Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0052

2019

Open to Public Inspection

For calendar year 2019, or tax year beginning 10-01-2019 , and ending 09-30-2020

Name of foundation
CARNEGIE CORPORATION OF NEW YORK

A Employer identification number
13-1628151

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
437 MADISON AVENUE

B Telephone number (see instructions)
(212) 371-3200

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10022

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here..... ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ..... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 3,564,024,289

J Accounting method: ☐ Cash ☑ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	2,651,686	3,068,274		
	4	Dividends and interest from securities	682,584	682,584		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	235,518,327			
	b	Gross sales price for all assets on line 6a 863,054,397				
	7	Capital gain net income (from Part IV, line 2)		284,660,444		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	15,237,463	41,970,314		
	12	**Total.** Add lines 1 through 11	254,090,060	330,381,616		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	3,675,037	1,016,138		2,658,899
	14	Other employee salaries and wages	10,621,938	2,254,115		8,402,616
	15	Pension plans, employee benefits	6,443,987	807,653		5,639,200
	16a	Legal fees (attach schedule)	207,541	77,996		148,409
	b	Accounting fees (attach schedule)	471,716	96,321		132,483
	c	Other professional fees (attach schedule)	11,947,689	1,143,477		0
	17	Interest	266,693	0		300,047
	18	Taxes (attach schedule) (see instructions)	2,738,062	0		0
	19	Depreciation (attach schedule) and depletion	841,299	0		
	20	Occupancy	3,115,779	349,063		3,086,594
	21	Travel, conferences, and meetings	1,092,455	68,396		1,054,317
	22	Printing and publications	1,182,373	0		1,513,859
	23	Other expenses (attach schedule)	2,582,856	312,228		2,214,832
	24	**Total operating and administrative expenses.** Add lines 13 through 23	45,187,425	6,125,387		25,151,256
	25	Contributions, gifts, grants paid	160,564,063			165,234,841
	26	**Total expenses and disbursements.** Add lines 24 and 25	205,751,488	6,125,387		190,386,097
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	48,338,572			
	b	**Net investment income** (if negative, enter -0-)		324,256,229		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	6,303	6,198	6,198
	2	Savings and temporary cash investments	91,647	114,401	114,401
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	1,985,788	1,987,739	1,987,739
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	76,436,639	100,141,139	100,141,139
	c	Investments—corporate bonds (attach schedule)	270,345,024	388,545,880	388,545,880
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	3,088,041,918	3,067,209,788	3,067,209,788
	14	Land, buildings, and equipment: basis ▶ 12,667,544			
		Less: accumulated depreciation (attach schedule) ▶ 6,695,728	6,795,509	5,971,816	5,971,816
	15	Other assets (describe ▶)	463,915	47,328	47,328
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	3,444,166,743	3,564,024,289	3,564,024,289
Liabilities	17	Accounts payable and accrued expenses	7,602,584	6,431,601	
	18	Grants payable	97,996,165	93,511,859	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)	18,806,417	33,368,583	
	23	**Total liabilities**(add lines 17 through 22)	124,405,166	133,312,043	
Net Assets or Fund Balances		**Foundations that follow FASB ASC 958, check here** ▶ ☑ **and complete lines 24, 25, 29 and 30.**			
	24	Net assets without donor restrictions			
	25	Net assets with donor restrictions	3,319,761,577	3,430,712,246	
		Foundations that do not follow FASB ASC 958, check here ▶ ☐ **and complete lines 26 through 30.**			
	26	Capital stock, trust principal, or current funds			
	27	Paid-in or capital surplus, or land, bldg., and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
	29	**Total net assets or fund balances** (see instructions)	3,319,761,577	3,430,712,246	
	30	**Total liabilities and net assets/fund balances** (see instructions)	3,444,166,743	3,564,024,289	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	3,319,761,577
2	Enter amount from Part I, line 27a	2	48,338,572
3	Other increases not included in line 2 (itemize) ▶	3	62,612,097
4	Add lines 1, 2, and 3	4	3,430,712,246
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	3,430,712,246

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	PUBLICLY TRADED SECURITIES	P		
b	PARTNERSHIP K-1S GAINS	P		
c	PARTNERSHIP K-1S GAINS	P		
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	863,054,397		861,473,639	1,580,758
b				233,937,569
c				49,142,117
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				1,580,758
b				233,937,569
c				49,142,117
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	284,660,444
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	178,803,350	3,389,489,430	0.052752
2017	183,664,224	3,475,287,430	0.052849
2016	180,025,007	3,323,896,770	0.054161
2015	171,331,223	3,226,686,106	0.053098
2014	173,868,181	3,298,588,313	0.052710

2	**Total** of line 1, column (d)	2	0.265570
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.053114
4	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	3,334,385,056
5	Multiply line 4 by line 3	5	177,102,528
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	3,242,562
7	Add lines 5 and 6	7	180,345,090
8	Enter qualifying distributions from Part XII, line 4	8	190,403,713

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	3,242,562
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	3,242,562
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	3,242,562
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019 — 6a: 2,650,000		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 500,000		
d	Backup withholding erroneously withheld — 6d: 2,409		
7	Total credits and payments. Add lines 6a through 6d	7	3,152,409
8	Enter any **penalty** for underpayment of estimated tax. Check here ☑ if Form 2220 is attached.	8	3,994
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	94,147
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2020 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition)	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0 **(2)** On foundation managers. ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA, NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11	Yes	
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12	Yes	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶ WWW.CARNEGIE.ORG

14 The books are in care of ▶ ROBERT J SEMAN Telephone no. ▶ (212) 371-3200

Located at ▶ 437 MADISON AVENUE NEW YORK NY ZIP+4 ▶ 10022

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☑ Yes ☐ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☑ Yes ☐ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	**5b**		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☑ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☑ Yes ☐ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
VARTAN GREGORIAN SEE STMT 19 437 MADISON AVENUE NEW YORK, NY 10022	PRESIDENT 60.00	960,077	143,464	50,000
KIM LEW 437 MADISON AVENUE NEW YORK, NY 10022	VP & CHIEF INVESTMENT OFFICER 50.00	1,014,000	132,539	0
ROBERT SEMAN 437 MADISON AVENUE NEW YORK, NY 10022	VP & CHIEF FINANCIAL OFFICER 50.00	405,000	122,343	0
DEANA ARSENIAN 437 MADISON AVENUE NEW YORK, NY 10022	VP INT'L PROGRAM DIR, RUSSIA & EURASIA 50.00	387,500	121,846	0
LAVERNE SRINIVASAN 437 MADISON AVENUE NEW YORK, NY 10022	VP, NAT'L PROGRAM & PROGRAM DIR. EDU 50.00	387,500	121,909	0
NICOLE HOWE-BUGGS PROMOTED 1120 437 MADISON AVENUE NEW YORK, NY 10022	CHIEF ADMIN OFF & CORP SECRETARY 50.00	247,900	93,569	0
BONNIE RIVERS PROMOTED 1120 437 MADISON AVENUE NEW YORK, NY 10022	ASSOC CORP SEC/DIR, GRANTS & REC MGMT 50.00	140,410	51,656	0
ELLEN BLOOM RETIRED 123119 437 MADISON AVENUE NEW YORK, NY 10022	VP CHIEF ADMIN OFFICER/CORP. SECRETARY 40.00	96,875	23,180	0
PEDRO ASPE 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	0	0	0
LLOYD J AUSTIN 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	11,700	0	0
LIONEL BARBER 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	4,950	0	0
JARED L COHON 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	9,900	0	0
KEVIN CONWAY 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	0	0	0
JOHN J DEGIOIA 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	0	0	0
EDWARD P DJEREJIAN SEE STMT 22 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	0	0	0
LEYMAH GBOWEE SEE STMT 22 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	0	0	0
JEAN-MARIE GUEHENNO SEE STMT 22 437 MADISON AVENUE	TRUSTEE 5.00	0	0	0

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NEW YORK, NY 10022				
THOMAS H KEAN 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	0	0	0
CAROLINE KENNEDY SEE STMT 22 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	6,150	0	0
MARIA ELENA LAGOMASINO 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	0	0	0
MARCIA MCNUTT 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	0	0	0
MARTHA MINOW SEE STMT 22 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	0	0	0
LOUISE RICHARDSON 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	0	0	0
JANET ROBINSON 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	0	0	0
ANNE TATLOCK 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	0	0	0
ANN CLAIRE WILLIAMS SEE STMT 22 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	3,075	0	0
JUDY WOODRUFF 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ALISA MALL 437 MADISON AVENUE NEW YORK,NY 10022	MANAGING DIR, INVEST 50.00	503,750	123,260	0
BROOKE JONES 437 MADISON AVENUE NEW YORK,NY 10022	MANAGING DIR, INVEST 50.00	494,606	126,456	0
KEN LEE 437 MADISON AVENUE NEW YORK,NY 10022	MANAGING DIR, INVEST 50.00	457,789	120,247	0
JON-MICHAEL CONSALVO 437 MADISON AVENUE NEW YORK,NY 10022	INVESTMENT DIRECTOR 50.00	331,250	77,510	0
JULIA WEEDE 437 MADISON AVENUE NEW YORK,NY 10022	CHIEF COMM & DIGITAL 50.00	318,500	81,890	0
Total number of other employees paid over $50,000. . . . . ▶				70

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
RSM US LLP 4 TIMES SQUARE NEW YORK,NY 10036	AUDIT & TAX SERVICES	588,316
SKDKNICKERBOCKER LLC 284 FULTON STREET NEW YORK,NY 10007	MEDIA RELATIONS SERVICES	384,955
THE BANK OF NEW YORK MELLON ONE MELLON CENTER PITTSBURGH,PA 15258	CUSTODY & RECORDKEEPING SERVICES	381,407
OAKVIEW CAPITAL MANAGEMENT 3879 MAPLE AVENUE SUITE 300 DALLAS,TX 75219	INVESTMENT MANAGEMENT	311,242
GALLUP INC 901 F STREET NW WASHINGTON,DC 20004	SURVEY SERVICES	300,000

Total number of others receiving over $50,000 for professional services. . . . . ▶ 13

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 SEE STATEMENT 23	6,390,732
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions. **3**	
Total. Add lines 1 through 3 . . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	3,386,816,648
b	Average of monthly cash balances			1b	-1,654,155
c	Fair market value of all other assets (see instructions)			1c	0
d	**Total** (add lines 1a, b, and c)			1d	3,385,162,493
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0		
2	Acquisition indebtedness applicable to line 1 assets			2	0
3	Subtract line 2 from line 1d			3	3,385,162,493
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	50,777,437
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4			5	3,334,385,056
6	**Minimum investment return.** Enter 5% of line 5			6	166,719,253

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	166,719,253
2a	Tax on investment income for 2019 from Part VI, line 5	2a	3,242,562		
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	3,242,562
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	163,476,691
4	Recoveries of amounts treated as qualifying distributions			4	87,000
5	Add lines 3 and 4			5	163,563,691
6	Deduction from distributable amount (see instructions)			6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	163,563,691

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	190,386,097
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	17,616
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	190,403,713
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	3,242,562
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	187,161,151

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				163,563,691
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.			0	
b Total for prior years: 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2019:				
a From 2014.				
b From 2015.				
c From 2016.				
d From 2017. 7,257,102				
e From 2018. 14,367,998				
f **Total** of lines 3a through e.	21,625,100			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ $ 190,403,713				
a Applied to 2018, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2019 distributable amount				163,563,691
e Remaining amount distributed out of corpus	26,840,022			
5 Excess distributions carryover applied to 2019. *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	48,465,122			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount —see instructions		0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) . . .	0			
9 **Excess distributions carryover to 2020.** Subtract lines 7 and 8 from line 6a	48,465,122			
10 Analysis of line 9:				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017. . . . 7,257,102				
d Excess from 2018 14,367,998				
e Excess from 2019 26,840,022				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2019	**(b)** 2018	**(c)** 2017	**(d)** 2016	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 24
C/O CARNEGIE CORPORATION OF NY 437
MADISON AVENUE
NEW YORK,NY 10022
(212) 371-3200

b The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT 24

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE STATEMENT 24

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	2,651,686	
4 Dividends and interest from securities			14	682,584	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	235,518,327	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a INCOME FROM PARTNERSHIPS	525990	-95,145	14	15,332,512	
b INTEREST RECEIVED ON TAX REFUNDS			01	3	
c CLASS ACTION PROCEEDS			01	93	
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		-95,145		254,185,205	0
13 **Total.** Add line 12, columns (b), (d), and (e)				13	254,090,060

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee | Date 2021-07-13 | Title

May the IRS discuss this return with the preparer shown below (see instr.) ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
LYNNE JOHNSON				P00757336
Firm's name ▶ RSM US LLP				Firm's EIN ▶ 42-0714325
Firm's address ▶ 4 TIMES SQUARE NEW YORK, NY 10036				Phone no. (212) 372-1000

Additional Data

Return to Form

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

TY 2019 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	471,716	96,321		132,483

TY 2019 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Person Name	Explanation
VARTAN GREGORIAN PRESIDENTTRUSTEE	- COMPENSATION $949,640 INCLUDES $85,328 REPRESENTING PROCEEDS FROM OPTIONS EXERCISED AND INCOME EARNED ON ACCOUNT HOLDINGS UNDER THE CORPORATION'S FLEX-OP PLAN.- RECEIVED $50,000 HOUSING ALLOWANCE.

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2019 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
LEASEHOLD IMPROVEMENTS		9,170,580	3,360,607		0 %	611,576	0		
FURNITURE AND EQUIPMENT		3,496,974	2,493,822		0 %	229,723	0		

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2019 990-e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
2REVOLUTIONS	77 BROADVIEW AVE NEW ROCHELLE, NY 10804	2017-06-01	1,000,000	FOR A PROJECT TO BUILD THE CAPACITY OF DISTRICT, COMMUNITY AND SCHOOL-LEVEL STAKEHOLDERS IN ONE PLACE TO WORK IN AN INTEGRATED WAY TO DESIGN AND LAUNCH NEW LEARNING MODELS TO SOLVE LOCAL EDUCATION CHALLENGES (AS ONE OF TWO PILOT PROJECTS IN THE CONVERGENCE INTEGRATION DESIGN CONSORTIUM). REMAINING $153,272 CARRIED OVER TO RENEWAL G-19-57317. PLEASE REMAND OPEN UNTIL PROGRAM ASSESSMENT IS COMPLETE.	846,728	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 2/1/18; 8/1/18; 11/29/18; 1/1/20	2019-09-30	
2REVOLUTIONS	77 BROADVIEW AVE NEW ROCHELLE, NY 10804	2019-10-29	200,000	FOR CONTINUED COLLABORATIVE DESIGN WORK TO FOSTER INNOVATION IN VIRGINIA BEACH CITY PUBLIC SCHOOLS	353,272	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 11/1/20; 1/1/21	2020-09-30	
ASSOCIATION OF COLLEGE AND UNIVERSITY EDUCATORS	745 FIFTH AVENUE 500 NEW YORK, NY 10151	2019-09-12	150,000	FOR SUPPORT OF A PILOT INITIATIVE WITHIN THE CITY UNIVERSITY OF NEW YORK (CUNY) SYSTEM TO DEPLOY THE NEWEST CAREER GUIDANCE AND READINESS CREDENTIAL FOR FACULTY IN EFFECTIVE INSTRUCTION. REMAINING $15,000 CARRIED OVER TO G-20-57819. REFUND OF $750 RECEIVED 11/5/2021.	134,250	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 11/5/20; 11/5/21	2020-09-30	
ASSOCIATION OF COLLEGE AND UNIVERSITY EDUCATORS	745 FIFTH AVENUE 500 NEW YORK, NY 10151	2020-09-10	200,000	FOR SUPPORT OF FACULTY PROFESSIONAL LEARNING IN A MICRO-CREDENTIAL, REMOTE LEARNING ENVIRONMENT	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	NO REPORTS DUE AS OF 9/30/2020	2020-09-30	
CARNEGIE FOUNDATION FOR THE ADVANCEMENT OF TEACHING	51 VISTA LANE STANFORD, CA 94305	2018-09-13	2,500,000	FOR CONTINUATION OF THE SLEAD PROJECT.	1,229,239	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 11/1/19; 11/1/19;	2019-06-30	
CARNEGIE FOUNDATION FOR THE ADVANCEMENT OF TEACHING	51 VISTA LANE STANFORD, CA 94305	2019-12-05	25,000	FOR THE 2020 SUMMIT ON IMPROVEMENT IN EDUCATION GRANT REMAINS OPEN UNTIL PROGRAM ASSESSMENT IS COMPLETE.	25,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 9/1/20	2020-05-31	
CARNEGIE FOUNDATION FOR THE ADVANCEMENT OF TEACHING	51 VISTA LANE STANFORD, CA 94305	2019-12-20	200,000	FOR A PROJECT CALLED "THE CHICAGO STORY: LESSONS FOR BUILDING AN EDUCATIONAL IMPROVEMENT INFRASTRUCTURE," WHICH SEEKS TO CAPTURE AND SHARE LESSONS FROM CHICAGO'S EDUCATIONAL IMPROVEMENT OVER THE PAST THREE DECADES	73,724	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORT RECEIVED 6/1/20	2020-06-30	
CARNEGIE HERO FUND COMMISSION	436 SEVENTH AVENUE SUITE 1101 PITTSBURGH, PA 152191841	2013-08-13	150,000	AS A ONE-TIME GRANT FOR GENERAL SUPPORT. FINANCIAL & PROGRESS REPORTS RECEIVED 2/1/14;11/1/14; 11/1/15, 11/1/16, 11/1/17, 11/1/18, 1/1/20 EXTENSION REQUEST PENDING. EXTENSION REQUEST IS CURRENTLY WITH PROGRAM OFFICER FOR OFFICIAL APPROVAL. 11/24/2020.	76,429	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 8/1/18; 11/1/19; 11/1/20		2019-10-31
DAILY CHATTER	32 ATLANTIC AVENUE THE PILOT HOUSE-LEWIS WHARF BOSTON, MA 02110	2020-03-27	25,000	FOR PROVIDING FREE SUBSCRIPTIONS TO COLLEGE STUDENTS TO INCREASE THEIR UNDERSTANDING OF GLOBAL ISSUES	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	NO REPORTS DUE AS OF 9/30/2020		
EDUCATION STRATEGY GROUP	2 WISCONSIN CIRCLE CHEVY CHASE, MD 20815	2020-09-10	150,000	FOR A PROJECT THAT BUILDS PUBLIC UNDERSTANDING OF PATHWAYS TO ECONOMIC OPPORTUNITY	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	NO REPORTS DUE AS OF 9/30/2020		
EDUCATIONCOUNSEL	101 CONSTITUTION AVENUE NW SUITE 900 WASHINGTON, DC 20001	2020-09-10	180,000	FOR PROJECTS ON ADVANCING LEARNING SYSTEMS IN EDUCATION AND A POLICY AGENDA TO IMPROVE THE EDUCATION RESEARCH AND DEVELOPMENT (R&D) INFRASTRUCTURE	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	NO REPORTS DUE AS OF 9/30/2020		
FLAMBOYAN FOUNDATION	1730 MASSACHUSETTS AVENUE NW WASHINGTON, DC 20036	2017-03-02	325,000	FOR THE NATIONAL FAMILY ENGAGEMENT FELLOWSHIP AND DEVELOPMENT OF AN EDUCATOR PREPARATION STRATEGY. NOTE: PASS-THROUGH REPORTS ARE REQUIRED FOR ALL GRANTS TO PRIVATE NON-OPERATING FOUNDATIONS! FOR EACH FY THEY RECEIVED PAYMENT FROM THE CORPORATION. GRANT CLOSED 7/29/20	325,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 6/1/18; 8/1/19	2018-12-31	
FLAMBOYAN FOUNDATION	1730 MASSACHUSETTS AVENUE NW WASHINGTON, DC 20036	2019-03-07	200,000	FOR SUPPORT OF THE NATIONAL FELLOWSHIP PROGRAM AND PLANNING THE DISTRIBUTION OF TOOLS AND RESOURCES FOR THE FIELD GRANT CLOSED 7/29/20	200,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 8/1/19; 6/1/20	2019-12-31	
INTERNATIONAL CENTER ON NONVIOLENT CONFLICT	1775 PENNSYLVANIA AVE NW SUITE 1200 DC, WA 20006	2020-03-19	200,000	FOR A ONE-TIME ONLY GRANT ON ADVANCING RESEARCH AND ONLINE EDUCATION ON NON-VIOLENT CONFLICT AND RESISTANCE	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	NO REPORTS DUE AS OF 9/30/2020		
MARGRADY RESEARCH	38 WEST 89TH STREET 2 NEW YORK, NY 10024	2019-03-14	120,000	FOR DATA ANALYSIS AND TECHNICAL ASSISTANCE SUPPORT TO NEW YORK STATE SCHOOL DISTRICTS GRANT CLOSED 12/4/20	120,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 7/1/20	2020-03-31	
MARK L GOLDBERG LLC	1135 S YORK STREET DENVER, CO 80210	2019-12-20	50,000	FOR SUPPORT OF THE GLOBAL DISPATCHES PODCAST TO FEATURE AFRICAN EXPERTISE ON PEACE AND SECURITY TOPICS	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	NO REPORTS DUE AS OF 9/30/2020		
MODERN STATES - EDUCATION ALLIANCE	787 SEVENTH AVENUE 49TH FLOOR NEW YORK, NY 10019	2020-09-10	150,000	FOR CONTINUED SUPPORT OF THE ENHANCED FRESHMAN YEAR FOR FREE PROGRAM, DESIGNED TO KEEP COLLEGE-BOUND HIGH SCHOOL SENIORS, PARTICULARLY FROM LOW-INCOME NEIGHBORHOODS IN NEW YORK CITY, ON A COLLEGE TRACK IN RESPONSE TO THE COVID-19 PANDEMIC REPORTS REQUESTED 1/6/21. FUTURE FUNDING PROHIBITED UNLESS REPORTS ARE RECEIVED.	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	NO REPORTS DUE AS OF 9/30/2020		
MODERN STATES - EDUCATION ALLIANCE	787 SEVENTH AVENUE 49TH FLOOR NEW YORK, NY 10019	2020-06-08	150,000	FOR SUPPORT OF THE ENHANCED FRESHMAN YEAR FOR FREE PROGRAM, DESIGNED TO KEEP COLLEGE-BOUND HIGH SCHOOL SENIORS, PARTICULARLY FROM LOW-INCOME NEIGHBORHOODS IN NEW YORK CITY, ON A COLLEGE TRACK IN RESPONSE TO THE COVID-19 PANDEMIC	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 12/1/2020		
ONE EARTH FUTURE	525 ZANG STREET SUITE C BROOMFIELD, CO 80021	2018-11-13	50,000	FOR A PROJECT ON GATHERING AND USING DATA TO STRENGTHEN NUCLEAR SECURITY	50,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 2/1/19; 9/1/19	2019-05-31	
PLAYMATICS	106 MORNINGSIDE DRIVE 56 NY, NY 10027	2020-08-24	25,000	FOR A BOARD GAME ON STRATEGIC PHILANTHROPY DESIGNED TO EDUCATE AND INSPIRE ACTION	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	NO REPORTS DUE AS OF 9/30/2020		
RESET DIALOGUES US	48 OLD COLONY ROAD CHESTNUT HILL, NY 02467	2019-04-12	200,000	FOR CAPACITY-BUILDING FOR RESET DIALOGUES U.S.	102,448	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 7/1/20	2020-10-07	
RESULTS IN EDUCATION FOUNDATION	511 OLDE TOWN ROAD 548 ROCHESTER, ME 483089998	2018-10-19	150,000	FOR SUPPORT OF WORK AROUND COMMUNICATIONS AND PUBLIC DIALOGUE ON EDUCATION	150,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL REPORTS RECEIVED 2/1/19, 8/1/19; GRANT CLOSED 9/29/20	2019-09-30	
RIVET EDUCATION	12181 INDIGO DRIVE ST FRANCISVILLE, LA 70775	2020-05-11	180,000	AS AN EMERGENCY RESPONSE TO THE COVID-19 PANDEMIC FOR A PROJECT TO DEVELOP AND RELEASE A FREELY AVAILABLE PROFESSIONAL LEARNING PARTNER GUIDE TO INFORM SCHOOL SYSTEMS' DECISIONS TO PURCHASE CURRICULUM-BASED PROFESSIONAL LEARNING SERVICES	180,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL REPORTS RECEIVED 8/1/20; 11/1/21	2020-09-30	
SPITFIRE STRATEGIES	1800 M ST NW SUITE 300N WASHINGTON, DC 20036	2018-05-15	200,000	FOR A PROJECT ON CAPACITY BUILDING AND TRAINING IN COMMUNICATIONS FOR NUCLEAR SECURITY EXPERTS. GRANT REMAINS OPEN UNTIL PROGRAM ASSESSMENT IS COMPLETE.	200,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 2/1/19; 2/1/20	2019-10-31	
SPITFIRE STRATEGIES	2300 N STREET SUITE 610 WASHINGTON, DC 20037	2020-08-12	150,000	FOR A PROJECT ON CAPACITY BUILDING AND TRAINING IN COMMUNICATIONS FOR NUCLEAR SECURITY EXPERTS	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	NO REPORTS DUE AS OF 9/30/2020		
STANDARDWORK INC	29 CARTER STREET QUINCY, MA 02169	2020-06-04	200,000	FOR A PROJECT TO EXPAND THE CURRICULUM MATTERS PROFESSIONAL LEARNING NETWORK	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	NO REPORTS DUE AS OF 9/30/2020		
TEACHING CHANNEL	501 MISSION STREET SUITE 105 SAN FRANCISCO, CA 94103	2017-09-07	600,000	FOR A PROJECT TO DESIGN AND FIELD TEST A MODEL FOR ONLINE, VIDEO-BASED PROFESSIONAL LEARNING THAT LEVERAGES THE IMPLEMENTATION OF HIGH QUALITY INSTRUCTIONAL MATERIALS ALIGNED WITH THE NEXT GENERATION SCIENCE STANDARDS (NGSS) AND FURTHER DEVELOP AN ENGAGEMENT STRATEGY WITH TEACHERS VOICE ABOUT NGSS IMPLEMENTATION AT THE CENTER.	600,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 4/1/19; 4/1/19; GRANT CLOSED 3/19/20	2018-12-31	
UNIVISION	8551 NW 30TH TERRACE MIAMI, FL 33122	2020-06-09	30,000	FOR PROJECT SUPPORT OF A TELEVISED SEGMENT TO INFORM FAMILIES OF AVAILABLE EDUCATION-RELATED RESOURCES.FINANCIAL REPORT INDICATES BALANCE OF $53.00. BALANCE OF $53.00 FOLLOWED UP ON 6/21/2021.	29,947	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL REPORT RECEIVED 2/1/21	2020-10-31	
VALENS GLOBAL	3180 CYPRESS POND PASS DULUTH, GA 30097-7718	2018-06-27	50,000	FOR ASSESSING THE THREAT OF NUCLEAR TERRORISM THROUGH AN ACTOR-CENTRIC AND CONTINUOUS-FOCUSED FRAMEWORK. REFUND IN THE AMOUNT OF $3,405.00 PENDING. REFUND REQUESTED 1/7/2021. GRANT WILL REMAIN OPEN UNTIL PROGRAM ASSESSMENT IS COMPLETE AND REFUND RECEIVED.	46,595	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 8/1/19; 8/1/20; 6/1/20	2019-12-31	
WAR ON THE ROCKS MEDIA LLC	80 M ST SE C/O NETWORK WASHINGTON DC, DC 20003	2019-08-20	80,000	FOR A DOCUMENTARY PODCAST ON THE NUCLEAR AGE AND THE WEAPONS IT PRODUCED FUTURE FUNDING PROHIBITED UNLESS REPORTS ARE RECEIVED.	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	REPORTS REQUESTED 1/7/21		
ASSOCIATION OF AFRICAN UNIVERSITIES	AFRICAN UNIVERSITIES HOUSE 11 AVIATION ROAD CORNER PATRICE LUMUMBA/AIRPORT RESI PO BOX, ACCRA-NORTH 2133502 GH	2019-04-22	130,000	FOR A BIENNIAL CONFERENCE OF AFRICAN UNIVERSITY LEADERS, AND MEDIA PROGRAMMING REFUND IN THE AMOUNT OF $374.00 PENDING. REFUND REQUESTED 1/7/2021; REFUND RECEIVED IN THE AMOUNT OF $346.00, RECD 1/20/2021; UPDATED FINANCIAL REQUESTED 1/20/2021.	129,626	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 8/1/20; 8/1/20	2020-04-30	
ATOMIC REPORTERS	BAGASSE 56 GUMPOLDSKIRCHEN 2352 AU	2018-09-10	50,000	FOR A WORKSHOP AND FELLOWSHIPS FOR REPORTERS TO INVESTIGATE NUCLEAR CHALLENGES GRANTEE REQUESTED AN EXTENSION FOR SUBMISSION OF FINAL REPORTS. REPORTS REQUESTED AGAIN 1/7/2021. FUTURE FUNDING PROHIBITED UNLESS REPORTS ARE RECEIVED.	28,890	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 2/1/19	2019-01-31	
BIBLIOTHECA ALEXANDRINA	PO BOX 138 EL CHATBY ALEXANDRIA 526 EG	2018-02-02	25,000	FOR A CONFERENCE ON RESEARCH METHODS AND QUANTITATIVE TECHNIQUES FOR DEVELOPMENT GRANT IS IN THE PROCESS OF BEING CLOSED.	25,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL REPORTS RECEIVED 7/1/19; 6/1/19	2018-12-31	
BLOOMSBURY PUBLISHING	50 BEDFORD SQUARE LONDON WC1B 3DP UK	2020-09-10	155,000	FOR PUBLISHING AND DISSEMINATING CRITICAL GLOBALLY-RELEVANT RESEARCH OF EARLY-CAREER AFRICAN SCHOLARS	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	NO REPORTS DUE AS OF 9/30/2020		
BRITISH AMERICAN SECURITY INFORMATION COUNCIL (BASIC)	THE FOUNDRY 17 OVAL WAY VAUXHALL, LONDON SE11 5RR UK	2019-08-20	160,000	FOR A CONSENSUS-BUILDING EFFORT AROUND PRAGMATIC, SHORT-TERM DISARMAMENT MEASURES	61,810	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	PROGRESS AND FINANCIAL REPORTS RECEIVED 2/1/20	2020-03-31	
CAINE PRIZE FOR AFRICAN WRITING	51 SOUTHWARK STREET LONDON SE1 1RU UK	2019-08-20	100,000	AS A FINAL GRANT FOR THE CAINE PRIZE AND WORKSHOPS THAT SUPPORT TALENTED AFRICAN WRITERS FUTURE FUNDING PROHIBITED UNLESS REPORTS ARE RECEIVED.	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	REPORTS REQUESTED 12/10/19; 6/1/20; 6/4/21		
CARNEGIE DUNFERMLINE TRUST	ABBEY PARK HOUSE/ANDREW CARNEGIE HOUSE ABBEY PARK PLACE PITTENCRIEFF DUNFERMLINE KY12 8AW UK	2019-03-19	42,000	AS A ONE-TIME ONLY GRANT FOR THE INSTALLATION OF THE CARNEGIE MEMORIAL TIFFANY WINDOW IN THE DUNFERMLINE ABBEY GRANTEE SUBMITTED FINAL REPORTS EARLY. GRANT REMAINS OPEN UNTIL PROGRAM ASSESSMENT IS COMPLETE.	42,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL REPORTS RECEIVED 2/1/20	2020-01-31	
CLIMATE RESEARCH FOUNDATION	HVASSALEITI 111 REYKJAVIK 103 IC	2012-04-04	500,000	FOR THE DEVELOPMENT OF NEW AVENUES OF COOPERATION IN THE ARCTIC AND THE HIMALAYAS, IN THE INTEREST OF INTERNATIONAL PEACE AND SECURITY RETROACTIVE EXTENSION TO 3/31/2020 APPROVED 12/23/2020. GRANT REMAINS OPEN UNTIL PROGRAM ASSESSMENT IS COMPLETE.	500,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL REPORTS RECEIVED 2/1/18; 2/1/17; 2/1/18; 4/1/19; 1/1/20	2020-03-31	
COVE PICTURES	16 CARLISLE ST LONDON W1D 3BT UK	2019-03-25	75,000	AS A ONE-TIME GRANT FOR THE FILM PROJECT, THE BADASS LIBRARIANS OF TIMBUCTU UPDATED FINANCIAL REPORT REQUESTED 1/21/2021. EXTENSION REQUEST IS FORTHCOMING.	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL REPORTS RECEIVED 8/1/20	2020-06-30	
EUROPEAN LEADERSHIP NETWORK	SUITE 7 SOUTHBANK HOUSE BLACK PRINCE ROAD LONDON SE1 7SJ UK	2017-06-01	600,000	FOR A PROJECT ON RUSSIA AND THE WEST GRANT CLOSED 5/27/2020	600,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL REPORTS RECEIVED 8/1/18; 10/1/19;	2019-06-30	
FONDATION ENTREPRENDRE	LA FILATURE 32 RUE DU FAUBOURG POISSONNIERE PARIS 75010 FR	2019-04-23	25,000	FOR SUPPORT OF A CONFERENCE ON CHALLENGES TO DEMOCRACIES AROUND THE WORLD AND THE FUTURE OF THE NATION-STATE GRANT IS IN THE PROCESS OF BEING CLOSED.	25,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL REPORTS RECEIVED 6/1/20	2019-12-31	
INTERNATIONAL SCHOOL ON DISARMAMENT AND RESEARCH ON CONFLICTS (ISODARCO)	VIA DELLA ROTONDA 4 ROME 00186 IT	2017-05-02	120,000	FOR TWO ADVANCED COURSES ON THE IMPACT OF NEW AND EMERGING TECHNOLOGIES ON THE NUCLEAR RISK GRANT REMAINS OPEN UNTIL PROGRAM ASSESSMENT IS COMPLETE.	120,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL REPORTS RECEIVED 2/1/18; 6/1/18; 8/1/19	2019-04-30	
INTERNATIONAL SCHOOL ON DISARMAMENT AND RESEARCH ON CONFLICTS (ISODARCO)	VIA DELLA ROTONDA 4 ROME 00186 IT	2019-09-12	150,000	FOR TWO ADVANCED COURSES ON ARMS CONTROL AND NUCLEAR RISK	76,400	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL REPORTS RECEIVED 2/1/20	2020-01-29	
JORDAN MEDIA INSTITUTE	PO BOX 3387 AMMAN 11821 JO	2019-08-12	200,000	FOR A PROJECT ON MEDIA EDUCATION AND TRAINING	15,711	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL REPORTS RECEIVED 2/1/20	2020-02-03	
ONTARIO CONFEDERATION OF UNIVERSITY FACULTY ASSOCIATIONS	17 ISABELLA STREET TORONTO ON M4Y 1N6 CA	2018-07-21	30,000	FOR WORLDVIEWS 2019 CONFERENCE ON THE DEMOCRATIZATION OF HIGHER EDUCATION AND THE MEDIA GRANT CLOSED 2/4/2020	30,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL REPORTS RECEIVED 8/1/18; 8/1/19; 1/1/20	2019-09-30	
RIDGEWAY INFORMATION LTD	134 HIGH HOLBORN LONDON WC1V 6PX UK	2018-06-14	600,000	FOR RESEARCH ON GLOBAL NONPROLIFERATION ISSUES AND DEVELOPMENT OF METHODOLOGIES TO USE MULTIMEDIA OPEN SOURCES IN SUPPORT OF THE INTERNATIONAL ATOMIC ENERGY AGENCY'S DEPARTMENT OF SAFEGUARDS	534,300	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL REPORTS RECEIVED 5/1/19; 5/1/20	2020-05-04	
RIDGEWAY INFORMATION LTD	136 HIGH HOLBORN LONDON WC1V 6PX UK	2020-06-04	400,000	AS A FINAL GRANT FOR OPEN-SOURCE MULTIMEDIA ANALYSIS IN SUPPORT OF NUCLEAR NONPROLIFERATION	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	NO REPORTS DUE AS OF 9/30/2020		
UNIVERSITY OF DAR ES SALAAM	PO BOX 35091 DAR ES SALAAM TZ	2016-06-17	50,000	AS A ONE-TIME ONLY GRANT FOR PARTICIPATION OF AFRICAN EARLY-CAREER ACADEMICS IN A CONTINENTAL CONFERENCE GRANT REMAINS OPEN UNTIL PROGRAM ASSESSMENT IS COMPLETE.	50,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL REPORTS RECEIVED 10/1/20	2020-06-30	
UNIVERSITY OF KWAZULU-NATAL	UNIVERSITY ROAD CHILTERN HILLS WESTVILLE 3629 DURBAN 3629 SF	2008-09-11	1,984,643	FINAL GRANT TOWARD A LEADERSHIP AND EQUITY ADVANCEMENT PROGRAM PROGRESS AND FINANCIAL REPORTS RECEIVED 2/1/10, 2/1/11, 4/1/12, 2/1/13, 4/1/14, 4/1/15, 9/1/16 REPORTS REQUESTED 6/28/2017; 7/26/2017; 8/24/2017; 10/4/2017; 2/8/2018; 4/5/2018; 10/17/2018; 10/24/2019; 12/11/2019; 1/7/2020; 1/21/2020; 2/4/2020; 2/26/2021. FUTURE FUNDING PROHIBITED UNLESS REPORTS ARE RECEIVED.	1,968,373	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL REPORTS RECEIVED 2/1/14; 2/1/14; 4/1/15; 4/1/16; 2/1/18	2016-07-30	
UNIVERSITY OF KWAZULU-NATAL	UNIVERSITY ROAD CHILTERN HILLS WESTVILLE 3629 DURBAN 3629 SF	2013-07-29	49,900	FOR A STUDY ON HOW EARLY-CAREER AFRICAN ACADEMICS ARE LEARNING TO TEACH GRANTEE REQUESTED AN EXTENSION TO 12/31/2021. FORMAL APPROVAL ON HOLD UNTIL REQUESTED REPORTS ARE RECEIVED.	37,370	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	PROGRESS & FINANCIAL REPORTS RECEIVED 2/1/14; 2/1/14; 4/1/15; 4/1/16; 2/1/18	2019-12-31	
UNIVERSITY WORLD NEWS (AFRICA)	52 BOWHILL LODGE 16 GORDON ROAD MOWBRAY 7700 CAPE TOWN SF	2018-05-11	200,000	FOR SUPPORT OF UNIVERSITY WORLD NEWS (AFRICA) HIGHER EDUCATION EDITORIAL PROJECTS	200,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	PROGRESS & FINANCIAL REPORTS RECEIVED 5/1/20; 5/1/20; GRANT CLOSED 11/16/20.	2019-11-30	
UNIVERSITY WORLD NEWS (AFRICA)	52 BOWHILL LODGE 16 GORDON ROAD MOWBRAY 7700 CAPE TOWN SF	2020-03-05	345,000	FOR SUPPORT OF UNIVERSITY WORLD NEWS (AFRICA) HIGHER EDUCATION EDITORIAL PROJECTS	29,376	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	PROGRESS REPORT RECEIVED 6/1/20; 6/1/20; GRANT CLOSED	2020-07-13	

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Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Identifier	Return Reference	Explanation
FOUNDATION MANAGERS & THEIR COMPENSATION INFORMATION	FORM 990-PF, PART VIII, LINE 1	LEYMAH GBOWEE, JEAN-MARIE GUEHENNO AND MARTHA MINOW BEGAN THEIR TERMS OF SERVICE AT THE JUNE 4, 2020 BOARD MEETING. EDWARD DJEREJIAN COMPLETED HIS TERM OF SERVICES AT THE DECEMBER 5, 2019 BOARD MEETING AND ANN CLAIRE WILLIAMS COMPLETED HER TERM OF SERVICE AT THE MARCH 5, 2020 BOARD MEETING.
SUMMARY OF DIRECT CHARITABLE ACTIVITIES	FORM 990-PF, PART IX-A	CARNEGIE CORPORATION OF NEW YORK (CC) WAS FOUNDED IN 1911 FOR THE ADVANCEMENT AND DIFFUSION OF KNOWLEDGE AND UNDERSTANDING. CC FULFILLS ITS CHARTER PRIMARILY BY GIVING GRANTS TO ORGANIZATIONS IN THE PROGRAM AREAS SELECTED BY ITS PRESIDENT AND BOARD OF TRUSTEES. (SEE STATEMENT 24 FOR IDENTIFICATION OF THE CURRENT AREAS OF CONCENTRATION.) IN ADDITION TO MAKING GRANTS, CC ENCOURAGES STAFF MEMBERS TO GIVE FREELY OF THEIR TIME TO ASSIST OTHER NON PROFIT ORGANIZATIONS AND GOVERNMENTAL AGENCIES INVOLVED IN PUBLIC SERVICE. THIS IS ACCOMPLISHED THROUGH SERVICE ON BOARDS AND ADVISORY COMMITTEES OF OTHER INSTITUTIONS, TECHNICAL ASSISTANCE, RESEARCH, PUBLICATION AND DISSEMINATION OF EDUCATIONAL MATERIALS AND THE CONDUCT OF EDUCATIONAL CONFERENCES, MEETINGS AND SEMINARS.TECHNICAL ASSISTANCE TO GRANTEES AND OTHER CHARITABLE ORGANIZATIONS: $962,444THIS AMOUNT REPRESENTS SALARY AND OVERHEAD ALLOCATIONS OF PROGRAM STAFF FOR TIME SPENT ADVISING GRANTEES AND OTHER CHARITABLE ORGANIZATIONS ON A VARIETY OF SUBJECTS INCLUDING, BUT NOT LIMITED TO, OBTAINING ADDITIONAL FUNDING FROM OTHER SOURCES, REDUCING OPERATING EXPENSES, COMPLYING WITH GOVERNMENT REGULATION, AND MAINTAINING ACCURATE FINANCIAL RECORDS.CONDUCTING CONFERENCES AND MEETINGS: $73,494CC CONDUCTS SEVERAL MEETINGS PER YEAR IN EACH OF ITS PROGRAM AREAS THAT BRING TOGETHER PARTICIPANTS FROM VARIOUS ACADEMIC DISCIPLINES, AND THE PUBLIC AND PRIVATE SECTORS, TO EXCHANGE IDEAS AND FORMULATE SOLUTIONS OR METHODS OF ARRIVING AT SOLUTIONS TO PROBLEMS IN THEIR AREA OF EXPERTISE. THE MEETINGS BENEFIT THESE INDIVIDUALS AND ULTIMATELY THE COMMUNITIES THEY SERVE.PUBLICATION AND DISSEMINATION OF EDUCATIONAL MATERIALS: $4,651,815ARTICLES, BOOKS AND OTHER READING MATERIAL, GENERATED FROM THE MEETINGS MENTIONED ABOVE, FROM CARNEGIE-SUPPORTED GRANTEES AND CARNEGIE STAFF, ARE REGULARLY PRODUCED AND DISTRIBUTED BY CC TO THE GENERAL PUBLIC AND TO THOSE INDIVIDUALS MOST DIRECTLY INTERESTED IN THEIR CONTENTS. THE CORPORATION MAINTAINS A FILE OF NAMES AND ADDRESSES TO FACILITATE THE DISSEMINATION PROGRAM. OTHER: $263,298THE MAJORITY OF THIS CATEGORY REPRESENTS THE ALLOCATION OF SALARIES, BENEFITS, AND OVERHEAD FOR STAFF WHO CONTRIBUTE PORTIONS OF THEIR TIME TO THE ADMINISTRATION OF CORPORATION-SPONSORED ACTIVITY: FOR CATALYZING INTEGRATED APPROACHES TO INNOVATION IN EDUCATION REFORM AND DEVELOPING NEW APPROACHES TO LEARNING AND CONTINUOUS IMPROVEMENT AND THE PROGRAM DISSEMINATION FUND. SERVICE ON BOARDS OR ADVISORY COMMITTEES: $439,681THE AMOUNT REPRESENTS THE COST TO SUPPORT THE SERVICE OF THE CORPORATION'S STAFF ON BOARDS OR ADVISORY COMMITTEES OF OTHER CHARITABLE ORGANIZATIONS.TOTAL DIRECT CHARITABLE ACTIVITIES: $6,390,732
SUPPLEMENTARY INFORMATION, LINES 2A, B, D	FORM 990-PF, PART XV	THE CHARTER OF THE CORPORATION PROVIDES THAT ALL FUNDS ARE TO BE USED TO PROMOTE "THE ADVANCEMENT AND DIFFUSION OF KNOWLEDGE AND UNDERSTANDING." GRANTS MUST BE BROADLY EDUCATIONAL IN NATURE, BUT THEY NEED NOT BE LIMITED TO THE FORMAL EDUCATIONAL SYSTEM OR TO EDUCATIONAL INSTITUTIONS. THE FOUNDATION MAKES IT A POLICY TO SELECT A FEW AREAS IN WHICH TO CONCENTRATE ITS GRANTS OVER A PERIOD OF YEARS. THE CORPORATION CURRENTLY OPERATES GRANT PROGRAMS IN FOUR BROAD AREAS. THE FOUR AREAS ARE EDUCATION, INTERNATIONAL PEACE AND SECURITY, INTERNATIONAL DEVELOPMENT, AND STRENGTHENING U.S. DEMOCRACY. THE CORPORATION ACCEPTS REQUESTS FOR FUNDING AT ALL TIMES OF THE YEAR. THERE ARE NO APPLICATION DEADLINES.GRANT DECISIONS ARE BASED PRIMARILY ON THE INFORMATION PROVIDED BY GRANTSEEKERS IN THE CORPORATION'S OWN DETAILED PROPOSALS FORMATS. ONLY PROPOSALS PRESENTED IN THE CORPORATION'S FORMAT WILL BE CONSIDERED FOR FUNDING. GRANTSEEKERS WHO WOULD LIKE TO APPROACH THE FOUNDATION WITH A PRELIMINARY REQUEST FOR FUNDING ARE ENCOURAGED TO SUBMIT A LETTER OF INQUIRY. IF THE PROJECT DESCRIBED IN THE LETTER FITS THE FOUNDATION'S GUIDELINES, THE SENDER WILL BE CONTACTED AND ASKED TO SUBMIT A PROPOSAL IN THE CORPORATION'S FORMAT. A REQUEST TO SUBMIT A PROPOSAL IS NOT AN INDICATION OF THE CORPORATION'S INTENTION OR COMMITMENT TO AWARD A GRANT.A LETTER OF INQUIRY FORM REQUESTING INFORMATION ABOUT THE PROJECT, ITS AIMS, ITS SIGNIFICANCE, ITS DURATION AND THE AMOUNTS OF FUNDS REQUIRED CAN BE FOUND AND SUBMITTED VIA THE CARNEGIE CORPORATION OF NEW YORK'S ONLINE GRANTS PORTAL HTTPS://CARNEGIE.FLUXX.IO. QUESTIONS CAN BE ADDRESSED TO NICOLE HOWE BUGGS, CHIEF ADMINISTRATIVE OFFICER AND CORPORATE SECRETARY, GRANTSINFO@CARNEGIE.ORG, 437 MADISON AVENUE, NEW YORK, NY 10022. HER TELEPHONE NUMBER IS (212) 371-3200.THE CORPORATION DOES NOT MAKE GRANTS FOR CONSTRUCTION OR MAINTENANCE OF FACILITIES OR ENDOWMENTS. IT DOES NOT MAKE PROGRAM-RELATED INVESTMENTS.THE CORPORATION DOES NOT GENERALLY MAKE GRANTS TO INDIVIDUALS. THE CORPORATION USUALLY CONSIDERS ONLY THOSE PROJECTS THAT HAVE THE POTENTIAL FOR WIDE-SPREAD NATIONAL OR INTERNATIONAL IMPACT. IT DOES NOT GENERALLY MAKE GRANTS TO LOCAL OR REGIONAL COMMUNITY SERVICE ORGANIZATIONS.
NAME OF FOREIGN COUNTRY FILING FBAR	FORM 990-PF, PART VII-A, LINE 16	CAYMAN ISLANDS, CHILE, GHANA, GREECE, MAURITIUS, POLAND, SOUTH KOREA, TUNISIA, TURKEY

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Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Name of Bond	End of Year Book Value	End of Year Fair Market Value
343921220 JP MORGAN US TRE PLUS INS 3918	342,443,266	342,443,266
40179134 DREYFUS TREASURY SECURITIES CM	40,179,134	40,179,134
5838061 TRADE DATE CASH	5,923,480	5,923,480

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Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Name of Stock	End of Year Book Value	End of Year Fair Market Value
13980 ADVANCE AUTO PARTS INC	2,145,930	2,145,930
134090 ALEXANDER & BALDWIN INC	1,503,149	1,503,149
1092 ALPHABET INC-CL A	1,600,435	1,600,435
85935 AMERICAN INTERNATIONAL GROUP I	2,365,791	2,365,791
27990 CARDINAL HEALTH INC	1,314,131	1,314,131
17850 CHUBB LTD	2,072,741	2,072,741
80120 CORTEVA INC	2,308,257	2,308,257
13130 DEERE & CO	2,910,002	2,910,002
44433 DOW INC	2,090,573	2,090,573
26620 DUPONT DE NEMOURS INC	1,476,878	1,476,878
83520 GENERAL MOTORS CO	2,471,357	2,471,357
18720 JB HUNT TRANSPORT SERVICES INC	2,365,834	2,365,834
29270 ORACLE CORP	1,747,419	1,747,419
44175 ORTHOFIX MEDICAL INC	1,375,610	1,375,610
54020 PFIZER INC	1,982,533	1,982,533
37640 SYSCO CORP	2,341,961	2,341,961
61130 TERADATA CORP	1,387,651	1,387,651
2339 MICROSOFT CORP	491,962	491,962
16483 PAGERDUTY INC	446,854	446,854
23859 POTBELLY CORP	90,425	90,425
105283 SPARK NETWORKS SE	514,834	514,834
3292 ZOOM VIDEO COMMUNICATIONS INC	1,547,602	1,547,602
2 KINDER MORGAN INC	25	25
TIAA CREF MUTUAL FUNDS	206,055	206,055
DUE FROM BROKER	63,383,130	63,383,130

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Name: CARNEGIE CORPORATION OF NEW YORK
EIN: 13-1628151

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
AQUILO CAPITAL OFFSHORE	FMV	24,998,916	24,998,916
BLACKENY (CC DEVELOPMENT PARTNERS)	FMV	296,495	296,495
BLS GLOBAL EQUITY LTD (CAYMAN)	FMV	31,691,188	31,691,188
CEPHEI CAPITAL A-SHARE FUND	FMV	85,789,752	85,789,752
DYNAMO BRASIL FUND VIII	FMV	35,140,365	35,140,365
CHISGO ASSET MANAGEMENT/CHISGO JAPAN B	FMV	15,156,068	15,156,068
LONE CASCADE	FMV	170,343,555	170,343,555
MAKARA INVESTORS	FMV	41,108,471	41,108,471
SIXON LIGHTHOUSE FUND	FMV	62,882,549	62,882,549
NEW VERNON INDIA FUND II	FMV	1,646,274	1,646,274
NINE TEN PARTNERSHIP FUND	FMV	68,090,770	68,090,770
PARVUS EUROPEAN OPPORTUNITIES FUND	FMV	99,913,206	99,913,206
SILCHESTER INTL	FMV	136,028,023	136,028,023
SQN FRONTIER AFRICA FUND	FMV	2,619,078	2,619,078
SQN FRONTIER MEDICAL FUND	FMV	880,402	880,402
SQUADRA EQUITY FUND LTD	FMV	56,084,345	56,084,345
STEINBERG INDIA EMERGING OPP FUND	FMV	23,735,103	23,735,103
STONE FORREST CAPITAL	FMV	53,547,313	53,547,313
TARPON ALL EQUITIES FUND	FMV	4,002,318	4,002,318
ACCEL V	FMV	3,120,076	3,120,076
ACCEL XI	FMV	2,245,645	2,245,645
ACCEL XII	FMV	6,646,742	6,646,742
ACCEL XIII	FMV	3,729,027	3,729,027
ACCEL XIV	FMV	2,133,589	2,133,589
ACCEL GROWTH FUND I	FMV	6,920,948	6,920,948
ACCEL GROWTH FUND III	FMV	6,050,574	6,050,574
ACCEL GROWTH FUND IV	FMV	13,435,929	13,435,929
ACCEL GROWTH FUND V	FMV	4,831,523	4,831,523
ACCEL LEADERS FUND IV	FMV	8,944,945	8,944,945
ACCEL LEADERS FUND VI II	FMV	2,166,136	2,166,136
CATRAY CAPITAL HOLDINGS II	FMV	375,538	375,538
CDH VENTURE PARTNERS	FMV	485,806	485,806
CDH VENTURE PARTNERS II	FMV	6,755,978	6,755,978
EMERGENCE CAPITAL PARTNERS II	FMV	11,549,963	11,549,963
EMERGENCE CAPITAL PARTNERS IV	FMV	5,964,236	5,964,236
EMERGENCE CAPITAL PARTNERS V	FMV	2,693,548	2,693,548
H CAPITAL III	FMV	9,498,399	9,498,399
H CAPITAL IV	FMV	20,272,917	20,272,917
H CAPITAL V	FMV	16,379,918	16,379,918
HELION VENTURE PARTNERS	FMV	1,650,491	1,650,491
HELION VENTURE PARTNERS III	FMV	11,539,731	11,539,731
HELION VENTURE PARTNERS III	FMV	3,831,420	3,831,420
IDG-ACCEL CHINA CAPITAL	FMV	5,783,307	5,783,307
IDG-ACCEL CHINA CAPITAL II	FMV	9,709,036	9,709,036
IDG-ACCEL CHINA GROWTH FUND II	FMV	17,232,064	17,232,064
IDG-ACCEL CHINA GROWTH FUND III	FMV	12,804,591	12,804,591
KEYTONE VENTURES	FMV	2,818,384	2,818,384
KEYTONE VENTURES II	FMV	12,180,750	12,180,750
MATRIX PARTNERS CHINA I	FMV	6,106,469	6,106,469
MATRIX PARTNERS IX	FMV	5,826,719	5,826,719
MOHR DAVIDOW VENTURES IX	FMV	4,309,017	4,309,017
NORWEST VENTURE CHINA TMT FUND II	FMV	87,712,494	87,712,494
NEXUS INDIA CAPITAL II	FMV	12,574,393	12,574,393
NEXUS VENTURES III	FMV	12,760,036	12,760,036
NEXUS FUND XIV	FMV	7,534,359	7,534,359
NEXUS VENTURES V	FMV	5,374,625	5,374,625
NEXUS OPPORTUNITY FUND	FMV	2,929,987	2,929,987
NEXUS OPPORTUNITY FUND II	FMV	3,331,906	3,331,906
SEQUOIA CAPITAL XVI	FMV	21,738,625	21,738,625
SEQUOIA CAPITAL (US) XIV	FMV	11,919,153	11,919,153
SEQUOIA CAPITAL (US) XVI	FMV	584,299	584,299
SEQUOIA CAPITAL CHINA I	FMV	6,472,105	6,472,105
SEQUOIA CAPITAL CHINA II	FMV	13,904,292	13,904,292
SEQUOIA CAPITAL CHINA VII	FMV	1,031,472	1,031,472
SEQUOIA CAPITAL CHINA VIII	FMV	101,319	101,319
SEQUOIA CAPITAL CHINA GROWTH 2010	FMV	11,643,959	11,643,959
SEQUOIA CAPITAL CHINA GROWTH FUND I	FMV	6,106,401	6,106,401
SEQUOIA CAPITAL CHINA GROWTH FUND II	FMV	2,293,863	2,293,863
SEQUOIA CAPITAL CHINA GROWTH FUND VI	FMV	286,192	286,192
SEQUOIA CAPITAL CHINA SEED FUND I	FMV	770,255	770,255
SEQUOIA CAPITAL CHINA SEED FUND II	FMV	46,006	46,006
SEQUOIA CAPITAL CHINA VENTURE 2010	FMV	10,153,271	10,153,271
SEQUOIA CAPITAL GLOBAL GROWTH	FMV	14,188,684	14,188,684
SEQUOIA CAPITAL GLOBAL GROWTH II	FMV	27,308,538	27,308,538
SEQUOIA CAPITAL INDIA III	FMV	2,797,629	2,797,629
SEQUOIA CAPITAL INDIA GROWTH FUND I	FMV	736,782	736,782
SEQUOIA CAPITAL INDIA GROWTH FUND II	FMV	10,385,280	10,385,280
SEQUOIA CAPITAL US SCOUT SEED FUND III	FMV	676,952	676,952
SEQUOIA CAPITAL US VENTURE 2010	FMV	25,262,868	25,262,868
SEQUOIA CAPITAL GROWTH FUND IV	FMV	4,957,917	4,957,917
SEQUOIA CAPITAL US GROWTH FUND IV	FMV	14,859,838	14,859,838
SEQUOIA CAPITAL US GROWTH FUND V	FMV	4,314,938	4,314,938
SEQUOIA CAPITAL US GROWTH FUND VI	FMV	5,550,481	5,550,481
SEQUOIA CAPITAL US GROWTH FUND VII	FMV	7,714,539	7,714,539
SEQUOIA CAPITAL US GROWTH FUND VIII	FMV	3,256,958	3,256,958
WESTBRIDGE VENTURES II	FMV	1,348,653	1,348,653
W I HARPER INC FUND VI LTD	FMV	4,482,331	4,482,331
ABBY PARTNERS IV	FMV	49,251	49,251
ABBY PARTNERS V	FMV	19,099	19,099
ABBY PARTNERS VI	FMV	425,442	425,442
ABBY PARTNERS VII	FMV	3,405,565	3,405,565
ABBY PARTNERS IX	FMV	10,598,610	10,598,610
ABBY PARTNERS X	FMV	7,212,122	7,212,122
ABRY HERITAGE PARTNERS	FMV	3,140,775	3,140,775
ABRY SENIOR EQUITY IV	FMV	880,924	880,924
ABRY SENIOR EQUITY V	FMV	2,304,991	2,304,991
ALPINE INVESTORS III	FMV	3,768,781	3,768,781
ALPINE INVESTORS V	FMV	25,040,332	25,040,332
AMERICAN SECURITIES V	FMV	42,827	42,827
ANGELES EQUITY PARTNERS	FMV	5,838,279	5,838,279
ARCLINE CAPITAL PARTNERS LP I	FMV	6,819,636	6,819,636
BAIN CAPITAL FUND IX	FMV	2,268,954	2,268,954
BAIN CAPITAL FUND VIII	FMV	16,170	16,170
BAIN CAPITAL FUND VIII-E	FMV	37,628	37,628
BAIN CAPITAL IX	FMV	727,373	727,373
BAIN CAPITAL X	FMV	1,170,574	1,170,574
BAIN ASIA FUND II	FMV	3,332,739	3,332,739
BAIN CAPITAL EUROPE FUND III	FMV	1,740,801	1,740,801
BERKSHIRE FUND VI	FMV	2,227,137	2,227,137
BERKSHIRE FUND VII	FMV	719,125	719,125
BERKSHIRE FUND VIII	FMV	11,424,608	11,424,608
BERKSHIRE FUND IX	FMV	10,377,057	10,377,057
BERKSHIRE FUND IX CO-INVEST	FMV	1,535,062	1,535,062
CDH CHINA FUND III	FMV	391,796	391,796
CDH CHINA FUND IV	FMV	3,002,743	3,002,743
CDH CHINA FUND V	FMV	11,694,876	11,694,876
CITIC CAPITAL CHINA PARTNERS	FMV	1,642,113	1,642,113
CITIC CAPITAL CHINA PARTNERS II	FMV	2,240,012	2,240,012
CS CAPITAL PARTNERS III	FMV	225,363	225,363
FLEXPOINT FORD FUND III	FMV	6,303,157	6,303,157
FLEXPOINT FUND III	FMV	18,957,668	18,957,668
FLEXPOINT/FLEXPOINT OVERAGE FUND IV	FMV	608,964	608,964
FLEXPOINT SPECIAL ASSETS FUND	FMV	3,568,916	3,568,916
FRANCISCO ALGERTY PARTNERS	FMV	11,149,343	11,149,343
FRANCISCO ALGERTY PARTNERS II	FMV	265,673	265,673
FRANCISCO PARTNERS IV	FMV	22,989,763	22,989,763
FRANCISCO PARTNERS V	FMV	12,493,039	12,493,039
GOLDEN GATE CAPITAL II/SIA	FMV	524,120	524,120
GGC INVESTMENT ANNEX FUND II	FMV	90,952	90,952
GOLDEN GATE CAPITAL OPPORTUNITY FUND	FMV	15,139,482	15,139,482
INDUSTRIAL GROWTH PARTNERS IV	FMV	1,712,868	1,712,868
INDUSTRIAL GROWTH PARTNERS V	FMV	8,288,936	8,288,936
KALAN FUND II (TAIWAN)	FMV	32,154,478	32,154,478
LUMINATE CAPITAL PARTNERS II	FMV	9,524,929	9,524,929
MASON WELLS FUND IV	FMV	17,150,751	17,150,751
MR HOLDCO(MR CAPITAL)	FMV	5,057,619	5,057,619
STRATUS CAPITAL PARTNERS III-BETA	FMV	7,281,865	7,281,865
SYCAMORE PARTNERS	FMV	12,442,235	12,442,235
SYCAMORE PARTNERS II	FMV	11,801,007	11,801,007
SYCAMORE PARTNERS III	FMV	2,418,714	2,418,714
TIGER GLOBAL PARTNERS V	FMV	4,069,029	4,069,029
ACADIA STRATEGIC OPPS FUND II	FMV	15,435,397	15,435,397
ACADIA STRATEGIC OPPS FUND III	FMV	1,632,690	1,632,690
ACADIA STRATEGIC OPPS FUND IV	FMV	4,913,996	4,913,996
ACADIA STRATEGIC OPPS FUND V	FMV	5,338,272	5,338,272
AVANTI INVESTORS III	FMV	1	1
AVANTI INVESTORS IV	FMV	2,064,135	2,064,135
AVANTI INVESTORS V	FMV	11,304,334	11,304,334
AVANTI INVESTORS VI	FMV	16,502,951	16,502,951
AVANTI INVESTORS VIII	FMV	10,163,988	10,163,988
BALAN KEN II (TAIWAN/WESTERN)	FMV	494,378	494,378
BROOKDALE INVESTORS V	FMV	1,625,467	1,625,467
BROOKDALE INVESTORS VII	FMV	5,774,835	5,774,835
BROOKDALE INVESTORS VIII	FMV	682,861	682,861
CP INVESTMENT FUND II (CARMEL)	FMV	160,382	160,382
CP-CARMEL PARTNERS III	FMV	3,122,450	3,122,450
CP-CARMEL PARTNERS IV	FMV	10,976,318	10,976,318
CP-CARMEL PARTNERS V	FMV	9,818,398	9,818,398
CP-CARMEL PARTNERS VII	FMV	467,100	467,100
EQUITEC REAL ESTATE CAP CAP FUND V	FMV	3,879,504	3,879,504
FPA APARTMENT OPPORTUNITY FUND IV	FMV	985,239	985,239
FPA APARTMENT OPPORTUNITY FUND V-A	FMV	4,373,414	4,373,414
FPA APARTMENT OPPORTUNITY FUND VI-A	FMV	18,278,966	18,278,966
FPA APARTMENT OPPORTUNITY FUND VII-A	FMV	2,995,692	2,995,692
GREEN COURTE R. E. PARTNERS	FMV	1,939,199	1,939,199
GREEN COURTE R. E. PARTNERS II	FMV	1,511,100	1,511,100
GREEN COURTE R. E. PARTNERS III	FMV	13,017,798	13,017,798
GREEN OAK EUROPE II	FMV	6,933,992	6,933,992
HAMPSHIRE PARTNERS REIT VEI	FMV	4,366,085	4,366,085
HAMPSHIRE PARTNERS REIT VII	FMV	1,119,992	1,119,992
JBG INVESTMENT FUND VIII	FMV	2,878,121	2,878,121
JBG INVESTMENT FUND VIII	FMV	2,239,038	2,239,038
JBG INVESTMENT FUND IX	FMV	1,381,109	1,381,109
LBA LOGISTICS VALUE FUND VIII	FMV	2,113,298	2,113,298
LBA REALTY FUND	FMV	758,277	758,277
LBA REALTY FUND II	FMV	6,369,884	6,369,884
LBA REALTY FUND III	FMV	15,436,043	15,436,043
LBA REALTY FUND IV	FMV	2,856,769	2,856,769
LBA REALTY FUND V	FMV	5,000,868	5,000,868
LBA REALTY FUND VI	FMV	8,648,226	8,648,226
MIKH REALTY V	FMV	646,188	646,188
MAVERICK REAL ESTATE FUND VI	FMV	7,547,798	7,547,798
MADISON INTL RE LIQUIDITY FUND V	FMV	1,185,533	1,185,533
MERLONE GEIER PARTNERS	FMV	5,666,758	5,666,758
MERLONE GEIER PARTNERS IX	FMV	6,611,661	6,611,661
MERLONE GEIER PARTNERS X	FMV	6,904,697	6,904,697
MERLONE GEIER PARTNERS XI	FMV	5,287,547	5,287,547
MERLONE GEIER PARTNERS XII	FMV	4,803,080	4,803,080
NORTHWOOD RE CO INVEST - SERIES I	FMV	4,193,028	4,193,028
NORTHWOOD RE CO INVEST - SERIES II	FMV	2,104,893	2,104,893
NORTHWOOD RE PARTNERS - SERIES I	FMV	6,234,944	6,234,944
NORTHWOOD RE PARTNERS - SERIES II	FMV	8,284,234	8,284,234
NORTHWOOD RE PARTNERS - SERIES VII	FMV	4,435,318	4,435,318
REALTY FINANCIAL PARTNERS IX	FMV	290,731	290,731
REALTY FINANCIAL PARTNERS IV	FMV	436,986	436,986
SFF REALTY FUND III	FMV	9,406,969	9,406,969
SRI EQUITY REIT	FMV	30,113	30,113
SRI EQUIT REIT	FMV	20,838,473	20,838,473
SRI LEN I	FMV	1,495,236	1,495,236
SRI ELEVEN REIT	FMV	5,663,867	5,663,867
TALCOTT REALTY III	FMV	10,882	10,882
WOODBOURNE CANADA PARTNERS II	FMV	3,300,630	3,300,630
WOODBOURNE CANADA PARTNERS III	FMV	7,288,469	7,288,469
WOODBOURNE CANADA PARTNERS IV	FMV	4,930,504	4,930,504
BLACK BAY ENERGY LP	FMV	8,253,152	8,253,152
CANNELLAN ENERGY CAPITAL LP	FMV	1,068,184	1,068,184
CANNELLAN ENERGY CAPITAL II LP	FMV	6,062,425	6,062,425
CANNELLAN ENERGY CAPITAL III LP	FMV	415,706	415,706
DENHAM IV CONTINUATION FUND	FMV	910,939	910,939
DENHAM COMMODITY PARTNERS V	FMV	1,159,475	1,159,475
DENHAM COMMODITY PARTNERS VI	FMV	3,524,304	3,524,304
DENHAM MINING FUND LP	FMV	7,771,342	7,771,342
ENERGY CAPITAL PARTNERS IV	FMV	467,633	467,633
ENERGY CAPITAL MEZZANINE OPP	FMV	1,387,783	1,387,783
ENERGY CAPITAL PARTNERS III	FMV	13,372,172	13,372,172
ENERGY CAPITAL PARTNERS V-A	FMV	3,307,609	3,307,609
EMG FUND II OFFSHORE	FMV	8,949,243	8,949,243
EMG FUND III	FMV	4,753,500	4,753,500
GREY ROCK ENERGY FUND II-B	FMV	13,681,386	13,681,386
GREY ROCK ENERGY FUND III-B	FMV	11,136,956	11,136,956
LINK ROCK PARTNERS IV	FMV	36,935	36,935
LINK ROCK PARTNERS IV AF	FMV	7,606,792	7,606,792
LINK ROCK PARTNERS V	FMV	1,620,070	1,620,070
LINK ROCK PARTNERS VII	FMV	15,737,606	15,737,606
LINK ROCK PARTNERS VIII	FMV	9,925,206	9,925,206
MERIT ENERGY PARTNERS CANADA	FMV	5,138,133	5,138,133
MERIT ENERGY PARTNERS E-I	FMV	143,215	143,215
MERIT ENERGY PARTNERS G	FMV	3,512,711	3,512,711
MERIT ENERGY PARTNERS I	FMV	14,424,678	14,424,678
MERIT ENERGY PARTNERS J	FMV	8,736,436	8,736,436
OLD IRONSIDES ENERGY FUND II-B	FMV	7,027,204	7,027,204
OLD IRONSIDES ENERGY FUND III	FMV	3,869,523	3,869,523
RESOURCE CAPITAL FUND IV	FMV	1,393,788	1,393,788
RESOURCE CAPITAL FUND VI	FMV	5,132,665	5,132,665
SCF-V	FMV	97,943	97,943
SCF-VI	FMV	780,151	780,151
SCF-VII	FMV	2,410,426	2,410,426
SCF-VIII	FMV	6,310,569	6,310,569
SCF-IX	FMV	5,852,901	5,852,901
SCF-X	FMV	157,305	157,305
BAUPOST VALUE PARTNERS	FMV	3,645,144	3,645,144
BAUPOST VALUE PARTNERS	FMV	123,419,899	123,419,899
BARBERRY OFFSHORE LIMITED	FMV	15,672,485	15,672,485
BEACON LIGHT OFFSHORE FUND	FMV	35,079,642	35,079,642
BLUE RIDGE OFFSHORE FUND	FMV	1,229,253	1,229,253
BROOKSIDE CAPITAL (SKIBO)	FMV	77,185	77,185
COPPERWOOD	FMV	20,973,142	20,973,142
3D OPPORTUNITY FUND	FMV	23,657,186	23,657,186
FARMSTEAD OFFSHORE FUND	FMV	22,186,245	22,186,245
FTR TRE INTERNATIONAL VALUE	FMV	20,529,207	20,529,207
FTR TREE SP OPPORTUNITY FUND VII	FMV	434,688	434,688
HIGHFIELDS PARTNERS IV	FMV	17,021	17,021
HOLDCO OPPORTUNITIES FUND III	FMV	9,570,062	9,570,062
LONE PINON (LONE KAURI)	FMV	27,226,195	27,226,195
NEWTYN TE PARTNERS	FMV	52,914,607	52,914,607
PARK PARTNERS CAPITAL OFFSHORE FUND	FMV	47,188,277	47,188,277
PERMIT CAPITAL MORTGAGE FUND L	FMV	52,702	52,702
SANKATY CREDIT OPPORTUNITIES	FMV	22,787	22,787
SANKATY CREDIT PARTNERS	FMV	30,151,814	30,151,814
TAL CHINA FOCUS FUND	FMV	83,019,781	83,019,781
TIGER GLOBAL LTD	FMV	101,215,571	101,215,571
WAVE FUND FUND (OFFSHORE)	FMV	11,337,113	11,337,113
VARDE FUND IV	FMV	49,838,608	49,838,608
VARDE FUND V	FMV	21,739	21,739
VARDE FUND VI	FMV	46,454	46,454
WORKING CAPITAL PARTNERS	FMV	58,672,871	58,672,871

TY 2019 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
LEASEHOLD IMPROVEMENTS	9,170,580	3,972,183	5,198,397	
FURNITURE AND EQUIPMENT	3,496,974	2,723,545	773,429	

TY 2019 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	207,541	77,996		148,409

TY 2019 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ACCRUED INCOME RECEIVABLE	463,915	47,328	47,328

TY 2019 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TRUSTEES EXPENSES	81,602	0		86,040
CONSULTANTS	1,090,205	158,094		1,036,336
POSTAGE, TELEPHONE & MESSENGER	105,179	13,034		92,669
OFFICE EQUIPMENT, SERVICES & SUPPLIES	269,995	25,497		233,429
COMPUTER EQUIPMENT & SERVICE	810,172	99,825		588,601
COPYING & DUPLICATING	44,152	5,453		38,699
BOOKS & PERIODICALS	50,263	1,395		60,411
INSURANCE	75,028	0		45,334
MEMBERSHIP FEES	13,591	3,285		10,553
MISCELLANEOUS	42,669	5,645		40,376
FIXED ASSETS CAPITALIZED	0	0		-17,616

TY 2019 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
INCOME FROM NEON LIBERTY LORIKEET FUND LTD.		3,609,630	
INCOME FROM SQM FRONTIER MIDDLE EAST FUND (OFFSHORE) LTD.		3,677,125	
INCOME FROM SQUADRA EQUITY FUND		14,376,106	
INCOME FROM STRATUS SCP III INVESTORS - BETA LP		-99,634	
INCOME FROM PARTNERSHIPS	15,237,367	20,406,991	15,237,367
INTEREST RECEIVED ON TAX REFUNDS	3	3	3
CLASS ACTION PROCEEDS	93	93	93

TY 2019 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Description	Amount
INCREASE IN UNREALIZED APPRECIATION (NET OF DEFERRED TAX)	62,612,097

TY 2019 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Description	Beginning of Year - Book Value	End of Year - Book Value
DEFERRED TAXES PAYABLE	18,806,417	13,368,583
LOAN PAYABLE	0	20,000,000

TY 2019 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT AND CUSTODY	11,947,689	1,143,477		0

TY 2019 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE AND UNRELATED BUSINESS INCOME TAX	2,681,911	0		0
STATES UNRELATED BUSINESS INCOME TAX	56,151	0		0