

For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020

Name of foundation EINHORN COLLABORATIVE		A Employer identification number 22-6921358
Number and street (or P.O. box number if mail is not delivered to street address) 140 EAST 45TH STREET 24TH FLOOR	Room/suite	B Telephone number (see instructions) (212) 784-3090
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 100177142		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here..... ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ... ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶\$ <u>30,518,936</u>	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	104,134	104,134		
	4 Dividends and interest from securities . . .				
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a _____				
	7 Capital gain net income (from Part IV, line 2) . . .		0		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	24,000	24,000		
	12 Total. Add lines 1 through 11	128,134	128,134		
	13 Compensation of officers, directors, trustees, etc.	666,510	0		666,510
	14 Other employee salaries and wages	1,402,381	0		1,402,381
	15 Pension plans, employee benefits	838,852	0		838,852
	16a Legal fees (attach schedule)	16,579	0		16,579
	b Accounting fees (attach schedule)	134,040	0		134,040
	c Other professional fees (attach schedule)	719,370	0		719,370
	17 Interest				
	18 Taxes (attach schedule) (see instructions) . . .	2,227	0		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings	29,950	0		29,950
	22 Printing and publications				
	23 Other expenses (attach schedule)	46,524	0		46,524
	24 Total operating and administrative expenses. Add lines 13 through 23	3,856,433	0		3,854,206
	25 Contributions, gifts, grants paid	593,305			593,305
	26 Total expenses and disbursements. Add lines 24 and 25	4,449,738	0		4,447,511
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-4,321,604			
	b Net investment income (if negative, enter -0-)		128,134		
	c Adjusted net income (if negative, enter -0-)				

Part II		Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing				
	2	Savings and temporary cash investments	34,040,540	29,985,603	29,985,603	
	3	Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____				
	4	Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U.S. and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule)				
	c	Investments—corporate bonds (attach schedule)				
	11	Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____				
	12	Investments—mortgage loans				
	13	Investments—other (attach schedule)				
	14	Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____				
15	Other assets (describe ▶ _____)	800,000	533,333	533,333		
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	34,840,540	30,518,936	30,518,936		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue.				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶ _____)				
	23	Total liabilities (add lines 17 through 22).	0	0		
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ ☒ and complete lines 24, 25, 29 and 30.					
	24	Net assets without donor restrictions	34,840,540	30,518,936		
	25	Net assets with donor restrictions				
	Foundations that do not follow FASB ASC 958, check here ▶ ☐ and complete lines 26 through 30.					
	26	Capital stock, trust principal, or current funds				
	27	Paid-in or capital surplus, or land, bldg., and equipment fund				
	28	Retained earnings, accumulated income, endowment, or other funds				
	29	Total net assets or fund balances (see instructions)	34,840,540	30,518,936		
	30	Total liabilities and net assets/fund balances (see instructions) .	34,840,540	30,518,936		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	34,840,540
2	Enter amount from Part I, line 27a	2	-4,321,604
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	30,518,936
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	6	30,518,936

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e				
Capital gain net income or (net capital loss)			2	
{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }				
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1 Reserved		
(a) Reserved	(b) Reserved	(c) Reserved
2 Reserved		2
3 Reserved.		3
4 Reserved		4
5 Reserved		5
6 Reserved		6
7 Reserved		7
8 Reserved		8

Part VII

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Reserved	1	1,781
c	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	1,781
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.	5	1,781
6	Credits/Payments:		
a	2020 estimated tax payments and 2019 overpayment credited to 2020	6a	0
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	5,000
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d	7	5,000
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8	34
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid .	10	3,185
11	Enter the amount of line 10 to be: Credited to 2021 estimated tax Refunded	11	0

Part VII-A

Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
1a				No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			No
1b				No
c	Did the foundation file Form 1120-POL for this year?.			No
1c				No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0 (2) On foundation managers. ☐ \$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i>	3	Yes	
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
4b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?.	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NY			
8b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►WWW.EINHORNCOLLABORATIVE.ORG	13	Yes	
14	The books are in care of ►BARBARA WANASEK Telephone no. ►(212) 784-3090 Located at ►140 EAST 45TH STREET 24TH FLOOR NEW YORK NY ZIP+4 ►100177142			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance check here. ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? ☐ Yes ☒ No If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes

☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes

☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes

☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.

☒ Yes

☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes

☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions
Organizations relying on a current notice regarding disaster assistance check here.

☐

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?
If "Yes," attach the statement required by Regulations section 53.4945–5(d). 📎

☒ Yes

☐ No

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes

☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?
If "Yes" to 6b, file Form 8870.

☐ Yes

☒ No

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b

If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?

☐ Yes

☒ No

8

Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?

☐ Yes

☒ No

	Yes	No
5b	Yes	
6b		No
7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DAVID EINHORN 140 EAST 45TH STREET 24TH FLOOR NEW YORK, NY 100177142	TRUSTEE 1.00	0	0	0
JENNIFER HOOS ROTHBERG 140 EAST 45TH STREET 24TH FLOOR NEW YORK, NY 100177142	EXECUTIVE DIRECTOR 40.00	666,510	116,236	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JONATHAN GRUBER 140 EAST 45TH STREET 24TH FL NY,NY 100177142	STRATEGY LEAD, BUILD 40.00	342,494	116,236	0
ITAI DINOUR 140 EAST 45TH STREET 24TH FL NY,NY 100177142	STRATEGY LEAD, BRIDG 40.00	289,145	116,236	0
IRA HILLMAN 140 EAST 45TH STREET 24TH FL NY,NY 100177142	STRATEGY LEAD, BONDI 40.00	287,682	116,236	0
BARBARA WANASEK 140 EAST 45TH STREET 24TH FL NY,NY 100177142	OPERATIONS LEAD 40.00	201,810	105,000	0
LUCIE ADDISON 140 EAST 45TH STREET 24TH FL NY,NY 100177142	LEARNING AND IMPROVE 40.00	161,250	98,673	0
Total number of other employees paid over \$50,000.				1

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
BBMG HOLDINGS LLC 20 JAY STREET SUITE 1012 BROOKLYN, NY 112018346	COMMUNICATIONS	272,398
NIKO CANNER DBA INCANDESCENT LLC 27 WEST 24TH ST SUITE 10E NEW YORK, NY 100103281	STRATEGY	191,377
NATIONSWELL LLC 36 WEST 20TH STREET 6TH FLOOR NEW YORK, NY 100114253	COMMUNICATIONS	175,125
PKF O'CONNOR DAVIES LLP 500 MAMARONECK AVENUE SUITE 301 HARRISON, NY 105281648	ACCOUNTING, TAX RETURN PREPARATION AND ADMINISTRATION	114,040
FRED DUST 558 JEFFERSON AVENUE BROOKLYN, NY 112211607	STRATEGY	60,000
Total number of others receiving over \$50,000 for professional services. 0		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NEW PLURALISTS FUNDER COLLABORATIVE DESIGN - THE TRUST BROUGHT TOGETHER A DIVERSE GROUP OF FUNDERS TO EXPLORE AND DESIGN A NEW FUNDER COLLABORATIVE, LAUNCHED AS "NEW PLURALISTS" IN 2021. ACTIVITIES INCLUDED A CONVENING, STRATEGY DEVELOPMENT, ORGANIZATIONAL DESIGN, BRANDING, AND COMMUNICATIONS.	499,713
2 THE BUILDERS PARTNER COLLABORATIVE SUPPORT - THE TRUST SUPPORTED CONVENING AND JOINT LEARNING FOR A GROUP OF 19 NATIONAL NONPROFIT, SOCIAL CHANGE LEADERS WHO ARE BUILDING AND ACTIVATING THE CASE FOR WHY AND HOW AMERICANS CAN CONNECT ACROSS DIFFERENCE AND FIND COMMON GROUND TO REPAIR OUR SOCIAL FABRIC. ACTIVITIES INCLUDED REGULAR VIRTUAL COLLABORATIVE MEETINGS, SHARING OF INSIGHTS AND CONTENT WITH THE GROUP, AND PLATFORMS TO HIGHLIGHT THEIR WORK SUCH AS NATIONSWELL'S BUILD IT BACK BETTER INITIATIVE.	327,748
3 BRIDGING DIFFERENCES INITIATIVE SUPPORT - THE TRUST SUPPORTED THE GREATER GOOD SCIENCE CENTER'S BRIDGING DIFFERENCES INITIATIVE WHICH SHOWCASED RESEARCH INSIGHTS AND STORYTELLING TO HELP ADDRESS ONE OF THE MOST URGENT ISSUES OF OUR TIME: POLITICAL AND CULTURAL POLARIZATION. ACTIVITY INCLUDED VIDEO PRODUCTION FOR THE INITIATIVE.	25,125
4 #WEAVINGCOMMUNITY CAMPAIGN SUPPORT - THE TRUST SUPPORTED THE WEAVING COMMUNITY CAMPAIGN SPEARHEADED BY LISTEN FIRST COALITION AND THE ASPEN INSTITUTE'S WEAVE: THE SOCIAL FABRIC PROJECT TO CREATE SOCIAL AND COMMUNITY ENGAGEMENT EFFORTS TO HEAL OUR DIVISIONS AND CREATE THE CONNECTION THAT WILL ALLOW OUR NATION AND DEMOCRACY TO THRIVE AFTER THE PANDEMIC. ACTIVITY INCLUDED STRATEGIC COMMUNICATIONS AND WEBSITE DESIGN WORK.	15,000

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	0
b	Average of monthly cash balances.	1b	31,808,869
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	31,808,869
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d.	3	31,808,869
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	477,133
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	31,331,736
6	Minimum investment return. Enter 5% of line 5.	6	1,566,587

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,566,587
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	1,781
b	Income tax for 2020. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b.	2c	1,781
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,564,806
4	Recoveries of amounts treated as qualifying distributions.	4	266,667
5	Add lines 3 and 4.	5	1,831,473
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,831,473

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	4,447,511
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	4,447,511
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	4,447,511

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				1,831,473
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015.				
b From 2016.				
c From 2017.			32,491,352	
d From 2018.			46,118,648	
e From 2019.			15,827,416	
f Total of lines 3a through e.	94,437,416			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ 4,447,511				
a Applied to 2019, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount				1,831,473
e Remaining amount distributed out of corpus	2,616,038			
5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	97,053,454			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions)	0			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	97,053,454			
10 Analysis of line 9:				
a Excess from 2016				
b Excess from 2017			32,491,352	
c Excess from 2018.			46,118,648	
d Excess from 2019			15,827,416	
e Excess from 2020			2,616,038	

Part XIV

- Part XV** **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

DAVID EINHORN

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year 2020 AMERICANS LLC 16 COURT STREET NEW HAVEN,CT 065117400	N/A	N C	TO SUPPORT 2020 AMERICANS: PORTRAITS AND VOICES OF A NATION PROJECT	17,000
AMALGAMATED CHARITABLE FOUNDATION INC 1825 K STREET NW WASHINGTON,DC 200061202	N/A	P C	SUPPORT FOR ELECTION INTEGRITY FUND	250,000
CRUTCHES 4 KIDS INC 459 COLUMBUS AVENUE SUITE 381 NEW YORK,NY 100245129	N/A	P C	FRONTLINE HEROES CAMPAIGN IN HONOR OF KEN SHUBIN STEIN	18,000
DONORSCHOOSE ORG 134 WEST 37TH STREET 11 FLOOR NEW YORK,NY 100186938	N/A	P C	BRINGING THE WORLD TO LIFE	180
DONORSCHOOSE ORG 134 WEST 37TH STREET 11 FLOOR NEW YORK,NY 100186938	N/A	P C	VOICING STUDENT EXPERIENCES DIVERSE PICTURE BOOKS	500
INSTITUTE FOR NONPROFIT NEWS 714 WEST OLYMPIC BOULEVARD 929 LOS ANGELES,CA 900151353	N/A	P C	MISSISSIPPI CENTER FOR INVESTIGATIVE REPORTING	1,000
MEDIATORS FOUNDATION INC 2525 ARAPAHOE AVENUE UNIT E4 BOX 509 BOULDER,C O 803026746	N/A	P C	PHILANTHROPY BRIDGING DIVIDES PROJECT 2020	12,500
NATIONAL PUBLIC EDUCATION SUPPORT FUND 1900 L STREET NW SUITE 520 WASHINGTON,DC 200365065	N/A	P C	GRANTMAKERS FOR THRIVING YOUTH	20,000
NEW VENTURE FUND 1201 CONNECTICUT AVENUE NW SUITE 300 WASHINGTON,DC 200362611	N/A	P C	SUPPORT FOR TRUSTED ELECTIONS FUND'S PRIORITY AREA FOCUSED ON PEACEFUL ASSEMBLY	250,000
PEAK GRANTMAKING INC 1666 K STREET NW 440 WASHINGTON,DC 200061242	N/A	P C	GENERAL OPERATING SUPPORT	1,625
PHILANTHROPY FOR ACTIVE CIVIC ENGAGEMENT 611 PENNSYLVANIA AVE SE 372 WASHINGTON,DC 200034303	N/A	P C	GENERAL OPERATING SUPPORT	10,000
THIRD SECTOR NEW ENGLAND INC LINCOLN PLAZA 89 SOUTH STREET SUITE 700 BOSTON,MA 021112679	N/A	P C	EARLY CHILDHOOD FUNDERS COLLABORATIVE 2020	12,500
Total			▶ 3a	593,305
b Approved for future payment				
Total			▶ 3b	0

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a INTEREST ON PROGRAM RELATED INVESTMENT					24,000
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments			14	104,134	
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e).		0		104,134	24,000
13 Total. Add line 12, columns (b), (d), and (e).			13		128,134

(See worksheet in line 13 instructions to verify calculations.)

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of:

(1) Cash.

(2) Other assets.

b Other transactions:

(1) Sales of assets to a noncharitable exempt organization.

(2) Purchases of assets from a noncharitable exempt organization

(3) Rental of facilities, equipment, or other assets.

(4) Reimbursement arrangements.

(5) Loans or loan guarantees. . . .

(6) Performance of services or membership or fundraising solicitations.

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

	2021-11-08	
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below
(see instr.) ☒ **Yes** ☐ **No**

**Paid
Preparer
Use Only**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN P02093808
	JOSEPH L ALI CPA				
	Firm's name ▶ PKF O'CONNOR DAVIES LLP				Firm's EIN ▶ 27-172894
	Firm's address ▶ 500 MAMARONECK AVENUE HARRISON, NY 105281633				Phone no. (914) 381-8900

Additional Data

Return to Form

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

TY 2020 IRS 990 e-File Render

Name: EINHORN COLLABORATIVE

EIN: 22-6921358

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING, TAX RETURN PREPARATION AND ADMINISTRATION	134,040	0		134,040

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2020 IRS 990 e-File Render

Name: EINHORN COLLABORATIVE

EIN: 22-6921358

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
2020 AMERICANS LLC	16 COURT STREET NEW HAVEN, CT 065117400	2020-12-09	17,000	TO SUPPORT 2020 AMERICANS: PORTRAITS AND VOICES OF A NATION PROJECT	0	N/A	PENDING FINAL REPORT		THE FOUNDATION HAS NOT UNDERTAKEN AN INVESTIGATION OF THE GRANTEE'S REPORTS BECAUSE THE FOUNDATION HAS NO REASON TO BELIEVE THAT THOSE REPORTS ARE OF DUBIOUS ACCURACY OR RELIABILITY

TY 2020 IRS 990 e-File Render

Name: EINHORN COLLABORATIVE

EIN: 22-6921358

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GENERAL AND ADMINISTRATIVE LEGAL COUNSEL	16,579	0		16,579

TY 2020 IRS 990 e-File Render

Name: EINHORN COLLABORATIVE

EIN: 22-6921358

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PROGRAM RELATED INVESTMENT	800,000	533,333	533,333

TY 2020 IRS 990 e-File Render

Name: EINHORN COLLABORATIVE

EIN: 22-6921358

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FILING FEES	750	0		750
OFFICE AND OTHER EXPENSES	29,966	0		29,966
PAYROLL PROCESSING FEES	15,808	0		15,808

TY 2020 IRS 990 e-File Render

Name: EINHORN COLLABORATIVE

EIN: 22-6921358

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
INTEREST ON PROGRAM RELATED INVESTMENT	24,000	24,000	24,000

TY 2020 IRS 990 e-File Render

Name: EINHORN COLLABORATIVE

EIN: 22-6921358

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
STRATEGY	257,402	0		257,402
COMMUNICATIONS (FOUNDATION)	256,018	0		256,018
COMMUNICATIONS (GRANTEE)	205,125	0		205,125
OTHER (MISC)	825	0		825

TY 2020 IRS 990 e-File Render

Name: EINHORN COLLABORATIVE
EIN: 22-6921358

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX PAID	2,227	0		0