29491320000009

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**

▶ **Go to *www.irs.gov/Form990PF* for instructions and the latest information.**

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning , **2018, and ending** , **20**

Name of foundation
DORIS DUKE CHARITABLE FOUNDATION

A Employer identification number
13-7043679

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
650 FIFTH AVENUE 19TH FLOOR

B Telephone number (see instructions)
(908) 243-3619

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10019

C If exemption application is pending, check here . . . . . ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here . . ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation . . . . . ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here . ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 1,773,173,370

J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	904,806			
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	108,452	108,452		
	4 Dividends and interest from securities	13,732,004	18,405,287		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	82,175,502			
	b Gross sales price for all assets on line 6a 1,030,783,794				
	7 Capital gain net income (from Part IV, line 2)		67,802,254		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule) ATCH 1	221,968	12,561,673		
	12 Total. Add lines 1 through 11	97,142,732	98,877,666	0	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	325,000			300,000
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) ATCH 2	81,006			74,881
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule) [3]	6,365,522	6,298,288		
	17 Interest				
	18 Taxes (attach schedule) (see instructions) [4]	2,113,022			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	29,011			29,011
	22 Printing and publications	30			30
	23 Other expenses (attach schedule) ATCH 5	9,080,166	18,095,590		9,577,466
	24 **Total operating and administrative expenses.** Add lines 13 through 23	17,993,757	24,393,878		9,981,388
	25 Contributions, gifts, grants paid	108,976,914			95,886,090
	26 Total expenses and disbursements Add lines 24 and 25	126,970,671	24,393,878	0	105,867,478
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	-29,827,939			
	b **Net investment income** (if negative, enter -0-)		74,483,788		
	c **Adjusted net income** (if negative, enter -0-)			0	

SCANNED DEC 12 2019
RECEIVED NOV 15 2019 OGDEN, UT

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	2,356,881	5,310,418	5,310,418
	2 Savings and temporary cash investments	29,669,844	81,841,664	81,841,664
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable	3,374,204	3,223,361	3,223,361
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	1,502,904	378,483	378,483
	10a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) ATCH 6	56,950,203	43,626,546	43,626,546
	c Investments - corporate bonds (attach schedule) ATCH 7	61,413,593	37,341,125	37,341,125
	11 Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule) ATCH 8	1,717,668,536	1,588,989,278	1,588,989,278
	14 Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶ ATCH 9)	1,560,253	12,462,495	12,462,495
	16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	1,874,496,418	1,773,173,370	1,773,173,370
Liabilities	17 Accounts payable and accrued expenses	1,290,204	1,575,790	
	18 Grants payable	57,770,466	64,328,305	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ ATCH 10)	30,913,270	18,709,889	
	23 **Total liabilities** (add lines 17 through 22)	89,973,940	84,613,984	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26, and lines 30 and 31.**			
	24 Unrestricted	1,784,522,478	1,688,559,386	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see instructions)	1,784,522,478	1,688,559,386	
	31 **Total liabilities and net assets/fund balances** (see instructions)	1,874,496,418	1,773,173,370	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,784,522,478
2	Enter amount from Part I, line 27a	2	-29,827,939
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,754,694,539
5	Decreases not included in line 2 (itemize) ▶ ATCH 11	5	66,135,153
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,688,559,386

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	67,802,254
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	93,534,870	1,775,422,397	0 052683
2016	91,788,644	1,674,009,429	0 054832
2015	91,743,150	1,768,665,149	0 051871
2014	88,040,166	1,754,808,008	0 050171
2013	83,234,494	1,645,344,824	0 050588

2	**Total** of line 1, column (d)	2	0 260145
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0 052029
4	Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	1,820,032,461
5	Multiply line 4 by line 3	5	94,694,469
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	744,838
7	Add lines 5 and 6	7	95,439,307
8	Enter qualifying distributions from Part XII, line 4	8	107,242,999

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	744,838
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	
3	Add lines 1 and 2	3	744,838
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	744,838
6	Credits/Payments		
a	2018 estimated tax payments and 2017 overpayment credited to 2018 — 6a: 3,012,703		
b	Exempt foreign organizations - tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c:		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	3,012,703
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	2,267,865
11	Enter the amount of line 10 to be **Credited to 2019 estimated tax** ▶ 2,267,865 **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0 (2) On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
	If "Yes," attach a detailed description of the activities			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
	If "Yes," attach the statement required by *General Instruction T*			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered See instructions ▶ CA, NY,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW DDCF ORG

14 The books are in care of ▶ EILEEN OBERLANDER Telephone no ▶ 908-243-3619

Located at ▶ 1112 DUKES PARKWAY WEST HILLSBOROUGH, NJ ZIP+4 ▶ 08844

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year, did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		X
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☒ No			
	If "Yes," list the years ▶ _____, _____, _____, _____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ _____, _____, _____, _____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year, did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? See instructions	☒ Yes ☐ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions	5b		X
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☒ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945-5(d) ATCH 12			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year?	☐ Yes ☒ No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 13		300,000	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
ATCH 14		1,840,015
Total number of others receiving over $50,000 for professional services ▶		7

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 SEE ATTACHMENTS 17 & 18	1,043,002
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 SOCIAL FINANCE-DDCF PARTNERED WITH SOCIAL FINANCE, INC TO PROMOTE STABILITY FOR FAMILIES AND TO REDUCE OUT-OF-HOME PLACEMENTS FOR CHILDREN IMPACTED BY PARENTAL SUBSTANCE ABUSE	379,521
2 ENVIRONMENT/CONSERVATION-DDCF PARTNERED WITH THE NATURE CONSERVANCY TO PROTECT CLIMATE-RESILIENT LANDSCAPES IN CENTRAL APPALACHIA	996,000
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	1,375,521

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	1,814,427,541
b	Average of monthly cash balances	1b	33,321,150
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	1,847,748,691
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,847,748,691
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	27,716,230
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,820,032,461
6	**Minimum investment return.** Enter 5% of line 5	6	91,001,623

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	91,001,623
2a	Tax on investment income for 2018 from Part VI, line 5	2a	744,838		
b	Income tax for 2018 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	744,838
3	Distributable amount before adjustments Subtract line 2c from line 1			3	90,256,785
4	Recoveries of amounts treated as qualifying distributions			4	431,624
5	Add lines 3 and 4			5	90,688,409
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	90,688,409

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	105,867,478
b	Program-related investments - total from Part IX-B	1b	1,375,521
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	107,242,999
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions	5	744,838
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	106,498,161

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				90,688,409
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only				
b Total for prior years 20 16 ,20 15 ,20 14				
3 Excess distributions carryover, if any, to 2018				
a From 2013 2,764,142				
b From 2014 1,801,249				
c From 2015 5,208,206				
d From 2016 9,444,900				
e From 2017 6,654,654				
f **Total** of lines 3a through e	25,873,151			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ $ 107,242,999				
a Applied to 2017, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2018 distributable amount				90,688,409
e Remaining amount distributed out of corpus	16,554,590			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	42,427,741			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)	2,764,142			
9 **Excess distributions carryover to 2019.** Subtract lines 7 and 8 from line 6a	39,663,599			
10 Analysis of line 9				
a Excess from 2014 1,801,249				
b Excess from 2015 5,208,206				
c Excess from 2016 9,444,900				
d Excess from 2017 6,654,654				
e Excess from 2018 16,554,590				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets. . . . (2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . . (2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii) . . . . . (3) Largest amount of support from an exempt organization. . . . . (4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc , to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

SEE ATTACHMENT 19

c Any submission deadlines

SEE ATTACHMENT 19

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE ATTACHMENT 19

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHMENT 16				95,886,090
Total ▶ **3a**				95,886,090
b *Approved for future payment*				
SEE ATTACHMENT 16A				39,424,840
Total ▶ **3b**				39,424,840

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	108,452	
4 Dividends and interest from securities			14	13,732,004	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory	525990	1,073,816	18	82,917,059	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b ATCH 15		-1,815,373		221,968	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		-741,557		96,979,483	0
13 Total Add line 12, columns (b), (d), and (e)				13	96,237,926

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of.			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here

Edward P. Henry — Signature of officer or trustee

11/4/2019 — Date

President & CEO — Title

May the IRS discuss this return with the preparer shown below? See instructions. ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
SCOTT THOMPSETT	Scott Thompsett	11/1/2019		P00741490

Firm's name ▶ GRANT THORNTON LLP — Firm's EIN ▶ 36-6055558

Firm's address ▶ 757 THIRD AVENUE, 3RD FLOOR, NEW YORK, NY 10017-2013 — Phone no. 212-599-0100

Schedule B (Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to *www.irs.gov/Form990* for the latest information.

OMB No 1545-0047

2018

Name of the organization
DORIS DUKE CHARITABLE FOUNDATION

Employer identification number
13-7043679

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000, or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . . ▶ $ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization DORIS DUKE CHARITABLE FOUNDATION | Employer identification number 13-7043679

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	THE JOSEPHINE R SIMPSON FAMILY TRUST C/O BANK OF AMERICA, 1 TOWN CENTER RD BOCA RATON, FL 33486	$ 904,806	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Name of organization DORIS DUKE CHARITABLE FOUNDATION | Employer identification number 13-7043679

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

Name of organization DORIS DUKE CHARITABLE FOUNDATION | Employer identification number 13-7043679

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor.** Complete columns **(a)** through **(e) and** the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $ _____
Use duplicate copies of Part III if additional space is needed

(a) No from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		SEE ATTACHMENT 20					67802254	
TOTAL GAIN(LOSS)							67802254	

ATTACHMENT 1

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET
ORDINARY INCOME FROM INVESTMENTS IN PASSIVE INVESTMENT COMPANIES		3,797,448	
FEDERAL UNRELATED BUSINESS INCOME TAX REFUND	200,000.		
PROGRAM-RELATED INVESTMENT INCOME	21,968	21,968.	
SUBPART F INCOME INCLUSION		4,928,049	
IRC SECTION 965(A) INCLUSION		57,765.	
FLOW THROUGH INCOME FROM INVESTMENTS IN LIMITED PARTNERSHIPS		1,941,070	
ADDBACK INVESTMENT LOSS REPORTED AS UNRELATED BUSINESS LOSS ON 990-T		1,815,373.	
TOTALS	221,968.		

PI

ATTACHMENT 2

FORM 990PF, PART I - LEGAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
GUNDERSON DETTMER STOUGH VILLENEUVE FRANKLIN & HACHIGIAN LLP	15,589.			15,589
KIRKLAND & ELLIS LLP	12,381			9,166.
SIMPSON THACHER & BARTLETT LLP	10,551.			7,641.
SAUL EWING ARNSTEIN & LEHR LLP	7,128			7,128.
COOLEY LLP	6,050			6,050.
WILLKIE FARR & GALLAGHER LLP	5,712			5,712.
ASIA ALTERNATIVES MANAGEMENT LLC	4,000			4,000.
LATHAM & WATKINS LLP	3,500			3,500.
GOODWIN PROCTER LLP	3,120.			3,120.
THOMPSON & KNIGHT LLP	3,065			3,065.
PAUL WEISS RIFKIND WHARTON & GARRISON LLP	3,030			3,030.
SCHULTE ROTH & ZABEL LLP	2,580.			2,580.
MOORE & VAN ALLEN PLLC	2,500			2,500
LOWENSTEIN SANDLER LLP	1,800			1,800.
TOTALS	81,006.			74,881

ATTACHMENT 3

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
CUSTODY FEES	642,906	642,906.		
MANAGERS BASE FEES	2,061,271.	2,061,271.		
INVESTMENT ADVISORY FEES	586,258.	586,258.		
OTHER INVESTMENT EXPENSES	3,050,081.	3,050,081.		
INVESTMENT MGMT FEES ALLOCATED TO DDF (ON ITS HOLDINGS)	-28,428	-28,428		
SECURITIES LENDING EXPENSE	43,374	43,374		
INVESTMENT DATABASE ACCESS FEE	10,060	10,060		
LESS ALLOCATED INVESTMENT FEES REPORTED ON 990T		-67,234.		
TOTALS	6,365,522.	6,298,288.		

ATTACHMENT 4

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
CURRENT & DEFERRED FEDERAL EXCISE TAX PROVISION	2,000,000.			
STATE UNRELATED BUSINESS INCOME TAX PROVISION	12,001.			
FEDERAL UNRELATED BUSINESS INCOME TAX PROVISION	101,021.			
TOTALS	2,113,022.			

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
WIRE TRANSFER FEES (GRANTS)	19,429.			19,429
PROGRAMMATIC & ADMINISTRATIVE EXPENSES INCURRED BY DDCF (BUT PAID BY A RELATED PARTY DDMF)	8,978,156.			9,475,334
INSURANCE	78,010.			78,010.
FILING FEES	2,172.			3,080.
MISCELLANEOUS OFFICE EXPENSES	1,612.			1,613
PENALTIES	787.			
FLOW-THROUGH EXPENSES FROM INVESTMENTS IN LIMITED PARTNERSHIPS		18,095,590		
TOTALS	9,080,166.	18,095,590		9,577,466

ATTACHMENT 6

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
EQUITY INVESTMENTS	43,626,546.	43,626,546.
TOTALS	43,626,546.	43,626,546.

ATTACHMENT 7

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
FIXED INCOME INVESTMENTS	41,385,559.	41,385,559.
LESS FIXED INCOME INVESTMENT ALLOCATED TO DORIS DUKE FOUNDATION	-4,044,434	-4,044,434.
TOTALS	37,341,125.	37,341,125.

ATTACHMENT 8

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
MULTI-STRATEGY ALTERNATIVES	274,771,527.	274,771,527.
PRIVATE EQUITY INVESTMENTS	331,784,472.	331,784,472
DISTRESSED/HIGH YIELD	110,992,083	110,992,083
FUND OF FUNDS	47,715,184.	47,715,184.
BUYOUTS/GROWTH NON-MARKETABLE	115,599,672.	115,599,672.
VENTURE CAPITAL	277,798,782.	277,798,782
DISTRESSED NON-MARKETABLE ALT	1,978,934.	1,978,934.
SPECIAL SITUATIONS	6,510,705.	6,510,705.
REAL ASSETS	95,510,572	95,510,572.
COMMINGLED INVESTMENTS	326,327,347	326,327,347.
TOTALS	1,588,989,278.	1,588,989,278.

ATTACHMENT 9

FORM 990PF, PART II - OTHER ASSETS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
DUE FROM BROKER	9,059,846.	9,059,846.
PROGRAM RELATED INVESTMENTS - SOCIAL FINANCE	888,736.	888,736.
PROGRAM RELATED INVESTMENTS - ENVIRONMENTAL	634,000.	634,000.
INVESTMENT RECEIVABLES	715,388.	715,388.
COLLECTIBLES	354,950.	354,950.
DUE FROM DORIS DUKE FOUNDATION FOR ISLAMIC ART	24,034	24,034
DUE FROM DORIS DUKE MANAGEMENT FOUNDATION	783,309.	783,309.
DUE FROM DORIS DUKE FOUNDATION	2,232	2,232.
TOTALS	12,462,495.	12,462,495.

ATTACHMENT 10

FORM 990PF, PART II - OTHER LIABILITIES

DESCRIPTION	ENDING BOOK VALUE
DUE TO DORIS DUKE MANAGEMENT FOUNDATION	7,206,665
DUE TO DUKE FARMS FOUNDATION	156,960
DEFERRED FEDERAL & STATE EXCISE TAXES	11,341,087
SECURITIES LENDING PAYABLE	5,177
TOTALS	18,709,889

ATTACHMENT 11

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
NET UNREALIZED LOSS ON SECURITIES	65,984,310
CHANGE IN VALUE OF BENEFICIAL INTEREST IN TRUSTS HELD BY OTHERS	150,843.
TOTAL	66,135,153

Doris Duke Charitable Foundation
Form 990-PF - Return of Private Foundation
FYE: 12/31/2018
Attachment 12

The Doris Duke Charitable Foundation maintained expenditure responsibility for multiple grants to the following grantees:

Grantees

Doris Duke Foundation for Islamic Art

Duke Farms Foundation

Doris Duke Foundation

Eureka Foundation Inc

Merce Cunningham Trust

The expenditure responsibility reports follow this attachment 12 cover sheet.

The Doris Duke Charitable Foundation also maintained expenditure responsibility for various grants that were approved in 2018, but were not actually disbursed until the following year.

Doris Duke Artist Awards - 2013 Cohort (20 Artists): Grants Paid in 2018 from Grant No. 2013030

Recipient*	Award	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2018
Copper, Kelly	Doris Duke Artist Award 2013	Incentive Funding	Individual/None	6,199
D'Amour, Lisa	Doris Duke Artist Award 2013	Incentive Funding	Individual/None	25,000
Dorvillier, Deanna	Doris Duke Artist Award 2013	Incentive Funding	Individual/None	3,400
ElSaffar, Amir	Doris Duke Artist Award 2013	Incentive Funding	Individual/None	2,475
Lang, David	Doris Duke Artist Award 2013	Unrestricted	Individual/None	45,000
Liska, Pavol	Doris Duke Artist Award 2013	Unrestricted	Individual/None	90,000
	Doris Duke Artist Award 2013	Incentive Funding	Individual/None	9,298
Mahanthappa, Rudresh	Doris Duke Artist Award 2013	Incentive Funding	Individual/None	2,555
	Doris Duke Artist Award 2013	Incentive Funding	Individual/None	1,545
Malpede, John	Doris Duke Artist Award 2013	Unrestricted	Individual/None	55,000
Masaoka, Miya	Doris Duke Artist Award 2013	Incentive Funding	Individual/None	11,438
O'Connor, Tere	Doris Duke Artist Award 2013	Incentive Funding	Individual/None	6,100
Parker, William	Doris Duke Artist Award 2013	Incentive Funding	Individual/None	3,500
			Total Grants Paid to Individuals	**$261,509**
			Total Grants Paid to Organizations	**$0**
			Total Grants Paid in 2018 from DDCF Grant #2013030	**$261,509**

Doris Duke Artist Awards - 2014 Cohort (19 Artists) and One 2015 Cohort Artist: Grants Paid in 2018 from Grant No. 2013221

Recipient*	Award	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2018
Haigood, Joanna	Doris Duke Artist Award 2014	Incentive Funding	Individual/None	3,000
Jasperse, John R	Doris Duke Artist Award 2014	Unrestricted	Individual/None	46,250
Johnson, Emily	Doris Duke Artist Award 2014	Unrestricted	Individual/None	65,000
	Doris Duke Artist Award 2014	Audience Development	Individual/None	11,000
Jones, William T.	Doris Duke Artist Award 2014	Incentive Funding	Individual/None	5,000
Joseph, Melanie	Doris Duke Artist Award 2014	Unrestricted	Individual/None	52,500
Keystone, Nancy	Doris Duke Artist Award 2014	Unrestricted	Individual/None	60,000
Lehman, Stephen Hart	Doris Duke Artist Award 2014	Incentive Funding	Individual/None	1,506
Parkins, Elizabeth	Doris Duke Artist Award 2014	Unrestricted	Individual/None	45,000
	Doris Duke Artist Award 2014	Audience Development	Individual/None	10,000
Ramaswamy, Ranee	Doris Duke Artist Award 2014	Unrestricted	Individual/None	45,000
	Doris Duke Artist Award 2014	Incentive Funding	Individual/None	5,000
Taborn, Craig	Doris Duke Artist Award 2014	Unrestricted	Individual/None	45,000
	Doris Duke Artist Award 2014	Incentive Funding	Individual/None	5,000
King, Alonzo	Doris Duke Artist Award 2015	Unrestricted	Individual/None	45,000
	Doris Duke Artist Award 2015	Incentive Funding	Individual/None	8,599
			Total Grants Paid to Individuals	**$452,855**
			Total Grants Paid to Organizations	**$0**
			Total Grants Paid in 2018 from DDCF Grant #2013221	**$452,855**

Doris Duke Impact Awards - 2014 Cohort (20 Artists): Grants Paid in 2018 from Grant No. 2013222

Recipient*	Award	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2018
Shyu, Jennifer Lay	Doris Duke Impact Award 2014	Incentive Funding	Individual/None	3,000
			Total Grants Paid to Individuals	**$3,000**
			Total Grants Paid to Organizations	**$0**
			Total Grants Paid in 2018 from DDCF Grant #2013222	**$3,000**

Doris Duke Artist Awards - 2015 Cohort (19 Artists): Grants Paid in 2018 from Grant No. 2014207

Recipient*	Award	Purpose	Tax Status and	2018
Argue, Darcy James	Doris Duke Artist Award 2015	Incentive Funding	Individual/None	4,210
Brown, Camille	Doris Duke Artist Award 2015	Unrestricted	Individual/None	57,500
	Doris Duke Artist Award 2015	Incentive Funding	Individual/None	2,750
Chipaumire, Nora	Doris Duke Artist Award 2015	Unrestricted	Individual/None	45,000
	Doris Duke Artist Award 2015	Incentive Funding	Individual/None	2,500
Coleman, Steven D	Doris Duke Artist Award 2015	Unrestricted	Individual/None	34,000
	Doris Duke Artist Award 2015	Incentive Funding	Individual/None	10,000
Flores, Paul	Doris Duke Artist Award 2015	Unrestricted	Individual/None	40,000
	Doris Duke Artist Award 2015	Incentive Funding	Individual/None	5,000
Jones, Daniel Alexander	Doris Duke Artist Award 2015	Unrestricted	Individual/None	60,000
Lee, Ok Kyung	Doris Duke Artist Award 2015	Unrestricted	Individual/None	45,000
	Doris Duke Artist Award 2015	Audience Development	Individual/None	25,000
Parris-Bailey, Linda	Doris Duke Artist Award 2015	Unrestricted	Individual/None	40,000
	Doris Duke Artist Award 2015	Incentive Funding	Individual/None	8,500
Ruiz Sapp, Mildred	Doris Duke Artist Award 2015	Unrestricted	Individual/None	35,000
	Doris Duke Artist Award 2015	Incentive Funding	Individual/None	5,000
Sapp, Steven	Doris Duke Artist Award 2015	Unrestricted	Individual/None	35,000
	Doris Duke Artist Award 2015	Incentive Funding	Individual/None	5,000
Sides, Shawn	Doris Duke Artist Award 2015	Unrestricted	Individual/None	50,000
Terry, Yosvany	Doris Duke Artist Award 2015	Unrestricted	Individual/None	90,000
	Doris Duke Artist Award 2015	Audience Development	Individual/None	10,000
Varone, Doug	Doris Duke Artist Award 2015	Unrestricted	Individual/None	45,000
Dorrance, Michelle	Doris Duke Artist Award 2018	Unrestricted	Individual/None	62,500
			TOTAL	**$716,960**
			Total Grants Paid to Organizations	**$0**
			Total Grants Paid in 2018 from DDCF Grant #2014207	**$716,960**

Doris Duke Impact Awards - 2015 Cohort (20 Artists): Grants Paid in 2018 from Grant No. 2014206

Recipient*	Award	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2018
Blackwell, Becca	Doris Duke Impact Award 2015	Unrestricted	Individual/None	20,000
Blackwell, Becca	Doris Duke Impact Award 2015	Incentive Funding	Individual/None	4,500
deBessonet, Lear	Doris Duke Impact Award 2015	Unrestricted	Individual/None	20,000
Dresser, Mark	Doris Duke Impact Award 2015	Incentive Funding	Individual/None	5,000
Ellsworth, Michelle	Doris Duke Impact Award 2015	Unrestricted	Individual/None	20,000
Ellsworth, Michelle	Doris Duke Impact Award 2015	Incentive Funding	Individual/None	10,000
Ellsworth, Michelle	Doris Duke Impact Award 2015	Audience Development	Individual/None	10,000
Gill, Beth	Doris Duke Impact Award 2015	Unrestricted	Individual/None	30,000
Graves, Milford	Doris Duke Impact Award 2015	Unrestricted	Individual/None	15,000
Graves, Milford	Doris Duke Impact Award 2015	Incentive Funding	Individual/None	5,000
Graves, Milford	Doris Duke Impact Award 2015	Audience Development	Individual/None	10,000
Houston Jones, Ishmael	Doris Duke Impact Award 2015	Unrestricted	Individual/None	24,000
Houston Jones, Ishmael	Doris Duke Impact Award 2015	Incentive Funding	Individual/None	6,500
Jonassen, Heide (Jenness, Morgan)	Doris Duke Impact Award 2015	Unrestricted	Individual/None	5,000
Kravas, Heather	Doris Duke Impact Award 2015	Unrestricted	Individual/None	10,000
Kravas, Heather	Doris Duke Impact Award 2015	Incentive Funding	Individual/None	4,750
Lee, Dohee	Doris Duke Impact Award 2015	Unrestricted	Individual/None	10,000
Lee, Dohee	Doris Duke Impact Award 2015	Incentive Funding	Individual/None	7,225
Mitchell, Matthew	Doris Duke Impact Award 2015	Unrestricted	Individual/None	24,000
Mitchell, Matthew	Doris Duke Impact Award 2015	Incentive Funding	Individual/None	7,205
Murillo, Carlos	Doris Duke Impact Award 2015	Unrestricted	Individual/None	20,000
Murillo, Carlos	Doris Duke Impact Award 2015	Incentive Funding	Individual/None	834
Murillo, Carlos	Doris Duke Impact Award 2015	Incentive Funding	Individual/None	9,166
O'Harra, Brooke	Doris Duke Impact Award 2015	Incentive Funding	Individual/None	2,638
Rethorst, Susan	Doris Duke Impact Award 2015	Unrestricted	Individual/None	30,000
Workman, Reginald	Doris Duke Impact Award 2015	Unrestricted	Individual/None	24,000
Workman, Reginald	Doris Duke Impact Award 2015	Incentive Funding	Individual/None	5,000
Z, Pamela	Doris Duke Impact Award 2015	Unrestricted	Individual/None	20,000
			Total Grants Paid to Individuals	**$359,818**

From Lear deBessonet's Audience Development Funds ($25,000), Grant No. 2015078B

Theatre Development Fund Inc 520 Eighth Avenue, Suite 801 New York, NY 10018	*To support the Audience Development/Arts Education project to provide a special matinee performance of MISS YOU LIKE HELL for teenagers who are immigrants or have immigrant parents and to engage students via pre-show workshops in their high schools hand post-show conversations.*	PC	10,000
		Total Grants Paid to Organizations	**$10,000**
		Total Grants Paid in 2018 from DDCF Grant #2014206	**$369,818**

Doris Duke Artist Awards - 2016 Cohort (21 Artists): Grants Paid in 2018 from Grant No. 2015302

Recipient*	Award	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2018
Abraham, Kyle	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
Bridgforth, Sharon	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
	Doris Duke Artist Award 2016	Incentive Funding	Individual/None	17,500
Douglas, Dave	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
Driscoll, Faye	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
Geiser, Janie	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
Gutierrez, Miguel	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
	Doris Duke Artist Award 2016	Audience Development	Individual/None	25,000
Hersch, Fred	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
Horvitz, Wayne	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
	Doris Duke Artist Award 2016	Audience Development	Individual/None	25,000
Mac, Taylor	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
McIntyre, Dianne	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
	Doris Duke Artist Award 2016	Incentive Funding	Individual/None	12,500
Moran, Jason	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
	Doris Duke Artist Award 2016	Incentive Funding	Individual/None	10,660
	Doris Duke Artist Award 2016	Audience Development	Individual/None	25,000
Morris, Mark	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
	Doris Duke Artist Award 2016	Incentive Funding	Individual/None	13,750
Nottage, Lynn	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
	Doris Duke Artist Award 2016	Incentive Funding	Individual/None	11,338
Phillips, Thaddeus	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
	Doris Duke Artist Award 2016	Incentive Funding	Individual/None	9,000
Ramaswamy, Aparna	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
Roberts, Matana	Doris Duke Artist Award 2016	Unrestricted	Individual/None	58,334
Shyu, Jennifer Lay	Doris Duke Artist Award 2016	Unrestricted	Individual/None	58,000
	Doris Duke Artist Award 2016	Incentive Funding	Individual/None	4,000
Smith, Wadada Leo	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
Thorson, Morgan	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
	Doris Duke Artist Award 2016	Audience Development	Individual/None	25,000
Threadgill, Henry	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
Wylie, William (Will Power)	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
	Doris Duke Artist Award 2016	Incentive Funding	Individual/None	11,702
			Total Grants Paid to Individuals	**$1,731,784**

From Kyle Abraham's Audience Development Funds ($25,000), Grant No 2016056B

Kyle Abraham/ Abraham In.Motion Inc 219 W 19th St New York, NY 10011-4001	*To support Audience Development/Arts Education projects related to community engagement in developing a new work, the Untitled D'Angelo Project Creation Film (DUP)*	PC	$25,000

From Faye Driscoll's Audience Development Funds ($25,000), Grant No 2016059B

Foundation For Independent Artists Inc 75 Broad Street, Suite 304 New York, NY 10004	*To support the Audience Development/Arts Education project entitled, "Reframing for New Audiences and New Activations "*	PC	$25,000

From Fred Hersh's Audience Development Funds ($25,000), Grant No 2016062B

Carnegie Hall Corporation 881 Seventh Avenue New York, NY 10019	*To support the Audience Development/Arts Education project enabling a Doris Duke Artist's participation in Carnegie's Future Music Project Ensemble*	PC	$25,000

Total Grants Paid to Organizations	**$75,000**
Total Grants Paid in 2018 from DDCF Grant #2015302	**$1,806,784**

Doris Duke Artist Awards - 2018 Cohort (7 Artists): Grants Paid in 2018 from Grant No. 2017141

Recipient*	Award	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2018
Bridgewater, Dee Dee	Doris Duke Artist Award 2018	Unrestricted	Individual/None	62,500
Carter-Garnett, Regina	Doris Duke Artist Award 2018	Unrestricted	Individual/None	62,500
Harris, Stefon	Doris Duke Artist Award 2018	Unrestricted	Individual/None	62,500
Miguel, Muriel	Doris Duke Artist Award 2018	Unrestricted	Individual/None	62,500
Okpokwasili, Okwui	Doris Duke Artist Award 2018	Unrestricted	Individual/None	62,500
Rolon, Rosalba	Doris Duke Artist Award 2018	Unrestricted	Individual/None	62,500
			Total Grants Paid to Individuals	**$375,000**
			Total Grants Paid to Organizations	**$0**
			TOTAL Grants Paid in 2018 from DDCF Grant No. 2017141	**$375,000**

MERCE CUNNINGHAM TRUST

Merce Cunningham Centennial Community Programs
Grant Distributions September – December 2018
DDCF Grant #2018140

Canberra, Australia	Sept. 4-16, 2018	Repertory and Technique Classes culminating in presentation in association with Museum Exhibition; creative process mentoring of 3 Australian artists	No Grant Distribution Made	200 Attendees
Sheldrake, NY	Sept. 13 & 29, 2018	Chance procedure workshop and lecture to local book club	Space Rental - $40.00 2 Days Leader - $571.42 **Total Distribution: $611.42**	20 Attendees
Miami, FL	Sept. 27, 2018	Film Screening and conversation with Cunningham collaborator Charles Atlas	No Grant Distribution Made	70 Attendees
Sitges, Spain	Oct. 1-6, 2018	DanceForms and chance procedure workshops with students from 3 local schools culminating in showing of student created work using the software. Three of the films are being submitted to European film festivals.	7 Day Leader - $2240.83 Travel for Leader - $27.15 Hotel/Per Diem - $1398.39 Music Royalty - $1000.00 **Total Distribution: $4666.37**	30 Attendees
Valencia, CA	Oct. 11, 2018	Master Class, Workshops, Open Rehearsal at CalArts Parents' Day	No Grant Distribution Made	60 Attendees
Lyon, France	Oct. 20, 2018	Master Class at local dance school	No Grant Distribution Made	20 Attendees
Palo Alto, CA	Oct. 22 &23, 2018	Screening/discussion with dancers of RainForest and demonstration of dancing with clouds; Master class for dancers.	No Grant Distribution Made	70 Attendees

MERCE CUNNINGHAM TRUST

Merce Cunningham Centennial Community Programs
Grant Distributions September – December 2018
DDCF Grant #2018140

Palo Alto, CA	Oct. 24, 2018	A studio class investigating chance procedures for dance minors and open to the public.	Workshop Leader - $272.88 **Total Distribution: $272.88**	30 Attendees
Miami, FL	Oct. 26-27, 2018	Professional development workshops with Miami Dade County Public School teachers	2 Days Leader - $666.42 Travel for Leader – $342.40 **Total Distribution: $1008.82**	
Bogota, Columbia	Nov. 19-21, 2018	2 Public workshop using the software Cunningham used at the Festival Danza en la Ciudad. Participants had the opportunity to use Cunningham techniques to create and critique work.	3 Days Leader - $1754.79 Leader Per Diem - $423.28 **Total Distribution: $2178.07**	55 Attendees
	Nov. 21, 2018	Conference for the public on the Cunningham legacy and history of its planning at the Festival Danza en las Ciudad		50 Attendees
Cincinnati, OH	Nov. 20, 2018	Cunningham Technique Class presented to Conservatory of Music dance students	Leader Fee - $250.00 **Total Distribution: $250.00**	30 Attendees
Greenville, NC	Nov 26-28, 2018	Residency including: Masterclasses in Cunningham Technique for conservatory students from multiple areas; Introduction to Cunningham for high school students; Composition class on chance procedure; Lecture on film and dance integration; Interactive movement class for 5th graders based on Cunningham/Cage concepts.	Leader Fee - $538.25 Prep Studio Rental - $90.00 **Total Distribution: $628.25**	202 total Attendees

MERCE CUNNINGHAM TRUST

Merce Cunningham Centennial Community Programs
Grant Distributions September – December 2018
DDCF Grant #2018140

Toulouse, France	Dec. 10-29, 2018	Stager teaching Cunningham Technique and repertory to children in Toulouse. Performance to be in June 2019	Teacher Travel - $978.50 **Total Distribution: $978.50**	25 Students
Seattle, WA	Dec. 15, 2018	Chance Operations workshop for local artists as part of Merce Cunningham Day.	Leader Fee - $545.75 Leader Travel - $368.40 Leader Per Diem - $110.00 **Total Distribution: $1024.15**	11 Attendees

TOTAL GRANT DISTRIBUTIONS SEPT-DEC 2018	**$11,618.46**
TOTAL NUMBER OF PEOPLE REACHED	**873 People**

Doris Duke Charitable Foundation
Form 990-PF - Return of Private Foundation
FYE 12/31/2018
Attachment 13

Form 990-PF, Part VIII - List of Officers, Directors and Trustees

Name	Title	Hrs Worked	Comp	Employee Benefits	Expense Accounts
Peter A Nadosy	Chairperson	136 hours per year	NONE	NONE	NONE
Anthony S Fauci	Vice Chairperson	122 hours per year	NONE	NONE	NONE
Kathy Halbreich	Trustee*	52 hours per year	$100,000	NONE	NONE
Nannerl O Keohane	Trustee	114 hours per year	$50,000	NONE	NONE
Angela K Mwanza	Trustee	124 hours per year	$50,000	NONE	NONE
Vishakha N Desai	Trustee	106 hours per year	$50,000	NONE	NONE
Marie Lynn Miranda	Trustee	100 hours per year	$25,000	NONE	NONE
Clive Gillinson	Trustee	94 hours per year	NONE	NONE	NONE
William H Wright II	Trustee	130 hours per year	$25,000	NONE	NONE
Afsaneh Beschloss	Trustee	122 hours per year	NONE	NONE	NONE
Mary Schmidt Campbell	Trustee	94 hours per year	NONE	NONE	NONE
Edward P Henry	Chief Executive Officer/President	28 hours per week	NONE	NONE	NONE
Peter Simmons	Chief Operating Officer/Treasurer	15 hours per week	NONE	NONE	NONE
Erica Dziedzic	Secretary/Counsel	12 hours per week	NONE	NONE	NONE
	Total Compensation		**$ 300,000**	-	-

*Board of Trustees Member Kathy Halbreich left the board as of June 30, 2018, and received a payout of $100,000 in January 2018 for her service in 2017

All trustees and officers provide services to five related entities (Doris Duke Charitable Foundation, Doris Duke Management Foundation, Duke Farms Foundation, Doris Duke Foundation for Islamic Art, Doris Duke Foundation), and may be reached at the Doris Duke Charitable Foundation's corporate address at 650 Fifth Avenue, 19th Floor, NY, NY, 10019
See Attachment 13(a) Note (1), Note (2) and Note (3) for further information

Doris Duke Charitable Foundation
Form 990-PF - Return of Private Foundation
FYE: 12/31/2018
Attachment 13A

Notes.

(1) Compensation reported for the trustees disclosed on this schedule reflects payment for each individual's board service in 2017 The actual cash payments were made in January of 2018 (and the amounts have been reported on the Form 990-PF in Part I, Line 13 (d)) as part of the Foundation's current year charitable disbursements Compensation reported for past Board of Trustees Member, Kathy Halbreich, includes a payment made in January of 2018 for board service rendered in 2017.

(2) The officers are paid compensation by the Doris Duke Management Foundation (DDMF). The hours shown on the Form 990-PF are based on an allocation of time spent on matters related to the Doris Duke Management Foundation (DDMF) and are for informational purposes only These officers provide services to other related entities (Doris Duke Foundation (DDF), Doris Duke Management Foundation (DDMF), Duke Farms Foundation (DFF), and Doris Duke Foundation for Islamic Art (DDFIA)) and in the aggregate, hours worked on matters related to these entities equal or exceed 40 hours a week The allocation of compensation paid by the Doris Duke Management Foundation and allocated to other related entities is based on an annual survey and is included in the management fee shown on Line 23, Part I, Other Expenses

(3) The trustees who receive compensation are paid by the Doris Duke Charitable Foundation, even though they provide services to each of the five foundations (DDCF, DDMF, DDF, DFF, and DDFIA) The hours reported on Attachment 13 of the Form 990-PF represent an allocation of the trustees' time spent on matters related to DDCF including attendance at Board meetings and various foundation-related events and discussions These hour allocations are reported to comply with the Form 990-PF reporting requirement, and are for informational purposes only. Trustees have oversight responsibilities and perform multiple tasks across all five foundations, and thus, significant additional hours are reported on the DDMF, DDF, DFF, DDFIA tax returns

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

ATTACHMENT 14

NAME AND ADDRESS	TYPE OF SERVICE	COMPENSATION
OBERWEIS ASSET MANAGEMENT 3333 WARRENVILLE RD. LISLE, IL 60532	INVESTMENT MGMT FEES	562,057.
SILCHESTER INTERNATIONAL 780 THIRD AVENUE, 42ND FLOOR NEW YORK, NY 10017	INVESTMENT MGMT FEES	413,600.
THE NORTHERN TRUST COMPANY 50 LASALLE STREET CHICAGO, IL 60675	INVESTMENT MGMT FEES	306,302.
WESTWOOD GLOBAL INVESTMENTS LLC ONE FINANCIAL CENTER, SUITE 1620 BOSTON, MA 02111	INVESTMENT MGMT FEES	305,756.
CAPITAL GUARDIAN TRUST CO P O BOX 659518 SAN ANTONIO, TX 78625	INVESTMENT MGMT FEES	252,300.
	TOTAL COMPENSATION	1,840,015.

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 15

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
FEDERAL UNRELATED BUSINESS INCOME TAX REFUND			01	200,000	
PROGRAM-RELATED INVESTMENT INCOME			01	21,968	
PORTFOLIO LOSS FROM INVESTMENTS IN LPS	525990	-1,815,373			
TOTALS		-1,815,373		221,968	

Doris Duke Charitable Foundation
Form 990-PF - Return of Private Foundation
FYE: 12/31/2018
Attachment 16

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	GRANTS PAID
PROGRAM GRANTS	No Relationship	$81,245,707
NEWPORT RESTORATION FOUNDATION(NRF)	No Relationship	$2,631,120
DUKE FARMS FOUNDATION	Related Entity	$7,985,681
DORIS DUKE FOUNDATION FOR ISLAMIC ART	Related Entity	$10,809,507
DORIS DUKE FOUNDATION FOR ISLAMIC ARTS BUILDING BRIDGES GRANTS	Related Entity	-$2,800,000
LESS DORIS DUKE FOUNDATION ARTISTS' AWARDS	Related Entity	-$3,985,926
TOTAL		**$95,886,090**

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING 2018

SUMMARY BY PROGRAM AREA	Amount Paid 2018
TOTAL AFRICAN HEALTH INITIATIVE	3,249,906
TOTAL ARTS	25,762,500
TOTAL ARTS INITIATIVE	5,444,296
TOTAL CHILD WELL-BEING	9,310,000
TOTAL ENVIRONMENT	18,785,881
TOTAL MEDIA FUND	550,000
TOTAL MEDICAL RESEARCH	16,784,624
TOTAL CROSS PROGRAM GRANTS	612,500
TOTAL PHILANTHROPIC SECTOR/OTHER	746,000
GRAND TOTAL	81,245,707

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2018

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
African Health Phase II				
The Aspen Institute, Inc. **2300 N Street NW, Suite 700** **Washington, DC 20037-1122**	To support the Aspen Management Partnership for Health (AMP Health) to strengthen leadership in the Ghana health service	100,000 2018	PC	100,000
Columbia University **615 W 131 St Mc8741** **New York, NY 10027-7922**	A National Program for Strengthening the Implementation of the Community-based Health Planning and Services (CHPS) Initiative in Ghana CHPS+	8,100,000 2016	PC	634,000
Health Alliance International **1107 NE 45th Street, Suite 350** **Seattle, WA 98105**	To support Spreading IDEAs The Integrated District Evidence to Action program to Improve Maternal, Newborn and Child Health	8,800,000 2016	PC	957,800
JSI Research & Training Institute, Inc. **44 Farnsworth Street** **Boston, MA 02210-1209**	To support implementation of the Ethiopia Data Use Partnership to strengthen and scale-up the Ethiopian health information system to improve the use of data for decision-making in 4 regions of Ethiopia	5,000,000 2017	PC	1,558,106
		Total African Health Phase II		3,249,906
Arts				
651 Arts **1000 Dean Street #232** **Brooklyn, NY 11238-3381**	To enable 651 ARTS to finalize the legal structure for its merger with the Museum of Contemporary African Diaspora Art	15,000 2018	PC	15,000
651 Arts **1000 Dean Street #232** **Brooklyn, NY 11238-3381**	To develop and operate the Jazz Accelerator program, a pilot project designed to support the career advancement of women jazz musicians	300,000 2018	PC	206,250
Afro Latin Jazz Alliance of New York Inc **Minisink Townhouse, 646 Malcolm X Blvd, Suite 205** **New York, NY 10037**	Leadership Grants for Arts Organizations 2018	300,000 2018	PC	100,000
Appalshop, Inc. **91 Madison Ave** **Whitesburg, KY 41858-9317**	To support Appalshop's programmatic capacity and financial stability by providing lead support for establishment of a Working Capital Reserve Fund and an Artistic Reserve Fund	200,000 2018	PC	100,000
Association of Performing Arts Professionals Inc. **919 18th St. NW, Suite 650** **Washington, DC 20036-2716**	Core Support for National Arts Service 2018	350,000 2018	PC	300,000
Association of Performing Arts Professionals Inc. **919 18th St. NW, Suite 650** **Washington, DC 20036-2716**	To support broad participation in the 2019 APAP conference	15,000 2018	PC	15,000
AXIS Dance Company **1428 Alice St., Ste. 200** **Oakland, CA 94612**	Leadership Grants Program for Dance	250,000 2015	PC	50,000
Ballet Hispanico of New York **167 W 89Th St** **New York, NY 10024-1901**	Leadership Grants Program for Dance	550,000 2015	PC	111,000
Brooklyn Academy of Music, Inc. **30 Lafayette Avenue** **Brooklyn, NY 11217-1430**	To support the presentation of national and international dance in the BAM Fisher and BAM Harvey Theaters in the 2018 and 2019 seasons	500,000 2018	PC	250,000
Brooklyn Arts Exchange Inc **421 Fifth Ave** **Brooklyn, NY 11215-3315**	To support the leadership transition at BAX	30,000 2018	PC	30,000

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
CapitalBop **2101 Connecticut Ave Nw** **Washington, DC 20008-1728**	Leadership Grants for Arts Organizations	100,000 2018	PC	50,000
Chamber Music America **12 West 32nd Street** **7th Floor** **New York, NY 10001**	Core Support for National Arts Service 2018	250,000 2018	PC	250,000
Chamber Music America **12 West 32nd Street** **7th Floor** **New York, NY 10001**	To support jazz musicians and presenters through project grants that fund new works, touring collaborations, and performing and recording opportunities	3,177,500 2018	PC	1,477,500
City Lore Inc **56 East 1st Street** **New York, NY 10003**	To support the first stage of an investigation and celebration of the CETA Artists Project	10,000 2018	PC	10,000
Community Funds, Inc. **909 Third Avenue** **New York, NY 10022-4731**	To support The Mosaic Fund, promoting an arts ecosystem that values racial equity, a donor collaborative of The New York Community Trust	500,000 2018	PC	250,000
Creative Capital Foundation **15 Maiden Lane** **18th Floor** **New York, NY 10038-4003**	To support Creative Capital grants and advisory services to Performing Artists	1,260,000 2016	PC	522,500
Creative Capital Foundation **15 Maiden Lane** **18th Floor** **New York, NY 10038-4003**	Core Support for National Arts Service 2018	262,500 2018	PC	200,000
Dance/NYC **218 East 18th Street, Garden Floor** **New York, NY 10003-3605**	General operating support	10,000 2018	PC	10,000
Dance/USA **1029 Vermont Avenue, NW** **Suite 400** **Washington, DC 20005-3517**	Core Support for National Arts Service 2018	350,000 2018	PC	300,000
Dance/USA **1029 Vermont Avenue, NW** **Suite 400** **Washington, DC 20005-3517**	To support the Dance Artists and Social Practice regranting Initiative	1,900,000 2018	PC	1,300,000
Danspace Project Inc. **St. Mark's Church in-the-Bowery** **New York, NY 10003-0000**	Leadership Grants Program for Dance	250,000 2015	PC	37,500
Danspace Project Inc. **St. Mark's Church in-the-Bowery** **New York, NY 10003-0000**	To support a celebration of the life of Sam Miller	5,000 2018	PC	5,000
DC Jazz Festival **515 M Street, SE, Suite 102-B** **Washington, DC 20003**	Leadership Grants for Arts Organizations	225,000 2018	PC	150,000
Discalced, Inc, dba Mark Morris Dance Group **3 Lafayette Ave** **Brooklyn, NY 11217-1415**	Leadership Grants Program for Dance	1,000,000 2015	PC	79,200
Double Edge Theatre Productions Incorporated **948 Conway Rd** **Ashfield, MA 01330-9772**	To support the Art & Survival Project	25,000 2018	PC	25,000

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
Earshot Jazz Society of Seattle **3429 Fremont Pl N Ste 309** **Seattle, WA 98103-8650**	Leadership Grants for Arts Organizations 2017	375,000 2017	PC	105,000
Emerson College **120 Boylston St** **Boston, MA 02116-4611**	Core Support for National Arts Service 2018 - HowlRound	76,842 2018	PC	76,842
Firelight Media **324 Convent Avenue** **New York, NY 10031-6300**	To support the production and distribution of a new documentary about groundbreaking jazz figure and cultural icon, Miles Davis	100,000 2018	PC	100,000
First Peoples Fund **706 West Boulevard** **Rapid City, SD 57709-2977**	To support funding and professional development opportunities for Native performing artists to strengthen their ability to advance their artistic expression, contribute to local economies, and serve as strong leaders within their communities	300,000 2018	PC	200,000
Fractured Atlas Inc **248 West 35th Street, 10th Floor** **New York, NY 10001-2505**	Core Support for National Arts Service 2018	350,000 2018	PC	300,000
Fractured Atlas Inc **248 West 35th Street, 10th Floor** **New York, NY 10001-2505**	To support the Black Theatre Commons, a network of theatre practitioners that works to amplify, nurture and support the work of Black theaters and practitioners	50,000 2018	PC	50,000
Fresh Meat Productions **375 27th Street #A** **San Francisco, CA 94146-0670**	Leadership Grants for Arts Organizations 2018	225,000 2018	PC	75,000
Gina Gibney Dance Inc **890 Broadway, Fifth Floor** **New York, NY 10003-1211**	Leadership Grants for Arts Organizations 2017	325,000 2017	PC	50,000
Grantmakers in the Arts **522 Courtlandt Ave** **Bronx, NY 10451-5008**	To provide general operating support in 2019	47,000 2018	PC	47,000
H.T. Dance Company, Inc. **70 Mulberry St 2Nd Fl** **New York, NY 10013-4477**	Leadership Grants for Arts Organizations 2018	150,000 2018	PC	96,000
Hubbard Street Dance Chicago Inc **1147 W Jackson Blvd** **Chicago, IL 60607**	Leadership Grants Program for Dance	500,000 2015	PC	100,000
The International Association of Blacks In Dance Inc **8730 Georgia Avenue, Ste. 606** **Silver Spring, MD 20910**	To provide general support in 2018 for ensuring the vitality of Black dance	75,000 2018	PC	75,000
Intersection for the Arts **901 Mission St. Suite 306** **San Francisco, CA 94103-3067**	Leadership Grants for Arts Organizations support for Dancing Earth Creations, an indigenous contemporary dance ensemble	150,000 2018	PC	62,500
Jazz St. Louis **The Harold & Dorothy Steward Center for Jazz** **3536 Washington Ave** **St. Louis, MO 63103-1019**	Leadership Grants for Arts Organizations 2018	350,000 2018	PC	227,950
Latino Theater Company **514 S Spring St** **Los Angeles, CA 90013-2304**	General operating support for the Fall 2018 season	10,000 2018	PC	10,000
LINES Ballet **26 Seventh St.** **San Francisco, CA 94103**	Leadership Grants Program for Dance	550,000 2015	PC	63,750

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
MAP Fund Inc **577 Grand Street** **#1801** **New York, NY 10002-3688**	To support two rounds of The Multi-Arts Production Fund regranting program	2,860,000 2016	PC	1,072,500
Margaret Jenkins Dance Company **507 Polk Street Suite 320** **San Francisco, CA 94102**	Leadership Grants Program for Dance	250,000 2015	PC	50,000
Merce Cunningham Trust **130 West 56th Street** **Suite 707** **New York, NY 10019-3962**	To support the Merce Cunningham Centennial Celebration Community Programs	100,000 2018	POF	100,000
National Arts Strategies Inc **2000 Duke St Ste 115** **Alexandria, VA 22314-6116**	To support The Learning Magnet, a collaboration with the International Association of blacks in Dance (IABD) to strengthen the field by supporting and highlighting the work of Black Dance organizations, and to provide general operating support for IABD	22,500 2018	PC	22,500
National Asian American Theatre Festival Inc **520 8th Avenue, Suite 308** **New York, NY 10018**	To support a leaders of color convening at the 2018 CAATA ConFest	40,000 2018	PC	40,000
National Association of Latino Arts and Culture **1208 Buena Vista St** **San Antonio, TX 78207-4301**	To support Years 2 and 3 of the Intercultural Leadership Institute (ILI), a rigorous, yearlong personal and leadership development program for artists, culture bearers, and other arts professionals	100,000 2018	PC	100,000
National New Play Network **641 D Street NW** **Washington, DC 20004-2904**	Core Support for National Arts Service 2018	190,000 2018	PC	150,000
National Performance Network **8121 Fig Street** **New Orleans, LA 70118**	Core Support for National Arts Service 2018	262,500 2018	PC	229,284
National Performance Network **8121 Fig Street** **New Orleans, LA 70118**	To support cross-disciplinary performing artists and presenting organizations to partner on the creation and development of new works	3,000,000 2018	PC	716,709
Network of Ensemble Theaters **Po Box 83526** **Portland, OR 97283-0526**	To further develop the Connector program which empowers ensembles and collaborative artists in the creative marketplace	70,350 2018	PC	40,270
New England Foundation for the Arts **145 Tremont Street** **7th Floor** **Boston, MA 02111-1214**	To support the National Dance Project	3,629,400 2016	PC	221,545
New England Foundation for the Arts **145 Tremont Street** **7th Floor** **Boston, MA 02111-1214**	Core Support for National Arts Service 2018	262,500 2018	PC	225,000
New England Foundation for the Arts **145 Tremont Street** **7th Floor** **Boston, MA 02111-1214**	To support the National Dance Project, which enables artists to create, present and tour new dance work	5,444,100 2018	PC	2,100,000
New Orleans Jazz Orchestra Inc **1436 Oretha Castle Haley Blvd.** **New Orleans, LA 70130-5319**	To provide general operating support for performance, services, community engagement and education programs	25,000 2018	PC	25,000

ATTACHMENT 16

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
New York City Center, Inc. **130 W. 56th Street** **New York, NY 10019-3962**	To support New York City Center's Choreography Fellowship, Commissioning Initiative & Arts Administration Apprenticeship	250,000 2018	PC	250,000
PA'I Foundation **904 Kohou Street, Suite 102** **Honolulu, HI 96817-0483**	Leadership Grants for Arts Organizations 2018	150,000 2018	PC	100,000
Penumbra Theatre **270 Kent St** **Saint Paul, MN 55102-1744**	To support expansion of artEquity's Facilitator Training Program focused on diversity training in the arts	82,150 2018	PC	50,200
Perseverance Theatre Incorporated **914 Third Street** **Douglas, AK 99824-5414**	To provide support toward strategies for sustainability	100,000 2018	PC	100,000
Public Theater **425 Lafayette Street** **New York, NY 10003-7021**	To support Shakepeare Festival activites, including internship stipends for underrepresented individuals, a tour of Lynn Nottage's Sweat to rural Midwestern communities, and to provide general and capacity building support	250,000 2018	PC	250,000
Ragamala Dance **711 West Lake Street, Suite 309** **Minneapolis, MN 55408**	Leadership Grants Program for Dance	250,000 2015	PC	37,500
South Arts, Inc. **1800 Peachtree Street NW** **Suite 808** **Atlanta, GA 30309-0000**	To support the Jazz Road regranting program	3,500,000 2018	PC	1,500,000
Stephen Petronio Company **140 Second Avenue, Suite 504** **New York, NY 10003**	Leadership Grants Program for Dance	250,000 2015	PC	50,000
Theatre Communications Group Inc. **520 8th Ave, 24th floor** **New York, NY 10018-6507**	Core Support for National Arts Service 2018	350,000 2018	PC	300,000
Urban Bush Women **138 South Oxford Street, 4B** **Brooklyn, NY 11217-1694**	Leadership Grants Program for Dance	250,000 2015	PC	50,000
Wesleyan University **212 College St** **Middletown, CT 06459-3401**	To support development of a series of artist case studies by ICPP that examine different models for artist career development, and to build a new performing arts consulting model that helps artists focus on their process while creating new work	200,000 2018	PC	200,000
Wesleyan University **212 College St** **Middletown, CT 06459-3401**	To defray the cost of publication and distribution of a Wesleyan University Press book documenting dancer Eiko Otake's collaboration with historian-photographer William Johnston (working title A Body in Places)	45,000 2018	PC	45,000
Western Alliance of Arts Adminstrators Foundation **715 SW Morrison Street, Suite 600** **Portland, OR 97205-3102**	To support the Advancing Indigenous Performance initiative	50,000 2018	PC	50,000
White Bird **5620 SW Edgemont Place** **Portland, OR 97239** **Portland, OR 97239**	Leadership Grants Program for Dance	250,000 2015	PC	50,000

ATTACHMENT 16

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
World Trade Center Performing Arts Center Inc One Liberty Plaza 29th Floor New York, NY 10006-1404	The World Trade Center Performing Arts Center Capital Project	10,000,000 2018	PC	10,000,000
Yard Inc 1 The Yard Chilmark, MA 02535-0405	Leadership Grants for Arts Organizations	350,000 2018	PC	154,000
			Total Arts	25,762,500
Arts Initiative				
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108	Doris Duke Artist Awards 2018	1,650,000 2017	PF	375,000
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108	Doris Duke Artist Awards 2013	5,500,000 2013	PF	261,509
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108	Doris Duke Artist Awards 2014	5,500,000 2013	PF	452,855
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108	Doris Duke Impact Awards 2014	1,600,000 2013	PF	3,000
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108	Doris Duke Artist Awards 2015	5,225,000 2014	PF	716,960
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108	Doris Duke Impact Awards 2015	1,600,000 2014	PF	369,818
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108	Doris Duke Artist Awards 2016	5,500,000 2015	PF	1,806,784
New Group Inc 240 West 35th Street, Suite 1200 New York, NY 10036	A playwright residency to imagine and explore new approaches to building demand for theater	115,000 2016	PC	18,370
United States Artists Inc 980 N. Michigan Ave, Suite 1300 Chicago, IL 60611	USA Fellowships	3,600,000 2015	PC	1,440,000
			Total Arts Initiative	5,444,296
Child Well-being				
Alaska Childrens Trust 3201 C Street, Ste 110 Anchorage, AK 99503-3961	To support the Alaska Resilience Initiative	50,000 2018	PC	50,000
The Aspen Institute, Inc. 2300 N Street NW, Suite 700 Washington, DC 20037-1122	To support and strengthen the third cohort of the Ascend fellowship program and to provide general operating support for Ascend	800,000 2018	PC	300,000
Bluehub Capital, Inc. Malcom X Boulevard Boston, MA 02119-1775	To support expansion of the Working Cities Challenge model to small- and mid-sized cities in New England and other Federal Reserve districts	1,500,000 2015	PC	500,000

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
Bluehub Capital, Inc. **Malcom X Boulevard** **Boston, MA 02119-1775**	To expand the Federal Reserve Bank of Boston's Working Cities Challenge Rhode Island program through deeper implementation of action plans in Newport, Providence, and Cranston as well as opportunities for cross-city alignment of efforts and learning	600,000 2018	PC	600,000
Boston Medical Center Corporation **85 E Concord Street Room 2212** **Boston, MA 02118-2335**	To support and expand the Vital Village Community Engagement Network	1,000,000 2015	PC	279,500
The Brotherhood/Sister Sol Inc **512 West 143rd Street** **New York, NY 10031-6501**	To expand place-based programming activities for youth ages 8-22 as well as partnerships with other nonprofit organizations and the New York City school system	1,000,000 2018	PC	250,000
Chapin Hall Center for Children **1313 E. 60th Street** **Chicago, IL 60637-2830**	To support an additional two cohorts of the Doris Duke Fellowships for the Promotion of Child Well-being	3,410,325 2014	PC	694,825
Chapin Hall Center for Children **1313 E. 60th Street** **Chicago, IL 60637-2830**	To support the 2017 and 2018 cohorts of the Doris Duke Fellowships for the Promotion of Child Well-being	2,500,000 2016	PC	926,145
Chapin Hall Center for Children **1313 E. 60th Street** **Chicago, IL 60637-2830**	To strengthen the Doris Duke Fellows for the Promotion of Child Well-being early career researchers network and support research partnerships among current and past fellows and policy or practice partners	700,000 2018	PC	77,597
Child Trends, Inc. **7315 Wisconsin Avenue, Suite 1200W** **Bethesda, MD 20814**	To support the project, "Promoting Child Well-Being through Improved Communication of Research to State and Local Policymakers, Administrators, and Advocacy Organizations "	400,000 2015	PC	150,000
Children and Family Futures, Inc. **25371 Commercentre Drive, Suite 140** **Lake Forest, CA 92630**	To support Prevention and Family Recovery (PFR) -- Phase 2 Continuation and Expansion	3,000,000 2016	PC	706,220
Children's Bureau of Southern California **1910 Magnolia Ave.** **Los Angeles, CA 90007-1220**	To support and expand the Magnolia Community Initiative (MCI)	1,000,000 2015	PC	337,700
Children's Bureau of Southern California **1910 Magnolia Ave.** **Los Angeles, CA 90007-1220**	To support the Population Change Learning Community, strengthening the measurement capacity of place-based organizations	460,000 2018	PC	160,000
Childrens Outing Assn **909 E North Ave** **Milwaukee, WI 53212-3447**	To support a widespread community effort to improve health and well-being for children and families in two Milwaukee neighborhoods	950,000 2015	PC	315,000
Community Solutions International, Inc. **125 Maiden Lane, Ste 16C** **New York, NY 10038**	To support and expand the Brownsville Partnership	1,000,000 2015	PC	339,000
First Focus **1400 Eye Street NW, Suite 650** **Washington, DC 20005-6506**	To support the End Child Poverty - US Campaign	50,000 2018	PC	50,000
Fund for Public Health in New York **22 Cortlandt Street, Suite 802** **New York, NY 10007-3107**	To increase access to comprehensive reproductive health services at NYC public high schools and foster care agencies to reduce unintended pregnancies among at-risk teens	750,000 2017	PC	150,000

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
The Mayor's Fund to Advance New York City **253 Broadway 6th Floor** **New York, NY 10007-2300**	To support implementation of a cross-agency planning process to identify strategies to improve health and well-being outcomes for pregnant women and families with children under age two living under the poverty line	250,000 2018	PC	250,000
Mount Sinai Hospital **One Gustave L Levy Place** **New York, NY 10029-6574**	To support Mount Sinai Adolescent Health Center's provision of free, high quality comprehensive medical services for 300 low-income adolescents with foster care experienceand pilot an assessment tool to better target high need clients to relevant services	1,500,000 2016	PC	400,000
Mount Sinai Hospital **One Gustave L Levy Place** **New York, NY 10029-6574**	To support the conference organized by The Mount Sinai Adolescent Health Center (MSAHC) entitled "Ending Violence Against Children Developing a Roadmap to a Health Childhood and Adolescence "	25,000 2018	PC	25,000
National Academy of Sciences **2101 Constitution Ave Nw** **Washington, DC 20418-0007**	To support the Collaborative on Vital Signs for the Health and Well-being of Children and Families	50,000 2018	PC	50,000
National Black Child Development Institute, Inc. **8455 Colesville Rd Suite 910** **Silver Spring, MD 20910**	To provide general operating support to National Black Child Development Institute (NBCDI) and launch the NBCDI Fellowship Program	800,000 2018	PC	100,000
National Center for Youth Law **405 14th St # 1500** **Oakland, CA 94612-2715**	To support Building Strong Youth and Family Voice into District Policy and Practice Design	30,000 2018	PC	30,000
National Center for Youth Law **405 14th St # 1500** **Oakland, CA 94612-2715**	To support the FosterEd initiative to improve education outcomes of youth in foster care, the juvenile justice system, or homeless in two areas of California, and develop a California state policy agenda for these vulnerable youth	600,000 2017	PC	150,000
National Governors Association Center For Best Practices **444 North Capitol Street, Suite 267** **Washington, DC 20001** **Washington DC, DC 20001-1512**	To support the Two-Generation State Policy Learning and Action Network, currently under development in partnership with the Center for Law and Social Policy	600,000 2015	PC	149,600
National League of Cities Institute Inc. **660 North Capitol Street NW** **Suite 450** **Washington, DC 20001-1644**	To support NLCI's Strong Southern Communities Initiative Promoting Municipal Leadership to Improve Outcomes for Children and Their Families in Southern Cities and Towns	100,000 2018	SO I	100,000
National League of Cities Institute Inc. **660 North Capitol Street NW** **Suite 450** **Washington, DC 20001-1644**	To provide municipal leaders in Mississippi, Arkansas, and Louisiana with technical assistance and peer learning opportunities to develop and implement city-led strategies that will improve outcomes for children and families	600,000 2018	SO I	55,000
NDN Collective, Inc. **317 Main Street** **Rapid City, SD 57772-0459**	To support and strengthen Native-led community development organizations and develop and launch the NDN Collective Changemakers Fellowship	2,500,000 2018	PC	300,000
Nemours Foundation **10140 Centurion Parkway North** **Jacksonville, FL 32256**	To support the Building Community Resilience (BCR) collaborative, fostering synergies across child health systems, community-based agencies and cross-sector partners to address the root causes of toxic stress and build community resilience	800,000 2015	PC	133,340
New Venture Fund **1201 Connecticut Avenue NW** **Washington, DC 20036**	To support the 2020 Census Project	225,000 2018	PC	225,000

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
New York Academy of Medicine **1216 Fifth Avenue** **New York, NY 10029-5202**	To support an East Harlem action collaborative for child health and well-being	100,000 2018	PC	100,000
New Yorkers For Children Inc **450 Seventh Ave** **Suite 403** **New York, NY 10123**	To create and launch the Nicholas Scoppetta Scholarship Fund (Nick's Scholars)	1,187,000 2016	PC	251,073
Society for Research in Child Development **1825 K St NW** **Ste 325** **Washington, DC 20006**	To support the "Hidden Figures in Developmental Science" video series	15,000 2018	PC	15,000
Thunder Valley Community Development Corporation **290 Empowerment Drive** **Porcupine, SD 57772-0290**	To support Ecosystem of Opportunity Creating a Model for Pathways to Resilience, enhancing and evaluating the Thunder Valley CDC model for replication in other communities	1,700,000 2015	PC	375,000
Tides Center **1014 Torney Avenue** **San Francisco, CA 94129-1755**	To test Project Evident, a technical assistance platform that helps organizations serving vulnerable populations prove their effectiveness and build evidence for further funding for replication and scale	500,000 2017	PC	100,000
Tides Center **1014 Torney Avenue** **San Francisco, CA 94129-1755**	To expand the Foster America Fellowship Program to improve outcomes for children and families at risk of entering, or presently engaged with the foster care system	1,000,000 2018	PC	200,000
Valley Hospital Association dba Mat-Su Health Foundation **950 E. Bogard Rd. Ste 218** **Wasilla, AK 99654-7172**	To strengthen and expand the prevention and support services available to children and families in the Mat-Su region of Southcentral Alaska	1,000,000 2018	PC	415,000
		Total Child Well-being		9,310,000
Cross Program				
CDC Foundation **600 Peachtree Street NE, Suite 1000** **Atlanta, GA 30308-2219**	To support the continuation of the Sickle Cell Data Collection program - a longitudinal population-based data system for sickle cell disease	100,000 2018	PC	100,000
Integrate Health **Po Box 605** **Medway, MA 02053-0605**	To support the expansion of community outreach in primary care centers in the South Bronx, through the Community Linkage to Care Program	100,000 2018	PC	100,000
International Society for the Performing Arts Foundation **630 9th Avenue, Suite 213** **New York, NY 10036**	To support Global Voices in Dialogue, through expansion of the diversity of voices at the ISPA Congresses in 2019, 2020, and 2021	37,500 2018	PC	37,500
Social Finance, Inc. **10 Milk St Ste 1010** **Boston, MA 02108-4600**	To support a feasibility study for outcomes-based financing for environmental and social impact	100,000 2018	PC	100,000
Society for Research in Child Development **1825 K St NW** **Ste 325** **Washington, DC 20006**	To support a second year pilot of the project Preparing Future Leaders of Color in the Developmental Sciences Research Funding and Professional Development Boot Camp	100,000 2018	PC	100,000
Spoleto Festival USA **14 George Street** **Charleston, SC 29401-1524**	To support the creation of an opera highlighting the life of an enslaved African American Muslim, Omar ibn Said	75,000 2018	PC	75,000

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
University of Southern California University Park Los Angeles, CA 90089-0001	To support the 2018-2019 DDCF Center for Health Journalism Data Fellowship	100,000 2018	PC	100,000
			Total Cross Program	612,500
Environment				
Adirondack Council, Inc. 103 Hand Avenue, Suite 3 Elizabethtown, NY 12932-0640	To support phase 1 of the Adirondack Vision project	75,000 2018	PC	75,000
American Farmland Trust (AFT) 1150 Connecticut Avenue, Suite 600 Washington, DC 20036-4104	To renew support for the Hudson Valley Farmlink Network	450,000 2017	PC	125,000
American Forests 1220 L St NW Washington, DC 20005-4079	To expand U S forest sector interest in natural climate solutions (NCS), accelerate NCS uptake and by U S. states, and improve federal policies that would help unlock NCS potential in federal spending and land stewardship	300,000 2018	PC	200,000
American Forests 1220 L St NW Washington, DC 20005-4079	To support The Natural and Working Lands Learning Lab	78,400 2018	PC	78,400
American Museum of Natural History 200 Central Park West New York, NY 10024-5192	To build a diverse pipeline for the conservation workforce through the enhanced Science Research Mentoring Program for high school students	892,000 2016	PC	225,000
Climate Central, Inc. One Palmer Square, Suite 330 Princeton, NJ 08540	To support narrative, tools and analysis for coastal wetlands conservation in the face of sea level rise	400,000 2017	PC	175,000
Climate Central, Inc. One Palmer Square, Suite 330 Princeton, NJ 08540	To raise public awareness of climate change and build support for climate action, through local television weather and news reportage	200,000 2017	PC	100,000
Climate Conservation Po Box 1587 Bozeman, MT 59771-1587	To support preservation and restoration of wildlife corridors through the Campaign for State-based Wildlife Corridor Protection	75,000 2018	PC	75,000
Climate Conservation Po Box 1587 Bozeman, MT 59771-1587	To support the creation of the Landscape Conservation Catalyst Fund, a regrant and capacity building program to advance the effective practice of place-based, collaborative landscape conservation across the United States	1,100,000 2018	PC	800,000
Climateworks Foundation 235 Montgomery Street San Francisco, CA 94104-2902	To launch a research coordination effort to overcome the quantitative and technical challenges in estimating greenhouse gas (GHG) emissions from land use, land use change and forestry in the U S	300,000 2018	PC	300,000
Consensus Building Institute 100 Cambridgepark Dr Ste 302 Suite 302 Cambridge, MA 02140-2330	To support the Climigration Network	90,000 2018	PC	90,000
Consultative Group On Biological Diversity, Dba Biodiversity Funders Group 1016 TORNEY AVE Suite 206 San Francisco, CA 94129-0361	To renew membership and support the Climate and Energy Funders Group	40,000 2018	PC	40,000

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
Council on the Environment Inc, aka GrowNYC 100 Gold St. Suite 3300 New York, NY 10038-1617	To renew support for FARMroots	300,000 2017	PC	150,000
Defenders of Wildlife 1130 17th Street NW Washington, DC 20036-4604	To renew support for a project that expedites the sensitive siting of renewable energy projects through the development of federal and state energy and land management policies	4,000,000 2018	PC	1,450,000
Endangered Species Coalition 1411 K St., NW Washington, DC 20035-5195	General Operating Support	100,000 2018	PC	100,000
Environmental Grantmakers Association 475 Riverside Drive, Suite 960 New York, NY 10115-0066	Membership 2018	23,000 2018	PC	23,000
Georgetown University 37th snd O Streets NW Washington, DC 20057-0001	To support the Georgetown Climate Center's work to develop land-use strategies for addressing "coastal squeeze "	200,000 2017	PC	90,000
Glynwood Center, Inc. 362 Glynwood Rd Cold Spring, NY 10516-0157	To support the Hudson Valley Farmer Training Collaborative	100,000 2018	PC	100,000
Green Diversity Initiative 1341 G St, NW 5th Floor Washington, DC 20005-3105	To support Identifying Effective DEI Capacity Building Practices in Environmental Non-Profits and Foundations	88,000 2018	PC	88,000
Greenlatinos 801 Pennsylvania Avenue, N.W., Suite 1010 Washington, DC 20024	To support the 2018 GreenLatinos National Summit	15,000 2018	PC	15,000
Institute for Strategic and Equitable Development 600 West 116th Street, #7D New York, NY 10027	To support InDEEP Inclusion, Diversity, and Racial Equity in Environmental Philanthropy	50,000 2018	PC	50,000
Keystone Center 1628 Saint John Rd Keystone, CO 80435-7714	To support the Next 100 Coalition National Conference	15,000 2018	PC	15,000
Keystone Center 1628 Saint John Rd Keystone, CO 80435-7714	To support The Next 100 Coalition· Building Today's Network for Tomorrow's Public Lands Agenda	100,000 2018	PC	100,000
National Audubon Society Inc 225 Varick St., 7th Floor New York, NY 10014-4396	To support building a foundation for coastal resiliency policy	200,000 2017	PC	50,000
National Wildlife Federation 11100 Wildlife Center Dr Reston, VA 20190-5361	To significantly scale up the use of "living shorelines" that provide habitat and wildlife benefits in coastal protection and adaptation efforts	400,000 2017	PC	131,341
National Wildlife Federation 11100 Wildlife Center Dr Reston, VA 20190-5361	To renew support for a project focused on reducing the impacts of bioenergy development on wildlife, wildlife habitat and climate emissions	1,162,000 2017	PC	362,000
Natural Areas Conservancy 1234 Fifth Avenue New York, NY 10029-4418	To support restoration and conservation of New York City's forests and wetlands	400,000 2017	PC	100,000

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
Natural Resources Defense Council **40 W20th St., 11th Fl.** **New York, NY 10011-4211**	To support legal defense of bedrock environmental laws and to promote government transparency	200,000 2018	PC	200,000
The Nature Conservancy **4245 Fairfax Dr Ste 100** **Arlington, VA 22203-1637**	To support the application of resilience science to the Rocky Mountains, Southwest Deserts and Mississippi Valley regions of the US, and pilot new resilience science approaches to coastal and freshwater realms in the Eastern US	750,000 2017	PC	325,000
The Nature Conservancy **4245 Fairfax Dr Ste 100** **Arlington, VA 22203-1637**	To generate measurable growth in global natural climate solutions (NCS) implementation and policy support, and build a larger coalition of public, private and nonprofit organizations promoting NCS pathways in the U S and internationally	3,521,000 2018	PC	2,100,000
North Star Fund, Inc. **520 8th Ave, Suite 1800** **New York, NY 10018-4170**	To support the Community Food Funders network and launch its new fellowship program	50,000 2018	PC	50,000
Northern Arizona University Foundation **624 S. Knoles** **Flagstaff, AZ 86011-0103**	To support the Doris Duke Conservation Scholars Program at Northern Arizona University	677,000 2017	PC	177,000
Oregon Community Foundation **440 E BROADWAY** **SUITE 160** **Eugene, OR 97401**	Advancing Climate-Smart Land Conservation in the Pacific Northwest – a partnership between The Oregon Community Foundation, Seattle Foundation, Idaho Community Foundation, and the Land Trust Alliance	5,323,000 2018	PC	525,000
Pivot Point A Nonprofit Corporation **690 E Colonel Wright Rd.** **Shelton, WA 98584-8424**	To mobilize civil society organizations to elevate the profile of natural climate solutions within the United Nations Framework Convention on Climate Change (UNFCCC)	175,000 2018	PC	175,000
Public Laboratory For Open Technology And Science Inc **577 Somerville Ave** **Somerville, MA 02143**	To support the Environmental Data and Governance Initiative's efforts to improve federal environmental data stewardship and governance in support of evidence-based environmental policy and justice	200,000 2018	PC	200,000
Research Foundation of the City University of New York **230 W 41St St 7Th Fl** **New York, NY 10036-7207**	To support the Science and Resilience Institute at Jamaica Bay's research and communications efforts to turn climate risks into adaptation opportunities in Jamaica Bay, NYC	25,000 2018	PC	25,000
Riverkeeper Inc **20 Secor Road** **Ossining, NY 10562-4645**	To support the development and installation of living bulkheads that will restore habitat and improve water quality in Newtown Creek	25,000 2018	PC	25,000
Scenic Hudson **One Civic Center Plaza, Suite 200** **Poughkeepsie, NY 12601-3157**	To support implementation of the Hudson Valley Conservation Strategy ("HVCS") a landscape-scale framework to conserve climate-resilient sites, connectivity, and biodiversity	2,300,000 2016	PC	1,000,000
Society For Conservation Biology North America Inc **5 Old Town Square, Suite 205** **Fort Collins, CO 80524**	To provide general operating support associated with implementation of the 2018 North American Congress for Conservation Biology	25,000 2018	PC	25,000
Southern Environmental Law Center **201 W Main St, Suite 14** **Charlottesville, VA 22902-5033**	To support legal defense of the Clean Water Act	200,000 2018	PC	200,000

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
Theodore Roosevelt Conservation Partnership **529 14th St NW Ste 500** **Washington, DC 20045-1501**	To mobilize sportspeople and amplify their voices in support of public lands, wildlife habitats and landscape conservation	150,000 2018	PC	150,000
The Trust for Public Land **101 Montgomery Street Suite 900** **San Francisco, CA 94104-4148**	To renew support for the Conservation Finance Initiative, a joint effort of The Trust for Public Land and The Nature Conservancy that aims to increase public funding for wildlife habitat conservation in the United States	3,500,000 2017	PC	1,180,000
United Nations Foundation **1750 Pennsylvania Ave NW, Suite 300** **Washington, DC 20006-4500**	To support the U S Climate Alliance Natural and Working Lands Learning Labs	42,350 2018	PC	42,350
United Nations Foundation **1750 Pennsylvania Ave NW, Suite 300** **Washington, DC 20006-4500**	To support the U S Climate Alliance's Natural and Working Lands Initiative to strengthen state capacity to mitigate and sequester carbon through natural and working lands	100,000 2018	PC	100,000
Wildlife Conservation Society **2300 Southern Boulevard** **Bronx, NY 10460-1068**	To support the Climate Adaptation Fund	6,752,500 2016	PC	1,787,540
Wildlife Conservation Society **2300 Southern Boulevard** **Bronx, NY 10460-1068**	To renew support for the Climate Adaptation Fund, a competitive re-grant program that funds conservation projects that promote wildlife adaptation to climate change	6,050,000 2018	PC	4,295,000
Windward Fund **1201 Connecticut Avenue NW, Suite 300** **Washington, DC 20036-2656**	To provide support for the Climate Resilience Fund to continue its coordination and collaboration in the Resilience Ecosystem regranting program	306,250 2018	PC	306,250
World Resources Institute **10 G St. NE** **Washington, DC 20002-4213**	To increase the inclusion of Natural Climate Solutions strategies within countries' Nationally Determined Contributions to the Paris Agreement	700,000 2018	PC	300,000
World Resources Institute **10 G St. NE** **Washington, DC 20002-4213**	To support the US Climate Alliance Natural & Working Lands Initiative	100,000 2018	PC	100,000
World Resources Institute **10 G St. NE** **Washington, DC 20002-4213**	To support the development of a greenhouse gas inventory protocol for assessing forest, urban tree and land use carbon emissions and/or biosequestration at subnational scales	190,000 2018	PC	190,000
Yale University **Po Box 208356** **New Haven, CT 06520-8356**	To build the capacity of the U S climate action community to conduct strategic communication campaigns, using cutting-edge communication research, tactics and tools	200,000 2018	PC	200,000
			Total Environment	18,785,881
Media Fund				
East-West Center **1601 East West Road** **Honolulu, HI 96848-1601**	To support the 2016-18 Senior Journalists Seminar	150,000 2016	PC	37,500
Greater Washington Educational Telecommunications Association, Inc. **3939 Campbell Ave** **Arlington, VA 22206-3440**	To support PBS NewsHour programming related to DDCF fields of interest and expand its production of high-quality journalism across platforms	150,000 2017	PC	100,000

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
National Public Radio **1111 North Capitol St Nw** **Washington, DC 20002-0000**	To provide general operating support for NPR programming that advances DDCF programmatic goals and supports credible, high-quality professional journalism about issues important to DDCF's work	1,000,000 2017	PC	412,500
			Total Media Fund	550,000
Medical Research				
Albert Einstein College Of Medicine **1300 Morris Park Ave** **Bronx, NY 10461-1900**	Characterization of the Stem and Progenitor Cell Compartment in Sickle Cell Disease and Optimization of Gene Transfer at AAVS1 Site in CD49f+ LT-HSCs	986,050 2017	PC	39,378
American Physician Scientists Association Inc **4 Lan Drive, Suite 310** **Westford, MA 01886-3576**	To support the American Physician Scientists Association 2018 Annual Meeting	10,000 2018	PC	10,000
American Society Of Hematology Inc **2021 L St NW #900** **Washington, DC 20036-4929**	To support a public workshop focused on clinical endpoints for Sickle Cell Disease	50,000 2018	PC	50,000
Arthur Ashe Institute For Urban Health Inc **450 Clarkson Avenue, 1232** **Brooklyn, NY 11203**	To support the Arthur Ashe Institute for Urban Health Clinical Research Continuum High School to College	557,000 2016	PC	208,720
Baylor College of Medicine **One Baylor Plaza** **Houston, TX 77030-3411**	Systematic search for targeted therapeutic entry points for SYNGAP1 haploinsufficiency - implications for neurodevelopmental disorder therapies	495,000 2017	PC	165,000
Beth Israel Deaconess Medical Center **330 Brookline Ave** **Boston, MA 02215-5400**	A new approach to patient safety identifying risky clinical states to reduce preventable harms for hospitalized patients.	495,000 2017	PC	165,000
Bradley University **1501 W Bradley Ave** **Peoria, IL 61625**	To support the Clinical Research (CREST) Internships for High School Students and Undergraduate Mentors	367,000 2016	PC	138,130
Charles Drew University of Medicine & Science **1731 E. 120th St** **Los Angeles, CA 90059**	To support Project STRIDE (Students Training in Research Involving Disparity Elimination)	497,500 2016	PC	186,450
Children's Hospital & Research Center at Oakland **747 52nd St** **Oakland, CA 94609-1809**	To support the Children's Hospital Oakland Research Institute (CHORI) Summer Student Research Program	405,000 2016	PC	151,800
Children's Hospital Boston **1295 Boylstyon St** **Boston, MA 02215-3407**	High-resolution and high-throughput genome editing to determine minimal repressive sequences within the beta-globin gene cluster and establish lead compounds for therapeutic re-induction of fetal hemoglobin in SCD	486,000 2015	PC	81,000
Childrens Hospital Los Angeles **4650 Sunset Blvd** **Los Angeles, CA 90027**	To support The Samuels Family LA-HIP Clinical Research Continuum High School to College	588,500 2016	PC	222,715
Children's Hospital of Philadelphia **3401 Civic Center Blvd** **Philadelphia, PA 19104**	Expanding genomic approaches to transfusion therapy for patients with sickle cell disease	486,000 2015	PC	81,000
Children's Hospital of Philadelphia **3401 Civic Center Blvd** **Philadelphia, PA 19104**	Producing highly active lymphocytes for adoptive immunotherapy	495,000 2016	PC	82,500

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
Children's Hospital of Philadelphia **3401 Civic Center Blvd** **Philadelphia, PA 19104**	A randomized clinical trial of intranasal oxytocin to promote weight loss in children and adolescents with hypothalamic obesity syndrome	495,000 2016	PC	82,500
Children's Research Institute **111 Michigan Ave NW** **Washington, DC 20010-2916**	Determining the fertility preservation and immunobiology of non-myeloablative transplant for sickle cell disease	275,000 2018	PC	275,000
Cincinnati Children's Hospital **3333 Burnet Ave MI 4900** **Cincinnati, OH 45229-3026**	Genetic variants influencing the phenotypic expression of sickle cell anemia	486,000 2015	PC	81,000
City Of Hope **1500 East Duarte Road** **Duarte, CA 91010**	Effect of DNA demethylation therapy on the Wnt pathway in lung cancer stem cells	495,000 2016	PC	82,500
Cleveland Clinic Foundation **6801 Brecksville Rd Rk1-85** **Independence, OH 44131-5032**	Oral Microbiomic and Mycobiomic Profiles and their Interactions in Head and Neck Squamous Cell Carcinoma (HNSCC)	70,400 2018	PC	70,400
Columbia University **615 W 131 St Mc8741** **New York, NY 10027-7922**	Developing novel clinical approaches to reduce childhood obesity risk factors during the first 1,000 days	495,000 2018	PC	247,500
Conquer Cancer Foundation of the American Society of Clinical Oncology **2318 Mill Rd, Ste 800** **Alexandria, VA 22314-6834**	To support building consensus for clinical trial data sharing policy among cancer journals	25,000 2018	PC	25,000
Joan & Sanford I. Weill Medical College of Cornell University **377 Pine Tree Rd** **Ithaca, NY 14850-2820**	Longitudinal Study of the Effect of Praziquantel Treatment of Schistosoma mansoni on the Female Genital Mucosal Immune System and Microbiome	495,000 2017	PC	165,000
Joan & Sanford I. Weill Medical College of Cornell University **377 Pine Tree Rd** **Ithaca, NY 14850-2820**	Epigenetic landscapes of rearranged driver-negative cancers	495,000 2017	PC	165,000
Critical Path Institute **1730 E River Rd Ste 200** **Tucson, AZ 85718-5893**	To support pre-consortium planning for advancing the development of therapies for sickle cell disease	100,000 2018	PC	100,000
Dana-Farber Cancer Institute **450 Brookline Ave** **Boston, MA 02215-5418**	Therapeutic targeting of the acute myeloid leukemia stem cell	495,000 2017	PC	165,000
Dana-Farber Cancer Institute **450 Brookline Ave** **Boston, MA 02215-5418**	Understanding Therapeutic Efficacy and Resistance in Patients with Metastatic Pancreatic Ductal Adenocarcinoma	495,000 2017	PC	165,000
Duke University **324 Blackwell St Ste 850** **Durham, NC 27701-3659**	High-throughput Metabolite Profiling and Genetic Analyses to Identify Novel Predictive Biomarkers of SCD-related Complications	486,000 2015	PC	81,000
Duke University **324 Blackwell St Ste 850** **Durham, NC 27701-3659**	Fund to Retain Clinical Scientists at Duke University	583,200 2015	PC	108,000
Duke University **324 Blackwell St Ste 850** **Durham, NC 27701-3659**	Healthcare Disparities and Variation in Care of Patients with Peripheral Artery Disease At Risk for Lower Extremity Amputation and Poor Cardiovascular Outcomes	495,000 2016	PC	82,500
Duke University **324 Blackwell St Ste 850** **Durham, NC 27701-3659**	International Clinical Research Fellowships for Medical Students	462,000 2016	PC	231,000

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
Duke University **324 Blackwell St Ste 850** **Durham, NC 27701-3659**	Development of broadly neutralizing antibodies in HIV-infected children	70,400 2018	PC	70,400
Foundation for the NIH **11400 Rockville Pike, Suite 600** **Bethesda, MD 20852-3004**	To renew support for the National Institutes of Health (NIH) Medical Research Scholars Program, to foster biomedical research careers	675,000 2018	PC	675,000
Harvard University **1033 Massachusetts Ave Ste 3** **Cambridge, MA 02138-5366**	Using pathogen genomics and patient data to define determinants of persistent MRSA colonization and of success of decolonization	495,000 2016	PC	82,500
Harvard University **1033 Massachusetts Ave Ste 3** **Cambridge, MA 02138-5366**	International Clinical Research Fellowships for Medical Students	462,000 2016	PC	231,000
Health Research Alliance Inc. **65 TW Alexander Drive** **Unit 13605** **Rtp, NC 27709**	2018 Membership and general operating support	10,000 2018	PC	10,000
Health Research Alliance Inc. **65 TW Alexander Drive** **Unit 13605** **Rtp, NC 27709**	To support additional programming to make HRA Reporter data publicly accessible	27,500 2018	PC	27,500
Henry M Jackson Foundation For The Advancement Of Military Medicine **6720-A Rockledge Drive, Suite 100** **Bethesda, MD 20817-1891**	Genetic and Cutaneous Indicators of Disease Severity in Tuberous Sclerosis Complex	70,400 2018	PC	70,400
Indiana University **400 E 7Th St Rm 501** **Bloomington, IN 47405-3004**	Systems analysis to understand protective immunity induced by an attenuated sporozoite malaria vaccine in African infants	495,000 2018	PC	247,500
Indiana University **400 E 7Th St Rm 501** **Bloomington, IN 47405-3004**	To support a study to optimize hydroxyurea therapy in children with sickle cell anemia in Uganda	300,000 2016	PC	100,000
Johns Hopkins University **300 East Joppa Road, 5th floor** **Baltimore, MD 21286**	Quantifying the risk and survival benefit of incompatible live donor kidney transplantation in the modern era	495,000 2018	PC	247,500
Johns Hopkins University **300 East Joppa Road, 5th floor** **Baltimore, MD 21286**	Johns Hopkins School of Medicine Doris Duke Clinical Investigator Support Program	583,200 2015	PC	108,000
Johns Hopkins University **300 East Joppa Road, 5th floor** **Baltimore, MD 21286**	Defining mechanisms of innate immune programming and dysfunction in HIV disease	495,000 2018	PC	247,500
Massachusetts General Hospital **399 Revolution Dr Ste 645** **Somerville, MA 02145-1465**	Skeletal Fragility and Fracture Risk after Bariatric Surgery	495,000 2016	PC	82,500
Massachusetts General Hospital **399 Revolution Dr Ste 645** **Somerville, MA 02145-1465**	Preventing Perioperative Medication Errors Through the Reduction of Clinical Decision Support Alert Overrides	495,000 2018	PC	247,500
Massachusetts General Hospital **399 Revolution Dr Ste 645** **Somerville, MA 02145-1465**	Comprehensive assessment of the evolution of acquired drug resistance in EGFR mutant non-small cell lung cancer	495,000 2017	PC	165,000
Medical University Hospital Authority **169 Ashley Avenue Suite 203** **Charleston, SC 29425-8905**	Program to Enhance the Retention of Clinicians at the Medical University of South Carolina	583,200 2015	PC	108,000

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
Memorial Sloan Kettering Cancer Center **1275 York Avenue** **New York, NY 10065-6007**	Identifying Cross-Reactive Binding Targets for a Therapeutic TCRm for HPV Induced Cancers	70,400 2018	PC	70,400
Memorial Sloan Kettering Cancer Center **1275 York Avenue** **New York, NY 10065-6007**	Optimizing CAR T cell design to address antigen heterogeneity	70,400 2018	PC	70,400
New York University **105 E 17Th Street - 2Nd Floor** **53 Washington Square South, room 308** **New York, NY 10003-2170**	Fund to Retain Clinical Scientists at NYU Langone Medical Center	583,200 2015	PC	108,000
New York University **105 E 17Th Street - 2Nd Floor** **53 Washington Square South, room 308** **New York, NY 10003-2170**	To convene Fund to Retain Clinical Scientists (FRCS) program directors, awardees and outside experts in discussion and activities that will benefit the early-career physician-scientist community at large	45,000 2018	PC	45,000
Northwestern University **1800 Sherman Ave, 3rd Floor** **Evanston, IL 60201**	Identification of the genetic basis of altered T cell receptor signaling in cutaneous T cell lymphoma	270,000 2016	PC	45,000
Northwestern University **1800 Sherman Ave, 3rd Floor** **Evanston, IL 60201**	Identifying NF-kB Targets in Cutaneous T Cell Lymphoma	70,400 2018	PC	70,400
Physician Scientist Support Foundation **5520 Alta Vista Road** **Bethesda, MD 20814-1610**	To support the activities of the Physician Scientist Support Foundation	25,000 2018	PC	25,000
Research Foundation for Mental Hygiene Inc **722 W 168th St #310** **New York, NY 10032**	Dopamine Transmission in the Psychosis Prodrome a [11C]--(+)--PHNO PET Study	495,000 2016	PC	82,500
St. Jude Children's Research Hospital **262 Danny Thomas Pl** **Memphis, TN 38105-3678**	Genome Editing of Bone Marrow and Plerixafor-mobilized CD34+ Cells to Raise Fetal Hemoglobin Levels in Sickle Cell Disease	990,000 2017	PC	217,412
Stanford University **3145 Porter Drive** **Palo Alto, CA 94304-1234**	Immune Sensors of Preterm Labor A Systems Immunology Analysis with Mass Cytometry	495,000 2018	PC	247,500
Stanford University **3145 Porter Drive** **Palo Alto, CA 94304-1234**	Regulators of Skin Cancer Progression	495,000 2018	PC	247,500
Stanford University **3145 Porter Drive** **Palo Alto, CA 94304-1234**	Statin-associated diabetes Identifying risk factors and physiologic mechanisms	495,000 2016	PC	82,500
Stanford University **3145 Porter Drive** **Palo Alto, CA 94304-1234**	Functional genetic characterization of a novel host determinant of Plasmodium falciparum malaria	495,000 2016	PC	82,500
Stanford University **3145 Porter Drive** **Palo Alto, CA 94304-1234**	To support the Stanford SIMR Program- Clinical Research Experiences	304,000 2016	PC	113,850

ATTACHMENT 16

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
Stanford University **3145 Porter Drive** **Palo Alto, CA 94304-1234**	Epigenetic Modulation of Chromatin Architecture in Human Fibroblasts as a Novel Therapeutic Approach for Wound Healing and Regeneration	495,000 2018	PC	247,500
Stanford University **3145 Porter Drive** **Palo Alto, CA 94304-1234**	Pulmonary neuroendocrine cell signaling in the developing lung and in disease	495,000 2018	PC	247,500
Tufts University **62R Talbot Ave** **Medford, MA 02155-5813**	Finding and retaining India's "missing" tuberculosis patients informing large-scale active case-finding strategies through analyses of the care cascade and geospatial mapping	495,000 2018	PC	247,500
Union Of Concerned Scientists Inc. **2 Brattle Sq Ste 6** **Cambridge, MA 02138-3756**	To support Building the Enduring Capacity to Promote Science for the Public Good	300,000 2018	PC	225,000
Universities Allied for Essential Medicines **641 S Street NW** **Washington, DC 20001-5196**	To support the UAEM North America Annual Conference	10,000 2018	PC	10,000
University of California, Los Angeles **10920 Wilshire Blvd Ste 620** **Los Angeles, CA 90024-6505**	Cas9 Fusion Proteins for Improved Sickle Cell Disease Gene Correction	275,000 2018	PC	275,000
University of California, San Francisco **Ucsf Box 0815 Suite 425** **San Francisco, CA 94143-0000**	Genomic determinants and biomarkers of Barrett's esophagus progression	495,000 2018	PC	247,500
University of California, San Francisco **Ucsf Box 0815 Suite 425** **San Francisco, CA 94143-0000**	Fund to Retain Clinical Scientists at University of California, San Francisco	583,200 2015	PC	108,000
University of California, San Francisco **Ucsf Box 0815 Suite 425** **San Francisco, CA 94143-0000**	Mechanisms of incomplete response and primary resistance to osimertinib in EGFR-mutant lung cancer	330,000 2018	PC	165,000
University of California, San Francisco **Ucsf Box 0815 Suite 425** **San Francisco, CA 94143-0000**	Measuring and Modeling Effects of Inter-Individual Protein-Level Buffering on Phenotypic Penetrance of DNA Copy Number Variants	495,000 2016	PC	82,500
University of California, San Francisco **Ucsf Box 0815 Suite 425** **San Francisco, CA 94143-0000**	International Clinical Research Fellowships for Medical Students	462,000 2016	PC	231,000
University of California, San Francisco **Ucsf Box 0815 Suite 425** **San Francisco, CA 94143-0000**	Elucidating the role of commensals in atopic dermatitis	495,000 2017	PC	165,000
University of Colorado **1800 N Grant St Ste 600** **Denver, CO 80203-1148**	Use of essential amino acid supplementation to decrease metabolic disease in high risk obese girls with polycystic ovarian syndrome	495,000 2018	PC	247,500
University of Colorado **1800 N Grant St Ste 600** **Denver, CO 80203-1148**	Fund to Retain Clinical Scientists at the University of Colorado Anschutz Medical Campus School of Medicine	583,200 2015	PC	108,000

ATTACHMENT 16

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
University of Colorado **1800 N Grant St Ste 600** **Denver, CO 80203-1148**	Precursor ribosomal RNA as a novel marker of treatment efficacy in tuberculosis	495,000 2017	PC	165,000
University of Michigan **3003 S. State Street** **Ann Arbor, MI 48109-0000**	Defining the epigenetic landscape of pediatric posterior fossa ependymomas	495,000 2016	PC	82,500
University of Michigan **3003 S. State Street** **Ann Arbor, MI 48109-0000**	A new paradigm in cutaneous lupus dissecting the interplay between interferons and Staphylococcus aureus	495,000 2017	PC	165,000
University of Michigan **3003 S. State Street** **Ann Arbor, MI 48109-0000**	Epigenetic Regulation of Diabetic Wounds Promotes Chronic Inflammation and Prevents Healing	485,000 2017	PC	165,000
University of Michigan **3003 S. State Street** **Ann Arbor, MI 48109-0000**	To renew support for an independent prospective evaluation of the Fund to Retain Clinical Scientists program	694,000 2018	PC	694,000
University of Minnesota Foundation **210 Oak Street, SE. Suite 500** **Minneapolis, MN 55455-2010**	International Clinical Research Fellowships for Medical Students	462,000 2016	PC	231,000
University of North Carolina at Chapel Hill **104 Airport Dr Cb 1270** **Chapel Hill, NC 27599-5023**	Fund to Retain Clinical Scientists at the University of North Carolina at Chapel Hill School of Medicine	583,200 2015	PC	108,000
University of North Carolina at Chapel Hill **104 Airport Dr Cb 1270** **Chapel Hill, NC 27599-5023**	International Clinical Research Fellowships for Medical Students	462,000 2016	PC	231,000
University of Pennsylvania **3451 Walnut Street** **Philadelphia, PA 19104-6205**	Using Social Incentives and Patient-Generated Health Data to Change Health Behaviors and Improve Glycemic Control Among Type 2 Diabetics	495,000 2016	PC	35,239
University of Pennsylvania **3451 Walnut Street** **Philadelphia, PA 19104-6205**	Identifying biomarkers of treatment response in insomnia and depression with a metabolomics platform	495,000 2017	PC	165,000
University of Pittsburgh **116 Atwood St Ste 201** **Scaife Hall** **Pittsburgh, PA 15213-3402**	To support the University of Pittsburgh Cancer Institute and Doris Duke Charitable Foundation Academy	506,000 2016	PC	189,750
University of Pittsburgh **116 Atwood St Ste 201** **Scaife Hall** **Pittsburgh, PA 15213-3402**	To support the Clinical Research Continuum High School to College Annual Directors Meeting	23,568 2018	PC	36,600
University of Texas Foundation **Po Box 250** **Austin, TX 78767-0250**	Cancer-specific RNA Editing as a Novel Mechanism for Chemoresistance in Pancreatic Cancer	486,000 2015	PC	81,000
University of Texas Foundation **Po Box 250** **Austin, TX 78767-0250**	A biomarker-based, combination therapy with immune checkpoint blockade and pemetrexed for MTAP-deficient metastatic bladder cancer	495,000 2018	PC	247,500
University of Utah **1395 East Presidents Circle Room 190** **Salt Lake City, UT 84112**	Protein Kinase C as a Target for Reactivation of Latent HIV-1	495,000 2016	PC	82,500

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
University of Washington **407 Gerberding Hall** **Seattle, WA 98195-0001**	T Cell Memory to Mycobacterial Lipids	495,000 2016	PC	82,500
University of Washington **407 Gerberding Hall** **Seattle, WA 98195-0001**	Genomic and quorum sensing adaptation of Pseudomonas aeruginosa in cystic fibrosis	495,000 2017	PC	165,000
University of Wisconsin Foundation **1848 University Ave** **Madison, WI 53726-4090**	To support the University of Wisconsin Surgery Clinical Research Experiences for Underrepresented Students	551,500 2016	PC	206,780
University of Wisconsin Foundation **1848 University Ave** **Madison, WI 53726-4090**	Impairment of Neutrophil Function by Biofilms on Medical Devices	495,000 2017	PC	165,000
Vanderbilt University Medical Center **1161 21St Ave S Suite D3300 Mcn** **Nashville, TN 37232-0011**	Mechanisms of disease initiation and propagation in pulmonary fibrosis	495,000 2018	PC	247,500
Vanderbilt University Medical Center **1161 21St Ave S Suite D3300 Mcn** **Nashville, TN 37232-0011**	Fund to Retain Clinical Scientists at Vanderbilt University Medical School	583,200 2015	PC	108,000
Vanderbilt University Medical Center **1161 21St Ave S Suite D3300 Mcn** **Nashville, TN 37232-0011**	New Approaches to Precision Dosing for Special Populations	495,000 2017	PC	165,000
Vanderbilt University Medical Center **1161 21St Ave S Suite D3300 Mcn** **Nashville, TN 37232-0011**	Phase 2 study of theophylline for the treatment of pseudohypoparathyroidism	495,000 2018	PC	247,500
Vanderbilt University Medical Center **1161 21St Ave S Suite D3300 Mcn** **Nashville, TN 37232-0011**	Low baseline BMI is associated with a Longitudinal decline in FEV1% predicted in patients with Sickle Cell Anemia	70,400 2018	PC	70,400
Vivli Inc **14 Story St Ste 4** **Cambridge, MA 02138-4955**	To support implementation of the Global Platform for Open Reproducible Clinical Research	750,000 2018	PC	750,000
Washington University **700 Rosedale Ave** **Saint Louis, MO 63112-1408**	Fund to Retain Clinical Scientists at Washington University School of Medicine	583,200 2015	PC	108,000
Washington University **700 Rosedale Ave** **Saint Louis, MO 63112-1408**	Immune Regulation of Atopic Dermatitis and Itch	495,000 2016	PC	82,500
Washington University **700 Rosedale Ave** **Saint Louis, MO 63112-1408**	Transmission and development of the human infant virome	495,000 2017	PC	165,000
Washington University **700 Rosedale Ave** **Saint Louis, MO 63112-1408**	Identifying epigenetic drivers in acute myeloid leukemia	495,000 2018	PC	247,500
Yale University **Po Box 208356** **New Haven, CT 06520-8356**	Fund to Retain Clinical Scientists at Yale School of Medicine	583,200 2015	PC	108,000

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
Yale University **Po Box 208356** **New Haven, CT 06520-8356**	Dissecting the epithelial-mesenchymal interactions at the interface of human skin regeneration and cancer	495,000 2018	PC	247,500
Yale University **Po Box 208356** **New Haven, CT 06520-8356**	International Clinical Research Fellowships for Medical Students	462,000 2016	PC	231,000
Yale University **Po Box 208356** **New Haven, CT 06520-8356**	Developing and Testing the Effect of an Integrated Patient-Centered HIV Prevention Decision Aid on PrEP Uptake among Women who use Drugs Entering Treatment	495,000 2017	PC	165,000
		Total Medical Research		16,784,624
Philanthropic Sector				
Center for Effective Philanthropy **675 Massachusetts Ave., 7th fl** **Cambridge, MA 02139-3393**	Philanthropic Sector Support 2018	10,000 2018	PC	10,000
Communications Network **1717 N Naper Blvd Ste 102** **Naperville, IL 60563-8837**	Philanthropic Sector Support 2018	1,500 2018	PC	1,500
Council on Foundations **1255 23rd St NW, Suite 200** **Washington, DC 20037**	Philanthropic Sector Support 2018	25,000 2018	PC	25,000
Foundation Center dba Candid **32 Old Slip 24th Floor** **New York, NY 10005-0000**	Philanthropic Sector Support 2018	25,000 2018	PC	25,000
Guidestar USA Inc **4801 Courthouse St Ste 220** **Williamsburg, VA 23188-2678**	Philantrhopic Sector Support 2018	5,000 2018	PC	5,000
Independent Sector **1602 L St NW Ste 900** **Washington, DC 20036-5682**	Philanthropic Sector Support 2018	17,500 2018	PC	17,500
PEAK Grantmaking **1666 K St Nw Ste 440** **Washington, DC 20006-1242**	Philanthropic Sector Support 2018	7,000 2018	PC	7,000
Philanthropy New York **320 East 43rd St** **New York, NY 10017**	Philanthropic Sector Support 2018	28,000 2018	PC	28,000
Technology Affinity Group **23 Briar Rd** **Wayne, PA 19087-2602**	Philantrhopic Sector Support 2018	2,000 2018	PC	2,000
		Total Philanthropic Sector		121,000
Other				
AFS Intercultural Programs Inc **71 W 23rd St 6th Floor** **New York, NY 10010-4102**	General support	20,000 2018	PC	20,000
American National Red Cross **2025 E St Nw** **Washington, DC 20006-5009**	General support	20,000 2018	PC	20,000
Asia Society **725 Park Avenue** **New York, NY 10021-5025**	To support performing arts programs	5,000 2018	PC	5,000

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
Barnard College **3009 Broadway** **New York, NY 10027-6909**	General support	5,000 2018	PC	5,000
The Broadway Dance Lab, Inc. **433 West 34th Street, Suite 19A** **New York, NY 10013-1410**	General support	1,250 2018	PC	1,250
Carnegie Hall **881 Seventh Avenue** **New York, NY 10019-3210**	To support NYO Jazz	100,000 2018	PC	100,000
Columbia University **615 W 131 St Mc8741** **New York, NY 10027-7922**	To support Columbia University Press	2,500 2018	PC	2,500
Columbia University **615 W 131 St Mc8741** **New York, NY 10027-7922**	To support the Committee on Global Thought	5,000 2018	PC	5,000
Conductors Retreat At Medomak **8 Westbury Ct** **Ann Arbor, MI 48105-1411**	General support	2,500 2018	PC	2,500
Council on Foreign Relations Inc **58 E 68th St** **New York, NY 10065-5953**	General support	50,000 2018	PC	50,000
Eco Art Project Inc. **325 West 45th Street** **New York, NY 10036-4191**	General support	1,250 2018	PC	1,250
Environmental Defense Fund, Incorporated **257 Park Avenue South** **New York, NY 10010-7304**	General support	12,500 2018	PC	12,500
Grassroot Soccer Inc **Po Box 712** **Norwich, VT 05055-0712**	General Support	20,000 2018	PC	20,000
An Infinite Mind **7413 Wynnewood Sq** **Winter Park, FL 32792-6559**	General support	2,500 2018	PC	2,500
KIPP Texas Public Schools **8509 Fm 969 Ste 513** **Austin, TX 78724-5708**	To support KIPP Houston Public Schools	15,000 2018	PC	15,000
Medecins Sans Frontiers USA, Inc. **40 Rector Street 16Th Floor** **New York, NY 10006-1751**	General support	12,500 2018	PC	12,500
Metropolitan Museum of Art **1000 Fifth Avenue** **New York, NY 10028-0113**	To support "Songs from the Spirit," a MetLiveArts new work choreographed by Silas Farley	15,000 2018	PC	15,000
The Nature Conservancy **4245 Fairfax Dr Ste 100** **Arlington, VA 22203-1637**	General support	40,000 2018	PC	40,000
Nest Inc **501 5Th Ave Rm 1608** **New York, NY 10017-7856**	General support	10,000 2018	PC	10,000
New York City Ballet, Inc. **20 Lincoln Center Plaza** **New York, NY 10023-6913**	To support the new ballet choreographed by Kyle Abraham	25,000 2018	PC	25,000

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
New York Public Library **445 Fifth Avenue8Th Floor** **New York, NY 10016-0133**	To support the Dance Research Fellows of the New York Public Library for the Performing Arts Jerome Robbins Dance Division	7,500 2018	PC	7,500
New York-Presbyterian Fund Inc **525 E 68Th St Box 156** **New York, NY 10065-4870**	General support	50,000 2018	PC	50,000
Partners In Health a Nonprofit Corporation **800 Boylston St Ste 300** **Boston, MA 02199-7032**	General support	12,500 2018	PC	12,500
Peconic Land Trust Incorporated **296 Hampton Road** **Southampton, NY 11968-5030**	General support	2,500 2018	PC	2,500
Public Broadcasting Service **2100 Crystal Drive** **Arlington, VA 22202-3784**	General support	20,000 2018	PC	20,000
Robert W Woodruff Arts Center Inc **1280 Peachtree St NE** **Atlanta, GA 30309**	To support guest artists at the High Museum of Art	10,000 2018	PC	10,000
Salk Institute For Biological Studies **10010 N Torrey Pines Rd** **La Jolla, CA 92037-1002**	General support	5,000 2018	PC	5,000
Smithsonian Institution **1000 Jefferson Drive Southwest** **Washington, DC 20560-0008**	To support the Asia Pacific American Center	10,000 2018	PC	10,000
Spelman College	To support the guest artist program	25,000 2018	PC	25,000
Studio Museum In Harlem Inc **144 W 125th St** **New York, NY 10027-4423**	To support the artist-in-residence program	15,000 2018	PC	15,000
Triangle Land Conservancy Inc **514 S Duke St** **Durham, NC 27701-3116**	General Support	12,500 2018	PC	12,500
University of Michigan **3003 S. State Street** **Ann Arbor, MI 48109-0000**	To support the School for Environment and Sustainability's Center for Sustainable Systems	10,000 2018	PC	10,000
University of Michigan **3003 S. State Street** **Ann Arbor, MI 48109-0000**	To support pediatric cancer research at CS Mott Children's Hospital	5,000 2018	PC	5,000
Urban Institute **2100 M Street NW** **Washington, DC 20037-1207**	General support	10,000 2018	PC	10,000
WACO Theater Center **5144 Lankershim Blvd** **N Hollywood, CA 91601-3719**	General support	10,000 2018	PC	10,000
Whole Child International **2444 Wilshire Boulevard, Suite 285** **Santa Monica, CA 90403**	General support	10,000 2018	PC	10,000

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
William Marsh Rice University **Po Box 1892** **Houston, TX 77251-1892**	To support the Rice Emerging Scholars Program	40,000 2018	PC	40,000
William Marsh Rice University **Po Box 1892** **Houston, TX 77251-1892**	To support graduate education at the Department of Civil and Environmental Engineering	5,000 2018	PC	5,000
			Total Other	625,000
Eureka Foundation Inc. **12 east 12th Street, #10** **New York, NY 10003**	To support educational programming in connection with GREAT MUSEUMS MASTERWORKS of Islamic Art	75,000 2016	PF	0
			Grand Totals	**81,245,707**

ATTACHMENT 16

PART XV - GRANTS APPROVED FOR FUTURE PAYMENT

SUMMARY BY PROGRAM AREA Recipient and/or Purpose	Approved for Future Payment
TOTAL ARTS	15,000,937
TOTAL ARTS INITIATIVE	1,650,000
TOTAL CHILD WELL-BEING	7,002,403
TOTAL ENVIRONMENT	11,399,000
TOTAL MEDICAL RESEARCH	4,372,500
GRAND TOTAL	39,424,840

PART XV, 3b - GRANTS APPROVED IN 2018 FOR FUTURE PAYMENT

Organization	Purpose	Tax Status	Amount
Arts			
651 Arts **1000 Dean Street #232** **Brooklyn, NY 11238-3381**	To develop and operate the Jazz Accelerator program, a pilot project designed to support the career advancement of women jazz musicians	PC	$93,750
Afro Latin Jazz Alliance of New York Inc **Minisink Townhouse, 646 Malcolm X Blvd, Suite 205** **New York, NY 10037**	Leadership Grants for Arts Organizations 2018	PC	$200,000
Appalshop, Inc. **91 Madison Ave** **Whitesburg, KY 41858-9317**	To support Appalshop's programmatic capacity and financial stability by providing lead support for establishment of a Working Capital Reserve Fund and an Artistic Reserve Fund	PC	$100,000
Association of Performing Arts Professionals Inc. **919 18th St. NW, Suite 650** **Washington, DC 20036-2716**	Core Support for National Arts Service 2018	PC	$50,000
Brooklyn Academy of Music, Inc. **30 Lafayette Avenue** **Brooklyn, NY 11217-1430**	To support the presentation of national and international dance in the BAM Fisher and BAM Harvey Theaters in the 2018 and 2019 seasons	PC	$250,000
CapitalBop **2101 Connecticut Ave Nw** **Washington, DC 20008-1728**	Leadership Grants for Arts Organizations	PC	$50,000
Chamber Music America **12 West 32nd Street** **7th Floor** **New York, NY 10001**	To support jazz musicians and presenters through project grants that fund new works, touring collaborations, and performing and recording opportunities	PC	$1,700,000
Community Funds, Inc. **909 Third Avenue** **New York, NY 10022-4731**	To support The Mosaic Fund, promoting an arts ecosystem that values racial equity, a donor collaborative of The New York Community Trust	PC	$250,000
Creative Capital Foundation **15 Maiden Lane** **18th Floor** **New York, NY 10038-4003**	Core Support for National Arts Service 2018	PC	$62,500
Dance/USA **1029 Vermont Avenue, NW** **Suite 400** **Washington, DC 20005-3517**	Core Support for National Arts Service 2018	PC	$50,000
Dance/USA **1029 Vermont Avenue, NW** **Suite 400** **Washington, DC 20005-3517**	To support the Dance Artists and Social Practice regranting Initiative	PC	$600,000
DC Jazz Festival **515 M Street, SE, Suite 102-B** **Washington, DC 20003**	Leadership Grants for Arts Organizations	PC	$75,000
First Peoples Fund **706 West Boulevard** **Rapid City, SD 57709-2977**	To support funding and professional development opportunities for Native performing artists to strengthen their ability to advance their artistic expression, contribute to local economies, and serve as strong leaders within their communities	PC	$100,000
Fractured Atlas Inc **248 West 35th Street, 10th Floor** **New York, NY 10001-2505**	Core Support for National Arts Service 2018	PC	$50,000

GRANTS AND CONTRIBUTIONS APPROVED DURING THE YEAR FOR FUTURE PAYMENT

Organization	Purpose	Tax Status	Amount
Fresh Meat Productions **375 27th Street #A** **San Francisco, CA 94146-0670**	Leadership Grants for Arts Organizations 2018	PC	$150,000
H.T. Dance Company, Inc. **70 Mulberry St 2Nd Fl** **New York, NY 10013-4477**	Leadership Grants for Arts Organizations 2018	PC	$54,000
Intersection for the Arts **901 Mission St. Suite 306** **San Francisco, CA 94103-3067**	Leadership Grants for Arts Organizations support for Dancing Earth Creations, an indigenous contemporary dance ensemble	PC	$87,500
Jazz St. Louis **The Harold & Dorothy Steward Center for Jazz** **3536 Washington Ave** **St. Louis, MO 63103-1019**	Leadership Grants for Arts Organizations 2018	PC	$122,050
MAP Fund Inc **577 Grand Street** **#1801** **New York, NY 10002-3688**	To support contemporary performance makers that propose original projects with a deep exploration of art form or content	PC	$2,860,000
National New Play Network **641 D Street NW** **Washington, DC 20004-2904**	Core Support for National Arts Service 2018	PC	$40,000
National Performance Network **8121 Fig Street** **New Orleans, LA 70118**	Core Support for National Arts Service 2018	PC	$33,216
National Performance Network **8121 Fig Street** **New Orleans, LA 70118**	To support cross-disciplinary performing artists and presenting organizations to partner on the creation and development of new works	PC	$2,283,291
Network of Ensemble Theaters **Po Box 83526** **Portland, OR 97283-0526**	To further develop the Connector program which empowers ensembles and collaborative artists in the creative marketplace	PC	$30,080
New England Foundation for the Arts **145 Tremont Street** **7th Floor** **Boston, MA 02111-1214**	Core Support for National Arts Service 2018	PC	$37,500
New England Foundation for the Arts **145 Tremont Street** **7th Floor** **Boston, MA 02111-1214**	To support the National Dance Project, which enables artists to create, present and tour new dance work	PC	$3,344,100
PA'I Foundation **904 Kohou Street, Suite 102** **Honolulu, HI 96817-0483**	Leadership Grants for Arts Organizations 2018	PC	$50,000
Penumbra Theatre **270 Kent St** **Saint Paul, MN 55102-1744**	To support expansion of artEquity's Facilitator Training Program focused on diversity training in the arts	PC	$31,950
South Arts, Inc. **1800 Peachtree Street NW** **Suite 808** **Atlanta, GA 30309-0000**	To support the Jazz Road regranting program	PC	$2,000,000
Theatre Communications Group Inc. **520 8th Ave, 24th floor** **New York, NY 10018-6507**	Core Support for National Arts Service 2018	PC	$50,000

ATTACHMENT 16A

GRANTS AND CONTRIBUTIONS APPROVED DURING THE YEAR FOR FUTURE PAYMENT

Organization	Purpose	Tax Status	Amount
Yard Inc **1 The Yard** **Chilmark, MA 02535-0405**	Leadership Grants for Arts Organizations	PC	$196,000
		Total Arts	$15,000,937
Environment			
American Forests **1220 L St NW** **Washington, DC 20005-4079**	To expand U S forest sector interest in natural climate solutions (NCS), accelerate NCS uptake and by U S states, and improve federal policies that would help unlock NCS potential in federal spending and land stewardship	PC	$100,000
Climate Conservation **Po Box 1587** **Bozeman, MT 59771-1587**	To support the creation of the Landscape Conservation Catalyst Fund, a regrant and capacity building program to advance the effective practice of place-based, collaborative landscape conservation across the United States	PC	$300,000
Defenders of Wildlife **1130 17th Street NW** **Washington, DC 20036-4604**	To renew support for a project that expedites the sensitive siting of renewable energy projects through the development of federal and state energy and land management policies	PC	$2,550,000
The Nature Conservancy **4245 Fairfax Dr Ste 100** **Arlington, VA 22203-1637**	To generate measurable growth in global natural climate solutions (NCS) implementation and policy support, and build a larger coalition of public, private and nonprofit organizations promoting NCS pathways in the U S and internationally	PC	$1,421,000
Oregon Community Foundation **440 E BROADWAY** **SUITE 160** **Eugene, OR 97401**	Advancing Climate-Smart Land Conservation in the Pacific Northwest – a partnership between The Oregon Community Foundation, Seattle Foundation, Idaho Community Foundation, and the Land Trust Alliance	PC	$4,798,000
Union Of Concerned Scientists Inc. **2 Brattle Sq Ste 6** **Cambridge, MA 02138-3756**	To support Building the Enduring Capacity to Promote Science for the Public Good	PC	$75,000
Wildlife Conservation Society **2300 Southern Boulevard** **Bronx, NY 10460-1068**	To renew support for the Climate Adaptation Fund, a competitive re-grant program that funds conservation projects that promote wildlife adaptation to climate change	PC	$1,755,000
World Resources Institute **10 G St. NE** **Washington, DC 20002-4213**	To increase the inclusion of Natural Climate Solutions strategies within countries' Nationally Determined Contributions to the Paris Agreement	PC	$400,000
		Total Environment	$11,399,000
Medical Research			
Columbia University **615 W 131 St Mc8741** **New York, NY 10027-7922**	Developing novel clinical approaches to reduce childhood obesity risk factors during the first 1,000 days	PC	$247,500
Indiana University **400 E 7Th St Rm 501** **Bloomington, IN 47405-3004**	Systems analysis to understand protective immunity induced by an attenuated sporozoite malaria vaccine in African infants	PC	$247,500
Johns Hopkins University **300 East Joppa Road, 5th floor** **Baltimore, MD 21286**	Quantifying the risk and survival benefit of incompatible live donor kidney transplantation in the modern era	PC	$247,500
Johns Hopkins University **300 East Joppa Road, 5th floor** **Baltimore, MD 21286**	Defining mechanisms of innate immune programming and dysfunction in HIV disease	PC	$247,500
Massachusetts General Hospital **399 Revolution Dr Ste 645** **Somerville, MA 02145-1465**	Preventing Perioperative Medication Errors Through the Reduction of Clinical Decision Support Alert Overrides	PC	$247,500

GRANTS AND CONTRIBUTIONS APPROVED DURING THE YEAR FOR FUTURE PAYMENT

Organization	Purpose	Tax Status	Amount
Stanford University **3145 Porter Drive** **Palo Alto, CA 94304-1234**	Immune Sensors of Preterm Labor A Systems Immunology Analysis with Mass Cytometry	PC	$247,500
Stanford University **3145 Porter Drive** **Palo Alto, CA 94304-1234**	Regulators of Skin Cancer Progression	PC	$247,500
Stanford University **3145 Porter Drive** **Palo Alto, CA 94304-1234**	Epigenetic Modulation of Chromatin Architecture in Human Fibroblasts as a Novel Therapeutic Approach for Wound Healing and Regeneration	PC	$247,500
Stanford University **3145 Porter Drive** **Palo Alto, CA 94304-1234**	Pulmonary neuroendocrine cell signaling in the developing lung and in disease	PC	$247,500
Tufts University **62R Talbot Ave** **Medford, MA 02155-5813**	Finding and retaining India's "missing" tuberculosis patients informing large-scale active case-finding strategies through analyses of the care cascade and geospatial mapping	PC	$247,500
University of California, San Francisco **Ucsf Box 0815 Suite 425** **San Francisco, CA 94143-0000**	Genomic determinants and biomarkers of Barrett's esophagus progression	PC	$247,500
University of California, San Francisco **Ucsf Box 0815 Suite 425** **San Francisco, CA 94143-0000**	Mechanisms of incomplete response and primary resistance to osimertinib in EGFR-mutant lung cancer	PC	$165,000
University of Colorado **1800 N Grant St Ste 600** **Denver, CO 80203-1148**	Use of essential amino acid supplementation to decrease metabolic disease in high risk obese girls with polycystic ovarian syndrome	PC	$247,500
University of Texas Foundation **Po Box 250** **Austin, TX 78767-0250**	A biomarker-based, combination therapy with immune checkpoint blockade and pemetrexed for MTAP-deficient metastatic bladder cancer	PC	$247,500
Vanderbilt University Medical Center **1161 21St Ave S Suite D3300 Mcn** **Nashville, TN 37232-0011**	Mechanisms of disease initiation and propagation in pulmonary fibrosis	PC	$247,500
Vanderbilt University Medical Center **1161 21St Ave S Suite D3300 Mcn** **Nashville, TN 37232-0011**	Phase 2 study of theophylline for the treatment of pseudohypoparathyroidism	PC	$247,500
Washington University **700 Rosedale Ave** **Saint Louis, MO 63112-1408**	Identifying epigenetic drivers in acute myeloid leukemia	PC	$247,500
Yale University **Po Box 208356** **New Haven, CT 06520-8356**	Dissecting the epithelial-mesenchymal interactions at the interface of human skin regeneration and cancer	PC	$247,500
		Total Medical Research	$4,372,500
Child Well-being			
The Aspen Institute, Inc. **2300 N Street NW, Suite 700** **Washington, DC 20037-1122**	To support and strengthen the third cohort of the Ascend fellowship program and to provide general operating support for Ascend	PC	$500,000
The Brotherhood/Sister Sol Inc **512 West 143rd Street** **New York, NY 10031-6501**	To expand place-based programming activities for youth ages 8-22 as well as partnerships with other nonprofit organizations and the New York City school system	PC	$750,000
Chapin Hall Center for Children **1313 E. 60th Street** **Chicago, IL 60637-2830**	To strengthen the Doris Duke Fellows for the Promotion of Child Well-being early career researchers network and support research partnerships among current and past fellows and policy or practice partners	PC	$622,403

GRANTS AND CONTRIBUTIONS APPROVED DURING THE YEAR FOR FUTURE PAYMENT

Organization	Purpose	Tax Status	Amount
Children's Bureau of Southern California **1910 Magnolia Ave.** **Los Angeles, CA 90007-1220**	To support the Population Change Learning Community, strengthening the measurement capacity of place-based organizations	PC	$300,000
National Black Child Development Institute, Inc. **8455 Colesville Rd Suite 910** **Silver Spring, MD 20910**	To provide general operating support to National Black Child Development Institute (NBCDI) and launch the NBCDI Fellowship Program	PC	$700,000
National League of Cities Institute Inc. **660 North Capitol Street NW** **Suite 450** **Washington, DC 20001-1644**	To provide municipal leaders in Mississippi, Arkansas, and Louisiana with technical assistance and peer learning opportunities to develop and implement city-led strategies that will improve outcomes for children and families	SO I	$545,000
NDN Collective, Inc. **317 Main Street** **Rapid City, SD 57772-0459**	To support and strengthen Native-led community development organizations and develop and launch the NDN Collective Changemakers Fellowship	PC	$2,200,000
Tides Center **1014 Torney Avenue** **San Francisco, CA 94129-1755**	To expand the Foster America Fellowship Program to improve outcomes for children and families at risk of entering, or presently engaged with the foster care system	PC	$800,000
Valley Hospital Association dba Mat-Su Health Foundation **950 E. Bogard Rd. Ste 218** **Wasilla, AK 99654-7172**	To strengthen and expand the prevention and support services available to children and families in the Mat-Su region of Southcentral Alaska	PC	$585,000
		Total Child Well-being	$7,002,403
Arts Initiative			
Doris Duke Foundation Inc. **650 5th Ave fl 19** **New York, NY 10019-6108**	Doris Duke Artist Awards 2019	PF	$1,650,000
		Total Arts Initiative	$1,650,000
		Grand Totals	$39,424,840

Doris Duke Charitable Foundation
Form 990-PF - Return of Private Foundation
FYE: 12/31/2018
Direct Charitable Activities
Attachment 17

	2018
SERIES OF 3/4 VIDEOS HIGHLIGHT GOALS OF DDCF'S	$51,810
LEAP FORUM-LEARNING ENGAGING & ADVOCATING POLICY	$280,530
DANCE PANEL NYC	$2,000
JAZZ PANEL	$5,000
THEATER PANEL	$5,000
CORE SUPPORT PANEL	$2,695
DORIS DUKE ARTIST AWARD FINAL PANEL	$16,136
JAZZ FUNDERS MEETING	$10,000
NATIONAL SERVICE ORGANIZATIONS MEETING	$11,570
5TH GLOBAL SYMPOSIUM HEALTH SYSTEM RESEARCH	$10,130
AHI2-2nd ANNUAL GRANTEE MEETING 4/16-19	$12,956
AFRICAN HEALTH INITIATIVE SITE VISITS	$422
BUILDING DEMANDS CONVENING 10/18-21 NEW ORLEANS	$8,800
CWB- ADIVSORS MEETING	$7,424
CWB GRANTEE SUMMIT 6/4-6/5	$128,887
CHILD WELL BEING SITE VISITS	$3,419

DD CONSERVATION SCHOLAR PLANNING & LEADERSHIP MTGS	$13,453
DORIS DUKE CONSERVATION SCHOLARS PROGRAM	$296,332
ENVIRONMENT PROGRAM SITE VISITS	$2,502
CRM REVIEW	$6,000
CLINICAL RESEARCH MENTORSHIP MEETING 5/21-5/22/18	$7,234
CSDA STAGE 1 REVIEWERS	$34,007
MRP-CLINICAL SCIENTIST MTG-NYC 10/17-10/18/18	$61,365
2018 INTL CLINICAL RESEARCH FELLOWSHIP 2402-JUNE	$31,025
2018 SCIENTIFIC ADVISORY COUNCIL	$29,193
SCD-AC GRANTEE MTG NYC 11/5/18	$4,554
MEDICAL RESEARCH PROGRAM SITE VISITS	$558
Total	**$1,043,002**

All expenditures associated with the Foundation's direct charitable activities are paid by the Foundation's related party, Doris Duke Management Foundation. These expenses are reimbursed by the Foundation and are included as part of Programmatic and Administrative Expenses on Part I, Line 23

Doris Duke Charitable Foundation
Form 990-PF
Part IX-A: Summary of Direct Charitable Activities
FYE: 12/31/2018

Environment Activities

Doris Duke was a lifelong environmentalist with a keen interest in conservation. In her will, she expressed her interest in "the preservation of wildlife, both flora and fauna" and in supporting "ecological endeavors "

The mission of the Environment Program is *to ensure a thriving, resilient environment for wildlife and people and foster an inclusive, effective conservation movement* It supports environmental initiatives across the nation, and strives to accomplish its mission through the following strategies.

- Accelerate land conservation in an era of climate change through the protection of the most climate-resilient landscapes and stewardship strategies that help wildlife adapt to the impacts of climate change.

- Reduce impacts on the landscape from increased energy development and energy demand by facilitating the sensitive siting of energy infrastructure and deploying urban forests to reduce energy demand in the built environment.

- Encourage land stewardship and sustainability in the Tri-State area by supporting local efforts to manage wildlife habitat and create efficient, healthy, and vibrant built and natural environments in the greater New York City area

- Strengthen the conservation field by increasing diversity in the conservation workforce and inclusion of underrepresented communities in the conservation movement. Grow the capacity of the community focused on the permanent protection of high-priority wildlife habitat through support of science, public funding and collaborative conservation

Medical Research Activities

Doris Duke was a significant supporter of medical research, making contributions to hospitals and research centers throughout her life In her will, she expressed her interest in supporting "medical research designed to effectuate cures of major diseases."

The mission of the Medical Research Program is to support the prevention, diagnosis and treatment of human disease The Medical Research Program strives to accomplish its mission through the following strategies·

- Encourage and develop clinical research careers that build the clinical research career ladder by supporting physician scientists in the United States at different stages of their career

- Advance biomedical research and innovation through the support of innovative approaches to clinical research in targeted disease areas

African Health Activities

The foundation seeks to spur significant advances in strengthening health systems in sub-Saharan Africa through a special grant-making initiative spanning from 2008 - 2022. The African Health Initiative (AHI)

supports partnerships based at U S institutions that design, implement and evaluate large-scale models of care that link implementation research and workforce training directly to the delivery of primary healthcare in sub-Saharan Africa

Now in its second phase, the African Health Initiative is currently supporting three programs:

- The Ghana Community-based Health Planning and Services (CHPS+) Program expands the work supported through AHI Phase 1 from three pilot districts to three regions and includes training in district and facility health systems leadership and management, routine use of data for decision-making, and the use of implementation science to improve the quality of health service delivery

- The Mozambique Integrated Data and Evidence Action (IDEA) Program replicates and expands from one province in AHI Phase 1 to two provinces and includes conducting research that both improves implementation of national health policy and strategy development and increasing the capacity of district and facility management to better use data for decision-making and improved service delivery

- The Ethiopia Data Use Partnership (DUP) improves the collection and use of high-quality routine health information at all levels of the health system, including the rollout of a new electronic health system, to improve the quality, efficiency, and availability of primary healthcare and nutrition services in the four most populous regions in Ethiopia.

Child Well-Being Activities

Doris Duke took a special interest in the needs of children, supporting nearly 85 child welfare organizations during her life Her support included contributions to orphanages, camps, mentorship programs, little league teams, hospitals, and boys and girls clubs. In her will, Doris Duke expressed her interest in "the prevention of cruelty to children "

The mission of the Child Well-being Program is to promote children's healthy development and protect them from abuse and neglect To accomplish this mission, the program favors a public health approach and is especially interested in place-based, whole-community approaches that seek to engage a range of participants from various disciplines to ensure that family well-being is supported by strong communities It uses the following strategies to do so·

- Build a more robust repertoire of prevention strategies through the support of place-based interventions that provide services at the neighborhood level to ensure that a community, not only a parent, provides a setting that promotes child well-being

- Strengthen and expand the capacity of existing systems by supporting coordination within and across social service systems that engage with groups characterized as high risk for child abuse and neglect, particularly children from Native American/Alaska Native communities, youth in or transitioning out of foster care, and low-income families, to more effectively and efficiently meet their needs

- Develop and disseminate knowledge by building a broader audience for the ongoing research and implementation of best-practices for child well-being, as well as supporting the training and career development of diverse leaders engaged in the multidisciplinary work of child well-being

Performing Arts Activities

Doris Duke, in her will, expressed an interest in assisting "actors, dancers, singers, musicians and other artists of the entertainment world in fulfilling their ambitions and providing opportunities for the public presentation of their arts and talents." The Arts Program supports this interest by focusing its support on contemporary dance, jazz and theater artists, and the organizations that nurture, present and produce them.

The mission of the Arts Program is to support performing artists with the creation and public performance of their work The Arts Program accomplishes this mission through the following strategies·

- Support artistic creation and distribution through national competitive initiatives, mainly administered by intermediary organizations that support the commissioning, production, and presentation of new work in dance, jazz and theater Typically, these re-granting programs offer additional benefits to supported artists, including professional development, networking opportunities, and participation in conferences and other meetings

- Strengthen organizations that serve performing artists through grants that support their planning, capacity building, sustainability, technical assistance and implementation of bold, innovative approaches addressing current issues affecting the performing arts.

- Build the national sector by supporting activities that strengthen organizations critical to the health of the national dance, jazz, presenting and/or theater fields, as well as projects that have the potential to improve the stability and vitality of those fields

- Empower, invest in and celebrate artists by offering flexible, multi-year and unrestricted funding for artists in contemporary dance, theater, jazz and related interdisciplinary work

***

The $1,043,002 in direct charitable expenditures listed in Part IX-A represent amounts paid by the Doris Duke Charitable Foundation to support various meetings, conferences, seminars and other climate, conservation and/or medical research endeavours. Further information about each individual program listed in the attached schedule is available upon request

Doris Duke Charitable Foundation
Form 990-PF
FYE 12/31/2018

Form 990-PF, Part I, Line 6(a) & Part IV Statement
Attachment 20

Asset Class	Realized Gains
Short Term Investments	10,501
Fixed Income Investments	(4,921,137)
Marketable Equity Alternative Investments	2,610,049
Marketable Commingled Equity Investments	10,640,387
Marketable Commingled Fixed Investments	(2,909,913)
Marketable Distressed/High Yield Alternatives	3,182,035
Marketable Long/Short Equity Alternatives	20,454,488
Marketable Multi-Strategy Alternatives	10,294,026
Non-Exchange traded Buyout/Growth Funds	19,794,885
Non-Exchange Traded Distressed Funds	541,508
Non-Exchange Traded Fund of Funds	4,847,931
Non-Exchange Traded Real Assets	5,070,402
Non-Exchange TradedVenture Capital Funds	12,749,008
Allocation to Doris Duke Foundation (DDF)	(188,668)
Capital Gains/Losses Per Books - Part I, Line 6a	**$82,175,502**
Limited Partnership Investments	(13,299,432)
Less Short-Term Capital Gain from UBTI	(101,870)
Less: Long-Term Capital Gain from UBTI	(300,653)
Less: 1231 Gain from UBTI	(671,293)
Total Capital Gains - Part I, Line 7b	**$67,802,254**

A detailed schedule of the Foundation's capital gains and losses by specific funds held is available on request