Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ **Do not enter social security numbers on this form as it may be made public.**

▶ **Go to *www.irs.gov/Form990PF* for instructions and the latest information.**

OMB No. 1545-0052

**2020**

**Open to Public Inspection**

**For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020**

Name of foundation
DEUTSCHE BANK AMERICAS FOUNDATION

% ALESSANDRA DIGIUSTO

Number and street (or P.O. box number if mail is not delivered to street address)
60 WALL ST MAIL STOP NYC60-1710

Room/suite

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 100052836

**A Employer identification number**
13-3321736

**B** Telephone number (see instructions)
(212) 250-0538

**C** If exemption application is pending, check here ▶ ☐

**D 1.** Foreign organizations, check here............ ▶ ☐

**2.** Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ....... ▶ ☐

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ....... ▶ ☐

**G** Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

**H** Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, col. (c), *line 16*) ▶$ 38,820,962

**J** Accounting method: ☑ Cash ☐ Accrual
☐ Other (specify)
*(Part I, column (d) must be on cash basis.)*

**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

| | | Line | Description | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|---|---|
| Revenue | | 1 | Contributions, gifts, grants, etc., received (attach schedule) | 8,987,978 | | | |
| | | 2 | Check ▶ ☐ if the foundation is **not** required to attach Sch. B . . . . . . . . . . . . . . . | | | | |
| | | 3 | Interest on savings and temporary cash investments | 155 | 155 | | |
| | | 4 | Dividends and interest from securities . . . | 433,375 | 433,375 | | |
| | | 5a | Gross rents . . . . . . . . . . . . . . | | | | |
| | | b | Net rental income or (loss) | | | | |
| | | 6a | Net gain or (loss) from sale of assets not on line 10 | 2,345,332 | | | |
| | | b | Gross sales price for all assets on line 6a 11,807,093 | | | | |
| | | 7 | Capital gain net income (from Part IV, line 2) . . . | | 2,345,332 | | |
| | | 8 | Net short-term capital gain . . . . . . . . . . | | | | |
| | | 9 | Income modifications . . . . . . . . . . . . . | | | 879,994 | |
| | | 10a | Gross sales less returns and allowances | | | | |
| | | b | Less: Cost of goods sold . . . . | | | | |
| | | c | Gross profit or (loss) (attach schedule) . . . . . . | | | | |
| | | 11 | Other income (attach schedule) . . . . . . . | 318,787 | 318,787 | | |
| | | 12 | **Total.** Add lines 1 through 11 . . . . . . . . | 12,085,627 | 3,097,649 | 879,994 | |
| Operating and Administrative Expenses | | 13 | Compensation of officers, directors, trustees, etc. | 0 | | | |
| | | 14 | Other employee salaries and wages . . . . . . | | | | |
| | | 15 | Pension plans, employee benefits . . . . . . . | | | | |
| | | 16a | Legal fees (attach schedule) . . . . . . . . | 588 | 0 | 0 | 588 |
| | | b | Accounting fees (attach schedule) . . . . . . . | 27,461 | 6,865 | 0 | 20,596 |
| | | c | Other professional fees (attach schedule) . . . . | 241,434 | 105,570 | 0 | 135,864 |
| | | 17 | Interest . . . . . . . . . . . . . . . . | | | | |
| | | 18 | Taxes (attach schedule) (see instructions) . . . | 14,246 | 0 | 0 | 0 |
| | | 19 | Depreciation (attach schedule) and depletion . . . | | | | |
| | | 20 | Occupancy . . . . . . . . . . . . . . . | | | | |
| | | 21 | Travel, conferences, and meetings . . . . . . . | | | | |
| | | 22 | Printing and publications . . . . . . . . . . | | | | |
| | | 23 | Other expenses (attach schedule) . . . . . . . | 3,015 | 0 | 0 | 3,015 |
| | | 24 | **Total operating and administrative expenses.** Add lines 13 through 23 . . . . . . . . . . | 286,744 | 112,435 | 0 | 160,063 |
| | | 25 | Contributions, gifts, grants paid . . . . . . . | 8,959,562 | | | 8,959,562 |
| | | 26 | **Total expenses and disbursements.** Add lines 24 and 25 | 9,246,306 | 112,435 | 0 | 9,119,625 |
| | | 27 | Subtract line 26 from line 12: | | | | |
| | | a | **Excess of revenue over expenses and disbursements** | 2,839,321 | | | |
| | | b | **Net investment income** (if negative, enter -0-) | | 2,985,214 | | |
| | | c | **Adjusted net income** (if negative, enter -0-) . . . | | | 879,994 | |

**Part II** **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

| | | | Beginning of year | End of year | |
|---|---|---|---|---|---|
| | | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 | Cash—non-interest-bearing | 320,472 | 1,121,132 | 1,121,132 |
| | 2 | Savings and temporary cash investments | 776,964 | 1,820,318 | 1,820,318 |
| | 3 | Accounts receivable ▶ | | | |
| | | Less: allowance for doubtful accounts ▶ | | | |
| | 4 | Pledges receivable ▶ | | | |
| | | Less: allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ 10,912,330 | | | |
| | | Less: allowance for doubtful accounts ▶ | 13,807,893 | 10,912,330 | 10,912,330 |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10a | Investments—U.S. and state government obligations (attach schedule) | 3,824,694 | 2,317,854 | 2,567,101 |
| | b | Investments—corporate stock (attach schedule) | 8,337,666 | 14,919,070 | 16,260,966 |
| | c | Investments—corporate bonds (attach schedule) | 5,892,550 | 5,461,975 | 5,885,095 |
| | 11 | Investments—land, buildings, and equipment: basis ▶ | | | |
| | | Less: accumulated depreciation (attach schedule) ▶ | | | |
| | 12 | Investments—mortgage loans | | | |
| | 13 | Investments—other (attach schedule) | 401,140 | 228,015 | 254,020 |
| | 14 | Land, buildings, and equipment: basis ▶ | | | |
| | | Less: accumulated depreciation (attach schedule) ▶ | | | |
| | 15 | Other assets (describe ▶ ) | | | |
| | 16 | **Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I) | 33,361,379 | 36,780,694 | 38,820,962 |
| Liabilities | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe ▶ ) | | | |
| | 23 | **Total liabilities** (add lines 17 through 22) | 0 | 0 | |
| Net Assets or Fund Balances | | **Foundations that follow FASB ASC 958, check here** ▶ ☑ **and complete lines 24, 25, 29 and 30.** | | | |
| | 24 | Net assets without donor restrictions | 33,361,379 | 36,780,694 | |
| | 25 | Net assets with donor restrictions | | | |
| | | **Foundations that do not follow FASB ASC 958, check here** ▶ ☐ **and complete lines 26 through 30.** | | | |
| | 26 | Capital stock, trust principal, or current funds | | | |
| | 27 | Paid-in or capital surplus, or land, bldg., and equipment fund | | | |
| | 28 | Retained earnings, accumulated income, endowment, or other funds | | | |
| | 29 | **Total net assets or fund balances** (see instructions) | 33,361,379 | 36,780,694 | |
| | 30 | **Total liabilities and net assets/fund balances** (see instructions) | 33,361,379 | 36,780,694 | |

**Part III** **Analysis of Changes in Net Assets or Fund Balances**

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) | 1 | 33,361,379 |
| 2 | Enter amount from Part I, line 27a | 2 | 2,839,321 |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | 879,994 |
| 4 | Add lines 1, 2, and 3 | 4 | 37,080,694 |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | 300,000 |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 | 6 | 36,780,694 |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| | (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1 a | PUBLICLY TRADED SECURITIES | P | | |
| b | CAPITAL GAIN DISTRIBUTION | P | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 11,581,094 | | 9,461,761 | 2,119,333 |
| b | 225,999 | | 0 | 225,999 |
| c | | | | |
| d | | | | |
| e | | | | |

| | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|---|
| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| a | | | | 2,119,333 |
| b | | | | 225,999 |
| c | | | | |
| d | | | | |
| e | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | 2 | 2,345,332 |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . . . . . . . . . . . . . . . . . | 3 | |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

**SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE**

1 Reserved

| (a) Reserved | (b) Reserved | (c) Reserved | (d) Reserved |
|---|---|---|---|
| | | | |

| | | |
|---|---|---|
| 2 Reserved . . . . . . . . . . . . . . . . . . . . . . . . . . | 2 | |
| 3 Reserved. . . . . . . . . . . . . . . . . . . . . . . . . . | 3 | |
| 4 Reserved . . . . . . . . . . . . . . . . . . . . . . . . . . | 4 | |
| 5 Reserved . . . . . . . . . . . . . . . . . . . . . . . . . . | 5 | |
| 6 Reserved . . . . . . . . . . . . . . . . . . . . . . . . . . | 6 | |
| 7 Reserved . . . . . . . . . . . . . . . . . . . . . . . . . . | 7 | |
| 8 Reserved , . . . . . . . . . . . . . . . . . . . . . . . . . | 8 | |

**Part VI** **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

| | | | |
|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ **(attach copy of letter if necessary–see instructions)** | | |
| b | Reserved | 1 | 41,494 |
| c | All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 2 | |
| 3 | Add lines 1 and 2 | 3 | 41,494 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 4 | |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- | 5 | 41,494 |
| 6 | Credits/Payments: | | |
| a | 2020 estimated tax payments and 2019 overpayment credited to 2020 | 6a | 7,700 |
| b | Exempt foreign organizations—tax withheld at source | 6b | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | |
| d | Backup withholding erroneously withheld | 6d | 0 |
| 7 | Total credits and payments. Add lines 6a through 6d | 7 | 7,700 |
| 8 | Enter any **penalty** for underpayment of estimated tax. Check here ☑ if Form 2220 is attached. | 8 | 670 |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | 9 | 34,464 |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | 10 | |
| 11 | Enter the amount of line 10 to be: **Credited to 2021 estimated tax** ▶ **Refunded** ▶ | 11 | |

**Part VII-A** **Statements Regarding Activities**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | No |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition) | 1b | | No |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0 **(2)** On foundation managers. ▶ $ 0 | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0 | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.* | 2 | | No |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | No |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | No |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.* | 5 | | No |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | Yes | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.* | 7 | Yes | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* | 8b | Yes | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. If "Yes," complete Part XIV | 9 | | No |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.* | 10 | | No |

## Part VII-A Statements Regarding Activities (continued)

| | | | Line | Yes | No |
|---|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. | | 11 | | No |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions | | 12 | Yes | |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | | 13 | Yes | |

Website address ▶WWW.DB.COM/US

14 The books are in care of ▶ALESSANDRA DIGIUSTO Telephone no. ▶(212) 250-0538

Located at ▶60 WALL STREET 17TH FLOOR NEW YORK NY ZIP+4 ▶10005

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 |

| | | Line | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | No |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

| | | | Line | Yes | No |
|---|---|---|---|---|---|
| | **File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.** | | | Yes | No |
| 1a | During the year did the foundation (either directly or indirectly): | | | | |
| | **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? | ☐ Yes ☑ No | | | |
| | **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | ☐ Yes ☑ No | | | |
| | **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? | ☑ Yes ☐ No | | | |
| | **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | ☐ Yes ☑ No | | | |
| | **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | ☐ Yes ☑ No | | | |
| | **(6)** Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) | ☐ Yes ☑ No | | | |
| b | If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions | | 1b | | No |
| | Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐ | | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020? | | 1c | | No |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | | |
| a | At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? | ☐ Yes ☑ No | | | |
| | If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____ | | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.) | | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____ , 20____ , 20____ , 20____ | | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | ☐ Yes ☑ No | | | |
| b | If "Yes," did it have excess business holdings in 2020 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)* | | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | 4a | | No |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020? | | 4b | | No |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

| | | | | Yes | No |
|---|---|---|---|---|---|
| **5a** | During the year did the foundation pay or incur any amount to: | | | | |
| | **(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | ☐ Yes ☑ No | | | |
| | **(2)** Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? | ☐ Yes ☑ No | | | |
| | **(3)** Provide a grant to an individual for travel, study, or other similar purposes? | ☐ Yes ☑ No | | | |
| | **(4)** Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions | ☑ Yes ☐ No | | | |
| | **(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | ☐ Yes ☑ No | | | |
| **b** | If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions | | 5b | Yes | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | | |
| **c** | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? | ☑ Yes ☐ No | | | |
| | *If "Yes," attach the statement required by Regulations section 53.4945–5(d).* | | | | |
| **6a** | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | ☐ Yes ☑ No | | | |
| **b** | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | 6b | | No |
| | *If "Yes" to 6b, file Form 8870.* | | | | |
| **7a** | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | ☐ Yes ☑ No | | | |
| **b** | If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction? | | 7b | | |
| **8** | Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? | ☐ Yes ☑ No | | | |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation. See instructions**

| **(a)** Name and address | **(b)** Title, and average hours per week devoted to position | **(c)** Compensation **(If not paid, enter -0-)** | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| ALESSANDRA DIGIUSTO<br>C/O DEUTSCHE BANK<br>60 WALL STREET<br>NEW YORK, NY 10005 | ED & TREASURER<br>20.0 | 0 | 0 | 0 |
| GARY BEYER<br>C/O DEUTSCHE BANK<br>60 WALL STREET<br>NEW YORK, NY 10005 | DIRECTOR<br>1.0 | 0 | 0 | 0 |
| ROELFIEN KUIJPERS<br>C/O DEUTSCHE BANK<br>60 WALL STREET<br>NEW YORK, NY 10005 | DIRECTOR<br>1.0 | 0 | 0 | 0 |
| LAREENA HILTON<br>C/O DEUTSCHE BANK<br>60 WALL STREET<br>NEW YORK, NY 10005 | CHAIRMAN<br>1.0 | 0 | 0 | 0 |
| JAN FORD<br>C/O DEUTSCHE BANK<br>60 WALL STREET<br>NEW YORK, NY 10005 | Director<br>1.0 | 0 | 0 | 0 |
| PATRICK CAMPION<br>C/O DEUTSCHE BANK<br>60 WALL STREET<br>NEW YORK, NY 10005 | DIRECTOR (UNTIL 9/1/20)<br>1.0 | 0 | 0 | 0 |
| JIM BAEK<br>C/O DEUTSCHE BANK<br>60 WALL STREET<br>NEW YORK, NY 10005 | DIRECTOR<br>1.0 | 0 | 0 | 0 |
| CAROL SARACCO<br>C/O DEUTSCHE BANK<br>60 WALL STREET<br>NEW YORK, NY 10005 | SECRETARY<br>1.0 | 0 | 0 | 0 |
| ROBERT DIBBLE<br>C/O DEUTSCHE BANK<br>60 WALL STREET<br>NEW YORK, NY 10005 | DIRECTOR<br>1.0 | 0 | 0 | 0 |

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

| **(a)** Name and address of each employee paid more than $50,000 | **(b)** Title, and average hours per week devoted to position | **(c)** Compensation | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

| **(a)** Name and address of each person paid more than $50,000 | **(b)** Type of service | **(c)** Compensation |
|---|---|---|
| MADISON CONSULTING<br>30 MONTGOMERY STREET<br>JERSEY CITY,NJ 07302 | PROFESSIONAL SERVICE | 105,985 |
| | | |
| | | |
| | | |
| | | |

**Total** number of others receiving over $50,000 for professional services. . . . . . . . . . . . . . ▶

**Part IX-A** **Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|---|---|
| **1** EXPENSES INCURRED RELATED TO THE PRODUCTION OF FACE SHIELDS THAT WERE DONATED TO FIRST RESPONDERS TO PROTECT THESE INDIVIDUALS DURING THEIR COVID-19 RESPONSE. | 27,646 |
| **2** | |
| **3** | |
| **4** | |

**Part IX-B** **Summary of Program-Related Investments** (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|---|
| **1** NONE | |
| **2** | |
| All other program-related investments. See instructions. | |
| **3** | |
| **Total.** Add lines 1 through 3 . . . . . . . . . . . . . . . . . . . . . . . . ▶ | |

## Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities. | 1a | 20,840,457 |
| b | Average of monthly cash balances. | 1b | 2,286,398 |
| c | Fair market value of all other assets (see instructions). | 1c | 82,787 |
| d | **Total** (add lines 1a, b, and c). | 1d | 23,209,642 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0 |
| 3 | Subtract line 2 from line 1d. | 3 | 23,209,642 |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). | 4 | 348,145 |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 22,861,497 |
| 6 | **Minimum investment return.** Enter 5% of line 5. | 6 | 1,143,075 |

## Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6. | | | 1 | 1,143,075 |
| 2a | Tax on investment income for 2020 from Part VI, line 5. | 2a | 41,494 | | |
| b | Income tax for 2020. (This does not include the tax from Part VI.) | 2b | | | |
| c | Add lines 2a and 2b. | | | 2c | 41,494 |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1. | | | 3 | 1,101,581 |
| 4 | Recoveries of amounts treated as qualifying distributions. | | | 4 | 3,775,557 |
| 5 | Add lines 3 and 4. | | | 5 | 4,877,138 |
| 6 | Deduction from distributable amount (see instructions). | | | 6 | |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. | | | 7 | 4,877,138 |

## Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. | 1a | 9,119,625 |
| b | Program-related investments—total from Part IX-B. | 1b | 0 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. | 2 | 0 |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required). | 3a | 0 |
| b | Cash distribution test (attach the required schedule). | 3b | 0 |
| 4 | **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 9,119,625 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. | 5 | 0 |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4. | 6 | 9,119,625 |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

## Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2019 | (c) 2019 | (d) 2020 |
|---|---|---|---|---|
| 1 Distributable amount for 2020 from Part XI, line 7 | | | | 4,877,138 |
| 2 Undistributed income, if any, as of the end of 2020: | | | | |
| a Enter amount for 2019 only. . . . . . . | | | 0 | |
| b Total for prior years: 2018 , 2017 , 2016 | | 0 | | |
| 3 Excess distributions carryover, if any, to 2020: | | | | |
| a From 2015. . . . . . 12,870,161 | | | | |
| b From 2016. . . . . . 10,422,589 | | | | |
| c From 2017. . . . . . 11,096,779 | | | | |
| d From 2018. . . . . . 9,238,051 | | | | |
| e From 2019. . . . . . 12,282,082 | | | | |
| f **Total** of lines 3a through e. . . . . . . . | 55,909,662 | | | |
| 4 Qualifying distributions for 2020 from Part XII, line 4: ▶ $ 9,119,625 | | | | |
| a Applied to 2019, but not more than line 2a | | | 0 | |
| b Applied to undistributed income of prior years (Election required—see instructions). . . . . | | | | |
| c Treated as distributions out of corpus (Election required—see instructions). . . . . . . . | | | | |
| d Applied to 2020 distributable amount . . . . . . | | | | 4,877,138 |
| e Remaining amount distributed out of corpus | 4,242,487 | | | |
| 5 Excess distributions carryover applied to 2020. *(If an amount appears in column (d), the same amount must be shown in column (a).)* | | | | |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | 60,152,149 | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b . . . . . . . . . . . | | 0 | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . . . . | | | | |
| d Subtract line 6c from line 6b. Taxable amount —see instructions . . . . . . . . . . . . . | | 0 | | |
| e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . . . . . . . . . . . . . | | | 0 | |
| f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 . . . . . . . . . . . . | | | | 0 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . . . . | | | | |
| 8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions) . . . | 12,870,161 | | | |
| 9 **Excess distributions carryover to 2021.** Subtract lines 7 and 8 from line 6a . . . . . . . | 47,281,988 | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2016 . . . . 10,422,589 | | | | |
| b Excess from 2017 . . . . 11,096,779 | | | | |
| c Excess from 2018. . . . 9,238,051 | | | | |
| d Excess from 2019 . . . . 12,282,082 | | | | |
| e Excess from 2020 . . . . 4,242,487 | | | | |

## Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling

**b.** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | **(a)** 2020 | **(b)** 2019 | **(c)** 2018 | **(d)** 2017 | **(e) Total** |
| **2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| **b** 85% of line 2a | | | | | |
| **c** Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| **d** Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| **e** Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| **3** Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets | | | | | |
| **(2)** Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| **b** "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| **c** "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| **(2)** Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| **(3)** Largest amount of support from an exempt organization | | | | | |
| **(4)** Gross investment income | | | | | |

## Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N A

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| See ATTACHMENT 19A AND 19B<br>See ATTACHMENT 19A AND 19B<br>NEW YORK,NY 00000 | | | See ATTACHMENT 19A AND 19B | 8,959,562 |
| **Total** . . . . . ▶ **3a** | | | | 8,959,562 |
| **b** *Approved for future payment* | | | | |
| BRIDGE INC<br>290 LENOX AVENUE 3RD FLOOR<br>NEW YORK,NY 100274991 | N/A | PC | GENERAL SUPPORT | 125,000 |
| COMMUNILIFE<br>462 7TH AVENUE 3RD FLOOR<br>NEW YORK,NY 100187832 | N/A | PC | GENERAL SUPPORT | 125,000 |
| CONCERN FOR INDEPENDENT LIVING<br>312 EXPRESSWAY DR S<br>MEDFORD,NY 117632555 | N/A | PC | GENERAL SUPPORT | 125,000 |
| CYPRESS HILLS LOCAL DEVELOPMENT CORP<br>625 JAMIACA AVE<br>BROOKLYN,NY 112081203 | N/A | PC | GENERAL SUPPORT | 125,000 |
| ENTERPRISE COMMUNITY PARTNERS<br>11000 BROKEN LAND PKWY STE 700<br>COLUMBIA,MD 210443535 | N/A | PC | GENERAL SUPPORT | 150,000 |
| FIFTH AVENUE COMMITTEE<br>621 DEGRAW STREET<br>BROOKLYN,NY 11217 | N/A | PC | GENERAL SUPPORT | 125,000 |
| FORTUNE SOCIETY<br>26 76 NORTHERN BOULEVARD<br>LONG ISLAND CITY,NY 111012822 | N/A | PC | GENERAL SUPPORT | 125,000 |
| HARLEM CONGREGATIONS FOR COMMUNITY IMPROVEMENT<br>256 W 153RD ST<br>NEW YORK,NY 100291806 | N/A | PC | GENERAL SUPPORT | 125,000 |
| HESTER STREET COLLABORATIVE<br>113 HESTER ST<br>NEW YORK,NY 10002 | N/A | PC | GENERAL SUPPORT | 125,000 |
| LOCAL INITIATIVES SUPPORT CORPORATATION<br>18 LIBERTY STREET 34TH FLOOR<br>NEW YORK,NY 10005 | N/A | PC | GENERAL SUPPORT | 150,000 |
| LIVING CITIES INC THE NATIONAL COMMUNITY DEVELOPM<br>1040 AVENUE OF THE AMERICAS<br>NEW YORK,NY 10018 | N/A | PC | GENERAL SUPPORT | 166,667 |
| MOSHOLU PRESERVATION CORPORATION<br>3400 RESEVOIR OVAL E<br>BRONX,NY 104673102 | N/A | SO I | GENERAL SUPPORT | 125,000 |
| MUTUAL HOUSING ASSOCIATION OF NEW YORK<br>470 VANDERBILT AVE 9TH FLOOR<br>BROOKLYN,NY 112282208 | N/A | PC | GENERAL SUPPORT | 125,000 |
| NATIONAL LOW INCOME HOUSING COALITION<br>1000 VERMONT AVE NW STE 500<br>WASHINGTON,DC 200054969 | N/A | PC | GENERAL SUPPORT | 75,000 |
| NEIGHBORHOOD HOUSING SERVICES OF BROOKLYN CDC<br>2806 CHURCH AVE<br>BROOKLYN,NY 112264183 | N/A | PC | GENERAL SUPPORT | 125,000 |
| NEW DESTINY HOUSING CORPORATION<br>12 WEST 37TH STRET 7TH FLOOR<br>NEW YORK,NY 100187384 | N/A | PC | GENERAL SUPPORT | 125,000 |
| PROJECT RENEWAL<br>200 VARICK STREET<br>NEW YORK,NY 100144810 | N/A | PC | GENERAL SUPPORT | 125,000 |
| RISEBORO COMMUNITY PARTNERSHIP INC<br>555 BUSHWICK AVE<br>BROOKLYN,NY 112064628 | N/A | PC | GENERAL SUPPORT | 125,000 |
| SAINT NICHOLAS NIEGHBORHOODPRESERVATION CORP<br>2 KINGLAND AVE 2ND FL<br>BROOKLYN,NY 112111695 | N/A | PC | GENERAL SUPPORT | 125,000 |
| SAMIRITAN DAYTOP VILLAGE<br>128 02 QUEENS BOULEVARD<br>BRIARWOOD,NY 114352665 | N/A | PC | GENERAL SUPPORT | 125,000 |
| WEST SIDE FEDERATION FOR SENIOR AND SUPPORTIVE HOU<br>2345 BROADWAY<br>NEW YORK,NY 100243213 | N/A | PC | GENERAL SUPPORT | 125,000 |
| ASCENDANT NEIGHBORHOOD DEVELOPMENT CORP<br>421 E 116TH STREET<br>NEW YORK,NY 100291672 | N/A | PC | GENERAL SUPPORT | 125,000 |
| BOWERY RESIDENTS COMMITTEE<br>131 WEST 25TH STREET 12TH FLOOR<br>NEW YORK,NY 100017223 | N/A | PC | GENERAL SUPPORT | 125,000 |
| BREAKING GROUND HOUSING DEVELOPMENT FUND<br>505 EIGHTH AVENUE 5TH FLOOR<br>NEW YORK,NY 100186550 | N/A | PC | GENERAL SUPPORT | 125,000 |
| **Total** . . . . . ▶ **3b** | | | | 3,041,667 |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions.) |
|---|---|---|---|---|---|
| 1 Program service revenue: | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| a PROGRAM LOAN INTEREST | | | 01 | | 318,787 |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments. . . . | | | | | |
| 3 Interest on savings and temporary cash investments . . . . . . . . . . . . . | | | 14 | 155 | |
| 4 Dividends and interest from securities . . . . . | | | 14 | 433,375 | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property. . . . . . . | | | | | |
| b Not debt-financed property. . . . . . | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income. . . . . . | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory . . . . . . . . . . . . . . | | | 18 | 2,345,332 | |
| 9 Net income or (loss) from special events: | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e). . | | | | 2,778,862 | 318,787 |

13 **Total.** Add line 12, columns (b), (d), and (e). . . . . . . . . . . . . . . . . . . . . . . . **13** 3,097,649

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.) |
|---|---|
| 1 A | PROGRAM LOAN INTEREST IS GENERATED FROM LOW INTEREST LOANS PROVIDED TO VARIOUS EXEMPT AND OTHER CHARITABLE ORGANIZATIONS TO FURTHER THE FOUNDATION'S CHARITABLE PURPOSE. |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | Yes | No |
|---|---|---|---|
| **1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| **a** Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| **(1)** Cash. | **1a(1)** | | **No** |
| **(2)** Other assets. | **1a(2)** | | **No** |
| **b** Other transactions: | | | |
| **(1)** Sales of assets to a noncharitable exempt organization. | **1b(1)** | | **No** |
| **(2)** Purchases of assets from a noncharitable exempt organization | **1b(2)** | | **No** |
| **(3)** Rental of facilities, equipment, or other assets. | **1b(3)** | | **No** |
| **(4)** Reimbursement arrangements. | **1b(4)** | | **No** |
| **(5)** Loans or loan guarantees. | **1b(5)** | | **No** |
| **(6)** Performance of services or membership or fundraising solicitations. | **1b(6)** | | **No** |
| **c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees. | **1c** | | **No** |

**d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

| **(a)** Line No. | **(b)** Amount involved | **(c)** Name of noncharitable exempt organization | **(d)** Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | | |

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

**b** If "Yes," complete the following schedule.

| **(a)** Name of organization | **(b)** Type of organization | **(c)** Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

| Signature of officer or trustee | Date | Title |
|---|---|---|
| | 2021-05-17 | |

May the IRS discuss this return with the preparer shown below (see instr.) ☑ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's Signature | Date | Check if self-employed ☐ | PTIN |
|---|---|---|---|---|
| MICHAEL SALES | | | | P01770943 |

Firm's name ▶ ERNST & YOUNG US LLP

Firm's EIN ▶

Firm's address ▶ 99 WOOD AVENUE SOUTH

ISELIN, NJ 08830

Phone no. (732) 516-4200

# Additional Data

Return to Form

**Software ID:**
**Software Version:**

**Form 990PF - Special Condition Description:**

| Special Condition Description |
|---|

| | | |
|---|---|---|
| **Schedule B** (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service | **Schedule of Contributors** ▶ Attach to Form 990, 990-EZ, or 990-PF. ▶ Go to *www.irs.gov/Form990* for the latest information. | OMB No. 1545-0047 **2020** |

| Name of the organization | Employer identification number |
|---|---|
| DEUTSCHE BANK AMERICAS FOUNDATION | 13-3321736 |

**Organization type** (check one):

| **Filers of:** | **Section:** |
|---|---|
| Form 990 or 990-EZ | ☐ 501(c)( ) (enter number) organization |
| | ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation |
| | ☐ 527 political organization |
| Form 990-PF | ☑ 501(c)(3) exempt private foundation |
| | ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | ☐ 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule.**
**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . . . . . . . ▶ $ ______________

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

| Name of organization | Employer identification number |
|---|---|
| DEUTSCHE BANK AMERICAS FOUNDATION | 13-3321736 |

**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|---|---|---|---|
| 1 | DEUTSCHE BANK AG NY<br>60 WALL STREET<br>NEW YORK, NY 10005 | $ 8,987,978 | ☑ Person<br>☐ Payroll<br>☐ Noncash<br>(Complete Part II for noncash contributions.) |
| - | | $ | ☐ Person<br>☐ Payroll<br>☐ Noncash<br>(Complete Part II for noncash contributions.) |
| - | | $ | ☐ Person<br>☐ Payroll<br>☐ Noncash<br>(Complete Part II for noncash contributions.) |
| - | | $ | ☐ Person<br>☐ Payroll<br>☐ Noncash<br>(Complete Part II for noncash contributions.) |
| - | | $ | ☐ Person<br>☐ Payroll<br>☐ Noncash<br>(Complete Part II for noncash contributions.) |
| - | | $ | ☐ Person<br>☐ Payroll<br>☐ Noncash<br>(Complete Part II for noncash contributions.) |

Name of organization
DEUTSCHE BANK AMERICAS FOUNDATION

**Employer identification number**
13-3321736

**Part II** **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
|---|---|---|---|
| - | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
|---|---|---|---|
| - | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
|---|---|---|---|
| - | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
|---|---|---|---|
| - | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
|---|---|---|---|
| - | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
|---|---|---|---|
| - | | $ | |

Name of organization
DEUTSCHE BANK AMERICAS FOUNDATION

**Employer identification number**
13-3321736

**Part III** ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.) ▶ $**

Use duplicate copies of Part III if additional space is needed.

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| - | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee |
|---|---|
| - | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| - | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee |
|---|---|
| - | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| - | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee |
|---|---|
| - | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| - | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee |
|---|---|
| - | |

## Additional Data

Return to Form

**Software ID:**

**Software Version:**

# TY 2020 IRS 990 e-File Render

**Name:** DEUTSCHE BANK AMERICAS FOUNDATION

**EIN:** 13-3321736

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| ACCOUNTING FEES | 27,461 | 6,865 | 0 | 20,596 |

# TY 2020 IRS 990 e-File Render

**Name:** DEUTSCHE BANK AMERICAS FOUNDATION

**EIN:** 13-3321736

| Category | Amount |
| --- | --- |
| NONE | |

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

# TY 2020 IRS 990 e-File Render

**Name:** DEUTSCHE BANK AMERICAS FOUNDATION

**EIN:** 13-3321736

| Description of Property | Date Acquired | Cost or Other Basis | Prior Years' Depreciation | Computation Method | Rate / Life (# of years) | Current Year's Depreciation Expense | Net Investment Income | Adjusted Net Income | Cost of Goods Sold Not Included |
|---|---|---|---|---|---|---|---|---|---|

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

# TY 2020 IRS 990 e-File Render

**Name:** DEUTSCHE BANK AMERICAS FOUNDATION

**EIN:** 13-3321736

| Grantee's Name | Grantee's Address | Grant Date | Grant Amount | Grant Purpose | Amount Expended By Grantee | Any Diversion By Grantee? | Dates of Reports By Grantee | Date of Verification | Results of Verification |
|---|---|---|---|---|---|---|---|---|---|
| AAVISHKAAR GOODWELL | MEDIACENTRUM 1217 SUMATRALAAN, 45 GP HILVERSUM NL | 2007-06-25 | 300,000 | TO PROVIDE FINANCIAL SUPPORT TO DEVELOPING INSTITUTIONS IN INDIA. | 200 | NO | 05/16/2017; 03/30/18; 04/13/19; 02/26/20 | 2020-02-26 | TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON THE REPORTS FURNISHED BY THE INVESTMENT FUND, NO PART OF THE PROGRAM RELATED INVESTMENT HAS BEEN USED FOR OTHER THAN ITS INTENDED PURPOSE. INFORMAL VERIFICATION REPORTS WERE OBTAINED ON A REGULAR BASIS SINCE THE INVESTMENTS ORIGINATION. |
| KOMPANION INVEST MCC LLC | 270/2 LENIN STREET OSH CITY, KYRGYZ REPUBLIC 723500 KG | 2017-04-01 | 150,000 | SUPPORT MICROFINANCE SERVICES COMPATIBLE WITH ISLAMIC LAW TO LOW-INCOME CUSTOMERS IN KYRGYZSTAN. | 0 | NO | 04/13/18; 03/01/19; 03/04/20; 03/10/21 | 2021-03-10 | TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON THE REPORTS FURNISHED BY THE LOAN RECIPIENT, NO PART HAS BEEN USED FOR OTHER THAN ITS INTENDED PURPOSE. INFORMAL VERIFICATION REPORTS WERE OBTAINED ON A REGULAR BASIS SINCE THE LOANS ORIGINATION. |
| LIBERTY SOURCE PBC | 355 FENWICK RD HAMPTON, VA 23651 | 2017-03-01 | 500,000 | TO FACILITATE THE CREATION OF SUSTAINABLE JOBS FOR MIL- ITARY SPOUSES AND VETERANS WHO FACE OBSTACLES | 500,000 | NO | 03/30/2018; 02/27/19; 03/02/2020; 04/22/2021 | 2021-04-22 | TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON THE REPORTS FURNISHED BY THE LOAN RECIPIENT, NO PART HAS BEEN USED FOR OTHER THAN ITS INTENDED PURPOSE. INFORMAL VERIFICATION REPORTS WERE OBTAINED ON A REGULAR BASIS SINCE THE LOANS ORIGINATION. |
| PURSUIT | 50 BEAVER STREET SUITE 500 ALBANY, NY 12207 | 2015-07-15 | 250,000 | TO SUPPORT LOANS TO SMALL BUSINESSES IMPACTED BY HURRICANE SANDY. | 11,171 | NO | 03/12/2017; 03/28/2018; 02/22/19; 03/03/20; 03/08/2021 | 2021-03-08 | TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON THE REPORTS FURNISHED BY THE LOAN RECIPIENT, NO PART HAS BEEN USED FOR OTHER THAN ITS INTENDED PURPOSE. INFORMAL VERIFICATION REPORTS WERE OBTAINED ON A REGULAR BASIS SINCE THE LOANS ORIGINATION. |
| PYME | CENTO FIANCIERO LAFISE LM 5 1/2 CARRETERA MASAYA, MANGUA NU | 2010-05-27 | 300,000 | TO INVEST IN SMALL AND MEDIUM SIZED ENTERPRISES IN CENTRAL AMERICA. | 0 | NO | 04/10/2017; 04/03/2018; 02/05/2019; 03/02/20 | 2020-03-02 | TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON THE REPORTS FURNISHED BY THE INVESTMENT FUND, NO PART OF THE PROGRAM RELATED INVESTMENT HAS BEEN USED FOR OTHER THAN ITS INTENDED PURPOSE. INFORMAL VERIFICATION REPORTS WERE OBTAINED ON A REGULAR BASIS SINCE THE INVESTMENTS ORIGINATION. |
| SIXUP COMMUNITY FUND I LLC | 77 VAN NESS AVENUE SUITE 101 MS-1 SAN FRANCISCO, CA 94102 | 2017-05-11 | 500,000 | TO SUPPORT HIGH ACHIEVING, LOW-INCOME STUDENTS BY LENDING TO SUCH STUDENTS. | 500,000 | NO | 03/30/2018; 02/28/19; 03/23/2020; 03/11/2021 | 2021-03-11 | TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON THE REPORTS FURNISHED BY THE LOAN RECIPIENT, NO PART HAS BEEN USED FOR OTHER THAN ITS INTENDED PURPOSE. INFORMAL VERIFICATION REPORTS WERE OBTAINED ON A REGULAR BASIS SINCE THE LOANS ORIGINATION. |
| PIGEONLY | 701 E BRIDGER AVE SUITE 690 LAS VEGAS, NV 89101 | 2018-01-09 | 500,000 | TO SUPPORT MARKETING EFFORTS FOR PIGEONLY. | 0 | NO | 03/01/2019; 03/04/2020; 03/05/2021 | 2021-03-05 | TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON THE REPORTS FURNISHED BY THE LOAN RECIPIENT, NO PART HAS BEEN USED FOR OTHER THAN ITS INTENDED PURPOSE. INFORMAL VERIFICATION REPORTS WERE OBTAINED ON A REGULAR BASIS SINCE THE LOANS ORIGINATION. |
| VETS COORDINATED APPROACH TO RECOVERY | 10 MILK STREET SUITE 1010 BOSTON, MA 02108 | 2018-07-31 | 500,000 | TO SUPPORT UNEMPLOYED OR UNDEREMPLOYED VETERANS WITH SERVICE CONNECTED PTSD. | 0 | NO | 01/31/19; 02/28/19; 01/31/20; 03/04/20; 03/19/2021 | 2021-03-19 | TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON THE REPORTS FURNISHED BY THE LOAN RECIPIENT, NO PART HAS BEEN USED FOR OTHER THAN ITS INTENDED PURPOSE. INFORMAL VERIFICATION REPORTS WERE OBTAINED ON A REGULAR BASIS SINCE THE LOANS ORIGINATION. |
| NATIVE AMERICAN BANK CORPORATION | 201 NORTH BROADWAY DENVER, CO 80203 | 2019-08-06 | 1,000,000 | SUPPORT THE EXPANSION OF RED LAKE TRADING POST TO CONTRIBUTE TO JOB GROWTH AND ECONOMIC DEVEL. | 1,000,000 | NO | 03/04/2020; 02/21/2021 | 2021-02-21 | TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON THE REPORTS FURNISHED BY THE LOAN RECIPIENT, NO PART HAS BEEN USED FOR OTHER THAN ITS INTENDED PURPOSE. INFORMAL VERIFICATION REPORTS WERE OBTAINED ON A REGULAR BASIS SINCE THE LOANS ORIGINATION. |
| NYBDC LOCAL DEVELOPMENT FUND | 50 BEAVER STREET SUITE 500 ALBANY, NY 12207 | 2019-10-07 | 1,500,000 | TO SUPPORT COMMUNITY LENDING IN NEW YORK STATE. | 1,500,000 | NO | 03/03/2020; 03/08/2021 | 2021-03-08 | TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON THE REPORTS FURNISHED BY THE LOAN RECIPIENT, NO PART HAS BEEN USED FOR OTHER THAN ITS INTENDED PURPOSE. INFORMAL VERIFICATION REPORTS WERE OBTAINED ON A REGULAR BASIS SINCE THE LOANS ORIGINATION. |
| NYC DOWN PAYMENT ASSISTANCE FUND LLC | 1 WHITEHALL STREET 11TH FLOOR New York, NY 10004 | 2019-05-31 | 500,000 | TO SUPPORT NON-PROFIT DEVELOPERS TO FINANCE AND DEVELOP SUPPORTIVE AND AFFORDABLE HOUSING. | 500,000 | NO | 03/20/2020; 03/18/2021 | 2021-03-18 | TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON THE REPORTS FURNISHED BY THE LOAN RECIPIENT, NO PART HAS BEEN USED FOR OTHER THAN ITS INTENDED PURPOSE. INFORMAL VERIFICATION REPORTS WERE OBTAINED ON A REGULAR BASIS SINCE THE LOANS ORIGINATION. |
| New York Foundation | 10 East 34th Street 10 FL NEW YORK, NY 10016 | 2020-06-30 | 200,000 | TO SUPPORT EFFECTIVE ENGAGEMENT OF LOW INCOME COMMUN- ITIES IN PLANNING AND DEVELOPMENT PROCESSES. | 200,000 | NO | 05/02/2018; 02/15/2019; 03/01/2020; 02/02/2021 | 2021-02-02 | TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON THE REPORTS FURNISHED BY THE LOAN RECIPIENT, NO PART HAS BEEN USED FOR OTHER THAN ITS INTENDED PURPOSE. |

**Name:** DEUTSCHE BANK AMERICAS FOUNDATION

**EIN:** 13-3321736

| Name of Bond | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| AMAZON COM INC COM | 193,317 | 194,004 |
| AMERICAN EXPRESS CO DTD | 200,473 | 209,195 |
| ANHEUSER-BUSCH DTD | 191,627 | 218,108 |
| APPLE INC DTD | 192,048 | 210,229 |
| BANK OF AMERICA CORP DTD | 194,298 | 214,045 |
| BP CAPITAL MARKETS PLC DTD | 196,059 | 211,506 |
| BURLINGTN NORTH SANTA FE DTD | 199,462 | 206,886 |
| CATERPILLAR FINANCIAL SE DTD | 192,945 | 208,197 |
| CISCO SYSTEMS INC DTD | 195,512 | 211,133 |
| CITIGROUP INC DTD | 190,501 | 211,817 |
| COCA COLA CO/THE DTD | 202,285 | 217,913 |
| COMCAST CORP NEW SR GLBL DTD | 209,896 | 214,122 |
| CVS HEALTH CORP DTD | 194,083 | 218,470 |
| DISNEY WALT CO DTD | 154,812 | 178,447 |
| EXXON MOBIL CORP COM | 170,146 | 178,900 |
| GOLDMAN SACHS GROUP INC NT DTD | 200,994 | 216,628 |
| HOME DEPOT INC DTD | 100,070 | 105,558 |
| HOME DEPOT INC SR GLBL NT DTD | 112,955 | 113,800 |
| JOHN DEERE CAPITAL CORP DTD | 201,330 | 205,914 |
| JPMORGAN CHASE & CO DTD | 187,831 | 210,705 |
| MCDONALDS CORP COM | 209,118 | 221,280 |
| MORGAN STANLEY DTD | 202,402 | 210,555 |
| PEPSICO INC DTD | 198,134 | 212,995 |
| SHELL INTERNATIONAL FIN DTD | 184,308 | 211,460 |
| TOYOTA MTR CR CORP FR DRD | 224,238 | 227,544 |
| US BANCORP MTNS BK ENT FR DTD | 183,439 | 215,084 |
| WALMART INC DTD | 192,404 | 216,689 |
| WELLS FARGO & COMPANY DTD | 188,750 | 210,206 |
| WESTPAC BANKING CORP DTD | 198,538 | 203,705 |

**Name:** DEUTSCHE BANK AMERICAS FOUNDATION

**EIN:** 13-3321736

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| A LPHABET INC COM CL A | 447,169 | 524,039 |
| ABBVIE INC COM | 37,159 | 42,324 |
| ACTIVISION BLIZZARD INC COM | 65,837 | 74,280 |
| AGILENT TECHNOLOGIES INC COM | 50,514 | 50,951 |
| ALLSTATE CORP COM | 0 | 151 |
| AMERICAN EXPRESS CO COM | 162,789 | 166,856 |
| AMGEN INC COM | 216,542 | 223,942 |
| APPLE INC COM | 515,811 | 825,730 |
| APPLIED MATERIALS INC COM | 49,556 | 49,191 |
| APTIV PLC SHS COM | 78,941 | 83,386 |
| BAKER HUGHES COMPANY CL A | 65,083 | 62,759 |
| BANK NEW YORK MELLON CORP COM | 42,786 | 44,350 |
| BECKTON DICKINSON & CO COM | 54,233 | 55,048 |
| BEST BUY INC COM | 94,561 | 92,306 |
| BIOGEN INC COM | 99,248 | 100,147 |
| BLACKROCK FDS V HI YLD BD INST | 370,000 | 383,574 |
| BRISTOL MYERS SQUIBB CO COM | 83,854 | 85,340 |
| CADENCE DESIGN SYS INC COM | 34,501 | 36,836 |
| CARLISLE COMPANIES INC COM | 106,932 | 106,983 |
| CIGNA CORP NEW COM | 235,758 | 246,693 |
| CISCO SYS INC COM | 113,231 | 112,994 |
| CITRIX SYS INC COM | 41,532 | 41,632 |
| COCOA COLA CO COM | 59,322 | 62,243 |
| DELTA AIR LINES INC COM | 35,891 | 35,184 |
| DEUTSCHE GLOBAL INFRASTRUCTURE | 627,062 | 656,176 |
| DUPONT DE NEMOURS INC COM | 44,251 | 45,866 |
| ELECTRONIC ARTS INC COM | 41,272 | 42,362 |
| EXELON CORP COM | 48,490 | 48,131 |
| FIRST AMERICAN FINANCIAL CORP | 20,198 | 19,878 |
| HCA HEALTHCARE INC COM | 43,798 | 46,049 |
| HESS CORPORATION | 44,363 | 42,760 |
| HOLOGIC INC COM | 42,540 | 41,149 |
| HOME DEPOT INC COM | 231,671 | 228,433 |
| HONEYWELL INTL INC COM | 45,644 | 45,731 |
| HOST HOTELS AND RESORTS INC. | 6,271 | 6,364 |
| HP INC COM | 0 | 223 |
| HUNTINGTON INGALLS INDUSTRIES | 86,216 | 85,240 |
| IDACORP INC COM | 23,830 | 24,008 |
| IHS MARKET LTD COM | 33,557 | 34,135 |
| INTEL CORP COM | 91,951 | 100,636 |
| INTERNATIONAL BUSINESS MACHS | 53,814 | 54,128 |
| INTERNATIONAL FLAVORS AND FRAG | 64,702 | 61,787 |
| INVESCO EUROPEAN GROWTH FUND | 1,417,254 | 1,579,857 |

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| IRON MOUNTAIN INCORPORATED RE | 113,190 | 113,645 |
| ISHARES INC CORE MSCI EMKT ETF | 1,170,408 | 1,233,615 |
| ISHARES MSCI EMERGING MKTS ETF | 0 | 3,179 |
| ISHARES MSCI PACIFIC EX-JAPAN | 316,923 | 340,834 |
| ISHARES RUSSELL 2000 ETF | 0 | 1,647 |
| KELLOGG CO COM | 36,304 | 36,715 |
| KEURIG DR PEPPER INC COM | 154,283 | 160,480 |
| KIMBERLY CLARK INC COM | 0 | 128 |
| L3HARRIS TECHNOLOGIES INC COM | 122,192 | 132,125 |
| LAM RESEARCH CORP | 0 | 169 |
| LAMB WESTON HOLDINGS INC | 42,550 | 43,307 |
| LINDE PLC ORD | 32,430 | 40,844 |
| MARSH & MCLENNAN COS INC COM | 77,280 | 77,805 |
| MASTERCARD INC COM CL A | 224,803 | 239,150 |
| MATIHEWS JAPAN FUND | 643,650 | 776,116 |
| MATTHEW PACIFIC TIGER FUND IS | 664,277 | 740,385 |
| MERCK & CO INC COM | 193,385 | 196,820 |
| METTLER-TOLEDO INTL INC COM | 51,272 | 51,286 |
| MFS EMERGING MARKETS DEBT FUND | 510,000 | 544,458 |
| MICROSOFT CORP COM | 517,993 | 736,433 |
| MOLSON COORS BEVERAGE COMPANY | 137,051 | 132,362 |
| MOODYS CORP COM | 155,055 | 161,083 |
| MORGAN STANLEY COM | 145,573 | 151,794 |
| NATIONAL-OILWELL VARCO INC COM | 63,401 | 62,677 |
| NIKE INC CL B | 42,857 | 43,148 |
| NVIDIA CORP COM | 60,333 | 60,053 |
| ONEOK INC NEW COM | 31,525 | 30,320 |
| OSHKOSH CORP COM | 39,885 | 39,592 |
| OWENS CORNING INC COM NEW | 55,270 | 55,305 |
| PARKER HANNIFIN CORP COM | 49,220 | 49,034 |
| PAYPAL HOLDINGS INC COM | 43,217 | 44,498 |
| PNC FINL SVCS GROUP INC COM | 178,536 | 184,015 |
| PROCTOR & GAMBLE CO COM | 59,900 | 60,526 |
| PRUDENTIAL FINL INC COM | 42,731 | 42,939 |
| PULTE GROUP INC COM | 0 | 128 |
| RELIANCE STL & ALUM CO COM | 11,433 | 11,376 |
| S&P GLOBAL INC COM | 67,596 | 69,033 |
| SALESFORCE COM INC COM | 166,792 | 165,785 |
| TARGET CORP COM | 125,167 | 127,984 |
| TESLA INC COM | 177,367 | 197,588 |
| UNITED PARCEL SVC INC CL B | 183,854 | 182,714 |
| US FOOD HLDF CORP COM | 79,604 | 80,277 |
| V F CORP COM | 24,586 | 24,342 |
| VANGUARD FTSE EUROPE ETF | 977,986 | 1,006,309 |
| VERTEX PHARMACEUTICALS INC COM | 41,639 | 42,541 |
| VIACOMCBS INC CL B COM | 0 | 113 |
| VIRTUS SMALL CAP CORE FUND | 740,000 | 776,318 |

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| VMWARE INC COM | 62,168 | 60,312 |
| WALMART INC COM | 0 | 82 |
| WEC EMERGY GROUP INC COM | 151,046 | 150,929 |
| WILEY JOHN & SONS INC | 106,204 | 108,796 |

# TY 2020 IRS 990 e-File Render

| | |
|---|---|
| **Name:** | DEUTSCHE BANK AMERICAS FOUNDATION |
| **EIN:** | 13-3321736 |
| **US Government Securities - End of Year Book Value:** | 2,317,854 |
| **US Government Securities - End of Year Fair Market Value:** | 2,567,101 |
| **State & Local Government Securities - End of Year Book Value:** | |
| **State & Local Government Securities - End of Year Fair Market Value:** | |

# TY 2020 IRS 990 e-File Render

**Name:** DEUTSCHE BANK AMERICAS FOUNDATION

**EIN:** 13-3321736

| Category/ Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|---|---|---|---|
| AAVISHKAAR GOODWELL | FMV | 200 | 200 |
| ISHARES GOLD TRUST | | 227,815 | 253,820 |

# TY 2020 IRS 990 e-File Render

**Name:** DEUTSCHE BANK AMERICAS FOUNDATION

**EIN:** 13-3321736

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| LEGAL FEES | 588 | 0 | 0 | 588 |

# TY 2020 IRS 990 e-File Render

**Name:** DEUTSCHE BANK AMERICAS FOUNDATION

**EIN:** 13-3321736

| Description | Amount |
|---|---|
| LOAN WRITE OFF - PYME | 300,000 |

# TY 2020 IRS 990 e-File Render

**Name:** DEUTSCHE BANK AMERICAS FOUNDATION

**EIN:** 13-3321736

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| NY FILING FEES | 750 | 0 | 0 | 750 |
| OFFICE EXPENSES | 2,265 | 0 | 0 | 2,265 |

# TY 2020 IRS 990 e-File Render

**Name:** DEUTSCHE BANK AMERICAS FOUNDATION

**EIN:** 13-3321736

| Description | Revenue And Expenses Per Books | Net Investment Income | Adjusted Net Income |
|---|---|---|---|
| PROGRAM LOAN INTEREST | 318,787 | 318,787 | |

# TY 2020 IRS 990 e-File Render

**Name:** DEUTSCHE BANK AMERICAS FOUNDATION

**EIN:** 13-3321736

| Description | Amount |
|---|---|
| RECOVERY OF PRIOR YEAR DISTRIBUTIONS | 879,994 |

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

# TY 2020 IRS 990 e-File Render

**Name:** DEUTSCHE BANK AMERICAS FOUNDATION

**EIN:** 13-3321736

| Borrower's Name | Relationship to Insider | Original Amount of Loan | Balance Due | Date of Note | Maturity Date | Repayment Terms | Interest Rate | Security Provided by Borrower | Purpose of Loan | Description of Lender Consideration | Consideration FMV |
|---|---|---|---|---|---|---|---|---|---|---|---|
| SEE ATTACHMENT 21 | | | 10,912,330 | | | | 0 % | | | | |

# TY 2020 IRS 990 e-File Render

**Name:** DEUTSCHE BANK AMERICAS FOUNDATION

**EIN:** 13-3321736

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| INVESTMENT ADVISORY FEES | 105,570 | 105,570 | 0 | 0 |
| CONSULTING FEES | 108,218 | 0 | 0 | 108,218 |
| CHARITABLE EXP - FACE SHIELDS | 27,646 | 0 | 0 | 27,646 |

# TY 2020 IRS 990 e-File Render

**Name:** DEUTSCHE BANK AMERICAS FOUNDATION

**EIN:** 13-3321736

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| FEDERAL EXCISE TAX | 14,246 | 0 | 0 | 0 |