Form **990-PF**
Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
▶ **Go to *www.irs.gov/Form990PF* for instructions and the latest information.**

OMB No. 1545-0052

2020

Open to Public Inspection

For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020

Name of foundation
THE HOWARD GILMAN FOUNDATION INC

A Employer identification number
13-3097486

Number and street (or P.O. box number if mail is not delivered to street address)
24 WEST 40TH STREET 8TH FL

Room/suite

B Telephone number (see instructions)
(212) 408-0400

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10018

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here..... ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ..... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 600,733,170

J Accounting method: ☐ Cash ☑ Accrual ☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ▶☑ if the foundation is **not** required to attach Sch. B				
	3 Interest on savings and temporary cash investments	169,360	174,131		
	4 Dividends and interest from securities	7,509,067	7,763,420		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	17,641,005			
	b Gross sales price for all assets on line 6a 285,341,896				
	7 Capital gain net income (from Part IV, line 2)		18,960,832		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	2,434,986	1,195,974		
	12 **Total.** Add lines 1 through 11	27,754,418	28,094,357		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0	0		0
	14 Other employee salaries and wages	1,246,423	124,642		1,121,781
	15 Pension plans, employee benefits	432,475	43,248		371,288
	16a Legal fees (attach schedule)	6,578	3,780		2,798
	b Accounting fees (attach schedule)	79,600	57,600		22,000
	c Other professional fees (attach schedule)	1,486,992	1,415,327		71,665
	17 Interest		79,547		
	18 Taxes (attach schedule) (see instructions)	406,274	2		0
	19 Depreciation (attach schedule) and depletion	260,440	0		
	20 Occupancy	83,586	0		83,586
	21 Travel, conferences, and meetings	22,230	0		22,230
	22 Printing and publications	6,080	0		6,080
	23 Other expenses (attach schedule)	173,171	308,492		165,614
	24 **Total operating and administrative expenses.** Add lines 13 through 23	4,203,849	2,032,638		1,867,042
	25 Contributions, gifts, grants paid	33,462,829			32,853,829
	26 **Total expenses and disbursements.** Add lines 24 and 25	37,666,678	2,032,638		34,720,871
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	-9,912,260			
	b **Net investment income** (if negative, enter -0-)		26,061,719		
	c **Adjusted net income** (if negative, enter -0-)				

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	61,204	607,690	607,690
	2 Savings and temporary cash investments	47,827,020	57,342,166	57,342,166
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶	9,735		
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	59,015	33,565	33,565
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	440,042,015	459,992,980	459,992,980
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	63,993,893	72,950,755	72,950,755
	14 Land, buildings, and equipment: basis ▶ 10,066,804			
	Less: accumulated depreciation (attach schedule) ▶ 303,572	9,979,649	9,763,232	9,763,232
	15 Other assets (describe ▶)	19,156	42,782	42,782
	16 **Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	561,991,687	600,733,170	600,733,170
Liabilities	17 Accounts payable and accrued expenses	192,857	619,282	
	18 Grants payable	340,000	949,000	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)	876,423	1,640,657	
	23 **Total liabilities**(add lines 17 through 22)	1,409,280	3,208,939	
Net Assets or Fund Balances	**Foundations that follow FASB ASC 958, check here** ▶ ☑ **and complete lines 24, 25, 29 and 30.**			
	24 Net assets without donor restrictions	560,582,407	597,524,231	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☐ **and complete lines 26 through 30.**			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 **Total net assets or fund balances** (see instructions)	560,582,407	597,524,231	
	30 **Total liabilities and net assets/fund balances** (see instructions)	561,991,687	600,733,170	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	560,582,407
2	Enter amount from Part I, line 27a	2	-9,912,260
3	Other increases not included in line 2 (itemize) ▶	3	47,516,087
4	Add lines 1, 2, and 3	4	598,186,234
5	Decreases not included in line 2 (itemize) ▶	5	662,003
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	597,524,231

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	PUBLICLY TRADED SECURITIES			
b	GAIN ON INVESTMENT IN KING STREET	P		
c	GAIN ON INVESTMENT IN CONSONANCE CAPITAL PARTNERS L.P.	P		
d	GAIN ON INVESTMENT IN SCULPTOR REAL ESTATE PARALLEL FUND III B, L.P.	P		
e	GAIN ON INVESTMENT IN TOTAL ALTERNATIVES FUND (B) LP	P		
	GAIN ON SALE OF INVESTMENT IN SUBSIDIARIES	P		
	ADD: AMOUNT ATTRIBUTABLE TO UBI	P		
	CAPITAL GAINS DIVIDENDS	P		

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	284,864,165		267,756,910	17,107,255
b	3,476		1,350	2,126
c				663,293
d				498
e				656,028
				57,369
				8
	474,255			474,255

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
			17,107,255
			2,126
			663,293
			498
			656,028
			57,369
			8
			474,255

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	18,960,832
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1 Reserved

(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved

2	Reserved	2
3	Reserved	3
4	Reserved	4
5	Reserved	5
6	Reserved	6
7	Reserved	7
8	Reserved	8

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ **(attach copy of letter if necessary–see instructions)**		
b	Reserved	1	362,258
c	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	362,258
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	362,258
6	Credits/Payments:		
a	2020 estimated tax payments and 2019 overpayment credited to 2020 — 6a: 234,371		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 50,000		
d	Backup withholding erroneously withheld — 6d: 0		
7	Total credits and payments. Add lines 6a through 6d	7	284,371
8	Enter any **penalty** for underpayment of estimated tax. Check here ☑ if Form 2220 is attached.	8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	77,887
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2021 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition)	1b		No
	*If the answer is "Yes" to **1a** or **1b,** attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0 **(2)** On foundation managers. ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ DE, NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶ HOWARDGILMANFOUNDATION.ORG

14 The books are in care of ▶ LAURA PACKER Telephone no. ▶ (212) 408-0400

Located at ▶ 24 WEST 40TH STREET 8TH FL NEW YORK NY ZIP+4 ▶ 10018

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year | 15 |

16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign	16		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2020 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No		
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☐ Yes ☑ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☑ No		
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b	No
	Organizations relying on a current notice regarding disaster assistance check here ▶	☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☑ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No		
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year?	☐ Yes ☑ No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARY C FARRELL 24 WEST 40TH STREET 8TH FL NEW YORK, NY 10018	PRESIDENT & DIRECTOR 3.00	0	0	0
JOSEPH M SAMULSKI 24 WEST 40TH STREET 8TH FL NEW YORK, NY 10018	VICE PRESIDENT & DIRECTOR 3.00	0	0	0
DANIEL L KURTZ 24 WEST 40TH STREET 8TH FL NEW YORK, NY 10018	SECRETARY & DIRECTOR 3.00	0	0	0
MARVIN S ROSEN 24 WEST 40TH STREET 8TH FL NEW YORK, NY 10018	TREASURER & DIRECTOR 3.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LAURA ADEN PACKER 24 WEST 40TH STREET 8TH FL NEW YORK,NY 10018	EXECUTIVE DIRECTOR 50.00	430,000	83,438	0
ANNA CAMPBELL 24 WEST 40TH STREET 8TH FL NEW YORK,NY 10018	SR. PROGRAM OFFICER 50.00	190,000	89,204	0
EMILY SPROCH 24 WEST 40TH STREET 8TH FL NEW YORK,NY 10018	PROGRAM OFFICER 50.00	160,000	81,991	0
JAMES HIRSCHFELD 24 WEST 40TH STREET 8TH FL NEW YORK,NY 10018	PROGRAM OFFICER 50.00	125,000	56,491	0
MARY F SLAUGHTER 24 WEST 40TH STREET 8TH FL NEW YORK,NY 10018	ADMINISTRATION DIREC 40.00	112,500	45,127	0
Total number of other employees paid over $50,000 ▶				1

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
BLACKROCK FINANCIAL MANAGEMENT INC 55 EAST 52ND STREET NEW YORK,NY 10055	INVESTMENT FEES	1,182,426
BNY MELLON 201 WASHINGTON STREET 6TH FLOOR BOSTON,MA 02108	INVESTMENT FEES	224,612

Total number of others receiving over $50,000 for professional services. . . . . ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions. **3**	
Total. Add lines 1 through 3 . . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	509,878,703
b	Average of monthly cash balances		1b	6,267,534
c	Fair market value of all other assets (see instructions)		1c	62,836,002
d	**Total** (add lines 1a, b, and c)		1d	578,982,239
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0		
2	Acquisition indebtedness applicable to line 1 assets		2	0
3	Subtract line 2 from line 1d		3	578,982,239
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	8,684,734
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	570,297,505
6	**Minimum investment return.** Enter 5% of line 5		6	28,514,875

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	28,514,875
2a	Tax on investment income for 2020 from Part VI, line 5	2a	362,258		
b	Income tax for 2020. (This does not include the tax from Part VI.)	2b	10,488		
c	Add lines 2a and 2b			2c	372,746
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	28,142,129
4	Recoveries of amounts treated as qualifying distributions			4	0
5	Add lines 3 and 4			5	28,142,129
6	Deduction from distributable amount (see instructions)			6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	28,142,129

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	34,720,871
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	44,023
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	34,764,894
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	34,764,894

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				28,142,129
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only			0	
b Total for prior years: 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015 1,270,573				
b From 2016 62,346				
c From 2017 6,689,725				
d From 2018 4,036,519				
e From 2019				
f **Total** of lines 3a through e	12,059,163			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ $ 34,764,894				
a Applied to 2019, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions)		0		
c Treated as distributions out of corpus (Election required—see instructions)	0			
d Applied to 2020 distributable amount				28,142,129
e Remaining amount distributed out of corpus	6,622,765			
5 Excess distributions carryover applied to 2020. *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	18,681,928			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions)	1,270,573			
9 **Excess distributions carryover to 2021.** Subtract lines 7 and 8 from line 6a	17,411,355			
10 Analysis of line 9:				
a Excess from 2016 62,346				
b Excess from 2017 6,689,725				
c Excess from 2018 4,036,519				
d Excess from 2019				
e Excess from 2020 6,622,765				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

THE HOWARD GILMAN FOUNDATION
24 WEST 40TH STREET 8TH FL
NEW YORK,NY 10018
(212) 408-0400

b The form in which applications should be submitted and information and materials they should include:

PLEASE VISIT WWW.HOWARDGILMANFOUNDATION.ORG AND CLICK "APPLY" FOR COMPLETE DETAILS REGARDING THE APPLICATION PROCESS. THE HOWARD GILMAN FOUNDATION SUPPORTS A CROSS-SECTION OF NEW YORK CITY-BASED PERFORMING ARTS ORGANIZATIONS OF VARYING STRUCTURE, STYLE, AND BUDGET SIZE. ABOVE ALL, WE ARE COMMITTED TO SERVING GEOGRAPHICALLY AND CULTURALLY DIVERSE ORGANIZATIONS DEVOTED TO EXCELLENCE IN BOTH ARTISTIC ACHIEVEMENT AND AUDIENCE EXPERIENCE.

c Any submission deadlines:

THE FOUNDATION CONDUCTS THREE FUNDING CYCLES PER CALENDAR YEAR.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

TO BE ELIGIBLE FOR FOUNDATION FUNDING, AN ORGANIZATION MUST BE A 501(C)(3) OR FISCALLY SPONSORED BY A 501(C)(3). THE FOUNDATION FUNDS: PROFESSIONAL PERFORMING ARTS ORGANIZATIONS (DANCE COMPANIES, THEATRE COMPANIES, MUSIC ENSEMBLES), PERFORMING ARTS PRESENTERS, SERVICE ORGANIZATIONS THAT PROVIDE SUPPORT FOR THE PERFORMING ARTS AND PERFORMING ARTISTS. THE FOUNDATION DOES NOT FUND: ARTS EDUCATION, IN-SCHOOL, OR AFTER SCHOOL ARTS PROGRAMMING, PROFESSIONAL PERFORMING ARTS TRAINING OR TUITION-BASED PROGRAMS, INDIVIDUAL ARTISTS, SOCIAL SERVICE ORGANIZATIONS, MUSEUMS, LIBRARIES, OR OTHER INSTITUTIONS WHOSE PRIMARY MISSION IS NOT THE PERFORMING ARTS, VENUES THAT ARE PRIMARILY RENTAL FACILITIES.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
A BETTER JAMAICA INC 11473 178TH STREET JAMAICA,NY 11434	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	40,000
A BETTER JAMAICA INC 11473 178TH STREET JAMAICA,NY 11434	N/A	PC	FOUNDATION GRANT - PRODUCTIONS	20,000
ABRAHAMINMOTION INC PO BOX 986 NEW YORK,NY 10011	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	400,000
ACTORS' FUND OF AMERICA THE 729 SEVENTH AVENUE 10TH FLOOR NEW YORK,NY 10019	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	50,000
ACTORS' FUND OF AMERICA THE 729 SEVENTH AVENUE 10TH FLOOR NEW YORK,NY 10019	N/A	PC	FOUNDATION GRANT - SPECIAL PROJECTS	250,000
ACTORS' FUND OF AMERICA THE 729 SEVENTH AVENUE 10TH FLOOR NEW YORK,NY 10019	N/A	PC	FOUNDATION GRANT - SPECIAL PROJECTS	160,000
ADVANCE NYC 226 TULIP AVENUE FLORAL PARK,NY 11001	N/A	PC	FOUNDATION GRANT - SPECIAL PROJECTS	50,000
ADVANCE NYC 226 TULIP AVENUE FLORAL PARK,NY 11001	N/A	PC	FOUNDATION GRANT - CONSULTANTS	10,000
ADVANCE NYC 226 TULIP AVENUE FLORAL PARK,NY 11001	N/A	PC	FOUNDATION GRANT - CONSULTANTS	10,000
AFRIKAN POETRY THEATRE THE 17603 JAMAICA AVENUE JAMAICA,NY 11432	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	7,500
AFRO-LATIN JAZZ ALLIANCEOF INC 646 MALCOLM X BOULEVARD SUITE 205 NEW YORK,NY 10037	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	225,000
AFRO-LATIN JAZZ ALLIANCEOF INC 646 MALCOLM X BOULEVARD SUITE 205 NEW YORK,NY 10037	N/A	PC	FOUNDATION GRANT - CAPITAL/EQUIPMENT	12,000
ALARM WILL SOUND INC 477 17TH STREET 7 BROOKLYN,NY 112156221	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	50,000
ALARM WILL SOUND INC 477 17TH STREET 7 BROOKLYN,NY 112156221	N/A	PC	FOUNDATION GRANT - CAPITAL/EQUIPMENT	25,000
ALL FOR ONE THEATER FESTIVAL INC 1650 BROADWAY SUITE 1118 NEW YORK,NY 10019	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	40,000
ALL FOR ONE THEATER FESTIVAL INC 1650 BROADWAY SUITE 1118 NEW YORK,NY 10019	N/A	PC	FOUNDATION GRANT - SPECIAL PROJECTS	13,000
ALLIANCE OF RESIDENT THEATRESNEW YORK INC 520 EIGHTH AVENUE SUITE 319 NEW YORK,NY 10018	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	400,000
ALPHA OMEGA THEATRICAL DANCE COMPANY INC 70 E 4TH STREET NEW YORK,NY 10003	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	5,000
ALVIN AILEY DANCE FOUNDATION 405 WEST 55TH STREET NEW YORK,NY 10019	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	125,000
AMAS MUSICAL THEATRE INC 630 NINTH AVENUE SUITE 1205 NEW YORK,NY 10036	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	10,000
AMERICAN BOLERO DANCE COMPANY 42-24 9TH STREET LONG ISLAND CITY,NY 11101	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	2,500
AMERICAN CLASSICAL ORCHESTRA INC THE 552 WEST END AVENUE NEW YORK,NY 10024	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	50,000
AMERICAN COMPOSERS ORCHESTRA INC 494 EIGHTH AVENUE SUITE 503 NEW YORK,NY 10001	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	100,000
AMERICAN INDIAN ARTIST AMERINDA INC 288 E 10TH STREET NEW YORK,NY 10009	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	10,000
AMERICAN INDIAN COMMUNITY HOUSE 39 ELDRIDGE STREET 4TH FLOOR NEW YORK,NY 10002	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	7,500
AMERICAN LYRIC THEATER CENTER INC 410 WEST 42ND STREET NEW YORK,NY 10036	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	60,000
AMERICAN OPERA PROJECTS INC 138 SOUTH OXFORD STREET SUITE 3B BROOKLYN,NY 11217	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	70,000
AMERICAN SYMPHONY ORCHESTRA LEAGUE 520 EIGHTH AVENUE SUITE 2005 20TH FLOOR NEW YORK,NY 10018	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	100,000
AMERICAN TAP DANCE FOUNDATION INC 154 CHRISTOPHER STREET 2B NEW YORK,NY 10014	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	50,000
AMERICAN TAP DANCE FOUNDATION INC 154 CHRISTOPHER STREET 2B NEW YORK,NY 10014	N/A	PC	FOUNDATION GRANT - CAPITAL/EQUIPMENT	20,000
AMERICAN THEATRE WING 230 WEST 41ST STREET SUITE 1101 NEW YORK,NY 10036	N/A	PC	FOUNDATION GRANT - PRODUCTIONS	25,000
AMERICANS FOR THE ARTS INC 1 EAST 53RD STREET 2ND FLOOR NEW YORK,NY 10022	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	25,000
AMERICANS FOR THE ARTS INC 1 EAST 53RD STREET 2ND FLOOR NEW YORK,NY 10022	N/A	PC	FOUNDATION GRANT - SPECIAL PROJECTS	100,000
AN CLAIDHEAMH SOLUIS INC (IRISH ARTS CENTER) 553 WEST 51ST STREET NEW YORK,NY 10019	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	100,000
ANGELAS PULSE 2474 WESTCHESTER AVENUE BRONX,NY 10461	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	5,250
APOLLO THEATER FOUNDATION INC 253 WEST 125TH STREET NEW YORK,NY 10027	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	300,000
APOLLO THEATER FOUNDATION INC 253 WEST 125TH STREET NEW YORK,NY 10027	N/A	PC	FOUNDATION GRANT - CAPITAL/EQUIPMENT	17,500
ARS NOVA THEATER I INC 511 WEST 54TH STREET NEW YORK,NY 10019	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	100,000
ART DEFINED PRODUCTIONS INC 2850 CLAFIN AVENUE SUITE 601 BRONX,NY 10468	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	2,500
ARTHUR AVILES TYPICAL THEATRE INC (BRONX ACADEMY OF ARTS & DANCE) 2474 WESTCHESTER AVENUE BRONX,NY 10461	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	100,000
ARTHUR AVILES TYPICAL THEATRE INC 2474 WESTCHESTER AVENUE BRONX,NY 10461	N/A	PC	FOUNDATION GRANT - SPECIAL PROJECTS	15,000
ARTHUR AVILES TYPICAL THEATRE INC 2474 WESTCHESTER AVENUE BRONX,NY 10461	N/A	PC	FOUNDATION GRANT - CAPITAL/EQUIPMENT	25,000
ARTS ADMINISTRATORS OF COLOR NETWORK 1001 3RD ST SW WASHINGTON,DC 20024	N/A	PC	FOUNDATION GRANT - SPECIAL PROJECTS	10,000
ARTS ADMINISTRATORS OF COLOR NETWORK 1001 3RD ST SW WASHINGTON,DC 20024	N/A	PC	FOUNDATION GRANT - SPECIAL PROJECTS	10,000
ARTS BUSINESS COLLABORATIVE 37-55 58TH STREET APT 1 WOODSIDE,NY 11377	N/A	PC	FOUNDATION GRANT - SPECIAL PROJECTS	15,000
ARTS BUSINESS COLLABORATIVE 37-55 58TH STREET APT 1 WOODSIDE,NY 11377	N/A	PC	FOUNDATION GRANT - SPECIAL PROJECTS	12,900
ARTS FOR ART INC 107 SUFFOLK STREET 300 NEW YORK,NY 10002	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	120,000
ARTS FOR ART INC 107 SUFFOLK STREET 300 NEW YORK,NY 10002	N/A	PC	FOUNDATION GRANT - CAPITAL/EQUIPMENT	10,500
ARTSPOOL 520 EIGHTH AVENUE SUITE 319 NEW YORK,NY 10018	N/A	PC	FOUNDATION GRANT - DEBT REDUCTION	125,000
ASASE YAA CULTURAL ARTS FOUNDATION 1803 FULTON STREET BROOKLYN,NY 11233	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	60,000
ATLANTIC THEATER COMPANY 76 NINTH AVENUE SUITE 313 NEW YORK,NY 10011	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	250,000
AYAZAMANA CULTURAL CENTER 43-27 36TH STREET 3RD FLOOR LONG ISLAND CITY,NY 11101	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	7,500
BALLET HISPANICO OF NEW YORK INC 167 WEST 89TH STREET NEW YORK,NY 10024	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	525,000
BALLET THEATRE FOUNDATION INC (AMEREICAN BALLET THEATRE) 890 BROADWAY 3RD FLOOR NEW YORK,NY 10003	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	200,000
BALLET THEATRE FOUNDATION INC 890 BROADWAY 3RD FLOOR NEW YORK,NY 10003	N/A	PC	FOUNDATION GRANT - CAPITAL/EQUIPMENT	50,000
BANG ON A CAN INC 80 HANSON PLACE SUITE 301 NEW YORK,NY 11217	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	100,000
BANG ON A CAN INC 80 HANSON PLACE SUITE 301 NEW YORK,NY 11217	N/A	PC	FOUNDATION GRANT - SPECIAL PROJECTS	20,000
BARYSHNIKOV ARTS CENTER INC 450 WEST 37TH STREET NEW YORK,NY 10018	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	100,000
BARYSHNIKOV ARTS CENTER INC 450 WEST 37TH STREET NEW YORK,NY 10018	N/A	PC	FOUNDATION GRANT - CONSULTANTS	175,000
BEDLAM INC 603 WEST 115TH STREET MAILBOX 130 NEW YORK,NY 10025	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	35,000
BEDLAM INC 603 WEST 115TH STREET MAILBOX 130 NEW YORK,NY 10025	N/A	PC	FOUNDATION GRANT - SPECIAL PROJECTS	34,000
BETH MORRISON PROJECTS INC 138 SOUTH OXFORD STREET SUITE 1C BROOKLYN,NY 11227	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	50,000
BETH MORRISON PROJECTS INC 138 SOUTH OXFORD STREET SUITE 1C BROOKLYN,NY 11227	N/A	PC	FOUNDATION GRANT - PRODUCTIONS	25,000
BIG DANCE THEATER INC 303 CLINTON STREET 1 BROOKLYN,NY 11231	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	90,000
BILLIE HOLIDAY THEATRE INCORPORATED 1368 FULTON STREET BROOKLYN,NY 11216	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	225,000
BILLIE HOLIDAY THEATRE INCORPORATED 1368 FULTON STREET BROOKLYN,NY 11216	N/A	PC	FOUNDATION GRANT - SPECIAL PROJECTS	300,000
BLANK FORMS INC 468 GRAND AVE 3A BROOKLYN,NY 11238	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	30,000
BLANK FORMS INC 468 GRAND AVE 3A BROOKLYN,NY 11238	N/A	PC	FOUNDATION GRANT - CAPITAL/EQUIPMENT	6,000
BOMBAZO DANCE CO INC PO BOX 182 BRONX,NY 10462	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	5,000
BRAATA PRODUCTIONS CORP 11805 200TH STREET ST ALBANS,NY 11412	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	7,500
BRIC ARTS MEDIA BKLYN INC 647 FULTON STREET BROOKLYN,NY 11217	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	375,000
BRIC ARTS MEDIA BKLYN INC 647 FULTON STREET BROOKLYN,NY 11217	N/A	PC	FOUNDATION GRANT - CAPITAL/EQUIPMENT	30,000
BROADWAY DANCE LAB INC THE 433 WEST 34TH STREET NEW YORK,NY 10001	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	35,000
BROADWAY DANCE LAB INC THE 433 WEST 34TH STREET NEW YORK,NY 10001	N/A	PC	FOUNDATION GRANT - SPECIAL PROJECTS	10,000
BRONX COUNCIL ON THE ARTS 2700 EAST TREMONT AVENUE BRONX,NY 10461	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	50,000
BRONX COUNCIL ON THE ARTS 2700 EAST TREMONT AVENUE BRONX,NY 10461	N/A	PC	FOUNDATION GRANT - REGRANTING	250,000
BROOKLYN ACADEMY OF MUSIC INC 30 LAFAYETTE AVENUE BROOKLYN,NY 11217	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	850,000
BROOKLYN ARTS COUNCIL INC 20 JAY STREET SUITE 616 BROOKLYN,NY 11201	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	50,000
BROOKLYN ARTS EXCHANGE INC 421 5TH AVENUE BROOKLYN,NY 11215	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	70,000
BROOKLYN ARTS EXCHANGE INC 421 5TH AVENUE BROOKLYN,NY 11215	N/A	PC	FOUNDATION GRANT - CAPITAL/EQUIPMENT	20,000
BROOKLYN STEPPERS INC THE PO BOX 24415 BROOKLYN,NY 11202	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	7,500
BROOKLYN YOUTH CHORUS ACADEMY INC 179 PACIFIC STREET BROOKLYN,NY 11201	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	25,000
BUSHWICK STARR INC THE 207 STARR STREET BROOKLYN,NY 11237	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	100,000
CABD INC (CAMILLE A BROWN DANCE) PO BOX 340600 JAMAICA,NY 114340600	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	110,000
CABD INC PO BOX 340600 JAMAICA,NY 114340600	N/A	PC	FOUNDATION GRANT - CASH RESERVES/WORKING CAPITAL	80,000
CALPULLI MEXICAN DANCE COMPANY 2512 77TH STREET EAST ELMHURST,NY 11370	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	10,000
CARIBBEAN AMERICAN REPERTORY THEATRE INC 190-4 119TH AVENUE ST ALBANS,NY 11412	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	5,000
CARNEGIE HALL CORPORATION 881 SEVENTH AVENUE NEW YORK,NY 10019	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	250,000
CASITA MARIA INC 928 SIMPSON STREET 6TH FLOOR BRONX,NY 10459	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	10,000
CAVE ORGANIZATION INC 58 GRAND STREET BROOKLYN,NY 11211	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	7,500
CENTER FOR PERFORMANCE RESEARCH INC 361 MANHATTAN AVENUE SUITE 1 BROOKLYN,NY 11211	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	70,000
CENTER FOR TRADITIONAL MUSIC AND DANCE 32 BROADWAY SUITE 1314 NEW YORK,NY 10004	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	60,000
CENTER FOR TRADITIONAL MUSIC AND DANCE 32 BROADWAY SUITE 1314 NEW YORK,NY 10004	N/A	PC	FOUNDATION GRANT - SPECIAL PROJECTS	33,000
CENTRO CIVICO CULTURAL DOMINICANO 619 WEST 145TH STREET NEW YORK,NY 10031	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	5,000
CHAMBER MUSIC AMERICA INC 12 W 32ND STREET FLOOR 7 NEW YORK,NY 10001	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	100,000
CHAMBER MUSIC AMERICA INC 12 W 32ND STREET FLOOR 7 NEW YORK,NY 10001	N/A	PC	FOUNDATION GRANT - REGRANTING	300,000
CHAMBER MUSIC SOCIETY OF LINCOLN CENTER INC 70 LINCOLN PLAZA 10TH FLOOR NEW YORK,NY 10023	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	100,000
CHERRY LANE ALTERNATIVE INC 38 COMMERCE STREET NEW YORK,NY 10014	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	20,000
CHINESE THEATRE WORKS INC 3718 NORTHERN BLVD 105 LONG ISLAND CITY,NY 11101	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	7,500
CIVILIANS INC THE 138 SOUTH OXFORD STREET SUITE 3C BROOKLYN,NY 11217	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	70,000
CIVILIANS INC THE 138 SOUTH OXFORD STREET SUITE 3C BROOKLYN,NY 11217	N/A	PC	FOUNDATION GRANT - CAPITAL/EQUIPMENT	16,000
CLASSICAL THEATRE OF HARLEM INC THE 8 WEST 126TH STREET NEW YORK,NY 10027	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	300,000
CLUBBED THUMB INC 440 LAFAYETTE STREET 4TH FLOOR NEW YORK,NY 10003	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	40,000
COMMUNITY FUNDS INC (NEW YORK COMMUNITY TRUST) 909 THIRD AVE 22ND FLOOR NEW YORK,NY 10022	N/A	PC	FOUNDATION GRANT - SPECIAL PROJECTS	200,000
COMMUNITY FUNDS INC 909 THIRD AVE 22ND FLOOR NEW YORK,NY 10022	N/A	PC	FOUNDATION GRANT - PROJECT SUPPORT	50,000
CORPORATION OF YADDO 312 UNION AVENUE SARATOGA SPRINGS,NY 12866	N/A	PC	FOUNDATION GRANT - SPECIAL PROJECTS	16,000
COUNCIL ON THE ARTS AND HUMANITIES FOR STATEN ISLAND INC THE PO BOX 50197 STATEN ISLAND,NY 10305	N/A	PC	FOUNDATION GRANT - SPECIAL PROJECTS	50,000
CSC REPERTORY LTD (CLASSIC STAGE COMPANY) 136 EAST 13TH STREET NEW YORK,NY 10003	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	150,000
CULTURAL DATA PROJECT 45 S 4TH STREET PHILADELPHIA,PA 19106	N/A	PC	FOUNDATION GRANT - PROJECT SUPPORT	3,000
CULTURAL DATA PROJECT 45 S 4TH STREET PHILADELPHIA,PA 19106	N/A	PC	FOUNDATION GRANT - PROJECT SUPPORT	5,000
CULTURE PUSH (THE DANCE UNION DANCER RELIEF FUND) 241 E 7TH ST 3C NEW YORK,NY 10009	N/A	PC	FOUNDATION GRANT - SPECIAL PROJECTS	20,000
CULTURE PUSH (NYC LOW INCOME ARTISTSFREELANCERS FUND) 241 E 7TH ST 3C NEW YORK,NY 10009	N/A	PC	FOUNDATION GRANT - SPECIAL PROJECTS	20,000
DANCE CARIBBEAN COLLECTIVE 1289 UNION STREET APT 6D BROOKLYN,NY 11225	N/A	PC	FOUNDATION GRANT - GENERAL OPERATING SUPPORT	2,717
ILLNESS OF NEW YORK CITY METRO 505 EIGHTH AVENUE SUITE 1103 NEW YORK,NY 100184541	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	5,000
NEIGHBOR TO NEIGHBOR INC PO BOX 446 MADISON,CT 06443	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	5,000
NEW HAVEN COLONY HISTORICAL SOCIETY 114 WHITNEY AVENUE NEW HAVEN,CT 06510	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	10,000
NEW YORK HISTORICAL SOCIETY 170 CENTRAL PARK WEST AT RICHARD GILDER WAY NEW YORK,NY 10024	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	10,000
NEW YORK SHAKESPEARE FESTIVAL (DBA THE PUBLIC THEATER) 419 LAFAYETTE STREET 7TH FLOOR NEW YORK,NY 10003	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	10,000
NEW YORK UNIVERSITY-STERN SCHOOL OF BUSINESS OFFICE OF GIFT ADMINISTRATION 25 WEST FOURTH STREET 4TH FLOOR NEW YORK,NY 100121119	N/A	PC	STAFF OR TRUSTEE GRANT - PROJECT SUPPORT	10,000
NORTH CAROLINA AGRICULTURAL FOUNDATION INC CAMPUS BOX 7645 RALEIGH,NC 276957645	N/A	PC	STAFF OR TRUSTEE GRANT - PROJECT SUPPORT	5,000
NORTH EAST COMMUNITY COUNCIL 51 SOUTH CENTER STREET PO BOX 35 MILLERTON,NY 12546	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	500
NPC ASSOCIATION 627 EAST RIDGEWOOD AVENUE RIDGEWOOD,NJ 07451	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	2,500
NYU LANGONE HOSPITALS ONE PARK AVENUE 3RD FLOOR NEW YORK,NY 10016	N/A	PC	STAFF OR TRUSTEE GRANT - PROJECT SUPPORT	1,300
OPERA THEATER OF CONNECTICUT INC PO BOX 733 CLINTON,CT 06413	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	5,000
OUR SISTERS SCHOOL INC 145 BROWNELL AVENUE NEW BEDFORD,MA 02740	N/A	PC	STAFF OR TRUSTEE GRANT - PROJECT SUPPORT	5,000
OXBOW SCHOOL 530 3RD STREET NAPA,CA 94559	N/A	PC	STAFF OR TRUSTEE GRANT - PROJECT SUPPORT	5,000
PLANNED PARENTHOOD FEDERATION OF AMERICA INC 123 WILLIAM STREET 10TH FLOOR NEW YORK,NY 10038	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	30,000
PLAYWRIGHTS HORIZONS INC 416 WEST 42ND STREET NEW YORK,NY 10036	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	10,000
PRIMARY STAGES COMPANY INC 520 EIGHTH AVENUE SUITE 312 NEW YORK,NY 10018	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	35,000
PRO MUSICIS FOUNDATION INC 2067 BROADWAY SUITE 41 NEW YORK,NY 10023	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	5,000
PUBLIC CONCERN FOUNDATION INC 105 HUDSON STREET SUITE 407 NEW YORK,NY 10013	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	25,000
RESIST PO BOX 301240 BOSTON,MA 02130	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	10,000
RMH AT MARIA FARERI CHILDREN'S HOSPITAL INC PO BOX 299 80 WOODS ROAD VALHALLA,NY 10595	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	1,000
ROBERT F KENNEDY CENTER FOR JUSTICE AND HUMAN RIGHTS 88 PINE STREET SUITE 801 NEW YORK,NY 10005	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	50,000
ROUNDABOUT THEATRE COMPANY INC 231 WEST 39TH STREET SUITE 1200 NEW YORK,NY 10018	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	50,000
SAFE PASSAGE PROJECT CORPORATION 185 WEST BROADWAY NEW YORK,NY 10013	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	10,000
SAILFISH POINT FOUNDATION INC 2201 SE SAILFISH POINT BLVD STUART,FL 34996	N/A	PC	STAFF OR TRUSTEE GRANT - PROJECT SUPPORT	10,000
SCHOOL OF AMERICAN BALLET INC 70 LINCOLN CENTER PLAZA NEW YORK,NY 10023	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	5,000
SHAKESPEARE THEATRE OF NEW JERSEY 3 VREELAND ROAD MADISON,NJ 07932	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	5,000
SIENA HOUSE INC 85 WEST 168TH STREET BRONX,NY 10452	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	10,000
SIGNATURE THEATRE COMPANY INC THE PERSHING SQUARE SIGNATURE CENTER 480 WEST 42ND STREET NEW YORK,NY 10036	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	10,000
SOCIETY OF THE THIRD STREET MUSIC SCHOOL SETTLEMENT INC 235 EAST 11TH STREET NEW YORK,NY 10003	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	25,000
SOUTH CAROLINA PHILHARMONIC INC 500 TAYLOR STREET SUITE 300 COLUMBIA,SC 29201	N/A	PC	STAFF OR TRUSTEE GRANT - PROJECT SUPPORT	2,500
ST FRANCIS HOSPITAL FOUNDATION INC THE 100 PORT WASHINGTON BOULEVARD ROSLYN,NY 11576	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	1,400
SUMMER SEARCH 90 BROAD STREET SUITE 701 NEW YORK,NY 10004	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	5,000
TEMPLE OF RESTORATION THE 535 DEAN STREET SUITE121 BROOKLYN,NY 112175207	N/A	PC	STAFF OR TRUSTEE GRANT - PROJECT SUPPORT	5,000
THEATRE FOR A NEW AUDIENCE INC 154 CHRISTOPHER STREET SUITE 3D NEW YORK,NY 10014	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	20,000
TRUST WOMEN FOUNDATION INC 5107 E KELLOGG WICHITA,KS 67218	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	10,000
UNIVERSITY OF SOUTH CAROLINA EDUCATIONAL FUND 1600 HAMPTON STREET SUITE 736 COLUMBIA,SC 29208	N/A	PC	STAFF OR TRUSTEE GRANT - PROJECT SUPPORT	5,000
UNIVERSITY OF SOUTH CAROLINA EDUCATIONAL FUND 1600 HAMPTON STREET SUITE 736 COLUMBIA,SC 29208	N/A	PC	STAFF OR TRUSTEE GRANT - PROJECT SUPPORT	2,500
VOICES ON THE BORDER 5614 CONNECTICUT AVENUE NW 103 WASHINGTON,DC 20015	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	1,000
WIKIMEDIA FOUNDATION INC PO BOX 98204 WASHINGTON,DC 200908204	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	10,000
WMHT EDUCATIONAL TELECOMMUNICATIONS INC 4 GLOBAL VIEW TROY,NY 121808375	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	5,000
WNET 825 EIGHTH AVENUE 14TH FLOOR NEW YORK,NY 10019	N/A	PC	STAFF OR TRUSTEE GRANT - PROJECT SUPPORT	10,000
WOMEN'S REFUGEE COMMISSION INC 15 WEST 37TH STREET 9TH FLOOR NEW YORK,NY 10018	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	10,000
WYNONA'S HOUSE A NEW JERSEY NONPROFIT CORPORATION 185 WASHINGTON STREET NEWARK,NJ 07102	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	2,000
YALE UNIVERSITY DEVELOPMENT OFFICE PO BOX 208244 NEW HAVEN,CT 065208244	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	5,000
YALE-NEW HAVEN HOSPITAL OFFICE OF DEVELOPMENT PO BOX 1849 NEW HAVEN,CT 06508	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	60,000
YOUTH REPRESENT INC 11 PARK PLACE SUITE 1512 NEW YORK,NY 10007	N/A	PC	STAFF OR TRUSTEE GRANT - GENERAL OPERATING SUPPORT	10,000
BRIC ARTS MEDIA BKLYN INC 647 FULTON STREET BROOKLYN,NY 11217	N/A	PC	CAPITAL/RESERVES	250,000
THE CIVILIANS INC 138 SOUTH OXFORD STREET 3C BROOKLYN,NY 11217	N/A	PC	CAPITAL/RESERVES	15,000
PAGE 73 PRODUCTIONS 80 HANSON PLACE SUITE 301 BROOKLYN,NY 11217	N/A	PC	CAPITAL/RESERVES	16,000
Total . . . . . . ▶ **3a**				32,853,829
b *Approved for future payment*				
BARYSHNIKOV ARTS CENTER INC 450 WEST 37TH STREET NEW YORK,NY 10018	N/A	PC	PLEDGED BUT UNPAID - CONSULTANTS	25,000
BRONX COUNCIL ON THE ARTS 2700 EAST TREMONT AVENUE BRONX,NY 10461	N/A	PC	PLEDGED BUT UNPAID - REGRANTING	250,000
CHAMBER MUSIC AMERICA INC 12 W 32ND STREET FLOOR 7 NEW YORK,NY 10001	N/A	PC	PLEDGED BUT UNPAID - REGRANTING	300,000
DANCE SERVICE NEW YORK CITY 218 EAST 18TH STREET GROUND FLOOR NEW YORK,NY 10003	N/A	PC	PLEDGED BUT UNPAID - REGRANTING	275,000
FRENCH INSTITUTE - ALLIANCE FRANCAISE 22 E 60TH ST NEW YORK,NY 10022	N/A	PC	PLEDGED BUT UNPAID - PRODUCTIONS	40,000
PAGE 73 PRODUCTIONS 80 HANSON PLACE SUITE 305 BROOKLYN,NY 11217	N/A	PC	PLEDGED BUT UNPAID - CHALLENGE GRANT FOR CAMPAIGN	59,000
Total . . . . . . ▶ **3b**				949,000

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	169,360	
4 Dividends and interest from securities			14	7,509,067	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory		-8	18	17,641,013	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a INVESTMENT IN LIMITED PARTNERSHIPS, NET OF AMOUNT ATTRIBUTABLE TO UBI		57,681	18	2,310,548	
b MISCELLANEOUS REVENUE			14	66,757	
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		57,673		27,696,745	0
13 **Total.** Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations.)				13	27,754,418

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		No
	(2) Other assets	1a(2)		No
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
	(3) Rental of facilities, equipment, or other assets	1b(3)		No
	(4) Reimbursement arrangements	1b(4)		No
	(5) Loans or loan guarantees	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

2021-11-05

Signature of officer or trustee — Date — Title

May the IRS discuss this return with the preparer shown below (see instr.) ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
JAMES J REILLY				P00183769

Firm's name ▶ CONDON O'MEARA MCGINTY & DONNELLY LLP — Firm's EIN ▶ 13-3628255

Firm's address ▶ ONE BATTERY PARK PLAZA, NEW YORK, NY 10004 — Phone no. (212) 661-7777

Additional Data

Return to Form

Software ID:
Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

TY 2020 IRS 990 e-File Render

Name: THE HOWARD GILMAN FOUNDATION INC

EIN: 13-3097486

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CONDON O'MEARA MCGINTY & DONNELLY LLP	44,000	22,000		22,000
FYLSTRA & ASSOCIATES, LLC	35,600	35,600		0

TY 2020 IRS 990 e-File Render

Name: THE HOWARD GILMAN FOUNDATION INC

EIN: 13-3097486

Name of Stock	End of Year Book Value	End of Year Fair Market Value
763,544 ISHARES CORE MSCI EAFE ETF	52,753,255	52,753,255
384,784 COHEN & STEERS RLTY FOCUS FUND INC	20,913,026	20,913,026
181,108 ISHARES CORE S&P ETF	67,986,132	67,986,132
248,247 ISHARES EDGE MSCI VOL USA ETF	16,851,006	16,851,006
106,130 ISHARES GLOBAL TECH ETF	31,844,307	31,844,307
598,704 ISHARES S&P 500 GROWTH ETF TRUST	38,209,289	38,209,289
220,694 ISHARES S&P 500 VALUE ETF	28,253,246	28,253,246
328,059 ISHS EDG MSCI USA QUL FCT ETF(USD)	38,123,736	38,123,736
281,676 ISHS TR USA SZ FCTR ETF(USD)	31,313,921	31,313,921
1,745.718 HART SCH EMERGING MKT EQ CL SDR	35,560,269	35,560,269
3,897,415 BLACKROCK STRTGC INCM INSTI MF	40,416,191	40,416,191
54769 ISHARES BARCLAYS AGGREGATE BOND ETF	6,473,148	6,473,148
73,944 ISHARES LEHMAN MBS ETF	8,143,453	8,143,453
1,584,141 ISHARES US TREASURY BOND ETF	43,152,001	43,152,001

TY 2020 IRS 990 e-File Render

Name: THE HOWARD GILMAN FOUNDATION INC

EIN: 13-3097486

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
KING STREET CAPITAL, LTD - HEDGE FUND	FMV	16,491	16,491
MASON CAPITAL, LTD - HEDGE FUND	FMV	1,432	1,432
CONSONANCE PRIVATE EQUITY, L.P	FMV	689,391	689,391
SCULPTOR REAL ESTATE PARALLEL FUND III B, LP	FMV	350,375	350,375
MARKET ADVANTAGE II - ALTERNATIVE INVESTMENT	FMV	40,706,584	40,706,584
TOTAL ALTERNATIVES FUND (B) LP	FMV	29,302,507	29,302,507
FAIR VALUE OF DERIVATIVES, NET	FMV	1,883,975	1,883,975

TY 2020 IRS 990 e-File Render

Name: THE HOWARD GILMAN FOUNDATION INC

EIN: 13-3097486

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PRYOR CASHMAN LLP	3,780	3,780		0
EWENSTEIN & ROTH LLP	2,798	0		2,798

TY 2020 IRS 990 e-File Render

Name: THE HOWARD GILMAN FOUNDATION INC

EIN: 13-3097486

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DEFERRED COMPENSATION PLAN	19,156	42,782	42,782

TY 2020 IRS 990 e-File Render

Name: THE HOWARD GILMAN FOUNDATION INC

EIN: 13-3097486

Description	Amount
DEFERRED FEDERAL EXCISE TAXES	662,003

TY 2020 IRS 990 e-File Render

Name: THE HOWARD GILMAN FOUNDATION INC

EIN: 13-3097486

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INSURANCE	61,254	0		53,744
COMMUNICATION	38,014	0		38,014
COMMUTER PROCESSING FEES	17,940	0		17,940
TRAINING	20,000	0		20,000
STATE FILING FEES	2,589	0		2,589
DUES AND SUBSCRIPTIONS	7,809	0		7,809
OFFICE SUPPLIES	5,516	0		5,516
OTHER DEDUCTIONS	20,049	0		20,002
DEDUCTIONS FROM INVESTMENT IN PARTNERSHIPS	0	309,670		0
LESS: AMOUNTS ATTRIBUTABLE TO UBI	0	-1,178		0

TY 2020 IRS 990 e-File Render

Name: THE HOWARD GILMAN FOUNDATION INC

EIN: 13-3097486

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
INVESTMENT IN LIMITED PARTNERSHIPS, NET OF AMOUNT ATTRIBUTABLE TO UBI	2,368,229	1,129,217	2,368,229
MISCELLANEOUS REVENUE	66,757	66,757	66,757

TY 2020 IRS 990 e-File Render

Name: THE HOWARD GILMAN FOUNDATION INC

EIN: 13-3097486

Description	Amount
NET UNREALIZED APPRECIATION OF INVESTMENTS	47,516,087

TY 2020 IRS 990 e-File Render

Name: THE HOWARD GILMAN FOUNDATION INC

EIN: 13-3097486

Description	Beginning of Year - Book Value	End of Year - Book Value
DEFERRED FEDERAL EXCISE TAXES PAYABLE	805,243	1,467,246
ACCRUED FEDERAL TAXES	52,024	130,629
DEFERRED COMPENSATION PLAN	19,156	42,782

TY 2020 IRS 990 e-File Render

Name: THE HOWARD GILMAN FOUNDATION INC

EIN: 13-3097486

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GRANT SOFTWARE CONSULTANT	42,537	0		42,537
IT CONSULTANT	31,200	3,120		28,080
OTHER PROFESSIONAL FEES	1,048	0		1,048
BLACKROCK	1,182,426	1,182,426		0
BNY MELLON	224,612	224,612		0
OTHER INVESTMENT FEES	5,169	5,169		0

TY 2020 IRS 990 e-File Render

Name: THE HOWARD GILMAN FOUNDATION INC

EIN: 13-3097486

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	406,274	0		0
FOREIGN TAXES	0	2		0