTRECHT NL	PROGRAM AND PROF. SERVICES	898,000
PT JESTIO BARIO NEURONE THE CITY TOWER LEVEL 12-1N JL MH JAKARTA 10310 ID	PROGRAM SERVICES	871,575
CALIFORNIA ENVIRONMENTAL ASSOCIATES 423 WASHINGTON ST 3RD FL SAN FRANCISCO, CA 94111	PROGRAM AND PROF. SERVICES	630,376
CURTIS D RAVENEL SUSTAINABLE & CLIMATE 8 WEST 13TH ST APT 4F NEW YORK, NY 10011	PROGRAM AND PROF. SERVICES	610,000
VESANCY FORESTRY CONSULTANTS 145 CHEMIN DU CRET VESANCY 01770 FR	PROGRAM MANAGEMENT SERVICES	507,620

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **▶ 4 8**

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512 - 514	
Contributions, Gifts, Grants and Other Similar Amounts	1a Federated campaigns . . .	1a					
	b Membership dues . . .	1b					
	c Fundraising events . . .	1c					
	d Related organizations	1d					
	e Government grants (contributions)	1e	10,578,900				
	f All other contributions, gifts, grants, and similar amounts not included above	1f	167,864,860				
	g Noncash contributions included in lines 1a - 1f:\$	1g	50,001,393				
	h Total. Add lines 1a-1f . . .		178,443,760				
Program Service Revenue	2a PROGRAM CONSULTING REVENUE	Business Code 541900	559,130	559,130			
	b						
	c						
	d						
	e						
	f All other program service revenue.						
	g Total. Add lines 2a-2f. . . .		559,130				
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)		53,021			53,021	
	4 Income from investment of tax-exempt bond proceeds						
	5 Royalties						
	6a Gross rents	(i) Real					
		(ii) Personal					
		6a					
	b Less: rental expenses	6b					
	c Rental income or (loss)	6c					
	d Net rental income or (loss)						
	7a Gross amount from sales of assets other than inventory	(i) Securities	49,641,660				
		(ii) Other					
		7a					
		b Less: cost or other basis and sales expenses	7b	50,001,393			
	c Gain or (loss)	7c	-359,733				
	d Net gain or (loss)		-359,733			-359,733	
8a Gross income from fundraising events (not including \$ of contributions reported on line 1c). See Part IV, line 18							
	8a						
	b Less: direct expenses	8b					
c Net income or (loss) from fundraising events							
9a Gross income from gaming activities. See Part IV, line 19							
	9a						
	b Less: direct expenses	9b					
c Net income or (loss) from gaming activities							
10a Gross sales of inventory, less returns and allowances							
	10a						
	b Less: cost of goods sold	10b					
c Net income or (loss) from sales of inventory							
Miscellaneous Revenue	Business Code						
11a UBI TAX REFUND	900099	50,647			50,647		
b BANKING REFUNDS	900099	28,455			28,455		
c							
d All other revenue							
e Total. Add lines 11a-11d		79,102					
12 Total revenue. See instructions		178,775,280	559,130	0	-227,610		

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).
 Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	38,593,626	38,593,626		
2 Grants and other assistance to domestic individuals. See Part IV, line 22				
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16.	29,534,137	29,534,137		
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	3,594,487	1,511,476	1,430,265	652,746
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	11,565,600	9,049,150	1,899,577	616,873
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	1,463,580	1,141,516	252,272	69,792
9 Other employee benefits	1,645,129	1,151,887	412,465	80,777
10 Payroll taxes	865,264	583,988	199,118	82,158
11 Fees for services (non-employees):				
a Management	635,403	419,304	216,006	93
b Legal	182,170	53,513	128,657	
c Accounting	95,250		95,250	
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	17,549,667	17,119,548	400,571	29,548
12 Advertising and promotion				
13 Office expenses	384,835	235,590	101,156	48,089
14 Information technology	1,525,496	1,214,519	206,407	104,570
15 Royalties				
16 Occupancy	1,761,036	1,221,971	361,162	177,903
17 Travel	601,763	484,189	89,173	28,401
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	335,259	311,789	21,483	1,987
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	179,119	115,260	42,784	21,075
23 Insurance	49,796		49,796	
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a TAX FILING FEES	12,299	1,160	11,139	
b CURRENCY LOSS	5,128		5,128	
c BUSINESS LICENSES	2,585	408	2,177	
d TRADEMARK FEES	2,550		2,550	
e All other expenses	1,898		1,898	
25 Total functional expenses. Add lines 1 through 24e	110,586,077	102,743,031	5,929,034	1,914,012
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720).				

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part IX

Table with columns (A) Beginning of year, (B) End of year, and rows for Assets (1-16) and Liabilities (17-26). Includes sub-sections for Net Assets or Fund Balances (27-33) and Net Assets or Fund Balances (34-39).

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	178,775,280
2	Total expenses (must equal Part IX, column (A), line 25)	2	110,586,077
3	Revenue less expenses. Subtract line 2 from line 1	3	68,189,203
4	Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))	4	174,514,799
5	Net unrealized gains (losses) on investments	5	
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	1,260,807
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32, column (A))	10	243,964,809

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII

		Yes	No
1	Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		No
2b	Were the organization's financial statements audited by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	Yes	
2c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	Yes	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		No
3b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.		

Additional Data

Return to Form

Software ID:

Software Version:

Form 990, Special Condition Description:

Special Condition Description

SCHEDULE A
(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
▶ Attach to Form 990 or Form 990-EZ.
▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2020

Open to Public Inspection

Name of the organization
CLIMATEWORKS FOUNDATION

Employer identification number

26-2303250

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1 A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2 A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990 or 990-EZ).)
- 3 A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4 A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state:
- 5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
- 6 A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 8 A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 9 An agricultural research organization described in **170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land grant college of agriculture. See instructions. Enter the name, city, and state of the college or university:
- 10 An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
- 11 An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
- 12 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
 - a **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
 - b **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
 - c **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
 - d **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
 - e Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
 - f Enter the number of supported organizations _____
 - g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization failed to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Table with 7 columns: (a) 2016, (b) 2017, (c) 2018, (d) 2019, (e) 2020, (f) Total. Rows include: 1 Gifts, grants, contributions, and membership fees received; 2 Tax revenues levied for the organization's benefit; 3 Value of services or facilities furnished; 4 Total; 5 Portion of total contributions exceeding 2%; 6 Public support.

Section B. Total Support

Table with 7 columns: (a) 2016, (b) 2017, (c) 2018, (d) 2019, (e) 2020, (f) Total. Rows include: 7 Amounts from line 4; 8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources; 9 Net income from unrelated business activities; 10 Other income; 11 Total support.

12 Gross receipts from related activities, etc. (see instructions) 12 645,361
13 First 5 years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here

Section C. Computation of Public Support Percentage

14 Public support percentage for 2020 (line 6, column (f) divided by line 11, column (f)) 14 40.110 %
15 Public support percentage for 2019 Schedule A, Part II, line 14 15 37.590 %
16a 33 1/3% support test—2020. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization
b 33 1/3% support test—2019. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization
17a 10%-facts-and-circumstances test—2020. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization
b 10%-facts-and-circumstances test—2019. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year.						
c Add lines 7a and 7b. .						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
9 Amounts from line 6.						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975.						
c Add lines 10a and 10b.						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on.						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First 5 years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here. <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2020 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2019 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2020 (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from 2019 Schedule A, Part III, line 17	18	
19a 33 1/3% support tests—2020. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
b 33 1/3% support tests—2019. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions <input type="checkbox"/>		

Part IV Supporting Organizations

(Complete only if you checked a box on line 12 of Part I. If you checked box 12a, of Part I, complete Sections A and B. If you checked box 12b, of Part I, complete Sections A and C. If you checked box 12c, of Part I, complete Sections A, D, and E. If you checked box 12d, of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

		Yes	No
1	Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i>		
2	Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i>		
3a	Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer lines 3b and 3c below.</i>		
b	Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i>		
c	Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i>		
4a	Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes" and if you checked box 12a or 12b in Part I, answer lines 4b and 4c below.</i>		
b	Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i>		
c	Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i>		
5a	Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer lines 5b and 5c below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).</i>		
b	Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
c	Substitutions only. Was the substitution the result of an event beyond the organization's control?		
6	Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i>		
7	Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ) .</i>		
8	Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
9a	Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons, as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i>		
b	Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i>		
c	Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i>		
10a	Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer line 10b below.</i>		
b	Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings).</i>		

Part IV Supporting Organizations (continued)

- 11** Has the organization accepted a gift or contribution from any of the following persons?
- a** A person who directly or indirectly controls, either alone or together with persons described in lines 11b and 11c below, the governing body of a supported organization?
 - b** A family member of a person described in 11a above?
 - c** A 35% controlled entity of a person described in line 11a or 11b above? *If "Yes" to 11a, 11b, or 11c, provide detail in Part VI.*

	Yes	No
11a		
11b		
11c		

Section B. Type I Supporting Organizations

- 1** Did the officers, directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? *If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.*
- 2** Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? *If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.*

	Yes	No
1		
2		

Section C. Type II Supporting Organizations

- 1** Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? *If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).*

	Yes	No
1		

Section D. All Type III Supporting Organizations

- 1** Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?
- 2** Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? *If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).*
- 3** By reason of the relationship described in line 2 above, did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? *If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.*

	Yes	No
1		
2		
3		

Section E. Type III Functionally-Integrated Supporting Organizations

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (**see instructions**):

- a** The organization satisfied the Activities Test. Complete **line 2** below.
- b** The organization is the parent of each of its supported organizations. Complete **line 3** below.
- c** The organization supported a governmental entity. Describe in **Part VI** how you supported a government entity (see instructions)

2 Activities Test. **Answer lines 2a and 2b below.**

- a** Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? *If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.*
- b** Did the activities described in line 2a constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? *If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.*

	Yes	No
2a		
2b		
3a		
3b		

3 Parent of Supported Organizations. **Answer lines 3a and 3b below.**

- a** Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? *If "Yes" or "No" provide details in Part VI.*
- b** Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? *If "Yes," describe in Part VI. the role played by the organization in this regard.*

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

- 1** Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in **Part VI**). See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income

(A) Prior Year

(B) Current Year
(optional)

1 Net short-term capital gain	1		
2 Recoveries of prior-year distributions	2		
3 Other gross income (see instructions)	3		
4 Add lines 1 through 3	4		
5 Depreciation and depletion	5		
6 Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6		
7 Other expenses (see instructions)	7		
8 Adjusted Net Income (subtract lines 5, 6 and 7 from line 4)	8		

Section B - Minimum Asset Amount

(A) Prior Year

(B) Current Year
(optional)

1 Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):	1		
a Average monthly value of securities	1a		
b Average monthly cash balances	1b		
c Fair market value of other non-exempt-use assets	1c		
d Total (add lines 1a, 1b, and 1c)	1d		
e Discount claimed for blockage or other factors (explain in detail in Part VI):			
2 Acquisition indebtedness applicable to non-exempt use assets	2		
3 Subtract line 2 from line 1d	3		
4 Cash deemed held for exempt use. Enter 0.015 of line 3 (for greater amount, see instructions).	4		
5 Net value of non-exempt-use assets (subtract line 4 from line 3)	5		
6 Multiply line 5 by 0.035	6		
7 Recoveries of prior-year distributions	7		
8 Minimum Asset Amount (add line 7 to line 6)	8		

Section C - Distributable Amount

Current Year

1 Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2 Enter 85% of line 1	2	
3 Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4 Enter greater of line 2 or line 3	4	
5 Income tax imposed in prior year	5	
6 Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	

- 7** Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

(continued)

Section D - Distributions		Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	1	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	2	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	3	
4 Amounts paid to acquire exempt-use assets	4	
5 Qualified set-aside amounts (prior IRS approval required - provide details in Part VI)	5	
6 Other distributions (describe in Part VI). See instructions	6	
7 Total annual distributions. Add lines 1 through 6.	7	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions	8	
9 Distributable amount for 2020 from Section C, line 6	9	
10 Line 8 amount divided by Line 9 amount	10	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2020	(iii) Distributable Amount for 2020
1 Distributable amount for 2020 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2020 (reasonable cause required-- explain in Part VI). See instructions.			
3 Excess distributions carryover, if any, to 2020:			
a From 2015.			
b From 2016.			
c From 2017.			
d From 2018.			
e From 2019.			
f Total of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2020 distributable amount			
i Carryover from 2015 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from line 3f.			
4 Distributions for 2020 from Section D, line 7: \$			
a Applied to underdistributions of prior years			
b Applied to 2020 distributable amount			
c Remainder. Subtract lines 4a and 4b from line 4.			
5 Remaining underdistributions for years prior to 2020, if any. Subtract lines 3g and 4a from line 2. If the amount is greater than zero, explain in Part VI . See instructions.			
6 Remaining underdistributions for 2020. Subtract lines 3h and 4b from line 1. If the amount is greater than zero, explain in Part VI . See instructions.			
7 Excess distributions carryover to 2021. Add lines 3j and 4c.			
8 Breakdown of line 7:			
a Excess from 2016.			
b Excess from 2017.			
c Excess from 2018.			
d Excess from 2019.			
e Excess from 2020.			

Part VI Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Return Reference	Explanation
SCHEDULE A, PART II, LINE 10, EXPLANATION OF OTHER INCOME:	MISCELLANEOUS REVENUE - 2016 AMOUNT: \$ 1,800. 2019 AMOUNT: \$ 166,172. 2020 AMOUNT: \$ 79,102.

Additional Data

Return to Form

Software ID:

Software Version:

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2020

Name of the organization CLIMATEWORKS FOUNDATION	Employer identification number 26-2303250
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Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

- 501(c)() (enter number) organization
- 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- 527 political organization

Form 990-PF

- 501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
CLIMATEWORKS FOUNDATION

Employer identification number
26-2303250

Part I
Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
RESTRICTED		\$ RESTRICTED	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)

Name of organization
 CLIMATEWORKS FOUNDATION

Employer identification number
 26-2303250

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____

Name of organization CLIMATEWORKS FOUNDATION	Employer identification number 26-2303250
---	--

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	

Additional Data

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SCHEDULE C (Form 990 or 990-EZ)

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

Department of the Treasury Internal Revenue Service

Complete if the organization is described below. Attach to Form 990 or Form 990-EZ. Go to www.irs.gov/Form990 for instructions and the latest information.

If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of the organization CLIMATEWORKS FOUNDATION

Employer identification number

26-2303250

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV (see instructions for definition of "political campaign activities")
2 Political campaign activity expenditures (see instructions)
3 Volunteer hours for political campaign activities (see instructions)

Part I-B Complete if the organization is exempt under section 501(c)(3).

- 1 Enter the amount of any excise tax incurred by the organization under section 4955
2 Enter the amount of any excise tax incurred by organization managers under section 4955
3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year?
4a Was a correction made?
b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities
2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities
3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b.
4 Did the filing organization file Form 1120-POL for this year?
5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments.

Table with 5 columns: (a) Name, (b) Address, (c) EIN, (d) Amount paid from filing organization's funds, (e) Amount of political contributions received and promptly and directly delivered to a separate political organization.

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A** Check if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).
- B** Check if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	(a) Filing organization's totals	(b) Affiliated group totals
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)	75,000	
b Total lobbying expenditures to influence a legislative body (direct lobbying)	255,000	
c Total lobbying expenditures (add lines 1a and 1b)	330,000	
d Other exempt purpose expenditures	110,256,077	
e Total exempt purpose expenditures (add lines 1c and 1d)	110,586,077	
f Lobbying nontaxable amount. Enter the amount from the following table in both columns.	1,000,000	
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	
Not over \$500,000	20% of the amount on line 1e.	
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.	
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.	
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.	
Over \$17,000,000	\$1,000,000.	
g Grassroots nontaxable amount (enter 25% of line 1f)	250,000	
h Subtract line 1g from line 1a. If zero or less, enter -0-	0	
i Subtract line 1f from line 1c. If zero or less, enter -0-	0	
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No	

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2017	(b) 2018	(c) 2019	(d) 2020	(e) Total
2a Lobbying nontaxable amount	1,000,000	1,000,000	1,000,000	1,000,000	4,000,000
b Lobbying ceiling amount (150% of line 2a, column(e))					6,000,000
c Total lobbying expenditures	540,000	545,072	661,000	330,000	2,076,072
d Grassroots nontaxable amount	250,000	250,000	250,000	250,000	1,000,000
e Grassroots ceiling amount (150% of line 2d, column (e))					1,500,000
f Grassroots lobbying expenditures	112,500	45,000	131,600	75,000	364,100

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.

	(a)		(b)
	Yes	No	Amount
1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a Volunteers?			
b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c Media advertisements?			
d Mailings to members, legislators, or the public?			
e Publications, or published or broadcast statements?			
f Grants to other organizations for lobbying purposes?			
g Direct contact with legislators, their staffs, government officials, or a legislative body?			
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i Other activities?			
j Total. Add lines 1c through 1i			
2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b If "Yes," enter the amount of any tax incurred under section 4912			
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?	1	
2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3 Did the organization agree to carry over lobbying and political expenditures from the prior year?	3	

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1 Dues, assessments and similar amounts from members	1	
2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a Current year	2a	
b Carryover from last year	2b	
c Total	2c	
3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues .	3	
4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5 Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1. Also, complete this part for any additional information.

Return Reference	Explanation

Additional Data

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Software ID:
Software Version:

Supplemental Financial Statements

2020

Open to Public Inspection

Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

Attach to Form 990.

Go to www.irs.gov/Form990 for instructions and the latest information.

Department of the Treasury Internal Revenue Service

Name of the organization CLIMATEWORKS FOUNDATION

Employer identification number

26-2303250

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.

Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

Table with 2 columns: (a) Donor advised funds, (b) Funds and other accounts. Rows include total number at end of year, aggregate value of contributions, grants, and end of year, and two yes/no questions about donor informed.

Part II Conservation Easements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

Form for Part II Conservation Easements. Includes checkboxes for various preservation purposes, a table for 'Held at the End of the Year' (rows 2a-2d), and several text-based questions about monitoring and reporting.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

Form for Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Includes questions about reporting art collections and amounts received or held.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

- 3** Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):
- a** Public exhibition
 - b** Scholarly research
 - c** Preservation for future generations
 - d** Loan or exchange programs
 - e** Other
- 4** Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.
- 5** During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? . . . Yes No

Part IV Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a** Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? Yes No
- b** If "Yes," explain the arrangement in Part XIII and complete the following table:
- | | Amount |
|--|-----------|
| c Beginning balance | 1c |
| d Additions during the year | 1d |
| e Distributions during the year | 1e |
| f Ending balance | 1f |
- 2a** Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? Yes No
- b** If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

Part V Endowment Funds.

Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

- 2** Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:
- a** Board designated or quasi-endowment ▶
 - b** Permanent endowment ▶
 - c** Term endowment ▶
- The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i)** Unrelated organizations
- (ii)** Related organizations

	Yes	No
3a(i)		
3a(ii)		
3b		

- b** If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R?
- 4** Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		5,807,071	5,093,244	713,827
d Equipment		551,532	511,121	40,411
e Other		1,233,321	1,065,066	168,255
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).) . . . ▶				922,493

Part VII Investments—Other Securities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other _____		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
(I)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 12.)		

Part VIII Investments—Program Related.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		
Total. (Column (b) must equal Form 990, Part X, col.(B) line 13.)		

Part IX Other Assets.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
Total. (Column (b) must equal Form 990, Part X, col.(B) line 15.)	

Part X Other Liabilities.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col.(B) line 25.)	902,028

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements		1	178,724,633
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:			
a	Net unrealized gains (losses) on investments	2a		
b	Donated services and use of facilities	2b		
c	Recoveries of prior year grants	2c		
d	Other (Describe in Part XIII.)	2d		
e	Add lines 2a through 2d		2e	0
3	Subtract line 2e from line 1		3	178,724,633
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b	50,647	
c	Add lines 4a and 4b		4c	50,647
5	Total revenue. Add lines 3 and 4c . (This must equal Form 990, Part I, line 12.)		5	178,775,280

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements		1	109,274,623
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:			
a	Donated services and use of facilities	2a		
b	Prior year adjustments	2b		
c	Other losses	2c		
d	Other (Describe in Part XIII.)	2d	-1,311,454	
e	Add lines 2a through 2d		2e	-1,311,454
3	Subtract line 2e from line 1		3	110,586,077
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b		
c	Add lines 4a and 4b		4c	0
5	Total expenses. Add lines 3 and 4c . (This must equal Form 990, Part I, line 18.)		5	110,586,077

Part XIII Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
PART IV, LINE 2B:	DURING 2020, CLIMATEWORKS ACTED AS A CONDUIT FOR PASS-THROUGH GRANTS FOR THE ULTIMATE BENEFIT OF OUTSIDE ORGANIZATIONS. CLIMATEWORKS RECORDED AN ASSET UPON RECEIPT OF THE GRANT FUNDS, AND A SUBSEQUENT CORRESPONDING LIABILITY WHICH HAS BEEN REPORTED ON FORM 990, PART X, LINE 21.
PART X, LINE 2:	AT JANUARY 1, 2009, THE FOUNDATION ADOPTED THE GUIDANCE ON UNCERTAIN TAX POSITIONS AND HAS IDENTIFIED AND EVALUATED ITS SIGNIFICANT TAX POSITIONS FOR WHICH THE STATUTE OF LIMITATIONS REMAIN OPEN. THERE HAVE BEEN NO MATERIAL CHANGES IN UNRECOGNIZED BENEFITS AS OF DECEMBER 31, 2020 AND 2019, NOR ARE ANY MATERIAL CHANGES ANTICIPATED IN THE TWELVE MONTHS FOLLOWING DECEMBER 31, 2020. THERE HAVE BEEN NO RELATED TAX PENALTIES OR INTEREST, WHICH WOULD BE CLASSIFIED AS A TAX EXPENSE IN THE CONSOLIDATED STATEMENTS OF ACTIVITIES AND CHANGES IN NET ASSETS.
PART XI, LINE 4B - OTHER ADJUSTMENTS:	UBI TAX REFUND RECLASSIFIED TO REVENUE 50,647.
PART XII, LINE 2D - OTHER ADJUSTMENTS:	RECOVERY OF PRIOR YEAR GRANT EXPENSES -1,260,807. UBI REFUNDS RECLASSIFIED TO REVENUE -50,647.

Additional Data

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Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.

▶ Attach to Form 990.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

SCHEDULE F (Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization

CLIMATEWORKS FOUNDATION

Employer identification number

26-2303250

Part I General Information on Activities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants or other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No

2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

3 Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in the region	(d) Activities conducted in region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in the region	(f) Total expenditures for and investments in the region
(1) CENTRAL AMERICA AND THE CARIBBEAN	0	0	GRANTMAKING		63,789
(2) CENTRAL AMERICA AND THE CARIBBEAN	0	0	PROGRAM SERVICES	TRAVEL & MEETINGS; OTHER COSTS	179,123
(3) EAST ASIA AND THE PACIFIC	0	0	GRANTMAKING		7,004,289
(4) EAST ASIA AND THE PACIFIC	0	0	PROGRAM SERVICES	TRAVEL & MEETINGS; OTHER COSTS	2,187,471
(5) EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	GRANTMAKING		11,936,114
(6) EUROPE (INCLUDING ICELAND & GREENLAND)	0	3	PROGRAM SERVICES	TRAVEL & MEETINGS; OTHER COSTS	6,485,810
(7) MIDDLE EAST AND NORTH AFRICA	0	0	PROGRAM SERVICES	TRAVEL & MEETINGS; OTHER COSTS	69
(8) NORTH AMERICA	0	0	GRANTMAKING		845,514
(9) NORTH AMERICA	0	1	PROGRAM SERVICES	TRAVEL & MEETINGS; OTHER COSTS	399,016
(10) SOUTH AMERICA	0	0	GRANTMAKING		9,019,432
(11) SOUTH AMERICA	0	0	PROGRAM SERVICES	TRAVEL & MEETINGS; OTHER COSTS	1,128,536
(12) SOUTH ASIA	0	0	PROGRAM SERVICES	TRAVEL & MEETINGS; OTHER COSTS	107,532
(13) SUB-SAHARAN AFRICA	0	0	GRANTMAKING		665,000
(14) SUB-SAHARAN AFRICA	0	0	PROGRAM SERVICES	TRAVEL & MEETINGS; OTHER COSTS	85,914
(15)					
(16)					
(17)					
3a Sub-total	0	3			28,702,179
b Total from continuation sheets to Part I	0	1			11,405,430
c Totals (add lines 3a and 3b)	0	4			40,107,609

Part II Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)		CENTRAL AMERICA AND THE CARIBBEAN	PROJECT SUPPORT	63,789	WIRE			
(2)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	83,250	WIRE			
(3)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	39,866	WIRE			
(4)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	200,000	WIRE			
(5)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	105,000	WIRE			
(6)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	750,000	WIRE			
(7)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	100,000	WIRE			
(8)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	150,000	WIRE			
(9)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	150,000	WIRE			
(10)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	249,527	WIRE			
(11)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	300,000	WIRE			
(12)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	97,500	WIRE			
(13)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	51,806	WIRE			
(14)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	249,725	WIRE			
(15)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	69,350	WIRE			
(16)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	85,000	WIRE			
(17)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	115,944	WIRE			
(18)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	100,000	WIRE			
(19)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	161,889	WIRE			
(20)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	81,693	WIRE			
(21)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	69,290	WIRE			
(22)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	300,000	WIRE			
(23)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	150,000	WIRE			
(24)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	100,000	WIRE			
(25)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	100,396	WIRE			
(26)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	100,000	WIRE			
(27)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	750,000	WIRE			
(28)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	30,000	WIRE			
(29)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	300,000	WIRE			
(30)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	249,971	WIRE			
(31)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	49,998	WIRE			
(32)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	426,955	WIRE			
(33)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	75,000	WIRE			
(34)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	100,000	WIRE			
(35)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	130,000	WIRE			
(36)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	129,000	WIRE			
(37)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	49,933	WIRE			
(38)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	88,396	WIRE			
(39)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	176,458	WIRE			
(40)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	32,576	WIRE			
(41)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	153,247	WIRE			
(42)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	300,819	WIRE			
(43)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	120,000	WIRE			
(44)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	20,000	WIRE			
(45)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	173,450	WIRE			
(46)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	320,000	WIRE			
(47)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	395,000	WIRE			
(48)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	75,000	WIRE			
(49)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	507,000	WIRE			
(50)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	405,165	WIRE			
(51)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	200,000	WIRE			
(52)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	350,000	WIRE			
(53)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	62,605	WIRE			
(54)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	50,000	WIRE			
(55)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	380,000	WIRE			
(56)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	20,000	WIRE			
(57)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	160,497	WIRE			
(58)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	10,000	WIRE			
(59)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	1,081,000	WIRE			
(60)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	43,000	WIRE			
(61)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	203,250	WIRE			
(62)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	119,000	WIRE			
(63)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	50,000	WIRE			
(64)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	39,887	WIRE			
(65)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	300,000	WIRE			
(66)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	99,646	WIRE			
(67)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	150,000	WIRE			
(68)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	200,000	WIRE			
(69)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	40,000	WIRE			
(70)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	225,000	WIRE			
(71)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	295,001	WIRE			
(72)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	600,000	WIRE			
(73)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	100,000	WIRE			
(74)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	150,000	WIRE			
(75)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	140,519	WIRE			
(76)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	400,000	WIRE			
(77)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	100,000	WIRE			
(78)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	16,125	WIRE			
(79)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	833,264	WIRE			
(80)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	330,876	WIRE			
(81)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	12,000	WIRE			
(82)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	147,000	WIRE			
(83)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	250,000	WIRE			
(84)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	40,000	WIRE			
(85)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	120,000	WIRE			
(86)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	203,555	WIRE			
(87)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	20,383	WIRE			
(88)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	490,000	WIRE			
(89)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	66,675	WIRE			
(90)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	439,526	WIRE			
(91)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	146,923	WIRE			
(92)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	53,041	WIRE			
(93)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	455,000	WIRE			
(94)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	100,000	WIRE			
(95)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	12,500	WIRE			
(96)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	300,000	WIRE			
(97)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	37,500	WIRE			
(98)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	260,000	WIRE			
(99)		NORTH AMERICA	PROJECT SUPPORT	100,000	WIRE			
(100)		NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES	PROJECT SUPPORT	200,000	WIRE			
(101)		NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES	PROJECT SUPPORT	87,500	WIRE			
(102)		NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES	PROJECT SUPPORT	94,095	WIRE			
(103)		NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES	PROJECT SUPPORT	30,053	WIRE			
(104)		NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES	PROJECT SUPPORT	46,500	WIRE			
(105)		NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES	PROJECT SUPPORT	51,000	WIRE			
(106)		NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES	PROJECT SUPPORT	35,000	WIRE			
(107)		NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES	PROJECT SUPPORT	201,366	WIRE			
(108)		SOUTH AMERICA	PROJECT SUPPORT	142,314	WIRE			
(109)		SOUTH AMERICA	PROJECT SUPPORT	230,000	WIRE			
(110)		SOUTH AMERICA	PROJECT SUPPORT	81,000	WIRE			
(111)		SOUTH AMERICA	PROJECT SUPPORT	21,500	WIRE			
(112)		SOUTH AMERICA	PROJECT SUPPORT	188,674	WIRE			
(113)		SOUTH AMERICA	PROJECT SUPPORT	11,500	WIRE			
(114)		SOUTH AMERICA	PROJECT SUPPORT	21,500	WIRE			
(115)		SOUTH AMERICA	PROJECT SUPPORT	162,521	WIRE			
(116)		SOUTH AMERICA	PROJECT SUPPORT	121,500	WIRE			
(117)		SOUTH AMERICA	PROJECT SUPPORT	200,000	WIRE			
(118)		SOUTH AMERICA	PROJECT SUPPORT	11,500	WIRE			
(119)		SOUTH AMERICA	PROJECT SUPPORT	16,500	WIRE			
(120)		SOUTH AMERICA	PROJECT SUPPORT	400,069	WIRE			
(121)		SOUTH AMERICA	PROJECT SUPPORT	471,107	WIRE			
(122)		SOUTH AMERICA	PROJECT SUPPORT	498,698	WIRE			
(123)		SOUTH AMERICA	PROJECT SUPPORT	143,514	WIRE			
(124)		SOUTH AMERICA	PROJECT SUPPORT	120,829	WIRE			
(125)		SOUTH AMERICA	PROJECT SUPPORT	100,000	WIRE			
(126)		SOUTH AMERICA	PROJECT SUPPORT	165,000	WIRE			
(127)		SOUTH AMERICA	PROJECT SUPPORT	261,685	WIRE			
(128)		SOUTH AMERICA	PROJECT SUPPORT	350,000	WIRE			
(129)		SOUTH AMERICA	PROJECT SUPPORT	200,000	WIRE			
(130)		SOUTH AMERICA	PROJECT SUPPORT	108,000	WIRE			
(131)		SOUTH AMERICA	PROJECT SUPPORT	239,188	WIRE			
(132)		SOUTH AMERICA	PROJECT SUPPORT	179,996	WIRE			
(133)		SOUTH AMERICA	PROJECT SUPPORT	153,989	WIRE			
(134)		SOUTH AMERICA	PROJECT SUPPORT	35,075	WIRE			
(135)		SOUTH AMERICA	PROJECT SUPPORT	73,531	WIRE			
(136)		SOUTH AMERICA	PROJECT SUPPORT	20,882	WIRE			
(137)		SOUTH AMERICA	PROJECT SUPPORT	300,000	WIRE			
(138)		SOUTH AMERICA	PROJECT SUPPORT	300,014	WIRE			
(139)		SOUTH AMERICA	PROJECT SUPPORT	11,500	WIRE			
(140)		SOUTH AMERICA	PROJECT SUPPORT	408,614	WIRE			
(141)		SOUTH AMERICA	PROJECT SUPPORT	21,500	WIRE			
(142)		SOUTH AMERICA	PROJECT SUPPORT	366,644	WIRE			
(143)		SOUTH AMERICA	PROJECT SUPPORT	63,680	WIRE			
(144)		SOUTH AMERICA	PROJECT SUPPORT	54,136	WIRE			
(145)		SOUTH AMERICA	PROJECT SUPPORT	20,000	WIRE			
(146)		SOUTH AMERICA	PROJECT SUPPORT	516,500	WIRE			
(147)		SOUTH AMERICA	PROJECT SUPPORT	21,500	WIRE			
(148)		SOUTH AMERICA	PROJECT SUPPORT	245,000	WIRE			
(149)		SOUTH AMERICA	PROJECT SUPPORT	216,500	WIRE			
(150)		SOUTH AMERICA	PROJECT SUPPORT	416,496	WIRE			
(151)		SOUTH AMERICA	PROJECT SUPPORT	239,881	WIRE			
(152)		SOUTH AMERICA	PROJECT SUPPORT	129,567	WIRE			
(153)		SOUTH AMERICA	PROJECT SUPPORT	27,000	WIRE			
(154)		SOUTH AMERICA	PROJECT SUPPORT	21,500	WIRE			
(155)		SOUTH AMERICA	PROJECT SUPPORT	336,000	WIRE			
(156)		SOUTH AMERICA	PROJECT SUPPORT	167,015	WIRE			
(157)		SOUTH AMERICA	PROJECT SUPPORT	156,000	WIRE			
(158)		SOUTH AMERICA	PROJECT SUPPORT	60,000	WIRE			
(159)		SOUTH AMERICA	PROJECT SUPPORT	40,000	WIRE			
(160)		SOUTH AMERICA	PROJECT SUPPORT	41,500	WIRE			
(161)		SOUTH AMERICA	PROJECT SUPPORT	11,500	WIRE			
(162)		SOUTH AMERICA	PROJECT SUPPORT	97,313	WIRE			
(163)		SUB-SAHARAN AFRICA	PROJECT SUPPORT	600,000	WIRE			
(164)		SUB-SAHARAN AFRICA	PROJECT SUPPORT	35,000	WIRE			
(165)		SUB-SAHARAN AFRICA	PROJECT SUPPORT	30,000	WIRE			

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter 161

3 Enter total number of other organizations or entities 4

Part III Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 16.

Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of noncash assistance	(g) Description of noncash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							

Part IV Foreign Forms

- 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* Yes No
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990)* Yes No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with Respect to Certain Foreign Corporations. (see Instructions for Form 5471)* Yes No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)* . Yes No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* Yes No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; don't file with Form 990).* Yes No

Additional Data

Software ID:

Software Version:

Schedule I (Form 990) **Grants and Other Assistance to Organizations, Governments and Individuals in the United States**
Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22.
▶ Go to www.irs.gov/Form990 for the latest information. ▶ Attach to Form 990.

Department of the Treasury Internal Revenue Service
Name of the organization
CLIMATEWORKS FOUNDATION
Employer identification number
26-2303250

Part I General Information on Grants and Assistance
1. Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
2. Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

(a) Name and address of the grantee or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) AMAZON CONSERVATION TEAM 4211 NORTH FAIRFAX DRIVE ARLINGTON, VA 22203	54-1915987	501(C)(3)	104,469				PROJECT SUPPORT
(2) WASHINGTON FORESTS 1202 L ST NW SUITE 250 WASHINGTON, DC 20005	53-0196544	501(C)(3)	115,000				PROJECT SUPPORT
(3) AMERICANS FOR FINANCIAL REFORM 1615 L STREET NE SUITE 450 WASHINGTON, DC 20002	82-2553525	501(C)(3)	100,000				LOBBYING
(4) BANK INFORMATION CENTER 1023 15TH STREET NW WASHINGTON, DC 20005	52-1602441	501(C)(3)	200,000				PROJECT SUPPORT
(5) BIODIVERSITY FUNDERS GROUP 4300 UNIVERSITY BLVD WASHINGTON, DC 20004	13-3431076	501(C)(3)	20,000				PROJECT SUPPORT
(6) BIPARTISAN POLICY CENTER 1225 I STREET NW SUITE 1000 WASHINGTON, DC 20005	73-1628382	501(C)(3)	750,000				PROJECT SUPPORT
(7) BUCK ORGANIZING PROJECT 1035 W GRAND AVENUE OAKLAND, CA 94607	46-4578588	501(C)(3)	35,000				PROJECT SUPPORT
(8) CLEARGREEN ALLIANCE FOUNDATION 1300 GODWARD ST NE SUITE 2625 MINNEAPOLIS, MN 55413	20-3477309	501(C)(3)	40,000				PROJECT SUPPORT
(9) BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY 3160 PORTER DRIVE SUITE 100 PALO ALTO, CA 94304	94-1156365	501(C)(3)	50,000				PROJECT SUPPORT
(10) BOOKINGS INSTITUTION 1775 MASSACHUSETTS AVE NW WASHINGTON, DC 20036	53-0196577	501(C)(3)	42,117				PROJECT SUPPORT
(11) C40 CITIES CLIMATE LEADERSHIP GROUP INC 126 PARK AVE 23RD FLOOR NEW YORK, NY 10017	90-0634376	501(C)(3)	200,000				PROJECT SUPPORT
(12) CALCEF INNOVATIONS/DESA NEW ENERGY Nexus 436 14TH ST STE 1220 OAKLAND, CA 94612	26-1339988	501(C)(3)	2,000,000				PROJECT SUPPORT
(13) CHESTER AVE PASADENA, CA 91106	95-4375022	501(C)(3)	350,000				PROJECT SUPPORT
(14) CDP NORTH AMERICA 127 WEST 26TH STREET SUITE 300 NEW YORK, NY 10001	36-4709977	501(C)(3)	676,999				PROJECT SUPPORT
(15) CENTER FOR AMERICAN PROGRESS 1333 H STREET NW 10TH FLOOR WASHINGTON, DC 20005	30-0126510	501(C)(3)	450,000				PROJECT SUPPORT
(16) CENTER FOR CARBON REMOVAL 1140 THIRD STREET NE WASHINGTON, DC 20002	81-2560407	501(C)(3)	100,000				PROJECT SUPPORT
(17) CENTER FOR STRATEGIC AND INTERNATIONAL STUDIES 1616 RIDGE ISLAND AVENUE NW WASHINGTON, DC 20036	52-1505082	501(C)(3)	175,000				PROJECT SUPPORT
(18) CERES INC 59 CHANCERY STREET 6TH FLOOR BOSTON, MA 02111	22-3053747	501(C)(3)	1,815,742				PROJECT SUPPORT
(19) CLASP 1504 K ST NW SUITE 1100 WASHINGTON, DC 20005	33-1112770	501(C)(3)	150,162				PROJECT SUPPORT
(20) CLEAN AIR TASK FORCE 130 REMOND ST SUITE 530 BOSTON, MA 02108	04-3512550	501(C)(3)	255,000				PROJECT SUPPORT
(21) CLIMATE AND HEALTH RESILIENCE NETWORK 269 WHITE ROAD BOWDOINHAM, ME 04008	46-4421906	501(C)(3)	70,000				PROJECT SUPPORT
(22) CLIMATE FOCUS CORP 1730 RHODE AVENUE NW SUITE 601 WASHINGTON, DC 20036	26-0767250	501(C)(3)	314,214				PROJECT SUPPORT
(23) CLIMATE POLICY INITIATIVE 180 SANSOME STREET SUITE 1000 SAN FRANCISCO, CA 94104	26-4129153	501(C)(3)	588,788				PROJECT SUPPORT
(24) COALSWARM 125 BATTERY STREET SAN FRANCISCO, CA 94110	82-2723327	501(C)(3)	145,000				PROJECT SUPPORT
(25) COALITION FOR GREEN CAPITAL ACTION 950 NINTH STREET NW SUITE 520 WASHINGTON, DC 20001	90-0868299	501(C)(3)	270,000				PROJECT SUPPORT
(26) COALITION FOR GREEN CAPITAL ACTION FUND 125 CONNELLICUT AVE NW 10TH FL WASHINGTON, DC 20009	27-2124806	501(C)(4)	100,000				LOBBYING
(27) COLLECTIVE CONSCIENCE 281 LHERMAN AVE NW WASHINGTON, DC 20001	85-2075033	501(C)(3)	440,067				PROJECT SUPPORT
(28) COMPASS FAMILY SERVICES 37 GROVE STREET SAN FRANCISCO, CA 94102	94-1156622	501(C)(3)	25,000				PROJECT SUPPORT
(29) CONSERVATION INTERNATIONAL FOUNDATION 2011 CRYSTAL DRIVE SUITE 600 ARLINGTON, VA 22202	52-1497470	501(C)(3)	274,775				PROJECT SUPPORT
(30) DIRECT RELIEF 6100 WALLACE BECKNEIL ROAD SANTA BARBARA, CA 93117	95-1831116	501(C)(3)	235,000				PROJECT SUPPORT
(31) DORWOOD ALLIANCE 93 CHURCH ST ASHEVILLE, NC 28801	56-2139120	501(C)(3)	100,000				PROGRAM SUPPORT
(32) DUKE UNIVERSITY 2200 W MAIN ST SUITE 710 DURHAM, NC 277054627	56-0532129	501(C)(3)	50,000				PROJECT SUPPORT
(33) ELECTRIC TRANSPORTATION COMMUNITY DEVELOPMENT CORPORATION 1015 K STREET SUITE 200 SACRAMENTO, CA 95814	83-4007876	501(C)(3)	50,000				PROJECT SUPPORT
(34) ENERGY FOUNDATION 301 BATTERY ST SAN FRANCISCO, CA 94111	32-0582896	501(C)(3)	300,000				PROJECT SUPPORT
(35) ENERGY FUTURES INITIATIVE 900 17TH STREET NW WASHINGTON, DC 20006	82-1230082	501(C)(3)	156,177				PROJECT SUPPORT
(36) ENVIRONMENTAL DEFENSE FUND 257 PARK AVENUE SOUTH NEW YORK, NY 10010	11-6107128	501(C)(3)	140,000				PROJECT SUPPORT
(37) ENVIRONMENTAL INTEGRITY PROJECT 1000 VERMONT AVENUE NW SUITE 1100 WASHINGTON, DC 20005	20-1326922	501(C)(3)	500,000				PROJECT SUPPORT
(38) ENVIRONMENTAL INVESTIGATION AGENCY PO BOX 53343 WASHINGTON, DC 20009	52-1654284	501(C)(3)	50,000				LOBBYING
(39) EVERHART CENTER 1700 NORTHSIDE DRIVE SUITE A7-1606 ATLANTA, GA 30318	83-2138544	501(C)(3)	20,000				PROJECT SUPPORT
(40) FORT 2035 NW FRONT AVE SUITE 101 PORTLAND, OR 97209	27-4764989	501(C)(6)	15,000				PROJECT SUPPORT
(41) FRIENDS OF THE EARTH 1101 15TH STREET NW 11TH FLOOR WASHINGTON, DC 20005	23-7420660	501(C)(3)	175,000				PROJECT SUPPORT & LOBBYING
(42) GLOBAL CATHOLIC CLIMATE MOVEMENT 1050 K STREET NW SUITE 400 WASHINGTON, DC 20001	82-3329297	501(C)(3)	75,000				PROJECT SUPPORT
(43) GLOBAL PHILANTHROPY PARTNERSHIP 1916 N MOHAWK ST 7 CHICAGO, IL 60614	56-2342600	501(C)(3)	100,000				PROJECT SUPPORT
(44) GLOBAL WATER CHALLENGE 2900 S QUINCY STREET SUITE 375 ARLINGTON, VA 22206	26-1407784	501(C)(3)	165,780				PROJECT SUPPORT
(45) GREAT PLAINS INSTITUTE 2801 21ST AVE S MINNEAPOLIS, MN 55407	41-1921126	501(C)(3)	150,000				PROJECT SUPPORT
(46) GREENPEACE FUND INC 702 H STREET NW SUITE 300 WASHINGTON, DC 20001	95-3313195	501(C)(3)	200,000				PROJECT SUPPORT
(47) ICLEI - LOCAL GOVERNMENTS FOR SUSTAINABILITY USA INC 1536 WYNKOOP STREET SUITE 901 DENVER, CO 80202	04-3116623	501(C)(3)	125,000				PROJECT SUPPORT
(48) IF NOT US THEN WHO 1112 MONTANA AVENUE SUITE 379 SANTA MONICA, CA 90403	81-4186787	501(C)(3)	147,090				PROJECT SUPPORT
(49) INSTITUTE FOR TRANSPORTATION & DEVELOPMENT POLICY 9 EAST 19TH ST 7TH FLOOR NEW YORK, NY 10003	52-1399520	501(C)(3)	250,000				PROJECT SUPPORT
(50) INSTITUTE FOR ENERGY ECONOMICS AND FINANCIAL ANALYSIS INC 14900 DETROIT AVENUE SUITE 206 LAKEWOOD, OH 44107	45-4244605	501(C)(3)	255,000				PROJECT SUPPORT
(51) INSTITUTE FOR SUSTAINABLE COMMUNITIES 535 STONE CUTT STREET MONTPELIER, VT 05602	22-3098727	501(C)(3)	200,000				PROJECT SUPPORT
(52) INTERACTION AMERICAN COUNCIL FOR VOLUNTARY INTERNATIONAL ACTION INC 1400 16TH STREET NW SUITE 210 WASHINGTON, DC 20036	13-3287064	501(C)(3)	125,000				PROJECT SUPPORT
(53) INTERNATIONAL COUNCIL ON CLEAN TRANSPORTATION 1225 I STREET NW SUITE 900 WASHINGTON, DC 20005	20-3076690	501(C)(3)	1,308,000				GENERAL SUPPORT & PROJECT SUPPORT
(54) JOHN HOPKINS UNIVERSITY 3400 N CHARLES STREET BALTIMORE, MD 21218	52-0595110	501(C)(3)	171,849				PROJECT SUPPORT
(55) LAWRENCE BERKELEY NATIONAL LAB 1 CYCLOTRON ROAD BERKELEY, CA 94720	94-6036494	501(C)(3)	1,015,000				PROJECT SUPPORT
(56) MERIDIAN INSTITUTE PO BOX 1829 105 VILLAGE PL DILLON, CO 80435	84-1435420	501(C)(3)	112,168				PROJECT SUPPORT
(57) MGM INNOVA CAP MANAGEMENT LLC 2 S BISCAYNE BLVD 2610 MIAMI, FL 33133	82-4989826	501(C)(3)	20,922				PROJECT SUPPORT
(58) MONGABAY ORG CORP 15 CLINTON ST 1 REDWOOD CITY, CA 94062	45-3714703	501(C)(3)	134,550				PROJECT SUPPORT
(59) NATIONAL ACADEMY OF SCIENCES 500 FIFTH STREET NW WASHINGTON, DC 200012736	53-0196932	501(C)(3)	675,000				PROJECT SUPPORT
(60) NATIONAL ASSOCIATION OF CITY TRANSPORTATION OFFICIALS INC 120 PARK AVENUE 20TH FLOOR NEW YORK, NY 10017	20-1874085	501(C)(3)	30,000				PROJECT SUPPORT
(61) NATIONAL PHILANTHROPY TRUST 165 TOWNSHIP LINE ROAD SUITE 1200 HENKINGTOWN, PA 19046	23-7825575	501(C)(3)	500,883				PROJECT SUPPORT
(62) NATIVE AMERICANS IN PHILANTHROPY (NAP) 1140 3RD STREET NE WASHINGTON, DC 20002	56-1989598	501(C)(3)	100,000				PROJECT SUPPORT
(63) NATURAL RESOURCES DEFENSE COUNCIL 40 WEST 20TH STREET 11TH FLOOR NEW YORK, NY 10011	13-2654926	501(C)(3)	1,426,588				PROJECT SUPPORT
(64) NEW VENTURE FUND 1201 CONNECTICUT AVE NW STE 300 WASHINGTON, DC 20036	20-5806345	501(C)(3)	150,000				PROJECT SUPPORT
(65) NISKANEN CENTER 820 1ST STREET NE SUITE 675 WASHINGTON, DC 20002	45-5308952	501(C)(3)	67,674				PROJECT SUPPORT
(66) NONPROFIT ENTERPRISE AND SELF-SUSTAINABILITY TEAM INC (NESS) 5917 JORDAN AVENUE 61 CRESTWOOD SAN FRANCISCO, CA 94130	52-2018791	501(C)(3)	74,760				PROJECT SUPPORT
(67) NORTH AMERICAN COUNCIL FOR FREIGHT EFFICIENCY 6041 STELLHORN RD 15935 FORT WAYNE, IN 46885	27-2442344	501(C)(3)	100,000				PROJECT SUPPORT
(68) OCEAN VISIONS INC 225 BAKER STREET ATLANTA, GA 30313	84-2130219	501(C)(3)	237,000				PROJECT SUPPORT
(69) OPENAQ 1226 9TH STREET NW 200 WASHINGTON, DC 20005	47-5324172	501(C)(3)	150,000				PROJECT SUPPORT
(70) OREGON STATE UNIVERSITY 1701 SW WESTERN BLVD CORVALLIS, OR 97331	61-1730890	STATE OF OR	412,671				PROJECT SUPPORT
(71) PACIFIC ENVIRONMENT AND RESOURCES CENTER 473 MARKET STREET THIRD FLOOR SAN FRANCISCO, CA 94104	94-2628924	501(C)(3)	300,000				PROJECT SUPPORT
(72) PARTNERSHIP PROJECT INC 1501 M STREET NW SUITE 1010 WASHINGTON, DC 20005	52-2192070	501(C)(3)	400,000				PROJECT SUPPORT
(73) PROJECT ON ORGANIZING DEVELOPMENT EDUCATION & RESEARCH (PODER) PO BOX 2086 NEW YORK, NY 10013	27-1732776	501(C)(3)	300,000				PROJECT SUPPORT
(74) PUBLIC CITIZEN INC 1600 20TH STREET NW WASHINGTON, DC 20009	23-7104508	501(C)(3)	40,000				PROJECT SUPPORT
(75) RAINFORREST FOUNDATION INC (RFUS) 180 VARICK STREET SUITE 528 NEW YORK, NY 10014	95-1622945	501(C)(3)	300,000				PROJECT SUPPORT
(76) ROCKEFELLER BROTHERS FUND INC 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10015	13-1760106	501(C)(3)	2,800,000				PROJECT SUPPORT
(77) ROCKEFELLER FAMILY FUND 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10015	13-6257658	501(C)(3)	1,250,000				PROJECT SUPPORT
(78) ROCKEFELLER PHILANTHROPY ADVISORS INC 6 WILSON STREET 10TH FLOOR NEW YORK, NY 10036	13-3615533	501(C)(3)	3,000,000				GENERAL SUPPORT & PROJECT SUPPORT
(79) ROCKY MOUNTAIN INSTITUTE 1820 FOLSOM STREET BOULDER, CO 80302	74-2244146	501(C)(3)	960,000				PROJECT SUPPORT
(80) SIERRA CLUB CALIFORNIA 909 12TH SUITE 202 SACRAMENTO, CA 95814	94-1153307	501(C)(3)	30,000				LOBBYING
(81) SOCIAL AND ENVIRONMENTAL ENTREPRENEURS INC 23332 CALABASAS ROAD SUITE A CALABASAS, CA 91302	95-4116679	501(C)(3)	100,000				PROJECT SUPPORT
(82) SUNRISE MOVEMENT EDUCATION FUND 50 ST NW WASHINGTON, DC 20001	46-4773036	501(C)(3)	225,000				PROJECT SUPPORT
(83) SUSTAINABLE MARKETS FOUNDATION 45 WEST STREET 6TH FLOOR NEW YORK, NY 10018	13-4188834	501(C)(3)	435,000				PROJECT SUPPORT
(84) THE ASIA FOUNDATION 465 CALIFORNIA STREET FLOOR 9 SAN FRANCISCO, CA 94104	94-1191246	501(C)(3)	697,677				PROJECT SUPPORT
(85) THE ASPEN INSTITUTE ONE DUPONT CIRCLE NW SUITE 700 WASHINGTON, DC 20036	84-0399006	501(C)(3)	200,000				PROJECT SUPPORT
(86) THE CLIMATE GROUP 145 W 58TH STREET SUITE 2A NEW YORK, NY 10019	43-2073566	501(C)(3)	250,000				PROJECT SUPPORT
(87) THE COMMONWEALTH CLUB 595 MARKET STREET SAN FRANCISCO, CA 94105	94-0399260	501(C)(3)	60,000				PROJECT SUPPORT
(88) THE GREENLINING INSTITUTE 350 14TH STREET 2ND FLOOR OAKLAND, CA 94612	94-3173571	501(C)(3)	15,000				PROJECT SUPPORT
(89) THE NATURE CONSERVANCY INC 4245 N FAIRFAX DRIVE SUITE 100 ARLINGTON, VA 22201	53-0242652	501(C)(3)	350,000				PROJECT SUPPORT
(90) THE REGENTS OF THE UNIVERSITY OF CALIFORNIA AT BERKELEY ONE DUBLON ROAD BERKELEY, CA 94720	94-6002123	STATE OF CA	410,948				PROJECT SUPPORT
(91) THE REGENTS OF THE UNIVERSITY OF CALIFORNIA AT BERKELEY 1605 TILIA ST STE 100 DAVIS, CA 956168762	94-6036494	STATE OF CA	270,000				PROJECT SUPPORT
(92) THE REGENTS OF THE UNIVERSITY OF CALIFORNIA LOS ANGELES 420 WESTWOOD PLAZA 5731-J BOELTER HALL LOS ANGELES, CA 90095	95-6006145	STATE OF CA	50,000				PROJECT SUPPORT
(93) THE REGENTS OF THE UNIVERSITY OF CALIFORNIA SANTA BARBARA 3227 CHEADLE HALL SANTA BARBARA, CA 93106	94-6000525	STATE OF CA	347,716				PROJECT SUPPORT
(94) THE REGENTS OF THE UNIVERSITY OF COLORADO 310 WARKINE STREET ROOM 479 BOULDER, CO 80309	84-6000525	STATE OF CO	35,000				PROJECT SUPPORT
(95) THE WILDERNESS SOCIETY 1615 M ST NW WASHINGTON, DC 20036	53-0167933	501(C)(3)	250,000				PROJECT SUPPORT
(96) THE WORLD BANK 1100 NEW YORK AVENUE NW WASHINGTON, DC 20433	26-0535276	UNITED NATIONS	27,288				PROJECT SUPPORT
(97) THIRD WAY INSTITUTE 100 WEST CONNECTICUT AVE NW SUITE 400 WASHINGTON, DC 20036	26-0535276	501(C)(3)	300,000				PROJECT SUPPORT
(98) TRUSTEES OF THE AMERICAN ENDOWMENT CENTER FOR FINANCE LAW POLICY OFFICE OF SPONSORED PROGRAMS BOSTON, MA 022151301	04-2103547	501(C)(3)	110,000				PROJECT SUPPORT
(99) TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK 615 WEST 131ST STREET NEW YORK, NY 10027	13-5598093	501(C)(3)	639,203				PROJECT SUPPORT
(100) TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA 220 SOUTH 33RD STREET PHILADELPHIA, PA 191046391	23-1352685	501(C)(3)	170,000				PROJECT SUPPORT
(101) UCLA FOUNDATION LUSKIN CENTER FOR EDUCATION 3323 LUSKIN SCHOOL OF PUBLIC AFFAIRS BOULDER, CO 80501	95-6006143	501(C)(3)	30,000				PROJECT SUPPORT
(102) UNITED NATIONS ENVIRONMENT PROGRAM 304 EAST 45TH STREET FF 970 NEW YORK, NY 10017		UNITED NATIONS	310,362				PROJECT SUPPORT
(103) US INTERNATIONAL DEVELOPMENT FINANCE CORPORATION (DFC) 1100 NEW YORK AVENUE NW WASHINGTON, DC 20527		U.S. GOVT	400,000				PROJECT SUPPORT
(104) UNITED STATES ENERGY FOUNDATION 301 BATTERY STREET 5TH FLOOR SAN FRANCISCO, CA 94111	83-1740146	501(C)(3)	500,000				PROJECT SUPPORT
(105) UNIVERSITY OF MARYLAND OFFICE OF SPAC 4101 CHESAPEAKE BUILDING COLLEGE PARK, MD 207421411	52-1125663	STATE OF MD	449,981				PROJECT SUPPORT
(106) UNIVERSITY OF PITTSBURGH 4200 FIFTH AVE PITTSBURGH, PA 15260	25-0965591	501(C)(3)	28,000				PROJECT SUPPORT
(107) VITAL STRATEGIES INC 100 BROADWAY 4TH FLOOR NEW YORK, NY 10005	22-3419667	501(C)(3)	220,000				PROJECT SUPPORT
(108) WASHINGTON UNIVERSITY ONE BROOKINGS DRIVE SAINT LOUIS, MO 63130	43-0653611	501(C)(3)	150,000				PROJECT SUPPORT
(109) WAXMAN STRATEGIES 1900 M STREET NW WASHINGTON, DC 20036	46-2453965	501(C)(3)	250,000				PROJECT SUPPORT
(110) WILDAID INC 333 FINE ST 300 SAN FRANCISCO, CA 94104	20-3644441	501(C)(3)	122,100				PROJECT SUPPORT
(111) WINROCK INTERNATIONAL 1121 CRYSTAL DRIVE SUITE 500 ARLINGTON, VA 22202	71-0603560	501(C)(3)	144,038				PROJECT SUPPORT
(112) WOODBURY WAYSIDE INTL INC PO BOX 8 HOPWELL/CLINTON, NY 12542	26-0743445	501(C)(3)	200,000				PROJECT SUPPORT
(113) WOODS BROTHERS POLYTECHNIC INSTITUTE ONE INSTITUTE ROAD WORCESTER, MA 01609	04-2121659	501(C)(3)	20,000				PROJECT SUPPORT
(114) WORLD RESOURCES INSTITUTE 10 G STREET NE WASHINGTON, DC 20002	52-1257057	501(C)(3)	1,040,027				PROJECT SUPPORT
(115) WORLD WILDLIFE FUND 1250 24TH STREET NW WASHINGTON, DC 20037	52-1693387	501(C)(3)	220,000				PROJECT SUPPORT
(116) YART AND COMPANY 195 PROSPECT ST NEW HAVEN, CT 06511	06-0646973	501(C)(3)	50,000				PROJECT SUPPORT

2. Enter total number of section 501(c)(3) and government organizations listed in the line 1 table 11

3. Enter total number of other organizations listed in the line 1 table 11

Part III Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22.

Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of noncash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of noncash assistance
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					

Part IV Supplemental Information. Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

Return Reference	Explanation
PART I, LINE 2:	CLIMATEWORKS PROGRAM STAFF PERFORM DUE DILIGENCE ON PROSPECTIVE GRANTS AND EVALUATE THE PAST SUCCESS AND FINANCIAL HEALTH OF THE PROSPECTIVE GRANTEE. ALL ORGANIZATIONS ARE REQUIRED TO SUBMIT A GRANT NARRATIVE PROPOSAL AND BUDGET. PROPOSALS ARE EVALUATED ON THEIR PROJECTED OUTCOMES AND ALIGNMENT WITH CLIMATEWORKS' MISSION AND STRATEGIES. OTHER REQUIRED DOCUMENTATION INCLUDES: THE CURRENT YEAR BUDGET; YEAR-TO-DATE FINANCIAL STATEMENTS; PRIOR YEAR AUDITED FINANCIAL STATEMENTS; GOVERNMENT-ISSUED TAX STATUS DETERMINATION DOCUMENTATION; A LIST OF BOARD OF DIRECTORS, OFFICERS, AND AFFILIATIONS; AND BIOGRAPHIES OF KEY STAFF INVOLVED IN THE PROJECT. ALL GRANTEES ARE REQUIRED TO SIGN AND RETURN A GRANT AGREEMENT, WHICH STATES THAT FUNDS MAY BE SPENT ONLY FOR THE SPECIFIC CHARITABLE PURPOSES STATED IN THE PROPOSAL. ALL GRANTEES MUST BE IN COMPLIANCE WITH ALL APPLICABLE ANTI-TERRORIST FINANCING AND ASSET CONTROL LAWS, REGULATIONS, RULES, EXECUTIVE ORDERS, AND CANNOT VIOLATE ANY IRS EXPENDITURE PROHIBITIONS. CLIMATEWORKS VERIFIES THE ORGANIZATION'S EXISTENCE AND TAX STATUS USING GUIDESTAR CHARITY CHECK. DEPENDING ON THE DOLLAR AMOUNT, EITHER THE BOARD OF DIRECTORS, THE PRESIDENT & CEO, OR A VICE PRESIDENT APPROVES THE GRANT. CLIMATEWORKS REVIEWS NARRATIVE AND FINANCIAL REPORTS TO ENSURE THAT THE FUNDS WERE SPENT EXCLUSIVELY FOR THE CHARITABLE PURPOSES STATED IN THE PROPOSAL AND WITHIN THE CONFINES OF THE OTHER RESTRICTIONS OF THE GRANT.

Additional Data

[Return to Form](#)

Software ID:
Software Version:

Schedule J
(Form 990)

Compensation Information

OMB No. 1545-0047

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- ▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 23.**
- ▶ **Attach to Form 990.**
- ▶ **Go to www.irs.gov/Form990 for instructions and the latest information.**

2020

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Name of the organization
CLIMATEWORKS FOUNDATION

Employer identification number

26-2303250

Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- | | |
|--|--|
| <input type="checkbox"/> First-class or charter travel | <input type="checkbox"/> Housing allowance or residence for personal use |
| <input type="checkbox"/> Travel for companions | <input type="checkbox"/> Payments for business use of personal residence |
| <input checked="" type="checkbox"/> Tax idemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees |
| <input type="checkbox"/> Discretionary spending account | <input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef) |

b If any of the boxes on Line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked on Line 1a?

3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

- | | |
|---|---|
| <input type="checkbox"/> Compensation committee | <input type="checkbox"/> Written employment contract |
| <input type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study |
| <input checked="" type="checkbox"/> Form 990 of other organizations | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a** Receive a severance payment or change-of-control payment?
 - b** Participate in, or receive payment from, a supplemental nonqualified retirement plan?
 - c** Participate in, or receive payment from, an equity-based compensation arrangement?
- If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.

5 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a** The organization?
 - b** Any related organization?
- If "Yes," on line 5a or 5b, describe in Part III.

6 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a** The organization?
 - b** Any related organization?
- If "Yes," on line 6a or 6b, describe in Part III.

7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described in lines 5 and 6? If "Yes," describe in Part III.

8 Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.

9 If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

	Yes	No
1b	Yes	
2		
4a		No
4b		No
4c		No
5a		No
5b		No
6a		No
6b		No
7	Yes	
8		No
9		

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1 CHARLOTTE PERA PRESIDENT AND CEO	(i)	564,729	0	1,932	42,750	13,228	622,639	0
	(ii)	0	0	0	0	0	0	0
2 SHAWN P REIFSTECK VP, STRATEGY, COLLABORATIONS & BRAND	(i)	409,187	0	1,260	41,325	36,838	488,610	0
	(ii)	0	0	0	0	0	0	0
3 CHARLES MCELWEE VP, PROGRAMS	(i)	401,900	5,000	5,544	42,750	32,853	488,047	0
	(ii)	0	0	0	0	0	0	0
4 SUSAN ELIZABETH COOK VP, OPERATIONS AND PLANNING	(i)	404,729	8,457	6,945	42,750	14,133	477,014	0
	(ii)	0	0	0	0	0	0	0
5 IRENE KIM VP, DEVELOPMENT	(i)	390,000	0	3,612	39,450	37,075	470,137	0
	(ii)	0	0	0	0	0	0	0
6 SURABI MENON VP, GLOBAL INTELLIGENCE	(i)	342,671	7,539	1,260	41,325	25,927	418,722	0
	(ii)	0	0	0	0	0	0	0
7 BRIAN MCCrackEN CFO, TREASURER	(i)	317,375	8,457	1,932	42,750	36,438	406,952	0
	(ii)	0	0	0	0	0	0	0
8 DANIEL ZARIN SR. DIRECTOR OF PROGRAMS, CLUA	(i)	296,594	5,000	3,612	42,750	31,748	379,704	0
	(ii)	0	0	0	0	0	0	0
9 MARY RAFTERY SR. ADVISOR, FUNDER COLLABORATIONS	(i)	279,124	15,947	3,612	40,966	15,237	354,886	0
	(ii)	0	0	0	0	0	0	0
10 JAN DERRICKSON PROG. DIR., CARBON DIOXIDE REMOVAL	(i)	280,000	1,000	3,612	41,017	21,898	347,527	0
	(ii)	0	0	0	0	0	0	0
11 IILMI GRANOFF PROG. DIR., SUSTAINABLE FINANCE	(i)	267,187	0	840	39,640	37,132	344,799	0
	(ii)	0	0	0	0	0	0	0
12 ANTHONY EGGERT PROGRAM DIRECTOR, TRANSPORTATION	(i)	280,000	1,000	1,260	40,100	20,352	342,712	0
	(ii)	0	0	0	0	0	0	0
13 GRETCHEN RAU SECRETARY	(i)	153,967	5,277	2,142	23,250	10,801	195,437	0
	(ii)	0	0	0	0	0	0	0
14 DANIEL HAMZA-GOODACRE FORMER EXECUTIVE DIRECTOR, K-CEP	(i)	153,900	14,455	15,628	0	8,030	192,013	0
	(ii)	0	0	0	0	0	0	0

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation
PART I, LINE 1A	SUSAN ELIZABETH COOK (VP, OPERATIONS AND PLANNING), BRIAN MCCRACKEN (CFO AND TREASURER), SURABI MENON (VP, GLOBAL INTELLIGENCE), MARY RAFTERY (SR. ADVISOR, FUNDER COLLABORATIONS), AND GRETCHEN RAU (ASSOCIATE DIRECTOR FOR THE EXECUTIVE OFFICE AND BOARD SECRETARY) RECEIVED A TAX GROSS-UP AS PART OF A BONUS PAID TO THEM IN 2020. THESE TAX GROSS-UP PAYMENTS WERE REPORTED ON FORM W-2'S AS TAXABLE INCOME, AS REQUIRED, AND REPORTED AS COMPENSATION ON SCHEDULE J, PART II, COLUMN (B)(III).
PART I, LINE 7	THE ORGANIZATION AWARDED BONUSES TO CERTAIN INDIVIDUALS WHICH WERE DETERMINED BY A SUPERVISOR, WHO UTILIZED DISCRETION IN DETERMINING THE AMOUNT OF PAYMENT, AND THUS WOULD BE CONSIDERED A NON-FIXED PAYMENT.

Additional Data

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Software ID:

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Schedule L
(Form 990 or 990-EZ)

Transactions with Interested Persons

OMB No. 1545-0047

2020

Open to Public Inspection

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.**
▶ **Attach to Form 990 or Form 990-EZ.**
▶ **Go to www.irs.gov/Form990 for instructions and the latest information.**

Department of the Treasury
Internal Revenue Service

Name of the organization
CLIMATEWORKS FOUNDATION

Employer identification number
26-2303250

Part I Excess Benefit Transactions (section 501(c)(3), section 501(c)(4), and section 501(c)(29) organizations only).
Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b.

1	(a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction	(d) Corrected?	
				Yes	No

2 Enter the amount of tax incurred by the organization managers or disqualified persons during the year under section 4958. _____
 3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization. ▶ \$. ▶ _____
 \$ _____

Part II Loans to and/or From Interested Persons.

Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a, or Form 990, Part IV, line 26; or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization?		(e) Original principal amount	(f) Balance due	(g) In default?		(h) Approved by board or committee?		(i) Written agreement?	
			To	From			Yes	No	Yes	No	Yes	No

Total ▶ \$ _____

Part III Grants or Assistance Benefiting Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1) YOUR GREEN HEART LTD	GREATER THAN 35% CONTROLLED ENTITY OF FORMER KEY EMP DANIEL HAMZA-GOODACRE	184,473	PROGRAM AND PROFESSIONAL SERVICES		No
(2) VESANCY FORESTRY CONSULTANTS	GREATER THAN 35% CONTROLLED ENTITY OF FORMER KEY EMP CHRIS ELLIOTT	507,620	PROGRAM MANAGEMENT SERVICES		No

Part V Supplemental Information

Provide additional information for responses to questions on Schedule L (see instructions).

Return Reference	Explanation
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Additional Data

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Software ID:
Software Version:

Noncash Contributions

2020

Open to Public Inspection

- ▶ **Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.**
- ▶ **Attach to Form 990.**
- ▶ **Go to www.irs.gov/Form990 for the latest information.**

Department of the Treasury
Internal Revenue Service

Name of the organization
CLIMATEWORKS FOUNDATION

Employer identification number

26-2303250

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art				
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded	X	1	50,001,393	FMV
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests				
12 Securities—Miscellaneous				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ▶ (_____)				
26 Other ▶ (_____)				
27 Other ▶ (_____)				
28 Other ▶ (_____)				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement 29 0

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period? Yes No

b If "Yes," describe the arrangement in Part II. 30a No

31 Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions? 31 Yes

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions? 32a No

b If "Yes," describe in Part II.

33 If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.

Part II Supplemental Information. Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference	Explanation
PART I, COLUMN (B):	THE ORGANIZATION IS REPORTING THE NUMBER OF ITEMS CONTRIBUTED (DEFINED AS EACH SEPARATE GIFT) IN SCHEDULE M, PART I, COLUMN (B).

Additional Data

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Software ID:

Software Version:

SCHEDULE O
(Form 990 or 990-EZ)**Supplemental Information to Form 990 or 990-EZ**Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Go to www.irs.gov/Form990 for the latest information.**2020****Open to Public
Inspection**Department of the Treasury
Internal Revenue ServiceName of the organization
CLIMATEWORKS FOUNDATION

Employer identification number

26-2303250

Return Reference	Explanation
FORM 990, PART III, LINE 1:	<p>THE CLIMATEWORKS FOUNDATION'S MISSION IS TO END THE CLIMATE CRISIS BY AMPLIFYING THE POWER OF PHILANTHROPY. WE ARE A GLOBAL PLATFORM FOR PHILANTHROPY TO INNOVATE, COLLABORATE, AND ACCELERATE CLIMATE SOLUTIONS THAT SCALE BY BRINGING TOGETHER A UNIQUE NETWORK OF FUNDERS, GRANTEEES, RESEARCHERS, AND OTHER PARTNERS. WE PROVIDE A SUITE OF GLOBAL PROGRAMS AND GLOBAL SERVICES UNIQUELY DESIGNED TO AMPLIFY THE POWER OF A CLIMATE PHILANTHROPY COMMUNITY THAT IS LARGER, MORE COORDINATED, MORE INTERNATIONAL, AND GROWING FASTER THAN EVER. CLIMATEWORKS GLOBAL PROGRAMS SUPPORT CLIMATE CHANGE MITIGATION EFFORTS FROM EARLY EXPLORATIONS TO SCALED INITIATIVES. WE HELP DEVELOP INNOVATIVE AND IMPACTFUL SOLUTIONS, FUND THEIR IMPLEMENTATION, AND SCALE UP THOSE THAT SHOW THE GREATEST PROMISE. OUR DIVERSE SET OF PHILANTHROPIC PROGRAMS ARE CRITICAL TO CLIMATE MITIGATION SUCCESS AND INCLUDE CARBON DIOXIDE REMOVAL; COOLING; FINANCE; FOOD & AGRICULTURE; FORESTS & LAND USE; GOVERNANCE & DIPLOMACY; INDUSTRY; POWER; SUPER POLLUTANTS; TRANSPORTATION; AS WELL AS EXPERTISE AND ADVISORY SERVICES TO HELP DESIGN AND IMPLEMENT GRANTMAKING STRATEGIES THAT DRIVE CLIMATE PROGRESS. CLIMATEWORKS GLOBAL SERVICES INCLUDE: (I) GLOBAL INTELLIGENCE THAT PROVIDES FUNDERS WITH THE KNOWLEDGE NECESSARY TO BUILD THEIR CLIMATE INVESTMENT STRATEGIES, WHICH HELPS THEM EXPLORE AREAS FOR CLIMATE ACTION AND IDENTIFY OPPORTUNITIES FOR GREATER IMPACT AND PARTNERSHIP; (II) GLOBAL COLLABORATIONS THAT ENABLE CLIMATE FUNDERS TO INCREASE INDIVIDUAL AND COLLECTIVE IMPACT; AND (III) GLOBAL GRANTMAKING THAT ENABLE FUNDERS TO INVEST IN CLIMATE STRATEGIES AROUND THE GLOBE. THROUGH OUR PROGRAMS AND SERVICES, CLIMATEWORKS HELPS THE FIELD OF CLIMATE PHILANTHROPY ACT WITH THE AMBITION, URGENCY, CAPABILITIES, AND INTERCONNECTIONS NEEDED TO END THE CLIMATE CRISIS.</p>
FORM 990, PART III, LINE 4A:	<p>WE COLLABORATED WITH OUR EXPANSIVE NETWORK OF PARTNERS AND GRANTEEES TO CONTRIBUTE TO A VARIETY OF ACHIEVEMENTS AROUND THE GLOBE, DRIVING MEANINGFUL PROGRESS ON CLIMATE ACTION. CLIMATEWORKS GLOBAL PROGRAMS: THROUGH OUR GLOBAL PROGRAMS, WE DRIVE INNOVATION AND CLIMATE SOLUTIONS THAT SCALE. OUR PORTFOLIO OF GLOBAL AND TRANSNATIONAL CLIMATE MITIGATION STRATEGIES AND GRANTMAKING HELP FUNDERS MAXIMIZE THEIR PHILANTHROPIC IMPACT. WE SUPPORT CLIMATE CHANGE MITIGATION EFFORTS FROM EARLY EXPLORATIONS TO SCALED INITIATIVES. WE HELP DEVELOP INNOVATIVE AND HIGH-IMPACT SOLUTIONS, FUND THEIR IMPLEMENTATION, AND SCALE UP THOSE THAT SHOW THE GREATEST PROMISE. 2020 GLOBAL PROGRAMS ACTIVITIES INCLUDED: (I) CARBON DIOXIDE REMOVAL: WE BOOSTED CARBON REMOVAL EFFORTS WITH NEW INITIATIVES, INCLUDING THE LAUNCH OF OCEAN CDR, A NEW KNOWLEDGE HUB TO SUPPORT OCEAN-BASED CARBON DIOXIDE REMOVAL. (II) FOOD & AGRICULTURE: WE WORKED IN EUROPE, THE U.S., ASIA, AND SOUTH AMERICA TO ACCELERATE THE TRANSITION TO A GLOBAL FOOD SYSTEM THAT IS HEALTHIER AND MORE SUSTAINABLE. (III) ROAD TRANSPORTATION: CALIFORNIA, QUEBEC, AND THE U.K. MEMBERS OF THE ZERO-EMISSION VEHICLE ALLIANCE ALL ACCELERATED THE TRANSITION TO ELECTRIC VEHICLES BY ANNOUNCING PHASEOUTS OF OIL COMBUSTION VEHICLES IN FAVOR OF 100% ZERO-EMISSION PASSENGER VEHICLE SALES BY 2035 OR EARLIER. (IV) INDUSTRY: BUY CLEAN A PUBLIC PROCUREMENT POLICY DESIGNED TO LOWER EMISSIONS WAS IMPLEMENTED IN CALIFORNIA AND INTRODUCED IN NEW YORK STATE AND THE U.S. CONGRESS. (V) SHIPPING: THE EUROPEAN PARLIAMENT VOTED TO INCLUDE THE MARITIME SHIPPING SECTOR IN ITS EMISSIONS TRADING SYSTEM, AS WELL AS THE OVERARCHING EU CLIMATE LAW, MAKING IT MANDATORY TO ACHIEVE FULL DECARBONIZATION BY 2050. (VI) FINANCE: WE DEVELOPED A FINANCIAL REFORM PLAYBOOK WITH PARTNERS TO GUIDE THE BIDEN TRANSITION TEAM ON HOW TO REDUCE THE RISK POSED BY CLIMATE CHANGE TO THE FINANCIAL SYSTEM. THE BIDEN ADMINISTRATION HAS ADOPTED MANY OF THE RECOMMENDATIONS FOR EARLY ACTION. (VII) POWER: CHINA AND JAPAN ENHANCED THEIR 2030 EMISSION REDUCTION TARGETS, WHILE SOUTH KOREA PUT AN END TO COAL FINANCING AND COMMITTED \$35 BILLION TO ITS CLEAN ENERGY TRANSITION. (VIII) COOLING: CHINA IMPLEMENTED ENERGY EFFICIENCY STANDARDS FOR ROOM AIR CONDITIONER UNITS, AND AS A RESULT, THE DOMESTIC MARKET IS ALREADY ON ITS WAY TO ACHIEVING A 30% EFFICIENCY IMPROVEMENT. CLIMATEWORKS GLOBAL SERVICES: OUR INTELLIGENCE, COLLABORATIONS, AND GRANTMAKING SERVICES GIVE FUNDERS THE TOOLS THEY NEED TO MAKE THEIR CLIMATE GIVING AS EFFECTIVE AS POSSIBLE. DESIGNED WITH THE UNIQUE NEEDS OF INDIVIDUAL FUNDERS IN MIND, THESE SERVICES ALSO ENABLE THE BROADER CLIMATE PHILANTHROPY COMMUNITY TO OPERATE COLLABORATIVELY FOR GREATER COLLECTIVE IMPACT. GLOBAL INTELLIGENCE: CLIMATEWORKS GLOBAL INTELLIGENCE PROVIDES FUNDERS WITH THE KNOWLEDGE NECESSARY TO BUILD THEIR CLIMATE INVESTMENT STRATEGIES. IT HELPS THEM EXPLORE AREAS FOR CLIMATE ACTION AND IDENTIFY OPPORTUNITIES FOR GREATER IMPACT AND PARTNERSHIP. 2020 GLOBAL INTELLIGENCE HIGHLIGHTS INCLUDE: (I) IN SEPTEMBER 2020, CLIMATEWORKS GLOBAL INTELLIGENCE ISSUED A FIRST-OF-ITS-KIND PUBLICATION THAT SHED LIGHT ON THE STATE OF CLIMATE PHILANTHROPY. THE REPORT, "FUNDING TRENDS: CLIMATE CHANGE MITIGATION PHILANTHROPY", OFFERED UNPARALLELED INSIGHTS ON PHILANTHROPIC FUNDING FLOWS FOR CLIMATE CHANGE MITIGATION, INCLUDING A BREAKDOWN OF FOUNDATION FUNDING ACROSS REGIONS AND SECTORS. NOTABLY, OUR RESEARCH REVEALED THAT LESS THAN 2% OF GLOBAL PHILANTHROPIC GIVING IS DEDICATED TO CLIMATE CHANGE MITIGATION NOT NEARLY ENOUGH TO MEET THE SCALE OF THE GLOBAL CHALLENGE. ALTHOUGH THE FIELD OF CLIMATE CHANGE MITIGATION PHILANTHROPY HAS BEEN DEVELOPING RAPIDLY, THERE REMAINS IMMENSE ROOM FOR SUSTAINED GROWTH. THE FUNDING TRENDS REPORT ENABLED FUNDERS TO BETTER UNDERSTAND THE RELATIONSHIP BETWEEN WHERE FUNDING IS MOST NEEDED AND WHERE IT IS GOING, MORE ACCURATELY SCOPE NEW STRATEGIES AND REFINE THEIR EXISTING WORK, AND IDENTIFY EMERGING PARTNERSHIP OPPORTUNITIES. DATA FROM THE REPORT HAVE BEEN WIDELY CITED BY INFLUENTIAL MEDIA OUTLETS WORLDWIDE, HELPING TO DRIVE AWARENESS OF CURRENT FUNDING LEVELS AND THE NEED TO DEPLOY SIGNIFICANTLY MORE PHILANTHROPIC RESOURCES OVER THE COMING YEARS TO TACKLE THIS EXISTENTIAL THREAT. (II) IN ADDITION TO THE FUNDING TRENDS REPORT, CLIMATEWORKS GLOBAL INTELLIGENCE RELEASED 24 PUBLICATIONS AND CONDUCTED 66 TAILORED ANALYSES AND BRIEFINGS IN 2020. AS A SERVICE, CLIMATEWORKS GLOBAL INTELLIGENCE CONTINUES TO LEAD CORE FUNCTIONS AND PROVIDE THE BACKBONE TO A GLOBAL COMMUNITY. OUR TOOLS SYNTHESIZE STRATEGY, GRANTMAKING, AND PROGRESS REPORTING DATA FROM ACROSS THE CLIMATE PHILANTHROPY ECOSYSTEM. OUR UNIQUE VIEW MAKES VISIBLE THE "COLLECTIVE PORTFOLIO" OF CLIMATE PHILANTHROPY STRATEGIES AND</p>

Return Reference	Explanation
	<p>INVESTMENTS, ENABLING FUNDERS TO UNDERSTAND HISTORIC TRENDS, CURRENT FUNDING PATTERNS, AND FUTURE PLANS TO MORE STRATEGICALLY ALLOCATE THEIR OWN FUNDING. GLOBAL COLLABORATIONS: BUILT TO SERVE RESULTS-DRIVEN PHILANTHROPY, CLIMATEWORKS LEADS COLLABORATIONS THAT ENABLE FUNDERS TO STRATEGIZE, LEARN, AND EXECUTE NEW AND EXISTING CLIMATE INITIATIVES. OUR SUITE OF BEST-IN-CLASS COLLABORATIONS EMPOWERS CLIMATE FUNDERS TO INCREASE INDIVIDUAL AND COLLECTIVE IMPACT. GLOBAL COLLABORATIONS HIGHLIGHTS FROM 2020 INCLUDED CONVENING TWO VIRTUAL MEETINGS OF THE FUNDERS TABLE, AN INFORMAL COLLABORATION OF NOT-FOR-PROFIT FOUNDATIONS DEDICATED TO CLIMATE CHANGE MITIGATION. FACILITATED BY THE CLIMATEWORKS, THE FUNDERS TABLE IS A WAY FOR THESE ORGANIZATIONS TO LEARN FROM AND WORK MORE CLOSELY WITH ONE ANOTHER. OTHER GLOBAL COLLABORATIONS ACTIVITIES INCLUDED THE INDIA CLIMATE PHILANTHROPY LEARNING NETWORK (ICPLN), WHICH CLIMATEWORKS LAUNCH FIVE YEARS AGO TO FACILITATE INCREASED COORDINATION AND COLLABORATION AMONG PHILANTHROPISTS ACTIVE IN INDIA. SUSTAINED ENGAGEMENT THROUGH THE ICPLN IN 2020 CULMINATED WITH AN INDIA DEEP DIVE (IDD) VIRTUAL DISCUSSION IN JANUARY 2021, WITH OVER 80 PARTICIPANTS FROM OVER 50 FUNDERS AROUND THE WORLD. THE COORDINATION AND RELATIONSHIPS NURTURED BY ICPLN PROVIDE A STRONG FOUNDATION FOR FUNDERS TO QUICKLY GET TOGETHER TO RESPOND TO NEW DEVELOPMENTS, INCREASE FUNDING, WORK THROUGH EMERGING CHALLENGES, AND ALSO FOLLOW UP ON RECOMMENDATIONS FROM THE IDD. GLOBAL GRANTMAKING: CLIMATEWORKS PROVIDES AN ARRAY OF GRANTMAKING SERVICES THAT ENABLE FUNDERS TO INVEST IN CLIMATE STRATEGIES AROUND THE GLOBE. THROUGH OUR PORTFOLIO OF GLOBAL AND TRANSNATIONAL CLIMATE MITIGATION STRATEGIES AND GRANTMAKING, WE HELP FUNDERS MAXIMIZE THEIR PHILANTHROPIC IMPACT. GLOBAL GRANTMAKING ACTIVITIES INCLUDED AWARDING \$48.5 MILLION TO 171 GRANTEEES THROUGH 262 GRANTS IN SUPPORT OF GLOBAL AND TRANSNATIONAL PROGRAMS AND INITIATIVES IN BRAZIL, CHINA, EUROPE, INDIA, LATIN AMERICA, AND SOUTHEAST ASIA. THIS GRANTMAKING SUPPORTED ADVANCES IN A RANGE OF AREAS ESSENTIAL TO ENDING THE CLIMATE CRISIS, INCLUDING CARBON DIOXIDE REMOVAL, COOLING, FINANCE, FOOD AND AGRICULTURE, FORESTS AND LAND USE, GOVERNANCE AND DIPLOMACY, INDUSTRY, POWER, SUPER POLLUTANTS, AND TRANSPORTATION.</p>
FORM 990, PART III, LINE 4B:	<p>IN 2020, CLIMATEWORKS FOUNDATION ADVANCED LAND-USE POLICIES AND PRACTICES THAT MITIGATE CLIMATE CHANGE, BENEFIT PEOPLE, AND PROTECT THE ENVIRONMENT THROUGH ITS SUPPORT FOR AND ADMINISTRATION OF THE CLIMATE AND LAND USE ALLIANCE (CLUA), A COLLABORATIVE PHILANTHROPIC INITIATIVE. THE CLIMATE AND LAND USE ALLIANCE HAD AN EVENTFUL AND PRODUCTIVE 2020, IMPLEMENTING THE THIRD YEAR OF ITS 2018-2022 STRATEGY, DEVELOPING STRATEGIC FRAMEWORKS FOR NEW WORKSTREAMS, AND SUCCESSFULLY DELIVERING ON KEY ELEMENTS OF ITS FIRST ANNUAL OPERATIONAL WORK PLAN, GUIDED BY THE PRINCIPLES IN CLUA'S HIGHER-LEVEL ORGANIZATIONAL PLAN. THROUGH CLUA, IN 2020 CLIMATEWORKS MADE 148 GRANTS TOTALING \$19.6 MILLION TO 127 GRANTEEES FOCUSED ON HALTING AND REVERSING FOREST LOSS, ADVANCING SUSTAINABLE LAND USE AND DEVELOPMENT, AND SECURING THE RIGHTS AND LIVELIHOODS OF INDIGENOUS AND FOREST COMMUNITIES.</p>
FORM 990, PART VI, SECTION A, LINE 3	<p>DURING 2020, THE ORGANIZATION CONTRACTED WITH VESANCY FORESTRY CONSULTANTS/CHRIS ELLIOTT TO MANAGE THE CLIMATE AND LAND USE ALLIANCE. COMPENSATION PAID TO VESANCY FORESTRY CONSULTANTS DURING THE 2020 TAX YEAR IN EXCHANGE FOR THESE SERVICES TOTALED \$507,620.</p>
FORM 990, PART VI, SECTION B, LINE 11B	<p>THE FORM 990 IS PREPARED JOINTLY BY CLIMATEWORKS FOUNDATION STAFF AND AN OUTSIDE ACCOUNTING FIRM. THE DRAFT IS REVIEWED BY THE CFO/TREASURER, PRESIDENT & CHIEF EXECUTIVE OFFICER, AND GENERAL COUNSEL. CLIMATEWORKS' FINANCIAL MANAGEMENT AND THE ACCOUNTING FIRM'S TAX LEAD REVIEWS THE DRAFT WITH CLIMATEWORKS' AUDIT COMMITTEE. THE AUDIT COMMITTEE RECOMMENDS APPROVAL OF THE RETURN TO THE BOARD, WHO RECEIVES THE FORM 990 PRIOR TO FILING WITH THE INTERNAL REVENUE SERVICE.</p>
FORM 990, PART VI, SECTION B, LINE 12C	<p>CLIMATEWORKS FOUNDATION'S POLICY REQUIRES THAT ANY APPARENT OR POTENTIAL CONFLICTS OF INTEREST BE FULLY DISCLOSED BEFORE A DECISION IS MADE ON THE MATTER INVOLVED, AND THAT NO DIRECTOR, OFFICER, OR STAFF MEMBER PARTICIPATE (OTHER THAN BY PROVIDING INFORMATION) IN ANY DECISION IN WHICH HE OR SHE HAS A CONFLICT OF INTEREST. CLIMATEWORKS' CONFLICT OF INTEREST POLICY IS INCLUDED AS PART OF THE FORMAL ORIENTATION FOR ALL NEW BOARD OF DIRECTORS, OFFICERS, AND STAFF. ADDITIONALLY, ALL OFFICERS, DIRECTORS, AND STAFF ARE REQUIRED TO COMPLETE AN ANNUAL CONFLICT OF INTEREST DISCLOSURE STATEMENT. POTENTIAL OFFICER AND BOARD MEMBER CONFLICTS ARE REVIEWED BY THE CHAIR OF THE BOARD, WHO WILL INVESTIGATE THE FACTS, SEEK ADVICE FROM OUTSIDE COUNSEL AS NECESSARY, AND REPORT BACK TO THE BOARD AT THE TIME IT CONSIDERS THE TRANSACTION. AN INTERESTED DIRECTOR OR OFFICER WILL NOT PARTICIPATE IN DELIBERATIONS OR THE VOTE. POTENTIAL STAFF MEMBER CONFLICTS ARE REVIEWED BY THE PRESIDENT & CHIEF EXECUTIVE OFFICER, WHO WILL INVESTIGATE THE FACTS, SEEK ADVICE FROM OUTSIDE COUNSEL AS NECESSARY, AND TAKE APPROPRIATE ACTION IN ACCORDANCE WITH THE POLICY.</p>
FORM 990, PART VI, SECTION B, LINE 15	<p>THE BOARD ANNUALLY REVIEWS THE COMPENSATION OF THE PRESIDENT AND CHIEF EXECUTIVE OFFICER AND APPROVES CHANGES. CONSISTENT WITH ITS CONFLICT OF INTEREST POLICY, THE PRESIDENT AND CHIEF EXECUTIVE OFFICER, WHO IS ALSO A DIRECTOR, DID NOT PARTICIPATE IN ANY BOARD DELIBERATIONS OR VOTES REGARDING HER COMPENSATION. THE BOARD APPROVES CHANGES TO THE CFO/TREASURER'S COMPENSATION, UNLESS THE CHANGE APPLIES TO SUBSTANTIALLY ALL EMPLOYEES. THE BOARD USES THIRD-PARTY COMPENSATION SURVEYS AND COMPENSATION INFORMATION OF COMPARABLE ORGANIZATIONS, INCLUDING OTHER FOUNDATIONS, PUBLIC CHARITIES, AND NGOS TO BENCHMARK COMPENSATION FOR THESE POSITIONS. CLIMATEWORKS FOUNDATION DOCUMENTS THE DELIBERATIONS REGARDING COMPENSATION IN MINUTES OF THE MEETINGS OF ITS BOARD. COMPENSATION FOR KEY EMPLOYEES IS REVIEWED ANNUALLY BY DIRECT SUPERVISORS, WITH FINAL REVIEW BY THE PRESIDENT AND CHIEF EXECUTIVE OFFICER. THIRD-PARTY SURVEYS, COMPILING DATA FROM OTHER FOUNDATIONS, PUBLIC CHARITIES, AND NGOS ARE USED TO BENCHMARK COMPENSATION FOR EACH POSITION.</p>
FORM 990, PART VI, SECTION C, LINE 18	<p>CLIMATEWORKS FOUNDATION PROVIDES A COPY OF THE FORM 990 DIRECTLY TO GUIDESTAR TO PUBLISH ON ITS WEBSITE, IN ADDITION TO POSTING THE FORM 990 ON ITS WEBSITE AND PROVIDING A COPY OF THE FORM 990 UPON REQUEST BY THE GENERAL PUBLIC.</p>
FORM 990, PART VI, SECTION C, LINE 19	<p>CLIMATEWORKS FOUNDATION MAKES ITS GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY, AND AUDITED FINANCIAL STATEMENTS AVAILABLE TO THE PUBLIC UPON REQUEST.</p>
FORM 990,	<p>PROGRAM CONSULTING: PROGRAM SERVICE EXPENSES 15,709,756. MANAGEMENT AND GENERAL EXPENSES 48,000.</p>

Return Reference	Explanation
PART IX, LINE 11G	<p>FUNDRAISING EXPENSES 0. TOTAL EXPENSES 15,757,756. COMMUNICATIONS: PROGRAM SERVICE EXPENSES 393,573. MANAGEMENT AND GENERAL EXPENSES 334,910. FUNDRAISING EXPENSES 16,598. TOTAL EXPENSES 745,081. CONSULTING AND PROFESSIONAL SERVICES: PROGRAM SERVICE EXPENSES 143,595. MANAGEMENT AND GENERAL EXPENSES 2,800. FUNDRAISING EXPENSES 0. TOTAL EXPENSES 146,395. TEMPORARY STAFFING: PROGRAM SERVICE EXPENSES 396,628. MANAGEMENT AND GENERAL EXPENSES 71,500. FUNDRAISING EXPENSES 12,950. TOTAL EXPENSES 481,078. PROGRAM MEASUREMENT AND EVALUATION: PROGRAM SERVICE EXPENSES 462,718. MANAGEMENT AND GENERAL EXPENSES 0. FUNDRAISING EXPENSES 0. TOTAL EXPENSES 462,718. RECRUITING: PROGRAM SERVICE EXPENSES 13,278. MANAGEMENT AND GENERAL EXPENSES -56,639. FUNDRAISING EXPENSES 0. TOTAL EXPENSES -43,361.</p>
FORM 990, PART XI, LINE 9:	RECOVERY OF PRIOR YEAR GRANT EXPENSES 1,260,807.

Additional Data

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Software ID:

Software Version:

**SCHEDULE R
(Form 990)**

Related Organizations and Unrelated Partnerships

OMB No. 1545-0047

2020

**Open to Public
Inspection**

- ▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.**
- ▶ **Attach to Form 990.**
- ▶ **Go to www.irs.gov/Form990 for instructions and the latest information.**

Department of the Treasury
Internal Revenue Service

Name of the organization
CLIMATEWORKS FOUNDATION

Employer identification number
26-2303250

Part I Identification of Disregarded Entities. Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1) CLIMATE AND LAND USE ALLIANCE LLC 235 MONTGOMERY STREET SUITE 1300 SAN FRANCISCO, CA 94104 45-3944486	ENVIRONMENTAL	DE	31,839,835	15,397,430	CLIMATEWORKS FOUNDATION

Part II Identification of Related Tax-Exempt Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No

Part III Identification of Related Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	

Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of- year assets	(h) Percentage ownership	(i) Section 512(b) (13) controlled entity?	
								Yes	No

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

- a** Receipt of **(i)** interest, **(ii)** annuities, **(iii)** royalties, or **(iv)** rent from a controlled entity
- b** Gift, grant, or capital contribution to related organization(s)
- c** Gift, grant, or capital contribution from related organization(s)
- d** Loans or loan guarantees to or for related organization(s)
- e** Loans or loan guarantees by related organization(s)
- f** Dividends from related organization(s)
- g** Sale of assets to related organization(s)
- h** Purchase of assets from related organization(s)
- i** Exchange of assets with related organization(s)
- j** Lease of facilities, equipment, or other assets to related organization(s)
- k** Lease of facilities, equipment, or other assets from related organization(s)
- l** Performance of services or membership or fundraising solicitations for related organization(s)
- m** Performance of services or membership or fundraising solicitations by related organization(s)
- n** Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)
- o** Sharing of paid employees with related organization(s)
- p** Reimbursement paid to related organization(s) for expenses
- q** Reimbursement paid by related organization(s) for expenses
- r** Other transfer of cash or property to related organization(s)
- s** Other transfer of cash or property from related organization(s)

	Yes	No
1a		
1b		
1c		
1d		
1e		
1f		
1g		
1h		
1i		
1j		
1k		
1l		
1m		
1n		
1o		
1p		
1q		
1r		
1s		

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved

Part VII Supplemental Information

Provide additional information for responses to questions on Schedule R. (see instructions).

Return Reference

Explanation

Schedule R (Form 990) 2020

Additional Data[Return to Form](#)**Software ID:****Software Version:**