

990
Form
Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations) Do not enter social security numbers on this form as it may be made public.
 ▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047
2019
Open to Public Inspection

A For the 2019 calendar year, or tax year beginning 01-01-2019, and ending 12-31-2019

- B** Check if applicable:
 Address change
 Name change
 Initial return
 Final return/terminated
 Amended return
 Application pending

C Name of organization
CLIMATE INSTITUTE

Doing business as

Number and street (or P.O. box if mail is not delivered to street address) Room/suite
1225 NEW YORK AVENUE NW NO 800

City or town, state or province, country, and ZIP or foreign postal code
WASHINGTON, DC 200056408

D Employer identification number
52-1492178

E Telephone number
(202) 552-0163

G Gross receipts \$ 482,118

F Name and address of principal officer:
JOHN C TOPPING JR
1225 NEW YORK AVENUE NW NO 800
WASHINGTON, DC 200056408

H(a) Is this a group return for subordinates? Yes No
H(b) Are all subordinates included? Yes No
 If "No," attach a list. (see instructions)
H(c) Group exemption number ▶

I Tax-exempt status: 501(c)(3) 501(c) () (insert no.) 4947(a)(1) or 527

J Website: ▶ WWW.CLIMATE.ORG

K Form of organization: Corporation Trust Association Other ▶

L Year of formation: 1986 **M** State of legal domicile: DC

Part I Summary

Activities & Governance	1 Briefly describe the organization's mission or most significant activities: SEE PART III, LINE 1.		
	2 Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	3 Number of voting members of the governing body (Part VI, line 1a)	3	24
	4 Number of independent voting members of the governing body (Part VI, line 1b)	4	23
	5 Total number of individuals employed in calendar year 2019 (Part V, line 2a)	5	0
	6 Total number of volunteers (estimate if necessary)	6	0
	7a Total unrelated business revenue from Part VIII, column (C), line 12	7a	0
b Net unrelated business taxable income from Form 990-T, line 39	7b	0	
Revenue	8 Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
	9 Program service revenue (Part VIII, line 2g)	0	472,048
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	0	10,000
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	0	5
	12 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	0	65
	12 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	0	482,118
Expenses	13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)	0	0
	14 Benefits paid to or for members (Part IX, column (A), line 4)	0	0
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	0	12,000
	16a Professional fundraising fees (Part IX, column (A), line 11e)	0	0
	b Total fundraising expenses (Part IX, column (D), line 25) ▶ 4,063		
	17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	0	69,746
18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	0	81,746	
19 Revenue less expenses. Subtract line 18 from line 12	0	400,372	
Net Assets or Fund Balances	20 Total assets (Part X, line 16)	Beginning of Current Year	End of Year
	21 Total liabilities (Part X, line 26)	28,779	65,178
	22 Net assets or fund balances. Subtract line 21 from line 20	428,085	63,965
		-399,306	1,213

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here Signature of officer: _____ Date: 2020-11-06
 JOHN C TOPPING JR PRESIDENT
 Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN P00288314
Firm's name ▶ GELMAN ROSENBERG & FREEDMAN			Firm's EIN ▶ 52-1392008	
Firm's address ▶ 4550 MONTGOMERY AVE SUITE 800N BETHESDA, MD 208142930			Phone no. (301) 951-9090	

May the IRS discuss this return with the preparer shown above? (see instructions) Yes No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

1 Briefly describe the organization's mission:

THE CLIMATE INSTITUTE IS ORGANIZED FOR THE PURPOSE OF EDUCATING THE GENERAL PUBLIC AND POLICY MAKERS AS TO THE GRAVITY OF POTENTIAL CLIMATE CHANGE, EXPLORING THE POLICY IMPLICATIONS OF THE LATEST SCIENTIFIC FINDINGS ON CLIMATE MODELLING AND HEALTH AND ENVIRONMENTAL EFFECTS OF CLIMATE CHANGE, AND ANY OTHER LAWFUL PURPOSES WHICH PROMOTE THE INSTITUTE'S GENERAL GOALS.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? Yes No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? Yes No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ 38,922 including grants of \$) (Revenue \$ 10,000)

CLIMATE INSTITUTE ACTIVITIES AND ACCOMPLISHMENTS IN 2019 WERE AS FOLLOWS: 1. THE CLIMATE INSTITUTE INITIATED IN 2016 AND MADE ITS MAJOR CLIMATE IN 2017 A NORTH AMERICAN SUPERGRID TO SLASH POWER SECTOR GREENHOUSE EMISSIONS WHILE ALSO ENHANCING GRID SECURITY AGAINST DEVASTATION FROM SOLAR STORMS, ELECTROMAGNETIC PULSE ATTACKS AND EXTREME WEATHER EVENTS. ON NOVEMBER 29, 2017, IT RELEASED THE RESULTS OF ITS 18-MONTH STUDY IN A SPEAKER EVENT AT GEORGE WASHINGTON UNIVERSITY IN WASHINGTON, DC. THE REPORT IS ACCESSIBLE ONLINE. THE FINANCIAL FEASIBILITY CHAPTER OF THE REPORT WAS UPDATED IN 2018 AND 2019 TO REFLECT DECLINING PRICES OF WIND AND SOLAR ENERGY WITH THE UPDATED REPORT PUBLISHED AND CIRCULATED LATE SUMMER OF 2019. SINCE THEN THE CLIMATE INSTITUTE HAS FOCUSED ON ADVISING POLICYMAKERS OF THE POTENTIAL OF A NATIONWIDE SUPERGRID AS A KEY ELEMENT OF A NATIONAL OR EVEN CONTINENTAL INFRASTRUCTURE EFFORT WHILE ALSO HIGHLIGHTING POSSIBILITIES OF BUILDING SOME SMALLER PORTIONS AS REGIONAL HIGH VOLTAGE DIRECT CURRENT OVERLAYS LINKING LARGELY RURAL SOURCES OF WIND, SOLAR AND OTHER RENEWABLE ENERGY WITH LOAD CONSUMING AREAS.2. IN APRIL 2019 A WIDELY ACCLAIMED BOOK BY NEW YORK TIMES WRITER, NATHANIEL RICH, LOSING EARTH: A RECENT HISTORY, HIGHLIGHTED THE WORK OF THE CLIMATE INSTITUTE AND SEVERAL OF ITS BOARD MEMBERS IN SHAPING MUCH OF THE LAUNCH AND EARLY SUCCESS OF THE US CLIMATE PROTECTION MOVEMENT FROM 1979-1989. RICH HIGHLIGHTED THE INSTITUTE'S SUCCESS IN ITS OCTOBER 1987 FIRST NORTH AMERICAN CONFERENCE IN WASHINGTON IN CONVENING A WIDE RANGE OF STAKEHOLDERS OF VARYING POLITICAL PERSUASIONS, NATIONALITIES AND SECTORS TO DISCUSS COLLABORATIVE APPROACHES TO CLIMATE PROTECTION. THE PUBLICATION OF RICH'S BOOK GAVE THE INSTITUTE AN OPPORTUNITY TO HIGHLIGHT ITS SUCCESS THE SAME YEARS IN CONVENING PIVOTAL CLIMATE MEETINGS NOT ONLY ON NORTH AMERICA (1987 AND 1988) UN MISSIONS (1988) THE ARCTIC (OTTAWA 1989) AND THE MIDDLE EAST (CAIRO 1989).BUILDING ON THE RECOGNITION IN RICH'S BOOK AND A NEAR BOOK LENGTH ARTICLE BY RICH IN THE NEW YORK TIMES MAGAZINE IN AUGUST 2018, THE CLIMATE INSTITUTE RECRUITED CLIMATE INSTITUTE CO-FOUNDER, MARK GOLDBERG, A DRAFTER OF THE 1989 CAIRO COMPACT, TO SERVE AS THE INSTITUTE'S CHIEF EXECUTIVE OFFICER. DRAWING BOTH ON HIS EXTENSIVE KNOWLEDGE OF CLIMATE POLICY AND CONSIDERABLE IN RECENT YEARS IN ORGANIZING AND CONVENING OF KEY STAKEHOLDERS AT BOTH THE NATIONAL AND REGIONAL LEVEL FOR COLLABORATIVE MEETINGS ON HEALTH CARE POLICY, MARK GOLDBERG IN 2019 BEGAN TO LAY THE GROUNDWORK FOR THE CLIMATE INSTITUTE ASSUMING ONCE AGAIN A CRUCIAL ROLE AS A CONVENER OF COLLABORATIVE MEETINGS IN AN INCREASINGLY POLARIZED POLITICAL ENVIRONMENT. AMONG THE AREAS IN WHICH HE MAY LEAD CLIMATE INSTITUTE CONVENING'S ARE ON SUCH TOPICS AS 1) IMPLICATIONS OF CLIMATE CHANGE FOR THE HEALTH CARE SECTOR, 2) HOW COASTAL CITIES CAN ADAPT TO ADDRESS CLIMATE CHANGE, SEA LEVEL RISE, AND STORM SURGE. 3) IMPLICATIONS OF OCEANS FOR CLIMATE CHANGE AND CLIMATE CHANGE FOR THE OCEANS, E.G. HOW CAN OFFSHORE WIND OR TIDAL POWER BE HARNESSSED FOR ELECTRICITY, HOW CAN WE USE NATURAL DEFENSES AGAINST SEA LEVEL RISE OR STORM SURGE, E.G. REESTABLISHING OYSTER BEDS, PLANTING MANGROVES AND USING UNCONVENTIONAL MEANS OF PRESERVING CORAL, AND 4) REMOVING OBSTACLES TO A QUICK ROLL OUT OF A REGIONAL HVDC SUPERGRID AND ENSURING THAT THESE REGIONAL SEGMENTS ARE DESIGNED IN A WAY THAT ENABLES A RELATIVELY OPTIMAL CONTINENT - WIDE SUPERGRID.3. CONTINUED WORK ON AN INITIATIVE TO FACILITATE REDUCTIONS IN EMISSIONS OF BLACK CARBON AND SHORT-LIVED GREENHOUSE GASES. IN 2013 THE INSTITUTE REVISED THE FOCUS OF THIS EFFORT TO HIGHLIGHT OPPORTUNITIES TO ACHIEVE LARGE-SCALE REDUCTIONS IN BLACK CARBON EMISSIONS IN MEGA-CITIES OF ASIA BY CREATING A VALUATION WITHIN CLIMATE TRADING SYSTEMS FOR SUCH BLACK CARBON EMISSION REDUCTIONS. IN 2017, 2018 AND 2019, THE INSTITUTE FOCUSED MUCH OF THIS EFFORT ON ARCTIC CLIMATE MITIGATION.4. ACTIVE INVOLVEMENT OF THE CLIMATE INSTITUTE IN THE EFFORT UNDER THE AUSPICES OF THE AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI) TO DEVELOP A LIFE CYCLE ASSESSMENT STANDARD. THE INSTITUTE'S PRESIDENT JOHN TOPPING IN 2011 ASSUMED THE CHAIRMANSHIP OF THE SUBCOMMITTEE ON IMPACTS OF GREENHOUSE GASES AND BLACK CARBON OF THE FULL ANSI COMMITTEE DEVELOPING THE LIFE CYCLE ASSESSMENT STANDARD. HE HAS DEVOTED CONSIDERABLE TIME TO THIS ACTIVITY IN SUBSEQUENT YEARS. MICHAEL MACCRACKEN, CLIMATE INSTITUTE CHIEF SCIENTIST FOR CLIMATE CHANGE PROGRAMS, HAS PROVIDED A SCIENTIFIC LEAD TO DEVELOP CLIMATE METRICS FOR THE EMERGING ANSI STANDARD THAT WOULD GIVE APPROPRIATE VALUATION FOR REDUCTION OF EMISSIONS OF SHORT-LIVED CLIMATE FORCERS.5. BUILDING ON THE MOMENTUM OF THE EMERGING ANSI STANDARD, THE CLIMATE INSTITUTE IN 2012 LAUNCHED AN EFFORT TO EXPLORE THE FEASIBILITY OF AN ARCTIC CLIMATE ACTION REGISTRY TO FACILITATE REDUCTION OF EMISSIONS OF BLACK CARBON AND TROPOSPHERIC OZONE FORMING COMPOUNDS. THIS WAS EXPANDED IN 2013 AND 2014 WITH SEVERAL PANELS AT CONFERENCES AND WEBINARS TO EXPLORE HOW IT MIGHT FUNCTION. THE SPRING 2014 ISSUE OF CLIMATE ALERT PUBLISHED AN ARTICLE ASSESSING THE CONTRIBUTION TO ARCTIC CLIMATE CHANGE BY THE EIGHT ARCTIC COUNCIL MEMBER COUNTRIES AND TWO MOST POPULOUS OBSERVER NATIONS, AS AUTHORED BY TOBIAS SCHULTZ AND MICHAEL MACCRACKEN. IN 2015 THE CLIMATE INSTITUTE PUBLISHED A SPECIAL EDITION OF CLIMATE ALERT ON THE POTENTIAL OF METHANOGENS TO AFFECT ARCTIC WARMING. IN 2017 AND 2018 THE INSTITUTE REINFORCED THIS THRUST WITH AN ACTIVE EFFORT TO DEVELOP AN ARCTIC SURVIVAL CHALLENGE GAME PLAYABLE ON SMART PHONES AND OTHER COMPUTER DEVICES. THIS GAME EMPHASIZES THE IMPORTANCE OF REDUCING EMISSIONS OF BLACK CARBON AND METHANE SO AS TO SLOW ARCTIC CLIMATE WARMING. THIS EFFORT IS ON-GOING AS PART OF A LARGER EFFORT TO USE VIRTUAL REALITY AND GAMING TO INCREASE PUBLIC AWARENESS OF THE SITUATION IN THE ARCTIC AND POSSIBLE MEANS OF REDUCING THESE IMPACTS.6. WORKING WITH FACULTY, ADMINISTRATION AND ALUMNI OF DARTMOUTH COLLEGE, VERMONT LAW SCHOOL AND KIMBALL UNION ACADEMY, YALE SCHOOL OF FORESTRY AND ENVIRONMENTAL STUDIES, YALE LAW SCHOOL, AS WELL AS WITH INSTITUTIONS OUTSIDE NEW ENGLAND, THE CLIMATE INSTITUTE CONTINUED LAYING PLANS FOR AN ENHANCED CENTER FOR ENVIRONMENTAL LEADERSHIP TRAINING (CELT). THIS IS A LARGELY VIRTUAL ORGANIZATION TO EMPOWER YOUNG PEOPLE TO BECOME CLIMATE PROBLEM SOLVERS. BY THE END OF 2018 THIS EDUCATIONAL ARM OF THE INSTITUTE HAD GROWN TO INVOLVE OVER ONE HUNDRED FELLOWS OR INTERNS AND HAS ALUMNI FROM TWENTY NATIONS. IN 2015 THE INSTITUTE BEGAN TO DEVELOP AN INNOVATIVE SOLUTIONS INITIATIVE THAT MATCHES ASPIRING AND LARGELY YOUNG CLEAN ENERGY AND ENVIRONMENTAL ENTREPRENEURS AND INNOVATORS WITH HANDS ON LEARNING OPPORTUNITIES WITH CUTTING EDGE CLEAN ENERGY FIRMS. THIS ACTIVITY CONTINUED IN 2019.

4b (Code:) (Expenses \$ including grants of \$) (Revenue \$)

4c (Code:) (Expenses \$ including grants of \$) (Revenue \$)

4d Other program services (Describe in Schedule O.) (Expenses \$ including grants of \$) (Revenue \$)

4e Total program service expenses 38,922

Part IV Checklist of Required Schedules

Table with 3 columns: Question ID, Question Text, Yes, No. Rows include questions 1 through 21 regarding organizational requirements, such as political activities, lobbying, and financial reporting.

Part IV Checklist of Required Schedules (continued)

Table with 3 columns: Question ID, Question Text, and Yes/No columns. Rows include questions 22 through 38 regarding tax-exempt bond issues, excess benefit transactions, and related party transactions.

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

Table with 3 columns: Question ID, Question Text, and Yes/No columns. Rows include questions 1a, 1b, and 1c regarding Form 1096, Form W-2G, and gaming winnings.

Part V Statements Regarding Other IRS Filings and Tax Compliance (continued)

Main form area containing questions 2a through 16, with various input fields and checkboxes. Includes questions about employee reporting, federal employment tax returns, unrelated business gross income, foreign country accounts, prohibited tax shelter transactions, annual gross receipts, deductible contributions, sponsoring organizations, and charitable trusts.

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions. Check if Schedule O contains a response or note to any line in this Part VI



Section A. Governing Body and Management

Table with 3 columns: Question, Yes, No. Rows include: 1a Enter the number of voting members... 1b Enter the number of voting members included... 2 Did any officer, director, trustee... 3 Did the organization delegate control... 4 Did the organization make any significant changes... 5 Did the organization become aware... 6 Did the organization have members... 7a Did the organization have members... 7b Are any governance decisions... 8 Did the organization contemporaneously document... 8a The governing body... 8b Each committee... 9 Is there any officer, director, trustee...

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

Table with 3 columns: Question, Yes, No. Rows include: 10a Did the organization have local chapters... 10b If "Yes," did the organization have written policies... 11a Has the organization provided a complete copy... 11b Describe in Schedule O the process... 12a Did the organization have a written conflict of interest policy... 12b Were officers, directors, or trustees... 12c Did the organization regularly and consistently monitor... 13 Did the organization have a written whistleblower policy... 14 Did the organization have a written document retention... 15 Did the process for determining compensation... 15a The organization's CEO... 15b Other officers or key employees... 16a Did the organization invest in, contribute assets to... 16b If "Yes," did the organization follow a written policy...

Section C. Disclosure

- 17 List the states with which a copy of this Form 990 is required to be filed
18 Section 6104 requires an organization to make its Form 1023 (or 1024-A if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
20 State the name, address, and telephone number of the person who possesses the organization's books and records:
JOHN C TOPPING JR 1225 NEW YORK AVENUE NW NO 800 WASHINGTON, DC 200056408 (202) 552-0163

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

See instructions for the order in which to list the persons above.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(1) JOHN C TOPPING JR SEE SCH O PRESIDENT	60.00	X		X				0	0	0
(2) SIR CRISPIN TICKELL CHAIRMAN	5.00	X		X				0	0	0
(3) NASIR A KHATTAK SEE SCH O COO & BOARD MEMBER	20.00	X		X				0	0	0
(4) CHARLES BAYLESS CO-CHAIR	2.50	X		X				0	0	0
(5) BRIAN FOWLER SEE SCH O ASST SECRETARY/TREASURER	20.00	X		X			12,000	0	0	0
(6) LUIS ROBERTO ACOSTA BOARD MEMBER	10.00	X						0	0	0
(7) THOMAS PETRI BOARD MEMBER	5.00	X						0	0	0
(8) JOSEPH A CANNON BOARD MEMBER	2.50	X						0	0	0
(9) THOMAS R CASTEN BOARD MEMBER	2.50	X						0	0	0
(10) ROBERT CORELL BOARD MEMBER	2.50	X						0	0	0
(11) DEVRA DAVIS BOARD MEMBER	2.50	X						0	0	0
(12) CARLOS DIAZ LEAL BOARD MEMBER	10.00	X						0	0	0
(13) THOMAS GALE BOARD MEMBER	2.50	X						0	0	0
(14) BARBARA HERNANDEZ BOARD MEMBER	2.50	X						0	0	0
(15) BERT KERSTETTER BOARD MEMBER	2.50	X						0	0	0
(16) STEPHEN LEATHERMAN BOARD MEMBER	2.50	X						0	0	0
(17) MICHAEL MACCRACKEN SEE SCH O BOARD MEMBER	20.00	X						0	0	0

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(18) JOHN NOEL III BOARD MEMBER	2.50	X						0	0	0
(19) ORIANA TICKELL DE CASTELLO BOARD MEMBER	2.50	X						0	0	0
(20) MARGIE SIMON DE ORTIZ BOARD MEMBER	2.50	X						0	0	0
(21) PHILIP L JOHNSON BOARD MEMBER	5.00	X						0	0	0
(22) MARILYN LORD BOARD MEMBER	5.00	X						0	0	0
(23) MICHELE FETTING BOARD MEMBER	5.00	X						0	0	0
(24) LINDA BROWN FROM 122019 BOARD MEMBER	2.50	X						0	0	0
(25) MARK GOLDBERG CEO	40.00			X				0	0	0
(26) SAMUEL A SHERER SECRETARY-TREASURER	40.00			X				0	0	0
1b Sub-Total										
c Total from continuation sheets to Part VII, Section A										
d Total (add lines 1b and 1c)							12,000	0		0

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **0**

	Yes	No
3 Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>		No
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>		No
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **0**

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512 - 514
Contributions, Gifts, Grants and Other Similar Amounts	1a Federated campaigns . . .	1a				
	b Membership dues . . .	1b				
	c Fundraising events . . .	1c				
	d Related organizations	1d				
	e Government grants (contributions)	1e				
	f All other contributions, gifts, grants, and similar amounts not included above	1f	472,048			
	g Noncash contributions included in lines 1a - 1f:\$	1g				
h Total. Add lines 1a-1f			472,048			
Program Service Revenue	2a CONTRACT REVENUE	Business Code 900099	10,000	10,000		
	b					
	c					
	d					
	e					
	f All other program service revenue.					
	g Total. Add lines 2a-2f.			10,000		
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)		5			5
	4 Income from investment of tax-exempt bond proceeds					
	5 Royalties					
	6a Gross rents	(i) Real				
		(ii) Personal				
		6b Less: rental expenses				
		6c Rental income or (loss)				
	d Net rental income or (loss)					
	7a Gross amount from sales of assets other than inventory	(i) Securities				
		(ii) Other				
		7b Less: cost or other basis and sales expenses				
		7c Gain or (loss)				
	d Net gain or (loss)					
	8a Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c). See Part IV, line 18					
		8b Less: direct expenses				
c Net income or (loss) from fundraising events						
9a Gross income from gaming activities. See Part IV, line 19						
	9b Less: direct expenses					
	c Net income or (loss) from gaming activities					
10a Gross sales of inventory, less returns and allowances						
	10b Less: cost of goods sold					
	c Net income or (loss) from sales of inventory					
Miscellaneous Revenue	Business Code					
11a MISCELLANEOUS	900099	65			65	
b						
c						
d All other revenue						
e Total. Add lines 11a-11d			65			
12 Total revenue. See instructions			482,118	10,000	0	70

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).
 Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21				
2 Grants and other assistance to domestic individuals. See Part IV, line 22				
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16.				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	12,000	9,600	2,400	
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages				
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)				
9 Other employee benefits				
10 Payroll taxes				
11 Fees for services (non-employees):				
a Management				
b Legal				
c Accounting	7,158		7,158	
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)				
12 Advertising and promotion				
13 Office expenses	5,628	2,879	2,301	448
14 Information technology	1,331	773	558	
15 Royalties				
16 Occupancy	36,152	21,691	10,846	3,615
17 Travel	2,442	2,261	181	
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	1,718	1,718		
20 Interest	12,273		12,273	
21 Payments to affiliates				
22 Depreciation, depletion, and amortization				
23 Insurance	400		400	
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a BOOKS AND SUBSCRIPTIONS	1,577		1,577	
b MOVING EXPENSES	675		675	
c MEMBERSHIPS AND DUES	367		367	
d MISCELLANEOUS	25		25	
e All other expenses				
25 Total functional expenses. Add lines 1 through 24e	81,746	38,922	38,761	4,063
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720).				

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part IX

		(A) Beginning of year		(B) End of year
Assets	1 Cash-non-interest-bearing	28,294	1	64,555
	2 Savings and temporary cash investments	485	2	623
	3 Pledges and grants receivable, net		3	
	4 Accounts receivable, net		4	
	5 Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons		5	
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B)		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges		9	
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a		
	b Less: accumulated depreciation	10b		10c
	11 Investments—publicly traded securities		11	
	12 Investments—other securities. See Part IV, line 11		12	
	13 Investments—program-related. See Part IV, line 11		13	
	14 Intangible assets		14	
	15 Other assets. See Part IV, line 11		15	
16 Total assets: Add lines 1 through 15 (must equal line 34)	28,779	16	65,178	
Liabilities	17 Accounts payable and accrued expenses	69,898	17	63,965
	18 Grants payable		18	
	19 Deferred revenue		19	
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons	358,187	22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17 - 24). Complete Part X of Schedule D		25	
	26 Total liabilities. Add lines 17 through 25	428,085	26	63,965
Net Assets or Fund Balances	Organizations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 27, 28, 32, and 33.			
	27 Net assets without donor restrictions	-420,306	27	-15,787
	28 Net assets with donor restrictions	21,000	28	17,000
	Organizations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 29 through 33.			
	29 Capital stock or trust principal, or current funds		29	
	30 Paid-in or capital surplus, or land, building or equipment fund		30	
	31 Retained earnings, endowment, accumulated income, or other funds		31	
	32 Total net assets or fund balances	-399,306	32	1,213
33 Total liabilities and net assets/fund balances	28,779	33	65,178	

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	482,118
2	Total expenses (must equal Part IX, column (A), line 25)	2	81,746
3	Revenue less expenses. Subtract line 2 from line 1	3	400,372
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	-399,306
5	Net unrealized gains (losses) on investments	5	147
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (A))	10	1,213

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII

		Yes	No
1	Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		No
2b	Were the organization's financial statements audited by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		No
2c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.		
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		No
3b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.		

Additional Data

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Software ID:

Software Version:

Form 990, Special Condition Description:

Special Condition Description

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
 Attach to Form 990 or Form 990-EZ.
 Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization
CLIMATE INSTITUTE

Employer identification number
52-1492178

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1 A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2 A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E (Form 990 or 990-EZ).)
- 3 A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4 A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state:
- 5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6 A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8 A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9 An agricultural research organization described in **170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land grant college of agriculture. See instructions. Enter the name, city, and state of the college or university:
- 10 An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 11 An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 12 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**. Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
 - a **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
 - b **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
 - c **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
 - d **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
 - e Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
 - f Enter the number of supported organizations _____
 - g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization failed to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Table with 7 columns: (a) 2015, (b) 2016, (c) 2017, (d) 2018, (e) 2019, (f) Total. Rows include: 1 Gifts, grants, contributions, and membership fees received; 2 Tax revenues levied for the organization's benefit; 3 The value of services or facilities furnished; 4 Total; 5 The portion of total contributions by each person; 6 Public support.

Section B. Total Support

Table with 7 columns: (a) 2015, (b) 2016, (c) 2017, (d) 2018, (e) 2019, (f) Total. Rows include: 7 Amounts from line 4; 8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources; 9 Net income from unrelated business activities; 10 Other income; 11 Total support; 12 Gross receipts from related activities; 13 First five years.

Section C. Computation of Public Support Percentage

Table with 2 columns: Line number, Percentage. Rows include: 14 Public support percentage for 2019 (73.230%); 15 Public support percentage for 2018 Schedule A, Part II, line 14 (93.950%); 16a 33 1/3% support test-2019; 16b 33 1/3% support test-2018; 17a 10%-facts-and-circumstances test-2019; 17b 10%-facts-and-circumstances test-2018; 18 Private foundation.

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year.						
c Add lines 7a and 7b. .						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
9 Amounts from line 6.						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975.						
c Add lines 10a and 10b.						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on.						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here. <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2019 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2018 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2019 (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from 2018 Schedule A, Part III, line 17	18	
19a 33 1/3% support tests—2019. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
b 33 1/3% support tests—2018. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions <input type="checkbox"/>		

Part IV Supporting Organizations

(Complete only if you checked a box on line 12 of Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

		Yes	No
1	Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.		
2	Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).		
3a	Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.		
b	Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in Part VI when and how the organization made the determination.		
c	Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.		
4a	Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes" and if you checked 12a or 12b in Part I, answer (b) and (c) below.		
b	Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.		
c	Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.		
5a	Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI , including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).		
b	Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
c	Substitutions only. Was the substitution the result of an event beyond the organization's control?		
6	Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI .		
7	Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).		
8	Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).		
9a	Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI .		
b	Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in Part VI .		
c	Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI .		
10a	Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer line 10b below.		
b	Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings).		

Part IV Supporting Organizations (continued)

	Yes	No
11 Has the organization accepted a gift or contribution from any of the following persons?		
a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?		
b A family member of a person described in (a) above?		
c A 35% controlled entity of a person described in (a) or (b) above? <i>If "Yes" to a, b, or c, provide detail in Part VI.</i>		

Section B. Type I Supporting Organizations

	Yes	No
1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? <i>If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.</i>		
2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? <i>If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.</i>		

Section C. Type II Supporting Organizations

	Yes	No
1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? <i>If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).</i>		

Section D. All Type III Supporting Organizations

	Yes	No
1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? <i>If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).</i>		
3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? <i>If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.</i>		

Section E. Type III Functionally-Integrated Supporting Organizations

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions):		
a <input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.		
b <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.		
c <input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions)		
2 Activities Test. Answer (a) and (b) below.		
a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? <i>If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.</i>		
b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? <i>If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.</i>		
3 Parent of Supported Organizations. Answer (a) and (b) below.		
a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? <i>Provide details in Part VI.</i>		
b Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? <i>If "Yes," describe in Part VI the role played by the organization in this regard.</i>		

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

- 1** Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI). **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income

(A) Prior Year

(B) Current Year
(optional)

- | | | | |
|---|----------|--|--|
| 1 Net short-term capital gain | 1 | | |
| 2 Recoveries of prior-year distributions | 2 | | |
| 3 Other gross income (see instructions) | 3 | | |
| 4 Add lines 1 through 3 | 4 | | |
| 5 Depreciation and depletion | 5 | | |
| 6 Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions) | 6 | | |
| 7 Other expenses (see instructions) | 7 | | |
| 8 Adjusted Net Income (subtract lines 5, 6 and 7 from line 4) | 8 | | |

Section B - Minimum Asset Amount

(A) Prior Year

(B) Current Year
(optional)

- | | | | |
|--|-----------|--|--|
| 1 Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year): | 1 | | |
| a Average monthly value of securities | 1a | | |
| b Average monthly cash balances | 1b | | |
| c Fair market value of other non-exempt-use assets | 1c | | |
| d Total (add lines 1a, 1b, and 1c) | 1d | | |
| e Discount claimed for blockage or other factors (explain in detail in Part VI): | | | |
| 2 Acquisition indebtedness applicable to non-exempt use assets | 2 | | |
| 3 Subtract line 2 from line 1d | 3 | | |
| 4 Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions). | 4 | | |
| 5 Net value of non-exempt-use assets (subtract line 4 from line 3) | 5 | | |
| 6 Multiply line 5 by .035 | 6 | | |
| 7 Recoveries of prior-year distributions | 7 | | |
| 8 Minimum Asset Amount (add line 7 to line 6) | 8 | | |

Section C - Distributable Amount

Current Year

- | | | | |
|--|----------|--|--|
| 1 Adjusted net income for prior year (from Section A, line 8, Column A) | 1 | | |
| 2 Enter 85% of line 1 | 2 | | |
| 3 Minimum asset amount for prior year (from Section B, line 8, Column A) | 3 | | |
| 4 Enter greater of line 2 or line 3 | 4 | | |
| 5 Income tax imposed in prior year | 5 | | |
| 6 Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions) | 6 | | |

- 7** Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

(continued)

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI). See instructions	
7 Total annual distributions. Add lines 1 through 6.	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions	
9 Distributable amount for 2019 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2019	(iii) Distributable Amount for 2019
1 Distributable amount for 2019 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2019 (reasonable cause required-- explain in Part VI). See instructions.			
3 Excess distributions carryover, if any, to 2019:			
a From 2014.			
b From 2015.			
c From 2016.			
d From 2017.			
e From 2018.			
f Total of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2019 distributable amount			
i Carryover from 2014 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
4 Distributions for 2019 from Section D, line 7: \$			
a Applied to underdistributions of prior years			
b Applied to 2019 distributable amount			
c Remainder. Subtract lines 4a and 4b from 4.			
5 Remaining underdistributions for years prior to 2019, if any. Subtract lines 3g and 4a from line 2. If the amount is greater than zero, explain in Part VI . See instructions.			
6 Remaining underdistributions for 2019. Subtract lines 3h and 4b from line 1. If the amount is greater than zero, explain in Part VI . See instructions.			
7 Excess distributions carryover to 2020. Add lines 3j and 4c.			
8 Breakdown of line 7:			
a Excess from 2015.			
b Excess from 2016.			
c Excess from 2017.			
d Excess from 2018.			
e Excess from 2019.			

Part VI Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Return Reference

Explanation

Additional Data

Return to Form

Software ID:

Software Version:

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Schedule of Contributors

OMB No. 1545-0047

2019

Attach to Form 990, 990-EZ, or 990-PF. Go to www.irs.gov/Form990 for the latest information.

Name of the organization CLIMATE INSTITUTE

Employer identification number

52-1492178

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

- 501(c)() (enter number) organization
4947(a)(1) nonexempt charitable trust not treated as a private foundation
527 political organization

Form 990-PF

- 501(c)(3) exempt private foundation
4947(a)(1) nonexempt charitable trust treated as a private foundation
501(c)(3) taxable private foundation

Check if your organization is covered by the General Rule or a Special Rule.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions exclusively for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Don't complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions totaling \$5,000 or more during the year.

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

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Part I
Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
RESTRICTED		\$ RESTRICTED	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)

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Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____

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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	

Additional Data

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Open to Public Inspection

SCHEDULE O
(Form 990 or 990-EZ)

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Go to www.irs.gov/Form990 for the latest information.

Department of the Treasury
Internal Revenue Service

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FORM 990, PART III, LINE 4A:	7. IN 2018, THE CLIMATE INSTITUTE CONTINUED AS AN ACTIVE MEMBER OF THE INDIGENOUS PEOPLES CLIMATE CHANGE WORKING GROUP AND WORKED CLOSELY WITH TRIBAL COLLEGES ON CLIMATE RELATED ISSUES. IN SEPTEMBER 2016 IT PARTICIPATED IN THE 10TH ANNIVERSARY MEETING OF THE WORKING GROUP HELD AT HASKELL INDIAN NATIONS UNIVERSITY. AT THAT MEETING, THE INSTITUTE MADE AN AWARD TO THE WORKING GROUP'S FOUNDER, PROFESSOR DANIEL WILDCAT, THEN CLIMATE INSTITUTE BOARD MEMBER SINCE 2008. IN NOVEMBER 2013, CELT, THE INSTITUTE'S EDUCATIONAL ARM, PLAYED A KEY ROLE IN ORGANIZING A THREE-DAY CONFERENCE OF THE WORKING GROUP IN HANOVER, NEW HAMPSHIRE HOSTED BY DARTMOUTH COLLEGE. THAT CONFERENCE FOCUSED ON BOTH CLIMATE RELATED DISPLACEMENT OF INDIGENOUS PEOPLES AND ALTERNATIVE ENERGY EFFORTS ON TRIBAL LANDS. THE SUMMER 2014 ISSUE OF CLIMATE ALERT ENTITLED CLIMATE RELATED DISPLACEMENT AND RELOCATION IN THE U.S. AND ABROAD BUILT ON THESE DISCUSSIONS. IN 2014, CELT, WORKING WITH INDIGENOUS LEADERS, BEGAN TO BUILD A TRIBAL SUSTAINABILITY PARTNERSHIP INITIATIVE ENCOURAGING CELT STUDENTS AND FACULTY AT COLLEGES AND UNIVERSITIES, INCLUDING TRIBAL COLLEGES AND UNIVERSITIES, TO COLLABORATE IN DESIGNING ALTERNATIVE ENERGY AND ANTICIPATORY ADAPTATION RESPONSES TO CHALLENGES FACED BY INDIGENOUS COMMUNITIES. IN 2015 THIS WORK INCLUDED TWO CLEAN ENERGY PROJECTS AND ONE PROJECT STUDYING POTENTIAL OF DRIP IRRIGATION ON TRIBAL LANDS IN THE US SOUTHWEST. IN 2019 THE INSTITUTE BEGAN TO EXPLORE HOW ITS CLIMATE JUSTICE EFFORTS MIGHT BE MELDED WITH A GENERATIONAL EQUITY FOCUS. CENTRAL TO THIS STRATEGY IS EMPOWERING VULNERABLE COMMUNITIES TO BE INNOVATORS IN CLIMATE RESPONSE AND MATCHING TWENTY SOMETHING INTERNS AND FELLOWS WITH SENIOR ADVISORS IN AN INTERGENERATIONAL COLLABORATION. 8. CONTINUED ACTIVITY TO IDENTIFY OPPORTUNITIES TO REMOVE BARRIERS TO ENERGY RECYCLING, THUS REALIZING SAVINGS TO INDUSTRY AND CONSUMERS WHILE ALSO REDUCING RADIATIVE FORCING CLIMATE CHANGE WITH SOME SUCCESS IN LOWERING SUCH BARRIERS WITHIN THE SOUTHEASTERN US. THIS ACTIVITY INVOLVED PUBLICATION OF A MAJOR PAPER ON THE TOPIC, TIME TO RETHINK CLIMATE POLICIES, IN THE EDITION OF CLIMATE ALERT DISSEMINATED AT COP-16 IN DECEMBER 2010 AND THEN WIDELY DISSEMINATED IN THE FOLLOWING YEARS. IN 2018 THE INSTITUTE CONTINUED PUBLIC ADVOCACY IN THIS AREA. IN 2019 IN SUBMISSIONS TO LEGISLATIVE BRANCH LEADERS THE INSTITUTE IDENTIFIED WAYS PUBLIC POLICY MIGHT REDUCE REGULATORY IMPEDIMENTS TO SUCH CHANGE. 9. CONTINUED COORDINATION OF THE GLOBAL SUSTAINABLE ENERGY ISLANDS INITIATIVE (GSEII), INCLUDING EXPANSION OF THIS EFFORT TO ENCOMPASS TEN ISLAND NATIONS SEVEN IN THE CARIBBEAN, TWO IN THE PACIFIC AND ONE IN THE ISLAND OCEAN. IN 2016 THE INSTITUTE MODIFIED THIS WEBSITE TO CONTAIN INFORMATION ON CLEAN ENERGY TRANSFORMATION IN OTHER ISLAND NATIONS. THIS WORK CONTINUED IN 2018 AND 2019 ON A LIMITED BASIS, WITH SOME HOPE IF RESOURCES CAN BE GENERATED, OF REINVIGORATING THIS INITIATIVE.
FORM 990, PART III, LINE 4A:	10. THE CLIMATE INSTITUTE IN 2018 CONTINUED TO WORK WITH ITS MEXICAN PARTNER ORGANIZATION, CLIMATE INSTITUTE MEXICO Y AMERICANA LATINA, SC, IN SUPPORT OF ITS EFFORTS TO PERFORM GREENHOUSE GAS MONITORING AT THE WORLD'S HIGHEST GREENHOUSE MONITORING STATION, THE SIR CRISPIN TICKELL HIGH ALTITUDE CLIMATE OBSERVATORY. THAT OBSERVATORY, AT OVER 15,000 FEET ABOVE SEA LEVEL IN MEXICO'S STATE OF PUEBLA, OPENED IN JANUARY 2009 AND SENDS MEASUREMENTS THROUGHOUT THE YEAR TO NOAA'S EARTH SYSTEMS RESEARCH LABORATORY IN BOULDER, COLORADO, FOR INCORPORATION IN GLOBAL GREENHOUSE MONITORING DATA SETS. 11. WORK CONTINUED IN 2018 TO ASSIST OUR MEXICAN PARTNER TO DEVELOP PLANS TO BUILD A TICKELL INTERACTIVE NETWORK FOR CLIMATE AWARENESS AND RESPONSE TO CONNECT THE TICKELL OBSERVATORY AND THE GROWING CLUSTER OF CLIMATE OUTREACH CENTERS IN MEXICO WITH INFORMATION RESOURCES TO ENABLE THE VISITORS TO THOSE CENTERS TO BECOME INVOLVED IN CLIMATE PROBLEM SOLVING. 12. CONTINUED COMPILATION OF A SET OF PROBLEM SOLVING TOOLS AND CLIMATE EDUCATION GAMES IN ENGLISH, SPANISH, PORTUGUESE, CHINESE AND FRENCH FOR USE BOTH ON THE INSTITUTE WEBSITE WWW.CLIMATE.ORG - AND BY INTERESTED CLIMATE OUTREACH CENTERS, MUSEUMS AND SCIENCE CENTERS THROUGHOUT THE WORLD. THE DEVELOPMENT OF THE ARCTIC SURVIVAL CHALLENGE GAME IS A PART OF THIS EFFORT. 13. SPEECHES, ARTICLES, OP-EDS, AND TELEVISION, RADIO AND PRINT MEDIA INTERVIEWS OF CLIMATE INSTITUTE STAFF AND BOARD MEMBERS ON A WIDE RANGE OF TOPICS CONCERNING SCIENTIFIC, ECONOMIC, POLICY AND ETHICAL ASPECTS OF CLIMATE PROTECTION. 14. IN 2019 THE INSTITUTE CONTINUED A MAJOR TRANSFORMATION OF ITS WEBSITE TO MAKE IT MORE USER-FRIENDLY AND ATTRACTIVE. THE WEBSITE MANAGED TO GREATLY INCREASE ITS DAILY VISITORSHIP. 15. USE OF SOCIAL MEDIA, INCLUDING FACEBOOK AND TWITTER, TO EXPAND INSTITUTE PRESENCE.
FORM 990, PART VI, SECTION A, LINE 2	CARLOS DIAZ LEAL AND LUIS ROBERTO ACOSTA HAVE A FAMILY RELATIONSHIP.
FORM 990, PART VI, SECTION A, LINE 8B	THE ORGANIZATION DOES NOT HAVE ANY COMMITTEES WITH AUTHORITY TO ACT ON BEHALF OF THE BOARD.
FORM 990, PART VI, SECTION B, LINE 11B	THE FORM 990 WAS PREPARED BY THE OUTSIDE ACCOUNTANTS AND REVIEWED BY SENIOR MANAGEMENT. THE BOARD RECEIVED A COPY OF THE 990 BEFORE IT WAS FILED WITH THE IRS.
FORM 990, PART VI, SECTION B, LINE 12C	THE ORGANIZATION REGULARLY AND CONSISTENTLY MONITORS AND ENFORCES COMPLIANCE WITH THE CONFLICT OF INTEREST POLICY. IN CONNECTION WITH ANY ACTUAL OR POSSIBLE CONFLICT OF INTEREST, AN INTERESTED PERSON MUST DISCLOSE THE EXISTENCE OF THE FINANCIAL INTEREST AND BE GIVEN THE OPPORTUNITY TO DISCLOSE ALL MATERIAL FACTS TO THE DIRECTORS WHEN CONSIDERING THE PROPOSED TRANSACTION OR ARRANGEMENT. AFTER DISCLOSURE OF

Return Reference	Explanation
	<p>THE FINANCIAL INTEREST AND ALL MATERIAL FACTS, AND AFTER ANY DISCUSSION WITH THE INTERESTED PERSON, HE/SHE LEAVES THE BOARD MEETING WHILE THE DETERMINATION OF A CONFLICT OF INTEREST IS DISCUSSED AND VOTED UPON. THE REMAINING BOARD MEMBERS DECIDE IF A CONFLICT OF INTEREST EXISTS. IF A MORE ADVANTAGEOUS TRANSACTION OR ARRANGEMENT IS NOT REASONABLY POSSIBLE UNDER CIRCUMSTANCES NOT PRODUCING A CONFLICT OF INTEREST, THE BOARD DETERMINES BY A MAJORITY VOTE OF THE DISINTERESTED DIRECTORS WHETHER THE TRANSACTION OR ARRANGEMENT IS THE INSTITUTE'S BEST INTEREST, FOR ITS OWN BENEFIT, AND WHETHER IT IS FAIR AND REASONABLE. IN CONFORMITY WITH THE ABOVE DETERMINATION IT MAKES ITS DECISION AS TO WHETHER TO ENTER INTO THE TRANSACTION OR ARRANGEMENT. IF THE BOARD HAS REASONABLE CAUSE TO BELIEVE A MEMBER HAS FAILED TO DISCLOSE ACTUAL OR POSSIBLE CONFLICTS OF INTEREST, IT INFORMS THE MEMBER OF THE BASIS FOR SUCH BELIEF AND AFFORD THE MEMBER AN OPPORTUNITY TO EXPLAIN THE ALLEGED FAILURE TO DISCLOSE.</p>
<p>FORM 990, PART VI, SECTION B, LINE 15</p>	<p>THE ORGANIZATION'S DAY-TO-DAY ACTIVITIES ARE MANAGED BY A NON-COMPENSATED, VOLUNTEER BOARD.</p>
<p>FORM 990, PART VI, SECTION C, LINE 19</p>	<p>THE ORGANIZATION'S GOVERNING DOCUMENTS, FINANCIAL STATEMENTS, ITS CONFLICT OF INTEREST POLICY, DOCUMENT RETENTION POLICY AND WHISTLEBLOWER POLICY ARE MADE AVAILABLE TO THE PUBLIC UPON REQUEST.</p>
<p>FORM 990, PART VII:</p>	<p>IN 2009, THE BOARD APPROVED AN ANNUAL SALARY OF \$120,000 FOR THE PRESIDENT, BUT HE HAS VOLUNTARILY FOREGONE THAT SALARY FROM 2009 THROUGH 2018. HIS SALARY WAS NOT ACCRUED AND HE RECEIVED NO COMPENSATION IN 2019. NASIR A. KHATTAK, THE INSTITUTE'S CHIEF OPERATING OFFICER, IS ALSO A VOTING BOARD MEMBER. HE RECEIVES COMPENSATION ONLY AS PART OF INDIVIDUAL PROJECT FUNDING. IN 2019, HE RECEIVED NO COMPENSATION FROM THE INSTITUTE. MICHAEL MACCRACKEN, THE INSTITUTE'S CHIEF SCIENTIST, IS ALSO A VOTING BOARD MEMBER. HE WORKS PRO-BONO AND RECEIVED NO COMPENSATION FOR HIS WORK IN 2019. BRIAN FOWLER, ASSISTANT SECRETARY/TREASURER, IS ALSO A VOTING BOARD MEMBER AS OF DECEMBER 2019. HE RECEIVED A COMPENSATION OF \$12,000 IN 2019 FOR WORK ON UPDATING THE INSTITUTE'S WEBSITE.</p>

Additional Data

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