

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
 ▶ **Go to www.irs.gov/Form990PF for instructions and the latest information.**

OMB No 1545-0052
2019
Open to Public Inspection

For calendar year 2019, or tax year beginning 01-01-2019 , and ending 12-31-2019

Name of foundation THE PAUL L AND BERTA KLOPSCH TRUST FUND		A Employer identification number 22-6485688	
Number and street (or P O box number if mail is not delivered to street address) Room/suite 116 ALLEGHENY CENTER MALL P8YB3502L		B Telephone number (see instructions) (412) 762-3818	
City or town, state or province, country, and ZIP or foreign postal code PITTSBURGH, PA 15212		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <u>1,334,701</u>		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)			

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	34,924	34,924		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	200,555			
	b Gross sales price for all assets on line 6a	1,414,277			
	7 Capital gain net income (from Part IV, line 2)		200,555		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	521				
12 Total. Add lines 1 through 11	236,000	235,479			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	14,092	12,683		1,409
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)				0
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				0
	17 Interest				0
	18 Taxes (attach schedule) (see instructions)	516	136		0
	19 Depreciation (attach schedule) and depletion	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings		0	0	
	22 Printing and publications		0	0	
	23 Other expenses (attach schedule)	5	5		
	24 Total operating and administrative expenses. Add lines 13 through 23	14,613	12,824	0	1,409
	25 Contributions, gifts, grants paid	63,876			63,876
26 Total expenses and disbursements. Add lines 24 and 25	78,489	12,824	0	65,285	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	157,511				
b Net investment income (if negative, enter -0-)		222,655			
c Adjusted net income (if negative, enter -0-)			0		

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	45,823	55,994	55,994
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0	0
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	383,245		
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	711,634	1,242,216	1,278,707
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,140,702	1,298,210	1,334,701	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	1,134,849	1,290,990	
	27 Paid-in or capital surplus, or land, bldg , and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds	5,853	7,220	
29 Total net assets or fund balances (see instructions)	1,140,702	1,298,210		
30 Total liabilities and net assets/fund balances (see instructions) .	1,140,702	1,298,210		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	1,140,702
2 Enter amount from Part I, line 27a	2	157,511
3 Other increases not included in line 2 (itemize) ▶ _____	3	0
4 Add lines 1, 2, and 3	4	1,298,213
5 Decreases not included in line 2 (itemize) ▶ _____	5	3
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	1,298,210

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	200,555
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	62,347	1,321,084	0.047194
2017	59,383	1,294,416	0.045876
2016	45,690	1,199,111	0.038103
2015	78,492	1,278,167	0.06141
2014	56,237	1,281,920	0.043869

2 Total of line 1, column (d)	2	0.236452
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.04729
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	1,279,649
5 Multiply line 4 by line 3	5	60,515
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,227
7 Add lines 5 and 6	7	62,742
8 Enter qualifying distributions from Part XII, line 4	8	65,285

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculation. Includes sub-rows 6a-6d for credits and payments. Total amount owed is 1,467.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, expenditures, and state reporting. Includes Yes/No columns.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-14 regarding controlled entities, distributions, public inspection requirements, and books in care.

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15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here and enter the amount of tax-exempt interest received or accrued during the year.

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in foreign countries.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1 _____ _____	
2 _____ _____	
3 _____ _____	
4 _____ _____	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 _____ _____	
2 _____ _____	
All other program-related investments. See instructions	
3 _____ _____	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	1,299,136
b	Average of monthly cash balances.	1b	0
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,299,136
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	1,299,136
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	19,487
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	1,279,649
6	Minimum investment return. Enter 5% of line 5.	6	63,982

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	63,982
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	2,227
b	Income tax for 2019 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	2,227
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	61,755
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	61,755
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	61,755

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	65,285
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	65,285
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	2,227
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	63,058

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				61,755
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only.			63,875	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2019				
a From 2014.	0			
b From 2015.	0			
c From 2016.	0			
d From 2017.	0			
e From 2018.	0			
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ <u>65,285</u>				
a Applied to 2018, but not more than line 2a			63,875	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2019 distributable amount.				1,410
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020				60,345
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2015.	0			
b Excess from 2016.	0			
c Excess from 2017.	0			
d Excess from 2018.	0			
e Excess from 2019.	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				63,876
b <i>Approved for future payment</i>				
Total ▶ 3b				

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
70 APPLE INC			2019-01-04
85 CITIGROUP INC			2019-01-04
3 CHEVRON CORPORATION		2018-06-13	2019-01-31
37 CHEVRON CORPORATION		2018-06-13	2019-01-31
33 MCCORMICK & CO INC COM NON VTG			2019-01-31
121 MORGAN STANLEY		2016-10-21	2019-01-31
3 MORGAN STANLEY		2016-10-21	2019-01-31
25 MCCORMICK & CO INC COM NON VTG		2018-07-16	2019-02-01
24 MORGAN STANLEY		2016-10-21	2019-02-01
2 MORGAN STANLEY		2016-10-21	2019-02-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10,340		3,752	6,588
4,686		5,742	-1,056
343		382	-39
4,219		4,706	-487
4,081		4,119	-38
5,126		4,044	1,082
127		100	27
3,079		2,969	110
1,014		802	212
85		67	18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6,588
			-1,056
			-39
			-487
			-38
			1,082
			27
			110
			212
			18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 MCCORMICK & CO INC COM NON VTG		2018-07-16	2019-02-04
44 PRICE T ROWE GROUP INC COM			2019-02-08
42 TE CONNECTIVITY LTD SEDOL B62B7C3 ISIN CH0102993182			2019-02-08
23 TE CONNECTIVITY LTD SEDOL B62B7C3 ISIN CH0102993182		2017-05-25	2019-02-08
26 PRICE T ROWE GROUP INC COM			2019-02-11
24 TE CONNECTIVITY LTD SEDOL B62B7C3 ISIN CH0102993182		2017-05-25	2019-02-11
6 TE CONNECTIVITY LTD SEDOL B62B7C3 ISIN CH0102993182		2017-05-25	2019-02-12
42 ARCHER DANIELS MIDLAND CO			2019-02-15
67 ARCHER DANIELS MIDLAND CO			2019-02-19
51 ARCHER DANIELS MIDLAND CO			2019-02-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
123		119	4
4,049		3,699	350
3,361		3,318	43
1,838		1,793	45
2,409		2,182	227
1,923		1,871	52
483		468	15
1,760		2,133	-373
2,810		3,378	-568
2,141		2,557	-416

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4
			350
			43
			45
			227
			52
			15
			-373
			-568
			-416

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
60 ABBVIE INC		2017-12-08	2019-02-22
58 OCCIDENTAL PETE CORP COM			2019-02-22
2 OCCIDENTAL PETE CORP COM		2018-01-19	2019-02-22
10 OCCIDENTAL PETE CORP COM		2018-01-19	2019-02-25
28 BURLINGTON STORES INC			2019-03-08
2 BURLINGTON STORES INC		2016-09-01	2019-03-08
6 WELLCARE HEALTH PLANS, INC MERGED 1/23/20 @ \$120 00 P/S			2019-03-15
9 WELLCARE HEALTH PLANS, INC MERGED 1/23/20 @ \$120 00 P/S			2019-03-18
1 PFIZER INC 717081103		2001-01-01	2019-03-20
23 BIOGEN INC			2019-03-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,787		5,760	-973
3,827		4,376	-549
132		151	-19
661		755	-94
3,967		2,326	1,641
287		164	123
1,441		1,769	-328
2,191		2,646	-455
107		25	82
5,034		6,470	-1,436

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-973
			-549
			-19
			-94
			1,641
			123
			-328
			-455
			82
			-1,436

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
2 BIOGEN INC		2017-01-27	2019-03-22
1 BANK OF AMERICA 060505104		2001-01-01	2019-03-28
20 BOEING CO			2019-04-11
5 BOEING CO		2019-03-08	2019-04-11
42 EASTMAN CHEM CO			2019-04-11
13 EASTMAN CHEM CO			2019-04-12
87 BANK NEW YORK MELLON CORP COM		2016-04-29	2019-04-24
3 BANK NEW YORK MELLON CORP COM		2016-04-29	2019-04-24
33 RAYTHEON COMPANY			2019-04-24
29 AMPHENOL CORP NEW CL A		2018-11-02	2019-05-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
436		511	-75
44		25	19
7,359		5,280	2,079
1,840		2,104	-264
3,431		4,377	-946
1,084		1,314	-230
4,191		3,490	701
144		120	24
6,125		4,200	1,925
2,737		2,617	120

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-75
			19
			2,079
			-264
			-946
			-230
			701
			24
			1,925
			120

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
2 AMPHENOL CORP NEW CL A		2018-11-02	2019-05-10
17 AMPHENOL CORP NEW CL A		2018-11-02	2019-05-13
7 AMPHENOL CORP NEW CL A			2019-05-13
60 KOHLS CORP COM			2019-05-24
1 KOHLS CORP COM		2018-04-06	2019-05-24
19 KOHLS CORP COM		2018-04-06	2019-05-28
5 ALPHABET INC/CA-CL A		2019-01-04	2019-06-12
6 ALPHABET INC/CA-CL A			2019-06-12
4 ALPHABET INC/CA-CL A			2019-06-12
75 CENTENE CORP			2019-06-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
189		181	8
1,568		1,534	34
645		630	15
3,070		3,983	-913
51		65	-14
978		1,228	-250
5,394		5,379	15
6,473		4,222	2,251
4,304		2,213	2,091
4,009		4,103	-94

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			8
			34
			15
			-913
			-14
			-250
			15
			2,251
			2,091
			-94

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 CENTENE CORP		2018-04-26	2019-06-12
3 CENTENE CORP		2018-04-26	2019-06-12
11 CENTENE CORP		2018-04-26	2019-06-13
28 DUKE ENERGY HOLDING CORP		2018-12-13	2019-06-13
32 DUKE ENERGY HOLDING CORP			2019-06-14
20 WASTE MANAGEMENT INC		2018-03-09	2019-06-28
25 WASTE MANAGEMENT INC			2019-07-01
13 INTEL CORP		2018-11-02	2019-07-11
82 INTEL CORP		2018-11-02	2019-07-11
117 INTEL CORP			2019-07-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
53		54	-1
160		163	-3
590		596	-6
2,425		2,547	-122
2,801		2,906	-105
2,312		1,737	575
2,877		2,165	712
632		614	18
3,978		3,871	107
5,675		4,128	1,547

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1
			-3
			-6
			-122
			-105
			575
			712
			18
			107
			1,547

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
8 INTEL CORP		2016-08-19	2019-07-11
50 M&T BK CORP			2019-07-26
36 BERRY GLOBAL GROUP INC			2019-08-02
48 BERRY GLOBAL GROUP INC			2019-08-02
6 BERRY GLOBAL GROUP INC		2019-04-11	2019-08-02
35 INTERNATIONAL FLAVORS & FRAGRANCES INC			2019-08-08
15 NORFOLK SOUTHN CORP COM		2018-11-16	2019-08-08
85 PFIZER INC COM		2017-11-10	2019-08-08
20 HOME DEPOT INC COM		2012-01-09	2019-08-22
20 MCDONALDS CORP COM			2019-08-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
389		282	107
8,209		7,854	355
1,570		2,114	-544
2,099		2,806	-707
263		345	-82
4,280		4,962	-682
2,700		2,591	109
3,127		2,979	148
4,427		864	3,563
4,380		3,088	1,292

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			107
			355
			-544
			-707
			-82
			-682
			109
			148
			3,563
			1,292

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
11 NORFOLK SOUTHN CORP COM		2018-11-16	2019-08-22
7 NORFOLK SOUTHN CORP COM			2019-08-22
70 BANK OF AMERICA CORP		2018-07-27	2019-08-23
18 CBRE GROUP INC			2019-08-23
2 CBRE GROUP INC		2018-02-27	2019-08-23
5 NORFOLK SOUTHN CORP COM		2018-12-13	2019-08-23
7 NORFOLK SOUTHN CORP COM		2018-12-13	2019-08-23
25 CBRE GROUP INC		2018-02-27	2019-08-26
3 CBRE GROUP INC		2018-02-27	2019-08-27
11 CBRE GROUP INC		2018-02-27	2019-08-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,918		1,900	18
1,221		1,161	60
1,863		2,174	-311
908		849	59
99		94	5
847		784	63
1,186		1,097	89
1,233		1,178	55
149		141	8
546		518	28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			18
			60
			-311
			59
			5
			63
			89
			55
			8
			28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
26 CBRE GROUP INC		2018-02-27	2019-08-28
38 ALEXION PHARMACEUTICALS INC			2019-09-05
2 ALEXION PHARMACEUTICALS INC			2019-09-05
20 AUTOMATIC DATA PROCESSING INC			2019-09-27
30 CISCO SYS INC COM		2018-04-26	2019-09-27
20 CISCO SYS INC COM		2018-10-25	2019-09-27
30 UNITED RENTALS INC COM			2019-09-27
20 UNITED RENTALS INC COM		2017-05-25	2019-09-30
10 AUTOMATIC DATA PROCESSING INC		2018-05-24	2019-10-04
107 CSX CORP COM			2019-10-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,300		1,225	75
3,826		4,961	-1,135
203		256	-53
3,208		2,610	598
1,468		1,326	142
979		910	69
3,770		3,650	120
2,488		2,249	239
1,586		1,298	288
7,114		7,601	-487

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			75
			-1,135
			-53
			598
			142
			69
			120
			239
			288
			-487

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
3 CSX CORP COM		2018-07-27	2019-10-04
69 CISCO SYS INC COM			2019-10-04
1 CISCO SYS INC COM		2012-01-09	2019-10-04
9 E*TRADE FINANCIAL CORP			2019-10-04
101 E*TRADE FINANCIAL CORP			2019-10-04
115 BP PLC SPONSORED ADR			2019-10-10
20 CELANESE CORP		2018-05-16	2019-10-10
25 HCA HEALTHCARE INC		2019-03-22	2019-10-10
70 PFIZER INC COM		2017-11-10	2019-10-10
5 BP PLC SPONSORED ADR		2019-02-22	2019-10-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
200		211	-11
3,257		2,572	685
47		19	28
325		452	-127
3,637		5,044	-1,407
4,271		4,886	-615
2,370		2,224	146
2,965		3,383	-418
2,507		2,453	54
187		212	-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-11
			685
			28
			-127
			-1,407
			-615
			146
			-418
			54
			-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
10 HCA HEALTHCARE INC		2019-03-22	2019-10-11
45 PFIZER INC COM		2017-11-10	2019-10-11
835 714 AMG MANAGERS FAIRPOINT MID CAP FUND			2019-10-22
25 AIR PRODUCTS & CHEMICALS INC			2019-10-22
45 ALLSTATE CORP			2019-10-22
5 ALPHABET INC/CA-CL A		2019-08-30	2019-10-22
10 ALPHABET INC/CA-CL A			2019-10-22
10 AMAZON COM INC			2019-10-22
60 AMERICAN WATER WORKS CO INC			2019-10-22
55 AMGEN INC			2019-10-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,209		1,353	-144
1,631		1,577	54
30,186		27,902	2,284
5,213		5,698	-485
4,859		4,852	7
6,228		5,936	292
12,455		3,269	9,186
17,734		15,218	2,516
7,381		3,856	3,525
11,273		6,367	4,906

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-144
			54
			2,284
			-485
			7
			292
			9,186
			2,516
			3,525
			4,906

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
71 APPLE INC		2007-01-19	2019-10-22
1535 517 ARTISAN INTERNATIONAL FD-ADV			2019-10-22
32 AUTOMATIC DATA PROCESSING INC			2019-10-22
9 AUTOZONE INC		2018-10-11	2019-10-22
178 BANK OF AMERICA CORP		2018-07-27	2019-10-22
215 BANK OF AMERICA CORP			2019-10-22
394 108 BARON SMALL CAP FUND INSTITUTIONAL			2019-10-22
75 BAXTER INTERNATIONAL INC		2018-01-04	2019-10-22
130 BOOZ ALLEN HAMILTON HOLDING			2019-10-22
95 BRISTOL MYERS SQUIBB CO			2019-10-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
17,167		909	16,258
50,472		46,800	3,672
5,160		4,121	1,039
9,990		7,006	2,984
5,555		5,528	27
6,710		6,111	599
12,123		9,094	3,029
6,604		5,131	1,473
8,977		6,289	2,688
5,271		5,786	-515

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

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(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			16,258
			3,672
			1,039
			2,984
			27
			599
			3,029
			1,473
			2,688
			-515

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
30 BROADCOM INC			2019-10-22
32 BURLINGTON STORES INC			2019-10-22
100 CBRE GROUP INC			2019-10-22
65 CDW CORP/DE			2019-10-22
85 CVS CAREMARK CORP			2019-10-22
30 CELANESE CORP			2019-10-22
25 CELANESE CORP			2019-10-22
40 CHEVRON CORPORATION			2019-10-22
75 CHURCH & DWIGHT INC			2019-10-22
105 CISCO SYS INC COM			2019-10-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,667		8,240	427
6,262		2,587	3,675
5,180		4,650	530
8,024		7,513	511
5,658		5,283	375
3,601		3,263	338
3,001		2,403	598
4,724		4,978	-254
5,570		4,835	735
5,022		1,975	3,047

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			427
			3,675
			530
			511
			375
			338
			598
			-254
			735
			3,047

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
30 CINTAS CORP			2019-10-22
210 CITIZENS FINANCIAL GROUP			2019-10-22
276 COMCAST CORPORATION CL A			2019-10-22
95 CONOCOPHILLIPS			2019-10-22
25 COSTCO WHSL CORP NEW COM		2018-07-27	2019-10-22
10 COSTCO WHSL CORP NEW COM			2019-10-22
35 CROWN CASTLE INTL CORP			2019-10-22
55 DANAHER CORP		2018-06-15	2019-10-22
40 DARDEN RESTAURANTS INC W I			2019-10-22
90 DISCOVER FINANCIAL W/I			2019-10-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,073		6,734	1,339
7,541		6,354	1,187
12,676		4,880	7,796
5,463		5,044	419
7,585		5,493	2,092
3,034		2,648	386
4,973		5,129	-156
7,614		5,615	1,999
4,382		4,781	-399
7,350		8,277	-927

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,339
			1,187
			7,796
			419
			2,092
			386
			-156
			1,999
			-399
			-927

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1081 607 DODGE & COX INTERNATIONAL STOCK FUND			2019-10-22
13564 374 DODGE & COX INCOME FUND			2019-10-22
45 DOLLAR GENERAL CORP			2019-10-22
25 EDWARDS LIFESCIENCES CORP			2019-10-22
52 FACEBOOK INC		2016-03-02	2019-10-22
35 HOME DEPOT INC COM		2012-01-09	2019-10-22
5 HOME DEPOT INC COM		2019-08-30	2019-10-22
908 504 HOTCHKIS & WILEY M/C VALUE CL I			2019-10-22
586 ISHARES MSCI EMERGING MKTS INDEX FUND		2013-10-24	2019-10-22
133 ISHARES TR RUSSELL 2000 VALUE INDEX FD		2015-10-14	2019-10-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
45,590		46,800	-1,210
191,122		186,941	4,181
7,461		5,282	2,179
5,617		5,617	
9,534		5,697	3,837
8,314		1,512	6,802
1,188		1,140	48
28,418		37,000	-8,582
24,887		25,139	-252
16,263		12,623	3,640

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,210
			4,181
			2,179
			3,837
			6,802
			48
			-8,582
			-252
			3,640

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
180 J P MORGAN CHASE & CO COM			2019-10-22
35 L3 HARRIS TECHNOLOGIES INC			2019-10-22
40 LAUDER ESTEE COS INC CL A			2019-10-22
181 77 LAZARD GLOBAL LISTED INFRASTRUCTURE PORTFOLIO		2018-10-04	2019-10-22
60 LILLY ELI & CO			2019-10-22
15 LOCKHEED MARTIN CORP			2019-10-22
35 MCDONALDS CORP COM			2019-10-22
60 MERCK & CO INC		2019-10-10	2019-10-22
2580 404 METROPOLITAN WEST UNCONSTRAINED BOND FUND CLASS I			2019-10-22
183 MICROSOFT CORP			2019-10-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
22,399		7,281	15,118
7,041		7,399	-358
7,468		6,205	1,263
2,856		2,797	59
6,589		5,370	1,219
5,595		5,544	51
7,047		5,355	1,692
4,894		5,046	-152
30,733		30,553	180
25,224		13,074	12,150

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			15,118
			-358
			1,263
			59
			1,219
			51
			1,692
			-152
			180
			12,150

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
55 MICROSOFT CORP			2019-10-22
30 MOTOROLA SOLUTIONS INC			2019-10-22
20 NORTHROP GRUMMAN CORPORATION			2019-10-22
65 OMNICOM GROUP INC			2019-10-22
1886 345 PNC INTERNATIONAL EQUITY-R6 MERGED 11/15/19		2018-10-01	2019-10-22
50 PHILLIPS 66			2019-10-22
129 PROCTER & GAMBLE CO			2019-10-22
100 PROGRESSIVE CORP OHIO			2019-10-22
1501 836 PGIM HIGH YIELD FUND CLASS R6		2018-10-16	2019-10-22
50 QUEST DIAGNOSTICS INC			2019-10-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,581		6,589	992
5,167		5,080	87
7,080		3,254	3,826
4,964		5,190	-226
44,235		46,970	-2,735
5,463		5,298	165
15,900		12,150	3,750
6,899		6,874	25
8,230		8,095	135
5,062		5,031	31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			992
			87
			3,826
			-226
			-2,735
			165
			3,750
			25
			135
			31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1111 202 T ROWE PRICE REAL ESTATE FUND FD 122		2012-08-06	2019-10-22
59 S&P GLOBAL INC			2019-10-22
35 SEMPRA ENERGY			2019-10-22
25 STRYKER CORP		2019-08-08	2019-10-22
147 SUNTRUST BANKS INC COM			2019-10-22
85 T-MOBILE US INC			2019-10-22
50 TARGET CORP			2019-10-22
40 TEXAS INSTRS INC COM			2019-10-22
130 TOTAL FINA S A			2019-10-22
80 TRANSUNION			2019-10-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
33,603		23,657	9,946
14,697		6,392	8,305
5,144		4,801	343
5,318		5,426	-108
10,187		6,030	4,157
6,967		5,754	1,213
5,713		5,260	453
5,206		5,123	83
6,824		6,385	439
6,594		6,370	224

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			9,946
			8,305
			343
			-108
			4,157
			1,213
			453
			83
			439
			224

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
40 UNITEDHEALTH GROUP INC COM			2019-10-22
60 VISA INC CLASS A SHARES		2013-02-08	2019-10-22
5838 346 VIRTUS SEIX FLOATING RATE HIGH INCOME FUND CLASS I			2019-10-22
123 WEC ENERGY GROUP INC		2009-02-26	2019-10-22
60 WASTE MANAGEMENT INC			2019-10-22
45 ACCENTURE PLC CLASS A SEDOL B4BNMY3			2019-10-22
75 INGERSOLL-RAND PLC EXCHANGE 3/02/2020			2019-10-22
54 652 CAMBIAR INTL EQUITY FUND-INS		2019-10-22	2019-12-09
56 385 BLACKROCK TOTAL RETURN-I		2019-10-22	2019-12-09
10 628 DOUBLELINE FLEXIBLE INC-I		2019-10-22	2019-12-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,977		5,893	4,084
10,451		2,363	8,088
48,809		50,404	-1,595
11,498		2,537	8,961
7,045		4,979	2,066
8,323		8,095	228
8,903		8,523	380
1,456		1,434	22
672		671	1
103		103	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4,084
			8,088
			-1,595
			8,961
			2,066
			228
			380
			22
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
62 989 SEAFARER OVERSEAS GROWTH & INCOME INSTL CLASS		2019-10-22	2019-12-09
277 513 HARBOR FD VALUE FUND		2019-10-22	2019-12-09
90 401 OAKMARK INTL SM CAP-INST 2888		2019-10-22	2019-12-09
215 WCM FOCUSED INTL GROWTH-INS		2019-10-22	2019-12-09
7 ISHARES TR S&P 500 INDEX FD		2019-10-22	2019-12-09
32 ISHARES TR S&P SMLCAP 600		2019-10-22	2019-12-09
20 ISHARES CORE MSCI EAFE ETF ETF		2019-10-22	2019-12-09
61 124 JENSEN QUALITY GROWTH-Y		2019-10-22	2019-12-09
8 357 MFS EMERGING MKTS DEBT FD-R6		2019-10-22	2019-12-09
255 459 NUANCE MID CAP VALUE-Z		2019-10-22	2019-12-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
749		736	13
4,737		4,474	263
1,423		1,371	52
3,919		3,700	219
2,212		2,116	96
2,636		2,534	102
1,287		1,255	32
3,306		3,160	146
123		123	
3,592		3,403	189

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			13
			263
			52
			219
			96
			102
			32
			146
			189

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
88 993 PRINCIPAL MIDCAP FUND-R6		2019-10-22	2019-12-09
73 548 PGIM HIGH YIELD FUND CLASS R6		2018-10-16	2019-12-09
39 541 T ROWE PRICE INSTITUTIONAL FLOATING RATE FUND		2019-10-22	2019-12-09
133 1 TOUCHSTONE SANDS EM GR-INST FUND 565		2019-10-22	2019-12-09
114 358 TOUCHSTONE MID CAP GROW-INST		2019-10-22	2019-12-09
67 74 TRIBUTARY SMALL COMPANY FD INST PLUS FD 1705		2019-10-22	2019-12-09
289 69 WASATCH INTL OPPORTUNIT-INST		2019-10-22	2019-12-09
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,832		2,707	125
405		396	9
389		387	2
1,847		1,745	102
3,992		3,705	287
1,982		1,933	49
1,098		1,063	35
			11,711

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			125
			9
			2
			102
			287
			49
			35

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NATL COUNCIL ON CRIME & DELINQUE 1970 BROADWAY SUITE 500 OAKLAND, CA 946122217	NONE	PC	GENERAL SUPPORT	9,722
CARE-OFFICE OF PLANNED GIVING 151 ELLIS STREET NE ATLANTA, GA 303032420	NONE	PC	GENERAL SUPPORT	9,722
UNITED WAY OF PASSAIC COUNTY 301 MAIN STREET PATERSON, NJ 075051855	NONE	PC	GENERAL SUPPORT	24,988
Total ▶ 3a				63,876

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN RED CROSS 209 FAIRFIELD ROAD FAIRFIELD, NJ 070042420	NONE	PC	GENERAL SUPPORT	9,722
PROJECT HOPE255 CARTER HALL LANE MILLWOOD, VA 226460255	NONE	PC	GENERAL SUPPORT	9,722
Total ▶ 3a				63,876

TY 2019 Explanation of Non-Filing with Attorney General Statement

Name: THE PAUL L AND BERTA KLOPSCH TRUST FUND

EIN: 22-6485688

Statement:

THE PAUL L AND BERTA KLOPSCH TR DOES NOT FILE A COPY OF FORM 990-PF WITH THE OFFICE OF THE ATTORNEY GENERAL IN KENTUCKY BECAUSE THE TRUST DOES NOT SOLICIT FUNDS IN THE STATE OF KENTUCKY AND THEREFORE THE ATTORNEY GENERAL DOES NOT WISH TO RECEIVE A COPY OF THE TAX RETURN.

TY 2019 Investments Corporate Stock Schedule**Name:** THE PAUL L AND BERTA KLOPSCH TRUST FUND**EIN:** 22-6485688

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
COMMON STOCK		

TY 2019 Investments - Other Schedule**Name:** THE PAUL L AND BERTA KLOPSCH TRUST FUND**EIN:** 22-6485688**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MUTUAL FUNDS - FIXED	AT COST	425,975	430,879
MUTUAL FUNDS - EQUITY	AT COST	611,601	638,023
ETF - EQUITY	AT COST	204,640	209,805

TY 2019 Other Decreases Schedule**Name:** THE PAUL L AND BERTA KLOPSCH TRUST FUND**EIN:** 22-6485688

Description	Amount
ROUNDING ADJ FOR SALES AND TRANSACTIONS	3

TY 2019 Other Expenses Schedule**Name:** THE PAUL L AND BERTA KLOPSCH TRUST FUND**EIN:** 22-6485688**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADR SERVICE FEES	5	5		0

TY 2019 Other Income Schedule**Name:** THE PAUL L AND BERTA KLOPSCH TRUST FUND**EIN:** 22-6485688**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FEDERAL TAX REFUND FROM PRIOR YEAR	521	0	

TY 2019 Taxes Schedule**Name:** THE PAUL L AND BERTA KLOPSCH TRUST FUND**EIN:** 22-6485688

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	136	136		0
FEDERAL ESTIMATES - PRINCIPAL	380	0		0