

For calendar year 2018, or tax year beginning 07-01-2018, and ending 06-30-2019

Name of foundation CARNEGIE FOUNDATION FOR THE ADVANCEMENT OF TEACHING		A Employer identification number 13-1623924	
Number and street (or P.O. box number if mail is not delivered to street address) 51 VISTA LANE	Room/suite	B Telephone number (see instructions) (650) 566-5100	
City or town, state or province, country, and ZIP or foreign postal code STANFORD, CA 94305		C If exemption application is pending, check here ☐	
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here..... ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ... ☐	
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 123,690,774	J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	5,454,010			
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	20,368	20,368	20,368	
	4 Dividends and interest from securities	2,468,387	2,468,387	2,468,387	
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 	17,553,290			
	b Gross sales price for all assets on line 6a _____ 116,739,513				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule) 	3,636,666	33,852	3,636,666	
	12 Total. Add lines 1 through 11	29,132,721	2,522,607	6,125,421	
	13 Compensation of officers, directors, trustees, etc.	1,307,878			1,307,878
	14 Other employee salaries and wages	5,239,130			5,239,130
	15 Pension plans, employee benefits	2,194,872			2,194,872
	16a Legal fees (attach schedule) 	23,074			23,074
	b Accounting fees (attach schedule) 	79,009			79,009
	c Other professional fees (attach schedule) 	1,830,298	230,560		1,599,738
	17 Interest				
	18 Taxes (attach schedule) (see instructions) 	8,487			8,487
	19 Depreciation (attach schedule) and depletion 	499,158			
	20 Occupancy	451,600			451,600
	21 Travel, conferences, and meetings	1,912,091			1,912,091
	22 Printing and publications	31,666			31,666
	23 Other expenses (attach schedule) 	854,326			854,326
	24 Total operating and administrative expenses. Add lines 13 through 23	14,431,589	230,560		13,701,871
	25 Contributions, gifts, grants paid	10,000			10,000
	26 Total expenses and disbursements. Add lines 24 and 25	14,441,589	230,560		13,711,871
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	14,691,132			
	b Net investment income (if negative, enter -0-)		2,292,047		
c Adjusted net income (if negative, enter -0-)				6,125,421	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	4,367,790	2,823,800	2,823,800
	2 Savings and temporary cash investments	120,011	1,506,679	1,506,679
	3 Accounts receivable ▶ <u>870,385</u>			
	Less: allowance for doubtful accounts ▶ _____	327,214	870,385	870,385
	4 Pledges receivable ▶ <u>2,244,400</u>			
	Less: allowance for doubtful accounts ▶ _____	1,750,000	2,244,400	2,244,400
	5 Grants receivable	176,263		
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	158,569	134,418	134,418
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶ _____			
Less: accumulated depreciation (attach schedule) ▶ _____				
12 Investments—mortgage loans				
13 Investments—other (attach schedule)	105,308,045	104,277,340	104,277,340	
14 Land, buildings, and equipment: basis ▶ <u>16,345,430</u>				
Less: accumulated depreciation (attach schedule) ▶ <u>4,897,912</u>	11,107,034	11,447,518	11,447,518	
15 Other assets (describe ▶ _____)	357,302	386,234	386,234	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	123,672,228	123,690,774	123,690,774	
Liabilities	17 Accounts payable and accrued expenses	1,656,326	1,770,368	
	18 Grants payable			
	19 Deferred revenue	64,907	1,095	
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)	1,814,893	1,964,178	
	23 Total liabilities (add lines 17 through 22)	3,536,126	3,735,641	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	11,170,372	10,022,240	
	25 Temporarily restricted	97,159,096	98,126,259	
	26 Permanently restricted	11,806,634	11,806,634	
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances (see instructions)	120,136,102	119,955,133		
31 Total liabilities and net assets/fund balances (see instructions) .	123,672,228	123,690,774		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	120,136,102
2 Enter amount from Part I, line 27a	2	14,691,132
3 Other increases not included in line 2 (itemize) ▶ _____	3	11,997
4 Add lines 1, 2, and 3	4	134,839,231
5 Decreases not included in line 2 (itemize) ▶ _____	5	14,884,098
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	119,955,133

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017			
2016			
2015			
2014			
2013			

2 Total of line 1, column (d)	2	
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	
8 Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☒ and enter "N/A" on line 1. Date of ruling or determination letter: <u>1986-02-26</u> (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	N/A
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	
6	Credits/Payments:		
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	
11	Enter the amount of line 10 to be: Credited to 2019 estimated tax ▶ Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		No
c Did the foundation file Form 1120-POL for this year?		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		No
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	Yes	
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.CARNEGIEFOUNDATION.ORG</u>	13	Yes	
14	The books are in care of ► <u>DOUGLAS MIHOK</u> Telephone no. ► <u>(650) 566-5100</u>			

Located at ► 51 VISTA LANE STANFORD CAZIP+4 ► 94305

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ► ☐			
	and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions ☐	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018? ☐	1c	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☒ No If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) ☐	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.) ☐	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	☐ Yes ☒ No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).	☐ Yes ☐ No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No	7b	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?			
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	☐ Yes ☒ No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ASH VASUDEVA 51 VISTA LANE STANFORD, CA 94305	VICE PRESIDE 40.00	269,131		
OUAJDI MANAI 51 VISTA LANE STANFORD, CA 94305	SENIOR ASSOC 40.00	188,675		
MELISSA CHABRAN 51 VISTA LANE STANFORD, CA 94305	ASSOCIATE NI 40.00	169,518		
MANUELITO BIAG 51 VISTA LANE STANFORD, CA 94305	ASSOCIATE IS 40.00	167,398		
STEVE GIUSTI 51 VISTA LANE STANFORD, CA 94305	DIR INFORMAT 40.00	161,058		
Total number of other employees paid over \$50,000.				34

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
AMPLIFY EDUCATION PO BOX 9178 UNIONDALE, NY 11555	CONSULTING	211,964
CARVER AND COMPANY 43 COMMERCIAL WHARF 9 BOSTON, MA 02110	CONSULTING	196,440
SHARON GREENBERG INC 1451 BELMONT ST NW 104 WASHINGTON, DC 20009	CONSULTING	76,380
IMPROVEMENT COLLECTIVE LLC 544 59TH STREET OAKLAND, CA 94609	CONSULTING	72,850
ROBERT ROTHMAN 318 SECOND STREET SE WASHINGTON, DC 20003	CONSULTING	70,000
Total number of others receiving over \$50,000 for professional services.		1

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 DIRECT FIELD BUILDING O CARNEGIE SUMMIT ON IMPROVEMENT IN EDUCATION (SUMMIT) - WE CONTINUE TO MAINTAIN AND EXPAND THE ANNUAL CARNEGIE SUMMIT AS A SIGNATURE EVENT FOR THE IMPROVEMENT SCIENCE COMMUNITY. OVER 1700 ATTENDEES JOINED US IN SAN FRANCISCO FROM APRIL 16-18, 2019. O COMMUNITY OF LEADERS - LEADERS OF NETWORKS AND SUPPORTING ORGANIZATIONS THAT WORK TOGETHER TO SURFACE THE SUCCESSES AND CHALLENGES RELATED TO USING NETWORKS IN EDUCATION, IDENTIFY KEY FACTORS FOR ENACTING IMPROVEMENT NETWORKS WITH QUALITY AND SHAPE THE LARGER EDUCATIONAL ENVIRONMENT TO SUPPORT AND SUSTAIN EFFECTIVE NETWORK PRACTICES. CONVENED AT THE 2019 SUMMIT. O IMPROVEMENT LEADERSHIP EDUCATION AND DEVELOPMENT (ILEAD) - THE IMPROVEMENT LEADERSHIP EDUCATION AND DEVELOPMENT (ILEAD) INITIATIVE SEEKS TO CREATE PARTNERSHIPS BETWEEN HIGHER EDUCATION INSTITUTIONS AND LOCAL EDUCATION AGENCIES COMMITTED TO THE INTEGRATION OF IMPROVEMENT SCIENCE PRINCIPLES INTO LEADERSHIP DEVELOPMENT AND EDUCATIONAL PRACTICE. 11 ILEAD PARTNE	3,807,391
2 DEVELOPMENT AGENDA O NETWORKED IMPROVEMENT FELLOWS - A FELLOWSHIP PROGRAM THAT COMBINES COHORT-BASED LEARNING (TARGETED READINGS AND SEMINARS AROUND THE IMPROVEMENT SCIENCE AND NETWORKS CONTENT) AND CLINICAL APPRENTICESHIP. THE CLINICAL APPRENTICESHIP COMPONENT OF THE FELLOWSHIP PROVIDES MENTORING AND COACHING ALONG WITH SCAFFOLDED OPPORTUNITIES TO SHADOW AND GRADUALLY TAKE ON RESPONSIBILITIES TO TEACH AND COACH IMPROVEMENT WORK. O NETWORKED IMPROVEMENT LEARNING AND SUPPORT (NILS) - AN ONLINE PLATFORM THAT AIMS TO SUPPORT BOTH THE "CHARTERING" PHASE OF A NIC, IN WHICH MEMBERS PARTICIPATE IN BUILDING THEIR WORKING THEORY OF IMPROVEMENT (VISUALIZED IN A DRIVER DIAGRAM), AND THE "IMPROVEMENT TESTING" PHASE THAT FOLLOWS. O TEACHING COMMONS - A STANDALONE, COMPREHENSIVE RESOURCE IN THE FORM OF A SEARCHABLE RELATIONAL DATABASE THAT CONTAINS ALL AVAILABLE MATERIALS RELEVANT TO TEACHING AND LEARNING ABOUT IMPROVEMENT SCIENCE.	1,742,521
3 NETWORKED IMPROVEMENT SUPPORT TO THE FIELD O NETWORKED IMPROVEMENT LEARNING AND SUPPORT (NILS) - AN ONLINE PLATFORM THAT AIMS TO SUPPORT BOTH THE "CHARTERING" PHASE OF A NIC, IN WHICH MEMBERS PARTICIPATE IN BUILDING THEIR WORKING THEORY OF IMPROVEMENT (VISUALIZED IN A DRIVER DIAGRAM), AND THE "IMPROVEMENT TESTING" PHASE THAT FOLLOWS. O TEACHING COMMONS - A STANDALONE, COMPREHENSIVE RESOURCE IN THE FORM OF A SEARCHABLE RELATIONAL DATABASE THAT CONTAINS ALL AVAILABLE MATERIALS RELEVANT TO TEACHING AND LEARNING ABOUT IMPROVEMENT SCIENCE.	1,329,126
4 MAJOR PARTNERSHIPS O BALTIMORE CITY PUBLIC SCHOOLS - THE FOUNDATION HAS PARTNERED WITH BALTIMORE CITY PUBLIC SCHOOLS (CITY SCHOOLS) AS PART OF THE DISTRICT'S EFFORTS TO INCREASE THE NUMBER OF AFRICAN AMERICAN, LATINX, AND LOW-INCOME STUDENTS THAT ARE ON TRACK FOR HIGH SCHOOL GRADUATION AT THE END OF 8TH AND 9TH GRADE. THE SPECIFIC WORK IS FOCUSED ON CREATING A NETWORKED IMPROVEMENT COMMUNITY OF 14 MIDDLE AND HIGH SCHOOLS ACROSS THE DISTRICT TO IMPROVE SECONDARY LITERACY OUTCOMES FOR THE STUDENTS THEY SERVE AND WHO ARE PREDOMINANTLY OF THE PREVIOUSLY MENTIONED POPULATIONS. O RAISING TEXAS TEACHERS INITIATIVE - THE CARNEGIE FOUNDATION HAS COME TOGETHER WITH THE RAISE YOUR HAND TEXAS (RYHT) FOUNDATION FOR A THREE-YEAR PARTNERSHIP WITH 11 EDUCATOR PREPARATION PROGRAMS IN TEXAS THAT AIMS TO IMPROVE TEACHER EDUCATION BY STRENGTHENING THE TEACHER- CANDIDATE PIPELINE AND DEEPENING THE CLINICAL EXPERIENCE COMPONENT OF TEACHER PREPARATION.	1,065,134

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	104,792,692
b	Average of monthly cash balances.	1b	4,409,140
c	Fair market value of all other assets (see instructions).	1c	520,652
d	Total (add lines 1a, b, and c).	1d	109,722,484
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	109,722,484
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,645,837
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	108,076,647
6	Minimum investment return. Enter 5% of line 5.	6	5,403,832

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	
2a	Tax on investment income for 2018 from Part VI, line 5.	2a	
b	Income tax for 2018. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	13,711,871
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	13,711,871
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	13,711,871

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2018:				
a Enter amount for 2017 only.				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2018:				
a From 2013.				
b From 2014.				
c From 2015.				
d From 2016.				
e From 2017.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2018 from Part XII, line 4: ► \$ <u>13,711,871</u>				
a Applied to 2017, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2018 distributable amount.				
e Remaining amount distributed out of corpus	13,711,871			
5 Excess distributions carryover applied to 2018. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:	13,711,871			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b. Taxable amount—see instructions.				
e Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount—see instructions.				
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a.				
10 Analysis of line 9:				
a Excess from 2014.				
b Excess from 2015.				
c Excess from 2016.				
d Excess from 2017.				
e Excess from 2018.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. **1986-02-26**

b Check box to indicate whether the organization is a private operating foundation described in section ☒ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	5,403,832	5,335,304	5,053,069	4,990,094	20,782,299
b 85% of line 2a	4,593,257	4,535,008	4,295,109	4,241,580	17,664,954
c Qualifying distributions from Part XII, line 4 for each year listed	13,711,871	14,947,541	15,188,438	12,493,504	56,341,354
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	13,711,871	14,947,541	15,188,438	12,493,504	56,341,354
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .	3,602,555	3,556,869	3,368,713	3,326,729	13,854,866
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> INDIANA UNIVERSITY RESEARCH PO BOX 78000 DETROIT, MI 48278	NONE	GOVERNMENT	CLASSIFICATIONS SUPPORT	10,000
Total			3a	
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a <u>CONTRACTS AND CONSULTING</u>					1,761,269
b <u>REGISTRATION & WORKSHOP FEE</u>					1,841,545
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments			14	20,368	
4 Dividends and interest from securities.			14	2,468,387	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			15	33,852	
8 Gain or (loss) from sales of assets other than inventory					17,553,290
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e).				2,522,607	21,156,104
13 Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations.)			13		23,678,711

[illegible]

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
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--	--	--

1a(1)		No
1a(2)		No

--	--	--

1b(1)	No
--------------	-----------

1b(2)		No
--------------	--	-----------

1b(3)		No
--------------	--	-----------

1b(4)		No
--------------	--	-----------

1b(5)		No
--------------	--	-----------

1b(6)		No
--------------	--	-----------

1c		No
----	--	----

value
ue

[illegible]

described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Title

May the IRS discuss this return with the preparer shown below

(see instr.)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name RICHARD M JONES CPA	Preparer's Signature	Date 2020-07-09	Check if self-employed ☒	PTIN P00621721
Firm's name ▶ KENDALL PREBOLA AND JONES LLC				Firm's EIN ▶ 46-2108854
Firm's address ▶ PO BOX 259 BEDFORD, PA 155220259				Phone no. (814) 623-1880

Form 990FPF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
LILLIAN LOWERY	BOARD CHAIR 1.00	0	0	0
51 VISTA LANE STANFORD, CA 94305				
ANDRES ANTONIO ALONSO	BOARD MEMBER 1.00	0	0	0
51 VISTA LANE STANFORD, CA 94305				
ODILE DISCH-BHADKAMKAR	BOARD MEMBER 1.00	0	0	0
51 VISTA LANE STANFORD, CA 94305				
PAM GROSSMAN	BOARD MEMBER 1.00	0	0	0
51 VISTA LANE STANFORD, CA 94305				
ANDREW HO	BOARD MEMBER 1.00	0	0	0
51 VISTA LANE STANFORD, CA 94305				
TIMOTHY KNOWLES	BOARD MEMBER 1.00	0	0	0
51 VISTA LANE STANFORD, CA 94305				
THEODORE QUINN	BOARD MEMBER 1.00	0	0	0
51 VISTA LANE STANFORD, CA 94305				
HAYAGREEVA RAO	BOARD MEMBER 1.00	0	0	0
51 VISTA LANE STANFORD, CA 94305				
SHARON ROBINSON	BOARD MEMBER 1.00	0	0	0
51 VISTA LANE STANFORD, CA 94305				
SONJA SANTELISES	BOARD MEMBER 1.00	0	0	0
51 VISTA LANE STANFORD, CA 94305				
MARCELO SUAREZ-OROZCO	BOARD MEMBER 1.00	0	0	0
51 VISTA LANE STANFORD, CA 94305				
DIANE TAVENNER	BOARD MEMBER 1.00	0	0	0
51 VISTA LANE STANFORD, CA 94305				
RANDI WEINGARTEN	BOARD MEMBER 1.00	0	0	0
51 VISTA LANE STANFORD, CA 94305				
ANTHONY BRYK	PRESIDENT 40.00	561,011	106,122	0
51 VISTA LANE STANFORD, CA 94305				
PAUL LEMAHIEU	SR VICE PRES 40.00	291,918	64,097	0
51 VISTA LANE STANFORD, CA 94305				

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
DOUGLAS MIHOK 51 VISTA LANE STANFORD, CA 94305	TREASURER 40.00	164,826	38,486	0
KEICY TOLBERT 51 VISTA LANE STANFORD, CA 94305				
JEAN RIVERS 51 VISTA LANE STANFORD, CA 94305	COO 40.00	155,174	35,160	0

TY 2018 Accounting Fees Schedule

Name: CARNEGIE FOUNDATION FOR THE
ADVANCEMENT OF TEACHING

EIN: 13-1623924

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FINANCIAL AUDIT AND TAXES	79,009			79,009

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2018 Depreciation Schedule

Name: CARNEGIE FOUNDATION FOR THE
ADVANCEMENT OF TEACHING

EIN: 13-1623924

Depreciation Schedule

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
			439,520			499,158			

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2018 Gain/Loss from Sale of Other Assets Schedule

Name: CARNEGIE FOUNDATION FOR THE
ADVANCEMENT OF TEACHING

EIN: 13-1623924

Gain Loss Sale Other Assets Schedule

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
CAPITAL GAINS SHORT TERM - SCHWAB		PURCHASE	2019-06		75,920				75,920	
CAPITAL GAINS LONG TERM - SCHWAB		PURCHASE	2019-06		3,696,944				3,696,944	
INTER-TERM INVEST - GR ADM		PURCHASE	2019-06		155,192	153,487			1,705	
TOT INTL BOND IX ADMIRAL		PURCHASE	2019-06		78,234	77,450			784	
TOTAL BOND MKT INDEX INST		PURCHASE	2019-06		666,573	658,234			8,339	
AI - SIGULER GUFF		PURCHASE	2019-06		126,298				126,298	
AI - SEQUOIA		PURCHASE	2019-06		470	940			-470	
AI - CALITUS		PURCHASE	2019-06		25,333				25,333	
PIMCO FOREIGN BOND INST'L		PURCHASE	2019-04		5,683,285	5,477,785			205,500	
PIMCO HIGH YIELD INST'L		PURCHASE	2019-04		2,242,196	2,360,664			-118,468	
PIMCO TOTAL RETURN FUND INST'L		PURCHASE	2019-04		7,968,324	8,475,261			-506,937	
DFA EMERGING MARKETS PORTFOLIO		PURCHASE	2019-04		6,068,726	5,669,943			398,783	
DFA INT'L SMALL CAP VALUE PORTFOLIO		PURCHASE	2019-04		2,479,951	2,186,308			293,643	
GMO INT'L EQUITY III		PURCHASE	2019-04		4,823,726	5,088,284			-264,558	
HARBOR INT'L INST'L		PURCHASE	2019-04		4,849,705	6,589,793			-1,740,088	
MORGAN STANLEY INST'L INT'L EQUITY		PURCHASE	2019-04		4,924,540	4,664,446			260,094	
COHEN & STEERS INTRN'L REALTY FUND		PURCHASE	2019-04		5,615,068	4,879,639			735,429	
DFA INTERMEDIATE GOV BOND PORTFOLIO		PURCHASE	2019-04		11,036,078	11,147,984			-111,906	
LOOMIS SAYLES BOND FUND INST'L		PURCHASE	2019-04		4,869,722	5,219,582			-349,860	
DFA US LARGE CAP VALUE PORTFOLIO		PURCHASE	2019-04		4,801,262	3,647,219			1,154,043	
DFA US LARGE COMPANY PORTFOLIO		PURCHASE	2019-04		9,744,039	5,514,413			4,229,626	
DFA US SMALL CAP VALUE FUND		PURCHASE	2019-04		2,765,193	2,306,410			458,783	
DODGE & COX STOCK		PURCHASE	2019-04		6,862,871	4,274,718			2,588,153	
T ROWE PRICE GROWTH STOCK FUND		PURCHASE	2019-04		6,231,252	3,779,920			2,451,332	
VANGUARD DIVIDEND GROWTH FUND		PURCHASE	2019-04		3,952,913	3,256,125			696,788	
VANGUARD SMALL CAP INDEX FUND		PURCHASE	2019-04		5,732,550	3,007,512			2,725,038	
COHEN & STEERS INST'L REALITY SHARES		PURCHASE	2019-04		11,263,148	10,750,106			513,042	

TY 2018 Investments - Other Schedule

Name: CARNEGIE FOUNDATION FOR THE
ADVANCEMENT OF TEACHING

EIN: 13-1623924

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
DOMESTIC EQUITY MUTUAL FUNDS	FMV	43,750,812	43,750,812
INTERNATIONAL EQUITY MUTUAL FUNDS	FMV	29,069,350	29,069,350
LIMITED PARTNERSHIPS	FMV	348,233	348,233
BOND MUTUAL FUNDS	FMV	31,108,945	31,108,945
PUBLIC REAL ASSETS	FMV		

**TY 2018 Land, Etc.
Schedule**

Name: CARNEGIE FOUNDATION FOR THE
ADVANCEMENT OF TEACHING

EIN: 13-1623924

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
BUILDING AND IMPROVEMENTS	14,658,239	4,199,383	10,458,856	10,458,856
COMPUTER EQUIPMENT	1,687,191	698,529	988,662	988,662

TY 2018 Legal Fees Schedule

Name: CARNEGIE FOUNDATION FOR THE
ADVANCEMENT OF TEACHING

EIN: 13-1623924

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CONSULTING - LEGAL	23,074			23,074

TY 2018 Other Assets Schedule

Name: CARNEGIE FOUNDATION FOR THE
ADVANCEMENT OF TEACHING

EIN: 13-1623924

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DEPOSITS	28,950	7,170	7,170
INVESTMENT IN RETIREMENT ANNUITY	328,352	379,064	379,064

TY 2018 Other Decreases Schedule

Name: CARNEGIE FOUNDATION FOR THE
ADVANCEMENT OF TEACHING

EIN: 13-1623924

Description	Amount
UNREALIZED LOSSES ON INVESTMENTS	14,884,098

TY 2018 Other Expenses Schedule

Name: CARNEGIE FOUNDATION FOR THE
ADVANCEMENT OF TEACHING

EIN: 13-1623924

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
TELEPHONE/COMMUNICATIONS	106,876			106,876
INSURANCE	92,125			92,125
OFFICE SUPPLIES	175,992			175,992
BANK AND PAYROLL FEES	49,327			49,327
POSTAGE AND SHIPPING	3,130			3,130
TRAINING	8,580			8,580
MEMBERSHIP FEES	141,695			141,695
BENEFIT ADMINISTRATION FEES	4,284			4,284
EQUIPMENT LEASING AND RENTAL	15,489			15,489

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADVERTISING	6,828			6,828
BAD DEBT EXPENSE	250,000			250,000

TY 2018 Other Income Schedule

Name: CARNEGIE FOUNDATION FOR THE
ADVANCEMENT OF TEACHING

EIN: 13-1623924

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
CONTRACTS AND CONSULTING	1,761,269		1,761,269
REGISTRATION & WORKSHOP FEES	1,841,545		1,841,545
PUBLICATION ROYALTIES	33,852	33,852	33,852

TY 2018 Other Increases Schedule

Name: CARNEGIE FOUNDATION FOR THE
ADVANCEMENT OF TEACHING

EIN: 13-1623924

Description	Amount
PENSION-RELATED CHANGES OTHER THAN NET PERIODIC	11,997

TY 2018 Other Liabilities Schedule

Name: CARNEGIE FOUNDATION FOR THE
ADVANCEMENT OF TEACHING

EIN: 13-1623924

Description	Beginning of Year - Book Value	End of Year - Book Value
POST EMPLOYMENT BENEFIT OBLIGATION	1,486,541	1,585,114
RETIREMENT ANNUITY CONTACTS	328,352	379,064

TY 2018 Other Professional Fees Schedule

Name: CARNEGIE FOUNDATION FOR THE
ADVANCEMENT OF TEACHING

EIN: 13-1623924

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TEMPORARY HELP	34,477			34,477
BROKER INVESTMENT FEES	230,560	230,560		
CONSULTANTS - OPERATION	252,937			252,937
STIPENDS AND HONORARIA	17,900			17,900
PROGRAM CONSULTANTS	1,294,424			1,294,424

TY 2018 Taxes Schedule

Name: CARNEGIE FOUNDATION FOR THE
ADVANCEMENT OF TEACHING

EIN: 13-1623924

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PROPERTY TAXES	5,630			5,630
CORPORATE INCOME TAX	2,857			2,857

efile GRAPHIC print - DO NOT PROCESS		As Filed Data -		DLN: 93491191008310	
Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service		Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF. ▶ Go to www.irs.gov/Form990 for the latest information.			OMB No. 1545-0047 2018
Name of the organization CARNEGIE FOUNDATION FOR THE ADVANCEMENT OF TEACHING				Employer identification number 13-1623924	
Organization type (check one): Filers of: Form 990 or 990-EZ ☐ 501(c)() (enter number) organization ☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation ☐ 527 political organization Form 990-PF ☒ 501(c)(3) exempt private foundation ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation ☐ 501(c)(3) taxable private foundation					

Name of organization CARNEGIE FOUNDATION FOR THE ADVANCEMENT OF TEACHING	Employer identification number 13-1623924
---	---

Part I Contributors (See instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
—	See Additional Data Table _____ _____	\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution.)
—	_____ _____ _____	\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution.)
—	_____ _____ _____	\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution.)
—	_____ _____ _____	\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution.)
—	_____ _____ _____	\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution.)
—	_____ _____ _____	\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution.)
—	_____ _____ _____	\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution.)
—	_____ _____ _____	\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution.)

13-1623924

Schedule B (Form 990, 990-EZ, or 990-PF) (2018)

Name of organization CARNEGIE FOUNDATION FOR THE ADVANCEMENT OF TEACHING	Employer identification number 13-1623924
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Part III	Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____ Use duplicate copies of Part III if additional space is needed.
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	

Additional Data

Software ID:
Software Version:
EIN: 13-1623924
Name: CARNEGIE FOUNDATION FOR THE
ADVANCEMENT OF TEACHING

Form 990 Schedule B, Part I - Contributors (see Instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	CARNEGIE CORPORATION OF NEW YORK	\$ 2,525,000	Person ☒
	437 MADISON AVENUE		Payroll ☐
	NEW YORK, NY 10022		Noncash ☐
			(Complete Part II for noncash contributions.)
<u>7</u>	OPORTUNIDAD FUNDACION EDUCACIONAL	\$ 15,000	Person ☒
	PADRE MARIANO 181 PISO 11		Payroll ☐
	PROVIDENCIA, SANTIAGO CI		Noncash ☐
			(Complete Part II for noncash contributions.)
<u>13</u>	THE WALTON FAMILY FOUNDATION	\$ 25,000	Person ☒
	PO BOX 2030		Payroll ☐
	BENTONVILLE, AR 72712		Noncash ☐
			(Complete Part II for noncash contributions.)
<u>2</u>	WILLIAM FLORA HEWLETT FOUNDATION	\$ 2,535,000	Person ☒
	2121 SAND HILL RD		Payroll ☐
	MENLO PARK, CA 94025		Noncash ☐
			(Complete Part II for noncash contributions.)
<u>8</u>	NEW VISIONS FOR PUBLIC SCHOOLS INC	\$ 15,000	Person ☒
	205 EAST 42ND ST		Payroll ☐
	NEW YORK, NY 10017		Noncash ☐
			(Complete Part II for noncash contributions.)
<u>14</u>	THE SPENCER FOUNDATION	\$ 15,000	Person ☒
	625 NORTH MICHIGAN AVE SUITE 1600		Payroll ☐
	CHICAGO, IL 60611		Noncash ☐
			(Complete Part II for noncash contributions.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>3</u>	SILICON VALLEY COMMUNITY FOUNDATION	\$ 100,000	Person ☒
	2440 WEST EL CAMINO REAL SUITE 300		Payroll ☐
	MOUNTAIN VIEW, CA 94040		Noncash ☐
			(Complete Part II for noncash contributions.)
<u>9</u>	OVERDECK FAMILY FOUNDATION	\$ 15,000	Person ☒
	100 AVENUE OF THE AMERICAS 16TH FL		Payroll ☐
	NEW YORK, NY 10013		Noncash ☐
			(Complete Part II for noncash contributions.)
<u>15</u>	THE NATIONAL EDUCATION ASSOCIATION	\$ 25,000	Person ☒
	1201 16TH ST NW		Payroll ☐
	WASHINGTON, DC 20036		Noncash ☐
			(Complete Part II for noncash contributions.)
<u>4</u>	BILL AND MELINDA GATES FOUNDATION	\$ 50,000	Person ☒
	PO BOX 23350		Payroll ☐
	SEATTLE, WA 98102		Noncash ☐
			(Complete Part II for noncash contributions.)
<u>10</u>	AMERICAN FEDERATION OF TEACHERS	\$ 6,500	Person ☒
	555 NEW JERSEY AVE NW		Payroll ☐
	WASHINGTON, DC 20001		Noncash ☐
			(Complete Part II for noncash contributions.)
<u>16</u>	NELLIE MAE EDUCATION FOUNDATION	\$ 5,000	Person ☒
	1250 HANCOCK STREET SUITE 701N		Payroll ☐
	QUINCY, MA 02169		Noncash ☐
			(Complete Part II for noncash contributions.)

Form 990 Schedule B, Part I - Contributors (see Instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>5</u>	RAIKES FOUNDATION		Person ☒
	2157 N NORTHLAKE WAY SUITE 220		Payroll ☐
	SEATTLE, WA 98103	\$ 15,000	Noncash ☐
			(Complete Part II for noncash contributions.)
<u>11</u>	WK KELLOGG FOUNDATION		Person ☒
	1 EAST MICHIGAN AVENUE		Payroll ☐
	BATTLE CREEK, MI 49017	\$ 50,000	Noncash ☐
			(Complete Part II for noncash contributions.)
<u>17</u>	THE CHARLES AND LYNN SCHUSTERMAN		Person ☒
	800 8TH ST NW		Payroll ☐
	WASHINGTON, DC 20001	\$ 15,000	Noncash ☐
			(Complete Part II for noncash contributions.)
<u>6</u>	WESTED		Person ☒
	730 HARRISON STREET		Payroll ☐
	SAN FRANCISCO, CA 94107	\$ 25,000	Noncash ☐
			(Complete Part II for noncash contributions.)
<u>12</u>	AMERICAN INSTITUTES FOR RESEARCH		Person ☒
	1000 THOMAS JEFFERSON ST NW		Payroll ☐
	WASHINGTON, DC 20007	\$ 15,000	Noncash ☐
			(Complete Part II for noncash contributions.)