

990
Form
Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations) Do not enter social security numbers on this form as it may be made public.
 ▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047
2019
 Open to Public Inspection

A For the 2019 calendar year, or tax year beginning 01-01-2019, and ending 12-31-2019

B Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Final return/terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	C Name of organization THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS		D Employer identification number 13-1623829
	Doing business as		E Telephone number (212) 876-7700
	Number and street (or P.O. box if mail is not delivered to street address) 424 EAST 92ND STREET	Room/suite	G Gross receipts \$ 296,087,287
	City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 101286804		
F Name and address of principal officer: MATTHEW BERSHADKER 424 EAST 92ND STREET NEW YORK, NY 101286804		H(a) Is this a group return for subordinates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No H(b) Are all subordinates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list. (see instructions) H(c) Group exemption number ▶	
I Tax-exempt status: <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) () (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527			
J Website: ▶ WWW.ASPCA.ORG			
K Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶		L Year of formation: 1866	M State of legal domicile: NY

Part I Summary

Activities & Governance	1 Briefly describe the organization's mission or most significant activities: TO ENSURE SAFETY & PROTECTION OF ANIMALS THROUGH AN ARRAY OF SERVICES INCLUDING EDUCATION.				
	2 Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.				
Activities & Governance	3 Number of voting members of the governing body (Part VI, line 1a)	3	13		
	4 Number of independent voting members of the governing body (Part VI, line 1b)	4	12		
	5 Total number of individuals employed in calendar year 2019 (Part V, line 2a)	5	1,244		
	6 Total number of volunteers (estimate if necessary)	6	2,370		
	7a Total unrelated business revenue from Part VIII, column (C), line 12	7a	-603,729		
	7b Net unrelated business taxable income from Form 990-T, line 39	7b	-666,479		
	Revenue	8 Contributions and grants (Part VIII, line 1h)	Prior Year	243,128,195	Current Year
9 Program service revenue (Part VIII, line 2g)			13,524,637		14,074,642
10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)			7,536,920		8,578,545
11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)			3,494,801		2,210,434
12 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)			267,684,553		279,048,974
Expenses		13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)		12,841,684	
	14 Benefits paid to or for members (Part IX, column (A), line 4)		0		0
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)		93,073,736		99,270,657
	16a Professional fundraising fees (Part IX, column (A), line 11e)		2,010,718		7,469,024
	b Total fundraising expenses (Part IX, column (D), line 25) ▶ 48,100,559				
	17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)		132,604,143		129,449,035
18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)		240,530,281		250,628,436	
19 Revenue less expenses. Subtract line 18 from line 12		27,154,272		28,420,538	
Net Assets or Fund Balances	20 Total assets (Part X, line 16)	Beginning of Current Year	313,130,836	End of Year	393,129,611
	21 Total liabilities (Part X, line 26)		30,238,135		53,122,402
	22 Net assets or fund balances. Subtract line 21 from line 20		282,892,701		340,007,209

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer	2020-10-06
	PETER ACCINNO INTERIM CFO	Date
	Type or print name and title	

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input checked="" type="checkbox"/> if self-employed	PTIN P00675982
	Firm's name ▶ BAKER TILLY US LLP			Firm's EIN ▶ 39-0859910	
	Firm's address ▶ ONE PENN PLAZA SUITE 3000 NEW YORK, NY 10119			Phone no. (212) 697-6900	

May the IRS discuss this return with the preparer shown above? (see instructions) Yes No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

1 Briefly describe the organization's mission:

THE ASPCA WAS FOUNDED ON THE BELIEF THAT ANIMALS ARE ENTITLED TO KIND AND RESPECTFUL TREATMENT AT THE HANDS OF HUMANS AND MUST BE PROTECTED UNDER THE LAW. THE ASPCA'S MISSION, AS STATED BY FOUNDER HENRY BERGH IN 1866, IS "TO PROVIDE EFFECTIVE MEANS FOR THE PREVENTION OF CRUELTY TO ANIMALS THROUGHOUT THE UNITED STATES."

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? Yes No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? Yes No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ 86,250,544 including grants of \$) (Revenue \$ 14,074,642)

SEE SCHEDULE OSHELTER AND VETERINARY SERVICES (SVS)SVS INCLUDES THE ASPCA ANIMAL HOSPITAL IN NEW YORK CITY; ASPCA SPAY/NEUTER ALLIANCE IN NORTH CAROLINA; NORTHERN TIER SHELTER INITIATIVE, ADOPTION CENTER IN NEW YORK CITY; AND THE ANIMAL POISON CONTROL CENTER, A 24-HOUR ANIMAL POISON CONTROL TELEPHONE HOTLINE IN URBANA, ILLINOIS; ANIMAL RECOVERY CENTER (ARC); CANINE ANNEX FOR RECOVERY & ENRICHMENT (CARE); KITTEN NURSERY; ANIMAL RELOCATION PROGRAM; AND COMMUNITY MEDICINE INCLUDING SPAY/NEUTER CLINICS IN NEW YORK CITY, LOS ANGELES AND ASHEVILLE, NORTH CAROLINA AND A COMMUNITY VETERINARY CENTER IN LIBERTY CITY, MIAMI.THE ASPCA ANIMAL HOSPITAL (AAH) PROVIDES ASSISTANCE TO NEW YORK CITY'S VULNERABLE ANIMALS, OFFERING URGENT-CARE SERVICES TO PETS OF FAMILIES EXPERIENCING FINANCIAL CHALLENGES. IN 2019, MORE THAN 7,900 PUBLIC CLIENTS WERE ASSISTED AT THE AAH AND MORE THAN 3,910 ANIMALS WHOSE CAREGIVERS WERE UNABLE TO AFFORD VETERINARY CARE WERE TREATED. AAH TREATED 423 ANIMAL VICTIMS OF CRUELTY AND NEGLECT, 1,038 ANIMALS THROUGH THE ASPCA'S NYC COMMUNITY ENGAGEMENT PROGRAM, AND 1,240 ANIMALS THROUGH THEIR ADOPTION CENTER.IN 2019, ASPCA SPAY/NEUTER ALLIANCE (ASNA) PERFORMED 25,140 SURGERIES AT THEIR CLINIC IN ASHEVILLE, NORTH CAROLINA. THROUGH ITS 15-YEAR OLD NATIONAL SPAY/NEUTER RESPONSE TEAM MENTORSHIP PROGRAM, 10 MILLION CATS AND DOGS HAVE BEEN SPAYED OR NEUTERED NATIONWIDE. THE MENTORED CLINICS, INCLUDING 180 ORGANIZATIONS IN 41 STATES, CURRENTLY SPAY/NEUTER A COMBINED TOTAL OF MORE THAN 1 MILLION CATS AND DOGS EACH YEAR. THIS ACHIEVEMENT IS THE RESULT OF CLINICS PRIORITIZING ACCESS TO AFFORDABLE SPAY/NEUTER SURGERIES IN THEIR COMMUNITIES- SAVING AT-RISK ANIMALS AND DRAMATICALLY REDUCING THE NUMBER OF HOMELESS PETS ENTERING SHELTERS NATIONWIDE, TACKLING PET OVERPOPULATION ACROSS THE COUNTRY.THE NORTHERN TIER SHELTER INITIATIVE (NTSI) PROVIDES CONSULTATIONS, TRAINING AND GRANTS TO ANIMAL WELFARE ORGANIZATIONS TO IMPROVE QUALITY OF LIFE FOR COMPANION ANIMALS IN SHELTERS AND SURROUNDING COMMUNITIES. THE ASPCA FOCUSES ITS EFFORTS IN RURAL, LOW-INCOME COMMUNITIES IN SEVEN STATES: ALASKA, IDAHO, MINNESOTA, MONTANA, NORTH DAKOTA, WASHINGTON AND WISCONSIN. IN 2019, THE ORGANIZATION ENGAGED WITH 129 ORGANIZATIONS, DISTRIBUTED \$1.5 MILLION IN GRANTS, AND PROVIDED 20 TRAININGS AND 207 CONSULTATIONS DESIGNED TO ENHANCE SHELTER OPERATIONS AND SHELTERS' ENGAGEMENT WITH THEIR COMMUNITIES.FOUR NTSI PARTNER ORGANIZATIONS ATTENDED TRAINING AT ASNA, AND ONE ORGANIZATION COMPLETED TRAINING AT THE ASPCA'S BEHAVIORAL REHABILITATION CENTER. THE ORGANIZATION ALSO COLLABORATED WITH NTSI PARTNERS ON MULTIPLE PROJECTS, INCLUDING A FIRST-OF-ITS-KIND FACILITY-DESIGN COURSE FOR ORGANIZATIONS BUILDING NEW OR RENOVATING EXISTING SHELTERS AND THE CREATION OF A COMPREHENSIVE CONFERENCE-PLANNING GUIDE, WHICH DIRECTLY SUPPORTED FIVE OF THE SEVEN STATES WITH ANIMAL WELFARE CONFERENCES.IN 2019, THE ASPCA ONYX AND BREEZY SHEETS ADOPTION CENTER CONCEPTUALIZED AND LAUNCHED A NEW MATCHMAKING PROGRAM THAT FOUND ADOPTERS FOR 3,726 TOTAL ANIMALS: 2,729 CATS AND 997 DOGS. THE ASPCA ADOPTION CENTER ENGAGED WITH MORE THAN 600 VOLUNTEERS, WHO LOGGED NEARLY 29,900 VOLUNTEER HOURS. VOLUNTEERS WERE OFFERED INNOVATIVE WAYS TO CONTRIBUTE TO SHELTER OPERATIONS, INCLUDING FACILITATING "DOGGY DAY OUT," A PROGRAM THAT ALLOWS VOLUNTEERS TO TAKE DOGS OUT OF THE SHELTER FOR EXTENDED PERIODS OF TIME. THE ASPCA ANIMAL POISON CONTROL CENTER (APCC), WHICH PROVIDES EMERGENCY ASSISTANCE TO PET OWNERS AND VETERINARIANS TO ASSIST ANIMALS WHO HAVE BEEN EXPOSED TO POTENTIALLY HAZARDOUS SUBSTANCES, ANSWERED MORE THAN 353,630 CALLS IN 2019, A 13% INCREASE OVER 2018. STAFF ALSO PROVIDED OVER 58 HOURS OF CONTINUING EDUCATION FOR VETERINARY PROFESSIONALS, AUTHORED SEVEN JOURNAL ARTICLES, WROTE OR REVIEWED OVER 86 PEER-REVIEWED TEXTBOOK CHAPTERS, AND PARTICIPATED IN 55 MEDIA FEATURES. EVERY YEAR, APCC IS HELPING MORE AND MORE ANIMALS.THE ANIMAL RECOVERY CENTER (ARC) PROVIDES LIFESAVING MEDICAL CARE AND BEHAVIORAL INTERVENTIONS TO REHABILITATE ANIMALS BROUGHT TO THE ORGANIZATION BY THE NEW YORK CITY POLICE DEPARTMENT (NYPD) OR ASPCA HUMANE LAW ENFORCEMENT AND COMMUNITY ENGAGEMENT PROGRAMS. IN 2019, ARC CARED FOR 744 ANIMALS, ULTIMATELY PREPARING THEM FOR ADOPTION OR RETURNING THEM TO THEIR OWNER, IF APPROPRIATE. IN 2019, THE ASPCA'S GLORIA GURNEY CANINE ANNEX FOR RECOVERY & ENRICHMENT (CARE) SERVED 462 DOGS RESCUED THROUGH THE ORGANIZATION'S PARTNERSHIP WITH THE NYPD, MANY OF WHOM WERE SHY, FEARFUL OR UNDERSOCIALIZED. CARE PROVIDED CUSTOMIZED BEHAVIORAL REHABILITATION TO HELP PREPARE THESE DOGS AND PUPPIES FOR LIFE IN A HOME.IN 2019, THE ASPCA KITTEN NURSERY WELCOMED 1,429 KITTENS, WITH 92% SPENDING TIME IN FOSTER HOMES. OF THESE FOSTERED KITTENS, 71% OF THEIR TOTAL TIME IN THE ASPCA'S CUSTODY WAS SPENT IN A FOSTER HOME, ALLOWING FOR MORE KITTENS TO BE HELPED ON SITE AT THE NURSERY FACILITY.BY WAY OF BOTH LAND AND AIR TRANSPORTATION, THE ANIMAL RELOCATION PROGRAM TRANSPORTED 42,296 ANIMALS-33,474 DOGS, 8,451 CATS AND 371 OTHER ANIMALS-IN 2019. THE ORGANIZATION PARTNERS WITH "SOURCE" SHELTERS IN AREAS WITH HIGH HOMELESS PET POPULATIONS AND TRANSPORTS ANIMALS TO "DESTINATION" SHELTERS IN COMMUNITIES WHERE ADOPTABLE ANIMALS ARE IN HIGH DEMAND. THE PROGRAM INCLUDES MORE THAN 40 SOURCE SHELTERS ACROSS 27 STATES, NEARLY 100 DESTINATION SHELTERS IN 35 STATES, AND FIVE WAYSTATIONS LOCATED IN CALIFORNIA, KANSAS, KENTUCKY, TENNESSEE AND VIRGINIA. SINCE LAUNCHING IN 2014, THE ORGANIZATION HAS TRANSPORTED MORE THAN 141,000 COMPANION ANIMALS ON OVER 6,200 TRIPS THROUGHOUT THE U.S., MAKING IT THE LARGEST NATIONAL TRANSPORTER OF ANIMALS FOR ADOPTION.THE COMMUNITY CAT INITIATIVE IS A NEW PROGRAM TO TEACH INTERESTED MEMBERS OF THE PUBLIC HOW TO EFFECTIVELY MANAGE CAT COLONIES VIA TRAP, NEUTER, RETURN & MONITOR (TNRM). THE ORGANIZATION HELD 11 TNRM CERTIFICATION WORKSHOPS IN 2019--CERTIFYING OVER 170 ATTENDEES--AS WELL AS SPECIALIZED COMMUNITY CAT WORKSHOPS. THIS INITIATIVE ALSO SUPPORTS THE NYPD IN MAKING INFORMED DECISIONS ABOUT HOW TO BEST ADDRESS COMMUNITY CAT ISSUES IN THEIR PRECINCTS. THE ORGANIZATION PARTICIPATED IN 17 SOCIAL SERVICE AGENCY/COMMUNITY TRAININGS, INCLUDING JOINT-SERVICE TRAININGS WITH URBAN RESOURCE INSTITUTE NYC PALS (PEOPLE AND ANIMALS LIVING SAFELY), A PROGRAM THAT HELPS CO-SHELTER DOMESTIC VIOLENCE VICTIMS AND THEIR PETS.AN ESSENTIAL TOOL IN PREVENTING ANIMAL HOMELESSNESS IS SPAY/NEUTER SURGERIES, AND LAST YEAR THE ASPCA REACHED A TOTAL OF 500,000 SPAY/NEUTER SURGERIES IN NYC. THE NYC COMMUNITY MEDICINE TEAM SPAYED OR NEUTERED 48,095 ANIMALS AND COMPLETED 7,310 PRIMARY PET CARE (PPC) VISITS. THE ORGANIZATION INCREASED SPAY/NEUTER TRANSPORT CAPACITY WITH A NEW VEHICLE THAT TRANSPORTS UP TO 80 ANIMALS AT A TIME AND IS DESIGNED TO LIMIT STRESS FOR ANIMALS AND MINIMIZE DISEASE TRANSMISSION. THE ASPCA COMPLETED CONSTRUCTION OF ITS NEW COMMUNITY VETERINARY CENTER IN THE BRONX, A GROUNDBREAKING NEW PROGRAM AND FACILITY THAT WILL PROVIDE AFFORDABLE, ACCESSIBLE MEDICAL CARE TO ANIMALS IN NEED AND WILL CONTRIBUTE TO THE IMPROVEMENT OF THE LIVES OF NEW YORK CITY'S MOST VULNERABLE ANIMALS. SINCE 2014, THE ORGANIZATION HAS BEEN WORKING IN LOS ANGELES, CA, ASSISTING ANIMALS IN UNDERSERVED COMMUNITIES IN THE L.A. AREA AND IMPROVING ANIMAL WELFARE POLICIES IN THE STATE OF CALIFORNIA.IN 2019, THE ASPCA WORKED CLOSELY WITH THE LOS ANGELES COUNTY DEPARTMENT OF ANIMAL CARE AND CONTROL AND ASSISTED OVER 6,500 ANIMALS THROUGH A VARIETY OF SERVICES FOR PET OWNERS SUCH AS VACCINATIONS, SPAY/NEUTER, MICROCHIPS, EMERGENCY VETERINARY CARE AND PET SUPPLIES.THE ORGANIZATION PROVIDED ADOPTIONS SUPPORT TO L.A. COUNTY ANIMAL CARE CENTERS TO INCREASE CAT ADOPTIONS THROUGH BOTH GRANT FUNDING TO SUBSIDIZE ADOPTION FEES AND PROMOTE ADOPTIONS AND ON-SITE CAT ADOPTION FACILITATORS. CAT ADOPTIONS INCREASED BY 247 IN 2019 COMPARED TO 2018. MORE THAN 2,000 KITTENS WERE DIVERTED FROM ENTERING L.A. COUNTY SHELTERS AND SAFELY PLACED THROUGH FOSTERING AND RELOCATION SO THEY COULD RECEIVE CARE UNTIL THEY WERE OLD ENOUGH TO BE SPAYED OR NEUTERED AND ADOPTED. PET FOOD DISTRIBUTION ALSO EXPANDED TO LOS ANGELES THROUGH A PARTNERSHIP WITH LOS ANGELES REGIONAL FOOD BANK. MOREOVER, ATTENDING THE WEEKLY FOOD DISTRIBUTION GAVE THE TEAM AN IMPORTANT OPPORTUNITY TO CONNECT WITH PET PARENTS AND PROVIDE INFORMATION AND ACCESS TO SERVICES. SINCE OPENING A STATIONARY SPAY/NEUTER CLINIC IN SOUTH LOS ANGELES IN 2014, THE ORGANIZATION ADDED TWO MOBILE SPAY/NEUTER CLINICS AND ONE MOBILE VETERINARY CLINIC DEDICATED TO PPC. IN 2019, THE ASPCA LAUNCHED ANOTHER NEW SPAY/NEUTER VEHICLE, CELEBRATED THE FIVE-YEAR ANNIVERSARY OF THE L.A. SPAY/NEUTER CLINIC, AND BEGAN WORKING WITH COMMUNITY CAT GROUPS IN A FOCUS AREA TO PROVIDE COMMUNITY CAT SPAY/NEUTER SURGERIES.

4b (Code:) (Expenses \$ 54,145,558 including grants of \$) (Revenue \$)

SEE SCHEDULE OPUBLIC EDUCATION AND COMMUNICATIONS ESSENTIAL TO THE ASPCA'S MISSION IS BRINGING AWARENESS TO ITS PROGRAMS AND EDUCATING THE PUBLIC, ILLUSTRATING HOW PEOPLE AND ORGANIZATIONS CAN ENGAGE AND GET INVOLVED. THE ASPCA HAD NEARLY 50 MILLION PAGE VIEWS ON ASPCA.ORG IN 2019, BRINGING AWARENESS TO MORE THAN 2.5 MILLION SUPPORTERS AND THE PUBLIC AT LARGE ON ACTION THEY CAN TAKE ON BEHALF OF ANIMALS. SOCIAL MEDIA POSTINGS ARE A KEY PART OF THE ASPCA'S EDUCATION PROCESS, AND THEY UPDATED THE PUBLIC OF REGULATORY WINS AND PROVIDED DETAILS OF THE ASPCA'S ANTI-CRUELTY EFFORTS. THE ASPCA'S PROMOTIONS GENERATED TENS OF MILLIONS OF SOCIAL MEDIA IMPRESSIONS IN 2019. THE PUBLIC WAS UPDATED ON ACTION THAT CAN BE TAKEN TO ENSURE THAT ANIMALS ARE GIVEN THE GREATEST POSSIBLE PROTECTION UNDER THE LAW AND MADE AWARE OF HOW EACH PERSON CAN HELP THIS EFFORT. IN 2019, MORE THAN 100 ADVOCACY E-MAILS WERE SENT TO ASPCA SUPPORTERS URGING THEM TO TAKE ACTION ON A VARIETY OF ANIMAL WELFARE ISSUES. WITH THE HELP OF ADVOCATES, THE ASPCA SECURED NEW ANIMAL PROTECTION LAWS AND REGULATORY WINS FOR ANIMALS AT THE NATION'S CAPITAL AND ACROSS THE COUNTRY IN STATE LEGISLATURES. IN 2019, THE ASPCA DISTRIBUTED NEARLY 2,000,000 COPIES OF ASPCA ACTION, ITS MEMBER MAGAZINE, AND 15,000 COPIES, COMBINED PRINT AND DIGITAL, OF ITS ANNUAL REPORT. ASPCA ACTION INCLUDES INFORMATION ON ASPCA EVENTS AND PROGRAMS AS WELL AS PET CARE BEHAVIOR AND ADVICE. LEGISLATIVE AND ANIMAL ADVOCACY NEWS KEEPS MEMBERS UP-TO-DATE ON CURRENT AND FUTURE INITIATIVES AND HOW THEY CAN HELP ENSURE THAT ANIMALS RECEIVE NECESSARY PROTECTION UNDER THE LAW. THIS MAGAZINE IS

AVAILABLE TO THE ASPCA WEBSITE, WHICH HAS MANY ADDITIONAL EDUCATIONAL RESOURCES FOR THE PUBLIC. THE ASPCA CONTINUES TO BE A LEADING VOICE IN NATIONAL AND LOCAL MEDIA ON ANIMAL CRUELTY AND WELFARE ISSUES. IN 2019, THE ASPCA APPEARED IN 23,197 FAVORABLE MEDIA PLACEMENTS ACROSS TRADITIONAL MEDIA OUTLETS AND BLOGS, INCLUDING MANY HIGH-QUALITY PLACEMENTS IN HIGH-EXPOSURE OUTLETS SUCH AS THE NEW YORK TIMES, THE ASSOCIATED PRESS, FORBES, CNN, NBC NEWS, THE HILL, THE WASHINGTON POST, USA TODAY, THE HUFFINGTON POST AND GOOD MORNING AMERICA. ASPCA EFFORTS RELATED TO ADOPTION CAMPAIGNS, LEGISLATIVE EFFORTS AND ANIMAL CRUELTY INITIATIVES WERE AMONG THE FEATURED TOPICS. THE ASPCA ALSO ENGAGED MANY CELEBRITY INFLUENCERS IN 2019 INCLUDING CECILY STRONG, ADRIEN GRENIER, EDIE FALCO, KJ APA AND BETHENNY FRANKEL WHO LENT THEIR VOICES TO HIGHLIGHT THE ORGANIZATION'S WORK. SPECIFIC ASPCA-RELATED ACTIVITIES AND INITIATIVES COVERED BY MAJOR MEDIA IN 2019 INCLUDED: ASPCA'S GROUNDBREAKING INITIATIVE FOR LOW-COST COMMUNITY VET CLINICS AND UPSTATE NY REHABILITATION FACILITY IN 2019, THE ASPCA ANNOUNCED MAJOR PLANS TO ADDRESS TWO LIFE-THREATENING CHALLENGES FACING DOGS AND CATS: LACK OF ACCESSIBLE, AFFORDABLE VETERINARY CARE AND THE LIMITED SCOPE OF CRUELTY REHABILITATION PROGRAMS. THE ASPCA COMMITTED \$45 MILLION TO OPEN THREE LOW-COST VETERINARY CLINICS IN NEW YORK CITY OVER THE NEXT THREE YEARS, AND BUILD A NEW FACILITY IN THE HUDSON VALLEY THAT WILL REHABILITATE ANIMAL VICTIMS OF CRUELTY. MAJOR LOCAL MEDIA OUTLETS REPORTING THESE PROJECTS INCLUDED AMNEWYORK, WCBS-NY, WPIX11, NY1 AND BK READER. ASPCA COMMUNITY VETERINARY CENTER THE NEW ASPCA COMMUNITY VETERINARY CENTER IN LIBERTY CITY, FLORIDA, OPENED IN OCTOBER THROUGH A PARTNERSHIP WITH MIAMI-DADE ANIMAL SERVICES. THE NEW FACILITY WILL PROVIDE SPAY/NEUTER SURGERIES AND OTHER PREVENTIVE AND BASIC VETERINARY CARE FOR ANIMALS AT REDUCED OR NO COST TO MIAMI-DADE COUNTY PET OWNERS. NEWS OUTLETS COVERING THE GRAND OPENING INCLUDED THE MIAMI HERALD, SOUTH FLORIDA TIMES, AND THE MIAMI TIMES. THE ASPCA-NYPD PARTNERSHIP THE ASPCA AND THE NYPD COMMEMORATED THE POSITIVE IMPACT THAT THEIR PARTNERSHIP HAS HAD ON NYC ANIMALS IN THE FIFTH YEAR OF THEIR RELATIONSHIP. NEARLY 3,300 VICTIMS OF ANIMAL CRUELTY HAVE BEEN TREATED SINCE THE PROGRAM BEGAN CITYWIDE IN 2014. THE ASPCA COMMUNITY ENGAGEMENT PROGRAM ALSO WORKS WITH THE NYPD AND OTHER CITY AGENCIES TO SUPPORT PET OWNERS IN NEED BY ARRANGING VETERINARY CARE AND PROVIDING PET SUPPLIES. MEDIA AND OUTLETS BRIAN LEHRER SHOW, METRO, GOTHAMIST, THE NEW YORK POST, AND PIX 11 COVERED THE ANNIVERSARY. IN ADDITION, THE CANADIAN PRESS REPORTED HOW THE ONTARIO SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS IS POINTING TO THE SUCCESS OF THE ASPCA-NYPD PARTNERSHIP AS A POSSIBLE MODEL FOR THE PROVINCE'S EFFORTS TO FIGHT ANIMAL CRUELTY. ANIMAL FIGHTING INVESTIGATION IN MAY, THE ASPCA ASSISTED THE INDIANA GAMING COMMISSION (IGC) IN RESCUING NEARLY 600 BIRDS AND 10 DOGS FROM ANIMAL FIGHTING RINGS. THE IGC SIMULTANEOUSLY EXECUTED TWO SEARCH WARRANTS ON SEPARATE INDIANA PROPERTIES WHERE THE ASPCA FOUND DOGS CONFINED WITH HEAVY CHAINS AND HOUSED IN A MANNER CONSISTENT WITH DOGFIGHTING. THE BIRDS HAD PHYSICAL ALTERATIONS COMMONLY ASSOCIATED WITH COCKFIGHTING. THE ASPCA MOVED THE ANIMALS TO A TEMPORARY SHELTER FOR MEDICAL TREATMENT AND BEHAVIORAL CARE. NATIONAL MEDIA OUTLETS REPORTING THE RESCUE AND INVESTIGATION INCLUDED THE ASSOCIATED PRESS, THE HILL AND NBC NEWS. LOCAL MEDIA OUTLETS REPORTING THIS NEWS INCLUDED THE NEW YORK DAILY NEWS AND WGNV. LONG ISLAND DOGFIGHTING RAID IN OCTOBER 2019, THE ASPCA ASSISTED THE NYPD WITH REMOVING 28 DOGS FROM TWO PROPERTIES WHERE SUSPECTED LARGE-SCALE DOGFIGHTING OPERATIONS WERE HELD. THE ASPCA ALSO ASSISTED WITH FORENSIC EVIDENCE COLLECTION, SHELTERING AND MEDICAL CARE FOR THE DOGS. IN DECEMBER, MEDIA OUTLETS REVISITED THE CASE, REPORTING THAT A VETERINARY WORKER AND TWO MEN WERE CHARGED WITH RUNNING THE DOGFIGHTING RINGS. OUTLETS COVERING THE ASPCA'S RESCUE AND CARE FOR THE SEIZED DOGS INCLUDED THE ASSOCIATED PRESS, NEW YORK POST, NEW YORK DAILY NEWS, NBC NEWS, GOTHAMIST AND NEWSDAY. NEW YORK PET STORE SALES BAN IN APRIL, A NEW LAW PROPOSED BY TWO NEW YORK ELECTED OFFICIALS WOULD BAN NEW YORK STATE PET STORES FROM SELLING CATS, DOGS AND RABBITS, MAKING NEW YORK THE THIRD STATE TO PASS THIS TYPE OF ANIMAL WELFARE LEGISLATION. NATIONAL MEDIA OUTLETS REPORTING THE ASPCA'S SUPPORT OF THE LEGISLATION INCLUDED CONSUMER AFFAIRS AND CARE2, WHILE LOCAL MEDIA OUTLETS INCLUDED DEMOCRAT & CHRONICLE, CRAIN'S NEW YORK, AMNEWYORK, PATCH.COM, AND AUBURN PUB. PET LEASING LEGISLATION IN JULY, LEGISLATORS IN NEW JERSEY PROPOSED A PET LEASING BAN, WHICH WAS VOTED INTO LAW IN AUGUST. MEDIA OUTLETS REPORTING ON THE ASPCA'S OPPOSITION TO PET LEASING INCLUDED CNBC, NBR AND THE CHICAGO TRIBUNE, ALL OF WHICH POSITIONED THE ASPCA AS AN EXPERT IN ANIMAL WELFARE. AT THE END OF THE YEAR, MEDIA OUTLETS REPORTED THAT FLORIDA AND MICHIGAN LAWMAKERS ARE AIMING TO BAN PET LEASING. THE TWO STATES WOULD BE JOINING SEVEN OTHER STATES TO PASS LAWS THAT HAVE BANNED THE PRACTICE. NEWSWEEK AND REGIONAL OUTLETS INCLUDING THE SUN SENTINEL, ORLANDO SENTINEL, WINK NEWS AND WIOD HIGHLIGHTED THE ASPCA'S EFFORTS TO BAN PET LEASING. A PROPOSAL TO SAVE WILD MUSTANGS THE ASPCA AND THE HUMANE SOCIETY OF THE UNITED STATES (HSUS) PROPOSED A PLAN TO SAVE AMERICA'S WILD MUSTANGS FROM SLAUGHTER. THIS NEW, NON-LETHAL PLAN WHICH WAS BACKED BY THE NATIONAL CATTLEMEN'S BEEF ASSOCIATION AND THE AMERICAN FARM BUREAU FEDERATION WOULD REDUCE HERD SIZE BY EXPANDING FERTILITY CONTROL ON THE RANGE, ULTIMATELY SAVING THE BUREAU OF LAND MANAGEMENT MONEY IN THE LONG RUN. AS PART OF THE PROPOSAL, THE HSUS AND THE ASPCA WOULD DROP THEIR LONG-HELD OPPOSITION TO THE CONTROVERSIAL ROUNDUPS OF THE HORSES. MEDIA OUTLETS REPORTING ON THE ASPCA AND HSUS'S PLAN TO SAVE AND PROTECT AMERICA'S WILD HORSES INCLUDED THE ASSOCIATED PRESS, CAPITAL PRESS, CQ WEEKLY AND GO HUNT. USDA ANIMAL WELFARE LAWSUIT IN 2017, THE USDA REMOVED THOUSANDS OF CRITICAL ANIMAL WELFARE ENFORCEMENT RECORDS FROM ITS WEBSITE TO PROTECT THE BREEDERS' "PERSONAL PRIVACY." IN APRIL, THE ASPCA SUED THE USDA AFTER NEARLY 40 FREEDOM OF INFORMATION ACT REQUESTS WENT UNANSWERED. MEDIA OUTLETS REPORTING THE ASPCA'S LAWSUIT WITH THE USDA INCLUDED BLOOMBERG, WSB, AND WJAX. MEOW FOR NOW IN CONNECTION WITH ADOPT A SHELTER CAT MONTH, THE ASPCA PROMOTED ITS "MEOW FOR NOW" CAMPAIGN, WHICH OFFERS TRAINING, FOOD AND SUPPLIES TO SUPPORT FOSTER PROGRAMS IN SHELTERS AROUND THE COUNTRY. THESE PROGRAMS FIND TEMPORARY HOMES FOR VULNERABLE KITTENS, WHICH EASES THE STRAIN ON OVERCROWDED SHELTERS. NATIONAL AND LOCAL MEDIA OUTLETS COVERING THE MEOW FOR NOW CAMPAIGN INCLUDED GOTHAMIST, WFLA, KVLV AND KWQC. ON JUNE 9, KITTENS FROM THE ASPCA ADOPTION CENTER APPEARED ON THE SET OF GOOD MORNING AMERICA TO PROMOTE THE MEOW FOR NOW CAMPAIGN.

4c

(Code:) (Expenses \$ 36,583,186 including grants of \$) (Revenue \$)

SEE SCHEDULE OPOLICY, RESPONSE AND ENGAGEMENT PROGRAMS (PRE) PRE INCLUDES THE BEHAVIORAL REHABILITATION CENTER IN WEAVERVILLE, NORTH CAROLINA; THE CRUELTY RECOVERY CENTER; NATIONAL FIELD RESPONSE; LEGAL ADVOCACY; HUMANE LAW ENFORCEMENT IN NEW YORK, WHICH INCLUDES THE ASPCA-NEW YORK CITY POLICE DEPARTMENT (NYPD) PARTNERSHIP AND COMMUNITY ENGAGEMENT IN NYC, LOS ANGELES AND MIAMI; EQUINE WELFARE; FARM ANIMAL WELFARE; AND NATIONAL, STATE, AND LOCAL LEGISLATIVE INITIATIVES, AS WELL AS ANIMAL BEHAVIOR, AND ANIMAL FORENSIC ACTIVITIES. IN 2019, THE ASPCA BEHAVIORAL REHABILITATION CENTER (BRC) CONTINUED TO REHABILITATE EXTREMELY FEARFUL, UNDERSOCIALIZED DOGS, MOST FROM CRUELTY CASE BACKGROUNDS. THE TEAM REDUCES DOGS' FEAR OF PEOPLE AND ACCLIMATES THEM TO REAL-LIFE SITUATIONS, PREPARING THEM FOR LIVES IN LOVING HOMES, USING SCIENTIFICALLY SOUND TECHNIQUES. THE BRC RECORDED THE 100TH GRADUATE FROM THE PROGRAM THIS YEAR. THE BRC ALSO COLLABORATES WITH OTHER ANIMAL WELFARE ORGANIZATIONS THROUGH THE LEARNING LAB-A PARTNER PROGRAM DESIGNED TO ELEVATE ANIMAL WELFARE NATIONALLY BY CONNECTING EXPERTS ACROSS THE COUNTRY WHO SHARE BEHAVIOR BEST PRACTICES AND INNOVATIVE TECHNIQUES. SIX NEW PARTNER ANIMAL WELFARE GROUPS ATTENDED THE LEARNING LAB CORE RETREAT, BRINGING THE TOTAL NUMBER TO 10 PARTNERS NATIONWIDE. TWO PARTNERS ATTENDED THE ASPCA'S LEARNING LAB SPECIALIST RETREAT TO LEARN HOW TO CONDUCT THE BRC'S COMPREHENSIVE PROGRAM FOR THE REHABILITATION OF EXTREMELY FEARFUL DOGS. THE ORGANIZATION ALSO LAUNCHED A RESOURCE LIBRARY FOR ALL LEARNING LAB PARTNERS, HOSTED MULTI-ORGANIZATION, LIVE DISCUSSION GROUPS ON A RANGE OF BEHAVIOR TOPICS, AND COLLABORATED WITH PARTNERS ON JOINT PRESENTATIONS AT TWO ANIMAL WELFARE CONFERENCES-ALL IN EFFORT TO PROMOTE CONTINUED LEARNING. THE BRC TEAM ALSO CREATED AND LAUNCHED THE "EVERYONE IS ON THE BEHAVIOR TEAM" EARNING COURSE SERIES TO INCREASE THE CAPABILITY OF STAFF AND VOLUNTEERS AT ANY SHELTER TO SAFELY SUPPORT ANIMALS' BEHAVIORAL HEALTH, THEREBY IMPROVING QUALITY OF LIFE AND ADOPTABILITY. IN 2019, THE ASPCA BEGAN HIRING A DEDICATED TEAM OF SHELTERING, VETERINARY AND ANIMAL BEHAVIOR STAFF AT OUR NEW CRUELTY RECOVERY CENTER (CRC), WHICH SERVES ANIMALS RESCUED IN ASPCA NATIONAL CRUELTY CASES AND DISASTER RESPONSES. ALONG WITH HIRING, FINDING A NEW FACILITY TO HOUSE THE RESCUED ANIMALS BECAME A TOP PRIORITY. THIS FACILITY WILL ALLOW THE ORGANIZATION TO EXPAND BEHAVIORAL AND MEDICAL SERVICES, WHILE PROVIDING OPPORTUNITIES FOR ANIMALS TO HEAL AFTER RESCUE. THE ANTI-CRUELTY BEHAVIOR TEAM (ACBT), WHICH OVERSAW THE BEHAVIORAL HEALTH OF ANIMALS RESCUED BY THE ASPCA FROM CRUELTY AND NATURAL DISASTERS, SUCCESSFULLY REHABILITATED OVER 70% OF FEARFUL, UNDERSOCIALIZED DOGS, THEREBY FREEING UP SPACE AT THE BEHAVIORAL REHABILITATION CENTER FOR DOGS NEEDING MORE INTENSIVE TREATMENTS. ACBT CONTINUED THEIR GROUND-BREAKING WORK ON DOCUMENTING EVIDENCE OF PSYCHOLOGICAL TRAUMA TO SUPPORT CRUELTY CASES. AS PART OF THE RESTRUCTURE, ACBT TRANSITIONED INTO THE BEHAVIORAL SCIENCES TEAM (BST) TO WORK CLOSELY WITH BEHAVIOR TEAMS ACROSS THE ORGANIZATION, TO ELEVATE THE ORGANIZATION'S SHELTER BEHAVIOR PROGRAMS BY ESTABLISHING BEST PRACTICES AND PROCESSES WITHIN A HOLISTIC MODEL OF PHYSICAL AND PSYCHOLOGICAL HEALTH CARE. BST WILL LEAD COLLABORATIONS ACROSS THE ORGANIZATION TO ADVANCE THE ANIMAL WELFARE FIELD BY DEVELOPING EFFECTIVE AND EFFICIENT INTERVENTIONS FOR COMMON BEHAVIOR CONDITIONS AND SHARING INNOVATIONS WITH OTHER ORGANIZATIONS NATIONWIDE. IN 2019, FORENSIC SCIENCES WORKED WITH THE ASPCA'S STRATEGY & RESEARCH TEAM TO DEVELOP AND REFINE A THREE-YEAR RESEARCH PLAN THAT WILL ALLOW FOR STRATEGIC SELECTION OF RESEARCH THAT ALIGNS THE NEEDS OF THE ORGANIZATION WITH THE NEEDS OF THE PROFESSION TO MOVE THE WORK FORWARD. THE PROJECT SUCCESS HAS LED TO THE DEVELOPMENT OF A THREE-TO-FIVE-YEAR PLAN FOR FORENSIC SCIENCES STARTING IN 2020, ALLOWING FORENSICS TO PRIORITIZE THEIR WORK ACROSS THE LANDSCAPE OF THE ORGANIZATION TO INCREASE IMPACT. RESEARCH INCLUDES EXAMINING COMMON INJURY PATTERNS AND OFFENDER CHARACTERISTICS IN CASES INVOLVING DOMESTIC VIOLENCE, AND ANALYZING SCAR AND WOUND PATTERNS ON PIT BULL-TYPE DOGS TO HELP RECOGNIZE INJURIES ASSOCIATED WITH DOGFIGHTING. IN 2019, IT WAS THE FIRST TIME THE ORGANIZATION OFFERED VETERINARY INTERNS A FORMAL ROTATION IN FORENSIC SCIENCES; IN JUNE, THE ASPCA ANIMAL HOSPITAL IN NYC WELCOMED SEVEN NEW VETERINARY INTERNS. WHILE INTERNS HAVE ALWAYS BEEN INVOLVED IN THE MEDICAL CARE OF ANIMAL CRUELTY VICTIMS, THE ASPCA CAPITALIZED ON THE OPPORTUNITY TO PROVIDE SPECIALIZED TRAINING IN VETERINARY FORENSIC MEDICINE AND HOSTED INTERNS FOR APPROXIMATELY 29 WEEKS OF THE YEAR. IN ADDITION TO SUPERVISED EXAMINATIONS AND NECROPSIES, THEY ALSO GAINED EXPERIENCE BY WRITING VETERINARY REPORTS AND OBSERVING EXPERT WITNESS TESTIMONY PREPARATION WITH ASPCA AND NYC PROSECUTORS. IN 2019, THE ASPCA HELD THREE TRAININGS FOR VETERINARY TECHNICIANS ACROSS FLORIDA. IN 2019, THE ASPCA DEPLOYED TO SEVEN OPERATIONS ACROSS SIX STATES. THESE ENCOMPASSED MULTIPLE DISASTER RESPONSES, INCLUDING A HURRICANE, WILDFIRE, AND FLOOD-ALL WHILE THE ORGANIZATION CONTINUED TO OPERATE ITS EMERGENCY SHELTER FOR ANIMALS RESCUED FROM CRUELTY SITUATIONS. NEARLY 4,000 ANIMALS WERE ASSISTED VIA DEPLOYMENTS, INVESTIGATIVE CASE SUPPORT AND CONSULTATIONS. IN ADDITION TO DIRECT ANIMAL WORK, THE ASPCA SPENT A LARGE PORTION OF THE YEAR UNDERGOING SIGNIFICANT RESTRUCTURING TO MORE EFFICIENTLY SERVE ANIMALS IN NEED ACROSS THE COUNTRY. FORMERLY KNOWN AS FIELD INVESTIGATIONS AND RESPONSE, THE RENAMED NATIONAL FIELD RESPONSE (NFR) TEAM CONTINUES THE WORK OF REMOVING ANIMALS FROM CRISIS SITUATIONS CREATED BY NATURAL DISASTERS AND INCIDENTS OF ANIMAL FIGHTING, PUPPY MILLS, HOARDING OR NEGLECT. AS NFR'S FOCUS SHIFTED TO OPERATIONAL PLANNING, LOGISTICS AND FIELD RESPONSE, THE MEDICAL AND SHELTERING FUNCTIONS FORMERLY IN FIR SHIFTED OVER TO THE NEWLY CREATED CRUELTY RECOVERY CENTER (CRC). THROUGH LEGAL ADVOCACY (LA), THE ASPCA CONTINUED ITS WORK TO INCREASE LEGAL PROTECTIONS FOR ANIMALS THROUGH CIVIL LITIGATION, LEGISLATIVE COUNSEL AND INVESTIGATIVE AND LEGAL ASSISTANCE TO LAW ENFORCEMENT AND PROSECUTORS IN ANIMAL CRUELTY CASES. IN 2019, THE ORGANIZATION WORKED TOWARD REDUCING LEGAL HOLD TIMES FOR SEIZED ANIMALS IN NEW YORK CITY. THE ORGANIZATION FILED 27 PETITIONS IN NYC UNDER THE STATE'S SECURITY POSTING LAW WITH THE GOAL OF REDUCING THE LENGTH OF TIME THAT ANIMALS SEIZED IN NYPD CASES MUST BE HELD BEFORE THEY CAN LEGALLY BE MADE AVAILABLE FOR ADOPTION. OVERALL, EFFORTS REDUCED HOLD TIMES FOR ANIMALS SEIZED IN NYC CRIMINAL CASES BY 26% COMPARED TO 2018. THE LA TEAM ALSO ASSISTED IN NATIONAL CRIMINAL CASES BY PROVIDING SUBSTANTIAL LEGAL ASSISTANCE IN 18 LARGE-SCALE CRIMINAL CASES ACROSS THE COUNTRY. THIS WORK HELPED LEAD TO NUMEROUS GUILTY VERDICTS IN BOTH STATE AND FEDERAL ANIMAL-FIGHTING AND CRUELTY CASES. THE ASPCA HUMANE LAW ENFORCEMENT (HLE) DEPARTMENT CONTINUES TO WORK CLOSELY WITH THE NEW YORK CITY POLICE DEPARTMENT SINCE THE PARTNERSHIP BEGAN IN 2014. COMBINED EFFORTS HAVE PROVEN EFFECTIVE AND IMPACTFUL VIA LAW ENFORCEMENT AND/OR INTERVENTION: IN 2019, THE NYPD MANAGED MORE THAN 7,200 CALLS TO 311 REGARDING ANIMAL CRUELTY, MORE THAN 1,000 CALLS TO THE ORGANIZATION'S 24-HOUR HUMANE LAW ENFORCEMENT HOTLINE, 81 CASES REFERRED TO THE COMMUNITY ENGAGEMENT TEAM THAT RESULTED IN MORE THAN 451 ANIMALS ASSISTED. IN OCTOBER 2019, THE ASPCA ASSISTED THE NYPD'S ANIMAL CRUELTY INVESTIGATION SQUAD IN THE EXECUTION OF SEARCH WARRANTS CONNECTED TO A LARGE-SCALE

DOGFIGHTING OPERATION. TOGETHER, THE ORGANIZATIONS STRATEGICALLY DEVELOPED A PLAN FOR THE RESCUE OF 29 DOGS ACROSS THREE LOCATIONS: TWO RESIDENTIAL BUILDINGS IN MANHATTAN AND A PRIVATE HOME IN UNIONDALE, LONG ISLAND. THE ASPCA ALSO CONDUCTED 69 TRAININGS TO 2,795 NYPD PERSONNEL AND ATTENDED PRECINCT COMMUNITY COUNCIL MEETINGS, COMMUNITY BOARD MEETINGS AND DISTRICT CABINET MEETINGS THROUGHOUT THE FIVE BOROUGHES. IN 2019, LAW ENFORCEMENT LIAISONS SECURED A SPOT FOR THE ASPCA AS PART OF THE NYPD CITIZENS POLICE ACADEMY. THE 10-WEEK PROGRAM REPLICATES AND CONDENSES THE NYPD RECRUIT TRAINING REGIMEN, ALLOWING CIVILIAN NEW YORKERS TO GAIN A FINER UNDERSTANDING OF THE SKILLS AND ABILITIES THAT POLICE OFFICERS BRING TO A COMMUNITY PARTNERSHIP. MORE THAN 140 DOGS WERE TREATED IN THE ASPCA GLORIA GURNEY CANINE ANNEX FOR RECOVERY AND ENRICHMENT (CARE).

(Code:) (Expenses \$ 15,867,869 including grants of \$ 14,439,720) (Revenue \$)

OTHER PROGRAMS GRANTS - SINCE ESTABLISHING A FORMAL GRANTMAKING PROGRAM IN 2008, THE ASPCA HAS AWARDED \$147.8 MILLION TO SUPPORT U.S.-BASED NONPROFIT ORGANIZATIONS, GOVERNMENT AGENCIES, VETERINARIANS AND VETERINARY STUDENTS HELPING TO IMPROVE ANIMALS' LIVES. IN 2019, THE ASPCA AWARDED 585 GRANTS TOTALING OVER \$14.5 MILLION TO 448 ORGANIZATIONS IN 45 STATES, PUERTO RICO, THE VIRGIN ISLANDS AND THE DISTRICT OF COLUMBIA. GRANT HIGHLIGHTS FROM 2019 INCLUDE: - \$1.9 MILLION TO LOS ANGELES PARTNERS AS PART OF THE ASPCA'S MULTI-YEAR COMMITMENT TO PROVIDE CRITICAL SERVICES TO LA'S ANIMALS- \$1.9 MILLION IN SPENDING TO IMPROVE THE WELFARE OF NYC ANIMALS- \$0.5 MILLION TOWARD EFFORTS BENEFITING COMPANION ANIMAL VICTIMS OF CRUELTY AND IMPROVING FARM ANIMAL WELFARE NATIONWIDE- \$1.5 MILLION GRANTED VIA THE ASPCA NORTHERN TIER SHELTER INITIATIVE- \$0.7 MILLION SUPPORTING THE PROTECTION OF AT-RISK EQUINES ACROSS THE U.S.

4d	Other program services (Describe in Schedule O.)	(Expenses \$	15,867,869	including grants of \$	14,439,720) (Revenue \$)
4e	Total program service expenses		192,847,157				

Part IV Checklist of Required Schedules

		Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	Yes	
2	Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I		No
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II	Yes	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III		No
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I		No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III		No
9	Did the organization report an amount in Part X, line 21 for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV		No
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi endowments? If "Yes," complete Schedule D, Part V	Yes	
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
11a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI	Yes	
11b	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	Yes	
11c	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII		No
11d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX	Yes	
11e	Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	Yes	
11f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)?	Yes	
12a	Did the organization obtain a separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII		No
12b	Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	Yes	
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E		No
14a	Did the organization maintain an office, employees, or agents outside of the United States?	Yes	
14b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV	Yes	
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV		No
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV		No
17	Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions)	Yes	
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	Yes	
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III		No
20a	Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H		No
20b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		
21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	Yes	

Part IV Checklist of Required Schedules (continued)

		Yes	No
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>		No
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i>		No
24b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
24c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
24d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>		No
25b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>		No
26	Did the organization report any amount on Part X, line 5 or 22 for receivables from or payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons?		No
27	Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>		No
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
28a	A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? <i>If "Yes," complete Schedule L, Part IV</i>		No
28b	A family member of any individual described in line 28a? <i>If "Yes," complete Schedule L, Part IV</i>		No
28c	A 35% controlled entity of one or more individuals and/or organizations described in lines 28a or 28b? <i>If "Yes," complete Schedule L, Part IV</i>		No
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions?		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3?		No
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>	Yes	
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	Yes	
35b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	Yes	
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O.	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable		
1b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable		
1c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?		

Part V Statements Regarding Other IRS Filings and Tax Compliance (continued)

Main form area containing questions 2a through 16, with various input fields and checkboxes. Includes a table for Form 990 in lieu of Form 1041? and Form 4720, Schedule O.

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions. Check if Schedule O contains a response or note to any line in this Part VI

Section A. Governing Body and Management

Table with 3 columns: Question, Yes, No. Rows include: 1a Enter the number of voting members... 1b Enter the number of voting members... 2 Did any officer, director, trustee... 3 Did the organization delegate control... 4 Did the organization make any significant changes... 5 Did the organization become aware... 6 Did the organization have members... 7a Did the organization have members... 7b Are any governance decisions... 8 Did the organization contemporaneously document... 8a The governing body? 8b Each committee... 9 Is there any officer, director, trustee...

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

Table with 3 columns: Question, Yes, No. Rows include: 10a Did the organization have local chapters... 10b If "Yes," did the organization have written policies... 11a Has the organization provided a complete copy... 11b Describe in Schedule O the process... 12a Did the organization have a written conflict of interest policy... 12b Were officers, directors, or trustees... 12c Did the organization regularly and consistently monitor... 13 Did the organization have a written whistleblower policy... 14 Did the organization have a written document retention... 15 Did the process for determining compensation... 15a The organization's CEO... 15b Other officers or key employees... 16a Did the organization invest in, contribute assets to... 16b If "Yes," did the organization follow a written policy...

Section C. Disclosure

Table with 2 columns: Question, Answer. Row 17: List the states with which a copy of this Form 990 is required to be filed. Row 18: Section 6104 requires an organization to make its Form 1023... Row 19: Describe in Schedule O whether (and if so, how) the organization made its governing documents... Row 20: State the name, address, and telephone number of the person who possesses the organization's books and records.

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

See instructions for the order in which to list the persons above.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(1) FREDERICK TANNE CHAIRPERSON	1.00 0.00	X		X				0	0	0
(2) SALLY SPOONER VICE CHAIRPERSON	1.00 0.00	X		X				0	0	0
(3) CATHY WALLACH SECRETARY UNTIL 6/13/19	1.00 0.00	X		X				0	0	0
(4) LINDA LLOYD LAMBERT SECRETARY AS OF 6/13/19	1.00 0.00	X		X				0	0	0
(5) JANE W PARVER TREASURER	1.00 0.00	X		X				0	0	0
(6) ARRIANA BOARDMAN DIRECTOR	1.00 0.00	X						0	0	0
(7) C ALLEN PARKER DIRECTOR	1.00 0.00	X						0	0	0
(8) JEFFREY A PFEIFLE DIRECTOR	1.00 0.00	X						0	0	0
(9) MARTIN PURIS DIRECTOR	1.00 0.00	X						0	0	0
(10) SCOTT THIEL DIRECTOR	1.00 0.00	X						0	0	0
(11) MARY JO WHITE DIRECTOR	1.00 0.00	X						0	0	0
(12) TIM F WRAY DIRECTOR	3.00 0.00	X						0	0	0
(13) MATTHEW BERSHADKER PRESIDENT & CHIEF EXECUTIVE OFFICER	65.00 2.00	X		X				762,996	0	80,543
(14) BILL LEE SVP & CHIEF FINANCIAL OFFICER	50.00 0.00			X				304,218	0	46,176
(15) TODD HENDRICKS SVP, DEVELOPMENT	50.00 0.00				X			372,174	0	76,451
(16) ELIZABETH ESTROFF SVP, COMMUNICATIONS	50.00 0.00				X			362,207	0	79,253
(17) SARAH LEVIN GOODSTINE SVP, OPERATIONS & STRATEGY	50.00 5.00				X			397,859	0	62,194

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(18) STACY WOLF SVP, POLICY, RESPONSE & ENGAGEMENT	50.00 0.00				X		310,829	0	50,429	
(19) BERT TROUGHTON SVP, SHELTER & VETERINARY SERVICES	50.00 2.00				X		319,223	0	65,500	
(20) BEVERLY JONES SVP, CHIEF LEGAL OFFICER	50.00 0.00				X		311,560	0	74,094	
(21) CHERYL BUCCI SVP, PEOPLE & AS OF 11/1 OPERATIONS	50.00 0.00				X		239,029	0	59,168	
(22) J'MAI GAYLE DIRECTOR, SURGERY	50.00 0.00					X	278,329	0	51,260	
(23) STEVE MUSSO EVP, CAPITAL PROJECTS & FACILITIES PLANNING MANAGE	50.00 0.00					X	260,242	0	40,003	
(24) NANCY PERRY SVP, GOVERNMENT RELATIONS	50.00 0.00					X	263,471	0	46,218	
(25) CAMILLE DECLEMENTI VICE PRESIDENT, ASPCA ANIMAL HOSPITAL	50.00 0.00					X	237,852	0	35,122	
(26) LUKE FRANKLIN VP, MEMBERSHIP	50.00 0.00					X	194,097	0	52,038	
1b Sub-Total										
c Total from continuation sheets to Part VII, Section A										
d Total (add lines 1b and 1c)							4,614,086	0	818,449	

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **▶ 172**

	Yes	No
3 Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>		No
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	Yes	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
EAGLE-COM INC 110 EGLINGTON AVENUE EAST STE 604 TORONTO, ONTARIO, M4P 1E4 CA	MEDIA BROADCAST	22,695,575
LAUGHLIN CONSTABLE INC 207 E MICHIGAN ST MILWAUKEE, WI 53202	DIGITAL MEDIA	8,762,394
APPCO GROUP US INC 315 WEST 36TH STREET 10TH FLOOR NEW YORK, NY 10018	STREET CANVASS/CONSULTING	7,253,053
ASCENTA GROUP INC 315 WEST 36TH STREET 10TH FLOOR NEW YORK, NY 10018	DIRECT MARKETING	6,813,163
FORUM SERVICES GROUP INC 260 MADISON AVENUE NEW YORK, NY 10016	STAFFING & CONSULTING SERVICES	4,549,154

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **▶ 167**

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

		(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512 - 514
Contributions, Gifts, Grants and Other Similar Amounts	1a Federated campaigns	1a			
	b Membership dues	1b			
	c Fundraising events	1c	1,282,511		
	d Related organizations	1d			
	e Government grants (contributions)	1e			
	f All other contributions, gifts, grants, and similar amounts not included above	1f	252,902,842		
	g Noncash contributions included in lines 1a - 1f:\$	1g	5,948,571		
h Total. Add lines 1a-1f		254,185,353			

Program Service Revenue			Business Code			
	2a ANIMAL POISON CONTROL CENTER FEES		900000	11,077,395	11,077,395	
b MOBILE CLINIC VET. & CLINIC REVEN		900000	1,784,101	1,784,101		
c ANIMAL HOSPITAL FEES		900000	912,399	912,399		
d ADOPTION CENTER FEES		900000	300,747	300,747		
e						
f All other program service revenue.						
g Total. Add lines 2a-2f.			14,074,642			

Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)		5,846,069			5,846,069	
	4 Income from investment of tax-exempt bond proceeds						
	5 Royalties		2,430,219			2,430,219	
	6a Gross rents	(i) Real					
		(ii) Personal					
		6b Less: rental expenses					
		6c Rental income or (loss)					
	d Net rental income or (loss)						
	7a Gross amount from sales of assets other than inventory	(i) Securities	19,180,854				
		(ii) Other					
		7b Less: cost or other basis and sales expenses	16,448,378				
		7c Gain or (loss)	2,732,476				
	d Net gain or (loss)		2,732,476			2,732,476	
	8a Gross income from fundraising events (not including \$ 1,282,511 of contributions reported on line 1c). See Part IV, line 18						
		8a	719,142				
8b Less: direct expenses		589,935					
c Net income or (loss) from fundraising events		129,207			129,207		
9a Gross income from gaming activities. See Part IV, line 19							
	9a						
	9b Less: direct expenses						
c Net income or (loss) from gaming activities							

10a Gross sales of inventory, less returns and allowances . . .	10a				
b Less: cost of goods sold	10b				
c Net income or (loss) from sales of inventory . . .					
Miscellaneous Revenue	Business Code				
11a LIST SALES	900099	254,737			254,737
b LOSS FROM K-1 ACTIVITY	900099	-603,729		-603,729	
c					
d All other revenue					
e Total. Add lines 11a-11d ▶		-348,992			
12 Total revenue. See instructions ▶		279,048,974	14,074,642	-603,729	11,392,708

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).
 Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	14,439,720	14,439,720		
2 Grants and other assistance to domestic individuals. See Part IV, line 22				
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16.				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	3,973,904	3,420,121	239,463	314,320
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	71,656,096	61,648,660	4,399,924	5,607,512
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	3,821,500	3,288,956	230,279	302,265
9 Other employee benefits	14,237,610	12,253,527	857,944	1,126,139
10 Payroll taxes	5,581,547	4,803,730	336,339	441,478
11 Fees for services (non-employees):				
a Management	526,883	384,548	90,686	51,649
b Legal	1,226,567	854,625	241,303	130,639
c Accounting	463,557		463,557	
d Lobbying	422,700	422,700		
e Professional fundraising services. See Part IV, line 17	7,469,024			7,469,024
f Investment management fees	675,456		675,456	
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	21,768,404	21,197,442	137,356	433,606
12 Advertising and promotion	39,868,020	19,894,441	21	19,973,558
13 Office expenses	17,617,711	11,470,502	222,508	5,924,701
14 Information technology	12,331,894	5,831,245	604,488	5,896,161
15 Royalties				
16 Occupancy	5,763,985	5,431,987	324,925	7,073
17 Travel	1,092,051	1,090,665	1,137	249
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	6,361,127	5,946,584	122,099	292,444
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	4,932,932	4,687,456	228,617	16,859
23 Insurance	1,576,836	1,484,838	84,883	7,115
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a VETERINARY & MEDICAL SE	9,214,816	9,214,503	278	35
b OPERATING SUPPLIES	2,919,224	2,827,254	56,444	35,526
c REPAIRS AND MAINTENANCE	1,437,524	1,365,385	70,482	1,657
d MISCELLANEOUS EXPENSES	1,249,348	888,268	292,531	68,549
e All other expenses				
25 Total functional expenses. Add lines 1 through 24e	250,628,436	192,847,157	9,680,720	48,100,559
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input checked="" type="checkbox"/> if following SOP 98-2 (ASC 958-720).	84,241,627	44,631,955	39,477,936	131,736

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part IX

		(A)		(B)
		Beginning of year		End of year
Assets	1 Cash-non-interest-bearing	17,339,051	1	21,449,443
	2 Savings and temporary cash investments	4,443,069	2	27,107,238
	3 Pledges and grants receivable, net	19,972,783	3	14,098,940
	4 Accounts receivable, net	6,406,943	4	7,234,837
	5 Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons		5	
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B)		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges	3,207,364	9	3,452,748
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 105,779,803		
	b Less: accumulated depreciation	10b 48,121,277	54,320,942	10c 57,658,526
	11 Investments—publicly traded securities	126,769,641	11	146,391,767
	12 Investments—other securities. See Part IV, line 11	62,286,198	12	69,277,172
	13 Investments—program-related. See Part IV, line 11		13	
	14 Intangible assets		14	
	15 Other assets. See Part IV, line 11	18,384,845	15	46,458,940
16 Total assets: Add lines 1 through 15 (must equal line 34)	313,130,836	16	393,129,611	
Liabilities	17 Accounts payable and accrued expenses	13,588,385	17	16,312,604
	18 Grants payable	3,991,906	18	1,535,699
	19 Deferred revenue		19	
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17 - 24). Complete Part X of Schedule D	12,657,844	25	35,274,099
	26 Total liabilities. Add lines 17 through 25	30,238,135	26	53,122,402
Net Assets or Fund Balances	Organizations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 27, 28, 32, and 33.			
	27 Net assets without donor restrictions	213,283,348	27	264,507,765
	28 Net assets with donor restrictions	69,609,353	28	75,499,444
	Organizations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 29 through 33.			
	29 Capital stock or trust principal, or current funds		29	
	30 Paid-in or capital surplus, or land, building or equipment fund		30	
	31 Retained earnings, endowment, accumulated income, or other funds		31	
	32 Total net assets or fund balances	282,892,701	32	340,007,209
	33 Total liabilities and net assets/fund balances	313,130,836	33	393,129,611

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	279,048,974
2	Total expenses (must equal Part IX, column (A), line 25)	2	250,628,436
3	Revenue less expenses. Subtract line 2 from line 1	3	28,420,538
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	282,892,701
5	Net unrealized gains (losses) on investments	5	24,931,380
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	3,762,590
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (A))	10	340,007,209

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII

		Yes	No
1	Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		No
2b	Were the organization's financial statements audited by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	Yes	
2c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	Yes	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		No
3b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.		

Additional Data

Return to Form

Software ID:

Software Version:

Form 990, Special Condition Description:

Special Condition Description

SCHEDULE A
(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
 Attach to Form 990 or Form 990-EZ.
 Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization
THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Employer identification number
13-1623829

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1 A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2 A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E (Form 990 or 990-EZ).)
- 3 A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4 A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state:
- 5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6 A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8 A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9 An agricultural research organization described in **170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land grant college of agriculture. See instructions. Enter the name, city, and state of the college or university:
- 10 An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 11 An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 12 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**. Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
 - a **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
 - b **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
 - c **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
 - d **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
 - e Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
 - f Enter the number of supported organizations _____
 - g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization failed to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Table with 7 columns: (a) 2015, (b) 2016, (c) 2017, (d) 2018, (e) 2019, (f) Total. Rows include: 1 Gifts, grants, contributions, and membership fees received; 2 Tax revenues levied for the organization's benefit; 3 The value of services or facilities furnished by a governmental unit; 4 Total; 5 Excess contributions; 6 Public support.

Section B. Total Support

Table with 7 columns: (a) 2015, (b) 2016, (c) 2017, (d) 2018, (e) 2019, (f) Total. Rows include: 7 Amounts from line 4; 8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources; 9 Net income from unrelated business activities; 10 Other income; 11 Total support; 12 Gross receipts from related activities, etc.

13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here

Section C. Computation of Public Support Percentage

Table with 2 columns: Line number, Percentage. Rows: 14 Public support percentage for 2019 (97.210%), 15 Public support percentage for 2018 (97.070%)

- 16a 33 1/3% support test—2019. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here.
b 33 1/3% support test—2018. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here.
17a 10%-facts-and-circumstances test—2019. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here.
b 10%-facts-and-circumstances test—2018. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here.
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions.

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year.						
c Add lines 7a and 7b. .						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
9 Amounts from line 6.						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975.						
c Add lines 10a and 10b.						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on.						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here. <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2019 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2018 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2019 (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from 2018 Schedule A, Part III, line 17	18	
19a 33 1/3% support tests—2019. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
b 33 1/3% support tests—2018. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions <input type="checkbox"/>		

Part IV Supporting Organizations

(Complete only if you checked a box on line 12 of Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

		Yes	No
1	Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.		
2	Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).		
3a	Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.		
b	Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in Part VI when and how the organization made the determination.		
c	Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.		
4a	Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes" and if you checked 12a or 12b in Part I, answer (b) and (c) below.		
b	Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.		
c	Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.		
5a	Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI , including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).		
b	Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
c	Substitutions only. Was the substitution the result of an event beyond the organization's control?		
6	Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI .		
7	Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).		
8	Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).		
9a	Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI .		
b	Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in Part VI .		
c	Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI .		
10a	Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer line 10b below.		
b	Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings).		

Part IV Supporting Organizations (continued)

		Yes	No
11	Has the organization accepted a gift or contribution from any of the following persons?		
a	A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?		
b	A family member of a person described in (a) above?		
c	A 35% controlled entity of a person described in (a) or (b) above? <i>If "Yes" to a, b, or c, provide detail in Part VI.</i>		

Section B. Type I Supporting Organizations

		Yes	No
1	Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? <i>If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.</i>		
2	Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? <i>If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.</i>		

Section C. Type II Supporting Organizations

		Yes	No
1	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? <i>If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).</i>		

Section D. All Type III Supporting Organizations

		Yes	No
1	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
2	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? <i>If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).</i>		
3	By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? <i>If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.</i>		

Section E. Type III Functionally-Integrated Supporting Organizations

1	Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions):		
a	<input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.		
b	<input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.		
c	<input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions)		
2	Activities Test. Answer (a) and (b) below.		
a	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? <i>If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.</i>		
b	Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? <i>If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.</i>		
3	Parent of Supported Organizations. Answer (a) and (b) below.		
a	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? <i>Provide details in Part VI.</i>		
b	Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? <i>If "Yes," describe in Part VI the role played by the organization in this regard.</i>		

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

- 1** Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI). **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income

(A) Prior Year

(B) Current Year
(optional)

1 Net short-term capital gain	1		
2 Recoveries of prior-year distributions	2		
3 Other gross income (see instructions)	3		
4 Add lines 1 through 3	4		
5 Depreciation and depletion	5		
6 Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6		
7 Other expenses (see instructions)	7		
8 Adjusted Net Income (subtract lines 5, 6 and 7 from line 4)	8		

Section B - Minimum Asset Amount

(A) Prior Year

(B) Current Year
(optional)

1 Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):	1		
a Average monthly value of securities	1a		
b Average monthly cash balances	1b		
c Fair market value of other non-exempt-use assets	1c		
d Total (add lines 1a, 1b, and 1c)	1d		
e Discount claimed for blockage or other factors (explain in detail in Part VI):			
2 Acquisition indebtedness applicable to non-exempt use assets	2		
3 Subtract line 2 from line 1d	3		
4 Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions).	4		
5 Net value of non-exempt-use assets (subtract line 4 from line 3)	5		
6 Multiply line 5 by .035	6		
7 Recoveries of prior-year distributions	7		
8 Minimum Asset Amount (add line 7 to line 6)	8		

Section C - Distributable Amount

Current Year

1 Adjusted net income for prior year (from Section A, line 8, Column A)	1		
2 Enter 85% of line 1	2		
3 Minimum asset amount for prior year (from Section B, line 8, Column A)	3		
4 Enter greater of line 2 or line 3	4		
5 Income tax imposed in prior year	5		
6 Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6		

- 7** Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

(continued)

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI). See instructions	
7 Total annual distributions. Add lines 1 through 6.	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions	
9 Distributable amount for 2019 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2019	(iii) Distributable Amount for 2019
1 Distributable amount for 2019 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2019 (reasonable cause required-- explain in Part VI). See instructions.			
3 Excess distributions carryover, if any, to 2019:			
a From 2014.			
b From 2015.			
c From 2016.			
d From 2017.			
e From 2018.			
f Total of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2019 distributable amount			
i Carryover from 2014 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
4 Distributions for 2019 from Section D, line 7: \$			
a Applied to underdistributions of prior years			
b Applied to 2019 distributable amount			
c Remainder. Subtract lines 4a and 4b from 4.			
5 Remaining underdistributions for years prior to 2019, if any. Subtract lines 3g and 4a from line 2. If the amount is greater than zero, explain in Part VI . See instructions.			
6 Remaining underdistributions for 2019. Subtract lines 3h and 4b from line 1. If the amount is greater than zero, explain in Part VI . See instructions.			
7 Excess distributions carryover to 2020. Add lines 3j and 4c.			
8 Breakdown of line 7:			
a Excess from 2015.			
b Excess from 2016.			
c Excess from 2017.			
d Excess from 2018.			
e Excess from 2019.			

Part VI Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Return Reference	Explanation
SCHEDULE A, PART II, LINE 10, EXPLANATION OF OTHER INCOME:	LIST RENTALS - 2015 AMOUNT: \$ 368,475. 2016 AMOUNT: \$ 253,763. 2017 AMOUNT: \$ 311,653. 2018 AMOUNT: \$ 341,899. 2019 AMOUNT: \$ 254,737. MISCELLANEOUS - 2015 AMOUNT: \$ 27,766.

Additional Data

Return to Form

Software ID:

Software Version:

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Schedule of Contributors

OMB No. 1545-0047

Attach to Form 990, 990-EZ, or 990-PF. Go to www.irs.gov/Form990 for the latest information.

2019

Name of the organization THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Employer identification number 13-1623829

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

- 501(c)() (enter number) organization
4947(a)(1) nonexempt charitable trust not treated as a private foundation
527 political organization

Form 990-PF

- 501(c)(3) exempt private foundation
4947(a)(1) nonexempt charitable trust treated as a private foundation
501(c)(3) taxable private foundation

Check if your organization is covered by the General Rule or a Special Rule.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions exclusively for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Don't complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions totaling \$5,000 or more during the year \$

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
THE AMERICAN SOCIETY FOR THE PREVENTION
OF CRUELTY TO ANIMALS

Employer identification number
13-1623829

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
RESTRICTED		\$ RESTRICTED	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)

Name of organization
 THE AMERICAN SOCIETY FOR THE PREVENTION
 OF CRUELTY TO ANIMALS

Employer identification number
 13-1623829

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____

Name of organization THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS	Employer identification number 13-1623829
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	

Additional Data

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Software ID:

Software Version:

SCHEDULE C (Form 990 or 990-EZ)

Political Campaign and Lobbying Activities

OMB No. 1545-0047

2019

Open to Public Inspection

For Organizations Exempt From Income Tax Under section 501(c) and section 527

Complete if the organization is described below. Attach to Form 990 or Form 990-EZ. Go to www.irs.gov/Form990 for instructions and the latest information.

Department of the Treasury Internal Revenue Service

If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of the organization THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Employer identification number

13-1623829

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV (see instructions for definition of "political campaign activities")
2 Political campaign activity expenditures (see instructions)
3 Volunteer hours for political campaign activities (see instructions)

Part I-B Complete if the organization is exempt under section 501(c)(3).

- 1 Enter the amount of any excise tax incurred by the organization under section 4955
2 Enter the amount of any excise tax incurred by organization managers under section 4955
3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year?
4a Was a correction made?
b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities
2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities
3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b
4 Did the filing organization file Form 1120-POL for this year?
5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments.

Table with 5 columns: (a) Name, (b) Address, (c) EIN, (d) Amount paid from filing organization's funds, (e) Amount of political contributions received and promptly and directly delivered to a separate political organization.

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A** Check if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).
- B** Check if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	(a) Filing organization's totals	(b) Affiliated group totals												
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)														
b Total lobbying expenditures to influence a legislative body (direct lobbying)														
c Total lobbying expenditures (add lines 1a and 1b)														
d Other exempt purpose expenditures														
e Total exempt purpose expenditures (add lines 1c and 1d)														
f Lobbying nontaxable amount. Enter the amount from the following table in both columns.														
<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:35%;">If the amount on line 1e, column (a) or (b) is:</th> <th style="width:65%;">The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e.</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000.</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000.</td> </tr> </tbody> </table>	If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e.	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.	Over \$17,000,000	\$1,000,000.		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:													
Not over \$500,000	20% of the amount on line 1e.													
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.													
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.													
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.													
Over \$17,000,000	\$1,000,000.													
g Grassroots nontaxable amount (enter 25% of line 1f)														
h Subtract line 1g from line 1a. If zero or less, enter -0-														
i Subtract line 1f from line 1c. If zero or less, enter -0-														
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No													

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) Total
2a Lobbying nontaxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots nontaxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.

		(a)		(b)
		Yes	No	Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a	Volunteers?	Yes		
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?	Yes		
c	Media advertisements?	Yes		13,939
d	Mailings to members, legislators, or the public?	Yes		38,320
e	Publications, or published or broadcast statements?	Yes		243
f	Grants to other organizations for lobbying purposes?	Yes		15,603
g	Direct contact with legislators, their staffs, government officials, or a legislative body?	Yes		634,273
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?	Yes		63,140
i	Other activities?	Yes		200,757
j	Total. Add lines 1c through 1i			966,275
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		No	
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

		Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?		
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?		
3	Did the organization agree to carry over lobbying and political expenditures from the prior year?		

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues .	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1. Also, complete this part for any additional information.

Return Reference	Explanation
PART II-B, LINE 1:	GENERAL - THE ASPCA'S MISSION TO PREVENT CRUELTY TO ANIMALS IS PRIMARILY ADVANCED THROUGH A SERIES OF SIGNIFICANT DIRECT CARE PROGRAMS. THE ASPCA'S NATIONAL RELOCATION PROGRAM TO SAVE LIVES OF AT-RISK HOMELESS ANIMALS; PARTNERSHIPS WITH COMMUNITIES TO INCENTIVIZE MORE LIVE RELEASE AND RESCUE FOR HOMELESS ANIMALS; PROFESSIONAL DEVELOPMENT FOR SHELTERS AND RESCUE ORGANIZATIONS; A BEHAVIORAL REHABILITATION CENTER TO REHABILITATE UNDER SOCIALIZED, FEARFUL DOGS FROM PUPPY MILLS, HOARDING AND OTHER CRUELTY CASES; A COLLABORATION WITH THE NEW YORK CITY POLICE DEPARTMENT; THE ASPCA'S COMMUNITY ENGAGEMENT PROGRAM TO ADDRESS THE ROOT CAUSES OF SUFFERING IN HOARDING CASES; THE ASPCA ANIMAL HOSPITAL; AND SPAY/NEUTER OPERATIONS AND ADOPTION CENTER IN NEW YORK CITY ARE ALL LABORATORIES FOR UNDERSTANDING THE MYRIAD PROBLEMS ANIMALS FACE AND INFORM THEIR WORK TO ADVANCE POLICIES THAT WILL PREVENT CRUELTY IN THE FUTURE. THE LESSONS THE ASPCA TAKES FROM THESE PROGRAMS ENABLE THEM TO BRING EXPERT VOICES AND INFORMED OPINIONS TO THEIR WORK FOR LAWS TO DETER CRUEL TREATMENT OF ANIMALS. 1A. VOLUNTEERS: THE ASPCA WORKS WITH VOLUNTEERS HOLDING CITIZEN TRAINING WORKSHOPS IN LOCAL COMMUNITIES, PROVIDING OPPORTUNITIES FOR THEM TO JOIN THEIR STAFF AT THE STATE AND FEDERAL CAPITOLS TO PROMOTE OR OPPOSE LEGISLATION THROUGH MEETINGS WITH LEGISLATORS AND THEIR AIDES. THE ASPCA EMPLOYS TRAINING TOOLS SUCH AS WEBINARS AND CONFERENCES. 1B. PAID STAFF OR MANAGEMENT: ASPCA MANAGEMENT AND STAFF STRATEGIZE AND COORDINATE THEIR PUBLIC POLICY EFFORTS AIMED AT ENHANCING OUR ABILITY TO PERFORM DIRECT CARE WORK AND TO HELP PREVENT CRUELTY. THEY CULTIVATE AND EXPAND CONTACTS WITHIN GOVERNMENT BODIES, INCLUDING LEGISLATURES AND REGULATORY AGENCIES, AND WORK WITH OTHER NATIONAL AND LOCAL ORGANIZATIONS TO PROMOTE HUMANE POLICIES. 1C. MEDIA ADVERTISEMENTS: PERIODICALLY, THE ASPCA BUYS ADVERTISEMENT SPACE IN PUBLIC POLICY-FOCUSED NEWSPAPERS (E.G., ROLL CALL, THE HILL) THAT MEMBERS OF CONGRESS AND THEIR STAFFS REGULARLY READ. THE ADVERTISEMENTS ARE STRATEGICALLY SCHEDULED (OFTEN AHEAD OF A CRUCIAL COMMITTEE VOTE OR FLOOR VOTE) TO MAXIMIZE EXPOSURE AND TIMELINESS TO THEIR ISSUES. THE ASPCA ALSO BUYS STRATEGICALLY TARGETED ADVERTISING SPACE ON SOCIAL MEDIA (E.G., FACEBOOK) FOR THE SAME PURPOSE. 1D. MAILINGS TO MEMBERS, LEGISLATORS, OR THE PUBLIC: THE ASPCA COMMUNICATES WITH THEIR MEMBERS, UNPAID VOLUNTEERS, LEGISLATORS AND THE PUBLIC THROUGH MAILINGS, EMAIL, AND ELECTRONIC ALERTS TO UPDATE AND INFORM AS WELL AS TO ENCOURAGE THEIR PARTICIPATION IN POSITIVE OUTCOMES FOR ANIMALS. THE ASPCA EMPLOYS TRADITIONAL AND SOCIAL MEDIA TOOLS TO INFORM THE PUBLIC OF LEGISLATION, REGULATIONS, AND OTHER

POLICIES THAT PROMOTE ANIMAL WELFARE OR THAT ARE HOSTILE TO IT AND TO PROVIDE THEM WITH SUPPORT AND TOOLS FOR POLICY CHANGE. 1.E PUBLICATIONS, OR PUBLISHED OR BROADCAST STATEMENTS: ASPCA STAFF CONDUCTS INTERVIEWS WITH AND PROVIDES BACKGROUND TO MEDIA ORGANIZATIONS TO INFORM THE PUBLIC OF ITS LIFESAVING PROGRAMS AND LEGISLATION, REGULATIONS, OR POLICY DECISIONS CONCERNING ANIMAL WELFARE. 1F. GRANTS TO OTHER ORGANIZATIONS FOR LOBBYING PURPOSES: THE ASPCA PROVIDES GRANTS TO ORGANIZATIONS TO PROMOTE ANIMAL WELFARE INCLUDING THOSE WORKING TO FURTHER ANIMAL PROTECTION EFFORTS IN LOCAL AND STATE LEGISLATURES AND CONGRESS AS WELL AS IN REGULATIONS AT ALL LEVELS. 1G. DIRECT CONTACT WITH LEGISLATORS, THEIR STAFF, GOVERNMENT OFFICIALS, OR A LEGISLATIVE BODY: THE ASPCA PROMOTES ANTI-CRUELTY LEGISLATION THROUGH DIRECT CONTACTS WITH FEDERAL AND STATE LEGISLATORS, THEIR STAFF, GOVERNMENT OFFICIALS AT ALL LEVELS, AND LOCAL LEGISLATURES. THE ASPCA'S STAFF, UNPAID VOLUNTEERS, AND CONSULTANTS WORK TO INFLUENCE LEGISLATION TO HELP ANIMALS THROUGH SUCH CONTACTS. 1H. RALLIES, DEMONSTRATIONS, SEMINARS, CONVENTIONS, SPEECHES, LECTURES, OR ANY OTHER MEANS: THE ASPCA HOLDS VOICES FOR ANIMALS DAYS, LOBBY DAYS, LEADERSHIP TRAINING SUMMITS, CITIZEN LOBBYING WORKSHOPS, INCLUDING SPEECHES AND SEMINARS, AND GIVES PRESENTATIONS AND SPEECHES TO ENCOURAGE PUBLIC AWARENESS OF HUMANE LEGISLATION AND TO PROMOTE ACTION INFLUENCING POSITIVE OUTCOMES FOR ANIMAL WELFARE POLICY. 1I. OTHER ACTIVITIES: THE ASPCA WORKS CLOSELY WITH OTHER NATIONAL, STATE, AND LOCAL SHELTERS AND ANIMAL WELFARE ORGANIZATIONS AS WELL AS OTHER INDUSTRY OR NON-PROFIT ORGANIZATIONS WITH COMMON INTERESTS TO ALIGN PUBLIC POLICIES WITH BEST PRACTICES FOR ANIMAL WELFARE AND TO ENSURE THAT LAW ENFORCEMENT, FIELD WORK, DISASTER RELIEF, ANTI-CRUELTY EFFORTS, AND SHELTERING OPERATIONS ARE ABLE TO BEST PROTECT ANIMALS. THE ASPCA EMPLOYS PROFESSIONAL CONSULTANTS TO SUPPORT AND INFORM THEIR LOBBYING EFFORTS AND TO CONDUCT COALITION WORK, INTERNAL COORDINATION AND GRASSROOTS NETWORKING AND CULTIVATION FOR HUMANE PUBLIC POLICY ADVANCEMENT.

Additional Data

Return to Form

Software ID:

Software Version:

SCHEDULE D
(Form 990)

Supplemental Financial Statements

OMB No. 1545-0047

2019

Open to Public Inspection

▶ **Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.**
▶ **Attach to Form 990.**

▶ **Go to www.irs.gov/Form990 for instructions and the latest information.**

Department of the Treasury
Internal Revenue Service

Name of the organization
THE AMERICAN SOCIETY FOR THE PREVENTION
OF CRUELTY TO ANIMALS

Employer identification number

13-1623829

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.
Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate value of contributions to (during year)		
3 Aggregate value of grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? <input type="checkbox"/> Yes <input type="checkbox"/> No		
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? <input type="checkbox"/> Yes <input type="checkbox"/> No		

Part II Conservation Easements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).
 Preservation of land for public use (e.g., recreation or education) Preservation of an historically important land area
 Protection of natural habitat Preservation of a certified historic structure
 Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 7/25/06, and not on a historic structure listed in the National Register	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? Yes No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year
▶ _____

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year
▶ \$ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? Yes No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.
Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenue included on Form 990, Part VIII, line 1 ▶ \$ _____

(ii) Assets included in Form 990, Part X ▶ \$ _____

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenue included on Form 990, Part VIII, line 1 ▶ \$ _____

b Assets included in Form 990, Part X ▶ \$ _____

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

- 3** Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):
- a** Public exhibition
 - b** Scholarly research
 - c** Preservation for future generations
 - d** Loan or exchange programs
 - e** Other
- 4** Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.
- 5** During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? . . . **Yes** **No**

Part IV Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a** Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? **Yes** **No**
- b** If "Yes," explain the arrangement in Part XIII and complete the following table:
- | | Amount |
|--|-----------|
| c Beginning balance | 1c |
| d Additions during the year | 1d |
| e Distributions during the year | 1e |
| f Ending balance | 1f |
- 2a** Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? **Yes** **No**
- b** If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

Part V Endowment Funds.

Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	10,238,118	65,998,068	57,060,223	52,413,558	54,180,975
b Contributions		15,663	601,555		51,514
c Net investment earnings, gains, and losses	1,008,406	-3,129,099	8,336,290	4,646,665	-1,818,931
d Grants or scholarships					
e Other expenditures for facilities and programs		52,646,514			
f Administrative expenses					
g End of year balance	11,246,524	10,238,118	65,998,068	57,060,223	52,413,558

- 2** Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:
- a** Board designated or quasi-endowment ▶ 0 %
 - b** Permanent endowment ▶ 67.000 %
 - c** Temporarily restricted endowment ▶ 33.000 %
- The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i)** unrelated organizations
- (ii)** related organizations

	Yes	No
3a(i)		No
3a(ii)		No
3b		

- b** If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R?
- 4** Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		5,321,057		5,321,057
b Buildings		62,241,458	25,156,348	37,085,110
c Leasehold improvements		7,421,550	3,510,679	3,910,871
d Equipment		16,313,267	13,807,704	2,505,563
e Other		14,482,471	5,646,546	8,835,925
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).) . . . ▶				57,658,526

Part VII Investments—Other Securities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other _____		
(A) EQUITY LONG	21,275,969	F
(B) GLOBAL ASSET ALLOCATION	8,527,126	F
(C) FUND OF FUNDS - PRIVATE EQUITY	920,930	F
(D) FUND OF FUNDS - CAPITAL	7,635,997	F
(E) PRIVATE EQUITY	21,494,939	F
(F) EMERGING MARKETS	9,422,211	F
(G)		
(H)		
(I)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 12.)	69,277,172	

Part VIII Investments—Program Related.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		
Total. (Column (b) must equal Form 990, Part X, col.(B) line 13.)		

Part IX Other Assets.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1) PERPETUAL TRUSTS	21,490,449
(2) REMAINDER TRUSTS	686,825
(3) RIGHT-OF-USE ASSETS	24,281,666
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
Total. (Column (b) must equal Form 990, Part X, col.(B) line 15.)	46,458,940

Part X Other Liabilities.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col.(B) line 25.)	35,274,099

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements		1	303,996,460
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:			
a	Net unrealized gains (losses) on investments	2a	24,931,380	
b	Donated services and use of facilities	2b	691,562	
c	Recoveries of prior year grants	2c		
d	Other (Describe in Part XIII.)	2d	-675,456	
e	Add lines 2a through 2d	2e	24,947,486	
3	Subtract line 2e from line 1	3	279,048,974	
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1 :			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b		
c	Add lines 4a and 4b	4c		0
5	Total revenue. Add lines 3 and 4c . (This must equal Form 990, Part I, line 12.)	5	279,048,974	

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements		1	250,644,542
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:			
a	Donated services and use of facilities	2a	691,562	
b	Prior year adjustments	2b		
c	Other losses	2c		
d	Other (Describe in Part XIII.)	2d		
e	Add lines 2a through 2d	2e	691,562	
3	Subtract line 2e from line 1	3	249,952,980	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1 :			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	675,456	
b	Other (Describe in Part XIII.)	4b		
c	Add lines 4a and 4b	4c	675,456	
5	Total expenses. Add lines 3 and 4c . (This must equal Form 990, Part I, line 18.)	5	250,628,436	

Part XIII Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
PART V, LINE 4:	THE ASPCA MAINTAINS AN ENDOWMENT FOR THE PURPOSE OF GENERATING INCOME TO SUPPORT THE ORGANIZATION'S CHARITABLE MISSION. THE ORGANIZATION'S ENDOWMENT CONSISTS OF A PORTFOLIO OF ACTIVELY MANAGED FUNDS ESTABLISHED TO PROVIDE BOTH A SOURCE OF OPERATING FUNDS AS WELL AS LONG-TERM FINANCIAL STABILITY. THE ENDOWMENT'S PRINCIPAL IS INTENDED TO BE LEFT UNTOUCHED, WHILE THE INCOME GENERATED IS USED TO FUND ASPCA PROGRAMS. SOME OF THE ENDOWMENT FUNDS MAY HAVE PURPOSE RESTRICTIONS ON THE USE OF INCOME.
PART X, LINE 2:	THE ASPCA QUALIFIES AS A TAX-EXEMPT ORGANIZATION UNDER SECTION 501(C)(3) OF THE IRC AND CORRESPONDING PROVISIONS OF THE STATE LAW IN NEW YORK STATE AND IS NOT SUBJECT TO FEDERAL OR STATE INCOME TAXES. ACCORDINGLY, DONORS ARE ENTITLED TO A CHARITABLE CONTRIBUTION DEDUCTION AS DEFINED IN THE IRC. CONTINUED QUALIFICATION OF TAX-EXEMPT STATUS IS CONTINGENT UPON COMPLIANCE WITH THE REQUIREMENTS OF THE IRC. THE ASPCA RECOGNIZES THE EFFECTS OF INCOME TAX POSITIONS ONLY IF THOSE POSITIONS ARE MORE LIKELY THAN NOT OF BEING SUSTAINED. NO PROVISION FOR INCOME TAXES WAS REQUIRED FOR 2019 OR 2018.
PART XI, LINE 2D - OTHER ADJUSTMENTS:	INVESTMENT EXPENSES -675,456.

Additional Data

[**Return to Form**](#)

Software ID:

Software Version:

**SCHEDULE F
(Form 990)**

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.
▶ Attach to Form 990.
▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization
THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Employer identification number
13-1623829

Part I General Information on Activities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

- 1 For grantmakers.** Does the organization maintain records to substantiate the amount of its grants or other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- 2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

3 Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in the region	(d) Activities conducted in region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in the region	(f) Total expenditures for and investments in the region
(1) CENTRAL AMERICA AND THE CARIBBEAN - ANTIGUA & BARBUDA, ARUBA, BAHAMAS,	0	0	INVESTMENT		18,024,494
(2) EUROPE (INCLUDING ICELAND & GREENLAND) - ALBANIA, ANDORRA, AUSTRIA, BELGIUM	0	0	INVESTMENT		3,869,913
(3) NORTH AMERICA - CANADA	0	2	PROGRAM SERVICES	SHELTER OUTREACH & FARM PROGRAM	325,324
(4) SOUTH ASIA	0	0	DATA PROCESSING, ANALYTICS AND REPORTING		30,614
(5) EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	DATA PROCESSING, ANALYTICS AND REPORTING		14,300
(6)					
(7)					
(8)					
(9)					
(10)					
(11)					
(12)					
(13)					
(14)					
(15)					
(16)					
(17)					
3a Sub-total	0	2			22,264,645
b Total from continuation sheets to Part I	0	0			0
c Totals (add lines 3a and 3b)	0	2			22,264,645

Part II Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)									
(2)									
(3)									
(4)									
(5)									
(6)									
(7)									
(8)									
(9)									
(10)									
(11)									
(12)									
(13)									
(14)									
(15)									
(16)									

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter

3 Enter total number of other organizations or entities

Part III Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 16.

Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of noncash assistance	(g) Description of noncash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							

Part IV Foreign Forms

- 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* Yes No
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990)* Yes No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with Respect to Certain Foreign Corporations. (see Instructions for Form 5471)* Yes No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)* . Yes No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* Yes No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; don't file with Form 990).* Yes No

Additional Data

Software ID:

Software Version:

SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

**Supplemental Information Regarding
Fundraising or Gaming Activities**

Complete if the organization answered "Yes" on Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.

▶ Attach to Form 990 or Form 990-EZ.
▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization
THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Employer identification number
13-1623829

Part I Fundraising Activities. Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

- 1** Indicate whether the organization raised funds through any of the following activities. Check all that apply.
- a** Mail solicitations
 - b** Internet and email solicitations
 - c** Phone solicitations
 - d** In-person solicitations
 - e** Solicitation of non-government grants
 - f** Solicitation of government grants
 - g** Special fundraising events
- 2a** Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? Yes No
- b** If "Yes," list the 10 highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
1 ASCENTA GROUP (APPCO) 315 WEST 36TH STREET 10TH FLOOR NEW YORK, NY 10018	DIRECT MARKETING		No	11,099,110	14,334,690	-3,235,580
2 DONOR SERVICES GROUP LLC 1200 WILSHIRE BLVD 650 LOS ANGELES, C A 90017	DIRECT MARKETING		No	5,744,290	1,031,098	4,713,191
3 SD&A TELESERVICES INC 5757 WEST CENTURY BLVD SUITE 300 LOS ANGELES, C A 90045	DIRECT MARKETING		No	3,409,345	520,612	2,888,734
4 NEW CANVASSING EXPERIENCE INC 78 SAN MARCOS STREET AUSTIN, TX 78702	DIRECT MARKETING		No	2,915,910	3,308,474	-392,564
5 KNEWSALES GROUP INC 550 QUEEN STREET EAST SUITE 145 TORONTO, ONTARIO CA M5A 1V2	DIRECT MARKETING		No	975,867	1,046,891	-71,024
6						
7						
8						
9						
10						
Total				24,144,522	20,241,765	3,902,757

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

AL, AK, AZ, AR, CA, CO, CT, DC, DE, FL, GA, HI, ID, IL, IN, IA, KS, KY, LA, ME, MD, MA, MI, MN, MS, MO, MT, NE, NV, NH, NJ, NM, NY, NC, ND, OH, OK, OR, PA, RI, SC, SD, TN, TX, UT, VT, VA, WA, WV, WI, WY

Part II Fundraising Events. Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

	(a) Event #1	(b) Event #2	(c) Other events	(d) Total events
	BERGH BALL (event type)	HUMANE AWARD (event type)	1 (total number)	(add col. (a) through col. (c))
Revenue				
1 Gross receipts	1,103,956	517,669	380,028	2,001,653
2 Less: Contributions	677,836	224,647	380,028	1,282,511
3 Gross income (line 1 minus line 2)	426,120	293,022		719,142
Direct Expenses				
4 Cash prizes				
5 Noncash prizes				
6 Rent/facility costs		21,860		21,860
7 Food and beverages	91,619	47,726		139,345
8 Entertainment	31,188			31,188
9 Other direct expenses	60,717	155,039	181,786	397,542
10 Direct expense summary. Add lines 4 through 9 in column (d) ▶				589,935
11 Net income summary. Subtract line 10 from line 3, column (d) ▶				129,207

Part III Gaming. Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

	(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col.(a) through col.(c))
	Revenue			
1 Gross revenue				
Direct Expenses				
2 Cash prizes				
3 Noncash prizes				
4 Rent/facility costs				
5 Other direct expenses				
6 Volunteer labor	<input type="checkbox"/> Yes _____ % .. <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % .. <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % .. <input type="checkbox"/> No	
7 Direct expense summary. Add lines 2 through 5 in column (d) ▶				
8 Net gaming income summary. Subtract line 7 from line 1, column (d) ▶				

9 Enter the state(s) in which the organization conducts gaming activities: _____

a Is the organization licensed to conduct gaming activities in each of these states? Yes No

b If "No," explain: _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? Yes No

b If "Yes," explain: _____

11 Does the organization conduct gaming activities with nonmembers? Yes No

12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? Yes No

13 Indicate the percentage of gaming activity conducted in:

a	The organization's facility	13a	%
b	An outside facility	13b	%

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶ -----

Address ▶ -----

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? Yes No

b If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ _____ and the amount of gaming revenue retained by the third party ▶ \$ _____.

c If "Yes," enter name and address of the third party:

Name ▶ -----

Address ▶ -----

16 Gaming manager information:

Name ▶ -----

Gaming manager compensation ▶ \$ -----

Description of services provided ▶ -----

Director/officer Employee Independent contractor

17 Mandatory distributions:

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? Yes No

b Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ _____

Part IV Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information. See instructions.

Return Reference	Explanation
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Part III Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22.

Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of noncash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of noncash assistance
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					

Part IV Supplemental Information. Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

Return Reference	Explanation
PART I, LINE 2:	<p>ASPCA GRANTS PROVIDE SUPPORT TO A VARIETY OF U.S. BASED NON-PROFIT OR MUNICIPAL ANIMAL WELFARE ORGANIZATIONS THROUGH CASH GRANTS, SPONSORSHIPS, SCHOLARSHIPS AND TRAINING. THE ASPCA DOES NOT ACCEPT UNSOLICITED GRANT PROPOSALS BY MAIL, ELECTRONICALLY, OR IN ANY FORMAT OTHER THAN BY SUBMITTING AN APPLICATION THROUGH ITS WEBSITE. THE ASPCA CAREFULLY CONSIDERS A NUMBER OF FACTORS IN ITS GRANT REVIEW PROCESS. AMONG THOSE FACTORS IS AN ORGANIZATION'S ABILITY TO DEMONSTRATE ITS STABILITY, PROFESSIONALISM AND POSITIVE IMPACT ON THE LIVES OF AT RISK ANIMALS. ORGANIZATIONS THAT CAN DEMONSTRATE THE FOLLOWING QUALIFICATIONS IN THEIR APPLICATION ARE IN THE BEST POSITION TO RECEIVE FUNDING FROM THE ASPCA IN A TIMELY MANNER: - INNOVATIVE PROGRAMS THAT MAKE A SIGNIFICANT AND POSITIVE IMPACT ON THE LIVES OF ANIMALS AT RISK OF SUFFERING OR HOMELESSNESS - COLLABORATION WITH OTHER ANIMAL WELFARE ORGANIZATIONS - UP-TO-DATE AND ACCURATE WEBSITE INCLUDING REPORTS ON THE ORGANIZATION'S ACTIVITIES AND STATISTICS - ACTIVE FUNDRAISING EFFORTS - ACCESS TO OTHER SOURCES OF FUNDING THE ASPCA'S FUNDING PRIORITIES INCLUDE GRANTS FOR THE FOLLOWING PURPOSES: - ANTI-CRUELTY EFFORTS - EMERGENCY AND DISASTER RESPONSE AND PREPAREDNESS - EQUINE PROJECTS - FARM ANIMAL WELFARE - ANIMAL RELOCATION INITIATIVES - ANIMAL SHELTERING AND SPAY/NEUTER PROGRAMS - ANIMAL WELFARE SPONSORSHIPS AND SCHOLARSHIPS THE ASPCA CONDUCTS REGULAR REVIEW OF ITS GRANT APPLICANTS' NON-PROFIT STATUS OR STANDING IN THE COMMUNITY SERVED. GRANTEEES ARE REQUIRED TO REPORT BACK TO THE ASPCA WITH RESPECT TO THE USE AND IMPACT OF THE GRANT FUNDS PROVIDED.</p>

Additional Data

Return to Form

Software ID:
Software Version:

Schedule J (Form 990)

Compensation Information

OMB No. 1545-0047

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
Complete if the organization answered "Yes" on Form 990, Part IV, line 23.
Attach to Form 990.
Go to www.irs.gov/Form990 for instructions and the latest information.

2019

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Name of the organization THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Employer identification number

13-1623829

Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- First-class or charter travel
Travel for companions
Tax idemnification and gross-up payments
Discretionary spending account
Housing allowance or residence for personal use
Payments for business use of personal residence
Health or social club dues or initiation fees
Personal services (e.g., maid, chauffeur, chef)

b If any of the boxes on Line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked on Line 1a?

3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

- Compensation committee
Independent compensation consultant
Form 990 of other organizations
Written employment contract
Compensation survey or study
Approval by the board or compensation committee

4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a Receive a severance payment or change-of-control payment?
b Participate in, or receive payment from, a supplemental nonqualified retirement plan?
c Participate in, or receive payment from, an equity-based compensation arrangement?
If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.

5 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a The organization?
b Any related organization?
If "Yes," on line 5a or 5b, describe in Part III.

6 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a The organization?
b Any related organization?
If "Yes," on line 6a or 6b, describe in Part III.

7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described in lines 5 and 6? If "Yes," describe in Part III.

8 Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.

9 If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

Table with 3 columns: Question ID, Yes, No. Rows include 1a, 1b, 2, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, 8, 9.

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1 MATTHEW BERSHADKER PRESIDENT & CHIEF EXECUTIVE OFFICER	(i)	562,186	200,000	810	41,400	39,143	843,539	0
	(ii)	0	0	0	0	0	0	0
2 BILL LEE SVP & CHIEF FINANCIAL OFFICER	(i)	277,253	25,000	1,965	19,000	27,176	350,394	0
	(ii)	0	0	0	0	0	0	0
3 TODD HENDRICKS SVP, DEVELOPMENT	(i)	355,932	15,000	1,242	41,400	35,051	448,625	0
	(ii)	0	0	0	0	0	0	0
4 ELIZABETH ESTROFF SVP, COMMUNICATIONS	(i)	340,965	20,000	1,242	41,400	37,853	441,460	0
	(ii)	0	0	0	0	0	0	0
5 SARAH LEVIN GOODSTINE SVP, OPERATIONS & STRATEGY	(i)	368,319	29,000	540	22,400	39,794	460,053	0
	(ii)	0	0	0	0	0	0	0
6 STACY WOLF SVP, POLICY, RESPONSE & ENGAGEMENT	(i)	309,797	0	1,032	37,846	12,583	361,258	0
	(ii)	0	0	0	0	0	0	0
7 BERT TROUGHTON SVP, SHELTER & VETERINARY SERVICES	(i)	306,567	0	12,656	41,400	24,100	384,723	0
	(ii)	0	0	0	0	0	0	0
8 BEVERLY JONES SVP, CHIEF LEGAL OFFICER	(i)	311,020	0	540	41,400	32,694	385,654	0
	(ii)	0	0	0	0	0	0	0
9 CHERYL BUCCI SVP, PEOPLE & AS OF 11/1 OPERATIONS	(i)	226,797	0	12,232	18,630	40,538	298,197	0
	(ii)	0	0	0	0	0	0	0
10 J'MAI GAYLE DIRECTOR, SURGERY	(i)	277,519	0	810	11,200	40,060	329,589	0
	(ii)	0	0	0	0	0	0	0
11 STEVE MUSSO EVP, CAPITAL PROJECTS & FACILITIES P	(i)	256,678	0	3,564	21,025	18,978	300,245	0
	(ii)	0	0	0	0	0	0	0
12 NANCY PERRY SVP, GOVERNMENT RELATIONS	(i)	249,684	11,465	2,322	21,300	24,918	309,689	0
	(ii)	0	0	0	0	0	0	0
13 CAMILLE DECLEMENTI VICE PRESIDENT, ASPCA ANIMAL HOSPITA	(i)	237,317	0	535	19,440	15,682	272,974	0
	(ii)	0	0	0	0	0	0	0
14 LUKE FRANKLIN VP, MEMBERSHIP	(i)	193,680	0	417	17,423	34,615	246,135	0
	(ii)	0	0	0	0	0	0	0

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation
PART I, LINE 7	THE FOLLOWING EMPLOYEES RECEIVED DISCRETIONARY, NON-FIXED PAYMENTS THAT ARE REPORTED IN SCHEDULE J, PART II, COLUMN B(II). THE DISCRETIONARY NON-FIXED PAYMENTS ARE DETERMINED BASED ON THE PERFORMANCE EVALUATION PROCESS: MATTHEW BERSHADKER \$200,000 TODD HENDRICKS \$15,000 ELIZABETH ESTROFF \$20,000 SARAH LEVIN GOODSTINE \$29,000 BILL LEE \$25,000 NANCY PERRY \$11,465

Additional Data

Return to Form

Software ID:

Software Version:

SCHEDULE M
(Form 990)

Department of the Treasury
Internal Revenue Service

Noncash Contributions

OMB No. 1545-0047

2019

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▶ **Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.**
▶ **Attach to Form 990.**
▶ **Go to www.irs.gov/Form990 for the latest information.**

Name of the organization
THE AMERICAN SOCIETY FOR THE PREVENTION
OF CRUELTY TO ANIMALS

Employer identification number
13-1623829

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art				
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded	X	154	5,832,077	QUOTE PRICE
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests				
12 Securities—Miscellaneous				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other (GIFTS IN ▶ KIND)	X	9	116,494	COST
26 Other ▶ ()				
27 Other ▶ ()				
28 Other ▶ ()				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement **29** 0

	Yes	No
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period? b If "Yes," describe the arrangement in Part II.		No
31 Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions?	Yes	
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions? b If "Yes," describe in Part II.		No
33 If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.		

Part II **Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference	Explanation
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Additional Data

Return to Form

Software ID:

Software Version:

2019

Open to Public Inspection

SCHEDULE O
(Form 990 or 990-EZ)

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Go to www.irs.gov/Form990 for the latest information.

Department of the Treasury

Animal Care Organization
THE AMERICAN SOCIETY FOR THE PREVENTION
OF CRUELTY TO ANIMALS

Employer identification number

13-1623829

Return Reference	Explanation
FORM 990, PART III, LINE 4A, DESCRIPTION OF PROGRAM SERVICE - CONTINUED	OVERALL IN L.A. IN 2019, THE ASPCA DISTRIBUTED 55,000 POUNDS OF DOG AND CAT FOOD, ASSISTED MORE THAN 9,000 ANIMALS, CONDUCTED MORE THAN 20,000 SPAY/NEUTER SURGERIES AND SERVED 7,500 PPC APPOINTMENTS. IN OCTOBER 2019, THE ASPCA OPENED THE ASPCA COMMUNITY VETERINARY CENTER IN LIBERTY CITY, MIAMI, BUILT IN PARTNERSHIP WITH MIAMI-DADE ANIMAL SERVICES AND MIAMI-DADE COUNTY. THE CVC BRINGS AFFORDABLE AND ACCESSIBLE VETERINARY SERVICES TO PETS AND PET OWNERS IN MIAMI-DADE COUNTY, INCLUDING THE LIBERTY CITY AREA-A CRITICALLY UNDERSERVED COMMUNITY WITH LIMITED ACCESS TO VETERINARY CARE. THE CVC OFFERS PARTIALLY AND FULLY SUBSIDIZED BASIC AND PREVENTIVE CARE TO COMPANION ANIMALS, INCLUDING VACCINATIONS, SPAY/NEUTER SURGERIES, AND TREATMENT FOR INFECTIONS AND OTHER MINOR ISSUES. BETWEEN OCTOBER AND DECEMBER 2019, THE ORGANIZATION CARED FOR NEARLY 600 ANIMALS. THE ORGANIZATION ESTABLISHES STRATEGIC ALLIANCES WITH LOCAL SERVICE PROVIDERS IN THE MIAMI-DADE AREA TO REACH MORE PET OWNERS IN NEED. IN ADDITION TO JOINING SEVERAL COMMUNITY COALITIONS AND ATTENDING MONTHLY HOMEOWNERS ASSOCIATION AND CITY AND COUNTY MEETINGS IN LIBERTY CITY, THE ASPCA DEVELOPED A PARTNERSHIP WITH WALKING ONE STOP, AN ORGANIZATION THAT EMPOWERS LOCAL LEADERS WITH RESOURCES TO CONNECT COMMUNITY MEMBERS TO ASPCA SERVICES. IN 2019, THE ASPCA ESTABLISHED A PET FOOD PARTNERSHIP WITH FARM SHARE, A NON-PROFIT ORGANIZATION FOCUSED ON ALLEVIATING HUNGER BY DISTRIBUTING FOOD MONTHLY AT COMMUNITY EVENTS TO THOSE IN NEED THROUGHOUT FLORIDA. ASPCA STAFF PROVIDE PET FOOD AND CONNECT WITH PET OWNERS TO SHARE INFORMATION ABOUT ASPCA SERVICES IN THE AREA.
FORM 990, PART III, LINE 4B, DESCRIPTION OF PROGRAM SERVICE - CONTINUED	ASPCA ANIMAL POISON CONTROL CENTER'S TOP TOXINS LIST THE ASPCA'S ANIMAL POISON CONTROL CENTER RELEASED ITS TOP TOXINS FOR PETS LIST DURING NATIONAL POISON PREVENTION WEEK IN MARCH. NATIONAL MEDIA OUTLETS COVERING THE TOP TOXINS LISTED BY OVER-THE-COUNTER MEDICATIONS-INCLUDED PEOPLE MAGAZINE, GOOD HOUSEKEEPING, AND HOUSE BEAUTIFUL. MARIJUANA TOXICITY AS A LIKELY CONSEQUENCE OF THE LEGALIZATION OF CANNABIS PRODUCTS IN SEVERAL STATES, THE ASPCA POISON CONTROL CENTER REPORTED MORE THAN 1,800 CANNABIS-RELATED CALLS IN 2018. CALLS ABOUT PETS EXPERIENCING EFFECTS FROM ACCIDENTALLY EATING EDIBLE CANNABIS PRODUCTS OR THE CANNABIS PLANT ITSELF ROSE 765 PERCENT OVER THE PAST DECADE. NATIONAL MEDIA OUTLETS REPORTING ON NEW ASPCA MARIJUANA TOXICITY STATISTICS AND INCLUDING EXPERT INSIGHT INCLUDED ASSOCIATED PRESS, BUSINESS INSIDER, BUSTLE, AND MASHABLE. 500,000TH SPAY/NEUTER SURGERY IN JULY, VETERINARY PRACTICE NEWS CONGRATULATED THE ASPCA FOR COMPLETING ITS 500,000TH SPAY/NEUTER SURGERY IN NEW YORK CITY. THE ASPCA CELEBRATED 17 YEARS OF OFFERING FREE OR LOW-COST PROCEDURES TO UNDERSERVED PET OWNERS THROUGH ITS SPECIALIZED MOBILE UNITS AND TWO FULL-TIME SPAY/NEUTER CLINICS. CLEAR THE SHELTERS IN AUGUST, THE ASPCA PARTICIPATED IN CLEAR THE SHELTERS, A NATIONAL ADOPTION EVENT THAT FEATURED MORE THAN 2,000 PARTICIPATING SHELTERS AND WAS SPONSORED BY NBC AND TELEMUNDO. MEDIA OUTLETS COVERING THE ASPCA'S PARTICIPATION INCLUDED TODAY SHOW, GOTHAMIST, AMNY, AND SPECTRUM NEWS. HURRICANE DORIAN RESPONSE IN SEPTEMBER, MEDIA OUTLETS COVERED THE ASPCA'S PROACTIVE RESCUE AND SAFETY ADVOCACY EFFORTS AHEAD OF HURRICANE DORIAN, INCLUDING THE ASPCA'S DISASTER PREPAREDNESS TIPS. IN THOSE ARTICLES, THE ORGANIZATION ADVISED PET OWNERS TO INCLUDE THEIR PETS IN EVACUATION PLANS, TO MAKE SURE PETS ARE WEARING ID TAGS, AND TO CREATE A PORTABLE PET EMERGENCY KIT. MEDIA OUTLETS HIGHLIGHTING THE ASPCA'S PREPAREDNESS TIPS INCLUDED HEAVY, HIGHLANDS NEWS-SUN, AL DIA NEWS, AND WFTX. THE ASPCA PARTNERED WITH WINGS OF RESCUE AND THE RACHAEL RAY FOUNDATION TO TRANSPORT ANIMALS FROM SHELTERS AT RISK OF FLOODING BEFORE HURRICANE DORIAN MADE LANDFALL. TOGETHER, THE ORGANIZATIONS MOVED NEARLY 200 ANIMALS TO THE NORTHEAST WHERE THEY WERE MADE AVAILABLE FOR ADOPTION. THE ASPCA ADOPTION CENTER TOOK IN 20 CATS AND KITTENS FROM THE TRANSPORT. THE ASPCA'S INVOLVEMENT WITH PET EVACUATIONS WAS COVERED BY USA TODAY, ASSOCIATED PRESS, MOTHER NATURE NETWORK, NEW YORK DAILY NEWS, MASS LIVE, AND SAVANNAH NOW. SUBARU PARTNERSHIP IN 2019, SUBARU SHARE THE LOVE EVENT CELEBRATED A 12-YEAR PARTNERSHIP WITH THE ASPCA. THE TWO ORGANIZATIONS ALSO PROMOTED THE FIRST ANNUAL NATIONAL MAKE A DOG'S DAY, OBSERVED ON OCTOBER 22. THIS CAMPAIGN ENCOURAGED PEOPLE TO ADOPT SPECIAL NEEDS DOGS. THE ASPCA AND LOCAL SUBARU DEALERSHIPS ALSO JOINED FORCES DURING Q4 TO PROVIDE A VARIETY OF GRANTS TO LOCAL SHELTERS NATIONWIDE. THE GRANTS COVERED A VARIETY OF SERVICES, INCLUDING ADOPTION FEES, SPAY/NEUTER SERVICES, AND MICROCHIPPING. THE ASPCA AND SUBARU'S PARTNERSHIP WAS FEATURED ON GOOD MORNING AMERICA, THE TODAY SHOW, NEWSWEEK, KXTV AND DESERT SUN. CONTINUING COVERAGE OF ASPCA "KID OF THE YEAR" THE ASPCA'S 2018 KID OF THE YEAR, SEVEN-YEAR-OLD ROMAN MCCONN, WAS A GUEST ON ELLEN IN JANUARY. IN ADDITION TO FEATURING ROMAN'S WORK TO HELP ANIMALS GET ADOPTED, THE SHOW INCLUDED A VIDEO EXCERPT OF ROMAN'S SPEECH AT THE ASPCA HUMANE AWARDS LUNCHEON. OVER THE FIRST SIX MONTHS OF 2019, OTHER NATIONAL AND REGIONAL MEDIA OUTLETS COVERING ROMAN'S MISSION TO HELP VULNERABLE DOGS INCLUDED INSIDE EDITION, ABC NEWS, THE ATLANTA JOURNAL CONSTITUTION, THE TODAY SHOW, GOOD DAY NEW YORK AND ANIMAL PLANET'S DODO HEROES.
FORM 990, PART III, LINE 4C, DESCRIPTION OF PROGRAM SERVICE - CONTINUED	THE ASPCA SERVES LOCAL COMMUNITIES THROUGH COMMUNITY ENGAGEMENT PARTNERSHIPS IN NEW YORK CITY, LOS ANGELES, AND MIAMI. IN NEW YORK, THE CE TEAM PARTNERS WITH THE NYPD, ANIMAL CARE CENTERS OF NEW YORK CITY (NYC ACC), SOCIAL SERVICE AGENCIES, COMMUNITY LEADERS AND MEMBERS, AND FOOD BANK FOR NEW YORK CITY (FBNYC) TO IMPROVE THE WELFARE OF THE CITY'S ANIMALS. EFFORTS INCLUDE PROVIDING ACCESS TO VETERINARY CARE, SHELTER TO OUTDOOR DOGS, RESOURCES FOR DOMESTIC VIOLENCE SURVIVORS, IMPROVING CONDITIONS IN HOARDING SITUATIONS AND PROVIDING PET FOOD TO THOSE IN NEED. IN 2019, THE ORGANIZATION HAD A 12% INCREASE IN CASE REFERRALS AND DIRECTLY ASSISTED MORE THAN 3,000 ANIMALS AND THEIR FAMILIES. FBNYC DISTRIBUTED MORE THAN 103,000 POUNDS OF PET FOOD TO NEARLY 300 ORGANIZATIONS ACROSS THE FIVE BOROUGHS. THROUGH TWO "REPACK" DAYS, THE ORGANIZATION PACKAGED 4,000 POUNDS OF BULK PET FOOD INTO TWO-POUND BAGS. MORE THAN 400,000 MEALS WERE SERVED TO PETS WHO ARE LIVING WITH FOOD-INSECURE FAMILIES. IN 2019, THE ASPCA WORKED ON A NUMBER OF CAMPAIGNS AND HELPED PASS MANY LEGISLATIVE AND POLICY INITIATIVES THAT RESULTED IN

Return Reference	Explanation
	<p>STRONGER ANIMAL PROTECTIONS ACROSS THE COUNTRY. HIGHLIGHTS INCLUDE: THE PUPPY MILL INITIATIVE PROVIDES SUBJECT MATTER EXPERTISE FOR THE ASPCA'S LITIGATION, LEGISLATION AND POLICY WORK AIMED AT IMPROVING THE WELFARE OF COMMERCIAL BRED DOGS AND RAISES AWARENESS ABOUT INHUMANE BREEDING PRACTICES THROUGH PUBLIC EDUCATION CAMPAIGNS. IN EARLY 2019, THE ORGANIZATION FILED THE ASPCA'S SECOND FREEDOM OF INFORMATION ACT LAWSUIT AGAINST THE U.S. DEPARTMENT OF AGRICULTURE (USDA) FOR UNLAWFULLY WITHHOLDING INFORMATION FROM THE PUBLIC RELATING TO THE AGENCY'S INSPECTION OF COMMERCIAL DOG BREEDING FACILITIES. IN 2017, THE USDA ABRUPTLY PURGED TENS OF THOUSANDS OF SUCH RECORDS FROM ITS ONLINE DATABASE AND, FOR THE PAST THREE YEARS, CONTINUED TO BLOCK PUBLIC ACCESS. IN RESPONSE, THE ASPCA MOUNTED A COORDINATED EFFORT INVOLVING LITIGATION, LOBBYING AND PUBLIC ENGAGEMENT TO COMPEL THE AGENCY TO RESTORE THESE CRITICAL RECORDS. IN AUTUMN 2019, THE AGENCY BEGAN TO RELEASE SUBSTANTIAL AMOUNTS OF INFORMATION AS A RESULT OF THE LITIGATION. IN DECEMBER, CONGRESS PASSED A LAW DIRECTING THE USDA TO RESTORE ONLINE ACCESS TO ANIMAL WELFARE RECORDS AND TO POST COMPLETE INSPECTION REPORTS AND ENFORCEMENT RECORDS ON ITS WEBSITE MOVING FORWARD. EQUINE WELFARE: THE 2020 FEDERAL SPENDING BILL ALLOTTED AN ADDITIONAL \$21 MILLION OF FUNDING FOR WILD HORSES AND BURROS, WITH CLEAR LANGUAGE AIMED AT SHIFTING THE BUREAU OF LAND MANAGEMENT'S (BLM) WILD HORSE AND BURRO PROGRAM AWAY FROM TAKING HORSES OFF THE RANGE IN FAVOR OF MAXIMIZING ON-RANGE FERTILITY CONTROL TREATMENT AND HUMANE CARE OF HORSES. ADDITIONALLY, THE ASPCA HELPED REVERSE 2018 BLM POLICY GUIDELINES THAT MADE WILD HORSES VULNERABLE TO SALE FOR SLAUGHTER. THESE PROTECTIONS WILL HELP ENABLE HERDS TO LIVE WILD AND FREE FOR FUTURE GENERATIONS WITHOUT THREAT OF SALE TO SLAUGHTER OR MASS-KILLING MANAGEMENT STRATEGIES. THE ASPCA ALSO LED THE WORK TO CONTINUE THE LONGSTANDING BAN ON FEDERAL FUNDS FOR HORSE SLAUGHTER, WON NEW PROTECTIONS AGAINST SLAUGHTER OF WILD HORSES AND BURROS ON U.S. FOREST SERVICE LAND, AND HELPED PASS THE PREVENT ALL SORING TACTICS ACT IN THE U.S. HOUSE OF REPRESENTATIVES. THE ASPCA ALSO WORKS TO IMPROVE EQUINE WELFARE BY INCREASING ADOPTIONS AND TRANSITIONING OF HORSES, INCREASING SAFETY NET SUPPORT AND ENHANCING ANTI-CRUELTY EFFORTS. IN 2019, THE ASPCA WELCOMED THE RIGHT HORSE INITIATIVE, A COLLECTIVE FOCUSED ON MASSIVELY INCREASING HORSE ADOPTION, AS A PROGRAM OF THE ASPCA. BY LEVERAGING THE ENGAGEMENT OF MORE THAN 60 PARTNERS, THE RIGHT HORSE INITIATIVE HAS SEEN A MORE THAN 20% INCREASE IN EQUINE ADOPTIONS AMONG PARTNERS DUE TO INNOVATIVE PROGRAMMING, INCREASING PUBLIC AWARENESS, AND COLLABORATION AMONG WELFARE AND INDUSTRY GROUPS. THROUGH THE ASPCA'S 2019 HELP A HORSE HOME CONTEST, MORE THAN 1,500 EQUINES WERE ADOPTED. THE CONTEST BRINGS EXPOSURE AND AWARENESS TO EQUINE ADOPTION AND INCREASES OPPORTUNITIES FOR HORSES TO FIND NEW HOMES. WITH AN AVERAGE INCREASE IN ADOPTIONS OF OVER 40%, THE CONTEST WAS A RESOUNDING SUCCESS WITH OVER 170 PARTICIPATING PARTNERS. THE ASPCA REGIONAL SUPPORT CENTER IS A PILOT PROGRAM THAT PROVIDES A FREE, SAFE PLACE FOR HORSE OWNERS TO RELINQUISH HORSES WHO NEED REHOMING, SUPPORT FOR OWNERS WHO CANNOT AFFORD SOME ASPECT OF THEIR HORSE'S CARE AND RELATED SERVICES. IN JULY 2019, THE ASPCA OPENED THE SECOND REGIONAL SUPPORT CENTER PILOT IN OKLAHOMA CITY AND HAD HELPED NEARLY 80 EQUINES BY DECEMBER. IN ADDITION, THE ORGANIZATION EXPANDED ITS VET DIRECT PROGRAM AND PARTNERED WITH THE AMERICAN ASSOCIATION OF EQUINE PRACTITIONER'S FOUNDATION FOR THE HORSE. THROUGH THE NEW PARTNERSHIP AND AN INCREASED NUMBER OF VETERINARIAN PARTICIPANTS, THE PROGRAM WILL CONTINUE TO GROW AND PROVIDE AFFORDABLE VETERINARY CARE FOR OWNERS FACING FINANCIAL CHALLENGES. FARM ANIMAL WELFARE: THE ASPCA'S CONTINUED ITS FARM ANIMAL WELFARE EFFORTS TO ENSURE MORE HUMANE TREATMENT FOR THE NEARLY 10 BILLION FARMED ANIMALS RAISED EACH YEAR IN THE U.S. AND ADD CRITICAL TRANSPARENCY TO THE AGRICULTURE INDUSTRY. THE ORGANIZATION COLLABORATED WITH FOOD COMPANIES AND INSTITUTIONS TO SECURE COMMITMENTS TO BETTER PRACTICES, EXPANDED THEIR CONSUMER EDUCATION CAMPAIGN AROUND FOOD LABELS AND HIGHER-WELFARE FOOD CHOICES, AND ADVOCATED FOR A MORE HUMANE FARMING SYSTEM AT THE LOCAL, STATE AND FEDERAL LEVELS. IN 2019, THE ASPCA: - SECURED OVER 20 NEW CORPORATE COMMITMENTS TO BECOME WELFARE-CERTIFIED AND REACHED THE MAJOR MILESTONE OF OVER 100 WELFARE-CERTIFIED PRODUCTS ON THE ASPCA SHOP WITH YOUR HEART BRAND LIST. - LAUNCHED THE SHOPKIND HELPLINE, AN INTERACTIVE SERVICE THAT OFFERS IMMEDIATE TEXT MESSAGE ASSISTANCE AND CONNECTS THE PUBLIC WITH ASPCA EXPERTS WHO WILL ANSWER QUESTIONS ABOUT FOOD LABELS, HIGHER-WELFARE SHOPPING AND MORE. - PARTNERED WITH WHOLE30 TO INCORPORATE A PROGRESSIVE ANIMAL WELFARE POLICY FOR ALL OF ITS WHOLE30 APPROVED PRODUCTS, WHICH WILL ENSURE MEANINGFUL ANIMAL WELFARE STANDARDS FOR MORE THAN 60 BRANDS. - SUPPORTED CAMPAIGNS THAT LED THE AUSTIN INDEPENDENT SCHOOL DISTRICT, CINCINNATI PUBLIC SCHOOLS AND THE ENTIRE CITY OF BOSTON TO ADOPT THE GOOD FOOD PURCHASING PROGRAM. THIS FOOD PROCUREMENT PROGRAM INCLUDES ANIMAL WELFARE STANDARDS (DRAFTED WITH HELP FROM THE ASPCA) THAT REQUIRE MORE HUMANE FOOD SOURCING. - HELPED ENACT THE FIRST-OF-ITS-KIND LEGISLATION IN VERMONT THAT PERMITS FARM ANIMAL WELFARE CERTIFICATION PROJECTS TO BE FUNDED BY THE STATE. THIS LANDMARK LAW MEANS IMPROVED CONDITIONS FOR FARM ANIMALS, ACCESS TO NEW MARKETS FOR FARMERS AND BETTER OPTIONS FOR CONSCIENTIOUS SHOPPERS, WHICH WILL HOPEFULLY INSPIRE OTHER STATES TO FOLLOW SUIT. ENDING PET LEASING: TO MAKE ANIMALS SOLD IN PET STORES OR ONLINE APPEAR MORE AFFORDABLE, PET SELLERS OFTEN PARTNER WITH PRIVATE LENDING COMPANIES TO OFFER SEEMINGLY LOW MONTHLY FINANCING PLANS PADDED WITH HIDDEN FEES. CONSUMERS CAN LOSE THEIR PETS IF THEY MISS PAYMENTS OR ARE UNABLE TO BUY OUT THEIR CONTRACTS AT THE END OF THEIR LEASES. THE ASPCA WORKS AT THE STATE LEVEL TO END THIS PRACTICE, AND IN 2019, NEW JERSEY, CONNECTICUT, INDIANA AND WASHINGTON ALL ENACTED LEGISLATION TO OUTLAW THE LEASING OF DOGS AND CATS. WORKING TO KEEP PEOPLE AND PETS TOGETHER: IN NEVADA, THE ASPCA HELPED PASS TWO CRITICAL LAWS SUPPORTING PET-FRIENDLY HOUSING-ONE PROHIBITS HOMEOWNER ASSOCIATIONS FROM IMPLEMENTING "NO PET" POLICIES OR BREED RESTRICTIONS, AND THE SECOND REQUIRES STATE-FINANCED HOUSING TO BE PET-FRIENDLY. THE ORGANIZATION CONTINUED THIS CRITICAL WORK IN CALIFORNIA, WHERE BOTH THE CITY AND COUNTY OF LOS ANGELES PASSED SIMILAR MEASURES APPLYING TO ALL LOCALLY FINANCED HOUSING. THESE PROTECTIONS WILL ENSURE THAT PETS AND THEIR CARETAKERS ARE NOT SEPARATED DUE TO ARBITRARY RENTAL RESTRICTIONS. THE ASPCA'S ADVOCACY AT THE FEDERAL LEVEL RESULTED IN \$2 MILLION OF FUNDING TO IMPLEMENT THE LIFESAVING GRANT PROGRAM AUTHORIZED BY THE PASSAGE OF THE PET AND WOMEN SAFETY ACT, LEGISLATION TO KEEP DOMESTIC VIOLENCE SURVIVORS AND THEIR PETS SAFE AND TOGETHER. TO TACKLE UNFAIR AND DISCRIMINATORY "PIT BULL BAN" LAWS THAT HARM COMMUNITIES RATHER THAN HELP THEM, WE WORKED WITH LOCAL PARTNERS TO SUCCESSFULLY REPEAL BREED BANS IN LIBERTY, MISSOURI; KANSAS CITY, KANSAS; AND EVERETT, WASHINGTON.</p>

FORM 990,
PART VI,
SECTION A,
LINE 1

THE ASPCA HAS TWO CATEGORIES OF MEMBERS, "GOVERNING MEMBERS AND "MEMBERS", BUT ONLY GOVERNING MEMBERS HAVE VOTING RIGHTS. THE ASPCA'S "GOVERNING MEMBERS" CONSIST OF THOSE PERSONS WHO ARE CURRENTLY SERVING AS MEMBERS OF THE BOARD OF DIRECTORS. ONLY GOVERNING MEMBERS HAVE THE RIGHT TO ELECT THE MEMBERS OF THE BOARD OF DIRECTORS UNDER THE ASPCA'S BY-LAWS. THE ASPCA'S "MEMBERS" CONSIST

Return Reference	Explanation
	OF ONE OR MORE MEMBERSHIP CATEGORIES (E.G., CHAMPIONS, BENEFACTORS, SPONSORS, ASSOCIATES, FRIENDS, JUNIORS, ETC.) AS MAY BE ESTABLISHED FROM TIME TO TIME BY THE BOARD OF DIRECTORS. WITH THE EXCEPTION OF THOSE MEMBERS WHO ARE ALSO GOVERNING MEMBERS, NO "MEMBER" HAS THE RIGHT TO VOTE ON THE ELECTION OF DIRECTORS TO THE BOARD OF DIRECTORS. ANY CONTRIBUTOR OVER AGE 18 WHO MAKES A DONATION OF \$25 OR MORE TO THE ASPCA IS DEEMED A "MEMBER".
FORM 990, PART VI, SECTION B, LINE 11B	THE FORM 990 WAS PREPARED BY A NATIONALLY-RENOWNED ACCOUNTING FIRM IN CONJUNCTION WITH THE ORGANIZATION'S FINANCE DEPARTMENT. THE DRAFT OF THE FORM 990 IS REVIEWED BY SENIOR MANAGEMENT, LEGAL COUNSEL, AS WELL AS THE AUDIT COMMITTEE OF THE BOARD OF DIRECTORS. A COPY IS CIRCULATED TO THE FULL BOARD OF DIRECTORS PRIOR TO ITS FILING WITH THE INTERNAL REVENUE SERVICE.
FORM 990, PART VI, SECTION B, LINE 12C	ALL DIRECTORS, OFFICERS AND KEY EMPLOYEES COMPLETE A WRITTEN CONFLICT OF INTEREST QUESTIONNAIRE AND DECLARATION ANNUALLY. THE SECRETARY OF THE ASPCA PROVIDES COPIES OF ALL COMPLETED DISCLOSURE STATEMENTS TO THE CHAIR OF THE AUDIT COMMITTEE AND TO THE CHIEF LEGAL OFFICER. ANY POTENTIAL CONFLICTS ARE ADDED TO RECORDS MAINTAINED BY THE ASPCA'S LEGAL DEPARTMENT.
FORM 990, PART VI, SECTION B, LINE 15	THE AUDIT COMMITTEE OF THE ASPCA BOARD IS THE AUTHORIZED COMPENSATION-SETTING BODY THAT REVIEWS AND APPROVES THE COMPENSATION OF THE "DISQUALIFIED PERSONS" OF THE ASPCA. THE ASPCA ENGAGES AN INDEPENDENT COMPENSATION EXPERT TO CONDUCT A COMPENSATION STUDY TO ASSESS THE REASONABLENESS OF EACH "DISQUALIFIED PERSON'S" TOTAL COMPENSATION IN ACCORDANCE WITH THE REBUTTABLE PRESUMPTION "SAFE HARBOR" PROVISIONS OF SECTION 4958 OF THE INTERNAL REVENUE CODE. THE COMPENSATION EXPERT ASSESSES THE REASONABLENESS OF EACH PERSON'S TOTAL COMPENSATION BASED ON COMPARABILITY DATA FOR THE POSITIONS UNDER REVIEW AND PROVIDES SUCH DATA AND ANALYSIS TO THE AUDIT COMMITTEE FOR ITS REVIEW. THE COMPARABILITY DATA IS DRAWN FROM INDUSTRY SURVEYS AND DATA SOURCES FOR COMPARABLE POSITIONS IN ORGANIZATIONS OF SIMILAR SCOPE, OPERATING BUDGET, AND TYPE. WITH RESPECT TO "DISQUALIFIED PERSONS" OTHER THAN THE PRESIDENT & CEO, THE AUDIT COMMITTEE REVIEWS THE COMPENSATION EXPERT'S STUDY AND COMPARABILITY DATA AND THE PRESIDENT & CEO'S ANALYSIS OF EACH INDIVIDUAL'S PERFORMANCE, DELIBERATES, AND VOTES ON WHETHER TO APPROVE THE TOTAL COMPENSATION RECOMMENDATION PROPOSED BY THE PRESIDENT & CEO. THE PERSON WHOSE COMPENSATION IS UNDER REVIEW IS NOT PRESENT AND DOES NOT PARTICIPATE IN THE DELIBERATIONS, EXCEPT THAT SUCH PERSON MAY ANSWER QUESTIONS THAT WILL HELP THE COMMITTEE IN ITS DELIBERATIONS. WITH RESPECT TO THE PRESIDENT & CEO, THE AUDIT COMMITTEE REVIEWS THE COMPENSATION EXPERT'S STUDY AND COMPARABILITY DATA AND THE EXECUTIVE COMMITTEE'S ANALYSIS OF THE PRESIDENT & CEO'S PERFORMANCE, DELIBERATES, AND VOTES ON A RECOMMENDATION ON THE PRESIDENT & CEO'S TOTAL COMPENSATION (INCLUDING PERFORMANCE BONUS), WHICH RECOMMENDATION IT PROVIDES TO THE FULL BOARD OF DIRECTORS. THE FULL BOARD OF DIRECTORS ASSESSES THE AUDIT COMMITTEE'S RECOMMENDATIONS AND VOTES WHETHER TO APPROVE THE TOTAL COMPENSATION (INCLUDING PERFORMANCE BONUS) FOR THE PRESIDENT & CEO. THE PRESIDENT & CEO IS NOT PRESENT DURING EITHER THE AUDIT COMMITTEE'S REVIEW OF HIS COMPENSATION NOR THE FULL BOARD'S APPROVAL OF THE COMPENSATION AND DOES NOT PARTICIPATE IN THE DELIBERATIONS, EXCEPT THAT HE MAY ANSWER QUESTIONS THAT WILL HELP THE COMMITTEE OR THE FULL BOARD OF DIRECTORS IN THEIR DELIBERATIONS. FOR ALL "DISQUALIFIED PERSONS," THE AUDIT COMMITTEE DOCUMENTS THE BASIS FOR ITS DETERMINATIONS CONCURRENTLY WITH THE APPROVAL OF THE COMPENSATION BY DRAFTING MINUTES OF THE MEETING AT WHICH THE DETERMINATIONS WERE MADE. THE MINUTES INCLUDE THE FOLLOWING INFORMATION: 1. THE TERMS OF THE APPROVED COMPENSATION AND THE DATE APPROVED; 2. THE NAMES OF MEMBERS OF THE AUDIT COMMITTEE WHO WERE PRESENT DURING DISCUSSION OF THE COMPENSATION AND THOSE WHO VOTED ON IT; 3. THE COMPARABILITY DATA THAT WAS RELIED ON BY THE AUDIT COMMITTEE AND HOW SUCH DATA WAS OBTAINED; AND 4. ANY ACTIONS (SUCH AS RECUSAL) TAKEN BY A MEMBER OF THE AUDIT COMMITTEE HAVING A CONFLICT OF INTEREST. THE AUDIT COMMITTEE THEN APPROVES THE MINUTES WITHIN A REASONABLE PERIOD OF TIME AFTER ITS PREPARATION. SIMILARLY, THE BOARD DOCUMENTS THE BASIS FOR ITS DETERMINATION OF THE PRESIDENT & CEO'S COMPENSATION CONCURRENTLY WITH THE APPROVAL OF THE COMPENSATION BY DRAFTING MINUTES OF THE MEETING AT WHICH THE DETERMINATION WAS MADE.
FORM 990, PART VI, SECTION C, LINE 19	AUDITED FINANCIAL STATEMENTS, CONFLICT OF INTEREST POLICY, CERTIFICATE OF INCORPORATION AND BY-LAWS ARE MADE AVAILABLE TO THE PUBLIC UPON REQUEST AND THROUGH CHARITABLE REGISTRATION REQUIREMENTS IN OVER 40 STATES. THE ASPCA MAKES ITS FORM 990 AVAILABLE TO THE PUBLIC BY RETAINING A COPY AT ITS PLACE OF BUSINESS AND PLACING A COPY ON ITS WEBSITE. THE FORM 990 IS ALSO PUBLISHED ON THE INTERNET AT WWW.GUIDESTAR.ORG.
FORM 990, PART XI, LINE 9:	BENEFICIAL INTEREST IN TRUSTS HELD BY OTHERS 3,762,590.

Additional Data

Return to Form

Software ID:

Software Version:

**SCHEDULE R
(Form 990)**

Related Organizations and Unrelated Partnerships

OMB No. 1545-0047

2019

Open to Public Inspection

- ▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.
- ▶ Attach to Form 990.
- ▶ Go to www.irs.gov/Form990 for instructions and the latest information.

Department of the Treasury
Internal Revenue Service

Name of the organization
THE AMERICAN SOCIETY FOR THE PREVENTION
OF CRUELTY TO ANIMALS

Employer identification number

13-1623829

Part I Identification of Disregarded Entities. Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

Part II Identification of Related Tax-Exempt Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
(1) ASPCA VETERINARY SERVICES OF NORTH CAROLINA PC 25 HERITAGE DR ASHVILLE, NC 28806 47-3987701	VETERINARY SERVICES TO THE ASPCA IN NC	NC	501(C)(3)	LINE 7	ASPCA	Yes	

Part III Identification of Related Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	

Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of- year assets	(h) Percentage ownership	(i) Section 512(b) (13) controlled entity?	
								Yes	No

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

- a** Receipt of **(i)** interest, **(ii)** annuities, **(iii)** royalties, or **(iv)** rent from a controlled entity
- b** Gift, grant, or capital contribution to related organization(s)
- c** Gift, grant, or capital contribution from related organization(s)
- d** Loans or loan guarantees to or for related organization(s)
- e** Loans or loan guarantees by related organization(s)
- f** Dividends from related organization(s)
- g** Sale of assets to related organization(s)
- h** Purchase of assets from related organization(s)
- i** Exchange of assets with related organization(s)
- j** Lease of facilities, equipment, or other assets to related organization(s)
- k** Lease of facilities, equipment, or other assets from related organization(s)
- l** Performance of services or membership or fundraising solicitations for related organization(s)
- m** Performance of services or membership or fundraising solicitations by related organization(s)
- n** Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)
- o** Sharing of paid employees with related organization(s)
- p** Reimbursement paid to related organization(s) for expenses
- q** Reimbursement paid by related organization(s) for expenses
- r** Other transfer of cash or property to related organization(s)
- s** Other transfer of cash or property from related organization(s)

	Yes	No
1a		No
1b		No
1c		No
1d		No
1e		No
1f		No
1g		No
1h		No
1i		No
1j		No
1k		No
1l	Yes	
1m		No
1n	Yes	
1o	Yes	
1p		No
1q		No
1r		No
1s		No

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) ASPCA VETERINARY SERVICES OF NORTH CAROLINA PC	L	219,956	
(2) ASPCA VETERINARY SERVICES OF NORTH CAROLINA PC	O	178,075	

Part VII Supplemental Information

Provide additional information for responses to questions on Schedule R. (see instructions).

Return Reference

Explanation

Schedule R (Form 990) 2019

Additional Data[Return to Form](#)

Software ID:
Software Version: