Form **990-PF**
Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0052
2020
Open to Public Inspection

For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020

Name of foundation
RITA ALLEN FOUNDATION INC

A Employer identification number
13-6116429

Number and street (or P.O. box number if mail is not delivered to street address) PO BOX 116
Room/suite

B Telephone number (see instructions)
(609) 683-8010

City or town, state or province, country, and ZIP or foreign postal code
PRINCETON, NJ 085421116

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here............ ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here .... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16*) ▶$ 192,154,107

J Accounting method: ☐ Cash ☐ Accrual
☑ Other (specify) Modified Cash
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here .... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	133,812	133,812		
	4	Dividends and interest from securities	1,645,458	2,201,644		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	4,203,089			
	b	Gross sales price for all assets on line 6a 75,453,567				
	7	Capital gain net income (from Part IV, line 2)		3,907,468		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	434,096	454,122		
	12	**Total.** Add lines 1 through 11	6,416,455	6,697,046		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	765,130	0		765,130
	14	Other employee salaries and wages	636,334	0		636,334
	15	Pension plans, employee benefits	385,810	0		385,810
	16a	Legal fees (attach schedule)	11,021	0		11,021
	b	Accounting fees (attach schedule)	44,363	0		44,363
	c	Other professional fees (attach schedule)	1,142,504	1,128,919		2,660
	17	Interest		41,682		
	18	Taxes (attach schedule) (see instructions)	34,803	46,194		0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy	117,320	0		117,320
	21	Travel, conferences, and meetings	30,894	0		30,894
	22	Printing and publications				
	23	Other expenses (attach schedule)	401,286	274,803		401,031
	24	**Total operating and administrative expenses.** Add lines 13 through 23	3,569,465	1,491,598		2,394,563
	25	Contributions, gifts, grants paid	6,523,547			6,523,547
	26	**Total expenses and disbursements.** Add lines 24 and 25	10,093,012	1,491,598		8,918,110
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-3,676,557			
	b	**Net investment income** (if negative, enter -0-)		5,205,448		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets				
1	Cash—non-interest-bearing	30,337	74,718	74,718
2	Savings and temporary cash investments	9,011,768	21,124,100	21,124,100
3	Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U.S. and state government obligations (attach schedule)	8,615,919	0	0
b	Investments—corporate stock (attach schedule)	94,482,782	95,389,758	95,389,758
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	70,968,319	75,559,478	75,559,478
14	Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)	6,053	6,053	6,053
16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	183,115,178	192,154,107	192,154,107
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances				
	Foundations that follow FASB ASC 958, check here ▶ ☑ **and complete lines 24, 25, 29 and 30.**			
24	Net assets without donor restrictions	179,401,872	188,447,399	
25	Net assets with donor restrictions	3,713,306	3,706,708	
	Foundations that do not follow FASB ASC 958, check here ▶ ☐ **and complete lines 26 through 30.**			
26	Capital stock, trust principal, or current funds			
27	Paid-in or capital surplus, or land, bldg., and equipment fund			
28	Retained earnings, accumulated income, endowment, or other funds			
29	**Total net assets or fund balances** (see instructions)	183,115,178	192,154,107	
30	**Total liabilities and net assets/fund balances** (see instructions)	183,115,178	192,154,107	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	183,115,178
2	Enter amount from Part I, line 27a	2	-3,676,557
3	Other increases not included in line 2 (itemize) ▶	3	12,715,486
4	Add lines 1, 2, and 3	4	192,154,107
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	192,154,107

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	PUBLICLY TRADED SECURITIES			
b	ORCHARD LIQUID CREDIT FUND	P		
c	YORK CREDIT OPPORTUNITIES	P		
d	LANSDOWNE	P		
e	BIRCH GROVE CREDIT STRATEGIES FUND SERIES E	P		
	COLCHIS INCOME FUND, LTD.	P		
	GOTHAM HEDGED VALUE STRATEGIES 140/40 CLASS A	P		
	THE EDGBASTON ASIAN EQUITY TRUST	P		
	RENAISSANCE INSTITUTIONAL EQUITIES FUND LLC	P		
	KABOUTER INTERNATIONAL INSIGHT FUND, LLC	P		
	NEW GENERATION TURNAROUND FUND (DELAWARE) L.P.	P		
	FUNDSMITH EQUITY FUND, L.P.	P		
	WARBURG PINCUS PRIVATE EQUITY XII (E&P) XII (B), L.P.	P		
	NOVALPINA CAPITAL PARTNERS I SCSP	P		
	DALTON INVESTMENTS TRUST - SERIES B	P		
	MGG SF EVERGREEN UNLEVERED FUND LP	P		
	WARBURG PINCUS PRIVATE EQUITY XII, L.P.	P		
	GQG PARTNERS INTERNATIONAL EQUITY FUND	P		
	ECHO STREET GOODCO SELECT II LP	P		
	THE OVERLOOK PARTNERS FUND, L.P.	P		

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	62,642,241		59,524,736	3,117,505
b	500,000		405,484	94,516
c	712,983		984,741	-271,758
d	2,277,370		1,771,131	506,239
e	1,989,167		1,808,066	181,101
	2,298,236		2,028,172	270,064
	5,033,570		4,489,647	543,923
				-1,158,496
				-308,271
				-89,537
				-33,800
				-541
				20
				2,784
				21,478
				176,929
				182,151
				200,153
				236,007
				237,001

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
a				3,117,505
b				94,516
c				-271,758
d				506,239
e				181,101
				270,064
				543,923
				-1,158,496
				-308,271
				-89,537
				-33,800
				-541
				20
				2,784
				21,478
				176,929
				182,151
				200,153
				236,007
				237,001

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7	2	3,907,468
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1 Reserved

(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved

2	Reserved	2	
3	Reserved	3	
4	Reserved	4	
5	Reserved	5	
6	Reserved	6	
7	Reserved	7	
8	Reserved	8	

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ____________ **(attach copy of letter if necessary–see instructions)**			
b	Reserved		1	72,356
c	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0
3	Add lines 1 and 2.		3	72,356
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	72,356
6	Credits/Payments:			
a	2020 estimated tax payments and 2019 overpayment credited to 2020	6a	113,590	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c	70,000	
d	Backup withholding erroneously withheld	6d	0	
7	Total credits and payments. Add lines 6a through 6d		7	183,590
8	Enter any **penalty** for underpayment of estimated tax. Check here ☑ if Form 2220 is attached.		8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	111,234
11	Enter the amount of line 10 to be: **Credited to 2021 estimated tax** ▶ 111,234	**Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).	1b		No
	*If the answer is "Yes" to **1a** or **1b,** attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0 **(2)** On foundation managers. ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?.	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NJ, NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶WWW.RITAALLEN.ORG

14 The books are in care of ▶KARI WILLIAMS TREASURER Telephone no. ▶(609) 683-8010

Located at ▶PO BOX 116 PRINCETON NJ ZIP+4 ▶085421116

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . . ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year . . . . ▶ | 15 |

			Yes	No
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☑ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions		1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020?	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20___, 20___, 20___, 20___				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20___, 20___, 20___, 20___				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2020 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
WILLIAM F GADSDEN PO BOX 116 PRINCETON, NJ 085421116	DIRECTOR, CHAIRPERSON OF THE BOARD 2.00	7,000	0	0
ELIZABETH G CHRISTOPHERSON PO BOX 116 PRINCETON, NJ 085421116	DIRECTOR, PRESIDENT, CEO 40.00	481,680	74,187	0
KARI A WILLIAMS PO BOX 116 PRINCETON, NJ 085421116	FINANCE, TREASURER 27.00	160,500	36,868	0
KATHERINE BELYI PO BOX 116 PRINCETON, NJ 085421116	SECRETARY/COMMUNICATIONS 25.00	103,950	14,035	0
ANDREW K GOLDEN PO BOX 116 PRINCETON, NJ 085421116	DIRECTOR 1.00	0	0	0
GENEVA OVERHOLSER PO BOX 116 PRINCETON, NJ 085421116	DIRECTOR 1.00	3,500	0	0
LANDON Y JONES PO BOX 116 PRINCETON, NJ 085421116	DIRECTOR 1.00	0	0	0
ROBBERT DIJKGRAAF PHD PO BOX 116 PRINCETON, NJ 085421116	DIRECTOR 1.00	4,000	0	0
RODNEY PRIESTLEY PHD BEGAN 93020 PO BOX 116 PRINCETON, NJ 085421116	DIRECTOR 1.00	500	0	0
SAMUEL S-H WANG PHD PO BOX 116 PRINCETON, NJ 085421116	DIRECTOR 1.00	4,000	0	0
SIVAN HONG PO BOX 116 PRINCETON, NJ 085421116	DIRECTOR 1.00	0	0	0
THE HONORABLE THOMAS H KEAN PO BOX 116 PRINCETON, NJ 085421116	DIRECTOR 1.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
JONATHAN A KARTT PO BOX 116 PRINCETON,NJ 085421116	PROGRAM AND EVALUATI 40.00	202,884	40,108	0
BARBARA J NATALIZIO PO BOX 116 PRINCETON,NJ 085421116	SCIENCE PROGRAMS ADM 40.00	114,303	43,932	0
FATU J BADIANE MARKEY PO BOX 116 PRINCETON,NJ 085421116	COMMUNICATIONS 40.00	81,677	39,163	0
JANIE SCURTI PO BOX 116 PRINCETON,NJ 085421116	EXECUTIVE ASSISTANT 40.00	80,360	30,655	0
SAMUEL DYSON TERM ENDED 11302020 PO BOX 116 PRINCETON,NJ 085421116	CIVIC SCIENCE FELLOW 40.00	86,984	13,796	0
Total number of other employees paid over $50,000 ▶				0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NEW PROVIDENCE ASSET MANAGEMENT LP 570 LEXINGTON AVE 5TH FL NEW YORK,NY 10022	INVESTMENT MANAGEMENT	560,494
BROWN ADVISORY MANAGEMENT LLC 901 S BOND STREET SUITE 400 BALTIMORE,MD 21231	INVESTMENT MANAGEMENT	239,540
REINHART PARTNERS INC 1500 W MARKET ST SUITE 100 MEQUON,WI 53092	INVESTMENT MANAGEMENT	75,563

Total number of others receiving over $50,000 for professional services. . . . . ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 THE RITA ALLEN FOUNDATION INVESTS IN EMERGING IDEAS IN SCIENCE, SOCIAL INNOVATION, AND INFORMED CIVIC ENGAGEMENT. THE FOUNDATION WORKS WITH GRANT RECIPIENTS TO BUILD CAPACITY BEYOND FINANCIAL SUPPORT, INCLUDING PROVIDING VALUE-ADDED ACTIVITIES THROUGH CONFERENCES, PUBLISHING, AND CONTRIBUTING TO RESEARCH TO HELP GUIDE THE WORK OF EMERGING LEADERS AND ORGANIZATIONS, AS WELL AS OFFERING TECHNICAL ASSISTANCE FOR GRANT RECIPIENTS. IN 2020, THE FOUNDATION HOSTED A SERIES OF VIRTUAL MEETINGS OF CURRENT AND FORMER RITA ALLEN FOUNDATION SCHOLARS FOCUSING ON SHARING THEIR SCIENTIFIC RESEARCH IN THE NEUROBIOLOGY OF PAIN, AND WE BEGAN PREPARATIONS FOR A CONVENING OF RESEARCHERS AND PHILANTHROPISTS IN 2021 TO ADVANCE BASIC RESEARCH IN PAIN.	68,673
2 THE RITA ALLEN FOUNDATION IS COMMITTED TO DEVELOPING THE KNOWLEDGE AND COLLABORATION OF THE PHILANTHROPIC SECTOR AND THE FIELDS IN WHICH IT WORKS IN ORDER TO BETTER ADDRESS COMPLEX PROBLEMS. IN 2020, THE FOUNDATION CONDUCTED RESEARCH ON THE INTERACTION BETWEEN SCIENCE, TECHNOLOGY, MEDIA, PHILANTHROPY, AND CIVIL SOCIETY. THIS RESEARCH WAS SHARED VIA A FIELD NEWSLETTER AND PARTICIPATION IN MEETINGS CONVENED WITH THE NATIONAL ACADEMY OF SCIENCES AND OTHER PARTNERS, AND A FORTHCOMING ARTICLE IN THE STANFORD SOCIAL INNOVATION REVIEW. IN 2020, THE FOUNDATION ALSO ORGANIZED AND SUPPORTED A COHORT OF FELLOWS TO DEVELOP MORE EFFECTIVE SCIENCE COMMUNICATION RESEARCH AND PRACTICE. IN SUPPORT OF THE FELLOWS, THE FOUNDATION DEVELOPED BRIEFING PAPERS, RESOURCE COLLECTIONS, AND BOTH IN-PERSON AND VIRTUAL LEARNING OPPORTUNITIES. THE FOUNDATION ALSO LED AND SUPPORTED COLLABORATIVE EFFORTS AMONG FUNDERS FOCUSED ON SUPPORTING SCIENCE, CIVIC PARTICIPATION, AND AN EFFECTIVE SOCIAL SECTOR.	593,055
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions. **3**	
Total. Add lines 1 through 3 . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	160,677,719
b	Average of monthly cash balances	1b	11,098,022
c	Fair market value of all other assets (see instructions)	1c	6,053
d	**Total** (add lines 1a, b, and c)	1d	171,781,794
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	171,781,794
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,576,727
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	169,205,067
6	**Minimum investment return.** Enter 5% of line 5	6	8,460,253

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	8,460,253
2a	Tax on investment income for 2020 from Part VI, line 5 — 2a: 72,356		
b	Income tax for 2020. (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	72,356
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	8,387,897
4	Recoveries of amounts treated as qualifying distributions	4	2,105
5	Add lines 3 and 4	5	8,390,002
6	Deduction from distributable amount (see instructions)	6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	8,390,002

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	8,918,110
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	8,918,110
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	8,918,110

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				8,390,002
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			8,212,042	
b Total for prior years: 20__ , 20__ , 20__		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015.				
b From 2016.				
c From 2017.				
d From 2018.				
e From 2019.				
f **Total** of lines 3a through e.	0			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ $ 8,918,110				
a Applied to 2019, but not more than line 2a			8,212,042	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount				706,068
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2020. *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount —see instructions		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				7,683,934
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions)	0			
9 **Excess distributions carryover to 2021.** Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9:				
a Excess from 2016				
b Excess from 2017				
c Excess from 2018.				
d Excess from 2019				
e Excess from 2020				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling

b. Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2020	**(b)** 2019	**(c)** 2018	**(d)** 2017	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
INSTITUTE FOR ADVANCED STUDY 1 EINSTEIN DRIVE PRINCETON,NJ 08540		PC	GENERAL OPERATING SUPPORT	10,000
INTERNATIONAL ASSOCIATION FOR THE STUDY OF PAIN 1510 H STREET NW SUITE 600 WASHINGTON,DC 200051020		PC	IASP PAIN RESEARCH FORUM CORRESPONDENTS PROGRAM	25,000
JUNIOR ACHIEVEMENT OF NJ 360 PEAR BLOSSOM DRIVE EDISON,NJ 08837		PC	GENERAL OPERATING SUPPORT	5,000
JUST CITY MEMPHIS PO BOX 41852 MEMPHIS,TN 38174		PC	GENERAL OPERATING SUPPORT	100
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVE E19-623 CAMBRIDGE,MA 02139		PC	KNIGHT SCIENCE JOURNALISM PROGRAM AT MIT	20,000
MEDIA IMPACT FUNDERS 200 W WASHINGTON SQUARE SUITE 220 PHILADELPHIA,PA 19106		PC	BUILDING KNOWLEGE AND CAPACITY OF FUNDERS SUPPORTING PUBLIC ENGAGEMENT WITH EVIDENCE	150,000
MEMORIAL SLOAN KETTERING CANCER CENTER 1275 YORK AVENUE BOX 701 NEW YORK,NY 10065		PC	PROJECT SUPPORT-ADULT STEM-CELL TRANSPLANT PROGRAM	5,000
MEMORIAL SLOAN KETTERING CANCER CENTER 1275 YORK AVENUE BOX 701 NEW YORK,NY 10065		PC	ALEX KENTSIS 2016 SCHOLAR	100,000
MEMORIAL SLOAN KETTERING CANCER CENTER 1275 YORK AVENUE BOX 701 NEW YORK,NY 10065		PC	TUOMAS TAMMELA 2020 SCHOLAR	100,000
MIAMI FOUNDATION 40 NW 3RD STREET SUITE 305 MIAMI,FL 33128		PC	NEWSMATCH 2020 PROGRAM	50,000
MICHIGAN STATE UNIVERSITY 426 AUDITORIUM RD ROOM 2 EAST LANSING,MI 48824		PC	DR. GEOFFROY LAUMET 2020 PAIN SCHOLAR	50,000
MPN RESEARCH FOUNDATION 180 NORTH MICHIGAN AVE SUITE 1870 CHICAGO,IL 60601		PC	GENERAL OPERATING SUPPORT	5,000
MULTIPLIER 405 14TH ST SUITE 164 OAKLAND,CA 94612		PC	HARNESSING THE POWER OF SCIENCE FELLOW NETWORKS VIRTUAL WORKING GROUP	20,000
MULTIPLIER 405 14TH ST SUITE 164 OAKLAND,CA 94612		PC	SCIENCE POLICY SCHOLARS PROGRAM	50,000
NAMI 4301 WILSON BLVD SUITE 300 ARLINGTON,VA 22203		PC	GENERAL OPERATING SUPPORT	100
NATIONAL ACADEMY OF SCIENCES 500 5TH ST NW KECK 1142 WASHINGTON,DC 20001		PC	A VIRTUAL WEBINAR SERIES	24,860
NATIONAL GEOGRAPHIC SOCIETY 1145 17TH STREET NW WASHINGTON,DC 20036		PC	COVID-19 GLOBAL EMERGENCY JOURNALISM FUND PROGRAM	50,000
NATIONAL GEOGRAPHIC SOCIETY 1145 17TH STREET NW WASHINGTON,DC 20036		PC	CIVIC SCIENCE FELLOW	50,000
NEW CANAAN COUNTRY SCHOOL 635 FROGTOWN ROAD NEW CANAAN,CT 06840		PC	STEM CURRICULUM AND TEACHING	15,000
NEW EYES FOR THE NEEDY INC 549 MILLBURN AVE SHORT HILLS,NJ 07078		PC	GENERAL OPERATING SUPPORT	200
NEW VENTURE FUND 1201 CONNECTICUT AVE NW SUITE 300 WASHINGTON,DC 20036		PC	SCIENCE PHILANTHROPY ALLIANCE-STRATEGIC PLANNING INITIATIVE	25,000
NEW VENTURE FUND 1201 CONNECTICUT AVE NW SUITE 300 WASHINGTON,DC 20036		PC	CIVIC SCIENCE FELLOW	75,000
NEW VENTURE FUND 1201 CONNECTICUT AVE NW SUITE 300 WASHINGTON,DC 20036		PC	ORFG OPEN ACCESS COMMUNICATIONS CAMPAIGN	20,000
NEW VENTURE FUND 1201 CONNECTICUT AVE NW SUITE 300 WASHINGTON,DC 20036		PC	SPARC'S OPEN RESEARCH FUNDERS GROUP PROGRAM	25,000
NEW VENTURE FUND 1201 CONNECTICUT AVE NW SUITE 300 WASHINGTON,DC 20036		PC	SCIENCE PHILANTHROPY ALLIANCE	100,000
PEOPLE & STORIES 295 EGGERTS CROSSING ROAD LAWRENCEVILLE,NJ 08648		PC	GENERAL OPERATING SUPPORT	5,000
PHILANTHROPY FOR ACTIVE CIVIC ENGAGEMENT 1717 RHODE ISLAND AVE NW 700 WASHINGTON,DC 20036		PC	PACE IMAGINATION SPRINTS PROGRAM	50,000
PHILANTHROPY NY 320 EAST 43RD STREET NEW YORK,NY 10017		PC	2020 GRANT IN EXCESS OF BASE DUES	450
PRESIDENT AND FELLOWS OF HARVARD COLLEGE PO BOX 415649 BOSTON,MA 022415649		PC	DR. AMANDA WHIPPLE 2020 SCHOLAR	110,000
PRESIDENT AND FELLOWS OF HARVARD COLLEGE PO BOX 415649 BOSTON,MA 022415649		PC	CIVIC SCIENCE FELLOW	50,000
PRESIDENT AND FELLOWS OF HARVARD COLLEGE PO BOX 415649 BOSTON,MA 022415649		PC	CIVIC SCIENCE FELLOWSHIP ON TECH ETHICS AND THE PUBLIC INTEREST	25,000
PRINCETON ALUMNICORPS 12 STOCKTON STREET PRINCETON,NJ 08540		PC	PRINCETON PROJECT 55, INC.	5,000
PRINCETON PUBLIC LIBRARY FOUNDATION 65 WITHERSPOON STREET PRINCETON,NJ 08542		PC	GENERAL OPERATING SUPPORT	3,000
PRINCETON UNIVERSITY 423 PERETSMAN SCULLY HALL/A81 PRINCETON,NJ 08544		PC	GENERAL SUPPORT CONTRIBUTION	10,000
RESEARCHAMERICA 241 18TH STREET SOUTH SUITE 501 ARLINGTON,VA 22202		PC	CIVIC ENGAGEMENT MICROGRANTS	100,000
RESEARCH4IMPACT 2001 W COLD SPRING LANE 516 BALTIMORE,MD 21209		PC	GENERAL SUPPORT CONTRIBUTION	5,000
RESEARCH4IMPACT 2001 W COLD SPRING LANE 516 BALTIMORE,MD 21209		PC	CREATION & IMPACT OF COLLABORATIONS BETWEEN SCIENTISTS & CIVIC LEADER	100,000
ROBERT C MAYNARD INSTITUTE FOR JOURNALISM 1400 65TH ST SUITE 200 EMERYVILLE,CA 94608		PC	GENERAL OPERATING SUPPORT	5,000
ROCKEFELLER PHILANTHROPY ADVISORS 6 WEST 48TH STREET 10TH FLOOR NEW YORK,NY 10036		PC	FUND FOR SHARED INSIGHT	200,000
RUTGERS UNIVERSITY FOUNDATION 4TH FLOOR 335 GEORGE STREET NEW BRUNSWICK,NJ 08901		PC	SOCIAL WORK DISCRETIONARY FUND AT CINJ	5,000
SCIENCE COMMUNICATION LAB INC 600 16TH ST N476D 2240 SAN FRANCISCO,CA 94143		PC	HIGHLIGHTING SCIENTISTS FROM UNDERREPRESENTED GROUPS AND EVALUATING EFFICCACY OF SCIENCE COMMUNICATION LAB	50,000
SCIENCE FRIDAY INITIATIVE 19 WEST 44TH ST STE 412 NEW YORK,NY 10036		PC	PUBLIC HEALTH STORIES FROM THE FRONT LINES OF CLIMATE CHANGE	50,000
SCIENCECOUNTS INC PO BX 11678 FORT WORTH,TX 761100678		PC	GENERAL SUPPORT CONTRIBUTION	10,000
SCIENCECOUNTS INC PO BX 11678 FORT WORTH,TX 761100678		PC	RAISING VOICES FOR SCIENCE PROGRAM	50,000
SHAKESPEARE THEATRE OF NEW JERSEY 3 VREELAND ROAD FLORHAM PARK,NJ 07932		PC	GENERAL OPERATING SUPPORT	30,000
SHARE FOOD PROGRAM 2901 W HUNTING PARK AVE PHILADELPHIA,PA 19129		PC	GENERAL OPERATING SUPPORT	200
SMITHSONIAN INSTITUTE PO BOX 418320 BOSTON,MA 022418320		PC	PROJECT SUPPORT-ARCHIVES OF AMERICAN GARDENS	2,500
SOCIAL GOOD BOX 5473 RICHMOND,CA 94805		PC	GRAPEVINE DAF: SCURTI/100 WOMEN BC/WORTHWHILE WEAR	100
SOCIAL SCIENCE RESEARCH COUNCIL 300 CADMAN PLAZA WEST 15TH FLOOR BROOKLYN,NY 11201		PC	DIGITAL MISINFORMATION RESEARCH MAPPING & TRANSLATION PROGRAM	35,000
SOLUTIONS JOURNALISM NETWORK INC 79 MADISON AVENUE SUITE 1656 NEW YORK,NY 10016		PC	ADVANCING EQUITY & INCLUSION IN SOLUTIONS JOURNALISM	75,000
SOLUTIONS JOURNALISM NETWORK INC 79 MADISON AVENUE SUITE 1656 NEW YORK,NY 10016		PC	GENERAL SUPPORT CONTRIBUTION	10,000
ST JUDE CHILDREN'S RESEARCH HOSPITAL PO BOX 1000 DEPT 949 MEMPHIS,TN 381480949		PC	LINDSAY SCHWARZ 2018 SCHOLAR	100,000
STANFORD UNIVERSITY-SCHOLAR PO BOX 44253 SAN FRANCISCO,CA 941444253		PC	DR. LAUREN O'CONNELL, 2019 SCHOLAR	100,000
STONY BROOK FOUNDATION N4047 MELVILLE LIBRARY STONY BROOK UNIVERSITY STONY BROOK,NY 11794		PC	PERCEPTION OF CHRONIC PAIN: A PROJECT TO CREATE SCIENCE COMMUNICATIONS STRATEGIES	75,000
STONY BROOK FOUNDATION N4047 MELVILLE LIBRARY STONY BROOK UNIVERSITY STONY BROOK,NY 11794		PC	ALAN ALDA CENTER FOR COMMUNICATION SCIENCE'S CIVIC SCIENCE FELLOW	75,000
THE ASPEN INSTITUTE 2300 N ST NW WASHINGTON,DC 20037		PC	GLOBAL CIVIC SCIENCE COALITION	40,000
THE ASPEN INSTITUTE 2300 N ST NW WASHINGTON,DC 20037		PC	SCIENCE AND SOCIETY PROGRAM	25,000
THE ASPEN INSTITUTE 2300 N ST NW WASHINGTON,DC 20037		PC	SCIENCE AND SOCIETY PROGRAM (INFODEMIC)	25,000
THE BROTHERHOODSISTER SOL 140 HAMILTON PLACE NEW YORK,NY 10031		PC	GENERAL SUPPORT CONTRIBUTION	10,000
THE CONVERSATION US INC 89 SOUTH STREET SUITE 202 BOSTON,MA 02111		PC	COVID-19 PROGRAM	50,000
THE DAILY PENNSYLVANIAN 4015 WALNUT ST 2ND FL PHILADELPHIA,PA 19104		PC	GENERAL OPERATING SUPPORT	5,000
THE LUV INSTITUTE 1507 E 53RD STREET SUITE 904 CHICAGO,IL 60615		PC	GENERAL OPERATING SUPPORT	500
THE MAGNUM CULTURAL FOUNDATION 59 E 4TH STREET 7W NEW YORK,NY 10003		PC	GENERAL OPERATING SUPPORT	250
THE NATURE CONSERVANCY SUITE 6030 555 E NORTH LANE CONSHOHOCKEN,PA 19428		PC	GENERAL OPERATING SUPPORT	5,000
THE NETHERLAND-AMERICA FOUNDATION 505 EIGHTH AVE 12A-05 NEW YORK,NY 10018		PC	RCO FUND	7,000
THE PHILADELPHIA BAIL FUND PO BOX 22316 PHILADELPHIA,PA 19110		PC	COVID-19 AND PROTEST RAPID RESPONSE FUND	250
THE POYNTER INSTITUTE FOR MEDIA STUDIES INC 801 3RD STREET SOUTH ST PETERSBURG,FL 33701		PC	HELPING JOURNALISTS COVER COVID-19	50,000
THE ROCKEFELLER UNIVERSITY 164 1230 YORK AVENUE NEW YORK,NY 10065		PC	DR. LI ZHAO 2019 SCHOLAR	100,000
THE ROCKEFELLER UNIVERSITY BOX 164 1230 YORK AVENUE NEW YORK,NY 10065		PC	JEREMY ROCK 2020 SCHOLAR	100,000
TIDES CENTER PO BOX 399385 SAN FRANCISCO,CA 941399385		PC	#FREETHEM ALL EMERGENCY RESPONSE FUND	102
UJA FEDERATION 130 EAST 59TH ST NEW YORK,NY 10022		PC	HOMES FOR AGED	90,000
UNITED WAY OF BUCKS COUNTY 413 HOOD BLVD FAIRLESS HILLS,PA 19030		PC	BUCKS COUNTY COVID-19 RECOVERY FUND	100
UNIV OF TEXAS SOUTHWESTERN MEDICAL CENTER PO BOX 841765 DALLAS,TX 753909020		PC	HELEN LAI 2018 SCHOLAR	50,000
UNIV OF WASHINGTON FOUNDATION 4333 BROOKLYN AVE NE SEATTLE,WA 981959504		PC	SUPPORT THE ADVANCE CENTER FOR INSTITUTIONAL CHANGE	10,000
UNIV OF TEXAS MD ANDERSON CANCER CENTER PO BOX 4266 HOUSTON,TX 772104266		PC	KATHARINA SCHLACHER 2016 SCHOLAR	100,000
UNIV OF TEXAS MD ANDERSON CANCER CENTER PO BOX 4266 HOUSTON,TX 772104266		PC	DR. ANDREW SHEPHERD 2020 PAIN SCHOLAR	50,000
UNIVERSITY OF CALIFORNIA BERKELEY 2195 HEARST AVENUE ROOM 130 MC 1103 BERKLEY,CA 947201103		PC	STEPHAN LAMMEL 2017 SCHOLAR	100,000
UNIVERSITY OF CALIFORNIA SAN DIEGO 9500 GILMAN DRIVE MAIL CODE 0009 LA JOLLA,CA 920930009		PC	DR. MATTHEW BANGHART 2019 SCHOLAR	100,000
UNIVERSITY OF CALIFORNIA SAN DIEGO 9500 GILMAN DRIVE MAIL CODE 0009 LA JOLLA,CA 920930009		PC	RICHARD DANEMAN 2017 SCHOLAR	110,000
UNIVERSITY OF FLORIDA FOUNDATION 1938 WEST UNIVERSITY AVENUE GAINESVILLE,FL 32604		PC	GENERAL SUPPORT CONTRIBUTION	10,000
UNIVERSITY OF MASSACHUSETTS 55 LAKE AVENUE NORTH WORCESTER,MA 01655		PC	PAUL GREER 2018 SCHOLAR	100,000
UNIVERSITY OF MICHIGAN MELLON BANK BOX 223131 PITTSBURGH,PA 152512131		PC	MONICA DUS 2016 SCHOLAR-NEUROSCIENCE	110,000
UNIVERSITY OF NORTH CAROLINA PO BOX 402420 ATLANTA,GA 303842420		PC	BO LI 2016 SCHOLAR	100,000
UNIVERSITY OF TEXAS AT AUSTIN 2600 COCKBURN DRIVE 2 AUSTIN,TX 78745		PC	CME STEM GRADUATE STUDENTS AS PUBLIC COMMUNICATORS	50,000
UNIVERSITY OF TEXAS AT AUSTIN 2600 COCKBURN DRIVE 2 AUSTIN,TX 78745		PC	CENTER FOR MEDIA ENGAGEMENT, CIVIC SCIENCE FELLOW	16,625
UNIVERSITY OF TEXAS FOUNDATION PO BOX 2356 SAN ANTONIO,TX 782982356		PC	DR. MICHAEL BURTON 2019 PAIN SCHOLAR	50,000
UNIVERSITY OF WISCONSIN 21 N PARK STREET SUITE 6401 MADISON,WI 53715		PC	DEVELOPMENT OF CIVIC SCIENCE FIELD	50,000
UNIVERSITY OF WISCONSIN 21 N PARK STREET SUITE 6401 MADISON,WI 53715		PC	CIVIC SCIENCE FELLOWS PROGRAM WORK	50,000
WAKE FOREST UNIVERSITY HEALTH SCIENCES MEDICAL CENTER BOULEVARD WINSTON SALEM,NC 27157		PC	GENERAL SUPPORT CONTRIBUTION	10,000
WASHINGTON UNIVERSITY IN ST LOUIS BOX 1034 700 ROSEDALE AVE ST LOUIS,MO 63112		PC	DR. MEAGHAN CREED 2019 PAIN SCHOLAR	50,000
WEILL MEDICAL COLLEGE OF CORNELL UNIV 575 LEXINGTON AVE 9TH FLOOR NEW YORK,NY 10022		PC	LISTON, CONOR 2017 SCHOLAR	100,000
WELLESLEY COLLEGE 106 CENTRAL STREET WELLESLEY,MA 02481		PC	GENERAL OPERATING SUPPORT	5,000
WESTPORT BOOK SALE VENTURES INC 20 JESUP ROAD WESTPORT,CT 06880		PC	GENERAL OPERATING SUPPORT	5,000
WGBH EDUCATIONAL FOUNDATION ONE GUEST STREET BOSTON,MA 02135		PC	CIVIC SCIENCE FELLOW - SCIENCE MISINFORMATION	75,000
WGBH EDUCATIONAL FOUNDATION ONE GUEST STREET BOSTON,MA 02135		PC	CIVIC SCIENCE FELLOW	75,000
YALE UNIVERSITY PO BOX 1873 NEW HAVEN,CT 065081873		PC	GRACE CHEN 2020 SCHOLAR	100,000
YALE UNIVERSITY PO BOX 1873 NEW HAVEN,CT 065081873		PC	CANDICE PAULSEN 2018 SCHOLAR	50,000
Total . . . . ▶ 3a				6,523,547
b *Approved for future payment*				
AGU ADVANCING EARTH AND SPACE SCIENCE 2000 FLORIDA AVE NW WASHINGTON,DC 20009		PC	THRIVING EARTH EXCHANGE'S CHANGING CLIMATE PROGRAM	50,000
ASPEN INSTITUTE 2300 N ST NW WASHINGTON,DC 20037		PC	BUILDING A GLOBAL CIVIC SCIENCE COALITION	35,000
FIRST DRAFT 219 W 40TH ST 14TH FLR NEW YORK,NY 10018		PC	SUPPORTING BLACK AND LATINX COMMUNITIES TO TACKLE VACCINE-RELATED MISINFORMATION PROGRAM	35,000
FULBRIGHT US UK FOUNDATION 3701 SACRAMENTO STREET 436 SAN FRANCISCO,CA 94118		PC	GLOBAL CHALLENGES TEACHING AWARD-PANDEMICS PROGRAM	50,000
GATHERING FOR OPEN SCIENCE HARDWARE FS 38 S 5TH STREET HUDSON,NY 12534		PC	CIVIC VOICE AND COVID-19 RESPONSE	15,000
HARVARD COLLEGE PO BOX 41564 BOSTON,MA 022415649		PC	MISINFORMATION REVIEW	50,000
INTERNATIONAL ASSOCIATION FOR THE STUDY OF PAIN 1510 H STREET NW SUITE 600 WASHINGTON,DC 200051020		PC	IASP PAIN RESEARCH FORUM CORRESPONDENTS PROGRAM	25,000
NATIONAL ACADEMY OF SCIENCES 500 5TH ST NW WASHINGTON,DC 20001		PC	INFLUENCES ON HEALTH RESEARCH	50,000
NEW VENTURE FUND 1201 CONNECTICUT AVE NW SUITE 300 WASHINGTON,DC 20036		PC	SPARC'S OPEN RESEARCHERS FUNDERS GROUP PROGRAM	25,000
RESEARCHAMERICA 241 18TH STREET SOUTH SUITE 501 ARLINGTON,VA 22202		PC	CIVIC ENGAGEMENT MICROGRANTS PROGRAM	100,000
RESEARCH4IMPACT 2001 W COLD SPRING LANE 516 BALTIMORE,MD 21209		PC	CREATION & IMPACT COLLABORATIONS BETWEEN SCIENTISTS & CIVIC LEADERS PROGRAM	100,000
ROCKEFELLER PHILANTHROPY ADVISORS 6 WEST 48TH STREET 10TH FLOOR NEW YORK,NY 10036		PC	FUND FOR SHARED INSIGHT PROGRAM	100,000
SIGMA XI PO BOX 13975 RESEARCH TRIANGLE PARK,NC 27709		PC	CIVIC SCIENCE FELLOW, SCIENCE POLICY & ENGAGEMENT	200,000
SOLUTIONS JOURNALISM NETWORK INC 79 MADISON AVENUE SUITE 1656 NEW YORK,NY 10016		PC	ADVANCING EQUITY AND INCLUSION IN SOLUTIONS JOURNALISM PROGRAM	75,000
Total . . . . ▶ 3b				910,000

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	133,812	
4 Dividends and interest from securities			14	1,645,458	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			14	428,846	
8 Gain or (loss) from sales of assets other than inventory			18	4,203,089	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a GRANT REFUNDS			01	2,105	
b OTHER MISCELLANEOUS INCOME			01	3,145	
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e). .		0		6,416,455	0

13 Total. Add line 12, columns (b), (d), and (e). **13** 6,416,455

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash.	1a(1)		No
	(2) Other assets.	1a(2)		No
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
	(3) Rental of facilities, equipment, or other assets.	1b(3)		No
	(4) Reimbursement arrangements.	1b(4)		No
	(5) Loans or loan guarantees.	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee	Date: 2021-10-29	Title

May the IRS discuss this return with the preparer shown below (see instr.) ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
CHRISTOPHER PETERMANN				P00097440

Firm's name ▶ PKF O'CONNOR DAVIES LLP — Firm's EIN ▶27-1728945

Firm's address ▶ 20 COMMERCE DRIVE CRANFORD, NJ 07016 — Phone no. (908) 272-6200

Additional Data

Return to Form

Software ID:
Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

TY 2020 IRS 990 e-File Render

Name: RITA ALLEN FOUNDATION INC

EIN: 13-6116429

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BOOKKEEPING	24,363	0		24,363
FINANCIAL STATEMENT AUDIT AND TAX RETURNS PREPARATION	20,000	0		20,000

Name: RITA ALLEN FOUNDATION INC
EIN: 13-6116429

Name of Stock	End of Year Book Value	End of Year Fair Market Value
10022 SHARES OF WELLS FARGO & CO	302,464	302,464
1010 SHARES OF ZIMMER BIOMET HOLDINGS INC	155,631	155,631
1025 SHARES OF CIGNA CORP	213,385	213,385
10260 SHARES OF BAKER HUGHES CO	213,921	213,921
10347 SHARES OF KKR & CO INC	418,950	418,950
10467 SHARES OF APPLE INC	1,388,866	1,388,866
1050 SHARES OF WALT DISNEY CO/THE	190,239	190,239
10784 SHARES OF COCA-COLA CO/THE	591,394	591,394
10894 SHARES OF CARMAX INC	1,029,047	1,029,047
110 SHARES OF AMAZON.COM INC	358,262	358,262
1101 SHARES OF GENERAL DYNAMICS CORP	163,851	163,851
112 SHARES OF ALPHABET INC-CL A	196,296	196,296
1128 SHARES OF PALO ALTO NETWORKS INC	400,880	400,880
11368 SHARES OF APPLE INC	1,508,420	1,508,420
1140 SHARES OF HUNTINGTON INGALLS INDUSTRIES	194,347	194,347
11427 SHARES OF HAIN CELESTIAL GROUP INC/THE	458,794	458,794
1145 SHARES OF CARLISLE COS INC	178,826	178,826
1151 SHARES OF ANTHEM INC	369,575	369,575
11673 SHARES OF MERCK & CO INC	954,851	954,851
11714 SHARES OF EDWARDS LIFESCIENCES CORP	1,068,668	1,068,668
12202 SHARES OF COMCAST CORP	639,385	639,385
1228 SHARES OF MASTERCARD INC	438,322	438,322
12400 SHARES OF INTERPUBLIC GROUP OF COS INC/T	291,648	291,648
1250 SHARES OF MOTOROLA SOLUTIONS INC	212,575	212,575
1250 SHARES OF PROGRESSIVE CORP/THE	123,600	123,600
12535 SHARES OF CONAGRA BRANDS INC	454,519	454,519
1255 SHARES OF FACEBOOK INC	342,816	342,816
1263 SHARES OF INTUIT INC	479,751	479,751
12710 SHARES OF COMPASS GROUP PLC	236,807	236,807
1272 SHARES OF ADOBE INC	636,153	636,153
1275 SHARES OF FIDELITY NATIONAL INFORMATION	180,362	180,362
1294 SHARES OF SALESFORCE.COM INC	287,954	287,954
1299 SHARES OF DOLLAR GENERAL CORP	273,180	273,180
13057 SHARES OF CARLYLE GROUP INC/THE	410,512	410,512
1310 SHARES OF NORTHERN TRUST CORP	122,013	122,013
1315 SHARES OF GENERAL DYNAMICS CORP	195,698	195,698
13260 SHARES OF VISTRA CORP	260,692	260,692
1350 SHARES OF CDW CORP/DE	177,917	177,917
13544 SHARES OF KKR & CO INC	548,397	548,397
13545 SHARES OF CARRIER GLOBAL CORP	510,917	510,917
1366 SHARES OF FACEBOOK INC	373,137	373,137
1372 SHARES OF AUTODESK INC	418,926	418,926
1374 SHARES OF L3HARRIS TECHNOLOGIES INC	259,713	259,713
1381 SHARES OF GARTNER INC	221,222	221,222
139 SHARES OF AMAZON.COM INC	452,713	452,713
1390 SHARES OF WAYFAIR INC	313,876	313,876
1398 SHARES OF UNITEDHEALTH GROUP INC	490,251	490,251
1400 SHARES OF CHUBB LTD	215,488	215,488
14102 SHARES OF REYNOLDS CONSUMER PRODUCTS INC	423,624	423,624
1450 SHARES OF JOHNSON & JOHNSON	228,201	228,201
1466 SHARES OF VISA INC	320,658	320,658
1500 SHARES OF JPMORGAN CHASE & CO	190,605	190,605
1500 SHARES OF NEXTERA ENERGY INC	115,725	115,725
1506 SHARES OF NIKE INC	213,054	213,054
1550 SHARES OF RAYTHEON TECHNOLOGIES CORP	110,841	110,841
1570 SHARES OF MICROSOFT CORP	349,199	349,199
1580 SHARES OF UNIVERSAL HEALTH SERVICES INC	217,250	217,250
1583 SHARES OF ACCENTURE PLC	413,495	413,495
1590 SHARES OF SALESFORCE.COM INC	353,823	353,823
16046 SHARES OF SUNCOR ENERGY INC	269,252	269,252
1637 SHARES OF MICROSOFT CORP	364,102	364,102
1657 SHARES OF SALESFORCE.COM INC	368,732	368,732
1666 SHARES OF PAYPAL HOLDINGS INC	390,177	390,177
1676 SHARES OF VISA INC	366,591	366,591
1678 SHARES OF GENERAL DYNAMICS CORP	249,720	249,720
1690 SHARES OF CAMDEN PROPERTY TRUST	168,865	168,865
1691 SHARES OF MASTERCARD INC	603,585	603,585
1729 SHARES OF DANAHER CORP	384,080	384,080
1738 SHARES OF SOUTHWEST AIRLINES CO	81,008	81,008
1758 SHARES OF SBA COMMUNICATIONS CORP	495,985	495,985
17728 SHARES OF BANK OF AMERICA CORP	537,336	537,336
178 SHARES OF ALPHABET INC-CL C	311,835	311,835
1787 SHARES OF BALL CORP	166,513	166,513
1842 SHARES OF ANTHEM INC	591,448	591,448
18607 SHARES OF CISCO SYSTEMS INC/DELAWARE	832,663	832,663
1870 SHARES OF CITRIX SYSTEMS INC	243,287	243,287
1875 SHARES OF MICROSOFT CORP	417,038	417,038
1900 SHARES OF CERNER CORP	149,112	149,112
1907 SHARES OF ACCENTURE PLC	498,127	498,127
196 SHARES OF ALPHABET INC-CL C	343,368	343,368
1990 SHARES OF LUMENTUM HOLDINGS INC	188,652	188,652
200 SHARES OF ADOBE INC	100,024	100,024
2000 SHARES OF APPLE INC	265,380	265,380
201 SHARES OF ALPHABET INC-CL A	352,281	352,281
2017 SHARES OF ANALOG DEVICES INC	297,971	297,971
2025 SHARES OF UNITED RENTALS INC	469,618	469,618
2036 SHARES OF VISA INC	445,334	445,334
2045 SHARES OF CROWN CASTLE INTERNATIONAL COR	325,543	325,543
211 SHARES OF AMAZON.COM INC	687,212	687,212
2127 SHARES OF HOME DEPOT INC/THE	564,974	564,974
2163 SHARES OF HEICO CORP	253,201	253,201
2215 SHARES OF SIGNATURE BANK/NEW YORK NY	299,667	299,667
2243 SHARES OF IQVIA HOLDINGS INC	401,878	401,878
2250 SHARES OF FISERV INC	256,185	256,185
2302 SHARES OF OTIS WORLDWIDE CORP	155,500	155,500
2304 SHARES OF SKYWORKS SOLUTIONS INC	352,236	352,236
2305 SHARES OF AMERICAN FINANCIAL GROUP INC/O	201,964	201,964
2315 SHARES OF COGNIZANT TECHNOLOGY SOLUTIONS	189,714	189,714
2317 SHARES OF CANADIAN NATIONAL RAILWAY CO	254,522	254,522
2330 SHARES OF BRIGHT HORIZONS FAMILY SOLUTIO	403,067	403,067
2339 SHARES OF CVS HEALTH CORP	159,754	159,754
235 SHARES OF INTUITIVE SURGICAL INC	192,254	192,254
2350 SHARES OF CHURCH & DWIGHT CO INC	204,991	204,991
2356 SHARES OF ERIE INDEMNITY CO	578,634	578,634
2433 SHARES OF APTIV PLC	316,995	316,995
2467 SHARES OF ZOETIS INC	408,289	408,289
2480 SHARES OF QORVO INC	412,350	412,350
2518 SHARES OF ACCENTURE PLC	657,727	657,727
2561 SHARES OF LINDE PLC	674,849	674,849
2576 SHARES OF AMERICAN TOWER CORP	578,209	578,209
2586 SHARES OF KKR & CO INC	155,884	155,884
262 SHARES OF WHITE MOUNTAINS INSURANCE GROU	262,173	262,173
2625 SHARES OF TRANSUNION	260,453	260,453
2700 SHARES OF EDWARDS LIFESCIENCES CORP	246,321	246,321
2703 SHARES OF FACEBOOK INC	738,351	738,351
27225 SHARES OF NOMAD FOODS LTD	692,060	692,060
275 SHARES OF ROPER TECHNOLOGIES INC	118,550	118,550
27577 SHARES OF BANK OF AMERICA CORP	835,859	835,859
2800 SHARES OF INGREDION INC	220,276	220,276
2830 SHARES OF SENSATA TECHNOLOGIES HOLDING P	149,254	149,254
2854 SHARES OF AGILENT TECHNOLOGIES INC	338,170	338,170
2872 SHARES OF NEW YORK TIMES CO/THE	148,683	148,683
2969 SHARES OF STARBUCKS CORP	317,624	317,624
3001 SHARES OF T ROWE PRICE GROUP INC	454,321	454,321
3020 SHARES OF JONES LANG LASALLE INC	448,077	448,077
3076 SHARES OF UNITEDHEALTH GROUP INC	1,078,692	1,078,692
3097 SHARES OF STARBUCKS CORP	331,317	331,317
3116 SHARES OF CATALENT INC	324,282	324,282
3120 SHARES OF MCDONALD'S CORP	669,490	669,490
3160 SHARES OF LOWE'S COS INC	507,212	507,212
31772 SHARES OF KINDER MORGAN INC	434,323	434,323
32157 SHARES OF KINDER MORGAN INC	439,586	439,586
3234 SHARES OF MICROSOFT CORP	719,306	719,306
3244 SHARES OF BOK FINANCIAL CORP	222,149	222,149
3328 SHARES OF HILTON WORLDWIDE HOLDINGS INC	370,273	370,273
3500 SHARES OF DENTSPLY SIRONA INC	183,260	183,260
3506 SHARES OF CANADIAN NATIONAL RAILWAY CO	385,134	385,134
3529 SHARES OF ANALOG DEVICES INC	521,339	521,339
3542 SHARES OF ENCOMPASS HEALTH CORP	292,888	292,888
3549 SHARES OF WALT DISNEY CO/THE	643,008	643,008
3550 SHARES OF TJX COS INC/THE	242,430	242,430
357 SHARES OF ALPHABET INC-CL C	625,421	625,421
3590 SHARES OF AMERICAN CAMPUS COMMUNITIES IN	153,544	153,544
3590 SHARES OF SEI INVESTMENTS CO	206,317	206,317
3591 SHARES OF DOLLAR TREE INC	387,972	387,972
3603 SHARES OF ALIBABA GROUP HOLDING LTD	838,526	838,526
3615 SHARES OF GILEAD SCIENCES INC	210,610	210,610
3719 SHARES OF AMERIPRISE FINANCIAL INC	722,713	722,713
3729 SHARES OF TARGET CORP	658,280	658,280
37429 SHARES OF GENERAL ELECTRIC CO	404,233	404,233
3756 SHARES OF MERCK & CO INC	307,241	307,241
3780 SHARES OF ALLISON TRANSMISSION HOLDINGS	163,031	163,031
3780 SHARES OF ARAMARK	145,454	145,454
386 SHARES OF COSTAR GROUP INC	356,772	356,772
3910 SHARES OF PAYPAL HOLDINGS INC	915,722	915,722
401 SHARES OF SHERWIN-WILLIAMS CO/THE	294,699	294,699
4032 SHARES OF SS&C TECHNOLOGIES HOLDINGS INC	293,328	293,328
4053 SHARES OF RAYTHEON TECHNOLOGIES CORP	289,830	289,830
4084 SHARES OF ABBVIE INC	437,601	437,601
4084 SHARES OF HASBRO INC	382,017	382,017
409 SHARES OF MSCI INC	182,631	182,631
4149 SHARES OF TJX COS INC/THE	283,335	283,335
425 SHARES OF COOPER COS INC/THE	154,411	154,411
429 SHARES OF ALPHABET INC-CL A	751,883	751,883
4375 SHARES OF EBAY INC	219,844	219,844
4413 SHARES OF BECTON DICKINSON AND CO	243,684	243,684
4415 SHARES OF ABBOTT LABORATORIES	483,398	483,398
446 SHARES OF REGENERON PHARMACEUTICALS INC	215,467	215,467
4477 SHARES OF AMERIPRISE FINANCIAL INC	870,015	870,015
450 SHARES OF ASML HOLDING NV	219,474	219,474
450 SHARES OF UNITEDHEALTH GROUP INC	157,806	157,806
4538 SHARES OF JOHNSON & JOHNSON	714,190	714,190
4563 SHARES OF AUTOMATIC DATA PROCESSING INC	804,001	804,001
457 SHARES OF BOOKING HOLDINGS INC	1,017,862	1,017,862
4573 SHARES OF BEST BUY CO INC	456,340	456,340
475 SHARES OF INTUIT INC	180,429	180,429
4867 SHARES OF WHITBREAD PLC	206,241	206,241
4940 SHARES OF LOEWS CORP	222,399	222,399
4975 SHARES OF VENTAS INC	243,974	243,974
500 SHARES OF HOME DEPOT INC/THE	132,810	132,810
5046 SHARES OF BERKSHIRE HATHAWAY INC	1,170,016	1,170,016
5057 SHARES OF AVISTA CORP	202,988	202,988
5106 SHARES OF MASTERCARD INC	1,822,536	1,822,536
512 SHARES OF INTUIT INC	194,483	194,483
5152 SHARES OF MEDTRONIC PLC	603,505	603,505
521 SHARES OF ALIGN TECHNOLOGY INC	278,412	278,412
525 SHARES OF DANAHER CORP	116,624	116,624
5270 SHARES OF DISCOVERY INC	158,574	158,574
5375 SHARES OF OTIS WORLDWIDE CORP	363,081	363,081
5398 SHARES OF WEYERHAEUSER CO	180,995	180,995
545 SHARES OF ILLUMINA INC	201,650	201,650
5577 SHARES OF CINCINNATI FINANCIAL CORP	487,262	487,262
5578 SHARES OF FACEBOOK INC	1,523,686	1,523,686
5590 SHARES OF FIFTH THIRD BANCORP	154,116	154,116
570 SHARES OF ALPHABET INC-CL C	998,572	998,572
575 SHARES OF AMERCO	261,027	261,027
5831 SHARES OF JPMORGAN CHASE & CO	740,945	740,945
5981 SHARES OF BLACKSTONE INC	387,629	387,629
5994 SHARES OF TAIWAN SEMICONDUCTOR MANUFACTU	653,586	653,586
600 SHARES OF MCDONALD'S CORP	128,748	128,748
6185 SHARES OF UGI CORP	216,228	216,228
625 SHARES OF VISA INC	136,706	136,706
627 SHARES OF PAYPAL HOLDINGS INC	146,843	146,843
6290 SHARES OF FIDELITY NATIONAL FINANCIAL IN	245,876	245,876
6306 SHARES OF WP CAREY INC	445,077	445,077
6490 SHARES OF DOW INC	360,195	360,195
650 SHARES OF AON PLC	137,325	137,325
650 SHARES OF MOODY'S CORP	188,656	188,656
6760 SHARES OF CITIZENS FINANCIAL GROUP INC	241,738	241,738
6890 SHARES OF LKQ CORP	242,804	242,804
6970 SHARES OF NOVARTIS AG	658,177	658,177
6984 SHARES OF HALOZYME THERAPEUTICS INC	298,287	298,287
700 SHARES OF TARGET CORP	123,571	123,571
7111 SHARES OF JPMORGAN CHASE & CO	903,595	903,595
7224 SHARES OF UNILEVER PLC	436,041	436,041
7319 SHARES OF MICROSOFT CORP	1,627,892	1,627,892
742 SHARES OF SERVICENOW INC	408,419	408,419
7455 SHARES OF TWITTER INC	403,688	403,688
7479 SHARES OF STERICYCLE INC	518,519	518,519
750 SHARES OF SKYWORKS SOLUTIONS INC	114,660	114,660
7521 SHARES OF LOWE'S COS INC	1,207,196	1,207,196
777 SHARES OF UNITEDHEALTH GROUP INC	272,478	272,478
8172 SHARES OF MICROSOFT CORP	1,817,616	1,817,616
8238 SHARES OF BLACKSTONE INC	533,905	533,905
8379 SHARES OF CLARIVATE PLC	248,940	248,940
850 SHARES OF STRYKER CORP	208,284	208,284
8597 SHARES OF VISA INC	1,880,422	1,880,422
875 SHARES OF UNION PACIFIC CORP	182,193	182,193
930 SHARES OF SNAP-ON INC	159,160	159,160
931 SHARES OF TRANSDIGM GROUP INC	576,149	576,149
950 SHARES OF CME GROUP INC	172,948	172,948

TY 2020 IRS 990 e-File Render

Name: RITA ALLEN FOUNDATION INC

EIN: 13-6116429

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
127901.311 SHARES OF FUNDSMITH EQUITY FUND LP CLASS	FMV	5,566,001	5,566,001
1344064 SHARES OF YORK DIST ASSET IV FD CYM LTD	FMV	1,344,064	1,344,064
1515017 SHARES OF YORK EUROPEAN DISTRESSED	FMV	1,515,017	1,515,017
1658801 SHARES OF NEW GENERATION TURNAROUND FUND	FMV	1,658,801	1,658,801
17632.002 SHARES OF ORCHARD LIQUID CREDIT FUND	FMV	2,192,437	2,192,437
1935228.34 SHARES OF RENAISSANCE INSTITUTIONAL	FMV	1,935,228	1,935,228
2111.677 SHARES OF COLCHIS INCOME FUND LTD	FMV	2,513,173	2,513,173
2171369 SHARES OF TP STRUCTURED CREDIT OFFSHORE	FMV	2,171,369	2,171,369
2200192.69 SHARES OF ARGYLE	FMV	2,200,193	2,200,193
2234.209 SHARES OF BIRCH GROVE CREDIT STRATEGIES	FMV	2,916,991	2,916,991
2287981 SHARES OF YORK DISTRESSED ASSET FD III	FMV	2,287,981	2,287,981
25196.956 SHARES OF YORK CREDIT OPPORUTUNITIES	FMV	986,112	986,112
2699784.09 SHARES OF KABOUTER INT'L OPPORTUNITIES	FMV	2,699,784	2,699,784
2699996 SHARES OF DALTON INVESTMENTS TRUST	FMV	2,699,996	2,699,996
2877394 SHARES OF WARBURG PINCUS PE XII LP	FMV	2,877,394	2,877,394
3822453.76 SHARES OF OVERLOOK PARTNERS FD LP	FMV	3,822,454	3,822,454
39456.687 SHARES OF INDIA ACORN FUND (CAYMAN) LTD	FMV	6,334,851	6,334,851
4481553.99 SHARES OF MGG SF EVERGREEN UNLEVERED	FMV	4,488,489	4,488,489
4745704 SHARES OF GQG PARTNERS INTERNATIONAL	FMV	4,745,704	4,745,704
4771.41 SHARES OF THIRD POINT OFFSHORE FUND LTD	FMV	2,505,195	2,505,195
495184 SHARES OF NOVALPINA CAPITAL PTNRS I SCSP	FMV	605,882	605,882
5000 SHARES OF WF ASAIN SMALLER COMPANIES	FMV	3,754,620	3,754,620
587241.412 SHARES OF MAINGATE MLP FUND-I	FMV	2,437,052	2,437,052
789823 SHARES OF BOND CAPITAL FUND LP	FMV	789,823	789,823
896740 SHARES OF WARBURG PINCUS GLOBAL GROWTH	FMV	896,740	896,740
9614126.78 SHARES OF ECHO STREET II	FMV	9,614,127	9,614,127

TY 2020 IRS 990 e-File Render

Name: RITA ALLEN FOUNDATION INC

EIN: 13-6116429

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GENERAL AND ADMINISTRATIVE LEGAL COUNSEL	11,021	0		11,021

TY 2020 IRS 990 e-File Render

Name: RITA ALLEN FOUNDATION INC

EIN: 13-6116429

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
SECURITY DEPOSIT	6,053	6,053	6,053

TY 2020 IRS 990 e-File Render

Name: RITA ALLEN FOUNDATION INC

EIN: 13-6116429

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INSURANCE	13,424	0		13,424
OFFICE EXPENSES	8,057	0		8,057
MEMBERSHIPS AND DUES	32,044	0		32,044
ANNUAL REPORT FILING	1,533	0		1,533
SCIENTIFIC ADVISORY HONORARIA	36,000	0		36,000
IT SERVICE & WEB DESIGN	12,400	0		12,400
PAYROLL SERVICE	3,960	0		3,960
GRANT SOFTWARE & WEB SERVICES	42,316	0		42,316
OFFICE FURNITURE AND EQUIPMENT	32,866	0		32,866
PROGRAM/COMMUNICATIONS EXPENSE	49,154	0		49,154
SCHOLARS WORKSHOP	13,128	0		13,128
OTHER INVESTMENT EXPENSES THRU SCHEDULE K-1S	0	274,548		0
OTHER CONSULTING EXPENSES	0	0		0
DIRECT CHARITABLE ACTIVITES	156,149	0		156,149
BANK FEES	255	255		0

TY 2020 IRS 990 e-File Render

Name: RITA ALLEN FOUNDATION INC

EIN: 13-6116429

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER PARTNERSHIP INCOME	426,456	0	426,456
SECURITIES LITIGATION PROCEEDS	2,390	2,390	2,390
OTHER INVESTMENT INCOME THROUGH SCHEDULE K-1S	0	451,732	0
GRANT REFUNDS	2,105		2,105
OTHER MISCELLANEOUS INCOME	3,145		3,145

TY 2020 IRS 990 e-File Render

Name: RITA ALLEN FOUNDATION INC

EIN: 13-6116429

Description	Amount
UNREALIZED GAIN ON INVESTMENTS	12,715,486

TY 2020 IRS 990 e-File Render

Name: RITA ALLEN FOUNDATION INC

EIN: 13-6116429

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT CUSTODIAL, ADVISORY AND MANAGEMENT FEES	1,139,844	1,128,919		0
OTHER PROFESSIONAL FEES	2,660	0		2,660

TY 2020 IRS 990 e-File Render

Name: RITA ALLEN FOUNDATION INC

EIN: 13-6116429

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	20,000	0		0
FOREIGN TAXES WITHHELD	14,803	14,803		0
FOREIGN TAXES WITHHELD THROUGH SCHEDULE K-1S	0	31,391		0