

Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information

OMB No.

2019

Open to Public Inspection

For calendar year 2019 or tax year beginning

, and ending

Name of foundation

A Employer identification number

MERTZ GILMORE FOUNDATION

13-2872722

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

218 EAST 18TH STREET

B Telephone number

(212) 475-1137

City or town, state or province, country, and ZIP or foreign postal code

NEW YORK, NY 10003-3694

C If exemption application is pending, check here ☐

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

J Accounting method

☒ Cash☐ AccrualF If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

(from Part II, col (c), line 16)

Other (specify)

\$ 131,416,498. (Part I, column (d), must be on cash basis)

Part I Analysis of Revenue and Expenses
(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1	Contributions, gifts, grants, etc., received	6,075,000.		N/A	
2	Check ☐ if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	1,922,596.	1,922,596.		STATEMENT 1
5a	Gross rents			Internal Revenue Service	
b	Net rental income or (loss)			Received US Bank - USB	
6a	Net gain or (loss) from sale of assets not on line 10	4,741,642.		327	
b	Gross sales price for all assets on line 6a	13,188,477.		NOV 29 2020	
7	Capital gain net income (from Part IV line 2)		4,741,642.	Ogden, UT	
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	132,485.	-81,363.		STATEMENT 2
12	Total Add lines 1 through 11	12,871,723.	6,582,875.		
13	Compensation of officers, directors, trustees, etc	559,636.	184,223.		375,413.
14	Other employee salaries and wages	642,090.	0.		642,090.
15	Pension plans, employee benefits	444,189.	48,428.		395,761.
16a	Legal fees STMT 3	206,228.	20,623.		185,605.
b	Accounting fees STMT 4	43,445.	28,965.		14,480.
c	Other professional fees STMT 5	727,845.	561,113.		166,732.
17	Interest				
18	Taxes STMT 6	100,000.	0.		0.
19	Depreciation and depletion	463,199.	0.		
20	Occupancy	159,133.	12,377.		146,756.
21	Travel, conferences, and meetings	73,385.	0.		73,385.
22	Printing and publications				
23	Other expenses STMT 7	105,341.	5,447.		99,894.
24	Total operating and administrative expenses Add lines 13 through 23	3,524,491.	861,176.		2,100,116.
25	Contributions, gifts, grants paid	8,911,602.			8,911,602.
26	Total expenses and disbursements Add lines 24 and 25	12,436,093.	861,176.		11,011,718.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	435,630.			
b	Net investment income (if negative, enter -0-)		5,721,699.		
c	Adjusted net income (if negative, enter -0-)			N/A	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	3,523.	229,597.	229,597.
	2 Savings and temporary cash investments	8,951,146.	891,974.	891,974.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock STMT 9	4,144,059.	4,713,536.	10,869,182.
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 10		93,840,063.	99,756,909.	113,316,248.
14 Land, buildings, and equipment basis ▶ 8,358,727.				
Less: accumulated depreciation STMT 11 ▶ 2,625,333.		5,134,701.	5,733,394.	5,733,394.
15 Other assets (describe ▶ STATEMENT 12)		381,014.	376,103.	376,103.
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		112,454,506.	111,701,513.	131,416,498.
17 Accounts payable and accrued expenses				
18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ ADVANCES)	0.	3,347.	
23 Total liabilities (add lines 17 through 22)	0.	3,347.		
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ ☒ and complete lines 24, 25, 29, and 30			
	24 Net assets without donor restrictions	112,454,506.	111,698,166.	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☐ and complete lines 26 through 30			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 Total net assets or fund balances	112,454,506.	111,698,166.	
30 Total liabilities and net assets/fund balances	112,454,506.	111,701,513.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	112,454,506.
2 Enter amount from Part I, line 27a	2	435,630.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	112,890,136.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 8	5	1,191,970.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	111,698,166.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr.)	Date sold (mo, day, yr.)
1a				
b SEE ATTACHED STATEMENT				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 13,188,477.		8,446,835.	4,741,642.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			4,741,642.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	4,741,642.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	}	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	13,961,035.	123,467,197.	.113075
2017	11,982,168.	122,850,443.	.097535
2016	11,898,184.	115,700,277.	.102836
2015	10,407,072.	121,967,176.	.085327
2014	9,344,082.	123,476,246.	.075675

2 Total of line 1, column (d)	2	.474448
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.094890
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	121,147,573.
5 Multiply line 4 by line 3	5	11,495,693.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	57,217.
7 Add lines 5 and 6	7	11,552,910.
8 Enter qualifying distributions from Part XII, line 4	8	11,542,184.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	114,434.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3 Add lines 1 and 2		3	114,434.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	114,434.
6 Credits/Payments:			
a 2019 estimated tax payments and 2018 overpayment credited to 2019	6a	119,152.	
b Exempt foreign organizations - tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	100,000.	
d Backup withholding erroneously withheld	6d	0.	
7 Total credits and payments Add lines 6a through 6d	7	219,152.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	0.	
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	104,718.	
11 Enter the amount of line 10 to be Credited to 2020 estimated tax ☐ 104,718. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions ☐ NY, CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.MERTZGILMORE.ORG</u>	X	
14 The books are in care of ► <u>MARION CAPPIELLO</u> Telephone no. ► <u>(212) 475-1137</u> Located at ► <u>218 EAST 18TH STREET, NEW YORK, NY</u> ZIP+4 ► <u>10003-3694</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? ☐ Yes ☒ No If "Yes," list the years ► _____ b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

Organizations relying on a current notice regarding disaster assistance, check here

☐

5b

X

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?

☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		559,636.	109,560.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LEAH KRAUSS - 218 EAST 18TH STREET, NEW YORK, NY 10003	SENIOR PROGRAM OFFICER 35.00	140,712.	50,477.	0.
VANESSA LLANA - 218 EAST 18TH STREET, NEW YORK, NY 10003	EXECUTIVE ASSISTANT 35.00	95,750.	46,742.	0.
RACHAEL YOUNG - 218 EAST 18TH STREET, NEW YORK, NY 10003	PROGRAM OFFICER 35.00	101,640.	32,528.	0.
TRELLIS STEPTER - 218 EAST 18TH STREET, NEW YORK, NY 10003	PROGRAM OFFICER 35.00	101,640.	21,097.	0.
MARY PICCIONE - 218 EAST 18TH STREET, NEW YORK, NY 10003	GRANTS/SYSTEMS MANAGER 30.00	85,200.	33,862.	0.
Total number of other employees paid over \$50,000				2

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
EUROSTRUCT INC. 228 RUSSELL STREET, BROOKLYN, NY 11222	CONSTRUCTION SERVICES	639,033.
SILCHESTER INTERNATIONAL INVESTORS - 780 THIRD AVENUE, 42ND FLOOR, NEW YORK, NY 10017	INVESTMENT MANAGER	158,068.
BOND, SCHOENECK & KING PLLC P.O BOX 11607, SYRACUSE, NY 13218	LEGAL SERVICES	107,924.
MURPHY BURNHAM & BUTTRICK ARCHITECTS, LLP 48 WEST 37TH STREET, NEW YORK, NY 10018	ARCHITECTURAL SERVICES	105,035.
MONTICELLO ASSOCIATES - 1800 LARIMER STREET, SUITE 2100, DENVER, CO 80202	INVESTMENT CONSULTANT	100,000.
Total number of others receiving over \$50,000 for professional services		3

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
3 All other program-related investments See instructions	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	118,362,810.
b	Average of monthly cash balances	1b	4,553,547.
c	Fair market value of all other assets	1c	76,103.
d	Total (add lines 1a, b, and c)	1d	122,992,460.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	122,992,460.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,844,887.
5	Net value of noncharitable-use assets . Subtract line 4 from line 3. Enter here and on Part V, line 4	5	121,147,573.
6	Minimum investment return . Enter 5% of line 5	6	6,057,379.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	6,057,379.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	114,434.
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	250.
c	Add lines 2a and 2b	2c	114,684.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	5,942,695.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	5,942,695.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	5,942,695.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	11,011,718.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	530,466.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions . Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	11,542,184.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions . Subtract line 5 from line 4	6	11,542,184.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				5,942,695.
2 Undistributed Income, if any, as of the end of 2019				
a Enter amount for 2018 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2019.				
a From 2014				
b From 2015				
c From 2016				
d From 2017				
e From 2018	2,684,184.			
f Total of lines 3a through e	2,684,184.			
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ 11,542,184.				
a Applied to 2018, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions) **	6,075,000.			
d Applied to 2019 distributable amount				5,467,184.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).)	475,511.			475,511.
6 Enter the net total of each column as indicated below:	8,283,673.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2018. Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	6,075,000.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2020 Subtract lines 7 and 8 from line 6a	2,208,673.			
10 Analysis of line 9:				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017				
d Excess from 2018	2,208,673.			
e Excess from 2019				

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(i)(3) or ☒ 4942(i)(5)

- (4) Gross investment income

[illegible]

SEE STATEMENT D

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE STATEMENT B				8,911,602.
Total			▶ 3a	8,911,602.
b Approved for future payment				
SEE STATEMENT H				3,336,000.
Total			▶ 3b	3,336,000.

4317 1

MERTZ GILMORE FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	EDGEWOOD MGMNT - PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS
b	SILCHESTER INT'L INVESTORS	P	VARIOUS	VARIOUS
c	WELLINGTON DIH	P	VARIOUS	VARIOUS
d	TIFF ABSOLUTE RETURN POOL	P	VARIOUS	VARIOUS
e	FARALLON SPECIAL SITUATIONS FUND	P	VARIOUS	VARIOUS
f	BRENNER WEST CAPITAL OFFSHORE	P	VARIOUS	VARIOUS
g	GRANTHOM, MAYO-QUALITY FUND III	P	VARIOUS	VARIOUS
h	WESTERN ASSET CORE PLUS PORTFOLIO	P	VARIOUS	VARIOUS
i	CAPITAL GAIN PASSTHROUGH	P		
j	CAPITAL GAINS DIVIDENDS			
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,637,984.	1,134,929.	503,055.
b	473,874.	183,020.	290,854.
c	138,553.	41,516.	97,037.
d	2,305,071.	1,350,773.	954,298.
e	61,202.	59,309.	1,893.
f	7,048.		7,048.
g	6,499,846.	5,677,288.	822,558.
h	112,996.		112,996.
i	1,076,880.		1,076,880.
j	875,023.		875,023.
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	
a			503,055.
b			290,854.
c			97,037.
d			954,298.
e			1,893.
f			7,048.
g			822,558.
h			112,996.
i			1,076,880.
j			875,023.
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	4,741,642.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Schedule B

(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545 0047

2019

Name of the organization

MERTZ GILMORE FOUNDATION

Employer identification number

13-2872722

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

- ☐ 501(c)() (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

Employer identification number

MERTZ GILMORE FOUNDATION**13-2872722****Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	<u>TRUST U/W OF JOYCE MERTZ-GILMORE</u> <u>C/O BNY MELLON WEALTH MANAGEMENT, 200</u> <u>PARK AVENUE, 54TH FLOOR</u> <u>NEW YORK, NY 10166</u>	\$ <u>5,250,000.</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
<u>2</u>	<u>THE BURROUGHS TRUST</u> <u>C/O BNY MELLON WEALTH MANAGEMENT, 200</u> <u>PARK AVENUE, 54TH FLOOR</u> <u>NEW YORK, NY 10166</u>	\$ <u>825,000.</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization

Employer identification number

MERTZ GILMORE FOUNDATION**13-2872722****Part II Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

MERTZ GILMORE FOUNDATION**13-2872722****Part III**

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info. once) ▶ \$ _____

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES				STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	
CAPITAL GAIN DISTRIBUTION	875,023.	875,023.	0.	0.		
DIVIDEND & INTEREST INCOME	1,922,596.	0.	1,922,596.	1,922,596.		
TO PART I, LINE 4	2,797,619.	875,023.	1,922,596.	1,922,596.		

FORM 990-PF	OTHER INCOME			STATEMENT	2
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME		
OTHER LOSSES FROM LIMITED PARTNERSHIPS	-81,363.	-81,363.			
UNRELATED BUSINESS INCOME FROM LIMITED PARTNERSHIPS	1,459.	0.			
ADMINISTRATIVE SVC. FEES	212,389.	0.			
TOTAL TO FORM 990-PF, PART I, LINE 11	132,485.	-81,363.			

FORM 990-PF	LEGAL FEES			STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
LEGAL FEES	206,228.	20,623.		185,605.	
TO FM 990-PF, PG 1, LN 16A	206,228.	20,623.		185,605.	

FORM 990-PF	ACCOUNTING FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
AUDIT AND TAX FEES	43,445.	28,965.		14,480.	
TO FORM 990-PF, PG 1, LN 16B	43,445.	28,965.		14,480.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
WEBSITE CONSULTANT INVESTMENT & MANAGEMENT FEES	13,138.	657.		12,481.	
OUTSIDE ADMINISTRATIVE GRANT RELATED FEES	558,033.	558,033.		0.	
COMMUNICATIONS FEES	26,624.	0.		26,624.	
ANNUAL REPORT - DESIGN	108,683.	0.		108,683.	
	13,547.	2,032.		11,515.	
	7,820.	391.		7,429.	
TO FORM 990-PF, PG 1, LN 16C	727,845.	561,113.		166,732.	

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ESTIMATED FEDERAL EXCISE TAX	100,000.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	100,000.	0.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
GRANT RELATED EXPENSES	10,228.	0.		10,228.	
EQUIPMENT MAINTENANCE & RENTAL	25,336.	2,534.		22,802.	
OFFICE OPERATIONS	41,444.	2,073.		39,371.	
RESEARCH & MEMBERSHIP	10,029.	0.		10,029.	
BOARD OF DIRECTORS TRAVEL & EXPENSES	9,292.	465.		8,827.	
INSURANCE	7,502.	375.		7,127.	
FILING FEES AND FOUNDATION NOTICE	1,510.	0.		1,510.	
TO FORM 990-PF, PG 1, LN 23	105,341.	5,447.		99,894.	

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	8
DESCRIPTION		AMOUNT	
NONDEDUCTIBLE LOSSES PER K-1'S FROM PARTNERSHIPS		1,191,970.	
TOTAL TO FORM 990-PF, PART III, LINE 5		1,191,970.	

FORM 990-PF	CORPORATE STOCK	STATEMENT	9
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
COMMON STOCK - SEE STATEMENT G	4,713,536.	10,869,182.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	4,713,536.	10,869,182.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	10
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MONEY MARKETS	COST	185,144.	185,144.
CERTIFICATES OF DEPOSIT	COST	100,000.	100,000.
MUTUAL FUNDS	COST	21,575,209.	23,473,882.
HEDGE FUNDS - SEE STATEMENT A	COST	32,444,443.	40,412,011.
COMMINGLED FUNDS - SEE STATEMENT A	COST	31,256,742.	32,951,162.
PRIVATE EQUITY FUNDS - SEE STATEMENT A	COST	11,890,300.	13,888,978.
CASH IN TRANSIT	COST	2,305,071.	2,305,071.
TOTAL TO FORM 990-PF, PART II, LINE 13		99,756,909.	113,316,248.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT		STATEMENT 11
DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
LAND	500,000.	0.	500,000.
BUILDING & ACQUISITION	869,591.	646,758.	222,833.
BUILDING IMPROVEMENTS	6,567,438.	1,903,575.	4,663,863.
EQUIPMENT & FURNITURE	421,698.	75,000.	346,698.
TOTAL TO FM 990-PF, PART II, LN 14	8,358,727.	2,625,333.	5,733,394.

FORM 990-PF	OTHER ASSETS		STATEMENT 12
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
ARTWORK & ANTIQUES	76,103.	76,103.	76,103.
PROGRAM RELATED INVESTMENT	300,000.	300,000.	300,000.
ADVANCES	4,911.	0.	0.
TO FORM 990-PF, PART II, LINE 15	381,014.	376,103.	376,103.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 13
 TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MIKKI SHEPARD C/O MERTZ GILMORE FOUNDATION, 218 EAST 18TH STREET NEW YORK, NY 10003	CHAIRPERSON 1.00	14,500.	0.	0.
RINI BANERJEE C/O MERTZ GILMORE FOUNDATION, 218 EAST 18TH STREET NEW YORK, NY 10003	DIRECTOR 1.00	7,000.	0.	0.
JARED BERNSTEIN C/O MERTZ GILMORE FOUNDATION, 218 EAST 18TH STREET NEW YORK, NY 10003	DIRECTOR 1.00	7,000.	0.	0.
LAURA BUTZEL C/O MERTZ GILMORE FOUNDATION, 218 EAST 18TH STREET NEW YORK, NY 10003	SECRETARY & TREASURER 1.00	0.	0.	0.
PHIL RADFORD C/O MERTZ GILMORE FOUNDATION, 218 EAST 18TH STREET NEW YORK, NY 10003	DIRECTOR 1.00	4,500.	0.	0.
ANDREA SHOLLER C/O MERTZ GILMORE FOUNDATION, 218 EAST 18TH STREET NEW YORK, NY 10003	ASSISTANT SECRETARY 1.00	6,500.	0.	0.
CIARA TORRES-SPELLISCY C/O MERTZ GILMORE FOUNDATION, 218 EAST 18TH STREET NEW YORK, NY 10003	DIRECTOR 1.00	6,500.	0.	0.
GOLDEN BECKNER, JR. C/O MERTZ GILMORE FOUNDATION, 218 EAST 18TH STREET NEW YORK, NY 10003	PRESIDENT 35.00	322,380.	61,623.	0.
MARION CAPPIELLO C/O MERTZ GILMORE FOUNDATION, 218 EAST 18TH STREET NEW YORK, NY 10003	CHIEF FINANCIAL & ADMIN. O 35.00	191,256.	47,937.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		559,636.	109,560.	0.

FORM 990-PF

ELECTION UNDER REGULATIONS SECTION
53.4942(A)-3(D)(2) TO TREAT
EXCESS QUALIFYING DISTRIBUTIONS
AS DISTRIBUTIONS OUT OF CORPUS

STATEMENT 14

PAGE 9, PART XIII - UNDISTRIBUTED INCOME - LINE 4(C)

THE FOUNDATION HEREBY ELECTS TO TREAT \$6,075,000 AS A DISTRIBUTION OUT
OF CORPUS TO SATISFY THE REQUIREMENTS IMPOSED BY SECTION 4942(H)(2).
AN ELECTION IS HEREBY MADE PURSUANT TO REG. 53.4942(A)-3(D)(2) TO
TREAT \$6,075,000 AS A DISTRIBUTION OUT OF THE 2019 UNDISTRIBUTED
INCOME AND OUT OF CORPUS.

BY: *Mr. Cappel*DATE: *11/12/2020*

2019 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

Asset No	Description	Date Acquired	Method	Life	Conv	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
1	LAND	VARIOUS	L				500,000.				500,000.			0.	
2	BUILDING & ACQUISITION	VARIOUS	SL	40.00		16	869,591.				869,591.	625,019.		21,739.	646,758.
3	BUILDING IMPROVEMENTS	VARIOUS	SL	40.00		16	6,567,438.				6,567,438.	1,526,540.		377,035.	1,903,575.
4	EQUIPMENT & FURNITURE	VARIOUS	SL	5.00		16	421,698.				421,698.	10,575.		64,425.	75,000.
	* TOTAL 990-PF PG 1 DEPR						8,358,727.				8,358,727.	2,162,134.		463,199.	2,625,333.

Mertz Gilmore Foundation
Schedule of Investments
December 31, 2019
EIN # 13-2872722

	<u>Cost Basis</u>	<u>Market Value</u>
<u>Hedge Funds</u>		
Davidson Kempner	6,506,861	6,568,565
Viking Global Equities III	2,817,269	8,160,725
Canyon Value Realization Fund (Cayman), Ltd	5,000,000	5,232,186
Nut Tree Offshore Fund Ltd	5,000,000	5,312,595
Eminence Fund Ltd	5,120,313	6,771,285
Kylin Offshore Fund	3,000,000	3,081,772
Junto Offshore Fund Ltd	5,000,000	5,284,883
	<u>\$ 32,444,443</u>	<u>\$ 40,412,011</u>
<u>Commingled Funds</u>		
Newport Asia Institutional Fund	5,525,652	7,267,754
Silchester Int'l Investors	19,155,820	17,031,633
Wellington Management CTF _ DIH	4,760,690	6,439,483
GQG Partners EM Equity Fund	1,814,580	2,212,292
	<u>\$ 31,256,742</u>	<u>\$ 32,951,162</u>
<u>Private Equity Funds</u>		
Fortress Credit Opportunities III	588,223	529,040
Fortress Credit Opp Fund IV	1,655,364	1,628,253
TIFF Partners III	136,239	48,342
TIFF Partners IV	-	29,060
TIFF - Private Equity Ptnrs 2005	918,118	1,098,890
TIFF Private Equity Partners 2007	814,080	983,938
TIFF Partners V - US	239,914	159,354
TIFF Partners V - INT'L	147,821	28,193
Raine Partners II LP	2,201,768	3,377,842
Raine Partners III LP	1,128,815	1,080,003
Sageview Capital Partners II	1,292,228	1,889,500
Canyon Distressed Opportunity Fund II	1,036,258	1,241,672
Canyon Distressed Opportunity Fund III	625,000	635,469
Farallon Special Situations Fund	1,106,472	1,159,422
	<u>\$ 11,890,300</u>	<u>\$ 13,888,978</u>

Mertz Gilmore Foundation**Grants Paid in 2019**

Organization / Legal Name or Fiscal Sponsor Name	Address	Purpose of Grant	Tax Status / Date	Amount
Aaron Davis Hall	150 Convent Avenue & West 135th Street New York, NY 10031	Messages from Umi by Joseph Webb and Barédu Ahmed aka Long Arms (L.A.)	509a(1) - 170 (b) (1) (A) (vi) 8/6/1996	\$3,500
Aaron Davis Hall	150 Convent Avenue & West 135th Street New York, NY 10031	General support	509a(1) - 170 (b) (1) (A) (vi) 8/6/1996	\$30,000
ACCE Institute	3655 S Grand Avenue, Suite 250 Los Angeles, CA 90007	General support	509a(1) - 170 (b) (1) (A) (vi) 10/1/2010	\$50,000
Action Center on Race and the Economy Institute	1901 West Carroll Avenue, 3rd Floor Chicago, IL 60612	General support	509a(1) - 170 (b) (1) (A) (vi) 12/1/2017	\$75,000
Adhikaar for Human Rights and Social Justice	71-07 Woodside Avenue Woodside, NY 11377	General support	509a(1) - 170 (b) (1) (A) (vi) 3/1/2006	\$50,000
African Communities Together	127 West 127th Street, Suite 324 New York, NY 10027	General support	509a(1) - 170 (b) (1) (A) (vi) 8/1/2014	\$50,000
ALIGN: Alliance for a Greater New York	50 Broadway, 29th Floor New York NY 10004	General support	509a(1) - 170 (b) (1) (A) (vi) 6/21/2007	\$1,500
ALIGN: Alliance for a Greater New York	50 Broadway, 29th Floor New York NY 10004	General support	509a(1) - 170 (b) (1) (A) (vi) 6/21/2007	\$75,000
Allegheny-Blue Ridge Alliance	P.O. Box 96 Monterey, VA 24465	General support	509a(1) - 170 (b) (1) (A) (vi) 6/21/2007	\$300
Allegheny-Blue Ridge Alliance	P.O. Box 96 Monterey, VA 24465	General support	509a(1) - 170 (b) (1) (A) (vi) 6/21/2007	\$40,000
Alliance for a Green Economy	2013 E. Genesee Street, Suite 2 Syracuse, NY 13210	General support	509a(1) - 170 (b) (1) (A) (vi) 6/21/2007	\$1,200

Organization / Legal Name or Fiscal Sponsor Name	Address	Purpose of Grant	Tax Status / Date	Amount
Alliance for Climate Education	4676 Broadway Street, Suite A Boulder, CO 80304	General support	509a(1) - 170 (b) (1) (A) (vi) 1/1/2009	\$60,000
Alliance of Resident Theatres/New York	520 Eighth Avenue, Suite 319 New York, NY 10018	General support: (ArtsPool)	509a(1) - 170 (b) (1) (A) (vi) 10/1/1973	\$20,000
Alliance of Resident Theatres/New York Inc.	520 Eighth Avenue, Suite 319 New York, NY 10018	Nancy Quinn Fund	509a(1) - 170 (b) (1) (A) (vi) 9/9/2005	\$25,000
Alvin Ailey Dance Foundation	405 West 55th Street New York, NY 10019-4402	Accessibility Improvements at The Joan Weill Center for Dance	509a(2) 1/14/2005	\$30,000
Alvin Ailey Dance Foundation	405 West 55th Street New York, NY 10019-4402	ALVIN AILEY AMERICAN DANCE THEATER: NYSCA Rehearsal Space Subsidy Grant	509a(2) 1/14/2005	\$3,000
American Dance Asylum	259 Pine Street Corning, NY 14830	NYS DanceForce/Bessies Residency Fund	509a(1) - 170 (b) (1) (A) (vi) 12/1/1977	\$3,000
American Prospect	1225 Eye Street NW, Suite 600 Washington, DC 20005	General support	509a(1) - 170 (b) (1) (A) (vi) 10/31/1996	\$50,000
Appalachian Voices	589 West King Street Boone, NC 28607	General support	509a(1) - 170 (b) (1) (A) (vi) 5/24/2002	\$500
Appalachian Voices	589 West King Street Boone, NC 28607	Advancing an Equitable Transition to a Clean Energy Economy in Virginia	509a(1) - 170 (b) (1) (A) (vi) 5/24/2002	\$100,000
Arizona Advocacy Foundation	221 E. Indianola Avenue Phoenix, AZ 85012	General support: (Arizona Advocacy Network Foundation)	509a(1) - 170 (b) (1) (A) (vi) 1/1/2003	\$75,000
Arts & Business Council of New York	One East 53rd Street, 2nd Floor New York, NY 10022	General support	509a(1) - 170 (b) (1) (A) (vi) 9/1/1973	\$25,000
Asian Americans / Pacific Islanders in Philanthropy	300 Frank H. Ogawa Plaza Suite 256 Oakland, CA 94612	General support	509a(2) 2/12/2005	\$2,500
Association for Neighborhood and Housing Development	50 Broad Street, Suite 1402 New York, NY 10004	General support	509a(1) - 170 (b) (1) (A) (vi) 10/1/1974	\$40,000

Organization / Legal Name or Fiscal Sponsor Name	Address	Purpose of Grant	Tax Status / Date	Amount
BAAD! The Bronx Academy of Arts and Dance/Arthur Aviles Typical Theatre	2474 Westchester Avenue Bronx, NY 10461	General support	509a(1) 6/1/2000	\$3,500
BAAD! The Bronx Academy of Arts and Dance/Arthur Aviles Typical Theatre	2474 Westchester Avenue Bronx, NY 10461	General support	509a(1) 6/1/2000	\$20,000
BAAD! The Bronx Academy of Arts and Dance/Arthur Aviles Typical Theatre	2474 Westchester Avenue Bronx, NY 10461	NYSCA Rehearsal Space Subsidy Grant	509a(1) 6/1/2000	\$1,500
Ballet Hispanico of New York	167 West 89th Street New York, NY 10024	General support	509a(1) - 170 (b) (1) (A) (vi) 12/1/1971	\$35,000
Baryshnikov Arts Center	450 West 37th Street, Suite 501 New York, NY 10018	World Premiere of untitled piece by John Heginbotham	509a(1) - 170 (b) (1) (A) (vi) 8/1/2014	\$3,500
Baryshnikov Arts Center	450 West 37th Street, Suite 501 New York, NY 10018	General support	509a(1) - 170 (b) (1) (A) (vi) 8/1/2014	\$30,000
Battered Women's Resource Center	68 Jay Street, Suite 201 Brooklyn, NY 11201	General support	509a(1) - 170 (b) (1) (A) (vi) 6/5/2006	\$45,000
Bedford Stuyvesant Restoration Corporation	1368 Fulton Street Brooklyn, NY 11216	General support	509a(1) - 170 (b) (1) (A) (vi) 4/16/1999	\$50,000
Berkshire United Way	200 South Street Pittsfield, MA 01201	General support	509a(1) 10/13/2020	\$1,000
Bhavana Society	97 Meditation Trail High View, WV 26808	General support	509a(1) 10/13/2020	\$1,000
Boys & Girls Club of Harlem	521 West 145th Street New York NY 10031	General support	509a(1) - 170 (b) (1) (A) (vi) 10/15/2020	\$2,000
Brandworkers International	P.O. Box 1257 Long Island City, NY 11101	General support	509a(1) - 170 (b) (1) (A) (vi) 4/1/2010	\$50,000
Brandworkers International	P.O. Box 1257 Long Island City, NY 11101	General support	509a(1) - 170 (b) (1) (A) (vi) 4/1/2010	\$1,000
BRIC Arts Media	647 Fulton Street Brooklyn, NY 11217	BRIClab Dance Residencies	509a(1) - 170 (b) (1) (A) (vi) 1/1/1981	\$20,000

Organization / Legal Name or Fiscal Sponsor Name	Address	Purpose of Grant	Tax Status / Date	Amount
Bridging The Gap In Virginia	2507 5th Street Suite B Richard, VA 23222	Solar Training + Conflict Resolution	509a(1) 10/15/2020	\$45,000
Broadway Dance Lab	433 West 34th Street, Suite 19A New York, NY 10001	General support	509a(1) - 170 (b) (1) (A) (vi) 7/1/2014	\$25,000
Bronx Museum of the Arts	1040 Grand Concourse Bronx, NY 10456	General support	509a(1) - 170 (b) (1) (A) (vi) 3/1/1973	\$25,000
Brooklyn Arts Exchange, Inc.	421 Fifth Avenue Brooklyn, NY 11215	Late-Stage Production Stipend Grant; Choreographer: Knot In My Name	509a(1) - 170 (b) (1) (A) (vi) 2/17/2000	\$3,500
Brooklyn Arts Exchange, Inc.	421 Fifth Avenue Brooklyn, NY 11215	General support	509a(1) - 170 (b) (1) (A) (vi) 2/17/2000	\$25,000
Brooklyn Arts Exchange, Inc.	421 Fifth Avenue Brooklyn, NY 11215	NYSCA Rehearsal Space Subsidy Grant	509a(1) - 170 (b) (1) (A) (vi) 2/17/2000	\$3,000
Brooklyn Ballet	160 Schermerhorn Street Brooklyn, NY 11201	Brooklyn Ballet Studio Improvements	509a(1) - 170 (b) (1) (A) (vi) 10/15/2020	\$5,000
Brooklyn Ballet	160 Schermerhorn Street Brooklyn, NY 11201	NYSCA Rehearsal Space Subsidy Grant	509a(1) - 170 (b) (1) (A) (vi) 10/15/2020	\$1,500
Brooklyn Community Foundation	1000 Dean Street, #307 Brooklyn, NY 11238	General support: (Women's Organizing Network)	509a(1) 1/1/1991	\$20,000
Campaign Legal Center	1411 K Street, NW, Suite 1400 Washington, DC 20005	General support	509a(1) - 170 (b) (1) (A) (vi) 3/22/2007	\$75,000
Candid	32 Old Slip, 24th Floor New York, NY 10005	General support	509a(1) - 170 (b) (1) (A) (vi) 8/16/2007	\$10,000
Cave Organization, Inc.	58 Grand Street Brooklyn, NY 11211	LEIMAY: NYSCA Rehearsal Space Subsidy Grant	509a(1) - 170 (b) (1) (A) (vi) 10/20/2007	\$1,000
Cave Organization, Inc.	58 Grand Street Brooklyn, NY 11211	General support: (dba LEIMAY)	509a(1) - 170 (b) (1) (A) (vi) 10/20/2007	\$10,000

Organization / Legal Name or Fiscal Sponsor Name	Address	Purpose of Grant	Tax Status / Date	Amount
Center for Performance Research	361 Manhattan Avenue, Unit 1 Brooklyn, NY 11211	General support	509a(1) - 170 (b) (1) (A) (vi) 4/9/2009	\$3,500
Center for Performance Research	361 Manhattan Avenue, Unit 1 Brooklyn, NY 11211	NYSCA Rehearsal Space Subsidy Grant	509a(1) - 170 (b) (1) (A) (vi) 4/9/2009	\$2,000
Center for Performance Research	361 Manhattan Avenue, Unit 1 Brooklyn, NY 11211	To support the creation and presentation of dance in Williamsburg, Brooklyn.	509a(1) - 170 (b) (1) (A) (vi) 4/9/2009	\$20,000
Center for Popular Democracy	449 Troutman Street, Suite A Brooklyn, NY 11237	Voting Rights & Democracy Program	509a(1) - 170 (b) (1) (A) (vi) 8/1/1998	\$100,000
Center for Responsive Politics	1300 L Street NW, Suite 200 Washington, DC 20005	General support	509a(1) - 170 (b) (1) (A) (vi) 9/1/1983	\$75,000
Center for Traditional Music and Dance	32 Broadway, Suite 1314 New York, NY 10004	To support technical assistance programs serving immigrant dancers and community-based dance companies	509a(1) - 170 (b) (1) (A) (vi) 6/20/2005	\$25,000
Center for Urban Pedagogy	232 Third Street, #D201 Brooklyn, NY 11215	General support	509a(1) - 170 (b) (1) (A) (vi) 7/1/2002	\$25,000
Center on Budget and Policy Priorities	820 First Street, NE, #510 Washington, DC 20002	To support CBPP's data analysis of anti-poverty programs	509a(1) - 170 (b) (1) (A) (vi) 5/1/1982	\$5,000
Chamber Music America	12 West 32nd Street, 7th Floor New York, NY 10001-3813	General support	509a(1) - 170 (b) (1) (A) (vi) 8/7/2003	\$2,500
Chesapeake Climate Action Network	P.O. Box 11138 Takoma, MD 20913	General support	509a(1) - 170 (b) (1) (A) (vi) 6/29/2004	\$800
Chesapeake Climate Action Network	P.O. Box 11138 Takoma, MD 20913	To cover Harrison Wallace's travel expenses associated with attending the Center for Story-based Strategy's Advanced Training	509a(1) - 170 (b) (1) (A) (vi) 6/29/2004	\$2,700
Chesapeake Climate Action Network	P.O. Box 11138 Takoma, MD 20913	General support	509a(1) - 170 (b) (1) (A) (vi) 6/29/2004	\$85,000
Chhaya Community Development Corporation	37-43 77th Street, 2nd Floor Jackson Heights, NY 11372	BASE Campaign	509a(1) - 170 (b) (1) (A) (vi) 10/15/2020	\$40,000

Organization / Legal Name or Fiscal Sponsor Name	Address	Purpose of Grant	Tax Status / Date	Amount
Chinese Staff and Workers' Association	345 Grand Street, #1W, Ground Floor New York, NY 10013-0995	General support	509a(1) - 170 (b) (1) (A) (vi) 10/15/2020	\$50,000
Churchill School and Center	301 East 29th Street New York, NY 10016	General support	509a(1) - 170 (b) (1) (A) (vi) 5/1/1972	\$2,000
Citizen Action of Wisconsin Education Fund	221 S. Second Street, Suite 300 Milwaukee, WI 53204	To support the 501(c)(3) activities of the Citizen Action Organizing Cooperative	509a(1) 10/15/2020	\$5,000
Citizen Engagement Lab Education Fund	1330 Broadway, 3rd Floor Oakland, CA 94612	General support: (Center for Cultural Power)	509a(1) - 170 (b) (1) (A) (vi) 10/15/2020	\$50,000
Citizens for Responsibility and Ethics in Washington	1101 K Street NW, Suite 201 Washington, DC 20005	General support	509a(1) - 170 (b) (1) (A) (vi) 10/15/2020	\$60,000
Citizens for Responsibility and Ethics in Washington	1101 K Street NW, Suite 201 Washington, DC 20005	General support	509a(1) - 170 (b) (1) (A) (vi) 10/15/2020	\$50,000
City Parks Foundation	830 Fifth Avenue New York, NY 10021	General support	509a(1) - 170 (b) (1) (A) (vi) 1/3/1995	\$25,000
Color Of Change.org Education Fund	1714 Franklin Street, Suite 100-136 Oakland, CA 94612	General support	509a(1) - 170 (b) (1) (A) (vi) 1/1/2014	\$50,000
Committee Against Anti-Asian Violence	55 Hester Street New York, NY 10002	General support: (CAAAV: Organizing Asian Communities)	509a(1) - 170 (b) (1) (A) (vi) 11/1/1992	\$50,000
Common Cause Education Fund	805 Fifteenth Street NW, Suite 800 Washington, DC 20005	Make Every Vote Matter: (California Common Cause)	509a(1) - 170 (b) (1) (A) (vi) 7/1/2000	\$50,000
Common Cause Education Fund	805 Fifteenth Street NW, Suite 800 Washington, DC 20005	General support	509a(1) - 170 (b) (1) (A) (vi) 7/1/2000	\$50,000
Community Development Project	123 William Street, 16th Floor New York, NY 10038	General support: (TakeRoot Justice)	509a(1) 10/15/2020	\$40,000
Community Food Advocates	110 Wall Street New York, NY 10005	General support	509a(1) 10/15/2020	\$40,000
Community Funds, Inc.	c/o The New York Community Trust, 909 Third Avenue, 22nd Floor New York, NY 10022	General support: (Mosaic Network and Fund)	509a(1) 10/15/2020	\$40,000

Organization / Legal Name or Fiscal Sponsor Name	Address	Purpose of Grant	Tax Status / Date	Amount
Community Funds, Inc.	909 Third Avenue, 22nd Floor New York, NY 10022	General support	509a(1) - 170 (b) (1) (A) (vi) 3/1/1959	\$50,000
Community Voices Heard	115 East 106th Street, 3rd Floor New York, NY 10029	General support	509a(1) - 170 (b) (1) (A) (vi) 3/31/2008	\$50,000
Consultative Group on Biological Diversity	P.O. Box 29361 San Francisco, CA 94129-0361	General support: (Biodiversity Funders Group)	509a(1) - 170 (b) (1) (A) (vi) 8/21/1996	\$10,000
Crenulated Company, Ltd.	1512 Townsend Avenue, Ground Floor Storefront Bronx, NY 10452	General support: (New Settlement Apartments)	509a(2) - 170 (b) (1) (A) (vii) 7/1/1989	\$50,000
Dance Entropy, Inc.	37-24 24th Street, Suite 211 New York, NY 11101	The Architecture of Proximity by Zullo/RawMovement	509a(2) 5/22/2007	\$3,500
Dance Entropy, Inc.	37-24 24th Street, Suite 211 New York, NY 11101	To provide emerging choreographers the resources to create and present work in LIC	509a(2) 5/22/2007	\$10,000
Dance Service New York City, Inc.	218 East 18th Street, Garden Floor New York, NY 10003	General support	509a(1) - 170 (b) (1) (A) (vi) 5/1/2013	\$7,000
Dance Service New York City, Inc.	218 East 18th Street, Garden Floor New York, NY 10003	To support Dance/NYC (General support)	509a(1) - 170 (b) (1) (A) (vi) 5/1/2013	\$55,000
Dancenow/NYC	P.O. Box 710 Springtown, PA 18081	General support	509a(2) 10/20/2005	\$3,500
Dancenow/NYC	P.O. Box 710 Springtown, PA 18081	General support	509a(2) 10/20/2005	\$28,000
Dances for a Variable Population	560 Riverside Drive, #9K New York, NY 10027	The Phoenix Initiative	509a(1) - 170 (b) (1) (A) (vi) 6/6/2009	\$10,000
Dances for a Variable Population	560 Riverside Drive, #9K New York, NY 10027	To support the expansion of the Movement Speaks program.	509a(1) - 170 (b) (1) (A) (vi) 6/6/2009	\$5,000
Dancing in the Streets, Inc.	2825 Third Avenue, Suite 301 Bronx, NY 10455	General support	509a(1) - 170 (b) (1) (A) (vi) 4/1/1986	\$25,000
Danspace Project, Inc.	St. Mark's Church, 131 East 10th Street, 2nd Floor New York, NY 10003	Late-Stage Production Stipend: Choreographer Sam Kim	509a(1) - 170 (b) (1) (A) (vi) 2/13/1989	\$3,500

Organization / Legal Name or Fiscal Sponsor Name	Address	Purpose of Grant	Tax Status / Date	Amount
Danspace Project, Inc.	St. Mark's Church, 131 East 10th Street, 2nd Floor New York, NY 10003	General support	509a(1) - 170 (b) (1) (A) (vi) 2/13/1989	\$50,000
Day One New York	P.O. Box 3220, Church Street Station New York, NY 10008	General support	509a(1) - 170 (b) (1) (A) (vi) 11/1/2008	\$2,000
Democracy Initiative Education Fund	805 15th Street NW, Suite 800 Washington, DC 20005	General support	509a(1) - 170 (b) (1) (A) (vi) 10/15/2020	\$2,874
Democracy Initiative Education Fund	805 15th Street NW, Suite 800 Washington, DC 20005	Video Project: "Democracy & Climate Change: A Winning Combination"	509a(1) - 170 (b) (1) (A) (vi) 10/15/2020	\$40,000
Democracy Initiative Education Fund	805 15th Street NW, Suite 800 Washington, DC 20005	General support	509a(1) - 170 (b) (1) (A) (vi) 10/15/2020	\$35,000
Demos a Network for Ideas and Action	80 Broad Street, 4th Floor New York, NY 10004	General support	509a(1) - 170 (b) (1) (A) (vi) 10/15/2020	\$100,000
Drew University	English Department, 36 Madison Avenue Madison, NJ 07940	Drew Honduras Project	509a(1) - 170 (b) (1) (A) (vi) 12/18/2001	\$2,000
East 11th Street Block Association	16 East 11th Street New York, NY 10003	General support	509a(1) - 170 (b) (1) (A) (vi) 2/25/2009	\$2,500
East Brunswick Public Library Foundation	2 Jean Walling Civic Center East Brunswick, NJ 08816	General support	509a(1) - 170 (b) (1) (A) (vi) 12/15/2010	\$3,000
Environmental Grantmakers Association	475 Riverside Drive, Suite 960 New York, NY 10115	General support	509a(1) - 170 (b) (1) (A) (vi) 7/28/2007	\$1,000
Environmental Grantmakers Association	475 Riverside Drive, Suite 960 New York, NY 10115	General support	509a(1) - 170 (b) (1) (A) (vi) 7/28/2007	\$9,100

Organization / Legal Name or Fiscal Sponsor Name	Address	Purpose of Grant	Tax Status / Date	Amount
Environmental Grantmakers Association	475 Riverside Drive, Suite 960 New York, NY 10115	2019 Environmental Fellows Program	509a(1) - 170 (b) (1) (A) (vi) 7/28/2007	\$84,000
Environmental Grantmakers Association	475 Riverside Drive, Suite 960 New York, NY 10115	EGA fall retreat	509a(1) - 170 (b) (1) (A) (vi) 7/28/2007	\$32,500
Eva Dean Dance Company	Union Street Dance 725 Union Street, Suite B New York, NY 11215	Late Stage Creative Stipend: Laura K. Nicoll	509a(1) - 170 (b) (1) (A) (vi) 8/8/1992	\$3,500
Eva Dean Dance Company	Union Street Dance 725 Union Street, Suite B New York, NY 11215	NYSCA Rehearsal Space Subsidy Grant	509a(1) - 170 (b) (1) (A) (vi) 8/8/1992	\$1,500
Exploring the Metropolis, Inc.	161-04 Jamaica Ave., 5th Floor Jamaica, NY 11432	EtM Choreographer + Composer Residencies	509a(1) - 170 (b) (1) (A) (vi) 1/1/1984	\$15,000
Faith in New York	103-04 39th Avenue, Suite 105 Corona, NY 11368	General support	509a(1) - 170 (b) (1) (A) (vi) 3/1/2007	\$50,000
Fifth Avenue Committee, Inc.	621 DeGraw Street Brooklyn, NY 11217	General support	509a(1) - 170 (b) (1) (A) (vi) 4/1/1979	\$50,000
Fifth Avenue Committee, Inc.	621 DeGraw Street Brooklyn, NY 11217	General support	509a(1) - 170 (b) (1) (A) (vi) 4/1/1979	\$50,000
Fiorello H. LaGuardia Community College Auxiliary Enterprises Corp.	31-10 Thomson Avenue, Room E-241 Long Island City, NY 11101	General support: (LaGuardia Performing Arts Center)	509a(1) - 170 (b) (1) (A) (vi) 2/12/2002	\$10,000
Foundation for Contemporary Arts	820 Greenwich Street New York, NY 10014	Emergency Grants for NYC-based Dance Artists	509a(1) - 170 (b) (1) (A) (vi) 12/1/1999	\$15,000
Fourth Arts Block, Inc.	61 East 4th Street New York, NY 10003	Dance Block	509a(1) - 170 (b) (1) (A) (vi) 5/23/2008	\$20,000
Fractured Atlas	248 West 35th Street, 10th Floor New York, NY 10001	General support: (American Dance Abroad)	509a(1) - 170 (b) (1) (A) (vi) 10/1/1998	\$10,000
Friends of the Earth	1101 15th Street NW, 11th Floor Washington, DC 20005	General support	509a(1) - 170 (b) (1) (A) (vi) 12/1/1974	\$5,000

Organization / Legal Name or Fiscal Sponsor Name	Address	Purpose of Grant	Tax Status / Date	Amount
Fund for the City of New York	121 Sixth Avenue, 6th Floor New York, NY 10013	General support: (Right to Counsel NYC Coalition)	509a(1) - 170 (b) (1) (A) (vi) 2/1/1982	\$40,000
Fund for the City of New York	555 8th Avenue, #1803 New York, NY 10018	General support: (Riders Alliance)	509a(1) - 170 (b) (1) (A) (vi) 2/1/1982	\$40,000
Generation Citizen	110 Wall Street, 5th Floor New York NY 10005	Expanding Action Civics in New York	509a(1) - 170 (b) (1) (A) (vi) 8/1/2010	\$50,000
Gina Gibney Dance	280 Broadway New York, NY 10007	Late Stage Stipend	509a(1) - 170 (b) (1) (A) (vi) 2/13/1996	\$3,500
Gina Gibney Dance	280 Broadway New York, NY 10007	Dance Space Enhancement	509a(1) - 170 (b) (1) (A) (vi) 2/13/1996	\$30,000
Gina Gibney Dance	280 Broadway New York, NY 10007	General support	509a(1) - 170 (b) (1) (A) (vi) 2/13/1996	\$40,000
Gina Gibney Dance	280 Broadway New York, NY 10007	Gibney Gala	509a(1) - 170 (b) (1) (A) (vi) 2/13/1996	\$5,000
Gina Gibney Dance	280 Broadway New York, NY 10007	Moving Toward Justice Cohort Project	509a(1) - 170 (b) (1) (A) (vi) 2/13/1996	\$15,000
Global Action Project	4 West 37th Street, 2nd Floor New York, NY 10018	General support	509a(1) 10/15/2020	\$40,000
Good Old Lower East Side, Inc.	173 Avenue B New York, NY 10009	General support	509a(1) - 170 (b) (1) (A) (vi) 5/8/1980	\$50,000
Grantmakers in the Arts	522 Courtland Avenue, 1st Floor Bronx, NY 10451-5008	General support	509a(1) - 170 (b) (1) (A) (vi) 6/12/2000	\$6,000
Grantmakers in the Arts	522 Courtland Avenue, 1st Floor Bronx, NY 10451-5008	GIA Annual Conference in New York	509a(1) - 170 (b) (1) (A) (vi) 6/12/2000	\$15,000
Grassroots International	179 Boylston Street, 4th Floor Boston, MA 02130	BEAI Fund	509a(1) 10/1/1983	\$75,000

Organization / Legal Name or Fiscal Sponsor Name	Address	Purpose of Grant	Tax Status / Date	Amount
Greenagers, Inc.	62 Undermountain Road P.O. Box 157 South Egremont, MA 01258-0157	To support its Capital Building Campaign	509a(1) 10/15/2020	\$1,000
Greenwich Village Society for Historic Preservation	232 East 11th Street New York, NY 10003	General support	509a(2) - 170 (b) (1) (A) (vii) 5/1/1981	\$2,500
Haleakala, Inc.	512 West 19th Street New York, NY 10011-2807	Late-Stage Production Stipend: Lauren Bakst	509a(1) - 170 (b) (1) (A) (vi) 7/14/1989	\$3,500
Haleakala, Inc.	512 West 19th Street New York, NY 10011-2807	General support	509a(1) - 170 (b) (1) (A) (vi) 7/14/1989	\$25,000
HealthRight International	14 East 4th Street, 3rd Floor New York, NY 10012	To support the Human Rights Clinic's technical assistance and training to NYC immigrant survivors of torture and abuse	509a(1) - 170 (b) (1) (A) (vi) 2/1/1995	\$5,000
Henry Street Settlement	265 Henry Street New York, NY 10002	Let 'im Move You: This is a Formation	509a(1) - 170 (b) (1) (A) (vi) 12/12/2000	\$3,500
Henry Street Settlement	265 Henry Street New York, NY 10002	General support	509a(1) - 170 (b) (1) (A) (vi) 12/12/2000	\$30,000
Higher Heights Leadership Fund	147 Prince Street Brooklyn, NY 11201	General support	509a(1) - 170 (b) (1) (A) (vi) 11/1/2015	\$45,000
HOME for Contemporary Theatre and Art	145 Sixth Avenue New York, NY 10013	Black History Museum According to the United States of America	509a(1) - 170 (b) (1) (A) (vi) 8/25/1998	\$3,500
HOME for Contemporary Theatre and Art	145 Sixth Avenue New York, NY 10013	General support	509a(1) - 170 (b) (1) (A) (vi) 8/25/1998	\$30,000
Hook Arts Media	480 Van Brunt Street, Suite 203 Brooklyn, NY 11231	Dance Presenting Programs	509a(2) 2/4/1994	\$10,000

Organization / Legal Name or Fiscal Sponsor Name	Address	Purpose of Grant	Tax Status / Date	Amount
Hundred Grand Dance Foundation, Inc.	100 Grand Street, 2nd Floor New York, NY 10013	HUNDRED GRAND DANCE STUDIO: NYSCA Rehearsal Space Subsidy Grant	509a(1) - 170 (b) (1) (A) (vi) 10/10/2003	\$1,500
Hunters Point Parks Conservancy	217 51st Avenue, #903 Long Island City, NY 11101	General support: (The Physical Plant)	509a(1) - 170 (b) (1) (A) (vi) 10/1/1998	\$5,000
Insight Meditation Center of Pioneer Valley Dharma Dena	116 Pleasant St, Ste 242 Easthampton, MA 01027	General support	509a(1) - 170 (b) (1) (A) (vi) 12/1/1998	\$1,000
Institute for Energy Economics and Financial Analysis	River's Edge, 3430 Rocky River Drive Cleveland, OH 44111	General support	509a(1) - 170 (b) (1) (A) (vi) 2/1/2013	\$75,000
Institute for Strategic and Equitable Development	600 West 116th Street, #7D New York, NY 10027-7010	Inclusion, Diversity, and Equity in Environmental Philanthropy	509a(2) - 170 (b) (1) (A) (vii) 12/1/2015	\$25,000
Institute for Strategic and Equitable Development	600 West 116th Street, #7D New York, NY 10027-7010	Presidents' Forum	509a(2) - 170 (b) (1) (A) (vii) 12/1/2015	\$25,000
Interfaith Alliance for Climate Justice	P.O. Box 184 The Plains, VA 20198	General support: (Virginia Student Environmental Coalition)	509a(1) - 170 (b) (1) (A) (vi) 8/1/2014	\$150
Interfaith Alliance for Climate Justice	P.O. Box 184 The Plains, VA 20198	General support: (Virginia Student Environmental Coalition)	509a(1) - 170 (b) (1) (A) (vi) 8/1/2014	\$25,000
ioby	540 President Street, 3rd Floor Brooklyn, NY 11215	General support	509a(1) - 170 (b) (1) (A) (vi) 6/3/2009	\$1,000
IS 183, Art School of the Berkshires	13 Willard Hill Road, P.O. Box 1400 Stockbridge, MA 01262	General support	509a(1) - 170 (b) (1) (A) (vi) 7/1/2014	\$2,000
Issue One	1401 K Street NW, Suite 350 Washington, DC 20005	General support	509a(1) - 170 (b) (1) (A) (vi) 2/1/2014	\$50,000
Jack Arts, Inc.	53 Putnam Avenue Brooklyn, NY 11238	General support	509a(1) - 170 (b) (1) (A) (vi) 8/1/2014	\$10,000
Jacob's Pillow Dance Festival, Inc.	358 George Carter Road Becket, MA 01223	General support	509a(1) - 170 (b) (1) (A) (vi) 1/22/1996	\$60,000

Organization / Legal Name or Fiscal Sponsor Name	Address	Purpose of Grant	Tax Status / Date	Amount
Jamaica Center for Arts and Learning	161-04 Jamaica Avenue Jamaica, NY 11432	To support the creation and presentation of dance at Jamaica Performing Arts Center in Queens.	509a(1) - 170 (b) (1) (A) (vi) 3/18/1998	\$15,000
Jerome Robbins Foundation	156 West 56th Street, Suite 900 New York, NY 10019	Project Springboard	509(a) 10/19/2020	\$25,000
Jonah Bokaer Arts Foundation	304 Boerum Street, #23 Brooklyn, NY 11206	NYSCA Rehearsal Space Subsidy Grant	509a(1) - 170 (b) (1) (A) (vi) 6/18/2007	\$1,500
Joyce Theater Foundation, Inc.	175 Eighth Avenue New York, NY 10011	Company Manager for Evidence	509a(1) - 170 (b) (1) (A) (vi) 9/1/1980	\$25,000
K.S. J.A.M.M. Dance Troupe, Inc.	15 Dunham Place, #7L Brooklyn, NY 11249	Enhancement Project	509a(1) 10/15/2020	\$25,000
K.S J A M M. Dance Troupe, Inc.	15 Dunham Place, #7L Brooklyn, NY 11249	NYSCA Rehearsal Space Subsidy Grant	509a(1) 10/15/2020	\$1,000
Kentucky Coalition	P.O. Box 1450 London, KY 40743	General support	509a(1) - 170 (b) (1) (A) (vi) 7/18/2008	\$1,000
Kentucky Coalition	P.O. Box 1450 London, KY 40743	General support	509a(1) - 170 (b) (1) (A) (vi) 7/18/2008	\$75,000
Kentucky Coalition	P.O. Box 1450 London, KY 40743	Kentucky Coalition narrative and communications	509a(1) - 170 (b) (1) (A) (vi) 7/18/2008	\$75,000
Kings Majestic Corporation	1000 Dean Street, Suite 232 Brooklyn, NY 11238	General support: (dba 651 ARTS)	509a(1) - 170 (b) (1) (A) (vi) 12/5/2000	\$3,500
Kings Majestic Corporation	1000 Dean Street, Suite 232 Brooklyn, NY 11238	General support: (dba 651 ARTS)	509a(1) - 170 (b) (1) (A) (vi) 12/5/2000	\$20,000
Kings Majestic Corporation	1000 Dean Street, Suite 232 Brooklyn, NY 11238	General support: (dba 651 ARTS)	509a(1) - 170 (b) (1) (A) (vi) 12/5/2000	\$20,000
Kings Majestic Corporation	1000 Dean Street, Suite 232 Brooklyn, NY 11238	General support: (dba 651 ARTS)	509a(1) - 170 (b) (1) (A) (vi) 12/5/2000	\$5,000

Organization / Legal Name or Fiscal Sponsor Name	Address	Purpose of Grant	Tax Status / Date	Amount
Kingsborough Community College Auxiliary Enterprises	ATTN: On Stage At Kingsborough 2001 Oriental Blvd. Brooklyn, NY 11235	General support	509a(2) 5/3/2004	\$15,000
La MaMa Experimental Theatre Club, Inc.	74A East 4th Street New York, NY 10003	Lost Mountain	509a(1) - 170 (b) (1) (A) (vi) 7/7/2008	\$3,500
La MaMa Experimental Theatre Club, Inc.	74A East 4th Street New York, NY 10003	General support	509a(1) - 170 (b) (1) (A) (vi) 7/7/2008	\$25,000
Labor Institute	817 Broadway, 6th Floor New York, NY 10003	Runaway Inequality and the Environmental Crisis: Education and Action with Impacted Labor Unions and Communities of Color	509a(1) - 170 (b) (1) (A) (vi) 1/1/1985	\$50,000
Laundromat Project	127 West 127th Street, Suite 434 New York, NY 10027	General support	509a(1) - 170 (b) (1) (A) (vi) 2/1/2010	\$5,000
Lawyers Alliance for New York	171 Madison Avenue, 9th Floor New York, NY 10016	Legal needs of nonprofits engaging in advocacy and organizing	509a(1) - 170 (b) (1) (A) (vi) 9/1/1984	\$40,000
Legal Aid Society	199 Water Street New York, NY 10038	General support	509a(1) - 170 (b) (1) (A) (vi) 11/1/1943	\$5,000
Local Motion	1 Steele Street, #103 Burlington, VT 05401	General support	509a(1) - 170 (b) (1) (A) (vi) 2/1/2001	\$1,000
Lower Manhattan Cultural Council	125 Maiden Lane, 2nd Floor New York, NY 10038	Jennifer Monson: ditch	509a(1) - 170 (b) (1) (A) (vi) 3/30/2006	\$3,500
Lower Manhattan Cultural Council	125 Maiden Lane, 2nd Floor New York, NY 10038	General support	509a(1) - 170 (b) (1) (A) (vi) 3/30/2006	\$30,000
Lower Manhattan Cultural Council	125 Maiden Lane, 2nd Floor New York, NY 10038	General support	509a(1) - 170 (b) (1) (A) (vi) 3/30/2006	\$30,000
Make the Road New York	301 Grove Street Brooklyn, NY 11237	General support	509a(1) - 170 (b) (1) (A) (vi) 4/24/2017	\$75,000
Make the Road New York	7 Penn Plaza, 14th Fl. New York, NY 10001	General support: (Center for Frontline Retail)	509a(1) - 170 (b) (1) (A) (vi) 8/1/1998	\$50,000

Organization / Legal Name or Fiscal Sponsor Name	Address	Purpose of Grant	Tax Status / Date	Amount
Martha Graham Center of Contemporary Dance	55 Bethune Street New York, NY 10014	NYSCA Rehearsal Space Subsidy Grant	509a(1) - 170 (b) (1) (A) (vi) 5/11/2011	\$4,000
Massachusetts Immigrant and Refugee Advocacy Coalition	105 Chauncy Street, Suite 901 Boston, MA 01201	General support: (Berkshire Immigrant Center)	509a(1) - 170 (b) (1) (A) (vi) 10/1/1991	\$2,000
Mediators Foundation	2525 Arapahoe Avenue, Suite E-4 #509 Boulder, CO 80302	General support: (United Citizen Power)	509a(2) - 170 (b) (1) (A) (vii) 2/1/1988	\$1,000
Mediators Foundation	2525 Arapahoe Avenue, Suite E-4 #509 Boulder, CO 80302	General support: (United Citizen Power)	509a(2) - 170 (b) (1) (A) (vii) 2/1/1988	\$40,000
Met Council Research & Educational Fund	339 Lafayette Street, Suite 301 New York, NY 10012	General support: (Met Council on Housing)	509a(1) 8/27/2020	\$60,000
MinKwon Center for Community Action	133-29 41st Avenue, #202 Flushing, NY 11355	General support	509a(1) - 170 (b) (1) (A) (vi) 12/0/2009	\$50,000
Missouri Jobs with Justice	2725 Clifton Avenue St. Louis, MO 63139	General support	509a(2) - 170 (b) (1) (A) (vii) 12/1/2003	\$25,000
Monica Bill Barnes & Company	41 Perry Street, 2A New York, NY 10014	ARTS Brookfield Commission for a new show	509a(1) - 170 (b) (1) (A) (vi) 11/1/2007	\$5,000
Mothers Out Front	P.O. Box 55071, #23686 Boston, MA 02205	General support	509a(1) - 170 (b) (1) (A) (vi) 4/1/2015	\$500
Mothers Out Front	P.O. Box 55071, #23686 Boston, MA 02205	General support	509a(1) - 170 (b) (1) (A) (vi) 4/1/2015	\$50,000
Movement for Justice in El Barrio	232 East 11th Street New York, NY 10003	General support	509a(1) - 170 (b) (1) (A) (vi) 10/15/2020	\$50,000
Movement Research, Inc.	150 First Avenue, 2nd Floor New York, NY 10009	Late Stage Production Stipend 2019	509a(2) 9/23/1982	\$3,500
Movement Research, Inc.	150 First Avenue, 2nd Floor New York, NY 10009	General support	509a(2) 9/23/1982	\$30,000

Organization / Legal Name or Fiscal Sponsor Name	Address	Purpose of Grant	Tax Status / Date	Amount
Movement Strategy Center	436 14th Street, 5th Floor Oakland, CA 94612	General support: (Climate Justice Alliance)	509a(1) - 170 (b) (1) (A) (vi) 10/15/2020	\$1,500
Movement Strategy Center	436 14th Street, 5th Floor Oakland, CA 94612	General support: (Climate Justice Alliance)	509a(1) - 170 (b) (1) (A) (vi) 10/15/2020	\$75,000
Ms. Foundation for Women, Inc.	12 MetroTech Center, 26th Floor Brooklyn, NY 11201	General support: (Asian Women Giving Circle)	509a(1) - 170 (b) (1) (A) (vi) 6/19/2009	\$5,000
Multiplier	405 14th Street, Suite 164 Oakland, CA 94612	General support: (New York Energy Democracy Alliance)	509a(1) - 170 (b) (1) (A) (vi) 12/1/2009	\$50,000
National Committee for Responsive Philanthropy	1900 L Street NW, Suite 825 Washington, DC 20036	General support	509a(2) 9/25/2002	\$3,000
National Institute on Money in State Politics	833 N. Last Chance Gulch Helena, MT 59601	General support	509a(1) - 170 (b) (1) (A) (vi) 11/1/1999	\$185,000
National Institute on Money in State Politics	833 N. Last Chance Gulch Helena, MT 59601	General support	509a(1) - 170 (b) (1) (A) (vi) 11/1/1999	\$25,000
National Mobilization Against SweatShops	345 Grand Street, #1E New York, NY 10002	General support	509a(1) - 170 (b) (1) (A) (vi) 12/11/2000	\$50,000
National Trust for Historic Preservation in the United States	2600 Virginia Avenue NW, Suite 1100 Washington, DC 20037	General support: (Chesterwood)	509a(1) - 170 (b) (1) (A) (vi) 5/1/1950	\$1,000
Neighborhood Funders Group	436 14th Street, Suite 425 Oakland, CA 94612	General support	509a(1) - 170 (b) (1) (A) (vi) 9/1/1993	\$1,500
NEO Philanthropy	45 West 36th Street, 6th New York, NY 10018	General support: (Funders' Committee for Civic Participation)	509a(1) - 170 (b) (1) (A) (vi) 7/2/2010	\$2,500
NEO Philanthropy	45 West 36th Street, 6th Floor New York, NY 10018	General support	509a(1) - 170 (b) (1) (A) (vi) 1/16/2007	\$225,000
New 42nd Street, Inc.	229 West 42nd Street, 10th Floor New York, NY 10036	Victory Dance	509a(1) - 170 (b) (1) (A) (vi) 6/4/2004	\$20,000

Organization / Legal Name or Fiscal Sponsor Name	Address	Purpose of Grant	Tax Status / Date	Amount
New 42nd Street, Inc.	229 West 42nd Street, 10th Floor New York, NY 10036	NYSCA Rehearsal Space Subsidy Grant	509a(1) - 170 (b) (1) (A) (vi) 6/4/2004	\$3,000
New Alpha Community Development Corporation	P.O. Box 3288 Florence, SC 29502	General support	509a(1) - 170 (b) (1) (A) (vi) 3/1/2016	\$1,000
New Alpha Community Development Corporation	P.O. Box 3288 Florence, SC 29502	Justice First Tour	509a(1) - 170 (b) (1) (A) (vi) 3/1/2016	\$35,000
New America Foundation	740 15th Street, NW, Suite 900 Washington, DC 20005	Political Reform Program	509a(1) - 170 (b) (1) (A) (vi) 7/1/1998	\$50,000
New American Leaders	530 7th Ave, Floor M1 New York, NY 10018	General support	509a(1) - 170 (b) (1) (A) (vi) 2/1/1982	\$2,500
New American Leaders	530 7th Ave, Floor M1 New York, NY 10018	General support	509a(1) - 170 (b) (1) (A) (vi) 2/1/1982	\$45,000
New Canaan Country School	635 Frogtown Road New Canaan, CT 06840	To support the Upper School professional development and diversity initiatives.	509a(1) - 170 (b) (1) (A) (vi) 10/1/1939	\$2,500
New Dance Alliance, Inc.	182 Duane Street, 3rd Floor New York, NY 10013	NYSCA Rehearsal Space Subsidy Grant	509a(2) 4/19/2006	\$1,500
New Economy Project	121 West 27th Street, Suite 804 New York, NY 10001	General support	509a(1) - 170 (b) (1) (A) (vi) 4/1/1996	\$40,000
New Florida Majority Education Fund	10800 Biscayne Blvd., Suite 1050 Miami, FL 33161	General support	509a(1) - 170 (b) (1) (A) (vi) 8/1/2013	\$40,000
New Immigrant Community Empowerment	71-29 Roosevelt Avenue, 2nd Floor Jackson Heights, NY 11372	General support	509a(1) - 170 (b) (1) (A) (vi) 8/1/2001	\$50,000
New Venture Fund	1201 Connecticut Avenue NW, Suite 300 Washington, DC 20036	General support: (Climate and Clean Energy Equity Fund)	509a(1) - 170 (b) (1) (A) (vi) 1/1/2017	\$500
New Venture Fund	1201 Connecticut Ave, NW Suite 300 Washington, DC 20036	General support: (Chesapeake Bay Funders Network)	509a(1) 10/15/2020	\$10,000
New Venture Fund	1201 Connecticut Avenue NW, Suite 300 Washington, DC 20036	Redistricting Reform Project- Counting for Democracy Conference	509a(1) - 170 (b) (1) (A) (vi) 1/1/2007	\$10,000

Organization / Legal Name or Fiscal Sponsor Name	Address	Purpose of Grant	Tax Status / Date	Amount
New Venture Fund	1201 Connecticut Avenue NW, Suite 300 Washington, DC 20036	Climate and Clean Energy Equity Fund - US Public Will Building	509a(1) - 170 (b) (1) (A) (vi) 1/1/2017	\$75,000
New Virginia Majority Education Fund	3801 Mount Vernon Avenue Alexandria, VA 23224	General support: (Virginia Environmental Justice Collaborative)	509a(1) - 170 (b) (1) (A) (vi) 2/1/2015	\$150
New Virginia Majority Education Fund	3801 Mount Vernon Avenue Alexandria, VA 22305	General support	509a(2) - 170 (b) (1) (A) (vii) 2/1/2015	\$500
New York City Center	130 West 56th Street New York, NY 10019-3803	General support	509a(2) 12/1/1976	\$25,000
New York Communities Organizing Fund	470 Vanderbilt Ave. 9th Fl. Brooklyn, NY 11238	General support	509a(1) - 170 (b) (1) (A) (vi) 3/1/2001	\$50,000
New York Foundation	150 West 30th Street, Suite 1401 New York, NY 10001	New York City Capacity Building Collaborative	509(a) 2/18/2010	\$15,000
New York Foundation	150 West 30th Street, Suite 1401 New York, NY 10001	Neighborhoods First Fund	509(a) 2/18/2010	\$75,000
New York Foundation	150 West 30th Street, Suite 1401 New York, NY 10001	General support: Engage New York	509(a) 10/13/2020	\$10,000
New York Immigration Coalition	131 West 33rd Street, Suite 610 New York, NY 10001	General support	509a(1) - 170 (b) (1) (A) (vi) 4/9/1991	\$50,000
New York Landmarks Conservancy, Inc.	One Whitehall Street, 21st Floor New York, NY 10004	General support	509a(1) - 170 (b) (1) (A) (vi) 4/1/1972	\$2,500
New York Live Arts	219 West 19th Street New York, NY 10011	Late Stage Project Stipend - Choreographers Molly Lieber & Eleanor Smith	509a(2) 3/30/2011	\$3,500
New York Live Arts	219 West 19th Street New York, NY 10011	Rehearsal Space Enhancement - Studio Accessibility	509a(2) 3/30/2011	\$30,000
New York Live Arts	219 West 19th Street New York, NY 10011	General support	509a(2) 3/30/2011	\$40,000
New York Shakespeare Festival	425 Lafayette Street New York, NY 10003	General support of Shakespeare in the Park	509a(1) - 170 (b) (1) (A) (vi) 1/29/2002	\$4,000
New York University	60 Washington Square South, Suite 505 New York, NY 10012	General support: (NYU Skirball Center for the Performing Arts)	509a(1) - 170 (b) (1) (A) (vi) 1/1/1985	\$15,000

Organization / Legal Name or Fiscal Sponsor Name	Address	Purpose of Grant	Tax Status / Date	Amount
New York Women's Foundation	39 Broadway, 23rd Floor New York, NY 10006	General support	509a(1) - 170 (b) (1) (A) (vi) 6/1/1992	\$5,000
North Star Fund	520 Eighth Avenue, Suite 1800 New York, NY 10018	To support North Star Fund's 2019 Community Gala (40th Anniversary)	509a(1) - 170 (b) (1) (A) (vi) 12/1/1978	\$9,809
North Star Fund	520 Eighth Avenue, Suite 1800 New York, NY 10018	General support: (Communities United for Police Reform)	509a(1) - 170 (b) (1) (A) (vi) 12/1/1978	\$50,000
Open Channels New York, Inc.	161A Chrystie Street, Ground Floor New York, NY 10002-2995	Wendell Cooper's PLASMA: (Dixon Place)	509a(1) - 170 (b) (1) (A) (vi) 12/16/2002	\$3,500
Open Channels New York, Inc.	161A Chrystie Street, Ground Floor New York, NY 10002-2995	General support: (Dixon Place)	509a(1) - 170 (b) (1) (A) (vi) 12/16/2002	\$15,000
P.S 1 Contemporary Art Center	22-25 Jackson Ave. at 46th Ave Long Island City, NY 11101	General support: (MoMA PS1)	509a(1) - 170 (b) (1) (A) (vi) 7/1/1981	\$15,000
Participatory Budgeting Project	540 President Street, Apt. 2E Brooklyn, NY 11215	General support	509a(1) - 170 (b) (1) (A) (vi) 6/1/2012	\$40,000
Partnership for Southern Equity	925B Peachtree Street NE Ste. 462 Atlanta, GA 30309	General support	509a(1) 10/15/2020	\$50,000
Pascal Rioult Dance Theatre	34-01 Steinway Street Astoria, NY 11101	Black Box Theater Seating	509a(1) - 170 (b) (1) (A) (vi) 6/1/1993	\$30,000
People's Action Institute	2125 W. North Avenue, 3rd Floor Chicago, IL 60647	General support	509a(2) - 170 (b) (1) (A) (vii) 10/1/1973	\$5,000
Pepatian	PO Box 86 Bronx, NY 10451	Bronx Artists Now: Showcase & Conversation 2020	509a(1) 10/15/2020	\$5,000
Performance Space New York	150 First Avenue, 4th Floor New York, NY 10009	A New Work by Maria Valencia	509a(1) - 170 (b) (1) (A) (vi) 8/26/2008	\$3,500
Performance Space New York	150 First Avenue, 4th Floor New York, NY 10009	General support	509a(1) - 170 (b) (1) (A) (vi) 8/26/2008	\$35,000

Organization / Legal Name or Fiscal Sponsor Name	Address	Purpose of Grant	Tax Status / Date	Amount
Performance Space New York	150 First Avenue, 4th Floor New York, NY 10009	Project 2020	509a(1) - 170 (b) (1) (A) (vi) 8/26/2008	\$30,000
Performance Zone, Inc.	75 Maiden Lane, Suite 906 New York, NY 10038	2019 Bessies Angel Party honoring Laurie Uprichard	509a(2) 6/7/2001	\$3,000
Performance Zone, Inc.	75 Maiden Lane, Suite 906 New York, NY 10038	General support	509a(2) 6/7/2001	\$10,000
Philanthropy New York	320 East 43rd Street New York, NY 10017	General support	509a(1) - 170 (b) (1) (A) (vi) 12/2/2009	\$14,350
Prescott College	220 Grove Avenue Prescott, AZ 86301	General support	509a(1) - 170 (b) (1) (A) (vi) 6/16/2004	\$10,000
Proteus Fund	15 Research Drive, Suite B Amherst, MA 01002	General support	509a(1) - 170 (b) (1) (A) (vi) 9/1/1994	\$325,000
Public Citizen Foundation	1600 20th Street NW Washington, DC 20009	Corporate Disclosure and Other Efforts to Address Money in Politics: 2019- 2020	509a(1) - 170 (b) (1) (A) (vi) 6/1/1992	\$75,000
Public Citizen Foundation	1600 20th Street NW Washington, DC 20009	Travel for Northern California Grantmakers DFAD session	509a(1) - 170 (b) (1) (A) (vi) 6/1/1992	\$870
Public Policy and Education Fund of New York	94 Central Avenue Albany, NY 12206	The Battle of Big Ideas: A Strategic Path to Democracy and Justice	509a(1) - 170 (b) (1) (A) (vi) 12/13/1988	\$75,000
Public Policy and Education Fund of New York	94 Central Avenue Albany, NY 12206	Honorarium on behalf of Jessica Wisneski	509a(1) - 170 (b) (1) (A) (vi) 12/13/1988	\$1,000
Public Policy and Education Fund of New York	94 Central Avenue Albany, NY 12206	General support	509a(1) - 170 (b) (1) (A) (vi) 12/13/1988	\$50,000
Queens College Foundation	65-30 Kissena Blvd. Queens, NY 11367-1597	General support: (Kupferberg Center for the Arts)	509a(1) - 170 (b) (1) (A) (vi) 6/4/1986	\$50,000
Queens Theatre in the Park	14 United Nations Avenue South, Flushing Meadows Corona Park Queens, NY 11368	For contemporary dance presentations in Flushing, Queens.	509a(1) - 170 (b) (1) (A) (vi) 12/26/2001	\$15,000

Organization / Legal Name or Fiscal Sponsor Name	Address	Purpose of Grant	Tax Status / Date	Amount
Regents of the University of California at Irvine	Office of Research, 141 Innovation, Suite 250 Irvine, CA 92697-7600	Spring 2020 UCI conference: Can American Democracy Survive the 2020 Elections?	509a(1) 10/15/2020	\$30,000
Repairers of the Breach	P.O. Box 1638 Goldsboro, NC 27533-1638	General support	509a(1) 10/15/2020	\$35,000
Represent.Us Education Fund	P.O. Box 60008 Florence, MA 01062	General support	509a(1) - 170 (b) (1) (A) (vi) 2/25/2015	\$50,000
Research Foundation of the City University of New York	230 West 41st Street New York, NY 10036-7296	Project support: (Kingsborough Community College of CUNY)	509a(1) - 170 (b) (1) (A) (vi) 6/1/1982	\$2,000
Resource Generation	1216 Broadway, 2nd Floor New York, NY 10001	General support	509a(1) 5/1/2014	\$10,000
Rockefeller Family Fund	475 Riverside Drive, Suite 900 New York, NY 10115	General support	509a(1) - 170 (b) (1) (A) (vi) 7/18/2003	\$75,000
Rod Rodgers Dance Company	62 East 4th Street New York, NY 10003	NYSCA Rehearsal Space Subsidy Grant	509a(1) - 170 (b) (1) (A) (vi) 4/19/1999	\$1,500
Roots Rising	P.O. Box 1805 Pittsfield, NY 01202-1805	General support	509a(1) 10/15/2020	\$1,000
Roulette Intermedium, Inc.	30 Third Avenue, Suite 208 Brooklyn, NY 11217	Chris Ferris & Dancers: Unquantifiable	509a(1) - 170 (b) (1) (A) (vi) 4/27/2012	\$3,500
Roulette Intermedium, Inc.	30 Third Avenue, Suite 208 Brooklyn, NY 11217	General support	509a(1) - 170 (b) (1) (A) (vi) 4/27/2012	\$20,000
Sierra Club Foundation	2101 Webster Street, Suite 1250 Oakland, CA 94612	Sierra Club's Climate Parents Project	509a(1) - 170 (b) (1) (A) (vi) 11/19/2003	\$50,000
Smartmeme	P.O. Box 71928 Oakland, CA 94612	General support: (Center for Story-based Strategy)	509a(1) - 170 (b) (1) (A) (vi) 9/1/2006	\$3,000
Smartmeme	P.O. Box 71928 Oakland, CA 94612	General support: (Center for Story-based Strategy)	509a(1) - 170 (b) (1) (A) (vi) 9/1/2006	\$75,000
Social and Environmental Entrepreneurs	23532 Calabasas Rd. Suite A Calabasas, CA 91302	General support: (Building Equity and Alignment)	509a(1) - 170 (b) (1) (A) (vi) 1/7/1984	\$1,210

Organization / Legal Name or Fiscal Sponsor Name	Address	Purpose of Grant	Tax Status / Date	Amount
Socrates Sculpture Park	P.O. Box 6259,32-01 Vernon Blvd. Long Island City, NY 11106	Renegade Performance Group	509a(1) - 170 (b) (1) (A) (vi) 7/1/1992	\$3,500
Socrates Sculpture Park	P.O. Box 6259,32-01 Vernon Blvd. Long Island City, NY 11106	General support	509a(1) - 170 (b) (1) (A) (vi) 7/1/1992	\$10,000
Southeast Climate and Energy Network	9311 NW 43rd Manor Sunrise Florida, FL 33351	General support	509a(1) - 170 (b) (1) (A) (vi) 12/1/2006	\$1,200
Southeast Climate and Energy Network	9311 NW 43rd Manor Sunrise Florida, FL 33351	General support	509a(1) - 170 (b) (1) (A) (vi) 12/1/2006	\$70,000
Southern Environmental Law Center	201 West Main Street, Suite 14 Charlottesville, VA 22902-5065	General support	509a(1) - 170 (b) (1) (A) (vi) 6/4/1991	\$50,000
STREB, Inc.	51 North 1st Street Brooklyn, NY 11249	FALLING	509a(1) - 170 (b) (1) (A) (vi) 9/1/1985	\$3,500
STREB, Inc.	51 North 1st Street Brooklyn, NY 11249	Dance Space Enhancement and Access	509a(1) - 170 (b) (1) (A) (vi) 9/1/1985	\$31,024
STREB, Inc.	51 North 1st Street Brooklyn, NY 11249	General support	509a(1) - 170 (b) (1) (A) (vi) 9/1/1985	\$20,000
Sunrise Movement Education Fund	50 F Street NW, Suite 700 Washington, DC 20001	General support	509a(1) - 170 (b) (1) (A) (vi) 8/1/2014	\$300
Sunrise Movement Education Fund	50 F Street NW, Suite 700 Washington, DC 20001	General support	509a(1) - 170 (b) (1) (A) (vi) 8/1/2014	\$50,000
Sustainable Markets Foundation	45 West 36th Street, 6th Floor New York, NY 10018-7635	Support for research on environmental sustainability	509a(1) - 170 (b) (1) (A) (vi) 1/1/2002	\$75,000
Sustainable Markets Foundation	45 West 36th Street, 6th Floor New York, NY 10018-7635	Support for research on environmental sustainability	509a(1) - 170 (b) (1) (A) (vi) 1/1/2002	\$100,000
Take Back Our Republic	165 E. Magnolia Avenue, Suite 203 Auburn, AL 36830	General support	509a(1) - 170 (b) (1) (A) (vi) 12/1/2014	\$50,000
The Tides Center	P.O. Box 29907, San Francisco, CA 94129-0907	General support: (Advocacy Institute)	509a(1) - 170 (b) (1) (A) (vi) 10/15/2020	\$30,000
The Tides Center	P.O. Box 29907, San Francisco, CA 94129-0907	General support: (Advocacy Institute)	509a(1) - 170 (b) (1) (A) (vi) 10/15/2020	\$40,000

Organization / Legal Name or Fiscal Sponsor Name	Address	Purpose of Grant	Tax Status / Date	Amount
The Tides Center	P.O. Box 29907 San Francisco, CA 94129-0907	General support: (Emerging Practitioners in Philanthropy)	509a(1) - 170 (b) (1) (A) (vi) 2/10/2010	\$7,500
Theater et al, Inc.	5-49 49th Avenue Long Island City, NY 11101	Late Stage Stipend	509a(1) - 170 (b) (1) (A) (vi) 8/16/2004	\$3,500
Theater et al, Inc.	5-49 49th Avenue Long Island City, NY 11101	General support	509a(1) - 170 (b) (1) (A) (vi) 8/16/2004	\$25,000
Tides Foundation	1012 Torney Avenue San Francisco, CA 94129	General support: (New York Renews)	509a(1) - 170 (b) (1) (A) (vi) 1/1/1977	\$50,000
Tides Foundation	1012 Torney Avenue San Francisco, CA 94129	General support: (Florida Rights Restoration Coalition)	509a(1) 10/15/2020	\$50,000
Topaz Arts, Inc.	P.O. Box 770150 Woodside, NY 11377-0150	General support	509a(1) - 170 (b) (1) (A) (vi) 3/22/2006	\$3,500
Topaz Arts, Inc.	P.O. Box 770150 Woodside, NY 11377-0150	To help emerging choreographers create and present dance in Woodside, Queens.	509a(1) - 170 (b) (1) (A) (vi) 3/22/2006	\$10,000
Trail Blazer Camps	394 Rogers Avenue Brooklyn, NY 11225	General support	509a(1) - 170 (b) (1) (A) (vi) 9/20/2006	\$2,000
Triskelion Arts-Kick-Standance, Inc.	106 Calyer Street Brooklyn, NY 11222	General support	509a(1) - 170 (b) (1) (A) (vi) 11/29/2002	\$20,000
Triskelion Arts-Kick-Standance, Inc.	106 Calyer Street Brooklyn, NY 11222	NYSCA Rehearsal Space Subsidy Grant	509a(1) - 170 (b) (1) (A) (vi) 11/29/2002	\$2,000
UBW, Inc.	138 South Oxford Street, #4B Brooklyn, NY 11217	Urban Bush Women Choreographic Center Initiative (CCI)	509a(1) - 170 (b) (1) (A) (vi) 3/1/1992	\$30,000
Urban Justice Center	40 Rector Street, 9th Floor New York, NY 10006	Street Vendor Project	509a(1) - 170 (b) (1) (A) (vi) 9/9/1997	\$50,000
Virginia Conservation Network	103 E Main St Suite #1 Richmond, VA 23219	Virginia Environmental Assembly: To support Angela's session at the VCN convening.	509a(1) 10/15/2020	\$4,000

Organization / Legal Name or Fiscal Sponsor Name	Address	Purpose of Grant	Tax Status / Date	Amount
Virginia Interfaith Center for Public Policy	1716 East Franklin Street Richmond, VA 23223	General support	509a(1) - 170 (b) (1) (A) (vi) 10/15/2020	\$1,000
Virginia Interfaith Center for Public Policy	1716 East Franklin Street Richmond, VA 23223	Virginia Interfaith Power and Light- CSS Advanced Training Travel grant	509a(1) - 170 (b) (1) (A) (vi) 10/15/2020	\$3,000
Virginia Interfaith Center for Public Policy	1716 East Franklin Street Richmond, VA 23223	General support	509a(1) - 170 (b) (1) (A) (vi) 10/15/2020	\$75,000
Virginia Organizing	703 Concord Avenue Charlottesville, VA 22903	General support	509a(1) - 170 (b) (1) (A) (vi) 1/22/1999	\$150
Virginia Organizing	703 Concord Avenue Charlottesville, VA 22903	General support: (Protect Our Water, Heritage, Rights)	509a(1) - 170 (b) (1) (A) (vi) 7/1/1995	\$300
Virginia Organizing	703 Concord Avenue Charlottesville, VA 22903	General support: (Protect Our Water, Heritage, Rights)	509a(1) - 170 (b) (1) (A) (vi) 7/1/1995	\$50,000
Virginia Organizing	703 Concord Avenue Charlottesville, VA 22903	VO- CSS Advanced Training Travel grant	509a(1) - 170 (b) (1) (A) (vi) 1/22/1999	\$2,115
Virginia Organizing	703 Concord Avenue Charlottesville, VA 22903	General support	509a(1) - 170 (b) (1) (A) (vi) 1/22/1999	\$50,000
Voices for a Sustainable Future	P.O. Box 5780, 6909 Laurel Avenue Takoma, MD 20913	General support: (Labor Network for Sustainability)	509a(1) - 170 (b) (1) (A) (vi) 10/15/2020	\$300
Voices for a Sustainable Future	P.O. Box 5780, 6909 Laurel Avenue Takoma, MD 20913	General support: (Labor Network for Sustainability)	509a(1) - 170 (b) (1) (A) (vi) 10/15/2020	\$50,000
Voto Latino	1300 L Street NW, Suite 975 Washington, DC 20005	General support	509a(2) 10/15/2020	\$10,000
WAM Theatre	P.O. Box 712 Lenox, MA 01240	General support	509a(1) 10/15/2020	\$1,000
We Own It	1325 East Dayton Street, Suite 100 Madison, WI 53703	General support	509a(1) - 170 (b) (1) (A) (vi) 2/1/2016	\$1,000

Organization / Legal Name or Fiscal Sponsor Name	Address	Purpose of Grant	Tax Status / Date	Amount
We Own It	1325 East Dayton Street, Suite 100 Madison, WI 53703	General support	509a(1) - 170 (b) (1) (A) (vi) 2/1/2016	\$75,000
West Harlem Environmental Action	1854 Amsterdam Avenue, 2nd Floor New York, NY 10031	General support	509a(1) - 170 (b) (1) (A) (vi) 8/3/1999	\$1,700
West Harlem Environmental Action	1854 Amsterdam Avenue, 2nd Floor New York, NY 10031	Congressional EJ Convening	509a(1) - 170 (b) (1) (A) (vi) 8/3/1999	\$10,000
West Harlem Environmental Action	1854 Amsterdam Avenue, 2nd Floor New York, NY 10031	General support	509a(1) - 170 (b) (1) (A) (vi) 8/3/1999	\$75,000
William J. Brennan Jr. Center for Justice, Inc.	120 Broadway, Suite 1750 New York, NY 10271	General support	509a(1) - 170 (b) (1) (A) (vi) 12/29/1999	\$100,000
Works & Process, Inc.	708 Third Avenue, Suite 1005 New York, NY 10017	Late Stage Production Stipend for "More Forever" by Caleb Teicher	509a(1) - 170 (b) (1) (A) (vi) 8/27/2001	\$3,500
Works & Process, Inc.	708 Third Avenue, Suite 1005 New York, NY 10017	General support	509a(1) - 170 (b) (1) (A) (vi) 8/27/2001	\$25,000
TOTAL:				<u>\$8,911,602</u>

Mertz Gilmore Foundation
Year Ended December 31, 2019
EIN# 13-2872722
Form 990-PF

Part I, Line 14 Other employee salaries and wages

Part I, Line 15 Pension plans, employee benefits

Part I, Line 20 Occupancy

To extend its philanthropic mission, the Mertz Gilmore Foundation makes its conference rooms available for meetings of **nonprofit groups** on a first-come, first-served basis. The room is free of charge during the Foundation's regular hours.

There was no usage of the conference room in 2019 since the Foundation's building was undergoing a major renovation.

The amounts on Part I, Lines 14 and 15 include the costs of salaries and benefits of staff who maintain the conference room.

The amount on Part I, Line 20 includes the costs of maintaining the conference rooms.

Mertz Gilmore Foundation
Year Ended December 31, 2019
EIN# 13-2872722
Form 990-PF

How to Apply

New York City Communities Program

The New York City Communities Program accepts Letters of Inquiry (LOI) in the *Collaborative Campaigns Fund* and *Capacity Building Fund* categories. The program is not accepting LOI's in the *Community-based Organization Fund*, grants in this category are made by invitation only.

Please go to the Foundation's grants management portal (mertz.smartsimple.com) to complete an online LOI form. Staff will respond to all communications, and, if appropriate, invite a full proposal. Descriptions of the funds are below.

Collaborative Campaigns Fund: The Foundation supports coalition campaigns that are led by grassroots community-based organizations in New York City. While we recognize that campaigns are long-term undertakings, these grants are intended to be responsive to strategic opportunities to provide time-limited funding (usually 2 years) to coalitions that are in critical stages of a campaign.

Capacity Building Fund: The Foundation provides support to a limited number of organizations that provide capacity-building support and technical assistance to grassroots community-based organizations, including but not limited to the Program's grantees. Funding goes to organizations that play a critical role in the broader organizing and advocacy ecosystem and that partner with groups to address important organizational development and capacity needs.

New York City Dance Program

The Foundation's New York City Dance Program accepts proposals in two categories: *Presenters* and *Advocacy/Support Services*. Prior to submitting a proposal, please send an email to Leah Krauss with a paragraph describing the proposed project. Leah Krauss will respond to all email inquiries, and, if appropriate, invite a full proposal.

Guidelines for *Presenters* grantseekers The Foundation provides multi-year general operating support to presenters of small to mid-sized dance companies. Grant terms are generally two years in length and available only to groups that present contemporary dance exclusively or present multi-disciplinary programs. The Foundation focuses on presenters located in the boroughs outside Manhattan which develop choreographers at early stages in their careers in relatively low-cost settings. The Foundation welcomes presenting organizations that include dance making and performance by and with disabled artists.

Guidelines for *Advocacy/Support Services* grantseekers On a limited basis and for opportunities that meet strategic concerns of the Foundation, organizations may submit proposals for projects that advance the dance field by improving conditions for individual dance artists.

The New York City Dance Program also makes grants in the following invitation only funds, *Rehearsal Space Subsidy Program* and *Late Stage Stipends*.

The New York City Dance Program does not make grants for individual artists, arts education programs, conservatories, dance films, or organizations/projects based outside of New York City.

Democratic Values and Climate Change Solution Programs

The Democratic Values and Climate Change Solutions Programs are currently making grants by invitation only as they undergo program review/strategic review.

Across all programs, the Foundation only funds organizations based in and working in the United States and are 501c3 organizations or those fiscally sponsored by 501c3 organizations. We do not fund individuals, endowments or religious concerns.

The Foundation does not accept submissions of DVDs/CDs, books, or bound reports. We are open to receiving digital copies/links to relevant videos or press about your work.

Mertz Gilmore Foundation

EIN #13-2872722

Attachment to Year Ending 2019 Form 990-PF

EXPENDITURE RESPONSIBILITY REPORT PURSUANT TO IRC SECTION 4945(h)(3) and REGULATION 53.4945-5(d)

During 2019, the Mertz Gilmore Foundation made the following grant:

Amount Paid in Current Tax Year: \$75,000

Date Paid: 5/30/2019

Organization Name:	New York Foundation
Organization Address:	150 West 30th Street, Suite 1401 New York, NY 10001

Purpose of Grant: Neighborhoods First Fund for Community Engaged Planning

Neighborhoods First Fund for Community Engaged Planning is a philanthropic collaborative that supports community-based organizations working to ensure that plans advanced by the City's administration address the needs and aspirations of the neighborhoods targeted for redevelopment and rezoning. Neighborhoods First also funds intermediary organizations working with community-based organizations to provide capacity building and technical assistance including research and analysis, training, and facilitation on community planning, zoning, housing affordability, economic development, and related issues.

Reporting Information: The Foundation expects to receive and review report(s) from this organization on the following date(s):

June 30, 2021

The Mertz Gilmore Foundation will review the grant report(s) on the date(s) mentioned above, and if necessary, undertake any verification of the grantee's report(s) if there is a reason to doubt the accuracy and reliability of the report(s).

The Foundation made a grant to New York Foundation, not a private foundation, following pre-grant inquiry and pursuant to a written agreement. The amount of grant funds expended by the grantee during this fiscal year is expected to be the entire amount of the grant. To the best knowledge, information, and belief of the Foundation, none of the funds has been diverted from the purpose of the grant.

Mertz Gilmore Foundation

EIN #13-2872722

Attachment to Year Ending 2019 Form 990-PF

EXPENDITURE RESPONSIBILITY REPORT PURSUANT TO IRC SECTION 4945(h)(3) and REGULATION 53.4945-5(d)

During 2019, the Mertz Gilmore Foundation made the following grant:

Amount Paid in Current Tax Year: \$15,000

Date Paid: 5/30/2019

Organization Name:	New York Foundation
Organization Address:	150 West 30th Street, Suite 1401 New York, NY 10001

Purpose of Grant: New York City Capacity Building Collaborative 2019 Workshop Series

To support the New York City Capacity Building Collaborative 2019 Workshop Series.

The New York Foundation supports grassroots organizations in New York City that are working in communities impacted by social and economic injustice

Reporting Information: The Foundation has received and reviewed report(s) from this organization on the following date(s):

March 1, 2020

The Mertz Gilmore Foundation reviewed the grant report(s) on the date(s) mentioned above, but did not undertake any verification of the grantee's report(s) as there has been no reason to doubt the accuracy and reliability of the report(s).

The Foundation made a grant to New York Foundation, not a private foundation, following pre-grant inquiry and pursuant to a written agreement. The amount of grant funds expended by the grantee during this fiscal year is expected to be the entire amount of the grant. To the best knowledge, information, and belief of the Foundation, none of the funds has been diverted from the purpose of the grant.

Mertz Gilmore Foundation

EIN #13-2872722

Attachment to Year Ending 2019 Form 990-PF

**EXPENDITURE RESPONSIBILITY REPORT
PURSUANT TO IRC SECTION 4945(h)(3)
and REGULATION 53.4945-5(d)**

During 2019, the Mertz Gilmore Foundation made the following grant:

Amount Paid in Current Tax Year: \$10,000

Date Paid: 12/9/2019

Organization Name:	New York Foundation
Organization Address:	150 West 30th Street, Suite 1401 New York, NY 10001

Purpose of Grant: Engage New York

Engage New York (Engage) is a network of foundation leaders across the State who seek to develop an action agenda that advances equity, social justice, and civic and community participation to advance the lives of all New Yorkers. Engage envisions a state where foundation from every region are engaged in aligning and leveraging resources, developing and implementing intersectional approaches to community challenges, and serving as allies to advocates and community organizers who are addressing the needs of the most vulnerable and marginalized communities throughout New York. It supports intersectional community organizing infrastructure across regions, with the intention to link policy advocacy, civic engagement, equity, and systemic change that address the root causes of poverty and disenfranchisement. Currently, the network is focusing on the following issue areas: Census 2020 (including redistricting), safe and healthy housing, immigration and refugee policy, and criminal justice reform. In 2020, Engage will place an emphasis on raising the consciousness of the field in regards to racial equity and social justice, with plans for a convening in 2020 that will focus on racial equity for funders in New York state.

Reporting Information The Foundation expects to receive and review report(s) from this organization on the following date(s):

March 1, 2021

The Mertz Gilmore Foundation will review the grant report(s) on the date(s) mentioned above, and if necessary, undertake any verification of the grantee's report(s) if there is a reason to doubt the accuracy and reliability of the report(s).

The Foundation made a grant to New York Foundation, not a private foundation, following pre-grant inquiry and pursuant to a written agreement. The amount of grant funds expended by the grantee during this fiscal year is expected to be the entire amount of the grant. To the best knowledge, information, and belief of the Foundation, none of the funds has been diverted from the purpose of the grant.

Mertz Gilmore Foundation

December 31, 2019

EIN # 13-2872722

Form 990-PF

Part XI, line 2b, Income tax for 2019:

2019 New York Form CT-13, unrelated business income tax	\$ <u>250</u>
	<u>\$ 250</u>

Mertz Gilmore Foundation		
Grants Payable as of 12/31/2019		
Organization or Fiscal Sponsor Name	Grants Notes/Purpose of Grant	Payment Amount
Action Center on Race and the Economy Institute	MULTI; 2-year grant \$75,000 [19] [20] (General support)	\$75,000
African Communities Together	MULTI; 2-year grant \$50,000 [19] [20] (General support)	\$50,000
Alliance of Resident Theatres/New York	MULTI; 2-year grant; \$20,000 [19] [20] (for ArtsPool: the Nancy Quinn Fund)	\$20,000
American Dance Asylum	MULTI; 3-year grant \$3,000 [19] [20] [21] (DanceForce: To support creative residencies for Bessie Award winning artists)	\$3,000
American Dance Asylum	MULTI; 3-year grant \$3,000 [19] [20] [21] (DanceForce: To support creative residencies for Bessie Award winning artists)	\$3,000
Americans for the Arts	MULTI; 2-year grant \$15,000 [19] [20] (To support NYC dance management internships)	\$15,000
Americans for the Arts	MULTI; 2-year grant \$15,000 [19] [20] (To support NYC dance management internships)	\$15,000
Appalachian Voices	MULTI; 3-year grant \$100,000 [19] [20] [21] (General support)	\$100,000

Organization or Fiscal Sponsor Name	Grants Notes/Purpose of Grant	Payment Amount
Appalachian Voices	MULTI; 3-year grant \$100,000 [19] [20] [21] (General support)	\$100,000
Arizona Advocacy Network Foundation	MULTI; 2-year grant \$75,000 [19] [20] (General support)	\$75,000
Association for Neighborhood and Housing Development	MULTI; 2-year grant \$40,000 [19] [20] (General support)	\$40,000
Brandworkers International	MULTI; 2-year grant \$50,000 [19] [20] (General support)	\$50,000
BRIC	MULTI; 2-year grant \$20,000 [19] [20] (To support BRIC Lab Dance Residencies)	\$20,000
Broadway Dance Lab, Inc.	MULTI; 2-year grant \$25,000 [19] [20] (for ongoing work in the promotion and advancement of choreography)	\$25,000
Campaign Legal Center	MULTI; 3-year grant \$75,000 [18] [19] [20] (General support)	\$75,000
Center for Performance Research	MULTI; 2-year grant \$20,000 [19] [20] (To support the creation and presentation of dance in Williamsburg, Brooklyn)	\$20,000
Center for Traditional Music and Dance	MULTI; 2-year grant \$25,000 [19] [20] (To support technical assistance programs serving immigrant dancers and community-based dance companies)	\$25,000

Organization or Fiscal Sponsor Name	Grants Notes/Purpose of Grant	Payment Amount
Center for Urban Pedagogy	MULTI; 2-year grant \$25,000 [19] [20] (General support)	\$25,000
Chesapeake Climate Action Network	MULTI; 3-year grant \$85,000 [19] / \$75,000 [20] [21] (General support)	\$75,000
Chesapeake Climate Action Network	MULTI; 3-year grant \$85,000 [19] / \$75,000 [20] [21] (General support)	\$75,000
Chhaya Community Development Corporation	MULTI; 2-year grant \$40,000 [19] [20] (Basement Apartments Safe for Everyone Campaign)	\$40,000
Chinese Staff and Workers' Association	MULTI; 2-year grant \$50,000 [19] [20] (General support)	\$50,000
Community Funds, Inc	MULTI; 2-year grant \$50,000 [19] [20] (New York State Census Equity Fund)	\$50,000
Dance Entropy, Inc.	MULTI; 2-year grant \$10,000 [19] [20] (To provide emerging choreographers the resources to create and present work in LIC)	\$10,000
Dance Service New York City, Inc.	MULTI; 2-year grant \$55,000 [19] / \$50,000 [20] (To support Dance/NYC) (General support)	\$50,000
Dances for a Variable Population	MULTI; 2-year grant \$10,000 [19] [20] (To provide opportunities for aging professional dancers)	\$10,000

Organization or Fiscal Sponsor Name	Grants Notes/Purpose of Grant	Payment Amount
Democracy Initiative Education Fund	MULTI; 2-year grant \$35,000 [19] [20] (General support)	\$35,000
Exploring the Metropolis	MULTI; 2-year grant: \$15,000 [19] [20] (for the EtM Choreographer + Composer Residencies)	\$15,000
Faith in New York	MULTI; 2-year grant \$50,000 [19] [20] (General support)	\$50,000
Foundation for Contemporary Arts	MULTI; 2-year grant \$15,000 [19] [20] (to support Emergency Grants for New York City-based dance artists)	\$15,000
Fourth Arts Block, Inc.	MULTI; 2-year grant \$20,000 [19] [20] (Dance Block)	\$20,000
Fund for the City of New York	MULTI; 2-year grant \$40,000 [19] [20] (Riders Alliance: General support)	\$40,000
Grassroots International	MULTI; 2-year grant \$75,000 [19] / \$50,000 [20] (General support BEAI Fund)	\$50,000
Hook Arts Media	MULTI; 2-year grant \$10,000 [19] [20] (To support development of community dance productions in Red Hook, Brooklyn)	\$10,000
Indigenous Environmental Network of Turtle Island	(General support)	\$20,000
Institute for Energy Economics and Financial Analysis	MULTI; 2-year grant \$75,000 [19] [20] (General support)	\$75,000

Organization or Fiscal Sponsor Name	Grants Notes/Purpose of Grant	Payment Amount
Institute for Strategic and Equitable Development	MULTI; 2-year grant \$25,000 [19] [20] (for the Presidents' Forum on Racial Equity in Philanthropy)	\$25,000
Jamaica Center for Arts and Learning	MULTI; 2-year grant \$15,000 [19] [20] (To support the creation and presentation of dance at Jamaica Performing Arts Center in Queens)	\$15,000
Jerome Robbins Foundation	MULTI; 2-year grant: \$25,000 [19] [20] (Springboard Project)	\$25,000
Kentucky Coalition	MULTI; 2-year grant \$75,000 [19] [20] (General support)	\$75,000
Kentucky Coalition	MULTI; 2-year grant \$75,000 [19] [20] (Kentucky Coalition's narrative development work)	\$75,000
Lower Manhattan Cultural Council	MULTI; 3-year grant \$30,000 [18] [19] [20] (Sustainable Arts Management project)	\$30,000
Make the Road New York	MULTI; 2-year grant \$75,000 [19] [20] (General support)	\$75,000
MinKwon Center for Community Action	MULTI; 2-year grant \$50,000 [19] [20] (General support)	\$50,000
Movement for Justice in El Barrio	MULTI; 2-year grant \$50,000 [19] [20] (General support)	\$50,000

Organization or Fiscal Sponsor Name	Grants Notes/Purpose of Grant	Payment Amount
Movement Strategy Center	MULTI; 2-year grant \$75,000 [19] [20] (Climate Justice Alliance: General support)	\$75,000
National Association for the Advancement of Colored People	(NAACP Florida State Conference)	\$50,000
National Institute on Money in State Politics	MULTI; 2-year grant \$185,000 [19] / \$165,000 [20] (General support)	\$165,000
New Economy Project	MULTI; 2-year grant \$40,000 [19] [20] (General support)	\$40,000
New Venture Fund	MULTI; 2-year grant \$75,000 [19] [20] (Climate and Clean Energy Equity Fund: General support)	\$75,000
New Virginia Majority Education Fund	MULTI; 3-year grant \$50,000 [19] [20] [21] (General support)	\$50,000
New Virginia Majority Education Fund	MULTI; 3-year grant \$50,000 [19] [20] [21] (General support)	\$50,000
New Virginia Majority Education Fund	MULTI; 3-year grant \$50,000 [19] [20] [21] (General support)	\$50,000
New York Foundation	MULTI; 2-year grant \$75,000 [19] [20] (Neighborhoods First Fund)	\$75,000
New York Immigration Coalition	MULTI; 2-year grant \$50,000 [19] [20] (General support)	\$50,000

Organization or Fiscal Sponsor Name	Grants Notes/Purpose of Grant	Payment Amount
North Star Fund	MULTI; 2-year grant \$50,000 [19] [20] (Communities United for Police Reform: General support)	\$50,000
Performance Space New York	MULTI; 2-year \$30,000 [19] [20] (To support PROJECT 2020)	\$30,000
Queens Theatre in the Park	MULTI; 2-year grant \$15,000 [19] [20] (For contemporary dance presentations in Flushing, Queens)	\$15,000
Southeast Climate and Energy Network	MULTI; 2-year grant \$70,000 [19] [20] (General support)	\$70,000
Sustainable Markets Foundation	Part of a cross-program capacity-building grant. MULTI; 2- year grant \$75,000 [19] [20] (Support for research on environmental sustainability)	\$75,000
Sustainable Markets Foundation	Part of a cross-program capacity-building grant. MULTI; 2- year grant \$100,000 [19] [20] (Support for research on environmental sustainability)	\$100,000
The Tides Center	MULTI; 2-year grant \$30,000 [19] [20] (Advocacy Institute: General support)	\$30,000
The Tides Center	MULTI; 2-year grant \$40,000 [19] [20] (Advocacy Institute: General support)	\$40,000

Organization or Fiscal Sponsor Name	Grants Notes/Purpose of Grant	Payment Amount
Topaz Arts, Inc.	MULTI; 2-year grant \$10,000 [19] [20] (To help emerging choreographers create and present dance in Woodside, Queens)	\$10,000
Urban Justice Center	MULTI; 2-year grant \$50,000 [19] [20] (Street Vendor Project)	\$50,000
Urban Justice Center	MULTI; 2-year grant \$40,000 [19] [20] (Community Development Project's research and policy work)	\$40,000
Virginia Organizing	MULTI; 3-year grant \$50,000 [19] [20] [21] (General support)	\$50,000
Virginia Organizing	MULTI; 3-year grant \$50,000 [19] [20] [21] (General support)	\$50,000
West Harlem Environmental Action	MULTI; 2-year grant \$75,000 [19] [20] (General support)	\$75,000
William J. Brennan Jr. Center for Justice, Inc.	MULTI; 3-year grant \$100,000 [18] [19] [20] (General Support)	\$100,000
Young Men's and Young Women's Hebrew Association	MULTI; 2-year grant \$25,000 [18] [19] (for the dance program at the Harkness Dance Center)	\$25,000
		\$3,336,000

Application for Automatic Extension of Time To File an Exempt Organization Return

► File a separate application for each return.
► Go to www.irs.gov/Form8868 for the latest information.

OMB No 1545 0047

Electronic filing (e-file). You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/e-file-providers/e-file-for-charities-and-non-profits

Automatic 6-Month Extension of Time. Only submit original (no copies needed)

All corporations required to file an income tax return other than Form 990-T (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions	Taxpayer identification number (TIN)
	MERTZ GILMORE FOUNDATION	13-2872722
	Number, street, and room or suite no. If a P.O. box, see instructions 218 EAST 18TH STREET	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions NEW YORK, NY 10003-3694	

Enter the Return Code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

MARION CAPPIELLO

- The books are in the care of ► **218 EAST 18TH STREET - NEW YORK, NY 10003-3694**
Telephone No ► **(212) 475-1137** Fax No ► _____
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and TINs of all members the extension is for.

1 I request an automatic 6-month extension of time until **NOVEMBER 16, 2020**, to file the exempt organization return for the organization named above. The extension is for the organization's return for
► ☒ calendar year **2019** or
► ☐ tax year beginning _____, and ending _____

2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	219,152.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	119,152.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	100,000.

Caution: If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form 8868 (Rev. 1-2020)