

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public. Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2020, or tax year beginning 01-01-2020, and ending 12-31-2020

Name of foundation WILLIAM E SIMON FOUNDATION INC
A Employer identification number 13-6217788
B Telephone number (see instructions) (973) 525-1000
C If exemption application is pending, check here
D 1. Foreign organizations, check here
D 2. Foreign organizations meeting the 85% test, check here and attach computation ...
E If private foundation status was terminated under section 507(b)(1)(A), check here
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 41,066,325
J Accounting method: Accrual
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes (cash basis only). Rows include Revenue (1-12) and Operating and Administrative Expenses (13-26), followed by summary rows 27-29.

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .			
	<b>2</b> Savings and temporary cash investments . . . . .	12,777,852	3,541,503	3,541,503
	<b>3</b> Accounts receivable ▶ <u>692</u>			
	Less: allowance for doubtful accounts ▶ _____	2,333	692	692
	<b>4</b> Pledges receivable ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .	42,368	45,155	45,155
	<b>10a</b> Investments—U.S. and state government obligations (attach schedule) . . . . .			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	8,604,257	9,645,511	9,645,511
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .			
	<b>11</b> Investments—land, buildings, and equipment: basis ▶ _____			
Less: accumulated depreciation (attach schedule) ▶ _____				
<b>12</b> Investments—mortgage loans . . . . .				
<b>13</b> Investments—other (attach schedule) . . . . .	23,664,308	27,708,648	27,708,648	
<b>14</b> Land, buildings, and equipment: basis ▶ _____				
Less: accumulated depreciation (attach schedule) ▶ _____				
<b>15</b> Other assets (describe ▶ _____)	154,816	124,816	124,816	
<b>16 Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	45,245,934	41,066,325	41,066,325	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .	254,221	127,694	
	<b>18</b> Grants payable . . . . .	1,584,943	1,206,179	
	<b>19</b> Deferred revenue. . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons . . . . .			
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)	128,543	167,629	
	<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .	1,967,707	1,501,502	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow FASB ASC 958, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 24, 25, 29 and 30.</b>			
	<b>24</b> Net assets without donor restrictions . . . . .	43,278,227	39,564,823	
	<b>25</b> Net assets with donor restrictions . . . . .			
	<b>Foundations that do not follow FASB ASC 958, check here</b> <input type="checkbox"/> <b>and complete lines 26 through 30.</b>			
	<b>26</b> Capital stock, trust principal, or current funds . . . . .			
	<b>27</b> Paid-in or capital surplus, or land, bldg., and equipment fund . . . . .			
	<b>28</b> Retained earnings, accumulated income, endowment, or other funds . . . . .			
<b>29 Total net assets or fund balances</b> (see instructions) . . . . .	43,278,227	39,564,823		
<b>30 Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	45,245,934	41,066,325		

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	43,278,227
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	-6,528,908
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	2,815,504
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	39,564,823
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	0
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 . . . . .	<b>6</b>	39,564,823

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1 a</b> GOLDMAN SACHS - DELAWARE	P	2019-01-01	2020-12-31
<b>b</b> GOLDMAN SACHS - DELAWARE	P	2020-01-01	2020-12-31
<b>c</b> GOLDMAN SACHS - EAGLE	P	2019-01-01	2020-12-31
<b>d</b> GOLDMAN SACHS - EAGLE	P	2020-01-01	2020-12-31
<b>e</b> GOLDMAN SACHS - HARRIS	P	2019-01-01	2020-12-31
GOLDMAN SACHS - HARRIS	P	2020-01-01	2020-12-31
DISPOSAL OF INVESTMENT IN FIA TIMBER PARTNERS, LP	P	2005-09-23	2020-12-31
PARTNERSHIP PASSTHROUGH - SHORT TERM	P	2020-01-01	2020-12-31
PARTNERSHIP PASSTHROUGH - LONG TERM	P	2019-01-01	2020-12-31
PARTNERSHIP PASSTHROUGH - LONG TERM	P	2019-01-01	2020-12-31
CAPITAL GAINS DIVIDENDS	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 338,955		268,443	70,512
<b>b</b> 122,458		113,478	8,980
<b>c</b> 344,430		218,888	125,542
<b>d</b> 15,908		27,326	-11,418
<b>e</b> 405,835		298,796	107,039
204,814		109,209	95,605
24,255		34,477	-10,222
87,300			87,300
1,297,732			1,297,732
4,535		4,535	0
197,595			197,595

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b>			70,512
<b>b</b>			8,980
<b>c</b>			125,542
<b>d</b>			-11,418
<b>e</b>			107,039
			95,605
			-10,222
			87,300
			1,297,732
			0
			197,595

Capital gain net income or (net capital loss)	2	1,968,665
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

**SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE**

1 Reserved	(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
<b>2</b> Reserved				2
<b>3</b> Reserved				3
<b>4</b> Reserved				4
<b>5</b> Reserved				5
<b>6</b> Reserved				6
<b>7</b> Reserved				7
<b>8</b> Reserved				8

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here [ ] and enter "N/A" on line 1.
Date of ruling or determination letter: (attach copy of letter if necessary—see instructions)
b Reserved 1 28,749
c All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 2 0
3 Add lines 1 and 2. 3 28,749
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 4 0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . . 5 28,749
6 Credits/Payments:
a 2020 estimated tax payments and 2019 overpayment credited to 2020 6a 11,000
b Exempt foreign organizations—tax withheld at source . . . . . 6b
c Tax paid with application for extension of time to file (Form 8868) . . . . . 6c 50,000
d Backup withholding erroneously withheld . . . . . 6d 0
7 Total credits and payments. Add lines 6a through 6d 7 61,000
8 Enter any penalty for underpayment of estimated tax. Check here [ ] if Form 2220 is attached. 8 0
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 9
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. 10 32,251
11 Enter the amount of line 10 to be: Credited to 2021 estimated tax Refunded 11 0

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? Yes No
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). Yes No
1c If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. Yes No
2 Did the foundation file Form 1120-POL for this year? Yes No
3 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0 (2) On foundation managers. \$ 0
4 Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? Yes No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes Yes No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? Yes Yes
4b If "Yes," has it filed a tax return on Form 990-T for this year? Yes Yes
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? Yes No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? Yes Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. Yes Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NY, NJ
8b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation Yes Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. If "Yes," complete Part XIV Yes No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses. Yes No

**Part VII-A Statements Regarding Activities (continued)**

<b>11</b> At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . .			<b>No</b>
<b>12</b> Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.		<b>Yes</b>	
<b>13</b> Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>WWW.WESIMONFOUNDATION.ORG</b>		<b>Yes</b>	

**14** The books are in care of **SIMON QUICK ADVISORS LLC** Telephone no. **(973) 525-1000**  
 Located at **360 MT KEMBLE AVENUE MORRISTOWN NJ** ZIP+4 **07960**

**15** Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . . .   
 and enter the amount of tax-exempt interest received or accrued during the year . . . . . **15**

<b>16</b> At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .			<b>Yes</b>	<b>No</b>
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign			<b>16</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

<b>1a</b> During the year did the foundation (either directly or indirectly):				
<b>(1)</b> Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
<b>(2)</b> Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
<b>(3)</b> Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
<b>(4)</b> Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No				
<b>(5)</b> Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
<b>(6)</b> Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
<b>b</b> If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. . . . . <input type="checkbox"/>	<b>1b</b>			<b>No</b>
<b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020? . . . . . <input type="checkbox"/>	<b>1c</b>			<b>No</b>
<b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
<b>a</b> At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <b>20___, 20___, 20___, 20___</b>				
<b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions.) . . . . .	<b>2b</b>			
<b>c</b> If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. <b>20___, 20___, 20___, 20___</b>				
<b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
<b>b</b> If "Yes," did it have excess business holdings in 2020 as a result of <b>(1)</b> any purchase by the foundation or disqualified persons after May 26, 1969; <b>(2)</b> the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or <b>(3)</b> the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.) . . . . .	<b>3b</b>			
<b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>			<b>No</b>
<b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	<b>4b</b>			<b>No</b>

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a During the year did the foundation pay or incur any amount to:
(1) Carry on propaganda, or otherwise attempt to influence legislation...
(2) Influence the outcome of any specific public election...
(3) Provide a grant to an individual for travel, study, or other similar purposes?
(4) Provide a grant to an organization other than a charitable, etc., organization...
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes...
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions...
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax...
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?
b If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?

Table with 3 columns: Yes, No, and a third column for responses. Rows correspond to questions 5a(1-5), 5b, 5c, 6a, 6b, 7a, 7b, and 8.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

Table with 5 columns: (a) Name and address, (b) Title, and average hours per week devoted to position, (c) Compensation (If not paid, enter -0-), (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances. Includes entry for PRESIDENT with compensation 0.00.

2 Compensation of five highest-paid employees (other than those included on line 1--see instructions). If none, enter "NONE."

Table with 5 columns: (a) Name and address of each employee paid more than \$50,000, (b) Title, and average hours per week devoted to position, (c) Compensation, (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances. All entries are NONE.

Total number of other employees paid over \$50,000. 0

**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**Part VIII**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**


(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
SIMON QUICK ADVISORS LLC 360 MT KEMBLE AVE MORRISTOWN, NJ 07960	STAFF & OVERHEAD FEES	356,000
SIMON QUICK ADVISORS LLC 360 MT KEMBLE AVE MORRISTOWN, NJ 07960	ACCTG. & INVESTMENT ADVISORY FEES	144,000

**Total** number of others receiving over \$50,000 for professional services. . . . . **0**

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<b>1</b> 	
<b>2</b> 	
<b>3</b> 	
<b>4</b> 	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
<b>1</b> N/A	0
<b>2</b> 	
All other program-related investments. See instructions.	
<b>3</b> 	0
<b>Total.</b> Add lines 1 through 3 . . . . .	0

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	32,844,454
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	6,413,081
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	170,663
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	39,428,198
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	39,428,198
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	591,423
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	38,836,775
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b>	1,941,839

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b>	1,941,839
<b>2a</b>	Tax on investment income for 2020 from Part VI, line 5. . . . .	<b>2a</b>	28,749
<b>b</b>	Income tax for 2020. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	28,749
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1. . . . .	<b>3</b>	1,913,090
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	0
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	1,913,090
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	<b>7</b>	1,913,090

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. . . . .	<b>1a</b>	9,029,821
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	9,029,821
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. . . . .	<b>5</b>	0
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b>	9,029,821

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
<b>1</b> Distributable amount for 2020 from Part XI, line 7				1,913,090
<b>2</b> Undistributed income, if any, as of the end of 2020:				
<b>a</b> Enter amount for 2019 only. . . . .			0	
<b>b</b> Total for prior years: 20____, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2020:				
<b>a</b> From 2015. . . . .	9,733,824			
<b>b</b> From 2016. . . . .	8,628,569			
<b>c</b> From 2017. . . . .	7,833,603			
<b>d</b> From 2018. . . . .	5,982,528			
<b>e</b> From 2019. . . . .	6,563,060			
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	38,741,584			
<b>4</b> Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ <u>9,029,821</u>				
<b>a</b> Applied to 2019, but not more than line 2a			0	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2020 distributable amount				1,913,090
<b>e</b> Remaining amount distributed out of corpus	7,116,731			
<b>5</b> Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	45,858,315			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b. . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions. . . . .		0		
<b>e</b> Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions. . . . .			0	
<b>f</b> Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions)	9,733,824			
<b>9</b> <b>Excess distributions carryover to 2021.</b> Subtract lines 7 and 8 from line 6a	36,124,491			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2016	8,628,569			
<b>b</b> Excess from 2017	7,833,603			
<b>c</b> Excess from 2018. . . . .	5,982,528			
<b>d</b> Excess from 2019	6,563,060			
<b>e</b> Excess from 2020	7,116,731			



**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> SEE STATEMENT 12 140 EAST 45TH ST 14D NEW YORK, NY 10017			CHARITABLE PURPOSE	7,074,978
<b>Total . . . . .</b> ▶ <b>3a</b>				7,074,978
<b>b</b> <i>Approved for future payment</i> SEE STATEMENT 13 140 EAST 45TH ST 14D NEW YORK, NY 10017			CHARITABLE PURPOSE	1,206,179
<b>Total . . . . .</b> ▶ <b>3b</b>				1,206,179





## **Additional Data**

[Return to Form](#)

**Software ID:**

**Software Version:**

**Form 990PF - Special Condition Description:**

**Special Condition Description**

# TY 2020 IRS 990 e-File Render

**Name:** WILLIAM E SIMON FOUNDATION INC

**EIN:** 13-6217788

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	17,000	8,500		0

## TY 2020 IRS 990 e-File Render

**Name:** WILLIAM E SIMON FOUNDATION INC

**EIN:** 13-6217788

Category	Amount
N/A	0

**TY 2020 IRS 990 e-File Render****Name:** WILLIAM E SIMON FOUNDATION INC**EIN:** 13-6217788

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BERKSHIRE HATHAWAY - A	4,173,780	4,173,780
GOLDMAN SACHS - DELAWARE LCG	1,185,616	1,185,616
GOLDMAN SACHS - EAGLE DYNAMIC EQUITY	2,120,711	2,120,711
GOLDMAN SACHS - HARRIS ASSOCIATES: DYNAMIC EQUITY	2,165,404	2,165,404

**TY 2020 IRS 990 e-File Render****Name:** WILLIAM E SIMON FOUNDATION INC**EIN:** 13-6217788

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
DREYFUS STRATEGIC GLOBAL FUND	FMV	4,258,637	4,258,637
GS LOCAL EMERGING MKTS DEBT FD	FMV	3,159,545	3,159,545
VANGUARD ST CORP FUND	FMV	190,294	190,294
ARTISAN DYNAMIC EQUITY (GLOBAL EQUITY) LLC	FMV	2,330,905	2,330,905
ELLIOTT INTERNATIONAL LIMITED	FMV	1,403,462	1,403,462
FIA TIMBER PARTNERS II, LP	FMV	1,813,800	1,813,800
OCM OPPORTUNITIES FUND VI	FMV	420	420
SINO FINANCE GROUP, LLC	FMV	2,001,581	2,001,581
SOUTH STREET CAPITAL, LP	FMV	5,669,342	5,669,342
SOUTH STREET CAPITAL II, LLC	FMV	3,429,991	3,429,991
BRAMSHILL INCOME FUND	FMV	2,179,541	2,179,541
SEG	FMV	1,271,130	1,271,130

# TY 2020 IRS 990 e-File Render

**Name:** WILLIAM E SIMON FOUNDATION INC

**EIN:** 13-6217788

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
SECURITY DEPOSIT	61,816	61,816	61,816
FEDERAL EXCISE TAX RECEIVABLE	93,000	63,000	63,000

## TY 2020 IRS 990 e-File Render

**Name:** WILLIAM E SIMON FOUNDATION INC

**EIN:** 13-6217788

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MISCELLANEOUS EXPENSE	18,177	0		19,461
MEALS	12,880	0		14,349
POSTAGE & DELIVERY	3,296	0		3,296
PORTFOLIO DEDUCTIONS	122,901	122,901		0
BANK FEES	311	311		0
OTHER INVESTMENT EXPENSES	1,823	1,823		0

# TY 2020 IRS 990 e-File Render

**Name:** WILLIAM E SIMON FOUNDATION INC

**EIN:** 13-6217788

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
MISCELLANEOUS INCOME	16,811	16,811	16,811

## TY 2020 IRS 990 e-File Render

**Name:** WILLIAM E SIMON FOUNDATION INC

**EIN:** 13-6217788

Description	Amount
CHANGE IN UNREALIZED APPRECIATION	2,815,504

# TY 2020 IRS 990 e-File Render

**Name:** WILLIAM E SIMON FOUNDATION INC

**EIN:** 13-6217788

Description	Beginning of Year - Book Value	End of Year - Book Value
DEFERRED FEDERAL EXCISE TAX	128,543	167,629

**TY 2020 IRS 990 e-File Render****Name:** WILLIAM E SIMON FOUNDATION INC**EIN:** 13-6217788

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING & INVESTMENT ADVISORY FEES	144,000	144,000		0
CONSULTANT FEES	84,000	0		84,000
CUSTODY/MGMT FEES-GOLDMAN SACHS-DELAWARE	11,059	11,059		0
CUSTODY/MGMT FEES-GOLDMAN SACHS-EAGLE	28,554	28,554		0
CUSTODY/MGMT FEES-GOLDMAN SACHS-HARRIS ASSOC.	27,052	27,052		0
CUSTODY/MGMT FEES-US TRUST COMPANY	17,358	17,358		0
STAFF & OVERHEAD FEES	356,000	0		356,000

**TY 2020 IRS 990 e-File Render****Name:** WILLIAM E SIMON FOUNDATION INC**EIN:** 13-6217788

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAXES	34,000	0		0
DEFERRED FEDERAL EXCISE TAXES	39,086	0		0
NEW YORK FILING FEE	750	0		750
FOREIGN TAXES	15,785	15,785		0
UNRELATED BUSINESS INCOME TAXES	250	0		0