Form **990-PF**
Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0052

2019

Open to Public Inspection

For calendar year 2019, or tax year beginning 09-01-2019 , and ending 08-31-2020

Name of foundation
RUSSELL SAGE FOUNDATION

A Employer identification number
13-1635303

Number and street (or P.O. box number if mail is not delivered to street address) 112 E 64TH STREET
Room/suite

B Telephone number (see instructions)
(212) 750-6000

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 100657307

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here............. ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here .... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16*) ▶$ 373,940,427

J Accounting method: ☐ Cash ☑ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here .... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	140,000			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	7,101,970	7,101,970	7,101,970	
	5a	Gross rents	85,200	85,200	85,200	
	b	Net rental income or (loss) 85,200				
	6a	Net gain or (loss) from sale of assets not on line 10	29,902,865			
	b	Gross sales price for all assets on line 6a 209,330,093				
	7	Capital gain net income (from Part IV, line 2)		29,902,865		
	8	Net short-term capital gain			0	
	9	Income modifications			331,868	
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	251,259	-355,578	251,259	
	12	**Total.** Add lines 1 through 11	37,481,294	36,734,457	7,770,297	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	1,182,305	142,900	142,900	1,039,405
	14	Other employee salaries and wages	3,219,918	28,726	28,726	3,191,192
	15	Pension plans, employee benefits	2,118,016	56,097	56,097	1,406,051
	16a	Legal fees (attach schedule)	53,389	31,897	31,897	21,492
	b	Accounting fees (attach schedule)	42,700	0	0	42,700
	c	Other professional fees (attach schedule)	980,573	681,785	681,785	298,788
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	45,041	24,461	24,461	0
	19	Depreciation (attach schedule) and depletion	798,712	5,098	5,098	
	20	Occupancy	303,073	25,031	25,031	278,042
	21	Travel, conferences, and meetings	158,708	4,067	4,067	154,641
	22	Printing and publications	411,076	0	361,345	49,731
	23	Other expenses (attach schedule)	2,332,756	3,493	3,493	2,329,263
	24	**Total operating and administrative expenses.** Add lines 13 through 23	11,646,267	1,003,555	1,364,900	8,811,305
	25	Contributions, gifts, grants paid	5,186,296			6,085,258
	26	**Total expenses and disbursements.** Add lines 24 and 25	16,832,563	1,003,555	1,364,900	14,896,563
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	20,648,731			
	b	**Net investment income** (if negative, enter -0-)		35,730,902		
	c	**Adjusted net income** (if negative, enter -0-)			6,405,397	

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	506,280	243,589	243,589
	2	Savings and temporary cash investments	1,545,353	236,850	236,850
	3	Accounts receivable ▶ 120,214			
		Less: allowance for doubtful accounts ▶	478,754	120,214	120,214
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable	1,215,255	995,255	995,255
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use	73,177	68,556	68,556
	9	Prepaid expenses and deferred charges	115,692	86,329	86,329
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	280,950,172	319,857,038	319,857,038
	c	Investments—corporate bonds (attach schedule)	10,956,112	11,993,948	11,993,948
	11	Investments—land, buildings, and equipment: basis ▶ 1,970,000			
		Less: accumulated depreciation (attach schedule) ▶	2,190,000	1,970,000	1,970,000
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	27,050,992	26,366,312	26,366,312
	14	Land, buildings, and equipment: basis ▶ 24,299,371			
		Less: accumulated depreciation (attach schedule) ▶ 12,297,035	12,242,306	12,002,336	12,002,336
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	337,324,093	373,940,427	373,940,427
Liabilities	17	Accounts payable and accrued expenses	175,624	147,666	
	18	Grants payable	4,190,651	3,828,311	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)	4,609,811	5,265,679	
	23	**Total liabilities**(add lines 17 through 22)	8,976,086	9,241,656	
Net Assets or Fund Balances		**Foundations that follow FASB ASC 958, check here** ▶ ☑ **and complete lines 24, 25, 29 and 30.**			
	24	Net assets without donor restrictions	15,454,281	17,635,917	
	25	Net assets with donor restrictions	312,893,726	347,062,854	
		Foundations that do not follow FASB ASC 958, check here ▶ ☐ **and complete lines 26 through 30.**			
	26	Capital stock, trust principal, or current funds			
	27	Paid-in or capital surplus, or land, bldg., and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
	29	**Total net assets or fund balances** (see instructions)	328,348,007	364,698,771	
	30	**Total liabilities and net assets/fund balances** (see instructions)	337,324,093	373,940,427	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	328,348,007
2	Enter amount from Part I, line 27a	2	20,648,731
3	Other increases not included in line 2 (itemize) ▶	3	15,702,033
4	Add lines 1, 2, and 3	4	364,698,771
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	6	364,698,771

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	Vanguard	P		
b	Silchester	P		
c	Blackrock	P		
d	Commonfund	P		
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	176,259,552		147,414,241	28,845,311
b	450,000		1,280,777	-830,777
c	29,369,491		30,305,849	-936,358
d	3,251,050		426,361	2,824,689
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
a				28,845,311
b				-830,777
c				-936,358
d				2,824,689
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	29,902,865
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018			
2017			
2016			
2015			
2014			

2 Total of line 1, column (d)	2	
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line.5	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	
8 Enter qualifying distributions from Part XII, line 4 , ...	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☑ and enter "N/A" on line 1. Date of ruling or determination letter: 1986-01-13 (attach copy of letter if necessary–see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	N/A
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	0
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	0
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d	7	0
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	0
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2020 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0 **(2)** On foundation managers. ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3	Yes	
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV	9	Yes	
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶WWW.RUSSELLSAGE.ORG

14 The books are in care of ▶WILLIAM MOON Telephone no. ▶(212) 750-6000

Located at ▶112 EAST 64TH STREET NEW YORK NY ZIP+4 ▶100657307

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☑ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20___, 20___, 20___, 20___			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20___, 20___, 20___, 20___			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a During the year did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. ☑ Yes ☐ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b	Yes	
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☑ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
If "Yes" to 6b, file Form 8870.			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8 Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
CLAUDE M STEELE 112 E 64TH STREET NEW YORK, NY 10065	CHAIRMAN 0.60	9,500	0	0
NICHOLAS LEMANN 112 E 64TH STREET NEW YORK, NY 10065	VICE-CHAIRMAN 0.60	6,500	0	0
DAVID LAIBSON 112 E 64TH STREET NEW YORK, NY 10065	TREASURER 0.60	12,500	0	0
HAZEL MARKUS 112 E 64TH STREET NEW YORK, NY 10065	TRUSTEE 0.60	9,000	0	0
KAREN COOK 112 E 64TH STREET NEW YORK, NY 10065	TRUSTEE 0.60	7,176	0	0
JENNIFER RICHESON 112 E 64TH STREET NEW YORK, NY 10065	TRUSTEE 0.60	8,500	0	0
KATHRYN EDIN 112 E 64TH STREET NEW YORK, NY 10065	TRUSTEE 0.60	9,876	0	0
LAWRENCE KATZ 112 E 64TH STREET NEW YORK, NY 10065	TRUSTEE 0.60	4,000	0	0
MARIANNE BERTRAND 112 E 64TH STREET NEW YORK, NY 10065	TRUSTEE 0.60	10,500	0	0
MARTHA MINOW 112 E 64TH STREET NEW YORK, NY 10065	TRUSTEE 0.60	6,000	0	0
MICHAEL JONES-CORREA 112 E 64TH STREET NEW YORK, NY 10065	TRUSTEE 0.60	6,500	0	0
MARIO LUIS SMALL 112 E 64TH STREET NEW YORK, NY 10065	TRUSTEE 0.60	7,500	0	0
JASON FURMAN 112 E 64TH STREET NEW YORK, NY 10065	TRUSTEE 0.60	14,500	0	0
HIROKAZU YOSHIKAWA 112 E 64TH STREET NEW YORK, NY 10065	TRUSTEE 0.60	7,753	0	0
LARRY BARTELS 112 E 64TH STREET NEW YORK, NY 10065	TRUSTEE 0.60	7,500	0	0
CATHY COHEN 112 E 64TH STREET NEW YORK, NY 10065	TRUSTEE 0.60	8,000	0	0
SHELDON DANZIGER 112 E 64TH STREET	PRESIDENT 35.00	609,000	45,416	84,000

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NEW YORK, NY 10065				
WILLIAM MOON 112 E 64TH STREET NEW YORK, NY 10065	ASST TREASURER 35.00	250,000	63,758	0
CLAIRE GABRIEL 112 E 64TH STREET NEW YORK, NY 10065	SECRETARY 35.00	188,000	34,716	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
AIXA CINTRON-VELEZ 112 E 64TH STREET NEW YORK,NY 10065	PROGRAM DIRECT 35.00	203,300	58,179	0
JAMES WILSON 112 E 64TH STREET NEW YORK,NY 10065	PROGRAM DIRECT 35.00	201,800	57,974	0
IVAN RAMOS 112 E 64TH STREET NEW YORK,NY 10065	DIR OF ADMINIS 35.00	205,000	45,814	0
DAVID HAPROFF 112 E 64TH STREET NEW YORK,NY 10065	DIR OF COMMUNI 35.00	209,000	37,211	0
SUZANNE NICHOLS 112 E 64TH STREET NEW YORK,NY 10065	DIR OF PUBLICA 35.00	203,300	36,552	0

Total number of other employees paid over $50,000. . . . . ▶ 29

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
SILCHESTER INTERNATIONAL INVESTORS INC 780 3RD AVENUE NEW YORK,NY 10017	INVESTMENT MANAGEMENT	585,567
PS MERCADO ELEVATOR CO 44-11 ELEVENTH STREET LONG ISLAND CITY,NY 11101	CONSTRUCTION SERVICES	184,995
BERLIN ROSEN 15 MAIDEN LANE NEW YORK,NY 10038	PUBLIC RELATIONS	139,446
VMMA CONSTRUCTION LLC 181 POWERS STREET BROOKLYN,NY 11211	CONSTRUCTION SERVICES	129,100
BLACKROCK 400 HOWARD STREET SAN FRANCISCO,CA 94105	INVESTMENT MANAGEMENT	88,999

Total number of others receiving over $50,000 for professional services. . . . . ▶ 1

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 VISITING SCHOLAR PROGRAM - EIGHTEEN FULL YEAR SCHOLARS, TWO HALF-YEAR SCHOLARS, ONE HALF-YEAR JOURNALIST AND ONE HALF YEAR RESEARCHER WERE PROVIDED WITH OFFICES AT THE FOUNDATION TO CONDUCT RESEARCH, HOLD MEETINGS & CONDUCT SEMINARS ON VARIOUS SOCIAL SCIENCE TOPICS, INCLUDING SIGNIFICANT INVOLVEMENT AWARDS RELATED TO THE PROGRAM.	3,368,993
2 RESEARCH PROJECTS PROGRAM - PROVIDED DIRECT SUPPORT OF SOCIAL SCIENCE RESEARCH WORKING WITH VARIOUS UNIVERSITIES. ACTIVITY ALSO INCLUDES DEVELOPMENT COSTS RELATED TO EVALUATION AND EXPANSION OF POTENTIAL RESEARCH IN SOCIAL SCIENCE DISCIPLINES, INCLUDING SIGNIFICANT INVOLVEMENT GRANTS RELATED TO THE PROGRAM.	8,463,176
3 PUBLICATIONS - PUBLISHED THREE BOOKS AND FOUR JOURNAL ISSUES DURING THE FISCAL YEAR ON VARIOUS TOPICS IN SOCIAL SCIENCE. DIRECT PUBLISHING COSTS OF $361,345 HAVE BEEN EXCLUDED.	789,470
4 UNDERTOOK OUTREACH ACTIVITIES TO PROMOTE BOOKS, JOURNAL ARTICLES AND SOCIAL SCIENCE RESEARCH BY FORMER AND CURRENT VISITING SCHOLARS AND GRANTEES.	680,689

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions. **3**	
Total. Add lines 1 through 3 . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	330,717,918
b	Average of monthly cash balances	1b	1,049,191
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	331,767,109
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	331,767,109
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	4,976,507
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	326,790,602
6	**Minimum investment return.** Enter 5% of line 5	6	16,339,530

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☑ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	
2a	Tax on investment income for 2019 from Part VI, line 5 — 2a		
b	Income tax for 2019. (This does not include the tax from Part VI.) — 2b		
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	
6	Deduction from distributable amount (see instructions)	6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	14,896,563
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	558,741
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	15,455,304
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	15,455,304

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.				
b Total for prior years: 20___ , 20___ , 20___				
3 Excess distributions carryover, if any, to 2019:				
a From 2014.				
b From 2015.				
c From 2016.				
d From 2017.				
e From 2018.				
f **Total** of lines 3a through e.				
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ $ ____________				
a Applied to 2018, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2019 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2019. *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount —see instructions				
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions				
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) . . .				
9 **Excess distributions carryover to 2020.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017. . . .				
d Excess from 2018				
e Excess from 2019				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling: 1972-06-04

b. Check box to indicate whether the organization is a private operating foundation described in section ☑ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2019	**(b)** 2018	**(c)** 2017	**(d)** 2016	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	6,405,397	12,409,274	6,233,382	6,355,410	31,403,463
b 85% of line 2a	5,444,587	10,547,883	5,298,375	5,402,099	26,692,944
c Qualifying distributions from Part XII, line 4 for each year listed	15,455,304	15,115,732	12,597,276	12,383,140	55,551,452
d Amounts included in line 2c not used directly for active conduct of exempt activities	0	0	0	0	0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	15,455,304	15,115,732	12,597,276	12,383,140	55,551,452
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	10,893,020	10,555,229	10,757,155	9,586,513	41,791,917
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SHELDON DANZIGER PRESIDENT RUSSELL
112 E 64TH STREET
NEW YORK,NY 100657307
(212) 750-6000

b The form in which applications should be submitted and information and materials they should include:

CHECK THE FOUNDATION'S WEBSITE FOR FORMS, DEADLINES AND RESTRICTIONS.

c Any submission deadlines:

SEE (B) ABOVE.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE (B) ABOVE.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
American University 4400 Massachusetts Avenue NW Washington, D C 20013		P C	Immigrant Integration and Institutional Attachments in an Era of Enforcement	29,750
Arizona State University PO Box 876011 Tempe, A Z 85287		P C	The Arizona Youth Project: (Re)defining National Belonging	161,501
Bank Street College of Education 610 West 112th Street New York, N Y 10025		P C	The Effects of State Immigration Policies on Preschool Enrollment of Children	78,211
Barnard College 3009 Broadway New York, N Y 10027		P C	Youth Perspectives on Poverty, Inequality, and Opportunity in Rural and U...	27,825
Boston College Cadigan Alumni Center 140 Commonwea th Ave Chestnut Hill, M A 02467		P C	Moving communities to opportunity	25,916
Boston College Cadigan Alumni Center 140 Commonwea th Ave Chestnut Hill, M A 02467		P C	The Effect of Student Debt on Job Outcomes and Social Mobility	45,000
Boston College Cadigan Alumni Center 140 Commonwea th Ave Chestnut Hill, M A 02467		P C	How Social Protection Policies and Institutions Contribute To Older Immig...	174,423
Brandeis University 415 South ST MSC 110 Waltham, M A 02453		P C	Identity Measurement in the United States	35,352
Brandeis University 415 South ST MSC 110 Waltham, M A 02453		P C	The Effects of Public, Private and Social Institutions on Wages	57,447
Bucknell University 1 Dent Drive Lewisburg, P A 17837		P C	Linking Electronic Health Records and In-depth Interviews to Uncover Barr...	69,409
California Institute of Technology 1200 East California Blvd 234-6 Pasadena, C A 91125		P C	Effects of Full-time LDS Mission Service on Altruistic Behavior and Discr...	7,500
California Institute of Technology 1200 East California Blvd 234-6 Pasadena, C A 91125		P C	Computational Dissociation of Trait Anxiety and Trait Depression in Econo...	7,734
Carnegie Mellon University 5000 Forbes Avenue Pittsburgh, P A 15213		P C	Emerging Manufacturing Technologies and the Demand for Skills	24,330
Central European University Foundation Budapest Nador utca 9 Budapest H U		N C	How does choice affect beliefs?	8,460
Columbia University 622 West 113th St Mail Code 4524 New York, N Y 10025		P C	Factchecking Matters	9,940
Columbia University 622 West 113th St Mail Code 4524 New York, N Y 10025		P C	Gentrifcation and Political Displacement	30,000
Columbia University 622 West 113th St Mail Code 4524 New York, N Y 10025		P C	Life-course sociogenomic analysis of social inequalities in aging	83,652
Columbia University 622 West 113th St Mail Code 4524 New York, N Y 10025		P C	Studying the Rikers Island Jail Population	86,525
Columbia University Teachers College 525 West 120th Street Box 30 New York, N Y 10027		P C	The Impact of School Closures on Student Achievements	13,740
Cornell University 377 Pine Tree Road Ithaca, N Y 14850		P C	Case Studies in the Governance of Automated Decision-Making	103,775
Dartmouth College 11 Rope Ferry Road 6210 Office of Sponsored Projects Hanover, N H 03755		P C	Assessing Algorithmic and Human Selective Exposure to Political Information	96,276
Duke University Alumni Development Records Campus Box 90581 Durham, N C 27708		P C	Information Availability and Decision Making	8,500
Duke University Alumni Development Records Campus Box 90581 Durham, N C 27708		P C	How Does Capital Investment Affect Workers? Evidence from Bonus Depreciation	73,432
Duke University Alumni Development Records Campus Box 90581 Durham, N C 27708		P C	Summer Institute in Social Science 2020	130,000
Eidgenssische Technische Hochschule Zrich Haldeneggsteig 4 Zurich S Z		N C	Lexical gender bias in the judiciary	34,713
Emory University 1762 Clifton Rd Suite 1400 Mail Stop 0970-001-9AA Atlanta, G A 30322		P C	The Effect of Immigration Policy on Middle-class Latino/a Immigrants	36,218
European University Institute Roccettini Florence 50014 I T		N C	Do Informal Connections Matter for Political Candidacy and Success?	9,835
George Washington University 2121 I Street NW Washington, D C 20052		P C	Inequalities in Participation, Voting, and Representation in Local Govern...	69,495
Georgetown University 37th O St NW Washington, D C 20057		P C	Unionization, Labor Market Power, and Wage Inequality	35,000
Georgetown University 37th O St NW Washington, D C 20057		P C	The Assimilation Experiences of Unaccompanied Migrant and Orphaned Children	80,626
Georgia Institute of Technology 760 Spring St NW Suite 400 Atlanta, G A 30308		P C	What do public comments on environmental regulations reveal about social ...	7,668
Harvard University President and Fellows of Harvard College 1033 Massachusetts Ave Cambridge, M A 02138		P C	Immigrants, Economic Mobility, and Support for Redistribution	25,000
Harvard University President and Fellows of Harvard College 1033 Massachusetts Ave Cambridge, M A 02138		P C	Effects of Stop-and-Frisk Policing on the Educational Outcomes Of Undocum...	100,000
Icahn School of Medicine at Mount Sinai Office of Development One Gustave L Levy Place Box 1049 New York, N Y 10029		P C	Left Behind	13,474
Iowa State University Foundation 2505 University Blvd PO Box 2230 Ames, I A 50010		P C	Do workers discriminate against their employers? Evidence from an online ...	7,500
Johns Hopkins University 3400 North Charles Street Baltimore, M D 21218		P C	Rational Responses to Uncertainty? Understanding Disadvantaged Youths Po...	97,396
JSI Research & Training Institute 44 Farnsworth Street Suite 700 Boston, M A 02210		P C	The Work of the Future and The Case for Creative Human Capital Policies f...	8,296
Kent State University PO Box 5190 Kent, O H 44242		P C	Detained Immigrants and Parole Decisions: Does Legal Aid Make a Difference?	32,825
Massachusetts Institute of Technology 77 Massachusetts Avenue NE49-3142 Cambridge, M A 02139		P C	Wage Stagnation and the Transformation of Pay Practices	14,343
Massachusetts Institute of Technology 77 Massachusetts Avenue NE49-3142 Cambridge, M A 02139		P C	Unequal Political Representation	21,970
Migration Policy Institute 1400 16th Street NW Suite 300 Washington, D C 200362257		P C	A Pre-Post Study of the Impact of Temporary Protected Status (TPS) Termin...	65,257
Mississippi State University Lee Blvd Mississippi State, M S 39762		P C	First Comes Marriage	15,771
New York University Office of Sponsored Programs 665 Broadway Ste 801 New York, N Y 10012		P C	Out of Sight, Out of Mind	5,496
New York University Office of Sponsored Programs 665 Broadway Ste 801 New York, N Y 10012		P C	School Choice with Multiple Advice Sources: An Experimental Study	8,427
New York University Office of Sponsored Programs 665 Broadway Ste 801 New York, N Y 10012		P C	Where are the Landlords? Enhancing Choice in the Housing Choice Voucher P...	26,360
New York University Office of Sponsored Programs 665 Broadway Ste 801 New York, N Y 10012		P C	Using Job Posting and Resume Data to Better Understand the Future of Work	30,000
New York University Office of Sponsored Programs 665 Broadway Ste 801 New York, N Y 10012		P C	Identifying the Effects of Proposed Public Charge Rules on the Well-Being...	154,364
North Carolina State University 2701 Sullivan Drive Suite 240 Admin III Campus Box 7214 Raleigh, N C 27695		P C	Academic Implications of Everyday Racial Stress and Activism for Black Co...	30,000
North Carolina State University 2701 Sullivan Drive Suite 240 Admin III Campus Box 7214 Raleigh, N C 27695		P C	Understanding and Addressing the Roots of Child Food Insecurity	175,000
Northwestern University 633 Clark St Evanston, I L 60208		P C	Understanding Disparities in Cognitive Development Through Context-Biolog...	24,999
Northwestern University 633 Clark St Evanston, I L 60208		P C	Diversity in School	32,000
Oregon State University Office of Sponsored Res Awards Admin A312 Kerr Administration Buil Corvallis, O R 97331		P C	Impacts of Four-Day School Weeks on Academic Achievement and Achievement Gaps	16,248
Pennsylvania State University Office of Sponsored Programs 110 Technology Center Building University Park, P A 168027000		P C	A Comprehensive Database of Social Science Tweets	10,000
Pennsylvania State University Office of Sponsored Programs 110 Technology Center Building University Park, P A 168027000		P C	Counter Effective? Truancy Laws and Educational Outcomes for Minoritized ...	28,001
Princeton University 701 Carnegie Center Ste 445 Princeton, N J 08540		P C	Shared Disadvantage, Shared Identity? Explaining When and Why White Amer...	30,000
Princeton University 701 Carnegie Center Ste 445 Princeton, N J 08540		P C	Financial Distress, DNA Methylation, and Children's Behavioral Problems	42,810
Research Foundation for the State University of New York PO Box 9 Albany, N Y 12201		P C	Genetic Influences on Immigrant Health in Midlife and Older Age	17,500
Research Foundation for the State University of New York PO Box 9 Albany, N Y 12201		P C	THE AFTERMATH OF IMMIGRATION ENFORCEMENT EPISODES	51,169
Research Foundation of the City University of New York 230 W 41st St 7th Fl New York, N Y 10036		P C	Aggregating Voters and the Electoral Connection	48,159
Russell Sage Foundation 112 East 64th Street New York, N Y 10065		P C	Consortium Membership in the New York Research Data Center	32,500
Rutgers University New Brunswick 7 College Ave New Brunswick, N J 08901		P C	The Effects of Extended Family Wealth on Income Mobility	22,509
Rutgers University New Brunswick 7 College Ave New Brunswick, N J 08901		P C	Management and Organizational Practices Survey	35,000
Stanford University Stanford University 450 Jane Stanford Way Stanford, C A 94305		P C	The Impact of the Opioid Crisis on Student Achievement and Growth	9,952
Stanford University Stanford University 450 Jane Stanford Way Stanford, C A 94305		P C	Co-Sponsorship and Refugee IntegrationEvaluating the Impact of Co-Sponsor...	23,596
Stanford University Stanford University 450 Jane Stanford Way Stanford, C A 94305		P C	Precarious Citizenship: Judicial Decisions in U.S. Denaturalization Cases	24,928
Stanford University Stanford University 450 Jane Stanford Way Stanford, C A 94305		P C	Gender in the GSS: Beyond Categorical Measurement	50,000
Syracuse University 900 South Crouse Avenue Syracuse, N Y 13244		P C	Hunger SNAPs: Food Insecurity among Older Adults	22,822
Syracuse University 900 South Crouse Avenue Syracuse, N Y 13244		P C	What kind of jobs? The Effect of the Earned Income Tax Credit on Job Quality	29,272
Syracuse University 900 South Crouse Avenue Syracuse, N Y 13244		P C	Hunger SNAPs: Food Insecurity among Older Adults	36,017
Temple University 1801 North Broad Street Philadelphia, P A 19122		P C	Landing a Job: Moving from College to Employment in the New Economy	21,534
The Graduate Center Foundation 365 5th Avenue 8th Floor New York, N Y 10016		P C	Policies to Reduce Labor Market Inequality	80,400
The Hastings Center Inc 21 Malcolm Gordon Road Garrison NY, N Y 10524		P C	Wrestling with Social/Behavioral Genomics	87,500
Tufts University Ballou Hall Medford, M A 02115		P C	Busing to Opportunity? The Short and Long-Run Impacts of a Voluntary Scho...	50,000
Tufts University Ballou Hall Medford, M A 02115		P C	The Geography of Worker Adaptation	149,997
Tulane University 6823 St Charles Avenue New Orleans, L A 70118		P C	The Racial Dynamics of Fatal Police Shootings: A Computational Approach	42,706
United States Naval Academy 121 Blake Road Annapolis, M D 21402		P C	The Impact of Policing and Criminal Justice on Families	30,000
University of Alabama Tuscaloosa 500 University Blvd E Tuscaloosa, A L 35401		P C	A Multilevel Analysis of Hate Crime Commission Using NCVS and UCR Data	40,051
University of California Berkeley Sponsored Projects Office UC Berkeley 1608 Fourth Street Suite 2 Berkeley, C A 947101749		P C	The Financial Burden of Property Taxes	7,500
University of California Berkeley Sponsored Projects Office UC Berkeley 1608 Fourth Street Suite 2 Berkeley, C A 947101749		P C	2020-2021 Summer Institute in Migration Research Methods	25,000
University of California Berkeley Sponsored Projects Office UC Berkeley 1608 Fourth Street Suite 2 Berkeley, C A 947101749		P C	Using Resume Correspondence Experiments to Pinpoint Labor Market Discrimi...	25,363
University of California Berkeley Sponsored Projects Office UC Berkeley 1608 Fourth Street Suite 2 Berkeley, C A 947101749		P C	Student Guidance Counselor Ethnoracial Match and Postsecondary Outcomes	29,959
University of California Berkeley Sponsored Projects Office UC Berkeley 1608 Fourth Street Suite 2 Berkeley, C A 947101749		P C	The labor market and political effects of automation	33,648
University of California Berkeley Sponsored Projects Office UC Berkeley 1608 Fourth Street Suite 2 Berkeley, C A 947101749		P C	Perceptions and Experiences of the Formerly Incarcerated with Ban-the-Box	35,000
University of California Berkeley Sponsored Projects Office UC Berkeley 1608 Fourth Street Suite 2 Berkeley, C A 947101749		P C	California Color Lines	36,000
University of California Davis One Shields Ave Davis, C A 95616		P C	Suspicion and Police Decision Making	110,580
University of California Los Angeles 10920 Wilshire Blvd Ste 620 Los Angeles, C A 90024		P C	From Threat to Thriving	14,546
University of California Los Angeles 10920 Wilshire Blvd Ste 620 Los Angeles, C A 90024		P C	Taking status apart	21,607
University of California Los Angeles 10920 Wilshire Blvd Ste 620 Los Angeles, C A 90024		P C	Tradability and the Labor Market Impact of Immigration	25,745
University of California Los Angeles 10920 Wilshire Blvd Ste 620 Los Angeles, C A 90024		P C	The Evolution of Black Neighborhoods	35,528
University of California San Diego 9500 Gilman Drive 0553 La Jolla, C A 92093		P C	Measuring Local Area Income Using Neural Networks Trained on Satellite Im...	74,944
University of California Santa Barbara 3201 SAASB Building Payroll Office Santa Barbara, C A 93106		P C	Feasibility of Tracking And Re-Interviewing Immigrant Respondents Of A Po...	20,540
University of California Santa Cruz 1156 High Street Santa Cruz, C A 95064		P C	New Evidence on Labor Compensation Inequality and the Evolution of Labor ...	16,008
University of California Santa Cruz 1156 High Street Santa Cruz, C A 95064		P C	Markets pressures, firm wage policies, and inequality	24,237
University of Chicago 5235 S Harper Court 4th Floor Chicago, I L 60615		P C	The Downstream Effects of a Housing Mobility Program on Political Partici...	7,500
University of Chicago 5235 S Harper Court 4th Floor Chicago, I L 60615		P C	Are Alternative Work Arrangements the Future of (Part-time) Work?	174,725
University of Colorado Boulder 3100 Marine St RM 479 572 UCB Boulder, C O 80309		P C	Places of Promise	65,532
University of Hartford 200 Bloomfield Ave West Hartford, C T 06117		P C	Putting them on ICE: Policing Salvadoran Communities in Long Island	51,358
University of Illinois Chicago 601 S Morgan - M/C 102 Chicago, I L 60607		P C	Racial/Ethnic Differences in Homeownership and Gaps in Student Achievement	19,980
University of Illinois Urbana-Champaign 601 E John St Champaign, I L 61820		P C	Labor Market Effects of ACA Breastfeeding Provisions	58,474
University of Illinois Urbana-Champaign 601 E John St Champaign, I L 61820		P C	Estimating The Impact of Racial Discrimination on Locational Choice	71,183
University of Maryland College Park 4603 Calvert Rd College Park, M D 20742		P C	Understanding the Growth and Nature of Non-Employee Work	35,000
University of Maryland College Park 4603 Calvert Rd College Park, M D 20742		P C	The Current Mass Mobilization against Systemic Racism	49,957
University of Massachusetts Amherst 374 Whitmore Building 181 President Drive Amherst, M A 01003		P C	Minimum wages, worker reallocation and firm response	50,046
University of Michigan Regents of the University of Michigan 500 S State Street Ann Arbor, M I 48109		P C	Helping Across Generations	9,682
University of Michigan Regents of the University of Michigan 500 S State Street Ann Arbor, M I 48109		P C	The Content of Your Color: Skin Color Identity and its Determinants	10,300
University of Michigan Regents of the University of Michigan 500 S State Street Ann Arbor, M I 48109		P C	Independent contractor or employee? U.S. worker classification in law and...	26,753
University of Michigan Regents of the University of Michigan 500 S State Street Ann Arbor, M I 48109		P C	The Impact of COVID-19 on Low-Income Individuals and Families	50,000
University of Michigan Regents of the University of Michigan 500 S State Street Ann Arbor, M I 48109		P C	Intergenerational Mobility in the Early 20th Century U.S.	75,440
University of Michigan Regents of the University of Michigan 500 S State Street Ann Arbor, M I 48109		P C	Skills, Majors, and Jobs: Does Higher Education Respond?	86,933
University of Minnesota 450 McNamara Alumni Center 200 Oak Street SE Minneapolis, M N 554552070		P C	Racial Identities and Life Choices among Mixed-Heritage People in the USA	16,735
University of Minnesota 450 McNamara Alumni Center 200 Oak Street SE Minneapolis, M N 554552070		P C	The Transformation of Tasks, Skills and Jobs Under Additive Manufacturing...	34,780
University of Nebraska Lincoln 14th and R St Lincoln, N E 68588		P C	Using Job Vacancy Ads to Study Long-Run Occupational Change	50,435
University of New Mexico 1 University of New Mexico Albuquerque, N M 87131		P C	How Rental Property Management Shapes Social and Economic Inequality	41,050
University of North Carolina Chapel Hill 103 South Building Campus Box 9100 Chapel Hill, N C 27599		P C	Caught in the Storm	19,968
University of North Carolina Chapel Hill 103 South Building Campus Box 9100 Chapel Hill, N C 27599		P C	The ACA and the Demography of the U.S. Criminal Justice Population	23,787
University of North Carolina Chapel Hill 103 South Building Campus Box 9100 Chapel Hill, N C 27599		P C	A Perfect Match? How Job Demands Shape Gender and Racial Discrimination i...	49,510
University of Notre Dame 724 Grace Hall Notre Dame, I N 46556		P C	Social Inequality and Intergenerational Mobility	87,341
University of Pennsylvania 3451 Walnut Street Suite 305 Philadelphia, P A 19104		P C	Proposal Development Summer Institute	100,622
University of South Carolina Columbia-Campus Columbia, S C 29208		P C	The Effect of Police Force on Trust	8,500
University of Tennessee Knoxville 527 Andy Holt Tower Knoxville, T N 37996		P C	The Legacy of Separate and Unequal Schools in the U.S. South	75,714
University of Texas at Austin 110 Inner Campus Dr Main Rm 13 Austin, T X 78712		P C	Does scarcity mindset impede pro-relationship behaviors in low-income cou...	6,852
University of Wisconsin Madison 500 Lincoln Dr Madison, WI 53706		P C	Disconnected Men: The Intersection of Work and Family	47,507
University of Wisconsin Madison 500 Lincoln Dr Madison, WI 53706		P C	Supporting Families and Academic Success	83,194
W E Upjohn Institute for Employment Research 300 South Westnedge Avenue Kalamazoo, M I 490074686		P C	Recessions, Local Labor Markets, and Inequality	23,860
W E Upjohn Institute for Employment Research 300 South Westnedge Avenue Kalamazoo, M I 490074686		P C	Alternative Work Arrangements: Developing Better Household Survey Measures	31,444
Washington Center for Equitable Growth 1156 15th St NE 7th Floor Washington, D C 20005		P C	Between Exclusion and Cumulative Advantage	8,212
Washington University St Louis Campus Box 1082 One Brookings Drive Saint Louis, M O 631304899		P C	Conceptual understanding of skin color inheritance among American childre...	20,766
Washington University St Louis Campus Box 1082 One Brookings Drive Saint Louis, M O 631304899		P C	Gold Does Not Always Glitter	47,900
Washington University St Louis Campus Box 1082 One Brookings Drive Saint Louis, M O 631304899		P C	Improving Police-Community Relations with a Social-Psychological Interven...	77,240
Wayne State University 5057 Woodward Ave 13th Floor Suite 13202 Detroit, M I 48202		P C	Naturalizing Under Threat	12,070
Wellesley College 106 Central St Wellesley, M A 02481		P C	Career Dynamics over the Family Lifecycle	30,977
Wellesley College 106 Central St Wellesley, M A 02481		P C	Family Leave and Public Policy: Impact on Firms and Workers	48,203
Wesleyan University 237 High Street Middletown, C T 06459		P C	Media Messaging about the Affordable Care Act during Political Upheaval	53,425
Williams College 100 Spring Street Suite 201 Williamstown, M A 02167		P C	Does Social Security Reduce Child Poverty and Boost the Academic Achievem...	38,050
Yale University PO Box 208327 150 Munson Street 3rd Floor New Haven, C T 06511		P C	Sociostructural & Psychological Factors Supporting the Misperception of R...	84,646
Total . . . . ▶ **3a**				6,085,258
b *Approved for future payment*				
American Friends of Toulouse School of Economics 116 W Main St Ste 302 Salisbury, M D 21801		P C	Judge and Physician Errors	29,625
Australian National University Chancelry Building Canberra A S		P C	The Unclaimed Property Puzzle: Billion Dollar Bills Lying on the sidewalk	174,921
Barnard College 3009 Broadway New York, N Y 10027		P C	Youth Perspectives on Poverty, Inequality, and Opportunity in Rural and U...	21,431
Boston College Cadigan Alumni Center 140 Commonwea th Ave Chestnut Hill, M A 02467		P C	Demand for Commitment in Flu Vaccinations	8,470
Brandeis University 415 South ST MSC 110 Waltham, M A 02453		P C	Identity Measurement in the United States	6,561
Brandeis University 415 South ST MSC 110 Waltham, M A 02453		P C	The Effects of Public, Private and Social Institutions on Wages	82,431
Brown University 164 Angell Street Box 1877 Providence, R I 02912		P C	Migration from Puerto Rico to the United States during Austerity and Disa...	134,785
Bucknell University 1 Dent Drive Lewisburg, P A 17837		P C	Linking Electronic Health Records and In-depth Interviews to Uncover Barr...	80,798
Carnegie Mellon University 5000 Forbes Avenue Pittsburgh, P A 15213		P C	Learning from wiki surveys for large-scale collaborative ideation	10,000
Carnegie Mellon University 5000 Forbes Avenue Pittsburgh, P A 15213		P C	Understanding the impact of stereotype threat on decision making	73,295
Cornell University 377 Pine Tree Road Ithaca, N Y 14850		P C	Millionaire Migration after the Trump Tax Bill	34,733
Cornell University 377 Pine Tree Road Ithaca, N Y 14850		P C	Case Studies in the Governance of Automated Decision-Making	66,779
Dartmouth College 11 Rope Ferry Road 6210 Office of Sponsored Projects Hanover, N H 03755		P C	Assessing Algorithmic and Human Selective Exposure to Political Information	75,781
Dartmouth College 11 Rope Ferry Road 6210 Office of Sponsored Projects Hanover, N H 03755		P C	Impacts of Changes in the Labor Market on Earnings Growth and Inequality	136,233
Emory University 1762 Clifton Rd Suite 1400 Mail Stop 0970-001-9AA Atlanta, G A 30322		P C	Intergenerational Mobility: A Holistic Approach to Sociooeconomic Origin	28,174
George Washington University 2121 I Street NW Washington, D C 20052		P C	Inequalities in Participation, Voting, and Representation in Local Govern...	49,980
Georgetown University 37th O St NW Washington, D C 20057		P C	Black in Business	15,994
Georgetown University 37th O St NW Washington, D C 20057		P C	The Assimilation Experiences of Unaccompanied Migrant and Orphaned Children	74,877
Georgia Tech Research Corporation 926 Dalney Street Atlanta, G A 30332		P C	Defining, Characterizing, and Detecting Implicit Discriminatory Speech Online	10,000
Harvard University President and Fellows of Harvard College 1033 Massachusetts Ave Cambridge, M A 02138		P C	Immigrants, Economic Mobility, and Support for Redistribution	25,000
Harvard University President and Fellows of Harvard College 1033 Massachusetts Ave Cambridge, M A 02138		P C	Effects of Stop-and-Frisk Policing on the Educational Outcomes Of Undocum...	37,499
Harvard University President and Fellows of Harvard College 1033 Massachusetts Ave Cambridge, M A 02138		P C	Summer Institute in Behavioral Economics (2020)	137,991
Howard University 2244 10th St Room 302 Washington, D C 20059		P C	Recessions during Young-Adulthood and Racial Income Inequality	26,652
Icahn School of Medicine at Mount Sinai Office of Development One Gustave L Levy Place Box 1049 New York, N Y 10029		P C	Left Behind	26,719
Johns Hopkins University 3400 North Charles Street Baltimore, M D 21218		P C	Rational Responses to Uncertainty? Understanding Disadvantaged Youths Po...	73,786
Massachusetts Institute of Technology 77 Massachusetts Avenue NE49-3142 Cambridge, M A 02139		P C	Wage Stagnation and the Transformation of Pay Practices	7,298
Massachusetts Institute of Technology 77 Massachusetts Avenue NE49-3142 Cambridge, M A 02139		P C	Panic Mode	10,000
Massachusetts Institute of Technology 77 Massachusetts Avenue NE49-3142 Cambridge, M A 02139		P C	Unequal Political Representation	28,030
Michigan State University Spartan Way 535 Chestnut Rd Room 300 East Lansing, M I 48824		P C	Longitudinal predictors of Skill Demands in Targeted U.S. Industries	32,508
Mississippi State University Lee Blvd Mississippi State, M S 39762		P C	First Comes Marriage	33,945
Northwestern University 633 Clark St Evanston, I L 60208		P C	Understanding Disparities in Cognitive Development Through Context-Biolog...	24,999
Northwestern University 633 Clark St Evanston, I L 60208		P C	2021 Summer Institute on Biological Approaches in the Social Sciences	129,505
Northwestern University 633 Clark St Evanston, I L 60208		P C	The Network Structure of Police Misconduct	164,212
Rensselaer Polytechnic Institute 110 8th St Troy, N Y 12180		P C	The Effects of Gendered Virtual Assistants	8,500
Research Foundation for the State University of New York PO Box 9 Albany, N Y 12201		P C	THE AFTERMATH OF IMMIGRATION ENFORCEMENT EPISODES	48,840
Russell Sage Foundation 112 East 64th Street New York, N Y 10065		P C	Consortium Membership in the New York Research Data Center (NYRDC) FY21-FY23	97,500
Stanford University Stanford University 450 Jane Stanford Way Stanford, C A 94305		P C	Motivated Mislearning	8,500
Stanford University Stanford University 450 Jane Stanford Way Stanford, C A 94305		P C	Precarious Citizenship: Judicial Decisions in U.S. Denaturalization Cases	10,072
Stanford University Stanford University 450 Jane Stanford Way Stanford, C A 94305		P C	The Effects of AI, Data-Driven Decisionmaking and Management Practices on...	152,174
Stanford University Stanford University 450 Jane Stanford Way Stanford, C A 94305		P C	A Data Analysis Workshop for the National Poverty Study	174,999
Syracuse University 900 South Crouse Avenue Syracuse, N Y 13244		P C	Hunger SNAPs: Food Insecurity among Older Adults	12,174
Syracuse University 900 South Crouse Avenue Syracuse, N Y 13244		P C	Hunger SNAPs: Food Insecurity among Older Adults	12,175
Syracuse University 900 South Crouse Avenue Syracuse, N Y 13244		P C	Examining Underrepresented Students Access to and Gains from Selective ...	29,809
Tulane University 6823 St Charles Avenue New Orleans, L A 70118		P C	The Racial Dynamics of Fatal Police Shootings: A Computational Approach	43,986
University of California Berkeley Sponsored Projects Office UC Berkeley 1608 Fourth Street Suite 2 Berkeley, C A 94710		P C	2020-2021 Summer Institute in Migration Research Methods	25,000
University of California Berkeley Sponsored Projects Office UC Berkeley 1608 Fourth Street Suite 2 Berkeley, C A 94710		P C	The Impact of Housing Vouchers on Social Service Usage, Health, and Well-...	173,689
University of California Davis One Shields Ave Davis, C A 95616		P C	Suspicion and Police Decision Making	64,014
University of California Irvine 120 Theory Ste 200 Irvine, C A 92617		P C	Explaining (In) Action: Relational Goods in Pandemics and Protests	4,000
University of California Los Angeles 10920 Wilshire Blvd Ste 620 Los Angeles, C A 90024		P C	Taking status apart	25,875
University of California Los Angeles 10920 Wilshire Blvd Ste 620 Los Angeles, C A 90024		P C	Climate Change, Labor Market Frictions, and Economic Inequality	35,000
University of California Riverside 900 University Avenue Riverside, C A 92521		P C	Group Status and Emotionally Coping with Discrimination	35,000
University of California Riverside 900 University Avenue Riverside, C A 92521		P C	Pathways to resilience	49,985
University of California Santa Cruz 1156 High Street Santa Cruz, C A 95064		P C	Markets pressures, firm wage policies, and inequality	12,500
University of Chicago 5235 S Harper Court 4th Floor Chicago, I L 60615		P C	Understanding Peer Effects in Educational Decisions	8,476
University of Houston Office of Contracts Grants 4302 University Drive Room 316 Houston, T X 77204		P C	The Affordable Care Act at the State Legislative District Level	87,689
University of Michigan Regents of the University of Michigan 500 S State Street Ann Arbor, M I 48109		P C	Observing Race	30,000
University of Michigan Regents of the University of Michigan 500 S State Street Ann Arbor, M I 48109		P C	Intergenerational Mobility in the Early 20th Century U.S.	69,663
University of Michigan Regents of the University of Michigan 500 S State Street Ann Arbor, M I 48109		P C	Skills, Majors, and Jobs: Does Higher Education Respond?	78,381
University of New Mexico 1 University of New Mexico Albuquerque, N M 87131		P C	How Rental Property Management Shapes Social and Economic Inequality	8,295
University of North Carolina Chapel Hill 103 South Building Campus Box 9100 Chapel Hill, N C 27599		P C	The ACA and the Demography of the U.S. Criminal Justice Population	24,499
University of Pennsylvania 3451 Walnut Street Suite 305 Philadelphia, P A 19104		P C	Revisiting Hearing the Other Side in an Age of Polarization	50,000
University of Southern California University Park Campus 3551 Los Angeles, C A 90089		P C	2021 Summer Institute on Social Science Genomics	143,900
University of Southern California University Park Campus 3551 Los Angeles, C A 90089		P C	The Segregation Lab: Building Research Capacity for Addressing Inequality	175,000
University of Sydney Camperdown Sydney A S		P C	2021 Early Career Behavioral Economics Conference	34,632
University of Tennessee Knoxville 527 Andy Holt Tower Knoxville, T N 37996		P C	The Legacy of Separate and Unequal Schools in the U.S. South	23,336
University of Texas at Austin 110 Inner Campus Dr Main Rm 13 Austin, T X 78712		P C	Intersecting (In)Justice	24,225
University of Texas at Austin 110 Inner Campus Dr Main Rm 13 Austin, T X 78712		P C	Uncovering Race: Afro-Latinos, Political Attitudes and Anti-Blackness	44,751
Washington University St Louis Campus Box 1082 One Brookings Drive Saint Louis, M O 63130		P C	Improving Police-Community Relations with a Social-Psychological Interven...	49,775
Yale University PO Box 208327 150 Munson Street 3rd Floor New Haven, C T 06511		P C	Sociostructural & Psychological Factors Supporting the Misperception of R...	78,885
Total . . . . ▶ **3b**				3,828,311

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
1 Program service revenue:	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
a PUBLICATION SALES					606,889
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14		
4 Dividends and interest from securities			14	7,101,970	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.			16	85,200	
6 Net rental income or (loss) from personal property					
7 Other investment income.			15	57,880	
8 Gain or (loss) from sales of assets other than inventory	900099	296,912	18	29,605,953	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a PRIVATE EQUITY	900099	-2,478	14	-413,458	
b OTHER INCOME			01	2,426	
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e). .		294,434		36,439,971	606,889

13 Total. Add line 12, columns (b), (d), and (e). 13 37,341,294

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation’s exempt purposes (other than by providing funds for such purposes). (See instructions.)
1	BOOK PUBLISHING IS THE FOUNDATION'S MAIN FORM OF PUBLIC OUTREACH, I.E.
1	THE DISSEMINATION OF THE RESULTS OF THE FOUNDATION'S RESEARCH TO THE
1	ACADEMIC COMMUNITY AND THE GENERAL PUBLIC

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash.	**1a(1)**		**No**
(2) Other assets.	**1a(2)**		**No**
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
(2) Purchases of assets from a noncharitable exempt organization	**1b(2)**		**No**
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
(4) Reimbursement arrangements.	**1b(4)**		**No**
(5) Loans or loan guarantees.	**1b(5)**		**No**
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee	Date	Title
	2021-06-01	

May the IRS discuss this return with the preparer shown below (see instr.) ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Kevin Sunkel		2021-06-01		P00706145

Firm's name ▶ Owen J Flanagan & Co — Firm's EIN ▶13-2060851

Firm's address ▶ 60 East 42nd Street New York, NY 10165 — Phone no. (212) 682-2783

Additional Data

Return to Form

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	**Schedule of Contributors** ▶ Attach to Form 990, 990-EZ, or 990-PF. ▶ Go to *www.irs.gov/Form990* for the latest information.	OMB No. 1545-0047 **2019**

Name of the organization	Employer identification number
RUSSELL SAGE FOUNDATION	13-1635303

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
RUSSELL SAGE FOUNDATION

Employer identification number
13-1635303

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Alfred P Sloan Foundation 630 Fifth Avenue Suite 2200 New York, NY 10111	$ 50,000	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
2	Robert Wood Johnson Foundation 15 Princess Road Lawrenceville, NJ 08648	$ 45,000	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
3	Rockefeller Brothers Fund 475 Riverside Drive Suite 900 New York, NY 10115	$ 45,000	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)

Name of organization
RUSSELL SAGE FOUNDATION

Employer identification number
13-1635303

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

Name of organization
RUSSELL SAGE FOUNDATION

Employer identification number
13-1635303

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.) ▶ $**

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
-	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
-	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
-	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
-	

Additional Data

Return to Form

Software ID:

Software Version:

TY 2019 IRS 990 e-File Render

Name: RUSSELL SAGE FOUNDATION

EIN: 13-1635303

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OWEN FLANAGAN & CO, LLP	30,000	0	0	30,000
PKF O'CONNOR DAVIES LLP	12,700	0	0	12,700

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2019 IRS 990 e-File Render

Name: RUSSELL SAGE FOUNDATION

EIN: 13-1635303

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
112 E 64TH STREET - LAND	1981-08-31	327,550			0 %	0	0	0	
112 E 64TH STREET - BUILDING & IMPROVEMENTS	1981-08-31	6,230,912	4,354,310		0 %	179,749	0	179,749	
116 E 64TH STREET - LAND	2001-08-31	1,750,000			0 %	0	0	0	
116 E 64TH STREET - BUILDING & IMPROVEMENTS	2001-08-31	7,549,854	3,171,444		0 %	213,463	0	213,463	
OFFICE FURNITURE & EQUIPMENT	2008-08-31	545,106	243,745		0 %	116,619	0	116,619	
CONDOS FOR SCHOLARS, INCL IMPROVEMENTS	1987-08-31	7,663,629	3,728,824		0 %	288,881	0	288,881	
CONSTRUCTION IN PROGRESS	2020-08-31	232,320			0 %	0	0	0	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2019 IRS 990 e-File Render

Name: RUSSELL SAGE FOUNDATION

EIN: 13-1635303

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
European University Institute	Via dei Roccettini 9 Florence 50014 IT	2019-09-01	9,835	Do Informal Connections Matter for Political Candidacy and Success?	3,532	NO DIVERSION	10/19/2020		RSF IS SATISFIED THAT GRANT FUNDS HAVE BEEN USED IN ACCORDANCE WITH THE TERMS OF THE GRANT
Swiss Federal Institute of Technology in Zurich	Haldeneggsteig 4 Zurich 8006 SZ	2020-04-01	34,713	Lexical gender bias in the judiciary	34,713	NO DIVERSION	3/25/2021		RSF IS SATISFIED THAT GRANT FUNDS HAVE BEEN USED IN ACCORDANCE WITH THE TERMS OF THE GRANT
Central European University Foundation	Nador utca 9 Budapest 1051 HU	2020-05-01	8,460	How does choice affect beliefs?	8,460	NO DIVERSION	2/26/2021		RSF IS SATISFIED THAT GRANT FUNDS HAVE BEEN USED IN ACCORDANCE WITH THE TERMS OF THE GRANT

TY 2019 IRS 990 e-File Render

Name: RUSSELL SAGE FOUNDATION

EIN: 13-1635303

Name of Bond	End of Year Book Value	End of Year Fair Market Value
FIXED INCOME FUNDS	11,993,948	11,993,948

TY 2019 IRS 990 e-File Render

Name: RUSSELL SAGE FOUNDATION

EIN: 13-1635303

Name of Stock	End of Year Book Value	End of Year Fair Market Value
DOMESTIC EQUITIES FUND	162,567,565	162,567,565
COMMINGLED INTERNATIONAL EQUITY TRUST FUND	91,260,676	91,260,676
INTERNATIONAL EQUITIES FUND	66,028,797	66,028,797

TY 2019 IRS 990 e-File Render

Name: RUSSELL SAGE FOUNDATION

EIN: 13-1635303

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
COMMONFUND CAPITAL PRIVATE EQUITY PARTNERS	FMV	13,767,335	13,767,335
COMMONFUND CAPITAL INTL PRIVATE EQUITY PARTNERS	FMV	6,786,516	6,786,516
COMMONFUND CAPITAL EMERGING MARKETS	FMV	5,812,461	5,812,461

TY 2019 IRS 990 e-File Render

Name: RUSSELL SAGE FOUNDATION

EIN: 13-1635303

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
112 E 64TH STREET - LAND	327,550	0	327,550	
112 E 64TH STREET - BUILDING & IMPROVEMENTS	6,230,912	4,534,059	1,696,853	
116 E 64TH STREET - LAND	1,750,000	0	1,750,000	
116 E 64TH STREET - BUILDING & IMPROVEMENTS	7,549,854	3,384,907	4,164,947	
OFFICE FURNITURE & EQUIPMENT	545,106	360,364	184,742	
CONDOS FOR SCHOLARS, INCL IMPROVEMENTS	7,663,629	4,017,705	3,645,924	
CONSTRUCTION IN PROGRESS	232,320	0	232,320	

TY 2019 IRS 990 e-File Render

Name: RUSSELL SAGE FOUNDATION

EIN: 13-1635303

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
HARNIK LAW FIRM	2,768	1,897	1,897	871
W RICHARD HOUSE, JR.	30,000	30,000	30,000	0
PATTERSON, BELKNAP, WEBB & TYLER	20,621	0	0	20,621

TY 2019 IRS 990 e-File Render

Name: RUSSELL SAGE FOUNDATION

EIN: 13-1635303

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OFFICE SUPPLIES & EXPENSES	460,625	3,493	3,493	457,132
VISITING SCHOLARS AWARDS	1,437,080	0	0	1,437,080
RESEARCH PROJECTS	433,551	0	0	433,551
NYS DEPARTMENT OF LAW	1,500	0	0	1,500

TY 2019 IRS 990 e-File Render

Name: RUSSELL SAGE FOUNDATION

EIN: 13-1635303

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OIL & GAS LEASE RTS & ROYALTIES	57,880	57,880	57,880
PUBLICATION SALES	606,889		606,889
PRIVATE EQUITY	-415,936	-413,458	-415,936
OTHER INCOME	2,426		2,426

TY 2019 IRS 990 e-File Render

Name: RUSSELL SAGE FOUNDATION

EIN: 13-1635303

Description	Amount
CHANGE IN UNREALIZED APPRECIATION	15,702,033

TY 2019 IRS 990 e-File Render

Name: RUSSELL SAGE FOUNDATION

EIN: 13-1635303

Description	Beginning of Year - Book Value	End of Year - Book Value
ACCRUED POSTRETIREMENT BENEFITS OBLIGATION	4,609,811	5,265,679

TY 2019 IRS 990 e-File Render

Name: RUSSELL SAGE FOUNDATION

EIN: 13-1635303

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Mgmt & Svc Fees	681,785	681,785	681,785	0
MANAGEMENT ADVISORS	24,900	0	0	24,900
COMMUNICATIONS ADVISORS	103,500	0	0	103,500
COMPUTER SERVICE ADVISORS	65,138	0	0	65,138
Research Services	38,890	0	0	38,890
INTERNAL PROJECT ADVISORS	66,360	0	0	66,360

TY 2019 IRS 990 e-File Render

Name: RUSSELL SAGE FOUNDATION

EIN: 13-1635303

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
UBIT	20,580	0	0	0
REAL ESTATE TAXES	24,461	24,461	24,461	0