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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public

▶ Go to www.irs.gov/Form990PF for instructions and the latest information

1912

OMB No 1545-0047

2019

Open to Public Inspection

For calendar year 2019 or tax year beginning , 2019, and ending , 20

Name of foundation: THE CHARLES ENGELHARD FOUNDATION

A Employer identification number: 22-6063032

Number and street (or P O box number if mail is not delivered to street address): 25 DEFOREST AVE, FLOOR 3

Room/suite:

B Telephone number (see instructions): 908-795-1392

City or town, state or province, country, and ZIP or foreign postal code: SUMMIT, NJ 07901-2140

C If exemption application is pending, check here ▶ ☐

G Check all that apply. ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 69,498,497.

J Accounting method [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d), must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

03/04

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	142,366.	142,366.		STMT 1
	4 Dividends and interest from securities	1,297,195.	1,297,195.		STMT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	933,117.			
	b Gross sales price for all assets on line 6a 16,455,117.				
	7 Capital gain net income (from Part IV, line 2)		933,117.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	1,502,188.	1,502,188.		STMT 3
	12 **Total** Add lines 1 through 11	3,874,866.	3,874,866.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages		NONE	NONE	
	15 Pension plans, employee benefits		NONE	NONE	
	16a Legal fees (attach schedule) STMT 4	31,250.	31,250.	NONE	NONE
	b Accounting fees (attach schedule) STMT 5	38,700.	38,700.	NONE	NONE
	c Other professional fees (attach schedule) STMT 6	172,963.	172,963.		
	17 Interest				
	18 Taxes (attach schedule) (see instructions) STMT 7	51,000.			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings		NONE	NONE	
	22 Printing and publications		NONE	NONE	
	23 Other expenses (attach schedule) STMT 8	6,549.	4,289.		2,260.
	24 **Total operating and administrative expenses** Add lines 13 through 23	300,462.	247,202.	NONE	2,260.
	25 Contributions, gifts, grants paid	7,263,840.			7,263,840.
	26 **Total expenses and disbursements** Add lines 24 and 25	7,564,302.	247,202.	NONE	7,266,100.
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	-3,689,436.			
	b **Net investment income** (if negative, enter -0-)		3,627,664.		
	c **Adjusted net income** (if negative, enter -0-)				

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Form **990-PF** (2019)

945-47 22

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	173,319.	689,915.	689,915.
	2 Savings and temporary cash investments	1,943,875.	7,741,802.	7,741,802.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶ NONE			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations (attach schedule) STMT 9	1,935,039.	2,242,103.	2,242,103.
	b Investments - corporate stock (attach schedule)			
	c Investments - corporate bonds (attach schedule) STMT 10	6,385,670.	6,329,288.	6,329,288.
	11 Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule) STMT 24	59,847,123.	52,495,389.	52,495,389.
	14 Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	70,285,026.	69,498,497.	69,498,497.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)		NONE	
Net Assets or Fund Balances	**Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29, and 30**			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☒ and complete lines 26 through 30			
	26 Capital stock, trust principal, or current funds	70,285,026.	69,498,497.	
	27 Paid-in or capital surplus, or land, bldg , and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 **Total net assets or fund balances** (see instructions)	70,285,026.	69,498,497.	
	30 **Total liabilities and net assets/fund balances** (see instructions)	70,285,026.	69,498,497.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	70,285,026.
2	Enter amount from Part I, line 27a	2	-3,689,436.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 25	3	2,906,020.
4	Add lines 1, 2, and 3	4	69,501,610.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 26	5	3,113.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	69,498,497.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P Purchase D Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a	16,455,117.		18,100,587.	-1,645,470.
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				-1,645,470.
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-1,645,470.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). See instructions If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	7,289,458.	72,799,352.	0.100131
2017	7,923,744.	77,372,753.	0.102410
2016	8,357,045.	79,901,217.	0.104592
2015	9,318,578.	86,104,138.	0.108225
2014	10,712,187.	93,994,226.	0.113966

2	**Total** of line 1, column (d)	2	0.529324
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0.105865
4	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	69,300,005.
5	Multiply line 4 by line 3	5	7,336,445.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	36,277.
7	Add lines 5 and 6	7	7,372,722.
8	Enter qualifying distributions from Part XII, line 4	8	7,266,100.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	72,553.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	NONE
3	Add lines 1 and 2	3	72,553.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	NONE
5	**Tax based on investment income** Subtract line 4 from line 3 If zero or less, enter -0-	5	72,553.
6	Credits/Payments		
a	2019 estimated tax payments and 2018 overpayment credited to 2019 — 6a: 100,698.		
b	Exempt foreign organizations - tax withheld at source — 6b: NONE		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: NONE		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	100,698.
8	Enter any **penalty** for underpayment of estimated tax Check here ☒ if Form 2220 is attached	8	
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	28,145.
11	Enter the amount of line 10 to be **Credited to 2020 estimated tax** ▶ 28,145. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ _____ (2) On foundation managers ▶ $ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered See instructions ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ JP MORGAN CHASE BANK, N.A. Telephone no ▶ (908)795-1392
Located at ▶ 25 DEFOREST AVENUE, FLOOR 3, SUMMIT, NJ ZIP+4 ▶ 07901-2140

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year, did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? ☐ Yes ☒ No			
	If "Yes," list the years ▶ _____, _____, _____, _____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ _____, _____, _____, _____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year, did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions	5b		
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year? ☐ Yes ☒ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 27				

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
JPMorgan Chase 270 PARK AVE, NEW YORK, NY	ADMINISTRATION	141,713.

Total number of others receiving over $50,000 for professional services . . . . . ▶ **NONE**

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 . . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	23,220,396.
b	Average of monthly cash balances	1b	7,400,082.
c	Fair market value of all other assets (see instructions)	1c	39,734,857.
d	**Total** (add lines 1a, b, and c)	1d	70,355,335.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	70,355,335.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,055,330.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	69,300,005.
6	**Minimum investment return.** Enter 5% of line 5	6	3,465,000.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	3,465,000.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	72,553.		
b	Income tax for 2019 (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	72,553.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	3,392,447.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	3,392,447.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	3,392,447.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes.		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	7,266,100.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	7,266,100.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions	5	N/A
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	7,266,100.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				3,392,447.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			NONE	
b Total for prior years 20____,20____,20____		NONE		
3 Excess distributions carryover, if any, to 2019				
a From 2014 6,169,045.				
b From 2015 5,072,267.				
c From 2016 4,428,686.				
d From 2017 4,193,030.				
e From 2018 3,794,788.				
f **Total** of lines 3a through e	23,657,816.			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ $ 7,266,100.				
a Applied to 2018, but not more than line 2a			NONE	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2019 distributable amount				3,392,447.
e Remaining amount distributed out of corpus	3,873,653.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).)	NONE			NONE
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	27,531,469.			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see instructions		NONE		
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount - see instructions			NONE	
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	NONE			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)	6,169,045.			
9 **Excess distributions carryover to 2020.** Subtract lines 7 and 8 from line 6a	21,362,424.			
10 Analysis of line 9				
a Excess from 2015 5,072,267.				
b Excess from 2016 4,428,686.				
c Excess from 2017 4,193,030.				
d Excess from 2018 3,794,788.				
e Excess from 2019 3,873,653.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc , to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed.

b The form in which applications should be submitted and information and materials they should include.

c Any submission deadlines.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED STATEMENT				7,263,840.
Total ▶ **3a**				7,263,840.
b *Approved for future payment*				
SEE ATTACHED STATEMENT				9,314,640.
Total ▶ **3b**				9,314,640.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	142,366.	
4 Dividends and interest from securities			14	1,297,195.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	933,117.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b OTHER INCOME			15	1,502,188.	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				3,874,866.	
13 Total Add line 12, columns (b), (d), and (e)					13 3,874,866.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
	NOT APPLICABLE

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury I declare that I have examined this return, including accompanying schedules and statements and to the best of my knowledge and belief it is true correct and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ [signature] Signature of officer or trustee | Nov 3, 20 Date | ▶ President Title

May the IRS discuss this return with the preparer shown below? See instructions ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
JACOB J ZEHNDER	[signature]	11/01/2020		P01564049

Firm's name ▶ ERNST & YOUNG U.S. LLP Firm's EIN ▶ 34-6565596

Firm's address ▶ 155 N. WACKER CHICAGO, IL 60606 Phone no 312-879-2000

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
ACCOUNT XXXXX-4009		5,550.	5,550.
ACCOUNT XXXXX-4306		2,245.	2,245.
ACCOUNT XXXXX-3000		108.	108.
ACCOUNT XXXXX-7009		133,457.	133,457.
FIDELITY XXXXX-6284		1,006.	1,006.
	TOTAL	142,366.	142,366.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
ACCOUNT XXXXX-4009	167,513.	167,513.
ACCOUNT XXXXX-4306	72,589.	72,589.
ACCOUNT XXXXX-7009	261,464.	261,464.
FIDELITY XXXXX-6284	36,023.	36,023.
TITAN PARTNERS	69,015.	69,015.
OAKTREE HIGH YIELD BOND FUND	77,570.	77,570.
OAKTREE SENIOR LOAN FUND LP	595,712.	595,712.
FIDELITY XXXXX-6541	17,309.	17,309.
TOTAL	1,297,195.	1,297,195.

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
TITAN PARTNERS		1,822,015.	1,822,015.
OAKTREE HIGH YIELD BOND FUND		-307,868.	-307,868.
OAKTREE SENIOR LOAN FUND LP		-62,612.	-62,612.
ACCOUNT XXXXX-4306		433.	433.
MANUFACTURERS & TRADERS TR		50,220.	50,220.
	TOTALS	1,502,188.	1,502,188.

FORM 990PF, PART I - LEGAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
EDWARD G BEIMFOHR	31,250.	31,250.		
TOTALS	31,250.	31,250.	NONE	NONE

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ERNST & YOUNG U.S. LLP	38,700.	38,700.		
TOTALS	38,700.	38,700.	NONE	NONE

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
JPMORGAN CHASE XXXXX-4009	5,585.	5,585.
JPMORGAN CHASE XXXXX-4306	3,432.	3,432.
JP MORGAN CHASE XXXXX-7009	163,946.	163,946.
TOTALS	172,963.	172,963.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS
2018 EXTENSION AMOUNT	30,000.
2019 ESTIMATED PAYMENT	21,000.
TOTALS	51,000.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
JPMORGAN CHASE ANNUAL REPORT	53.		53.
CORPORATION SERVICE COMPANY NJ	191.		191.
CORPORATION SERVICE COMPANY DE	381.		381.
OAKTREE SENIOR LOAN FUND LP	4,289.	4,289.	
FIDELITY ACCOUNT FEES	135.		135.
NY AG FILING FEE	1,500.		1,500.
TOTALS	6,549.	4,289.	2,260.

THE CHARLES ENGELHARD FOUNDATION 22-6063032

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
US TREASURY 1.625% 7/31/19	79,571.		
US TREASURY 3.375% 11/15/19	160,962.		
US TREASURY 3.5% 5/15/20	268,286.		
US TREASURY 2.625% 8/15/20	90,116.		
US TREASURY 2.625% 11/15/20	155,257.		
US TREASURY 3.125% 5/15/21	162,347.		
US TREASURY 2.125% 12/31/21	222,775.		
US TREASURY 2.125% 12/31/22	197,188.		
US TREASURY 1.625% 4/30/23	173,569.		
US TREASURY 1.75% 2/28/22	195,634.		
US TREASURY 1.875% 4/30/22	73,563.		
US TREASURY 2% 4/30/24	155,771.		
US TREAS 1.75% 10/31/20		115,089.	115,089.
US TREAS 2% 11/15/21		191,446.	191,446.
US TREAS 1.75% 03/31/22		175,590.	175,590.
US TREAS 1.625% 11/15/22		175,032.	175,032.
US TREAS 1.5% 03/31/23		174,249.	174,249.
US TREAS 1.625% 02/15/26		99,009.	99,009.
US TREAS 2.25% 03/31/26		261,740.	261,740.
US TREAS 1.625% 05/15/26		98,873.	98,873.
US TREAS 2.375% 05/15/27		202,026.	202,026.
US TREAS 2.875% 08/15/28		113,091.	113,091.
US TREAS 3.875% 08/15/40		245,484.	245,484.
US TREAS 2.875% 08/15/45		141,935.	141,935.
US TREAS 2.5% 05/15/46		122,362.	122,362.
US TREAS 2.25% 08/15/46		126,177.	126,177.
TOTALS	1,935,039.	2,242,103.	2,242,103.

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
TRANS CANADA PIPELINES 7.125%	10,013.		
TOYOTA MOTOR CREDIT CORP MTN 2	14,993.		
FNMA 765769 5% 2/1/19			
NOVARTIS SECS INVEST LTD 5.125	15,030.		
BANK OF NEW YORK MELLON MTD 2.	9,988.		
TRAVELERS COS INC SR NTS 5.9%	15,187.		
BANK OF NOVA SCOTIA 1.65% 6/14	4,972.		
ACE INA HLDGS 5.9% 6/15/19	20,259.		
FNMA 1.75% 6/20/19	49,803.		
COMCAST CORP NEW NT 5.7% 6/1/1	15,177.		
FHLMC REMIC 2828 CL JE 4.5% 7/	782.		
GNMA 619092 5% 7/15/19	24.		
CITIGROUP INC 2.50% 7/29/19	24,915.		
FHLMC GOLD G18006 5.5% 8/1/19	1,108.		
WORLD OMNI AUTOMOBILE LEASE SE	7,506.		
AMERICAN HONDA FINANCE MTN 2.2	9,953.		
LOCKHEED MARTIN CORP SR NTS 4.	15,177.		
PPG INDUSTRIES INC 2.30% 11/15	9,935.		
UNITED TECHNOLOGIES CORP 8.875	10,472.		
TD AMERITRADE HLDG CO 5.6% 12/	15,316.		
AMAZON.COM INC 2.6% 12/5/19	5,985.		
FNMA SER 2004 101 CL HD 1/25/2			
BANK OF NY MELLON CORP MTD 2.1	2,973.		
AXIS SPECIALTY FINANCE 5.875%	10,287.	10,157.	10,157.
MORGAN STANLEY 2.8% 6/16/20	24,334.		
PRUDENTIAL FINANCIAL INC 5.375	20,507.		
FIFTH THIRD BANCORP 2.875% 7/2	9,938.	10,041.	10,041.
PNC FUNDING CORP 4.375% 8/11/2	20,364.	20,298.	20,298.
CAPITAL AUTO RECV ASSET 2016-3	6,426.		

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
BP CAPITAL MARKETS PLC 4.5% 10	15,355.		
SANTANDER DRIVE AUTO RECV 2014	29,858.		
SANTANDER UK GROUP HLDGS 3.125	9,817.	10,085.	10,085.
WELLS FARGO & CO MTN 3% 1/22/2	29,865.		
FORD CREDIT FLOORPLAN MASTER O	49,905.		
GOLDMAN SACHS GROUP INC 2.875%	9,829.		
SIMON PROPERTY GROUP LP 4.375%	9,890.		
HUNTINGTON BANCSHARES 3.15% 3/	9,955.	10,122.	10,122.
KEYCORP MTN 5.1% 3/24/21	10,371.	10,377.	10,377.
TORONTO DOMINION BANK 2.125% 4	8,807.		
DEUTSCHE BANK AG 3.375% 5/12/2	9,650.		
BANK OF AMERICA CORP ,TD SR NT	10,380.		
BOSTON PROPERTIES LP 4.125% 5/	10,135.		
FHLMC GOLD G12319 5% 6/1/21	3,885.	1,489.	1,489.
FHLMC GOLD G12379 4.5% 6/1/21	2,605.	808.	808.
TOTAL CAPITAL INTL SA 2.75% 6/	9,942.		
FHLMC GOLD G12255 5.5% 7/1/21	5,466.	2,275.	2,275.
SOUTHERN CO 2.35% 7/1/21	9,722.		
GENERAL DYNAMICS CORP 3.875% 7	10,220.		
BAKER HUGHES INC 3.2% 8/15/21	9,884.		
FHLMC GOLD G12438 5.5% 12/1/21	11,798.	5,836.	5,886.
CALIFORNIA REPUBLIC AUTO RECV	28,725.		
FREDDIE MAC SER 1186 CL I 7% 1	11,134	6,334.	6,384.
NISSAN AUTO LEASE TRUST 2016-B	34,545.		
SUNTRUST BANKS INC 2.7% 1/27/2	9,752.		
FNMA 254229 6.5% 2/1/22	11,030.	6,877.	6,877.
WALT DISNEY COMPANY ,TD 2.55%	9,839.		
SOUTHWESTERN ELEC POWER 3.55%	10,019.		
CAPITAL ONE FIANCIAL CO 3.05%	9,753.		

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
HSBC HLDGS PLC 4% 3/30/22	25,324.		
DIAGEO INVESTMENT CORP 2.875%	19,804.		
CANADIAN IMPERIAL BANK 2.55% 6	14,606.		
SUMITOMO MITSUI FINL GRP 2.784	14,615.	15,252.	15,252.
AMERICAN EXPRESS CO 2.5% 8/1/2	19,303.		
GILEAD SCIENCES INC 3.25% 9/1/	9,976.		
NUCOR CORP 4.125% 9/15/22	15,419.	15,737.	15,737.
ORACLE CORP 2.5% 10/15/22	14,598.		
FHLMC GOLD M30366 5% 11/1/22	6,871.	4,338.	4,338.
ABBVIE INC 3.2% 11/6/22	14,775.	15,388.	15,388.
FNMA 967007 6% 12/1/22	19,098.	12,648.	12,648.
WELLPOINT INC 3.3% 1/15/23	9,839.	10,341.	10,341.
WORLD FINANCIAL NETWORK CREDIT	29,997.		
WELLS FARGO & CO 3.069% 1/24/2	9,740.	51,027.	51,027.
FHLMC GOLD G13004 5% 3/1/23	12,815.	8,313.	8,313.
FHLMC GOLD G13211 6% 3/1/23	14,831.	8,747.	8,747.
FNMA 962243 5% 3/1/23	13,901.	9,195.	9,195.
FNMA 973865 4.5% 4/1/23	13,610.	8,994.	8,994.
ERP OPERATING LP 3% 4/15/23	9,835.		
FHLMC GOLD G13175 5.5% 5/1/23	11,867.	6,977.	6,977.
FNMA 1993-70 CL Z 6.9% 5/25/23	12,925.	8,629.	8,629.
AFLAC INC 3.625% 6/15/23	10,033.	10,517.	10,517.
BANK OF AMERICA CORP CAR RT 7/	14,508.	15,241.	15,241.
FNMA 995265 5.5% 1/1/24	7,236.	4,639.	4,639.
INVESCO FINANCE PLC 4% 1/30/24	9,983.		
APPLE INC 3% 2/6/24	8,887.		
FNMA 2010-145 CL PA 4% 10/25/2	21,130.	9,496.	9,496.
FHLMC SER 3005 CL ED 5% 7/15/2	27,275.		
FHLMC MULTICLASS MTG PARTN CRF	29,804.		

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
FNMA 2003-22 CL UD 4% 4/25/33	62,710.	55,257.	55,257.
GNMA 2003-46 CL ND 5% 6/20/33	40,445.	35,063.	35,063.
FNMA REMIC 2003-58 CL GL 3.5%	59,618.		
GNMA 2004-27 CL MC 5.5% 4/20/3	29,816.	26,941.	26,941.
GNMA SER 2005-6 CL UY 5% 10/20	25,198.		
FHLMC 2973 CL GD 5.5% 2/15/35	71,434.	89,883.	89,883.
GNMA 2010-62 CL GA 4% 3/16/35	14,122.		
GNMA 2006-7 CL ND 5.5% 8/20/35	75,581.	67,352.	67,352.
FNMA 256220 6% 4/1/36	10,206.		
FNMA 2007-30 CL MB 4.25% 4/25/	44,163.	37,891.	37,891.
GNMA 2008-47 CL GA 5.5% 5/16/3	22,329.	7,503.	7,503.
GNMA II SER 2007-44 CL PH 6% 7	43,445.		
FNMA 2010-7 CL PE 5% 2/25/40	21,302.	14,992.	14,992.
FHLMC 3656 CL PM 5% 4/15/40	73,051.	62,749.	62,749.
FNMA 2012-126 CL PE 2.5% 7/25/	75,925.	62,634.	62,634.
WESTPAC BANKING CORP 1.60% 8/1	17,840.		
ORIX CORP 2.90% 7/18/22	14,656.		
TOYOTA MOTOR CREDIT CORP MTN C	32,943.		
AMERICAN EXPRESS CO VAR RT 5/2	20,050.		
BANK OF NOVA SCOTIA VAR RT 6/1	34,825.		
JOHN DEERE CAPITAL CORP VAR RT	40,002.	10,190.	10,190.
ORACLE CORP VAR RT 1/15/19	5,001.		
TOYOTA MOTOR CREDIT CORP MTN V	30,002.		
ANHEUSER BUSCH INBEV FIN VAR R	14,998.		
BB&T CORP MTN VAR RT 2/1/19	10,000.		
MONDELEZ INTERNATIONAL VAR RT	30,000.		
PEPSICO INC VAR RT 2/22/19	20,015.		
SANTANDER UK PLC VAR RT 3/14/1	60,109.		
US BANCORP MTN VAR RT 4/25/19	10,003.		

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
AMGEN INC VAR RT 5/10/19	24,994.		
AMGEN INC VAR RT 5/22/19	40,023.		
WESTERN UNION CO VAR RT 5/22/1	9,997.		
WALT DISNEY CO MTN VAR RT 5/30	40,030.		
TORONTO DOMINION BANK VAR RT 8	75,206.		
LOWES COS INC VAR RT 9/10/19	39,958.		
GILEAD SCIENCES INC VAR RT 9/2	39,958.		
TORONTO DOMINION BANK MTN VAR	70,046.		
MARTIN MARIETTA MATERIAL VAR R	15,954.		
CATERPILLAR FINL SERVICE MTN V	65,104.		
BB&T CORP MTN VAR RT 1/15/20	49,094.		
SUNTRUST BANKS INC VAR RT 1/31	39,961.		
MEDTRONIC INC VAR RT 3/15/20	40,175.		
AMGEN INC VAR RT 5/11/20	14,980.		
SHELL INTERNATIONAL FIN VAR RT	40,054.		
CAPITAL ONE FINANCIAL CO VAR R	25,000.		
MARTIN MARIETTA MATERIAL VAR R	19,892.		
DEUTSCHE BANK NY VAR RT 7/13/2	53,289.	10,077.	10,077.
KRAFT HEINZ FOODS CO VAR RT 2/	19,834.		
DXC TECHNOLOGY CO VAR RT 3/1/2	16,981.		
TORONTO DOMINION BANK VAR RT 4	15,125.		
CHEVRON CORP VAR RT 5/16/21	25,269		
MORGAN STANLEY VAR RT 1/20/22	99,672		
CAPITAL ONE FINANCIAL CO VAR R	39,354.		
VERIZON COMMUNICATIONS VAR RT	35,093.		
CITIGROUP INC VAR RT 4/25/22	251,644.		
CONOCOPHILLIPS CO VAR RT 5/15/	30,303.		
ASTRAZENECA PLC VAR RT 6/10/22	39,492.		
CARDINAL HEALTH INC VAR RT 6/1	19,781.		

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
CANADIAN IMPERIAL BANK VAR RT	29,726.		
GENERAL MOTORS FINL CO VAR RT	19,330.		
MORGAN STANLEY VAR RT 7/22/22	147,894.		
MITSUBISHI UFJ FIN GRP VAR RT	84,023.		
GOLDMAN SACHS GROUP INC VAR RT	141,285.		
EBAY INC VAR RT 1/30/23	19,817.		
BANK OF AMERICA CORP VAR RT 4/	128,599.		
FNMA 1996-46 CL FE VAR RT 7/25	23,645.		
BANK OF NY MELLON CORP MTN VAR	55,097.		
WELLS FARGO & CO VAR RT 10/31/	219,318.		
GOLDMAN SACHS GROUP INC MTN VA	44,595.		
FHLMC APR2322 CL FD VAR RT 5/1	16,207.		
FNMA SER 2001-46 CL F FLTG RT	16,238.		
FNMA 2002-60 CL F VAR RT 11/25	14,331.		
FHLMC 2396 CL FN VAR RT 12/15/	27,134.		
FNMA SER 200-63 CL FB FLTG RT	17,198.		
FNMA SER 2002-4 CL FD FLTG RT	19,441.		
FHLMC SER 2448 CL FL FLTG RT N	22,892.		
FHLMC SER 2448 CL FV FLTG RT N	21,800.		
FNMA SER 2002-34 CL FE FLTG RT	6,544.		
FNMA SER 2003-119 CL FH FLTG R	11,116.		
FNMA 2004-87 CL F VAR RT 1/25/	25,235.		
FNMA 781431 2.252% 9/1/34	22,036.		
FNMA 815476 VAR RT 4/1/35	26,562.		
FNMA SER 2005-25 CL PF FLTG RT	19,768.		
FHLMC 2981 CL FA VAR RT 5/15/3	14,647.		
FNMA SER 2005-66 CL PF FLTG RT	11,092.		
FNMA SER 2005-103 CL PF FLTG R	10,799.		
FHLMC FRN 3153 CL UF VAR RT 5/	32,292.		

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
FHLMC SER 3153 CL FX FLTG RT N	10,879.		
FNMA SER 2006-104 CL FC FLTG R	19,311.		
FNMA 2009-12 CL FA VAR RT 4/25	15,014.		
FHLMC SER 3360 CL FC FLTG RT N	15,047.		
FNMA 2007-38 CL FB VAR RT 5/25	11,511.		
FHLMC JAN3387 CL FC VAR RT 11/	8,004.		
FNMA 2011/87 CL VAR RT 9/25/41	23,749.		
FHLMC 4105 CL FG VAR RT 9/15/4	38,153.		
FNMA 2009-56 CL AF VAR RT 7/25	10,208.		
WESTPAC BANKING CORP VAR RT 8/	20,020.		
SUMITOMO MITSUI FINL GRP VAR R	105,785.		
NOMURA HOLDINGS INC	10.385.	10,077.	10,077.
HYUNDAI AUTO RECEIVABLES TRUST	22.824.		
CAP AUTO RECEIVABLES ASSET TR	39.990.		
CARMAX AUTO OWNER TRUST	34 369.	10,125.	10,125.
AMERICAREDIT AUTOMOBILE RECEIV	29 664.		
FHLMC REMIC 3288 CL HB 4.5%	9.528.	81.	81.
GOLDMAN SACHS GROUP INC 3% 4/2	17.431.		
AETNA INC	4,797.	5,079.	5,079.
WORLD FINANCIAL NETWORK CRESDI	19.783.		
LINCOLN NATIONAL CORP	10,194.		
DOMINION ENERGY GAS HLDG	14,964.	15,544.	15,544.
REALTY INCOME CORP	10,016.		
AMERIPRISE FINANCIAL INC 3.7%	9,995.		
BB&T CORPORATION MTN 2.85% 10/	14,410.	15,499.	15,499.
FNMA 2013-13 CL MA 4% 1/25/204	96,511.	81,523.	81,523.
JOHN DEER CAPITAL CORP MTN 2.7	9,752.		
CAMPBELL SOUP CO.	29,733.		
DOLLAR TREE INC VAR RT 4/17/20	19,872.		

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
SPECTRA ENERGY PARTNERS VAR RT	44,721.		
TYCO ELECTRONICS GROUP S VAR R	34,920.		
EQT CORP VAR RT 10/01/20	19,483.		
CONAGRA BRANDS INC SR NTS VAR	29,916.		
DOWDUPONT INC SR NTS VAR RT 11	28,984.		
BECTON DICKINSON AND CO VAR RT	19,799.		
AMERICAN HONDA FINANCE VAR RT	64,409.		
PHILLIPS 66 VAR RT 2/10/21	24,720.		
VULCANMATERIALS CO VAR RT 3/01	29,775.		
CVS HEALTH CORP VAR RT 3/09/21	16,863.		
GENERAL MILLS INC VAR RT 4/16/	14,767.		
PACCAR FINL CORP SR NTS MTN VA	25,007.		
GLAXOSMITHKLINE CAP VAR RT 5/4	54,623.		
AMERICAN EXPRESS CO SR NTS VAR	84,617.		
UTD TECHNOLOGIES CORP SR NTS V	29,887.	25,629.	25,629
BANK OF MONTREAL MTNV2.1% 12/1	9,905.		
GENERAL MOTORS FINL CO VAR RT	19,445.		
ALLSTATE CORP VAR RT 3/29/23	42,120.		
M&T BK CORP VAR RT 7/26/23	53,829.		
VODAFONE GROUP PLC VAR RT 1/16	29,265.		
FNMA SER 2002-48 CL F VAR RT 7	31,506.		
FNMA 888627 2.496% 9/01/35	8,040.		
GNMA 2016-H06 CL FC VAR RT 2/2	79,015.		
GNMA 2016-H23 CL FD VAR RT 10/	32,289.		
WESTPAC BANKING CORP 1.6% 8/19	64,288.		
ALLERGAN FDG SCS		10,007.	10,007.
MANULIFE FINL CORP		10,199.	10,199.
INTERCONTINENTALEXCHANGE		15,101.	15,101.
TELEFONICA EMISIONES SAU		10,377.	10,377.

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
SOUTHN CO		10,047.	10,047.
SHIRE ACQ INV IRELAND DA		10,054.	10,054.
AMERICREDIT AUTOMOBILE RECEIVA		8,575.	8,575.
CA REP AUTO RECEIVABLES TR 201		9,247.	9,247.
TRUIST FIN CORP		10,134.	10,134.
COOPERATIEVE RABOBANK UA		20,821.	20,821.
MITSUBISHI UFJ FIN GRP 2.998%		25,469.	25,469.
UN PAC CORP		10,215.	10,215.
HSBC HOLDINGS PLC		26,043.	26,043.
APACHE CORP		6,111.	6,111.
ALLY AUTO RECEIVABLES TR 2019-		140,249.	140,249.
LIBERTY PPTY LP		10,461.	10,461.
AMERN EXPRESS CO SR NTS 2.5% 0		20,229.	20,229.
TRANSCANADA PIPELINES		15,153.	15,153
SANTANDER DRIVE AUTO RECEIVABL		17,041.	17,041.
INTL LEASE FINANCE CORP		10,890.	10,890.
VENTAS REALTY LP/CAP CRP		10,259.	10,259
SANTANDER DRIVE AUTO RECEIVABL		32,180.	32,180.
MOSAIC CO 3.250% 11/15/2022 DT		10,256.	10,256.
NATL OILWELL VARCO I		4,036.	4,036.
GEN MOTORS FINL CO		10,217.	10,217
JOHN DEERE CAP CORP SR NTS MTN		10,221.	10,221.
CROWN CASTLE INTL CORP SR NTS		10,858.	10,858
AT&T INC 3.600% 02/17/2023		10,432.	10,432
HCA INC SR NTS 4.75% 05/01/202		10,706.	10,706.
AMERICREDIT AUTOMOBILE RECEIVA		151,448.	151,448.
NUTRIEN LTD		10,353.	10,353.
ORIX CORP3.250% 12/04/2024		10,418.	10,418.
CANADIAN IMPERIAL BK		15,156.	15,156.

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	BEGINNING BCOK VALUE	ENDING BOOK VALUE	ENDING FMV
BK OF NOVA SCOTIA		15,705.	15,705.
COMERICA INC SR NTS 3.7% 07/31		15,789.	15,789.
AMERICREDIT AUTOMOBILE RECEIVA		151,295.	151,295.
ADVANCE AUTO PARTS 4.500% 12/0		10,711.	10,711.
BROADCOM CRP / CAYMN FI 3.625%		10,356.	10,356.
INVESCO FIN PLC 4.0% 01/30/202		10,622.	10,622.
AMERN TOWER CORP SR NTS 5.0% 0		11,007.	11,007.
SPECTRA ENERGY PARTNERS 4.750%		10,881.	10,881.
BROOKFIELD FIN INC 4.0% 04/01/		16,076.	16,076.
GILEAD SCIENCES INC 3.700% 04/		21,195.	21,195.
CANADIAN NATL RESOURCES 3.800%		10,565.	10.565.
HUSKY ENERGY INC SR NTS 4.0% 0		10,550.	10.550.
AMERN CAMPUS CMNTYS 4.125% 07/		10,657.	10.657.
GOLDMAN SACHS GROUP INC SR NTS		26,420.	26.420.
HEALTHPEAK PROPERTIES SR NTS 3		10,630.	10,630.
TYSON FOODS INC SR NTS 3.95% 0		10,714.	10.714.
SIMON PROPERTY GROUP LP 3.375%		10,524.	10 524.
AMERIPRISE FINL INC SR NTS 3.7		10,673.	10.673.
APPLE INC 2.500% 02/09/2025		30,529.	30 529.
AIR LEASE CORP SR NTS 3.25% 03		15,430.	15.430.
BOSTON SCIENTIFIC CORP 3.850%		6,440.	6 440.
AVALONBAY COMMUNITIES 3.450% 0		10,560.	10 560.
HEALTH CARE REIT INC 4.000% 06		10,756.	10.756.
WEC ENERGY GROUP INC SR NTS 3.		10,608.	10.608.
AMERICAN INTL GROUP 3.750% 07/		10,693.	10.693.
FHLMC 3005 CL ED 5.0% 07/15/20		19,792.	19,792.
KRAFT HEINZ FOODS CO 3.95% 07/		10,579.	10,579.
MORGAN STANLEY SR NTS MTN 4.0%		70,283.	70,283.
ST STR CORP SR NTS 3.55% 08/18		10,745.	10,745.

THE CHARLES ENGELHARD FOUNDATION 22-6063032

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
UDR INC MTN 4.000% 10/01/2025		10,790.	10,790.
HALLIBURTON CO SR NTS 3.8% 11/		5,333.	5,333.
FHLMC 3787 CL AY 3.5% 01/15/20		145,188.	145,188.
BANK OF AMERICA CORP VAR RT 01		20,909.	20,909.
ANHEUSER-BUSCH COS LLC NOTES F		15,979.	15,979.
COMCAST CORP 3.150% 03/01/2026		36,659.	36,659.
JB HUNT TRANSPRT SVCS		10,736.	10,736.
FHLMC 3945 CL A		64,183.	64,183.
LOWE'S COS INC		20,130.	20,130.
BK OF AMER CORP SR NTS MTN 3.5		31,874.	31,874.
AUTOZONE INC 3.125% 04/21/2026		10,305.	10,305.
WESTPAC BNKG CORP SR NTS 2.85%		25,586.	25,586.
BOARDWALK PIPELINES LP		11,235.	11,235
FISERV INC SR NTS 3.2% 07/01/2		5,172.	5,172.
LIFESTORAGE LP 3.5% 07/01/2026		10,267.	10,267.
HEALTHPEAK PROPERTIES SR NTS 3		5,180.	5,180.
ENTERGY CORP 2.950% 09/01/2026		10,151.	10,151.
KEURIG DR PEPPER INC 2.55% 09/		9,956.	9,956.
CITIGROUP INC SR NTS 3.2% 10/2		57,007.	57,007.
ANALOG DEVICES INC SR NTS 3.5%		10,481.	10,481.
BP CAP MARKETS AMER 3.017% 01/		20,700.	20,700.
MPLX LP SR NTS 4.125% 03/01/20		10,493.	10,493.
CAPITAL ONE FINANCIAL CO 3.750		21,310.	21,310.
ROCKWELL COLLINS INC 3.500% 03		10,610.	10,610.
MARTIN MARIETTA MATERIAL 3.500		10,371.	10,371.
REALTY INCOME CORP 3.650% 01/1		21,545.	21,545.
BK OF NY MELLON CORP SR NTS MT		15,899.	15,899.
CSX CORP 3.800% 03/01/2028		10,900.	10,900.
PHILLIPS 66 PARTNERS LP 3.750%		10,404.	10,404.

THE CHARLES ENGELHARD FOUNDATION 22-6063032

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
CVS HEALTH CORP 4.300% 03/25/2		27,281.	27,281.
ATLANTIC CITY ELEC 4.0% 10/15/		11,143.	11,143.
WELLS FARGO & CO SR NTS MTN 4.		16,688.	16,688.
FHLMC 2125 CL JZ 6.0% 02/15/20		24,857.	24,857.
MID-AMERICA APARTMENTS SR NTS		5,455.	5,455.
PFIZER INC SR NTS 3.45% 03/15/		26,905.	26,905.
VIACOMCBS INC SR NTS 4.2% 06/0		10,907.	10,907.
DUKE ENERGY CORP SR NTS 3.4% 0		5,219.	5,219.
US BANCORP MTN 3.0% 07/30/2029		15,482.	15,482.
AMERN TOWER CORP SR NTS 3.8% 0		5,338.	5,338.
NISOURCE INC SR NTS 2.95% 09/0		9,952.	9,952.
ONEOK INC 3.4% 09/01/2029		15,220.	15,220.
SIMON PPTY GROUP LP		4,915.	4,915.
ROPER TECHNOLOGIES INC SR NTS		5,044.	5,044.
NOBLE ENERGY INC SR NTS 3.25%		10,071.	10,071
ALEXANDRIA REAL ESTATE E 2.75%		9,865.	9,865.
L3HARRIS TECH INC SR NTS 2.9%		10,144.	10,144.
UDR INC MTN 3.2% 01/15/2030		5,107.	5,107
AMPHENOL CORP SR NTS 2.8% 02/1		9,891.	9,891.
HEALTHCARE TR OF AMER 3.1% 02/		17,849.	17,849.
CENTERPOINT ENERGY INC SR NTS		9,845.	9,845
DEUTSCHE TELEKOM INTL FINANCE		14,677.	14,677.
QUEST DIAGNOSTICS INC SR NTS 2		5,004.	5,004.
GEN ELEC CO SR NTS MTN 6.75% 0		25,654.	25,654.
STRIPS ZERO CPN 02/15/2033		186,630.	186,630.
FNMA MA1472 3.5% 06/01/33		146,102.	146,102.
FNMA 2003-58 CL GL 3.5% 07/25/		50,977.	50,977.
VERIZON COMMUNICATIONS SR NTS		29,171.	29,171.
FNMA AL7803 3.5% 09/01/34		175,760.	175,760.

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
GNMA 2005-6 CL UY 5.0% 10/20/2		64,099.	64,099.
MICROSOFT CORP SR NTS 3.5% 02/		49,471.	49,471.
METLIFE INC SR NTS 5.7% 06/15/		26,832.	26,832.
MCDONALD'S CORP SR NTS MTN 4.7		17,753.	17,753.
FNMA 256220 6% 04/01/36		9,459.	9,459.
FNMA AL9423 3.5% 05/01/36		158,667.	158,667.
S WESTN PUB SERV		6,315.	6,315.
GNMA 2007-44 CL PH		37,732.	37,732.
CVS HEALTH CORP 4.780% 03/25/2		17,002.	17,002.
VODAFONE GROUP PLC SR NTS 5.0%		11,554.	11,554.
SUNCOR ENERGY INC SR NTS 5.0%		13,889.	13,889.
GOLDMAN SACHS GROUP INC SR NTS		32,524.	32,524.
DUPONT DE NEMOURS INC SR NTS 5		23,818.	23,818.
CITIGROUP INC SR NTS 8.125% 07		16,713.	16,713.
FNMA 2017-105 CL N		158,575.	158,575.
LINCOLN NATL CORP SR NTS 4.35%		10,990.	10,990.
GNMA 2019-23 CL LE 3.5% 07/20/		173,787.	173,787.
DUKE ENERGY IN LLC 3.25% 10/01		4,983.	4,983.
AEP TX INC SR NTS 3.45%		4,987.	4,987.
PUB SVC CO 3.2% 03/01/2050		10,016.	10,016.
HOME DEPOT INC4.250% 04/01/204		23,627.	23,627.
FNMA AS7252 4.5% 05/01/46		63,235.	63,235.
CON EDISON CO OF NY INCSR NTS		10,743.	10,743.
ABBOTT LABORATORIES SR NTS 4.9		26,241.	26,241.
SHELL INTL FIN 5.5% 03/25/2040		27,098.	27,098.
FHLMC 3677 CL KB		94,128.	94,128.
FHLMC 3669 CL GU		110,095.	110,095.
BURLINGTN NORTH SANTA FE		19,357.	19,357.
AT&T INC SR NTS 5.55% 08/15/20		18,309.	18,309.

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION		BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
ANHEUSER-BUSCH INBEV WOR4.95%			41,320.	41,320.
FHLMC 4105 CL YC			197,177.	197,177.
FNMA AP3108 3.5% 10/01/42			86,071.	86,071.
COMCAST CORP 4.5% 01/15/2043			35,174.	35,174.
FNMA 2013-58 CL KJ			175,582.	175,582.
FNMA 2013-31 CL NC			195,542.	195,542.
APPLE INC SR NTS 3.85% 05/04/2			22,387.	22,387.
BERKSHIRE HATHAWAY FIN 4.3% 05			23,058.	23,058.
HALLIBURTON CO SR NTS 4.75% 08			11,087.	11,087.
KROGER CO SR NTS 5.15% 08/01/2			11,450.	11,450.
GNMA AE7779 3.5% 09/15/43			166,591.	166,591.
BK OF AMER CORP SR NTS MTN 5.0			32,490.	32,490.
ORACLE CORP SR NTS 4.5% 07/08/			41,497.	41,497.
GNMA 2015-79 CL QP 3.0% 11/20/			51,753.	51,753.
ENTERPRISE PRODUCTS OPER 5.1%			11,959.	11,959.
SUNOCO LOGISTICS PARTNER 5.35%			5,363.	5,363.
REYNOLDS AMERICAN INC 5.850% 0			22,894.	22,894.
CHUBB INA HOLDINGS INC 4.35% 1			12,082.	12,082.
FNMA 2016-38 CL NA 3.0% 01/25/			163,526.	163,526.
INDIANA MICHIGAN POWER 4.550%			11,665.	11,665.
	TOTALS	6,385,670.	6,329,288.	6,329,288.

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	COST/ FMV C OR F	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
DFA US CORE EQUITY II	F	2,586,244.		
DFA US SMALL CAP PORTFOLIO INS	F	2,371,655.		
VANGUARD TOTAL WORLD STK ETF	F	9,392,528.	10,527,728.	10,527,728.
OAKTREE HIGH YIELD BOND FUND L	F	4,442,881.	477,743.	477,743.
OAKTREE SENIOR LOAN FUND LP	F	10,529,024.	10,733,140.	10,733,140.
TITAN PARTNERS LP	F	30,524,791.	25,409,549.	25,409,549.
ISHARES MSCI KLD 400 SOCIAL	F		1,624,638.	1,624,638.
PARNASSUS CORE EQUITY-INST	F		1,078,042.	1,078,042.
DFA INTERNATIONAL SUSTAIN CORE	F		124,212.	124,212.
DFA US SUSTAIN CORE 1 PORTFOLI	F		363,816.	363,816.
VERT GLB SUSTAINABLE REAL ESTA	F		99,816.	99,816.
PAX MSCI EAFE ESG LEADERS INDE	F		123,039.	123,039.
PAX WORLD GLB ENVIR MARKETS IN	F		139,832.	139,832.
CALVERT HIGH YIELD BOND CLASS	F		119,996.	119,996.
CALVERT GREEN BOND CLASS I	F		98,932.	98,932.
PAX WORLD HIGH YIELD BOND INST	F		120,533.	120,533.
TIAA-CREF SOCIAL CHOICE BOND I	F		390,110.	390,110.
ISHARES TR MSCI USA ESG SLC	F		365,205.	365,205.
ISHARES ING ESG MSCI EM ETF	F		111,024.	111,024.
ISHARES TR MSCI GLOBAL IMP	F		141,372.	141,372.
NUSHARES ETF TR NUVEEN ESG SML	F		192,101.	192,101.
GREENBACKER RENEWABLE ENERGY C	F		254,561.	254,561.
TOTALS		59,847,123.	52,495,389.	52,495,389.

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
UNREALIZED GAIN	2,675,273.
PRIOR PERIOD ADJUSTMENT	226,446.
ROUNDING	10.
COST BASIS ADJUSTMENT	4,291.
TOTAL	2,906,020.

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
2019 TRANSACTION TAXED IN 2020	1,878
2019 TRANSACTION TAXED IN 2018	1,235.
TOTAL	3,113.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:
CHARLENE B. ENGELHARD
ADDRESS:
645 FIFTH AVENUE
NEW YORK, NY 10022
TITLE:
TRUSTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 2

OFFICER NAME:
SOPHIE ENGELHARD CRAIGHEAD
ADDRESS:
645 FIFTH AVENUE
NEW YORK, NY 10022
TITLE:
TRUSTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 2

OFFICER NAME:
SUSAN O'CONNOR
ADDRESS:
645 FIFTH AVENUE
NEW YORK, NY 10022
TITLE:
TRUSTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 2

OFFICER NAME:
ANNE E DE LA RENTA
ADDRESS:
645 FIFTH AVENUE
NEW YORK, NY 10022
TITLE:
TRUSTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 2

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:
SALLY E. PINGREE
ADDRESS:
645 FIFTH AVENUE
NEW YORK, NY 10022
TITLE:
TRUSTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 2

OFFICER NAME:
EDWARD G. BEIMFOHR
ADDRESS:
645 FIFTH AVENUE
NEW YORK, NY 10022
TITLE:
TRUSTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 2

OFFICER NAME:
EDWARD G. BEIMFOHR
ADDRESS:
645 FIFTH AVENUE
NEW YORK, NY 10022
TITLE:
TREASURER
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

Part XV, Line 3a Attachment

Recipient	Recipient Address	Relationship	Recipient Status	Grant Purpose	Amount
REFUGEES INTERNATIONAL	2001 "S" ST NW, SUITE 700 WASHINGTON DC 20009	NONE	PC	1ST INSTALLMENT OF 2 YEAR PLEDGE	$ (175 000)
NATURE CONSERVANCY	PO BOX 8316 MISSOULA, MT 59807	NONE	PC		$ (100,000)
SOCIAL & ENVIRONMENTAL ENTREPRENEURS	939 STEPHENS AVE SUITE C MISSOULA, MT 59801	NONE	PC	SOFT LANDINGS 1ST INSTALLMENT OF 3	$ (10 000)
WORLD AFFAIRS OF MONTANA	111 N HIGGINS AVE MISSOULA, MT 59802	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (20,000)
CLIMB WYOMING	1001 W 31ST CHEYENNE WY 82001	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (50 000)
JACKSON HOLE WILDLIFE FILM FESTIVAL	BOX 3940 240 S LENWOOD, SUITE 112 JACKSON, WY 83001	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (35,000)
GAINING GROUND	PO BOX 374 CONCORD, MA 01742	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10 000)
SIERRA CLUB	2101 WEBSTER ST OAKLAND, CA 94612	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (50 000)
BOSTON COLLEGE	CADIGAN ALUMNI CENTER 140 COMMONWEALTH AVE CHESTNUT HILL, MA 02467	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (2 500)
GRAND TETON NATIONAL PARK FOUNDATION	P O BOX 249 MOOSE WY 83012	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (5,000)
PAWS OF JACKSON HOLE	PO BOX 13033 JACKSON, WY 83002	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (15,000)
NATIONAL MUSEUM OF WILDLIFE ART	PO BOX 6825 JACKSON, WY 83002	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (3,000)
IGNATIAN VOLUNTEER CORPS	112 E MADISON ST SUITE 300 BALTIMORE MD 21202	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (1,000)
FAMILIES FIRST CHILDREN'S MUSEUM	225 W FRONT STREET MISSOULA, MT 59802	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (50,000)
ART ASSOCIATION OF JACKSON HOLE	PO BOX 1248260 W PEARL ST 240 S GLENWOOD ST JACKSON, WY 83001	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (15,000)
MASS AUDUBON LINCOLN	208 SOUTH GREAT ROAD LINCOLN MA 01773	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (75,000)
OSA CONSERVATION	1012 14TH STREET NW WASHINGTON DC 20005	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (100,000)
SANTA BARBARA BOWL FOUNDATION	1111 CHAPALA, SUITE 200 SANTA BARBARA, CA 93108	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (50,000)
JACKSON HOLE COMMUNITY SCHOOL	P O BOX 6787 JACKSON WY 83002	NONE	PC	HONOR SCOTTY & SAGE CRAIGHEAD	$ (50,000)
BON SHEN LING	65-55 MAURICE AVENUE WOODSIDE, NY 11377	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (150,000)
SAVE THE CHILDREN	501 KINGS HIGHWAY EAST, SUITE 400 FAIRFIELD CT 06825	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (80,000)
CONCORD FREE PUBLIC LIBRARY	129 MAIN ST CONCORD, MA 01742	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (40,000)
BETH ISRAEL DEACONESS MEDICAL CENTER	330 BROOKLINE AVE BOSTON, MA 02215	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (50,000)
COLLECTIVE HERITAGE INST AKA BIONEERS	1607 PASEO DE PERALTA, SUITE 3 SANTA FE NM 87501	NONE	PC	2ND INSTALLMENT OF 5	$ (50,000)
OREGON HEALTH & SCIENCE UNIVERSITY FOUNDATION	3181 S W SAM JACKSON PARK RD , CR 145 PORTLAND, OR 97239-3098	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (100,000)
SUN VALLEY WRITERS	501 SANTA MONICA BLVD STE 701 SANTA MONICA, CA	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (12,000)
MCLEAN HOSPITAL	115 MILL STREET BELMONT MA 02478	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (60,000)
BROOKLYN GREENWAY INITIATIVE	153 COLUMBIA STREET BROOKLYN, NY 11231	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (5,000)
NATIONAL MUSEUM OF WILDLIFE ART	PO BOX 6825 JACKSON, WY 83002	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10,000)
SANTA BARBARA BOWL FOUNDATION CA	1122 N MILPAS ST SANTA BARBARA, CA 93103	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (33,000)
GIGIS PLAYHOUSE	2350 WEST HIGGINS RD, HOFFMAN ESTATES IL 60169	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (1,500)
GEORGETOWN UNIVERSITY	37TH AND O STS NW WASHINGTON DC 20007	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (7,200)
THE INNOCENCE PROJECT	40 WORTH STREET, SUITE 701 NEW YORK NY 10013	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (50,000)
MISSOULA PUBLIC LIBRARY	301 EAST MAIN STREET MISSOULA, MT 59802	NONE	PC	ALL UNDER ONE ROOF CAMPAIGN 1ST INSTALLMENT 3 YEAR	$ (100,000)
MISSOULA ART MUSEUM	335 NORTH PATTEE STREET MISSOULA, MT 59802	NONE	PC	CAPITAL CAMPAIGN OPERATIONS AND PROGRAMS	$ (75 000)
TURRELL ART FOUNDATION	PO BOX 1954 FLAGSTAFF AZ 86002	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (100,000)
GANNA WALSKA LOTUSLAND	695 ASHLEY RD SANTA BARBARA CA 93108	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10,000)
DANCERS WORKSHOP	P O BOX 1500 JACKSON, WY 83001	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (25,000)
COLORADO STATE UNIVERSITY	300 WEST DRAKE ROAD FORT COLLINS, CO 80523 1620	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10 000)
GREEN CHIMNEYS CHILDREN'S SERVICES INC	400 DOANSBURG RD CALLER BOX 719 BREWSTER, NY 10509	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10 000)
DIOCESE OF METUCHEN	146 METLARS LN PISCATAWAY NJ 08854	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (14 000)
THE DAISY ASSOCIATION	PO BOX 45 E BRUNSWICK, NJ 08816	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (2 500)
COUNCIL ON ALCOHOLISM AND DRUG ABUSE	P O BOX 28 SANTA BARBARA, CA 93102	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10 000)
JACKSON HOLE COMMUNITY SCHOOL	P O BOX 6787 JACKSON WY 83002	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (100 000)
MEN'S SECOND CHANCE LIVING	PO BOX 2398 HAILEY ID 83333	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (5 000)
MERCY CORPS	PO BOX 80020 PRESCOTT, AZ 86304	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10,000)
PROJECT ONE BILLION	22 PUTNAM AVE CAMBRIDGE, MA 02139	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (2 500)
MAGNOLIA SOCIETY INTERNATIONAL	155 RESEARCH RD QUINCY, FL 32351	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (20,000)
HANA RETREAT	P O BOX 489 HANA, HI 96713	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10,000)
HERRING GUT LEARNING CENTER	PO BOX 286 PORT CLYDE ME 04855	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10 000)
DEITY ANIMAL RESCUE AND FOUNDATION	148 S DOHENY DR BEVERLY HILLS CA 90211	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10 000)
CASA SERENA	1515 BATH ST SANTA BARBARA CA 93101	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10 000)
THE PRINCE OF WALES FOUNDATION	888 17TH ST NW STE 201 WASHINGTON DC 20006	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (125 000)
MONTANA COMMUNITY DEVELOPMENT CORP	229 E MAIN ST MISSOULA, MT 59802	NONE	PC	MT & ID CMNTY DEV CORP'S CMNTY FACILITIES LOAN FUND	$ (50,000)
POTOMAC SCHOOL	1301 POTOMAC SCHOOL ROAD P O BOX 430 MCLEAN VA 22101	NONE	PC	ENVIRONMENTAL SUSTAINABILITY PROGRAM AND FUND 3RD INSTALL OF 6	$ (287,140)
COMMUNITY LIBRARY ASSOCIATION INC	415 SPRUCE AVE NORTH KETCHUM, ID 83340	NONE	PC	1ST INSTALLMENT OF 5 YEAR PLEDGE	$ (50,000)
BLACKFOOT CHALLENGE	P O BOX 148 OVANDO, MT 59854	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10,000)
PACIFIC WRITERS CONNECTION	670 PROSPECT STREET HONOLULU HI 96813	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10,000)
SUN VALLEY CENTER FOR THE ARTS	PO BOX 656, SUN VALLEY ID 83353	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10,000)
BETHLEHEM UNIVERSITY FOUNDATION	P O BOX 355 BELTSVILLE, MD 20704	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (1 000)
IGNATIAN VOLUNTEER CORPS	112 E MADISON ST SUITE 300 BALTIMORE MD 21202	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (1,000)
EDITH KANAKAOLE FOUNDATION	1500 KALANIANAOLE AVE HILO HI 96720	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10,000)
JUDE CHILDRENS CHILDREN S RESEARCH HOSPITAL	262 DANNY THOMAS PLACE MAIL STOP 761 MEMPHIS, TN 38105	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (1,000)
BON SHEN LING	65-55 MAURICE AVENUE WOODSIDE, NY 11377	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (105,000)
BON SHEN LING	65-55 MAURICE AVENUE WOODSIDE, NY 11377	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (15,000)
BON SHEN LING	65-55 MAURICE AVENUE WOODSIDE, NY 11377	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (15,000)
PEOPLE AND PLANTS INTERNATIONAL, INC	PO BOX 73 ESSEX JUNCTION VT 05453	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10,000)
ANDEAN ALLIANCE FOR SUSTAINABLE DEVELOPMENT	560 LYON LAKE RD MARSHALL, MI 49068	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10,000)
FAMILIES FIRST PARENTING PROGRAMS	9 GALEN STREET, SUITE 400 WATERTOWN MA 02472	NONE	PC	ORGANIZATIONAL SUPPORT	$ (125,000)
CARE USA	151 ELLIST STREET, NE ATLANTA, GA 30303	NONE	PC	EA FOOD CRISIS FUND	$ (115,000)
INSTITUTE OF ETHNOMEDICINE	P O BOX 3464 JACKSON WY 83001	NONE	PC	BRIAN CHEMISTRY LABS	$ (100 000)
SAVE THE CHILDREN	501 KINGS HIGHWAY EAST, SUITE 400 FAIRFIELD, CT 06825	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (80 000)
US SKI AND SNOWBOARD FOUNDATION	1 VICTORY LANE PARK CITY, UT 84060	NONE	PC	2 GOLD PASSES	$ (20,000)
PAWS OF JACKSON HOLE	PO BOX 13033 JACKSON, WY 83002	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10,000)
US FUND FOR UNICEF	125 MAIDEN LANE NEW YORK, NY 10038	NONE	PC	ART IN A BOX PROGRAM 1ST INSTALL OF 4	$ (50 000)
SUN VALLEY WELLNESS INSTITUTE	P O BOX 4174 KETCHUM, ID 83340	NONE	PC	SUN VALLEY WELLNES FESTIVAL	$ (25 000)
LEUKEMIA AND LYMPHOMA SOCIETY	3 INTERNATIONAL DRIVE RYE BROOK, NY 10573	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (50 000)
SAVE THE CHILDREN	501 KINGS HIGHWAY EAST, SUITE 400 FAIRFIELD, CT 06825	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (50 000)
MISSOULA MEDICAL AID	PO BOX 903 MISSOULA, MT 59806	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (15,000)
ST BARTHOLOMEW CHURCH	PO BOX 906 HIGH SPRINGS, FL 32655	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (5,000)
CONCORD MUSEUM	200 LEXINGTON ROAD PO BOX 146 CONCORD MA 01742-0146	NONE	PC	ANNUAL FUND	$ (10 000)
ROSIE S PLACE	889 HARRISON AVENUE BOSTON MA 02118	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10 000)
SUN VALLEY WELLNESS INSTITUTE	P O BOX 4174 KETCHUM, ID 83340	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (5,000)
HUMLA FUND	PO BOX 327 SPENCERTOWN NY 12165	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (15 000)
AMERICAN PRAIRIE FOUNDATION	P O BOX 908 BOZEMAN MT 59715	NONE	PC	LAND OF LEGACY HUT TO HUT SYSTEM OPERATING COSTS, LAND ACQUISITIONS	$ (200,000)
FAMILIES FIRST CHILDREN'S MUSEUM MISSOULA	PO BOX 5626 MISSOULA, MONTANA 59806	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (25,000)
CORPORATE ACCOUNTABILITY	10 MILK STREET SUITE 610 BOSTON MA 02108	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (100 000)
CONSERVATION INTERNATIONAL	2011 CRYSTAL DRIVE SUITE 600 ARLINGTON VA 22202	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (100 000)
SECOND STAGE THEATRE INC	305 W 43RD ST NEW YORK, NY 10036	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (25 000)
FOODCORPS INC	1140 SE 7TH AVE SUITE 110 PORTLAND OR 97214	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (81 250)
ST JOSEPHS UNIVERSITY	5600 CITY AVE PHILADELPHIA, PA 19131	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (2 500)
MERCY CORPS	PO BOX 80020 PRESCOTT, AZ 86304	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (50 000)
LIBRARY FOUNDATION OF LOS ANGELES	630 W 5TH STREET LOS ANGELES CA 90071	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (50 000)
HONOLULU MUSEUM OF ARTS	900 SOUTH BERETANIA STREET HONOLULU HI 96814	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (2,500)
GANNA WALSKA LOTUSLAND	695 ASHLEY RD SANTA BARBARA CA 93108	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (5,000)
ST JOHNS HOSPITAL FOUNDATION	PO BOX 428 JACKSON WY 83001	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (1,000)
FOXCROFT SCHOOL	22407 FOXHOUND LN MIDDLEBURG VA 20117	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10,000)
BETH ISRAEL DEACONESS MEDICAL CENTER	330 BROOKLINE AVE BOSTON MA 02215	NONE	PC	OBGYN COMMUNITY HEALTH PROGRAM-DR CHRISTOPHER AWTREY	$ (100 000)
US FUND FOR UNICEF	125 MAIDEN LANE NEW YORK, NY 10038	NONE	PC	ART IN A BOX PROGRAM 1ST INSTALL OF 4	$ (50,000)

Part XV, Line 3a Attachment

Recipient	Recipient Address	Relationship	Recipient Status	Grant Purpose	Amount
GARDEN CITY HARVEST	P O BOX 205 MISSOULA, MT 59806	NONE	PC	2ND INSTALLMENT OF 4	$ (50,000)
CLARK FORK COALITION	PO BOX 7593 MISSOULA, MT 59807	NONE	PC	HIGH PRIORITY WATERSHED CHALLENGES AND OPPORTUNITIES	$ (50,000)
EQUESTRIAN ORDER OF THE HOLY SEPULCHRE	4684 NW BRASSIE PLACE PORTLAND OR 97229	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (1,000)
ST PATRICKS CATHEDRAL	14 EAST 51ST STREET NEW YORK NY 10022	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (5,000)
CROSS OF CHRIST LUTHERAN CHURCH	3290 S TOWER RD AURORA, CO 80013	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (1,000)
U S FUND FOR UNICEF	125 MAIDEN LANE NEW YORK, NY 10038	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (180 000)
YWCA MISSOULA	1130 W BORADWAY ST MISSOULA, MT 59802	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (50,000)
ANIMAL ADOPTION CENTER	P O BOX 8532 270 E BROADWAY AVE JACKSON, WY 83002	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10 000)
WOMENS OPPORTUNITY AND RESOURCE DEVELOPMENT	2405 MCINTOSH LOOP MISSOULA, MT 59801	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (20 000)
MISSOULA CULTURAL COUNCIL DBA ARTS MISSOULA	MISSOULA, MT 59801	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10,000)
OHANA MAKAMAE INC	P O BOX 914 HANA, HI 96713	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (75,000)
GREATER YELLOWSTONE COALITION	215 S WALLACE AVENUE BOZEMAN MT 59718	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10,000)
SUNDANCE INSTITUTE CALIFORNIA INC	5900 WILSHIRE BLVD SUITE 800 LOS ANGELES, CA 90036	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (100,000)
GARDEN CITY HARVEST	P O BOX 205 MISSOULA, MT 59806	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (50,000)
STRIPPING FOR A CURE	PO BOX 11034 JACKSON WY 83002	NONE	PC	FARM TO SCHOOL PROGRAM	$ (5,000)
NATIONAL DOWN SYNDROME SOCIETY	666 BROADWAY NEW YORK, NY 10012 2317	NONE	PC	NYC BUDDY WALK	$ (1,000)
INTERNAL WILDLIFE FILM FESTIVAL	718 S HIGGINS AVE MISSOULA, MONTANA 59801	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (35,000)
COMMUNITY FOUNDATION OF JACKSON HOLE	P O BOX 574 JACKSON WY 83001	NONE	PC	FATHER USALD CENTER OF PEACE	$ (44,000)
FORWARD MONTANA FOUNDATION	1535 WYOMING ST MISSOULA, MT 59801	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (50,000)
SEED GLOBAL HEALTH	20 ASHBURTON PLACE, 6TH FLOOR BOSTON, MA 02108	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (150,000)
ST PATRICK HOSPITAL	500 W BROADWAY ST, MISSOULA, MT 59802	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (100,000)
THE MERWIN CONSERVANCY	P O BOX 809 HAIKU HI 96708	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (100,000)
NATIONAL WILDLIFE FEDERATION	240 N HIGGINS MISSOULA, MT 59802	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (50 000)
CENTER FOR PEACE INC	PO BOX 11929 JACKSON, WY 83002	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (25,000)
BARYSHNIKOV ARTS CENTER	450 WEST 37TH STREET NEW YORK, NY 10018	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (20,000)
BUILDING A GLOBAL COMMUNITY	PO BOX 936 MINOOKA, IL 60447	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (50,000)
THE INNOCENCE PROJECT	40 WORTH STREET, SUITE 701 NEW YORK, NY 10013	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (50,000)
S ENGELHARD CENTER	1818 R ST , NW WASHINGTON, DC 20009	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (40,000)
N O A H NORTHWEST ORGANIZATION FOR ANIMAL HELP	31300 BRANDSTROM RD STANWOOD WA 98292	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10 000)
NANTUCKET DISC GOLF	PO BOX 470484 FORT WORTH TX 76147	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10,000)
PATRICK HOSPITAL AND HEALTH FOUNDATION	PO BOX 4587 MISSOULA, MT 59806	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (20,000)
VETERINARY CARE FOUNDATION	16550 NW 46TH ST MORRISTON FL 32668	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10,000)
SPECIAL STRIDES	118 FEDERAL RD MONROE NJ 08831	NONE	PC	CHRISTMAS GIVING	$ (3,000)
HANA RETREAT (HANA, HI) REPRESENTS GRANT	PO BOX 489 HANA, HI 96713	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (50 000)
HUMANITIES MONTANA	311 BRANTLY MISSOULA, MT 59812	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (50 000)
SEED SAVERS EXCHANGE	3094 NORTH WINN ROAD DECORAH IA 52101	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10 000)
FAMILIES FIRST CHILDREN'S MUSEUM MISSOULA	PO BOX 5626 MISSOULA, MONTANA 59806	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (25,000)
ALLIANCE FOR THE WILD ROCKIES	P O BOX 8731 MISSOULA, MT 59807	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (50,000)
PACIFIC WRITERS CONNECTION	670 PROSPECT STREET HONOLULU HI 96813	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10,000)
NORTHER PLAINS RESOURCE COUNCIL	220 S 27TH ST STE A BILLINGS, MT 59101	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10,000)
SAVE THE WAVES COALITION	PO BOX 183 3500 HIGHWAY ONE DAVENPORT, CA 95017	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10,000)
MISSOULA CULTURAL COUNCIL DBA ARTS	PO BOX 7662 MISSOULA, MT 59807	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (3,500)
MISSOULA WRITING COLLABORATIVE	P O BOX 9237 MISSOULA, MT 59807	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (53,000)
COLORADO STATE UNIVERSITY	300 WEST DRAKE ROAD FORT COLLINS CO 80523 1620	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (100 000)
MOUNTAIN HOME MONTANA	2606 SOUTH AVENUE MISSOULA, MT 59804	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (25 000)
THOMAS BERRY FOUNDATION	29 SPOKANE DR WOODBRIDGE CT 06525	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (25,000)
PET PARTNERS	875 124TH AVENUE NE #101 BELLEVUE WA 98005 2531	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (25,000)
SIDEKICK FOUNDATION, INC	4000 W 106TH STREET SUITE 125 238 CARMEL, IN 46032	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (25,000)
ATLANTIC SALMON FEDERATION	PO BOX 807 CALAIS ME 04619	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (25,000)
HOPE FOR ANNABEL	4645 HAWTHORNE LANE NW WASHINGTON, DC 20016	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (15,000)
FIVE VALLEYS LAND TRUST	120 HICKORY STREET, SUITE B MISSOULA, MT 59801	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (25,000)
NATIONAL DOWN SYNDROME SOCIETY	666 BROADWAY NEW YORK, NY 10012-2317	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10,000)
ATLANTIC SALMON FEDERATION	PO BOX 807 CALAIS, ME 04619	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (8,000)
EQUESTRIAN ORDER OF THE HOLY SEPULCHRE OF JERUSALEM	7575 LAKE ST RIVER FOREST, IL 60305	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (1,000)
SANTA BARBARA FOUNDATION	1111 CHAPALA STREET SUITE 200 SANTA BARBARA, CA 93101	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10 000)
OPPORTUNITY SCHOOLS	1100 S HARRISON ST AMARILLO TX 79101	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (5 000)
BUILDING A GLOBAL COMMUNITY	PO BOX 936 MINOOKA, IL 60447	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10 000)
OREGON HEALTH AND SCIENCE UNIVERSITY FOUNDATION	1121 S W SALMON STREET SUITE 100 PORTLAND OR 97205	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (500,000)
MISSOULA FOOD BANK	219 SOUTH THIRD STREET WEST MISSOULA MT 59801 2523	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (100,000)
FOODCORPS INC	1140 SE 7TH AVE, SUITE 110 PORTLAND, OR 10001	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (81,250)
WORLD WITNESS PROJECT INC	26 BRANTWOOD RD ARLINGTON, MA 02476	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (27,000)
PAWS OF JACKSON HOLE	PO BOX 13033 JACKSON WY 83002	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (25,000)
SQUASH HAVEN	70 TOWER PARKWAY NEW HAVEN CT 06520	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (20,000)
HARVARD UNIVERSITY	124 MOUNT AUBURN STREET CAMBRIDGE, MA 02138	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (20,000)
JACKSON HOLE COMMUNITY FOUNDATION	PO BOX 574 JACKSON WY 83001	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10 000)
GREATER YELLOWSTONE COALITION	215 S WALLACE AVENUE BOZEMAN MT 59718	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10 000)
SAINT MARY CATHOLIC CHURCH	1 PHALANX RD COLTS NECK, NJ 07722	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10 000)
CATHOLIC EXTENSION	150 S WACKER DR 20TH FLOOR CHICAGO IL 60606	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10 000)
EQUESTRIAN ORDER OF THE HOLY SEPULCHRE OF JERUSALEM	7575 LAKE ST RIVER FOREST, IL 60305	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10,000)
UNITED STATES DEPARTMENT OF STATE DIPLOMATIC RECEPTION ROOMS	7501 WISCONSIN AVE STE 1103 BETHESDA, MD 20814	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (10,000)
OCEAN CONSERVANCY	1300 19TH STREET, NW 8TH FLOOR WASHINGTON DC 20036	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (7,000)
SAVE OUR WILD SALMON COALITION	811 FIRST AVE SUITE 305 SEATTLE WA 98104	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (7,000)
PRICKLY PEAR LAND TRUST	PO BOX 892 HELENA, MT 59624	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (7,000)
MONTANA ENVIRONMENTAL INFORMATION CENTER	PO BOX 1184 HELENA, MT 59624	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (5,000)
FIVE VALLEYS LAND TRUST	120 HICKORY STREET SUITE B MISSOULA, MT 59801	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (5,000)
MISSOULA COMMUNITY FOUNDATION FBO CLIMATE SMALL MISSOULA	P O BOX 8806 MISSOULA, MT 59807	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (5,000)
BLACKFOOT CHALLENGE	P O BOX 148 OVANDO MT 59854	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (5,000)
NATIONAL TROPICAL BOTANICAL GARDEN	3530 PAPALINA RD KALAHEO, HI 96741	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (5 000)
NATURAL RESOURCES DEFENSE COUNCIL INC	40 WEST 20TH STREET NEW YORK NY 10011	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (5,000)
WATSON CHILDREN'S SHELTER	4978 BUCKHOUSE LANE MISSOULA, MT 59804	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (5,000)
PROJECT HEALING WATERS FLY FISHING INC	PO BOX 695 LA PLATA, MD 20646	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (3,000)
U.S FUND FOR UNICEF	125 MAIDEN LANE NEW YORK NY 10038	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (3 500)
MONTANA LEARNING CENTER AT CANYON FERRY LAKE INC	7653 CANYON FERRY RD HELENA, MT 59602	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (3 000)
BIG BLACKFOOT CHAPTER TROUT UNLIMITED	PO BOX 1 OVANDO MT 59854	NONE	PC	GENERAL CHARITABLE SUPPORT	$ (3,000)
THE ANIMAL MEDICAL CENTER	510 E 62ND ST NEW YORK, NY 10065	NONE	PC	ORGANIZATIONAL SUPPORT	$ (50,000)
NEW YORK PUBLIC LIBRARY	476 FIFTH AVENUE NEW YORK, NY 10018	NONE	PC	ORGANIZATIONAL SUPPORT	$ (125,000)
PANDA FOUNDATION	3302 69TH ST W BRADENTON FL 34209	NONE	PC	ORGANIZATIONAL SUPPORT	$ (25 000)
				Total	$ (7,263,840)

Part XII, Line 3b Attachment

Recipient	Recipient Address	Relationship	Recipient Status	Grant Purpose	Amount
AMERICAN PRAIRIE FOUNDATION	P O BOX 908 BOZEMAN MT 59715	NONE	PC	LAND OF LEGACY HUT TO HUT SYSTEM OPERATING COSTS, LAND ACQUISITIONS	400 000
BON SHEN LING	65-55 MAURICE AVENUE WOODSIDE NY 11377	NONE	PC	GENERAL CHARITABLE SUPPORT	255 000
CAMPGILL VILLAGE	84 CAMPHILL ROAD COPAKE NY 12516	NONE	PC	GENERAL CHARITABLE SUPPORT	10 000
CENTER FOR PEACE	PO BOX 4992, JACKSON WY 83001	NONE	PC	GENERAL CHARITABLE SUPPORT	25 000
CLIMB WYOMING	1001 W 31ST CHEYENNE WY 82001	NONE	PC	GENERAL CHARITABLE SUPPORT	50 000
COLLECTIVE HERITAGE INST AKA BIONEERS	1607 PASEO DE PERALTA, SUITE 3 SANTA FE NM 87501	NONE	PC	FIVE YEAR PLEDGE	50 000
COMMUNITY FOUNDATION OF JACKSON HOLE	P O BOX 574 JACKSON WY 83001	NONE	PC	FATHER USALD CENTER OF PEACE AND OLD BILL S FUN RUN ANIMAL ADOPTION CENTER	50 000
COMMUNITY LIBRARY ASSOCIATION INC KETCHUM SUN VALLEY & TRIUMPH	415 SPRUCE AVE NORTH KETCHUM ID 83340	NONE	PC	FIVE YEAR PLEDGE	100 000
CONSERVATION INTERNATIONAL	2011 CRYSTAL DRIVE SUITE 600, ARLINGTON VA 22202	NONE	PC	GENERAL CHARITABLE SUPPORT	200,000
FAMILIES FIRST CHILDREN'S MUSEUM MISSOULA	9 GALEN STREET SUITE 400 WATERTOWN MA 02472	NONE	PC	ORGANIZATIONAL SUPPORT	150 000
FAMILIES FIRST PARENTING PROGRAMS	9 GALEN STREET SUITE 400 WATERTOWN MA 02472	NONE	PC	GENERAL CHARITABLE SUPPORT	125 000
FOODCORPS INC	1140 SE 7TH AVE, SUITE 110 PORTLAND, OR 10001	NONE	PC	GENERAL OPERATING SUPPORT	487 500
GAINING GROUND	PO BOX 374 CONCORD, MA 01742	NONE	PC	GENERAL CHARITABLE SUPPORT	20,000
GREATER YELLOWSTONE COALITION	215 SOUTH WALLACE AVENUE, BOZEMAN MT 59715	NONE	PC	GENERAL CHARITABLE SUPPORT	20,000
HANA RETREAT	PO BOX 489, HANA HI 96713	NONE	PC	GENERAL CHARITABLE SUPPORT	50 000
HUMANITIES MONTANA	311 BRANTLY MISSOULA, MT 59812	NONE	PC	GENERAL CHARITABLE SUPPORT	150 000
HUMLA FUND	PO BOX 454 WEST STOCKBRIDGE, MA 01266	NONE	PC	GENERAL CHARITABLE SUPPORT	15,000
INTERNATIONAL WILDLIFE FILM FESTIVAL	718 S HIGGINS AVE MISSOULA, MT 59801	NONE	PC	GENERAL CHARITABLE SUPPORT	70,000
KNIGHT CANCER INSTITUTE AT OHSU	1121 S W SLAMON STREET SUITE 100, PORTLAND, OR 97205	NONE	PC	GENERAL CHARITABLE SUPPORT	2,500,000
MCLEAN HOSPITAL	115 MILL STREET BELMONT MA 02478	NONE	PC	RESEARCH BEIGN PERFORMED BY DR BRUCE M COHEN	160 000
MISSOULA ART MUSEUM	335 NORTH PATTEE STREET MISSOULA, MT 59802	NONE	PC	GENERAL CHARITABLE SUPPORT	150,000
MONTANA COMMUNITY DEVELOPMENT CORP	229 E MAIN ST MISSOULA, MT 59802	NONE	PC	MT & ID CMNTY DEV CORP S CMNTY FACILITIES LOAN FUND	100 000
MOUNTAIN HOME	2606 SOUTH AVENUE MISSOULA MT 59804	NONE	PC	GENERAL OPERATING SUPPORT	50 000
OSA CONSERVATION	1012 14TH STREET NW WASHINGTON DC 20005	NONE	PC	GENERAL CHARITABLE SUPPORT	100,000
PACIFIC WRITERS CONNECTION	670 PROSPECT STREET #703, HONOLULU, HI 96813	NONE	PC	GENERAL CHARITABLE SUPPORT	10 000
PROVIDENCE MONTANA HEALTH FOUNDATION	502 W SPRUCE STREET MISSOULA MT 59802	NONE	PC	GENERAL CHARITABLE SUPPORT	1,000,000
REFUGEES INTERNATIONAL	2001 "S" ST, NW, SUITE 700 WASHINGTON, DC 20009	NONE	PC	TWO YEAR PLEDGE	
SANTA BARBARA BOWL FOUNDATION	1111 CHAPALA, SUITE 200 SANTA BARBARA, CA 93108	NONE	PC	GENERAL CHARITABLE SUPPORT	67 000
SAVE THE CHILDREN	501 KINGS HIGHWAY EAST SUITE 400 FAIRFIELD, CT 06825	NONE	PC	SAVE THE CHILDRENS HEART PROGRAM	320 000
ST PATRICK HOSPITAL & HEALTH FOUNDATION	502 W SPRUCE STREET MISSOULA, MT 59802	NONE	PC	FIRST STEP RESOURCE CENTER AND HEART INSTITUTE OBSERVATION UNIT EXPANSION PROJECT	40 000
SUNDANCE INST	5900 WILSHIRE BLVD SUITE 800 LOS ANGELES CA 90036	NONE	PC	DOCUMENTARY FILM PROGRAM	200 000
THE MERWIN CONSERVANCY	PO BOX 809 HAIKU HI 98708	NONE	PC	GENERAL CHARITABLE SUPPORT	100 000
THE NATURE CONSERVANCY - MONTANA AND HAWAII	4243 N FAIRFAX DR STE 100, ARLINGTON, VA, 22203	NONE	PC	GENERAL CHARITABLE SUPPORT	50,000
THE POTOMAC SCHOOL	1301 PTOMOC SCHOOL ROAD, MCLEAN VA 22101	NONE	PC	GENERAL CHARITABLE SUPPORT	1,435,140
THE PRINCE OF WALES FOUNDATION FOR THE ROYAL DRAWING SCHOOL	225 REINEKXERS LANE, SUITE 375 ALEXANDRIA, VA 22314	NONE	PC	GENERAL CHARITABLE SUPPORT	125 000
TURRELL ART FOUNDATION	PO BOX 1954 FLAGSTAFF AZ 86002	NONE	PC	GENERAL CHARITABLE SUPPORT	300 000
U S FUND FOR UNICEF	101 MONTGOMERY ST STE 625 SAN FRANCISCO CA 94104	NONE	PC	GENERAL CHARITABLE SUPPORT	180 000
WATSON CHILDREN S SHELTER	4978 BUCKHOUSE LANE MISSOULA, MT 59804	NONE	PC	GENERAL CHARITABLE SUPPORT	5,000
WOMEN S VOICES FOR THE EARTH	PO BOX 8743 MISSOULA, MT 59807-8743	NONE	PC	GENERAL CHARITABLE SUPPORT	25 000
WORLD AFFAIRS OF MONTANA	111 N HIGGINS AVE MISSOULA, MT 59802	NONE	PC	GENERAL CHARITABLE SUPPORT	20 000
YWCA MISSOULA	1130 W BROADWAY, MISSOULA, MT 59802	NONE	PC	GENERAL CHARITABLE SUPPORT	150 000
				TOTAL	$ 9,314,640