

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

Open to Public Inspection

For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020

Name of foundation The Kohlberg Foundation Inc		A Employer identification number 13-3496263
Number and street (or P.O. box number if mail is not delivered to street address) 84 Business Park Drive No 304	Room/suite	B Telephone number (see instructions) (914) 415-4144
City or town, state or province, country, and ZIP or foreign postal code Armonk, NY 10504		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <u>242,708,405</u>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis.)</i>	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

	Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions.)</i>	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	20,845	20,845		
	4 Dividends and interest from securities	3,399,351	3,308,583		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	14,169,670			
	b Gross sales price for all assets on line 6a	63,030,504			
	7 Capital gain net income (from Part IV, line 2)		14,169,670		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	344,085	338,799			
12 Total. Add lines 1 through 11	17,933,951	17,837,897			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	381,435	0		345,428
	14 Other employee salaries and wages	228,152	0		206,614
	15 Pension plans, employee benefits	150,205	0		150,205
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	42,808	10,000		32,808
	c Other professional fees (attach schedule)	811,217	802,557		8,660
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	227,628	43,560		33,273
	19 Depreciation (attach schedule) and depletion	5,384	0		
	20 Occupancy	48,835	0		78,835
	21 Travel, conferences, and meetings	1,582	0		1,582
	22 Printing and publications				
	23 Other expenses (attach schedule)	172,371	29,790		136,410
	24 Total operating and administrative expenses. Add lines 13 through 23	2,069,617	885,907		993,815
25 Contributions, gifts, grants paid	10,628,952			10,628,952	
26 Total expenses and disbursements. Add lines 24 and 25	12,698,569	885,907		11,622,767	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	5,235,382				
b Net investment income (if negative, enter -0-)		16,951,990			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	12,893,238	8,379,151	8,379,151
	3 Accounts receivable ▶ <u>135</u>			
	Less: allowance for doubtful accounts ▶ _____	827	135	135
	4 Pledges receivable ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	45,410	77,905	77,905
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	87,101,961	80,968,142	106,651,481
	c Investments—corporate bonds (attach schedule)	46,982,405	61,030,162	61,872,124
	11 Investments—land, buildings, and equipment: basis ▶ _____			
Less: accumulated depreciation (attach schedule) ▶ _____				
12 Investments—mortgage loans				
13 Investments—other (attach schedule)	36,153,884	35,128,122	60,323,786	
14 Land, buildings, and equipment: basis ▶ <u>62,677</u>				
Less: accumulated depreciation (attach schedule) ▶ <u>29,738</u>	5,872	32,939	32,939	
15 Other assets (describe ▶ _____)	422,490	253,713	5,370,884	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	183,606,087	185,870,269	242,708,405	
Liabilities	17 Accounts payable and accrued expenses	231		
	18 Grants payable			
	19 Deferred revenue.			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22).	231	0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	0	0	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund	0	0	
	28 Retained earnings, accumulated income, endowment, or other funds	183,605,856	185,870,269	
29 Total net assets or fund balances (see instructions)	183,605,856	185,870,269		
30 Total liabilities and net assets/fund balances (see instructions)	183,606,087	185,870,269		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	183,605,856
2 Enter amount from Part I, line 27a	2	5,235,382
3 Other increases not included in line 2 (itemize) ▶ _____	3	0
4 Add lines 1, 2, and 3	4	188,841,238
5 Decreases not included in line 2 (itemize) ▶ _____	5	2,970,969
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	6	185,870,269

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a Sale of publicly traded securities		2020-01-01	2020-12-31
b Sale of publicly traded securities		2019-12-01	2020-12-31
c General Atlantic K-1s		2019-12-01	2020-12-31
d Quantum Value K-1		2019-12-01	2020-12-31
e Millennium Offshore Series 01A		2019-12-01	2020-12-31
Kohlberg Partners VII LP K-1		2020-01-01	2020-12-31
Kohlberg Partners VIII LP K-1		2020-01-01	2020-12-31
Kohlberg Partners VIII LP K-1		2019-12-01	2020-12-31
Secondary Opportunities Fund 3 K-1		2019-12-01	2020-12-31
Westbrook VIII		2019-12-01	2020-12-31
Capital Gains Dividends	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 11,668,455		13,027,905	-1,359,450
b 40,934,741		35,825,269	5,109,472
c 6,718,928			6,718,928
d 1,170			1,170
e 3,156,700			3,156,700
243			243
1,607			1,607
		164	-164
259,639			259,639
		7,496	-7,496
289,021			289,021

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-1,359,450
b			5,109,472
c			6,718,928
d			1,170
e			3,156,700
			243
			1,607
			-164
			259,639
			-7,496
			289,021

Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	14,169,670
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	}	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1 Reserved	(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
2 Reserved				2
3 Reserved				3
4 Reserved				4
5 Reserved				5
6 Reserved				6
7 Reserved				7
8 Reserved				8

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 main rows and sub-rows (a-e) for credits and payments. Includes fields for 'Tax based on investment income' and 'Total credits and payments'.

Part VII-A Statements Regarding Activities

Table with 10 main rows (1a-10) and sub-rows (a-e) for various activity statements. Includes columns for 'Yes' and 'No' responses.

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.				No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions				No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>www.kohlbergfoundation.org</u>	Yes			
14	The books are in care of ► <u>The Kohlberg Foundation Inc</u> Telephone no. ► <u>(914) 415-4144</u> Located at ► <u>84 Business Park Drive Armonk NY 10504</u> ZIP+4 ► _____				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year 15 _____				
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign _____	Yes	No	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No				
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	1b			No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?	1c			No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____				
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b			
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
b	If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)	3b			
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a			No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b			No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a During the year did the foundation pay or incur any amount to:
(1) Carry on propaganda, or otherwise attempt to influence legislation...
(2) Influence the outcome of any specific public election...
(3) Provide a grant to an individual for travel, study, or other similar purposes?
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)?
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes...
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance?
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?
b If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?

Table with 3 columns: Question, Yes, No. Contains checkboxes for 'Yes' and 'No' for each question.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

Table with 5 columns: (a) Name and address, (b) Title, and average hours per week devoted to position, (c) Compensation (If not paid, enter -0-), (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances. Lists Nancy Kohlberg, Nancy McCabe, Jennifer Magnone, and Les Fagen.

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

Table with 5 columns: (a) Name and address of each employee paid more than \$50,000, (b) Title, and average hours per week devoted to position, (c) Compensation, (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances. Lists Rita Fragano and Amanda Dehann.

Total number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
General Atlantic 600 Steamboat Rd Ste 105 Greenwich, CT 06830	Financial services	394,714
UBS 750 Washington Blvd 11th flr Stamford, CT 06901	Financial services	173,424
Clarfeld Financial Advisors LLC 520 White Plains Rd Tarrytown, NY 10591	Financial services	172,418

Total number of others receiving over \$50,000 for professional services. **0**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	0
2 _____ _____	
3 _____ _____	
4 _____ _____	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 _____ _____	
2 _____ _____	
3 All other program-related investments. See instructions. _____	

Total. Add lines 1 through 3 **0**

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	203,907,199
b	Average of monthly cash balances.	1b	10,529,245
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	214,436,444
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d.	3	214,436,444
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	3,216,547
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	211,219,897
6	Minimum investment return. Enter 5% of line 5.	6	10,560,995

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	10,560,995
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	235,633
b	Income tax for 2020. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b.	2c	235,633
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	10,325,362
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	10,325,362
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	10,325,362

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	11,622,767
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	11,622,767
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	11,622,767

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				10,325,362
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015.	6,452,759			
b From 2016.	950,677			
c From 2017.	2,959,295			
d From 2018.	12,476,409			
e From 2019.	11,120,125			
f Total of lines 3a through e.	33,959,265			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ <u>11,622,767</u>				
a Applied to 2019, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount				10,325,362
e Remaining amount distributed out of corpus	1,297,405			
5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	35,256,670			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions)	6,452,759			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	28,803,911			
10 Analysis of line 9:				
a Excess from 2016	950,677			
b Excess from 2017	2,959,295			
c Excess from 2018.	12,476,409			
d Excess from 2019	11,120,125			
e Excess from 2020	1,297,405			

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
A Home 141 Tompkins Avenue 3rd Floor Pleasantville, NY 10570		P C	Grant to further donee's exempt purpose	5,000
Ability Beyond Disability 4 Berkshire Blvd Bethel, CT 06801		P C	Grant to further donee's exempt purpose	1,000
Alliance for Justice 11 Dupont Circle NW 2nd Floor Washington, DC 20036		P C	Renew Annual Support for Judicial Selection Watchdog Program.	100,000
Alliance for Youth Organization Move Texas Civic Fund 915 5th Street NW Washington, DC 20001		P C	Voting Integrity, Voter Education, GOTV for Latinx voters, Vote by Mail programs.	25,000
Animal Shelter of Martha's Vineyard Inc PO Box 1829 1 Pennywise Path Edgartown, MA 02539		P C	Support for the animal shelter of Martha's Vineyard.	1,000
Bard College 23 Walters Road Annandale on Hudson, NY 12504		P C	Renew support for the Bard Prison Initiative: Gardens Program at Fishkill and Woodbourne Facilities and Food Systems	100,000
Bedford 2020 Coalition PO Box 812 Bedford Hills, NY 10507		P C	Support for local environmental projects and education.	1,000
Biodiversity Works PO Box 557 Edgartown, MA 02539		P C	Grant to further donee's exempt purpose	15,000
Boston Med FlightNew England Life Flight Inc Robins Street Hangar 1727 Hanscom Air Force Base Bedford, MA 01730		P C	Support for services to Martha's Vineyard residents.	25,000
Boys & Girls Club of Northern Westchester 351 East Main Street Mount Kisco, NY 10549		P C	Grant to further donee's exempt purpose	5,000
Brooklyn Law School 250 Joralemon St Brooklyn, NY 11201		P C	In honor of Herman Fagan Scholarship fund for disadvantaged students.	10,000
Campaign Legal Center 1101 14th Street NW Suite 400 Washington, DC 20005		P C	Programs in voting integrity, vote by mail, election process integrity.	100,000
Candid Foundation PO Box 22799 New York, NY 100872799		P C	General Support	1,000
Civic Nation 757 15th St Ste 300 Washington, DC 20005		P C	Vote Lab, Vote-by-Mail Campaign, When We All Vote, United States of Women Latinx Voter Engagement.	400,000
College & Community Fellowship 475 Riverside Drive Suite 1626 New York, NY 10115		P C	General Support Core Programs, Support for COVID Emergency Student Fund.	150,000
Columbia Law School 435 West 116th St New York, NY 100277927		P C	Unrestricted operating support-recommended by Leslie Gordon Fagan.	10,000
Common Cause Education Fund 805 15th Street NW Washington, DC 20005		P C	Fair Election Voting Integrity, Election Protection, Vote by Mail, Peaceful Transition, Post office litigation, Election Protection in Georgia.	125,000
Council on Foundations 2121 Crystal Drive Suite 700 Arlington, VA 22202		P C	Grant to further donee's exempt purpose	13,500
CUNY Graduate School of Journalism Foundation Inc 219 West 40th Street New York, NY 10018		P C	Dean's Opportunity Fund. Renewed Support.	1,000,000
Dana Farber Cancer InstituteJimmy Fund Walk 450 Brookline Ave Boston, MA 02215		P C	Support for the Jimmy Fund/Kohlberg Family walk in honor of Amy & Timmy	135,000
Drive Change 630 Flushing Avenue 5 Brooklyn, NY 11206		P C	Working capital and cashflow support.	275,000
Environmental Defense Fund 18 Tremont Street Boston, MA 02108		P C	Support for the Environmental Defense Fund Climate Corps Program.	10,000
Environmental Grantmakers Association 5 Exchange Pl Ste 405 New York, NY 100051965		P C	Grant to further donee's exempt purpose	1,917
Equal Justice Initiative 122 Commerce Street Montgomery, AL 36104		P C	General support.	100,000
Fair Election Center 1825 K Street NW Ste 450 Washington, DC 20006		P C	Power the Polls Program to recruit, deploy and train poll workers, Voter education and election polling info.	150,000
Getting Out and Staying Out 75 East 116th Street New York, NY 10029		P C	Grant to further donee's exempt purpose	15,000
Goddard Riverside 593 Columbus Avenue New York, NY 10024		P C	In honor of Goddard Riverside Trustee Barbara Tarmy.	10,000
Great Pond Foundation PO Box 2005 Edgartown, MA 02539		P C	Support for ecological work, education, and conservation activity related to the Martha's Vineyard "Great Ponds".	25,000
Hampshire Country School 28 Patey Circle Rindge, NH 03461		P C	Grant to further donee's exempt purpose	20,000
Hope in A Box 31 Woodruff Ave Apt 3R Brooklyn, NY 11226		P C	General Support	5,000
Hospice Care Westchester & Putnam Inc 540 White Plains Road Suite 300 Tarrytown, NY 10591		P C	Grant to further donee's exempt purpose	5,000
Hospice of Martha's Vineyard PO Box 1748 Vineyard Haven, MA 02568		P C	Grant to further donee's exempt purpose	3,000
Hospital for Special Surgery 535 E 70th St New York, NY 10021		P C	General support, recognizing extraordinary COVID response.	50,000
Hour Children 36-11 12th St Long Island City, NY 11106		P C	COVID Emergency Fund, Food Pantry & COVID relief programs.	125,000
Hudson Link for Higher Education 23 State Street Ossining, NY 10562		P C	For programs, college and pre-college at Shawangunk Correctional Facility, New Beginnings Challenge Grant, Special Grant-unrestricted-COVID Emergency Services.	451,030
Humanity in Action c/o Marcelo Nigri 380 Mountain Rd Apt 909 Union City, NJ 07087		P C	Unrestricted grant.	5,000
Indivisible Civic Engagement PO Box 43884 Washington, DC 20010		P C	For 501 c3 activities in capacity building and policy work in environmental and social justice, election protection, peaceful transition, voter education challenge grant.	850,000
Investigative Reporters and Editors Inc 141 Neff Annex-Umc Columbia, MO 65211		P C	Renewed support, Unrestricted for 2020.	150,000
Island Elderly Housing Inc 60B Village Road Tisbury, MA 02568		P C	Grant to further donee's exempt purpose	5,000
Island Food Pantry PO Box 1874 Vineyard Haven, MA 02568		P C	Grant to further donee's exempt purpose	1,000
Island Grown Initiative 80 Stoney Hill Road Vineyard Haven, MA 02568		P C	In support of administrative platforms and general operating serving all Farm programs.	50,000
Island Housing Trust Corporation 346 State Road Vineyard Haven, MA 02568		P C	Grant to further donee's exempt purpose	15,000
Jacob Burns Film Center 405 Manville Road Pleasantville, NY 10570		P C	COVID Re-opening Challenge Grant	25,000
Kunhardt Film Foundation 48 Wheeler Avenue 3rd Floor Pleasantville, NY 10570		P C	Final payment for permanent reserve fund to support film projects per LOL.	2,000,000
Lawyer's Committee for Civil Rights Under Law 1401 New York Avenue NW Suite 400 Washington, DC 20005		P C	Voter protection, Voting Integrity, Peaceful transtion.	100,000
Martha's Vineyard Community Hospital 1 Hospital Rd Oak Bluff, MA 02557		P C	General support.	75,000
Martha's Vineyard Community Services 111 Edgartown Road Vineyard Haven, MA 02568		P C	Renew unrestricted support.	100,000
Martha's Vineyard Preservation Trust PO Box 5277 Edgartown, MA 02539		P C	General operating support.	5,000
Martha's Vineyard Shellfish Group PO Box 1552 Oak Bluffs, MA 02557		P C	Support for Oyster Restoration program	35,000
Massachusetts Audubon Society 208 South Great Road Lincoln, MA 01773		P C	Support Felix Neck Wildlife Sanctuary.	1,000
Mi Familia Vota Education Fund 1140 E Washington St Bldg C Suite 206 Phoenix, AZ 85034		P C	Bilingual Digital Campaign to register Latinx voters.	100,000
Mount Kisco Child Care Center 95 Radio Circle Mount Kisco, NY 10549		P C	Family Scholarships., Special Grant-unrestricted-COVID Emergency Services.	150,000
Mount Kisco Volunteer Ambulance Corp 310 Lexington Avenue Mount Kisco, NY 10549		P C	Grant to further donee's exempt purpose	500
Mount Kisco Volunteer Fire Department 55 West Way Mount Kisco, NY 10549		P C	Grant to further donee's exempt purpose	500
NAACP Legal Defense and Education Fund 40 Rector Street 5th Floor New York, NY 10006		P C	Democracy programs, Voter Protection, Voting Integrity, Civil Rights, Peaceful Transition.	100,000
National Center for Family Philanthropy 1101 Connecticut Avenue NW Suite 220 Washington, DC 20036		P C	Renew support Friends of the Family Program	7,500
Neighbors Link 27 Columbus Avenue Mount Kisco, NY 10549		P C	General operating support, Special Grant-unrestricted-COVID emergency services.	125,000
Northern Westchester Hospital Foundation 400 East Main Street Mount Kisco, NY 10549		P C	Integrative Medicine program renewal.	100,000
Northern Westchester Hospital Foundation 400 East Main Street Mount Kisco, NY 10549		P C	Integrative Medicine program renewal.	100,000
Northern Westchester Hospital Foundation 400 East Main Street Mount Kisco, NY 10549		P C	Nursing Education and Magnet program renewal.	100,000
One Arizona 345 E Palm Avenue Phoenix, AZ 85004		P C	Voting Integrity, Voter Education, GOTV for Latinx voters, Vote by Mail programs.	25,000
Open Door 165 Main Street Ossining, NY 10562		P C	Support for Mount Kisco Facility and general operating support, special grant-unrestricted-COVID emergency services.	130,000
Per Scholas Inc 804 East 138th Street 2nd Floor Bronx, NY 10454		P C	Donation - Spring Clean-Up (computer recycling project).	505
ProPublica Inc One Exchange Plaza 23rd Floor New York, NY 10006		P C	Grant to further donee's exempt purpose	100,000
Protect Democracy Project 82 Nassau Street 601 New York, NY 10038		P C	For Voting and democracy 501 c3 work, voting integrity, Defense of Democracy, Election Protection, Peaceful Transition.	450,000
Public Citizen Foundation 1600 20th St NW Washington, DC 20009		P C	Declaration for American Democracy, COVID/Safe Voting Virtual/Halls	50,000
Puppies Behind Bars 263 West 38th Street 4th Floor New York, NY 10018		P C	For programs at Bedford Hills Facility	15,000
Re-Think Media 777 Sixth St 9th Fl 116 Washington, DC 20001		P C	For Democracy Work and Scenario Planning 2020.	30,000
Sheriff's Meadow Foundation 57 David Avenue Vineyard Haven, MA 02568		P C	Grant to further donee's exempt purpose	5,000
Southern Coalition for Social Justice 1415 West NC Highway 54 Suite 101 Durham, NC 27707			For Voting Rights Program 2020.	200,000
Sponsors Inc 338 Highway 99 North Eugene, OR 97402		P C	General Support, Unrestricted COVID grant.	500,000
State Voices 1616 P Street Suite 220 Washington, DC 20036		P C	For 501 c3 activities , For GOTV, Voter Education, Voter Protection, Voting Integrity, COVID Safe Voting, Vote By Mail.	50,000
Swarthmore College 500 College Avenue Swarthmore, PA 190811397		P C	Educating Socially Responsible Business Leaders Career Services.	50,000
Teatown Lake Reservation Inc 1600 Spring Valley Road Ossining, NY 10562		P C	Grant to further donee's exempt purpose	20,000
Tenacity Inc 38 Everett Street Boston, MA 02134		P C	Grant to further donee's exempt purpose	30,000
The Arc Westchester Foundation 265 Saw Mill River Road Hawthorne, NY 10532		P C	General operating support.	500
The Marshall Project 156 West 56th Street Suite 701 New York, NY 10019		P C	Grant to further donee's exempt purpose	100,000
The Merserve-Kunhardt Foundation 48 Wheeler Avenue 3rd Floor Pleasantville, NY 10570		P C	COVID-Challenge Grant(one-time)	100,000
The Merserve-Kunhardt Foundation 48 Wheeler Avenue 3rd Floor Pleasantville, NY 10570		P C	For current programming and exhibitions.	150,000
The New Georgia Project Inc 830 Glenwood Ave SE Suite 501-221 Atlanta, GA 30316		P C	Voting Integrity, GOTV and Voter Protection 2021, GA Senate Runoffs.	10,000
The Polly Hill Arboretum PO Box 561 809 State Road West Tisbury, MA 02575		P C	Grant to further donee's exempt purpose	5,000
The Vineyard House Inc PO Box 4599 56 Short Hill Road Vineyard Haven, MA 02568		P C	Grant to further donee's exempt purpose	20,000
United States Veterans Initiative 800 West 6th Street Suite 1505 Los Angeles, CA 90017		P C	Support for Outside the Wire Community College Program.	100,000
University of San Diego 5998 Alcalá Park San Diego, CA 92110		P C	Protect Student Veterans initiative	500,000
University of San Diego 5998 Alcalá Park San Diego, CA 92110		P C	Election Protection Program 2020.	100,000
Vineyard Conservation Society PO Box 2189 57 David Avenue Vineyard Haven, MA 02568		P C	support for legal defense fund for land preservation.	5,000
Westchester Land Trust 403 Harris Road Bedford Hills, NY 10507		P C	Grant to further donee's exempt purpose	1,000
Whistleblower Aid 1250 Connecticut Ave NW Suite 700 Washington, DC 20036		P C	General support for programs in 2020-2021.	100,000
Yale University PO Box 2038 New Haven, CT 065212038		P C	General Support	12,500
YMCA of Martha's Vineyard 111R Edgartown Vineyard Haven Rd Vineyard Haven, MA 02568		P C	Grant to further donee's exempt purpose	100,000
Yorktown Heights Engine Company #1 PO Box 10 1916 Commerce Street Yorktown, NY 10598		P C	Grant to further donee's exempt purpose	500
Total			3a	10,628,952

b Approved for future payment

Dana Farber Cancer InstituteJimmy Fund Walk 450 Brookline Ave Boston, MA 02215		P C	Family walk in honor of Amy Kohlberg Quinlan	135,000
Hudson Link for Higher Education 23 State Street Ossining, NY 10562		P C	New Beginnings Program.	500,000
Indivisible Civic Engagement Inc c/o Tides Fdn PO Box 43884 Washington, DC 20010		P C	Support Democracy programs	850,000
Investigative Reporters and Editors Inc 141 Neff Annex-Umc Columbia, MO 65211		P C	Renewed support for their Total Newsroom Training Program.	250,000
Meserve-Kunhardt Foundation 48 Wheeler Avenue 3rd Floor Pleasantville, NY 10570		P C	Programming fund	150,000
Northern Westchester Hospital Foundation 400 East Main Street Mount Kisco, NY 10549		P C	Support for the In-Hospital Integrative Medicine Program and MAGNET nursing education & nursing excellence.	200,000
Protect Democracy Project 82 Nassau Street 601 New York, NY 10038		P C	For Voter protection, Voting Integrity, Defence of Democracy.	500,000
Sponsors Inc 338 Highway 99 North Eugene, OR 97402		P C	Capital project and annual support	4,500,000
Swarthmore College 500 College Avenue Swarthmore, PA 190811397		P C	For the Business Leadership Program and Legacy Gift for Permanent Reserve Fund	25,000
University of San Diego 5998 Alcalá Park San Diego, CA 92110		P C	Protect Student Veterans initiative	500,000
Total			3b	7,610,000

Additional Data

[Return to Form](#)

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

TY 2020 IRS 990 e-File Render

Name: The Kohlberg Foundation Inc

EIN: 13-3496263

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Marks Paneth	40,000	10,000		30,000
Prestige HR service fee	2,808	0		2,808

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2020 IRS 990 e-File Render

Name: The Kohlberg Foundation Inc

EIN: 13-3496263

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
Computer Hardware	2011-12-21	886	886	SL	5.000000000000	0	0		
Computer Hardware	2011-12-21	754	754	SL	5.000000000000	0	0		
Lenovo Thinkpad	2013-11-26	1,319	1,319	SL	5.000000000000	0	0		
Furniture	1998-09-09	10,239	10,239	SL	7.000000000000	0	0		
COMPUTER Hardware	2015-01-05	2,091	2,089	SL	5.000000000000	2	0		
HP Server Installation (Roundtable)	2015-02-06	5,430	5,340	SL	5.000000000000	90	0		
Firewall and router (plus labor Roundtable)	2015-07-30	1,993	1,760	SL	5.000000000000	233	0		
HP ProBook 650 (Janet's laptop)	2016-03-18	1,050	801	SL	5.000000000000	210	0		
HP Business P232 23" LED LCD Monitor (Janet)	2016-03-18	150	115	SL	5.000000000000	30	0		
LENOVO THINKPAD+ThinkVision LED Monitors 23.8" (NANCY)	2019-03-01	2,106	351	SL	5.000000000000	421	0		
LENOVO THINKPAD+ThinkVision LED Monitors 23.8" (AMANDA)	2019-03-01	2,106	351	SL	5.000000000000	421	0		
LENOVO THINKPAD+ThinkVision LED Monitors 23.8" (RITA)	2019-03-01	2,106	351	SL	5.000000000000	421	0		
HPE 2530-24G - PoE Switch T20200204 0021	2020-05-07	645		SL	5.000000000000	86	0		
FURNITURE	2020-05-07	18,078		SL	5.000000000000	1,722	0		
LEASEHOLD IMPROVEMENTS	2020-05-07	12,891		SL	5.000000000000	1,637	0		
5 YEALINK TDSOURCING VOIP PHONE-SIP PHONE-GRAPHIC DISPLAY	2020-05-07	835		SL	5.000000000000	111	0		

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2020 IRS 990 e-File Render

Name: The Kohlberg Foundation Inc

EIN: 13-3496263

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
Camalotte Foundation	c/o James Kohlberg 3000 Alpine Rd Ste 100 Menlo Park, CA 92008	2017-12-05	13,800,000	Capital endowment grant to support grantee's 501(c)(3) exempt purposes	366,218		4/22/2021		The Foundation reviewed the grant report on 4/22/2021 but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5(c)).
Camalotte Foundation	c/o James Kohlberg 3000 Alpine Rd Ste 100 Menlo Park, CA 92008	2017-12-05	2,200,000	To support grantee's 501(c)(3) exempt purpose	703,562		4/22/2021		The Foundation reviewed the grant report on 4/22/2021 but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5(c)).
Cardinal Brook Trust	c/o Kahn Litwin Renza 99 Summer St Ste 520 Boston, MA 02110	2017-12-17	16,000,000	Capital endowment grant to support grantee's 501(c)(3) exempt purposes	0		6/18/2021		The Foundation reviewed the grant report on 6/18/2021 but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5(c)).
Kisco Cares Foundation	c/o Kisco Senior Living 5790 Fleet St Ste 300 Carlsbad, CA 92008	2017-12-05	14,000,000	Capital endowment grant to support grantee's 501(c)(3) exempt purposes	113,509		3/2/21		The Foundation reviewed the grant report on 3/2/21 but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5(c)).
Kisco Cares Foundation	c/o Kisco Senior Living 5790 Fleet St Ste 300 Carlsbad, CA 92008	2017-12-05	2,000,000	To support grantee's 501(c)(3) exempt purpose	0		3/2/21		The Foundation reviewed the grant report on 3/2/21 but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5(c)).
Riff Foundation (Formerly Kovin Foundation)	c/o Eccles Co 6 Beacon St Boston, MA 20109	2017-11-09	2,000,000	To support grantee's 501(c)(3) exempt purpose	0		7/6/21		The Foundation reviewed the grant report on 7/6/21 but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5(c)).
Riff Foundation (Formerly Kovin Foundation)	c/o Eccles Co 6 Beacon St Boston, MA 20109	2017-11-09	14,000,000	Capital endowment grant to support grantee's 501(c)(3) exempt purposes	0		7/6/21		The Foundation reviewed the grant report on 7/6/21 but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5(c)).

TY 2020 IRS 990 e-File Render

Name: The Kohlberg Foundation Inc

EIN: 13-3496263

Identifier	Return Reference	Explanation
Form 4720 Exception explanation	Form 990PF, Part VII- B, Line 1a(4)	The organization pays reasonable compensation to an officer of the corporation. This meets the exception; therefore, Form 4720 has not been prepared.

TY 2020 IRS 990 e-File Render**Name:** The Kohlberg Foundation Inc**EIN:** 13-3496263

Name of Bond	End of Year Book Value	End of Year Fair Market Value
DOUBLE LINE TOTAL RETURN FUND INSTL	6,158,455	6,181,128
BLACKROCK STRATEGIC INCOME I	5,968,867	6,281,800
CALVERT SHORT DURATION INCOME FUND CLASS 1	12,803,204	12,947,982
PIMCO INCOME FUND CLASS I	3,168,794	3,159,652
CALVERT GREEN BOND FUND CLASS I	7,377,321	7,618,052
RIVERNORTH/DOUBLELINE STRATEGIC INCOME FUND I	4,131,978	4,139,117
TIAA-CREF SOCIAL CHOICE BOND FUND CLASS ADV	13,595,328	13,629,047
PIMCO TOTAL RETURN ESG FUND CLASS INSTL	7,826,215	7,915,346

FY 2020 IRS 990 e-File Render		
Name: The Kohlberg Foundation Inc		
EIN: 13-3496263		
Name of Stock	End of Year Book Value	End of Year Fair Market Value
UNITED NATURAL FOODS	7,071	6,564
ULTRAGENYX PHARMACEUTICAL INC COM	3,535	8,583
UTS'Y INC COM	8,566	37,005
LOWES COS INC COM	27,955	45,745
WORLDF WRESTLING ENTM	6,218	6,218
UMPUA HOLDINGS CORP	16,201	18,940
WELLS FARGO CO NEW COM	31,147	35,884
WAYFAIR	2,149	5,871
WATSCO INC	8,558	8,156
WALT DISNEY CO	128,140	172,665
WALGREENS BOOTS ALLI	16,405	15,593
WABTEC	12,913	16,470
WABASH NTNL CORP	6,072	13,302
YUMAG HOLDINGS	6,925	6,925
XYLEM INC	134,032	81,695
EASTERLY GOV'T PPTYS	20,842	19,615
DOUGLAS EMMETT INC	134,783	137,088
BRANDYWINE REALTY TR	57,052	64,004
AVALONBY COMNTYS INC	31,837	24,706
ALEXANDRIA REAL EST	39,201	45,090
ZSCALER INC	6,915	12,382
ZIMMER BIOMET HLDGS	20,932	25,271
ZENDESK INC COM	19,206	36,066
YUM BRANDS INC	11,859	15,416
XILINX INC	26,608	40,972
HIGHWOODS PPTY	43,146	45,297
WM GRAINGER INC	15,678	20,009
LINCOLN NTNL CO	26,372	41,154
WILLIS TOWERS WATSON F	10,545	11,166
EMPIRE STATE REALTY TRS	6,098	7,055
J B G SMITH PROPERTIES	82,811	88,088
VWARE INC CL A CLASS A	35,374	34,083
VALVOLINE INC COM	14,805	15,577
VISA INC	279,911	364,185
VIATRIS INC	7,584	8,958
VIACOMCBS INC	12,084	27,870
VF CORP	15,501	21,438
VERTEX PHARMACEUTICALS IN	44,374	56,485
VERISK ANALYTICS INC	63,257	75,770
VEEVA SYSTEMS INC	8,574	8,712
VECTRUS INC	36,559	38,434
VARIAN MEDICAL SYSTM	6,752	9,976
VAIL RESORTS INC	13,955	17,953
KILROY REALTY CORP	94,178	96,381
UNIVERSAL DISPLAY CORP COM	23,570	33,091
UNITEDHEALTH GROUP	164,874	237,060
WEIS MARKETS INC	9,398	9,271
WERNER ENTERPRISES	23,691	21,257
VORNADO REALTY TRUST	29,986	29,972
WILLIAMS SONOMA INC	6,621	11,406
VENTAS INC	5,906	9,612
T P G RE FIN TRUST IN005	16,103	18,740
PROLOGIS INC	63,442	75,642
LIVE NATION ENTERTMNT	8,766	12,492
KOHL'S CORP	6,641	12,410
LILLY ELI & CO	57,165	62,302
RYDER SYSTEM INC	5,641	10,067
U S BANCORP	70,477	77,712
U G I CORP	19,009	22,060
TYLER TECHNOLOGIES INC COM	7,376	5,675
TWITTER INC COM	10,274	14,241
TWILIO INC CL A	22,109	37,921
TUTOR PERINI CORP	1,824	3,665
TUCOWS INC	9,544	9,680
TRUIST FINL CORP COM	5,54	48
TRAVELERS COMPANIES	70,548	96,300
TRANE TECHNOLOGIES PLC	21,863	34,229
TORO CO	14,060	19,442
TX COMPANIES INC	41,145	54,973
TIFFANY & CO	46,968	48,768
THERMO FISHER SCNTFC	86,501	101,274
THE TRADE DESK INC	4,839	15,019
THE COCA-COLA CO	209,702	228,902
TESLA INC	21,484	314,023
TERMINIX GLOBAL HOLDINGS	21,484	33,565
TEREX CORP	6,395	11,863
TELADOC INC	4,520	16,397
TARGET CORP	17,428	36,012
TAPESTRY INC	23,704	51,779
NIELSEN HOLDINGS PLC	7,152	10,665
TAKE TWO INTERACTV	9,842	11,844
SONOS INC	8,558	14,642
SYSCO CORP COM	17,353	18,194
SUNRUN INC COM	12,675	65,495
STRYKER CORP	26,954	35,286
STITCH FIX INC	6,942	16,500
STATE STR CORP COM	65,211	79,330
STARBUCKS CORP	124,361	175,017
STAAR SURGICAL	9,426	9,269
SQUARE INC CL A	15,777	35,699
SPARTANASH CO	7,677	7,939
SOLAREDGE TECH	6,681	14,041
T ROWE PRICE GROUP	20,197	23,163
SIRIUS XM RADIO INC	9,105	10,950
SHERWIN WILLIAMS CO COM	45,315	69,816
SERVICE NOW INC	59,094	77,060
SERVICE CORP INTL	6,773	8,747
SEMTECH CORP COM	5,859	10,165
SELECT ENERGY SVCS INC CL A COM	6,603	5,351
SEAGEN INC	4,413	11,034
SCHNITZER STL INDS	37,301	54,917
SALESFORCE COM	91,625	129,290
SAGE THERAPEUTICS	7,241	16,869
ROYAL GOLD INC	10,974	8,509
LIGAND PHARMS	1,117	1,193
ROSS STORES INC	18,194	25,299
QUANTA SERVICES INC	26,925	50,918
ROPER INDUSTRIES INC	30,718	33,194
ROKU INC	6,920	17,597
ROCKWELL AUTOMATION	18,395	20,817
ROBERT HALF INTL	6,806	8,310
RINGCENTRAL INC	15,918	20,843
RESMED INC COM	26,262	48,464
REPLIGEN CORP COM	6,749	17,247
REGENERON PHARMS INC	32,703	25,605
QUEST DIAGNOSTIC INC	8,883	9,891
QUALCOMM INC COM	71,576	130,861
PNC FINL SVCS GROUP INC COM	69,890	80,609
PVH CORP	9,029	19,247
PTC THERAPEUTICS INC	8,746	11,046
PRUDENTIAL FINL INC	24,224	27,715
PROTO LABS INC	2,541	3,682
PROGRESSIVE CORP OHIO COM	27,523	36,487
PROCTER AND GAMBLE CO COM	15,631	19,025
PRINCIPAL FINL	22,195	31,403
PLUG POWER INC	6,898	10,840
PLUG INC	3,234	43,066
PINTEREST INC	10,821	22,109
OWENS CORNING FIBERGLASS	3,057	5,606
PFIZER INC	138,468	142,013
PERRIGO CO	11,164	11,314
PERSCO INC	59,598	67,921
PENTAIR PLC	6,799	9,503
PAYPAL HLDGS INC COM	90,083	124,205
PATTERSON UTI ENERGY	16,764	27,242
PARSONS INC	17,515	18,460
PARKER-HANNIFIN CORP	20,033	25,334
PACCAR INC COM	32,200	39,085
ORMAT TECHNOLOGIES INC COM	48,590	71,682
NIKE INC CLASS B	53,788	90,689
ORACLE CORPORATION	66,887	80,866
OMNICOM GROUP INC	108,055	122,495
OLD DOMINION FREIGHT LINE INC COM	8,231	13,077
OKTA INC	6,752	8,645
OIL STATES INTL	6,556	6,717
ONVIA CORP	82,749	240,734
NTNL OILWELL	16,324	17,780
NORTONLIFELOCK INC	14,403	13,777
NORTHERN TRUST CORP	22,203	25,893
TPI COMPOSTES INC COM	16,520	51,988
T-MOBILE US INC	36,677	59,469
NEWMONT CORP	33,990	33,538
NEW YORK TIMES CO CL A	20,857	26,558
MORGAN STANLEY	28,251	42,557
MOODY'S CORP	11,332	11,610
MONSTER BEVERAGE CORP	8,786	9,803
MONDELEZ INTL	25,574	27,481
MIRATI THERAPEUTICS	6,842	12,959
MICROSOFT CORP	795,170	974,867
MERITAGE HOMES CORP	21,522	34,867
MERCK & CO INC	269,972	260,860
MCKESSON CORP	26,568	32,349
MCDONALDS CORP COM	110,347	119,521
MCCORMICK & CO INC COM NON VTG	26,825	32,886
MATCH GROUP INC NEW	8,880	11,793
MASTERCARD INC	172,086	218,090
MARVEL TECH GROUP	8,892	12,693
MARSH & MCLENNAN COS INC COM	49,807	57,330
MANPOWERGROUP INC COM	14,330	14,339
MADISON SQUARE GARDEN INC CL A	27,816	28,720
MACYS INC COM	15,627	26,798
IQVIA HLDGS INC COM	3,185	4,121
INTERPUBLIC GROUP COS INC COM	71,405	93,257
UBER TECHNOLOGIES	43,833	74,256
MRC GLOBAL INC	5,639	7,830
MYR GROUP INC DEL COM	9,154	16,407
NASDAQ STK MKT INC	20,795	27,610
KEYSIGHT TECH INC	17,855	23,512
LIBERTY MEDIA CORP	6,572	8,282
LIBERTY BROADBAND CO CLASS C	17,305	21,063
LIBERTY BROADBAND CO CLASS A	8,782	10,085
LINDSTAR SYS INC COM	31,301	39,051
LAM RESEARCH CORP	8,443	11,334
KROGER CO	28,515	28,457
KLA CORP	16,972	26,927
KIMBERLY CLARK CORP	6,789	6,607
KEURIG DR PEPPER INC COM	21,090	23,744
LYTT INC	18,925	31,787
KELLOGG CO	49,124	46,486
KB HOME	30,072	41,431
JPMORGAN CHASE & CO COM	268,989	310,051
JOHNSON & JOHNSON COM	356,698	391,719
ITT INC	26,350	37,355
ITRON INC	25,056	37,113
LIVENT CORP	5,389	16,655
LULULEMON ATHLETICA	17,262	17,750
INTUIT INC	53,881	81,288
HASBRO INS	22,032	29,839
HALOZYME THERAPEUTIC	7,143	11,873
HALLIBURTON CO HLDG	36,055	69,287
HUBSPOT INC	5,962	15,461
INTEL CORP COM	141,967	145,126
INSULET CORP	6,863	8,691
ILLUMINA INC	28,810	33,300
ILLINOIS TOOL WKS INC COM	79,905	95,416
IHS MARKIT LTD	81,436	98,813
IDEXX LABS INC	17,311	30,992
IDEX CORP COM	33,693	41,234
ICF INTERNTL	15,958	16,650
HUMANA INC	12,621	17,642
HP INC	34,651	57,885
INTERDIGITAL INC COM	164	1,882
HORIZON THERAPEUTICS	1,419	2,707
HOME DEPOT	96,246	125,107
HOLOGIC INC	23,102	29,787
HEXCEL CORP NEW COM	14,181	15,662
HEWLETT PACKARD ENTERPRI	38,189	48,194
HERRICK & PAYSNE	70,066	76,317
HELMERICH & PAYNE	10,728	14,174
HELIX ENERGY SOLUTN	2,401	4,502
NETAPP INC	24,379	39,015
INTERCONTINENTAL EXCHANGE INC COM	29,689	40,236
HEARTLAND EXPRESS	9,370	8,779
GRUBHUB INC COM	2,908	5,273
FIRST SOLAR INC	30,365	58,264
GRANITE CONSTRUCTION	9,026	15,599
GOLDMAN SACHS GROUP INC	62,983	80,695
GMS INC COM	5,302	8,870
GILEAD SCIENCES INC	63,177	57,037
GENERAL MOTORS CO	18,566	36,726
GENERAL MILS INC COM	63,892	65,150
GAP INC	22,863	36,039
FRANKLIN ELECTRIC CO	38,068	51,631
FIVE9 INC	8,515	11,859
FEDERAL SIGNAL CORP	8,753	8,956
INTERFACE INC	6,013	7,193
FACEBOOK INC CL A	246,915	343,362
F M C CONSULTING INC	6,834	7,262
F M C CORP COM NEW	100,326	127,342
EXPEDITORS INTL WASH	40,415	48,982
EXPEDIA GROUP INC COM NEW	1,333	1,332
EXELIXIS INC COM	4,872	4,817
EVERSOURCE ENERGY	85,948	88,878
HARTFORD INTL SVCS GROUP INC	19,985	17,677
HCA HEALTHCARE INC COM	3,501	4,605
ESSENTIAL UTILS INC COM	42,829	49,182
ARENA PHARMACEUTICAL	8,655	14,444
DRILL QUIP	7,291	7,109
DONALDSON CO	5,426	6,203
DOCUSIGN INC	10,701	11,337
COUPA SOFTWARE INC COM	6,651	19,318
DEXCOM INC	7,421	22,183
DELL TECHNOLOGIES	12,675	21,034
DEERE & CO	22,567	37,936
DARLING INGREDIENTS	35,143	102,151
DARDEN RESTAURANTS	6,835	11,555
DANAHER GROUP	66,437	73,306
DXC TECHNOLOGY CO COM	12,598	21,038
CVS HEALTH CORP COM	39,117	50,132
COUSINS PROPERTIES	15,524	17,052
CARDINAL HEALTH CO	8,278	8,248
CAMPBELL SOUP CO	39,567	37,568
CACTUS INC	5,856	9,281
APPLIED MATLS INC COM	26,019	55,318
AVANGRID INC COM	15,946	11,929
AUTODESK INC	45,525	48,220
AUTO DATA PROCESSING	39,721	43,169
ASPEN TECHNOLOGIES	8,806	9,248
EBAY INC	51,150	72,762
EDWARDS LIFESCIENCES	54,503	68,423
ELECTRONIC ARTS INC	15,353	16,227
CHARLES SCHWAB CORP	21,916	24,664
CITRIX SYSTEMS INC	23,163	31,744
CITIGROUP INC	184,169	249,661
CINTAS CORP	6,451	9,927
CINCINNATI BELL INC	6,757	8,897
CIGNA CORP	65,573	73,696
CHURCH & DWIGHT INC	78,848	86,532
CHUBB LTD	57,684	70,957
CHIPOTLE MEXICAN GRLL	16,199	19,414
CHARTER COMMUNICATIONS INC NEW CL A	58,005	68,002
CHAMPIONX CORP	7,234	12,806
EMCOR GROUP INC	1,764	1,921
CBRE GROUP INC	25,825	37,695
CARVANA	8,716	10,300
COOPER COS INC COM NEW	25,377	30,156
COPART INC	15,525	21,251
CORE LABORATORIES	16,476	23,700
DOLLAR GENERAL CORP	4,951	7,991
ENPHYS ENERGY INC	6,550	25,443
ENERGY RECOVERY INC	33,126	56,579
EMERSON ELEC CO COM	56,799	72,012
ARISTA NETWORKS INC COM	38,644	50,559
ARCHROCK INC COM	16,417	20,351
APPTIV PLC	17,388	29,005
BLOOM ENERGY CORP	6,412	20,607
BIOMEN IDEC INC	36,484	35,260
BADGER METER INC COM	29,851	48,253
BEST BUY INC	27,845	39,517
BENEFITFOCUS INC	13,170	18,882
BED BATH & BEYOND	1,915	5,932
BECTON DICKINSON&CO	71,467	71,563
BEAZER HOMES USA INC COM NEW	13,117	19,695
BAXTER INTERNTL	28,117	26,479
BANK OF NY MELLON CO	36,055	42,440
BANK OF AMERICA CORP	187,255	200,319
BANDWIDTH INC	6,883	8,298
CREE INC	45,700	108,971
AUTODESK INC	40,189	229,005
CITRIX SYSTEMS INC	71,849	135,564
TRAVELERS COS INC/THE	70,947	100,365
EDWARDS LIFESCIENCES CORP	89,959	174,249
DOORDASH INC CL A	114,136	104,636
DEXCOM INC	89,895	110,916
COUPA SOFTWARE INC	63,586	127,091
COSTAR GROUP INC	125,472	295,770
BLUEBIRD BIO INC COM	6,936	4,587
BOOKIN HOLDINGS INC	20,735	26,727
BOSTON SCIENTIFIC CO	29,798	28,904
AMERICAN WATER WORKS CO	216,773	271,335
APTARGROUP INC	24,556	29,294
APPLE INC	540,973	1,237,202
AXON ENTERPRISES	20,622	35,901
AEON PLC	24,527	27,043
ANTHEM INC	60,126	70,319
ANTARES PHARMA INC COM	4,907	6,951
ANALOG DEVICES INC	47,231	59,287
AMGEN INC	130,559	133,354
AMERISOURCEBERGEN CORP COM	14,259	15,348

TY 2020 IRS 990 e-File Render**Name:** The Kohlberg Foundation Inc**EIN:** 13-3496263

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
General Atlantic Partners	AT COST	13,295,129	26,685,903
Kohlberg Partners IX, LP	AT COST	495,970	524,841
Kohlberg Partners VII, LP	AT COST	1,804,109	2,725,357
Kohlberg Partners VIII, LP	AT COST	3,696,299	4,616,116
Kohlberg SKI Partners VIII, LP	AT COST	140,039	419,003
Millennium Offshore Series 01A	AT COST	5,709,300	14,916,357
Prime Finance Partners	AT COST	97,139	65,241
Quantum Value	AT COST	4,637,825	6,007,652
Secondary Opportunities Fund 3	AT COST	2,338,608	3,105,457
Westbrook VII	AT COST	407,194	276,758
Westbrook VIII	AT COST	2,506,510	981,101

TY 2020 IRS 990 e-File Render
Name: The Kohlberg Foundation Inc

EIN: 13-3496263

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Computer Hardware	886	886	0	
Computer Hardware	754	754	0	
Lenovo Thinkpad	1,319	1,319	0	
Furniture	10,239	10,239	0	
COMPUTER Hardware	2,091	2,091	0	
HP Server Installation (Roundtable)	5,430	5,430	0	
Firewall and router (plus labor Roundtable)	1,993	1,993	0	
HP ProBook 650 (Janet's laptop)	1,050	1,011	39	
HP Business P232 23" LED LCD Monitor (Janet)	150	145	5	
LENOVO THINKPAD+ThinkVision LED Monitors 23.8" (NANCY)	2,106	772	1,334	
LENOVO THINKPAD+ThinkVision LED Monitors 23.8" (AMANDA)	2,106	772	1,334	
LENOVO THINKPAD+ThinkVision LED Monitors 23.8" (RITA)	2,106	772	1,334	
HPE 2530-24G - PoE Switch T20200204 0021	645	86	559	
FURNITURE	18,078	1,722	16,356	
LEASEHOLD IMPROVEMENTS	12,891	1,637	11,254	
5 YEALINK TDSOURCING VOIP PHONE-SIP PHONE-GRAPHIC DISPLAY	835	111	724	

TY 2020 IRS 990 e-File Render

Name: The Kohlberg Foundation Inc

EIN: 13-3496263

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Program related investment	250,000	250,000	250,000
Security Deposit	3,713	3,713	3,713
Investment receivable holdback	168,777	0	5,117,171

TY 2020 IRS 990 e-File Render

Name: The Kohlberg Foundation Inc

EIN: 13-3496263

Description	Amount
Investments - Book vs Tax	2,970,969

TY 2020 IRS 990 e-File Render**Name:** The Kohlberg Foundation Inc**EIN:** 13-3496263

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Advertising	160	0		160
Dues & Subscriptions	7,351	0		7,351
Licensing Fees	1,670	0		1,670
Meals & Entertainment	3,696	0		3,696
Miscellaneous	2,639	0		2,639
Other deductions - thru investments	20,439	15,009		0
Portfolio Deductions	15,522	14,781		0
Postage	3,755	0		3,755
Program expense	900	0		900
Telephone	4,267	0		4,267
Moving and storage expense	10,932	0		10,932
Office Supplies	3,289	0		3,289
Office Expense	1,327	0		1,327
Computer/Audio	18,301	0		18,301
Insurance	72,351	0		72,351
office equipment lease	5,772	0		5,772

TY 2020 IRS 990 e-File Render

Name: The Kohlberg Foundation Inc

EIN: 13-3496263

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Dividend income K-1s	155,187	155,815	155,187
Interest income from K-1s	298,385	292,471	298,385
Other Portfolio income from passthroughs	-475	-475	-475
Misc other income from passthroughs	12,714	12,714	12,714
Ordinary income from passthroughs	-115,192	-115,192	-115,192
Rental RE income from passthroughs	-6,534	-6,534	-6,534

TY 2020 IRS 990 e-File Render

Name: The Kohlberg Foundation Inc

EIN: 13-3496263

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Management Fees General Atlantic	394,714	394,714		0
Investment Advisory Fees UBS	173,424	173,424		0
Investment Advisory Fees Clarfeld Financial Advisors	172,418	172,418		0
Investment Advisory Fees Charles Schwab	48,188	48,188		0
Management fees Westbrook VIII	10,842	10,842		0
Consulting Fees Roundtable Technology	8,400	0		8,400
Management Fees Westbrook VII	2,971	2,971		0
consulting fees Calabrese	260	0		260

TY 2020 IRS 990 e-File Render

Name: The Kohlberg Foundation Inc

EIN: 13-3496263

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Foreign Taxes	43,560	43,560		0
Payroll Tax	33,273	0		33,273
Federal excise tax	150,795	0		0