

Form 990

Return of Organization Exempt From Income Tax

OMB No 1545-0047

2018

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter social security numbers on this form as it may be made public.

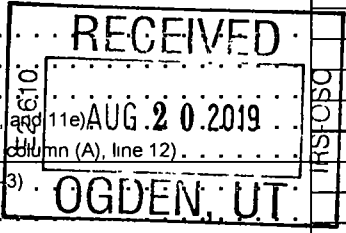
Go to www.irs.gov/Form990 for instructions and the latest information.

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Form 990 header section including A (calendar year), B (check if applicable), C (organization name), D (EIN), E (telephone), F (principal officer), G (gross receipts), H (subordinates), I (tax-exempt status), J (website), K (form of organization), L (year of formation), M (state of legal domicile).

Part I Summary

Table with 3 columns: Line number, Description, and Amount. Rows include 1 (Mission), 2-7 (Activities & Governance), 8-12 (Revenue), 13-19 (Expenses), and 20-22 (Net Assets or Fund Balances).



Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Signature of officer: William J Parker III - President & CEO, Date: 8/9/19.

Preparer information: PAUL HAMMERSCHMIDT, Date: 8/9/2019, Firm: BDO USA, LLP, Address: 100 PARK AVENUE, NEW YORK, NY 10017-5001.

May the IRS discuss this return with the preparer shown above? (see instructions) [X] Yes [ ] No

For Paperwork Reduction Act Notice, see the separate instructions. Form 990 (2018)

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Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III [X]

1 Briefly describe the organization's mission ATTACHMENT 1

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? [X] Yes [ ] No

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? [X] Yes [ ] No

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code ) (Expenses \$ 1,073,393 including grants of \$ 0 ) (Revenue \$ 0 ) ATTACHMENT 2

4b (Code ) (Expenses \$ 988,680 including grants of \$ 0 ) (Revenue \$ 0 ) ATTACHMENT 3

4c (Code ) (Expenses \$ 915,083 including grants of \$ 0 ) (Revenue \$ 0 ) NETWORKING & COMMUNICATIONS - THE ORGANIZATION'S NETWORKING AND COMMUNICATIONS IS AN ESSENTIAL LINK BETWEEN IDEAS AND POLICY. THE COMMUNICATIONS DEPARTMENT WORKS TO DISSEMINATE THE ORGANIZATION'S COMMENTARIES, PUBLICATIONS AND OTHER INNOVATIONS TO POLICYMAKERS AND OPINION LEADERS AROUND THE WORLD. IT WORKS WITH NEW AND TRADITIONAL MEDIA CHANNELS TO ENSURE THAT ITS IDEAS REACH AND ENGAGE DECISION MAKERS AND HELP FORGE COLLECTIVE ACTION FOR A SAFER AND BETTER WORLD.

4d Other program services (Describe in Schedule O) (Expenses \$ 899,699 including grants of \$ 0. ) (Revenue \$ 241,625 )

4e Total program service expenses 3,876,855.

ABDFLMO  
13-3091844

**Part IV Checklist of Required Schedules**

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A. . . . .	X	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)? . . . . .	X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I . . . . .		X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II . . . . .		X
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III . . . . .		X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I . . . . .		X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II . . . . .		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III . . . . .		X
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV . . . . .		X
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V . . . . .	X	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI . . . . .	X	
b Did the organization report an amount for investments-other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII . . . . .		X
c Did the organization report an amount for investments-program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII . . . . .		X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX . . . . .		X
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X . . . . .	X	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X . . . . .	X	
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII. . . . .		X
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional . . . . .	X	
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E. . . . .		X
14a Did the organization maintain an office, employees, or agents outside of the United States? . . . . .	X	
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV . . . . .	X	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV . . . . .		X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV . . . . .		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions). . . . .		X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II . . . . .		X
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III . . . . .		X
20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H . . . . .		X
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? . . . . .		
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II . . . . .		X

Part IV Checklist of Required Schedules (continued)

Table with 3 columns: Question, Yes, No. Rows 22-38 covering various organizational requirements and schedules.

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V. [X]

Table with 3 columns: Question, Yes, No. Rows 1a, 1b, 1c regarding Form 1096 and backup withholding rules.

Part V Statements Regarding Other IRS Filings and Tax Compliance (continued)

Table with columns for question number, description, and Yes/No checkboxes. Includes questions 2a through 16 regarding employee reporting, tax compliance, and organizational activities.

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O See instructions Check if Schedule O contains a response or note to any line in this Part VI [X]

Section A. Governing Body and Management

Table with 4 columns: Question, Yes, No. Rows include: 1a (40), 1b (39), 2 (X), 3 (X), 4 (X), 5 (X), 6 (X), 7a (X), 7b (X), 8a (X), 8b (X), 9 (X).

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code)

Table with 4 columns: Question, Yes, No. Rows include: 10a (X), 10b (X), 11a (X), 12a (X), 12b (X), 12c (X), 13 (X), 14 (X), 15a (X), 15b (X), 16a (X), 16b.

Section C. Disclosure

- 17 List the states with which a copy of this Form 990 is required to be filed CA, NY,
18 Section 6104 requires an organization to make its Forms 1023 (1024 or 1024-A if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection Indicate how you made these available Check all that apply [ ] Own website [ ] Another's website [X] Upon request [ ] Other (explain in Schedule O)
19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year
20 State the name, address, and telephone number of the person who possesses the organization's books and records ANDREW YU, 155 EAST 44TH STREET, SUITE 1105, NEW YORK, NY 10017 212-824-4142

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII  X

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year

- List all of the organization's current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's current key employees, if any See instructions for definition of "key employee "
- List the organization's five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations
- List all of the organization's former officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) ROSS PEROT, JR. CHAIRMAN	4.00 0.	X		X				0.	0.	0.
(2) ARMEN SARKISSIAN CHAIRMAN EMERITUS EFF. 5/18	4.00 0.	X		X				0.	0.	0.
(3) R. WILLIAM IDE, III COUNSEL/SEC/CHAIR OF EXEC COMM	4.00 0.	X		X				0.	0.	0.
(4) PETER ALTABEF BOARD MEMBER	1.00 0.	X						0.	0.	0.
(5) HAMID ANSARI BOARD MEMBER	1.00 0.	X						0.	0.	0.
(6) TEWODROS ASHENAFI BOARD MEMBER	1.00 0.	X						0.	0.	0.
(7) MARY MCINNIS BOIES BOARD MEMBER	4.00 0.	X						0.	0.	0.
(8) SIR PETER BONFIELD BOARD MEMBER	4.00 0.	X						0.	0.	0.
(9) MATT BROSS BOARD MEMBER	4.00 0.	X						0.	0.	0.
(10) ROBERT CAMPBELL III BOARD MEMBER	4.00 0.	X						0.	0.	0.
(11) MARIA LIVANOS CATTALU BOARD MEMBER	1.00 0.	X						0.	0.	0.
(12) MICHAEL CHERTOFF BOARD MEMBER	1.00 0.	X						0.	0.	0.
(13) DAVID COHEN BOARD MEMBER	4.00 0.	X						0.	0.	0.
(14) JOEL COWAN BOARD MEMBER	1.00 0.	X						0.	0.	0.

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
( 15) ADDISON FISCHER ----- BOARD MEMBER	1.00 ----- 0.							0.	0.	0.
( 16) STEPHEN B. HEINTZ ----- BOARD MEMBER	4.00 ----- 0.	X						0.	0.	0.
( 17) STEVEN HONIGMAN ----- BOARD MEMBER	1.00 ----- 0.	X						0.	0.	0.
( 18) EMIL HUBINAK (THRU 5/18) ----- BOARD MEMBER	1.00 ----- 0.	X						0.	0.	0.
( 19) JOHN HURLEY ----- BOARD MEMBER	4.00 ----- 0.	X						0.	0.	0.
( 20) AMB. WOLFGANG ISCHINGER ----- BOARD MEMBER	1.00 ----- 0.	X						0.	0.	0.
( 21) RALPH ISHAM ----- BOARD MEMBER	4.00 ----- 0.	X						0.	0.	0.
( 22) ANURAG JAIN ----- BOARD MEMBER	1.00 ----- 0.	X						0.	0.	0.
( 23) JAMES L. JONES, JR. ----- BOARD MEMBER	1.00 ----- 0.	X						0.	0.	0.
( 24) GEORGE KADIFA ----- BOARD MEMBER	1.00 ----- 0.	X						0.	0.	0.
( 25) HAIFA AL KAYLANI ----- BOARD MEMBER	1.00 ----- 0.	X						0.	0.	0.
<b>1b Sub-total</b> . . . . .								0.	0.	0.
<b>c Total from continuation sheets to Part VII, Section A</b> . . . . .								0.	0.	0.
<b>d Total (add lines 1b and 1c)</b> . . . . .								0.	0.	0.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **0.**

	Yes	No
3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual . . . . .	<input type="checkbox"/>	<input checked="" type="checkbox"/>
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual . . . . .	<input type="checkbox"/>	<input checked="" type="checkbox"/>
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person . . . . .	<input type="checkbox"/>	<input checked="" type="checkbox"/>

**Section B. Independent Contractors**

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization Report compensation for the calendar year ending with or within the organization's tax year

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization **0.**

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)**

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
( 26) SEZGIN BARAN KORKMAZ ----- BOARD MEMBER	1.00 0.							0.	0.	0.
( 27) ZUHAL KURT ----- BOARD MEMBER	1.00 0.	X						0.	0.	0.
( 28) GEN. (RET) T. MICHAEL MOSELEY ----- BOARD MEMBER	4.00 0.	X						0.	0.	0.
( 29) KAREN LINEHAN MROZ ----- BOARD MEMBER	1.00 0.	X						0.	0.	0.
( 30) FRANCIS NAJAFI ----- BOARD MEMBER	4.00 0.	X						0.	0.	0.
( 31) TSUNEO NISHIDA ----- BOARD MEMBER	1.00 0.	X						0.	0.	0.
( 32) RONALD P. O'HANLEY (THRU 5/18) ----- BOARD MEMBER	4.00 0.	X						0.	0.	0.
( 33) ADMIRAL (RET) WILLIAM A. OWENS ----- BOARD MEMBER	4.00 0.	X						0.	0.	0.
( 34) SARAH PEROT ----- BOARD MEMBER	1.00 0.	X						0.	0.	0.
( 35) LAURENT M. ROUX ----- BOARD MEMBER	1.00 0.	X						0.	0.	0.
( 36) RAMZI H. SANBAR (THRU 5/18) ----- BOARD MEMBER	1.00 0.	X						0.	0.	0.
<b>1b Sub-total</b> . . . . .										
<b>c Total from continuation sheets to Part VII, Section A</b> . . . . .										
<b>d Total (add lines 1b and 1c)</b> . . . . .										

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **0.**

	Yes	No
3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual . . . . .		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual . . . . .		X
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person . . . . .		X

**Section B. Independent Contractors**

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization Report compensation for the calendar year ending with or within the organization's tax year

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization **0.**

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
( 37) MUKEMMEL SARIMSAKCI ----- BOARD MEMBER	1.00 ----- 0.	X						0.	0.	0.
( 38) IKRAM UL-MAJEED SEHGAL ----- BOARD MEMBER	1.00 ----- 0.	X						0.	0.	0.
( 39) KANWAL SIBAL ----- BOARD MEMBER	4.00 ----- 0.	X						0.	0.	0.
( 40) KEVIN TAWEEL ----- BOARD MEMBER	4.00 ----- 0.	X						0.	0.	0.
( 41) ALEXANDER VOLOSHIN ----- BOARD MEMBER	1.00 ----- 0.	X						0.	0.	0.
( 42) ZHOU WENZHONG ----- BOARD MEMBER	1.00 ----- 0.	X						0.	0.	0.
( 43) HU YUANDONG ----- BOARD MEMBER	1.00 ----- 0.	X						0.	0.	0.
( 44) CAMERON MUNTER ----- PRESIDENT AND CEO	60.00 ----- 0.			X				0.	0.	0.
( 45) WILLIAM J. PARKER III ----- CHIEF OPERATING OFFICER	60.00 ----- 0.			X				0.	0.	0.
( 46) BRUCE MCCONNELL ----- EXECUTIVE VP	40.00 ----- 0.			X				0.	0.	0.
( 47) CHRISTOPHER LABIANCO ----- VP, DEVELOPMENT (THRU 5/18)	40.00 ----- 0.			X				0.	0.	0.
<b>1b Sub-total</b> . . . . .										
<b>c Total from continuation sheets to Part VII, Section A</b> . . . . .										
<b>d Total (add lines 1b and 1c)</b> . . . . .										

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **0.**

	Yes	No
3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual . . . . .		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual . . . . .		X
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person . . . . .		X

**Section B. Independent Contractors**

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization Report compensation for the calendar year ending with or within the organization's tax year

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization **0.**



**Part VIII Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts	1a	Federated campaigns . . . . .	1a			
	b	Membership dues . . . . .	1b			
	c	Fundraising events . . . . .	1c			
	d	Related organizations . . . . .	1d			
	e	Government grants (contributions) . . . . .	1e	67,789		
	f	All other contributions, gifts, grants, and similar amounts not included above . . . . .	1f	2,912,498		
	g	Noncash contributions included in lines 1a-1f \$ . . . . .		75,096		
	h	<b>Total</b> Add lines 1a-1f . . . . . ▶		2,980,287		
Program Service Revenue	2a	Business Code				
	b					
	c					
	d					
	e					
	f	All other program service revenue . . . . .				
	g	<b>Total</b> Add lines 2a-2f . . . . . ▶		0		
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts) . . . . . ▶		133,097		133,097
	4	Income from investment of tax-exempt bond proceeds . . . . . ▶		0		
	5	Royalties . . . . . ▶		0		
			(i) Real	(ii) Personal		
	6a	Gross rents . . . . .				
	b	Less rental expenses . . . . .				
	c	Rental income or (loss) . . . . .				
	d	Net rental income or (loss) . . . . . ▶		0		
	7a	Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other		
			3,340,420			
	b	Less cost or other basis and sales expenses . . . . .				
			3,005,090			
	c	Gain or (loss) . . . . .				
			335,330			
	d	Net gain or (loss) . . . . . ▶		335,330		335,330
	8a	Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c) See Part IV, line 18 . . . . . a		0		
	b	Less direct expenses . . . . . b		0		
c	Net income or (loss) from fundraising events . . . . . ▶		0			
9a	Gross income from gaming activities See Part IV, line 19 . . . . . a		0.			
b	Less direct expenses . . . . . b		0.			
c	Net income or (loss) from gaming activities . . . . . ▶		0			
10a	Gross sales of inventory, less returns and allowances . . . . . a		0.			
b	Less cost of goods sold . . . . . b		0			
c	Net income or (loss) from sales of inventory . . . . . ▶		0			
Miscellaneous Revenue		Business Code				
11a	PAYROLL EXPENSE REIMBURSEMENT	900099	177,994	177,994		
b	ADMINISTRATION FEES	900099	50,000	50,000		
c	TRAVEL EXPENSE REIMBURSEMENT	900099	1,070	1,070		
d	All other revenue . . . . .		12,561	12,561		
e	<b>Total</b> Add lines 11a-11d . . . . . ▶		241,625			
12	<b>Total revenue</b> See instructions . . . . . ▶		3,690,339	241,625		468,427

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns All other organizations must complete column (A)

Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments See Part IV, line 21 . . . . .	0.			
2 Grants and other assistance to domestic individuals See Part IV, line 22 . . . . .	0.			
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals See Part IV, lines 15 and 16 . . . . .	0.			
4 Benefits paid to or for members . . . . .	0.			
5 Compensation of current officers, directors, trustees, and key employees . . . . .	1,220,017.	708,620.	446,495.	64,902.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) . . . . .	38,936.	38,936.		
7 Other salaries and wages . . . . .	2,006,422.	1,385,330.	260,819.	360,273.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	59,559.	42,492.	5,382.	11,685.
9 Other employee benefits . . . . .	253,329.	170,136.	45,308.	37,885.
10 Payroll taxes . . . . .	293,304.	192,258.	62,132.	38,914.
11 Fees for services (non-employees)	0.			
a Management . . . . .	0.			
b Legal . . . . .	9,497.	6,920.	2,577.	
c Accounting . . . . .	69,038.	50,303.	18,735.	
d Lobbying . . . . .	0.			
e Professional fundraising services See Part IV, line 17.	0.			
f Investment management fees . . . . .	51,129.		51,129.	
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O) . . . . .	136,559.	99,499.	37,060.	
12 Advertising and promotion . . . . .	12,798.	5,655.	2,550.	4,593.
13 Office expenses . . . . .	147,091.	72,636.	61,488.	12,967.
14 Information technology . . . . .	46,376.	26,384.	15,109.	4,883.
15 Royalties . . . . .	0.			
16 Occupancy . . . . .	633,583.	470,201.	107,598.	55,784.
17 Travel . . . . .	271,814.	231,675.	35,994.	4,145.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials . . . . .	0.			
19 Conferences, conventions, and meetings . . . . .	310,500.	262,926.	41,397.	6,177.
20 Interest . . . . .	0.			
21 Payments to affiliates . . . . .	0.			
22 Depreciation, depletion, and amortization . . . . .	105,740.	60,156.	34,450.	11,134.
23 Insurance . . . . .	22,548.	21,655.	393.	500.
24 Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24e If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O)				
a EQUIPMENT REPAIR/MAINTENANCE	40,493.	23,036.	13,192.	4,265.
b BAD DEBT EXPENSES	25,000.		25,000.	
c DUES & SUBSCRIPTIONS	15,831.	6,995.	3,154.	5,682.
d OTHER STAFF-RELATED COSTS	476.	211.	95.	170.
e All other expenses	2,477.	831.	1,110.	536.
25 Total functional expenses Add lines 1 through 24e	5,772,517.	3,876,855.	1,271,167.	624,495.
26 Joint costs Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720) . . . . .	0.			

**Part X Balance Sheet**

Check if Schedule O contains a response or note to any line in this Part X

		(A) Beginning of year		(B) End of year
<b>Assets</b>	<b>1</b> Cash - non-interest-bearing . . . . .	770,143.	<b>1</b>	762,215.
	<b>2</b> Savings and temporary cash investments . . . . .	611,787.	<b>2</b>	597,484.
	<b>3</b> Pledges and grants receivable, net . . . . .	12,530,418.	<b>3</b>	2,544,966.
	<b>4</b> Accounts receivable, net . . . . .	0.	<b>4</b>	0.
	<b>5</b> Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L . . . . .	0.	<b>5</b>	0.
	<b>6</b> Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L . . . . .	0.	<b>6</b>	0.
	<b>7</b> Notes and loans receivable, net . . . . .	0.	<b>7</b>	0.
	<b>8</b> Inventories for sale or use . . . . .	0.	<b>8</b>	0.
	<b>9</b> Prepaid expenses and deferred charges . . . . .	144,320.	<b>9</b>	160,888.
	<b>10a</b> Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	<b>10a</b> 1,146,490.		
	<b>b</b> Less accumulated depreciation. . . . .	<b>10b</b> 558,272.		
		665,154.	<b>10c</b>	588,218.
	<b>11</b> Investments - publicly traded securities . . . . .	10,840,203.	<b>11</b>	9,680,140.
	<b>12</b> Investments - other securities See Part IV, line 11 . . . . .	0.	<b>12</b>	0.
	<b>13</b> Investments - program-related See Part IV, line 11 . . . . .	0.	<b>13</b>	0.
	<b>14</b> Intangible assets . . . . .	0.	<b>14</b>	0.
<b>15</b> Other assets See Part IV, line 11 . . . . .	18,221.	<b>15</b>	23,410.	
<b>16</b> <b>Total assets.</b> Add lines 1 through 15 (must equal line 34) . . . . .	25,580,246.	<b>16</b>	14,357,321.	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .	328,297.	<b>17</b>	216,219.
	<b>18</b> Grants payable . . . . .	0.	<b>18</b>	0.
	<b>19</b> Deferred revenue . . . . .	19,238.	<b>19</b>	728,800.
	<b>20</b> Tax-exempt bond liabilities . . . . .	0.	<b>20</b>	0.
	<b>21</b> Escrow or custodial account liability Complete Part IV of Schedule D . . . . .	0.	<b>21</b>	0.
	<b>22</b> Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L . . . . .	0.	<b>22</b>	0.
	<b>23</b> Secured mortgages and notes payable to unrelated third parties . . . . .	0.	<b>23</b>	0.
	<b>24</b> Unsecured notes and loans payable to unrelated third parties . . . . .	0.	<b>24</b>	0.
	<b>25</b> Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D . . . . .	160,996.	<b>25</b>	297,840.
	<b>26</b> <b>Total liabilities.</b> Add lines 17 through 25. . . . .	508,531.	<b>26</b>	1,242,859.
<b>Net Assets or Fund Balances</b>	<b>Organizations that follow SFAS 117 (ASC 958), check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 29, and lines 33 and 34.</b>			
	<b>27</b> Unrestricted net assets . . . . .	5,487,998.	<b>27</b>	4,252,402.
	<b>28</b> Temporarily restricted net assets . . . . .	14,005,894.	<b>28</b>	0.
	<b>29</b> Permanently restricted net assets . . . . .	5,577,823.	<b>29</b>	8,862,060.
	<b>Organizations that do not follow SFAS 117 (ASC 958), check here</b> <input type="checkbox"/> <b>and complete lines 30 through 34</b>			
	<b>30</b> Capital stock or trust principal, or current funds . . . . .		<b>30</b>	
	<b>31</b> Paid-in or capital surplus, or land, building, or equipment fund . . . . .		<b>31</b>	
	<b>32</b> Retained earnings, endowment, accumulated income, or other funds . . . . .		<b>32</b>	
	<b>33</b> <b>Total net assets or fund balances</b> . . . . .	25,071,715.	<b>33</b>	13,114,462.
<b>34</b> <b>Total liabilities and net assets/fund balances.</b> . . . . .	25,580,246.	<b>34</b>	14,357,321.	

**Part XI Reconciliation of Net Assets**

Check if Schedule O contains a response or note to any line in this Part XI.

1	Total revenue (must equal Part VIII, column (A), line 12)	1	3,690,339.
2	Total expenses (must equal Part IX, column (A), line 25)	2	5,772,517.
3	Revenue less expenses Subtract line 2 from line 1	3	-2,082,178.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	25,071,715.
5	Net unrealized gains (losses) on investments	5	140,273.
6	Donated services and use of facilities	6	0.
7	Investment expenses	7	0.
8	Prior period adjustments	8	0.
9	Other changes in net assets or fund balances (explain in Schedule O)	9	-10,015,348.
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	13,114,462.

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response or note to any line in this Part XII

		Yes	No
1	Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? . . . . . If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		X
2b	Were the organization's financial statements audited by an independent accountant? . . . . . If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	X	
2c	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	X	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? . . . . .		X
3b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits		

**SCHEDULE A**  
**(Form 990 or 990-EZ)**

**Public Charity Status and Public Support**

OMB No 1545-0047

**2018**

**Open to Public Inspection**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust

▶ Attach to Form 990 or Form 990-EZ.

▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information

Department of the Treasury  
Internal Revenue Service

Name of the organization  
INSTITUTE FOR EASTWEST STUDIES, INC.

Employer identification number  
13-3091844

**Part I Reason for Public Charity Status** (All organizations must complete this part ) See instructions

The organization is not a private foundation because it is (For lines 1 through 12, check only one box )

- 1  A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).
- 2  A school described in section 170(b)(1)(A)(ii). (Attach Schedule E (Form 990 or 990-EZ) )
- 3  A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).
- 4  A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state \_\_\_\_\_
- 5  An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II )
- 6  A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).
- 7  An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi) (Complete Part II )
- 8  A community trust described in section 170(b)(1)(A)(vi). (Complete Part II )
- 9  An agricultural research organization described in section 170(b)(1)(A)(ix) operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions) Enter the name, city, and state of the college or university \_\_\_\_\_
- 10  An organization that normally receives (1) more than 33 1/3 % of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3 % of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See section 509(a)(2). (Complete Part III )
- 11  An organization organized and operated exclusively to test for public safety See section 509(a)(4).
- 12  An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3) Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g
  - a  **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization **You must complete Part IV, Sections A and B.**
  - b  **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s) **You must complete Part IV, Sections A and C.**
  - c  **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions) **You must complete Part IV, Sections A, D, and E.**
  - d  **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions) **You must complete Part IV, Sections A and D, and Part V.**
  - e  Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization
  - f Enter the number of supported organizations . . . . .
- g Provide the following information about the supported organization(s)

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
(A)						
(B)						
(C)						
(D)						
(E)						
<b>Total</b>						

**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**  
 (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2014	(b) 2015	(c) 2016	(d) 2017	(e) 2018	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants") . . . . .	4,876,849	9,128,165	15,326,258	5,561,090	2,980,287	37,872,649
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf . . . . .						0
3 The value of services or facilities furnished by a governmental unit to the organization without charge . . . . .						0
4 Total Add lines 1 through 3 . . . . .	4,876,849	9,128,165	15,326,258	5,561,090	2,980,287	37,872,649
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) . . . . .						7,992,765
6 Public support. Subtract line 5 from line 4 . . . . .						29,879,884

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2014	(b) 2015	(c) 2016	(d) 2017	(e) 2018	(f) Total
7 Amounts from line 4 . . . . .	4,876,849	9,128,165	15,326,258	5,561,090	2,980,287	37,872,649
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources . . . . .	259,382	341,039	229,898	222,697	133,097	1,186,113
9 Net income from unrelated business activities, whether or not the business is regularly carried on . . . . .						0
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part VI) . . . . .	5,249,790	250,412	278,396	300,004	241,625	6,320,227
11 Total support. Add lines 7 through 10 . . . . .						45,378,989
12 Gross receipts from related activities, etc. (see instructions) . . . . .				12		
13 First five years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here . . . . .						<input type="checkbox"/>

**Section C. Computation of Public Support Percentage**

14 Public support percentage for 2018 (line 6, column (f) divided by line 11, column (f)). . . . .	14	65.85 %
15 Public support percentage from 2017 Schedule A, Part II, line 14 . . . . .	15	50.33 %
16a 33 1/3% support test - 2018. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization. . . . .	▶ <input checked="" type="checkbox"/>	
b 33 1/3% support test - 2017. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization . . . . .	▶ <input type="checkbox"/>	
17a 10%-facts-and-circumstances test - 2018. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization. . . . .	▶ <input type="checkbox"/>	
b 10%-facts-and-circumstances test - 2017. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization . . . . .	▶ <input type="checkbox"/>	
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions . . . . .	▶ <input type="checkbox"/>	

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Table with 7 columns: (a) 2014, (b) 2015, (c) 2016, (d) 2017, (e) 2018, (f) Total. Rows include: 1 Gifts, grants, contributions, and membership fees received; 2 Gross receipts from admissions, merchandise sold or services performed; 3 Gross receipts from activities that are not an unrelated trade or business; 4 Tax revenues levied for the organization's benefit; 5 The value of services or facilities furnished by a governmental unit; 6 Total Add lines 1 through 5; 7a Amounts included on lines 1, 2, and 3 received from disqualified persons; 7b Amounts included on lines 2 and 3 received from other than disqualified persons; 7c Add lines 7a and 7b; 8 Public support. (Subtract line 7c from line 6)

Section B. Total Support

Table with 7 columns: (a) 2014, (b) 2015, (c) 2016, (d) 2017, (e) 2018, (f) Total. Rows include: 9 Amounts from line 6; 10a Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources; 10b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975; 10c Add lines 10a and 10b; 11 Net income from unrelated business activities not included in line 10b; 12 Other income Do not include gain or loss from the sale of capital assets; 13 Total support. (Add lines 9, 10c, 11, and 12)

14 First five years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here.

Section C. Computation of Public Support Percentage

Table with 2 columns: Description, Percentage. Row 15: Public support percentage for 2018 (line 8, column (f), divided by line 13, column (f)) 15 %; Row 16: Public support percentage from 2017 Schedule A, Part III, line 15 16 %

Section D. Computation of Investment Income Percentage

Table with 2 columns: Description, Percentage. Row 17: Investment income percentage for 2018 (line 10c, column (f), divided by line 13, column (f)) 17 %; Row 18: Investment income percentage from 2017 Schedule A, Part III, line 17 18 %

19a 33 1/3% support tests - 2018 If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization.
19b 33 1/3% support tests - 2017. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization.

20 Private foundation If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

**Part IV Supporting Organizations**

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

**Section A. All Supporting Organizations**

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in <b>Part VI</b> how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.		
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in <b>Part VI</b> how the organization determined that the supported organization was described in section 509(a)(1) or (2).		
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.		
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in <b>Part VI</b> when and how the organization made the determination.		
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in <b>Part VI</b> what controls the organization put in place to ensure such use.		
4a Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes," and if you checked 12a or 12b in Part I, answer (b) and (c) below.		
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in <b>Part VI</b> how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.		
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in <b>Part VI</b> what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.		
5a Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in <b>Part VI</b> , including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document).		
b <b>Type I or Type II only.</b> Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
c <b>Substitutions only.</b> Was the substitution the result of an event beyond the organization's control?		
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in <b>Part VI</b> .		
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (as defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).		
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).		
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in <b>Part VI</b> .		
b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in <b>Part VI</b> .		
c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in <b>Part VI</b> .		
10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer 10b below.		
b Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)		

**Part IV Supporting Organizations (continued)**

	Yes	No
11 Has the organization accepted a gift or contribution from any of the following persons?		
a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?		
b A family member of a person described in (a) above?		
c A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI.		

**Section B. Type I Supporting Organizations**

	Yes	No
1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.		
2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.		

**Section C. Type II Supporting Organizations**

	Yes	No
1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).		

**Section D. All Type III Supporting Organizations**

	Yes	No
1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).		
3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.		

**Section E. Type III Functionally Integrated Supporting Organizations**

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions)			
a <input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.			
b <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.			
c <input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).			
2 Activities Test Answer (a) and (b) below.		Yes	No
a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.			
b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.			
3 Parent of Supported Organizations Answer (a) and (b) below.			
a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI.			
b Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard.			

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

1  Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov 20, 1970 (explain in Part VI) See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	<b>Adjusted Net Income</b> (subtract lines 5, 6, and 7 from line 4)	8	
Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year)		
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	<b>Total</b> (add lines 1a, 1b, and 1c)	1d	
e	Discount claimed for blockage or other factors (explain in detail in Part VI)		
2	Acquisition indebtedness applicable to non-exempt-use assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for exempt use Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by 035	6	
7	Recoveries of prior-year distributions	7	
8	<b>Minimum Asset Amount</b> (add line 7 to line 6)	8	
Section C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3	4	
5	Income tax imposed in prior year	5	
6	<b>Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	
7	<input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions)		

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)**

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI) See instructions	
7 <b>Total annual distributions.</b> Add lines 1 through 6	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI) See instructions	
9 Distributable amount for 2018 from Section C, line 6	
10 Line 8 amount divided by line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2018	(iii) Distributable Amount for 2018
1 Distributable amount for 2018 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2018 (reasonable cause required - explain in Part VI) See instructions			
3 Excess distributions carryover, if any, to 2018			
a From 2013 . . . . .			
b From 2014 . . . . .			
c From 2015 . . . . .			
d From 2016 . . . . .			
e From 2017 . . . . .			
f <b>Total of lines 3a through e</b>			
g Applied to underdistributions of prior years			
h Applied to 2018 distributable amount			
i Carryover from 2013 not applied (see instructions)			
j <b>Remainder</b> Subtract lines 3g, 3h, and 3i from 3f			
4 Distributions for 2018 from Section D, line 7 \$			
a Applied to underdistributions of prior years			
b Applied to 2018 distributable amount			
c <b>Remainder</b> Subtract lines 4a and 4b from 4			
5 Remaining underdistributions for years prior to 2018, if any Subtract lines 3g and 4a from line 2 For result greater than zero, explain in Part VI See instructions			
6 Remaining underdistributions for 2018 Subtract lines 3h and 4b from line 1 For result greater than zero, explain in Part VI. See instructions			
7 <b>Excess distributions carryover to 2019.</b> Add lines 3j and 4c			
8 Breakdown of line 7			
a Excess from 2014 . . . .			
b Excess from 2015 . . . .			
c Excess from 2016 . . . .			
d Excess from 2017 . . . .			
e Excess from 2018 . . . .			

**Part VI** **Supplemental Information.** Provide the explanations required by Part II, line 10, Part II, line 17a or 17b, Part III, line 12, Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c, Part IV, Section B, lines 1 and 2, Part IV, Section C, line 1, Part IV, Section D, lines 2 and 3, Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b, Part V, line 1; Part V, Section B, line 1e, Part V, Section D, lines 5, 6, and 8, and Part V, Section E, lines 2, 5, and 6 Also complete this part for any additional information (See instructions)

ATTACHMENT 1

SCHEDULE A, PART II - OTHER INCOME

DESCRIPTION	2014	2015	2016	2017	2018	TOTAL
LIFE INSURANCE PROCEEDS	5,000,813					5,000,813
PAYROLL EXPENSE REIMBURSEMENT	190,454	194,285	216,667	232,728	177,994	1,012,128
ADMINISTRATIVE FEES	50,000	50,000	50,000	50,000	50,000	250,000
MISCELLANEOUS	646	2,186	5,829	6,227	12,561	27,449
TRAVEL EXPENSE REIMBURSEMENT	7,877	3,941	5,900	11,049	1,070	29,837
<b>TOTALS</b>	<u>5,249,790</u>	<u>250,412</u>	<u>278,396</u>	<u>300,004</u>	<u>241,625</u>	<u>6,320,227</u>

SCHEDULE D (Form 990)

Supplemental Financial Statements

Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

Attach to Form 990.

Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No 1545-0047

2018

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Name of the organization: INSTITUTE FOR EASTWEST STUDIES, INC. Employer identification number: 13-3091844

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.

Complete if the organization answered "Yes" on Form 990, Part IV, line 6

Table with 2 columns: (a) Donor advised funds, (b) Funds and other accounts. Rows include: 1 Total number at end of year, 2 Aggregate value of contributions to (during year), 3 Aggregate value of grants from (during year), 4 Aggregate value at end of year, 5 Did the organization inform all donors... Yes No, 6 Did the organization inform all grantees... Yes No

Part II Conservation Easements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 7

1 Purpose(s) of conservation easements held by the organization (check all that apply)
2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year
3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year
4 Number of states where property subject to conservation easement is located
5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?
6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year
7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year
8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?
9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 8

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items
b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items
2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

For Paperwork Reduction Act Notice, see the Instructions for Form 990

Schedule D (Form 990) 2018

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

- 3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)
a Public exhibition
b Scholarly research
c Preservation for future generations
d Loan or exchange programs
e Other
4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII
5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

Part IV Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21

- 1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?
b If "Yes," explain the arrangement in Part XIII and complete the following table
c Beginning balance
d Additions during the year
e Distributions during the year
f Ending balance
2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?
b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII

Table with 2 columns: Description, Amount. Rows: 1c Beginning balance, 1d Additions during the year, 1e Distributions during the year, 1f Ending balance.

Part V Endowment Funds.

Complete if the organization answered "Yes" on Form 990, Part IV, line 10

Table with 6 columns: (a) Current year, (b) Prior year, (c) Two years back, (d) Three years back, (e) Four years back. Rows: 1a Beginning of year balance, b Contributions, c Net investment earnings, gains, and losses, d Grants or scholarships, e Other expenditures for facilities and programs, f Administrative expenses, g End of year balance.

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

- a Board designated or quasi-endowment %
b Permanent endowment 82.0900 %
c Temporarily restricted endowment 17.9100 %

The percentages on lines 2a, 2b, and 2c should equal 100%

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

- (i) unrelated organizations
(ii) related organizations

Table with 2 columns: Yes, No. Rows: 3a(i), 3a(ii), 3b.

b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R?

4 Describe in Part XIII the intended uses of the organization's endowment funds

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10

Table with 4 columns: (a) Cost or other basis (investment), (b) Cost or other basis (other), (c) Accumulated depreciation, (d) Book value. Rows: 1a Land, b Buildings, c Leasehold improvements, d Equipment, e Other, Total.

**Part VII Investments - Other Securities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b See Form 990, Part X, line 12

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) Financial derivatives . . . . .		
(2) Closely-held equity interests . . . . .		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
<b>Total (Column (b) must equal Form 990, Part X, col (B) line 12) ▶</b>		

**Part VIII Investments - Program Related.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c See Form 990, Part X, line 13

(a) Description of investment	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
<b>Total (Column (b) must equal Form 990, Part X, col (B) line 13) ▶</b>		

**Part IX Other Assets.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d See Form 990, Part X, line 15

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
<b>Total. (Column (b) must equal Form 990, Part X, col (B) line 15) . . . . . ▶</b>	

**Part X Other Liabilities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f See Form 990, Part X, line 25

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) DEFERRED RENT	297,840.
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
<b>Total. (Column (b) must equal Form 990, Part X, col (B) line 25) ▶</b>	<b>297,840.</b>

2 Liability for uncertain tax positions In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740) Check here if the text of the footnote has been provided in Part XIII

**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.**  
 Complete if the organization answered "Yes" on Form 990, Part IV, line 12a

1	Total revenue, gains, and other support per audited financial statements . . . . .			1	-6,025,078.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12				
a	Net unrealized gains (losses) on investments . . . . .	2a	140,273.		
b	Donated services and use of facilities . . . . .	2b	195,439.		
c	Recoveries of prior year grants . . . . .	2c			
d	Other (Describe in Part XIII) . . . . .	2d	-10,000,000.		
e	Add lines 2a through 2d . . . . .			2e	-9,664,288.
3	Subtract line 2e from line 1 . . . . .			3	3,639,210.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1				
a	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	4a	51,129.		
b	Other (Describe in Part XIII) . . . . .	4b			
c	Add lines 4a and 4b . . . . .			4c	51,129.
5	Total revenue Add lines 3 and 4c. (This must equal Form 990, Part I, line 12) . . . . .			5	3,690,339.

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**  
 Complete if the organization answered "Yes" on Form 990, Part IV, line 12a

1	Total expenses and losses per audited financial statements . . . . .			1	5,916,827.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25				
a	Donated services and use of facilities . . . . .	2a	195,439.		
b	Prior year adjustments . . . . .	2b			
c	Other losses . . . . .	2c			
d	Other (Describe in Part XIII) . . . . .	2d			
e	Add lines 2a through 2d . . . . .			2e	195,439.
3	Subtract line 2e from line 1 . . . . .			3	5,721,388.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1				
a	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	4a	51,129.		
b	Other (Describe in Part XIII) . . . . .	4b			
c	Add lines 4a and 4b . . . . .			4c	51,129.
5	Total expenses Add lines 3 and 4c. (This must equal Form 990, Part I, line 18) . . . . .			5	5,772,517.

**Part XIII Supplemental Information.**

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b Also complete this part to provide any additional information

SEE PAGE 5

**Part XIII** Supplemental Information (continued)

PART V, LINE 4:

THE ORGANIZATION MAINTAINS A DONOR-RESTRICTED ENDOWMENT FUND CONSISTING OF APPROXIMATELY 20 INDIVIDUAL FUNDS THAT HAS BEEN ESTABLISHED FOR VARIOUS PURPOSES AND HAS BEEN CLASSIFIED AS PERMANENTLY RESTRICTED NET ASSETS.

IN 2018, THE ORGANIZATION'S BOARD OF DIRECTORS APPROVED TO APPROPRIATE AN ANNUAL DISTRIBUTION OF UP TO 5% OF THE NET VALUE OF THE ORGANIZATION'S ENDOWMENT USING A FIVE-YEAR RUNNING AVERAGE OF THE ENDOWMENT TO COVER OPERATING COSTS.

PART X, LINE 2:

UNDER ASC 740, "ACCOUNTING FOR UNCERTAINTY IN INCOME TAXES," AN ORGANIZATION MUST RECOGNIZE THE FINANCIAL STATEMENT EFFECTS OF TAX POSITIONS TAKEN FOR TAX RETURN PURPOSES WHEN IT IS MORE LIKELY THAN NOT THAT THE POSITION WILL NOT BE SUSTAINED. INSTITUTE FOR EASTWEST STUDIES, INC. DOES NOT BELIEVE THERE ARE ANY MATERIAL UNCERTAIN TAX POSITIONS AND, ACCORDINGLY, IT HAS NOT RECOGNIZED ANY LIABILITY FOR UNCERTAIN TAX BENEFITS. THE ORGANIZATION HAS FILED IRS FORM 990 TAX RETURNS, AS REQUIRED, AND ALL OTHER APPLICABLE RETURNS IN JURISDICTIONS WHEN IT IS REQUIRED. FOR THE PERIOD FROM JANUARY 1, 2018 TO SEPTEMBER 30, 2018, THERE WAS NO INTEREST OR PENALTIES RECORDED OR INCLUDED IN THE CONSOLIDATING FINANCIAL STATEMENTS. AS OF SEPTEMBER 30, 2018, THE YEARS STILL SUBJECT TO EXAMINATION BY A TAXING AUTHORITY ARE 2015 THROUGH 2017.

**Part XIII** Supplemental Information (continued)

PART XI, LINE 2D:

LOSS DUE TO UNCOLLECTED CONTRIBUTIONS.....\$(10,000,000)

**SCHEDULE F  
(Form 990)**

**Statement of Activities Outside the United States**

OMB No 1545-0047

**2018**

**Open to Public Inspection**

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.  
▶ Attach to Form 990.

▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information

Department of the Treasury  
Internal Revenue Service

Name of the organization  
INSTITUTE FOR EASTWEST STUDIES, INC.

Employer identification number  
13-3091844

**Part I General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 14b

**1 For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No

**2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States

**3 Activities per Region** (The following Part I, line 3 table can be duplicated if additional space is needed )

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in the region	(d) Activities conducted in the region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in the region	(f) Total expenditures for and investments in the region
(1) EUROPE	1	10	PROGRAM SERVICES	REGIONAL SECURITY	853,142
(2) RUSSIA/INDEPENDENT STATES	1	4	PROGRAM SERVICES	TRUST BLDG INITIATIVES	423,239
(3) EUROPE	0	0	PROGRAM SERVICES	PROGRAMMATIC ACTIVITY	67,321
(4)					
(5)					
(6)					
(7)					
(8)					
(9)					
(10)					
(11)					
(12)					
(13)					
(14)					
(15)					
(16)					
(17)					
<b>3a Subtotal</b> . . . . .	2	14			1,343,702
<b>b Total from continuation sheets to Part I</b> . . . . .					
<b>c Totals (add lines 3a and 3b)</b>	2	14			1,343,702

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2018

**Part II** Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)									
(2)									
(3)									
(4)									
(5)									
(6)									
(7)									
(8)									
(9)									
(10)									
(11)									
(12)									
(13)									
(14)									
(15)									
(16)									

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . . ▶ \_\_\_\_\_

3 Enter total number of other organizations or entities . . . . . ▶ \_\_\_\_\_

**Part III** Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of noncash assistance	(g) Description of noncash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							

**Part IV Foreign Forms**

- 1 Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U S Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* . . . . .  Yes  No
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U S Owner (see Instructions for Forms 3520 and 3520-A, don't file with Form 990)*, . . . . .  Yes  No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U S Persons With Respect To Certain Foreign Corporations (see Instructions for Form 5471)* . . . . .  Yes  No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)* . . . . .  Yes  No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U S Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* . . . . .  Yes  No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713, don't file with Form 990)* . . . . .  Yes  No

**Part V** **Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds), Part I, line 3, column (f) (accounting method, amounts of investments vs expenditures per region), Part II, line 1 (accounting method), Part III (accounting method), and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions)

**SCHEDULE L**  
**(Form 990 or 990-EZ)**

**Transactions With Interested Persons**

OMB No 1545-0047

**2018**

**Open To Public Inspection**

Department of the Treasury  
Internal Revenue Service

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.**  
▶ **Attach to Form 990 or Form 990-EZ.**  
▶ **Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information**

Name of the organization: **INSTITUTE FOR EASTWEST STUDIES, INC.**  
Employer identification number: **13-3091844**

**Part I Excess Benefit Transactions** (section 501(c)(3), section 501(c)(4), and 501(c)(29) organizations only)  
Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

1	(a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction	(d) Correct?	
				Yes	No
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					

2 Enter the amount of tax incurred by the organization managers or disqualified persons during the year under section 4958 . . . . . ▶ \$ \_\_\_\_\_  
3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization, . . . . . ▶ \$ \_\_\_\_\_

**Part II Loans to and/or From Interested Persons.**  
Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a or Form 990, Part IV, line 26, or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22

1	(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization?		(e) Original principal amount	(f) Balance due	(g) In default?		(h) Approved by board or committee?		(i) Written agreement?	
				To	From			Yes	No	Yes	No	Yes	No
				(1)									
(2)													
(3)													
(4)													
(5)													
(6)													
(7)													
(8)													
(9)													
(10)													
Total . . . . . ▶							\$						

**Part III Grants or Assistance Benefiting Interested Persons.**  
Complete if the organization answered "Yes" on Form 990, Part IV, line 27

1	(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					
(8)					
(9)					
(10)					

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ. Schedule L (Form 990 or 990-EZ) 2018

**Part IV Business Transactions Involving Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1) ANNIE COWAN	RELATIVE OF JOEL COWAN	38,936	COMPENSATION		X
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					
(8)					
(9)					
(10)					

**Part V Supplemental Information**

Provide additional information for responses to questions on Schedule L (see instructions)

PART IV:

ANNIE COWAN IS THE GRANDDAUGHTER OF JOEL COWAN, BOARD MEMBER. MS. COWAN WAS PROGRAM COORDINATOR IN BRUSSELS DURING 2018.

**SCHEDULE M  
(Form 990)**

**Noncash Contributions**

OMB No 1545-0047

**2018**

**Open to Public  
Inspection**

Department of the Treasury  
Internal Revenue Service

- ▶ Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30
- ▶ Attach to Form 990.
- ▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

Name of the organization <b>INSTITUTE FOR EASTWEST STUDIES, INC.</b>	Employer identification number <b>13-3091844</b>
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**Part I Types of Property**

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art - Works of art . . . . .				
2 Art - Historical treasures . . . . .				
3 Art - Fractional interests . . . . .				
4 Books and publications . . . . .				
5 Clothing and household goods . . . . .				
6 Cars and other vehicles. . . . .				
7 Boats and planes . . . . .				
8 Intellectual property . . . . .				
9 Securities - Publicly traded . . . . .	X	1.	12,144.	MARKET QUOTATION
10 Securities - Closely held stock . . . . .				
11 Securities - Partnership, LLC, or trust interests . . . . .				
12 Securities - Miscellaneous . . . . .				
13 Qualified conservation contribution - Historic structures . . . . .				
14 Qualified conservation contribution - Other. . . . .				
15 Real estate - Residential . . . . .				
16 Real estate - Commercial. . . . .				
17 Real estate - Other . . . . .				
18 Collectibles . . . . .				
19 Food inventory . . . . .				
20 Drugs and medical supplies . . . . .				
21 Taxidermy. . . . .				
22 Historical artifacts. . . . .				
23 Scientific specimens . . . . .				
24 Archeological artifacts . . . . .				
25 Other ▶ ( ATCH 1 ) . . . . .		7.	62,952.	
26 Other ▶ ( ) . . . . .				
27 Other ▶ ( ) . . . . .				
28 Other ▶ ( ) . . . . .				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement . . . . . 29

		Yes	No
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period? . . . . .	30a		X
b If "Yes," describe the arrangement in Part II			
31 Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions? . . . . .	31		X
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions? . . . . .	32a		X
b If "Yes," describe in Part II			
33 If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II			

**Part II** **Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

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PART I, COLUMN (B):

THE ORGANIZATION IS REPORTING THE NUMBER OF CONTRIBUTIONS.

**Part II Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

ATTACHMENT 1

SCHEDULE M, PART I - OTHER NONCASH CONTRIBUTIONS

<u>DESCRIPTION</u>	<u>(A) CHECK</u>	<u>(B) NUMBER OF CONTRIBUTIONS</u>	<u>(C) REVENUES REPORTED</u>	<u>(D) METHOD OF DETERMINING</u>
PLANE TICKETS	X	6.	35,143.	PUBLISH FLIGHT INFO.
BOARD MEETING VENUE	X	1.	27,809.	RENTALS TO OTHERS
TOTALS		<u>7.</u>	<u>62,952.</u>	

**SCHEDULE O**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990)

OMB No 1545-0047

**2018**

**Open to Public  
Inspection**

Name of the organization

INSTITUTE FOR EASTWEST STUDIES, INC.

Employer identification number

13-3091844

FORM 990, PART I, LINE 1:

WE FORGE NEW CONNECTIONS AND BUILD TRUST AMONG GLOBAL LEADERS AND  
INFLUENCERS, HELP CREATE PRACTICAL NEW SOLUTIONS AND TAKE ACTION THROUGH  
OUR NETWORK OF GLOBAL DECISION-MAKERS. INDEPENDENT AND NONPROFIT SINCE  
OUR FOUNDING IN 1980, THE INSTITUTE MAINTAINS OFFICES IN NEW YORK,  
BRUSSELS, MOSCOW AND SAN FRANCISCO.

FORM 990, PART I, LINE 7B AND PART V, LINE 3A:

FORM 990-T WAS FILED EXCLUSIVELY TO REPORT QUALIFIED TRANSPORTATION AND  
PARKING FRINGE BENEFITS, AND ANY ON-PREMISES ATHLETIC FACILITIES UNDER  
SECTION 512(A)(7) FOR THE PERIOD BEGINNING JANUARY 1, 2018 THRU SEPTEMBER  
30, 2018.

FORM 990, PART III, LINE 2:

IN 2018 THE ORGANIZATION COMMENCED A NEW PROGRAM CALLED "BALKANS  
INITIATIVE" REPORTED ON PART III, LINE 4D AS AN OTHER PROGRAM SERVICE.

FORM 990, PART III, LINE 3:

IN 2018 THE ORGANIZATION CEASED CONDUCTING PROGRAM SERVICE "TURKEY  
INITIATIVE".

FORM 990, PART III, LINE 4D:

- 1) REGIONAL SECURITY -
- A) MIDDLE EAST AND NORTH AFRICA - EWI LOOKS AT EVOLVING SCENARIOS, AND

Name of the organization INSTITUTE FOR EASTWEST STUDIES, INC.	Employer identification number 13-3091844
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POTENTIAL CONFLICT TRIGGERS AND DYNAMICS ACROSS THE MENA REGION AND THEN FACILITATES CONFIDENTIAL MEETINGS WHERE POLITICAL AND SOCIAL LEADERS, ORGANIZATIONS, ACADEMICS AND MEDIA PROFESSIONALS CAN ENGAGE IN CONSTRUCTIVE DIALOGUES, GENERATE BALANCED RECOMMENDATIONS AND INNOVATE WAYS TO DEFUSE TENSIONS AND MITIGATE CONFLICT. IN THIS WAY, THE INSTITUTE CAN BUILD TRUST, COMPLEMENT AND INITIATE NEW CHANNELS OF COMMUNICATION BETWEEN NATIONAL AND REGIONAL RIVALS, PROMOTE INCLUSIVE POLITICS AND GOVERNANCE, FAVOR COOPERATION OVER COMPETITION AND DELIVER WIN-WIN SOLUTIONS.

HIGHLIGHTS FROM 2018 INCLUDE:

- I) TRUST-BUILDING BETWEEN IRAN AND SAUDI ARABIA THROUGH CONFIDENTIAL TRACK 2 DIALOGUES THAT CONVENE FORMER IRANIAN AND SAUDI POLITICIANS, MEDIA PROFESSIONALS, ACADEMICS, COLUMNISTS, THINK-TANK REPRESENTATIVES AND INFLUENCERS TO CAPITALIZE ON SHARED INTERESTS AND WORK ON FINDING PRACTICAL IDEAS FOR COOPERATION ON COMMON SECURITY, ECONOMIC AND ENVIRONMENTAL CHALLENGES. IN 2018, EWI CONVENED A GROUP OF ECONOMIC EXPERTS FROM BOTH COUNTRIES TO EXPLORE THE POTENTIAL FOR FUTURE ECONOMIC DEVELOPMENT IN THE REGION, AS WELL AS A GROUP OF SENIOR ACADEMICS TO ADDRESS THE ROLE OF ACADEMIA AND THINK-TANKS IN KNOWLEDGE PRODUCTION AND HOW THEY CAN INFLUENCE THE UNDERSTANDING OF "THE OTHER".
- II) EWI IS STRONGLY ENGAGED IN THOUGHT LEADERSHIP ON THE IMPACT OF ENVIRONMENTAL DEGRADATION AND THE RESULTING SECURITY RISKS IN THE MENA REGION. EWI CO-AUTHORED A REPORT FOR THE SWEDISH FOREIGN MINISTRY AND THE

Name of the organization INSTITUTE FOR EASTWEST STUDIES, INC.	Employer identification number 13-3091844
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STOCKHOLM INTERNATIONAL PEACE RESEARCH INSTITUTE (SIPRI) ON IRAQ: CLIMATE RELATED SECURITY RISKS ASSESSMENT. THE REPORT WAS USED IN THE UNITED NATIONS SECURITY COUNCIL DEBATE IN JULY 2018 ON THE NEXUS BETWEEN CLIMATE CHANGE AND SECURITY, BROUGHT FORWARD UNDER THE SWEDISH PRESIDENCY OF THE COUNCIL. EWI HAS BEEN A LEADER IN THE CONVERSATION, ELEVATING AWARENESS OF THE EFFECTS OF CLIMATE CHANGE AS A SECURITY RISK, PRESENTING THE REPORT'S FINDINGS AT NUMEROUS CONFERENCES AND MEDIA APPEARANCES. EWI ALSO HELD A JOINT EXPERT EXCHANGE WITH THE KONRAD-ADENAUER-STIFTUNG (KAS) TO ADDRESS ENVIRONMENTAL CHALLENGES AND HOW CLIMATE ACTION CAN BE A TOOL TO TACKLE MIGRATION FLOWS AND VIOLENT EXTREMISM.

III) ALGERIA-MOROCCO BUSINESS DIALOGUE - A TRACK 2 PROJECT THAT AIMS TO CREATE BETTER ECONOMIC CONDITIONS AND REDUCE TENSIONS BETWEEN TWO OF THE MOST VITAL COUNTRIES OF THE MAGHREB REGION.

TOTAL EXPENSES: \$602,411. TOTAL REVENUE: \$0.

2) ECONOMIC SECURITY -

TOTAL EXPENSES: \$232,068. TOTAL REVENUE: \$241,625.

3) BALKANS INITIATIVE -

TOTAL EXPENSES: \$65,220. TOTAL REVENUE: \$0.

FORM 990, PART VI, SECTION A, LINE 2:

ROSS PEROT, JR., CHAIRMAN, AND SARAH PEROT, BOARD MEMBER, HAVE A FAMILY RELATIONSHIP.

Name of the organization INSTITUTE FOR EASTWEST STUDIES, INC.	Employer identification number 13-3091844
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FORM 990, PART VI, SECTION B, LINE 10B:

THE ORGANIZATION REQUIRES ALL STAFF MEMBERS TO ACKNOWLEDGE A RECEIPT OF THE STAFF HANDBOOK THAT CONTAIN WRITTEN POLICIES AND PROCEDURES OF CONDUCTING BUSINESS ACTIVITIES. THE OFFICES ARE REQUIRED TO REPORT THE MONTHLY FINANCIAL ACTIVITY AND FINANCIAL FORECAST TO NEW YORK OFFICE. THE BRUSSELS OFFICE REPRESENTATIVE IS A MEMBER OF THE SENIOR MANAGEMENT TEAM AND OTHER MEMBERS OF THE TEAM OVERSEES MOSCOW AND SAN FRANCISCO OFFICES. THE SENIOR MANAGEMENT TEAM REVIEW THE PROGRESS OF THE PROJECTS THAT ARE CONDUCTED IN EACH OFFICE ON A MONTHLY BASIS.

FORM 990, PART VI, SECTION B, LINE 11B:

THE FORM 990 IS REVIEWED BY MEMBERS OF THE INSTITUTE'S FINANCE DEPARTMENT AND BY THE INSTITUTE'S EXECUTIVE VICE PRESIDENT BEFORE BEING PRESENTED FOR AUDIT COMMITTEE REVIEW. AFTER FORM 990 IS REVIEWED BY THE AUDIT COMMITTEE, THE ENTIRE BOARD OF DIRECTORS IS SENT A PASSWORD PROTECTED LINK, WHICH GIVES THEM ACCESS TO FORM 990 ON THE INSTITUTE'S WEBSITE PRIOR TO ITS FILING WITH THE IRS.

FORM 990, PART VI, SECTION B, LINE 12C:

THE EASTWEST INSTITUTE (EWI) DISTRIBUTES ANNUALLY A CONFLICT OF INTEREST QUESTIONNAIRE FOR EWI DIRECTORS. ALL DIRECTORS OF THE INSTITUTE ARE REQUIRED TO COMPLETE, SIGN, DATE AND RETURN THE FORM TO THE DESIGNATED EWI STAFF MEMBER. EWI STAFF MAINTAINS A SPREADSHEET NOTING WHICH FORMS HAVE BEEN RECEIVED AND WHICH REMAIN OUTSTANDING. EFFORTS ARE MADE TO OBTAIN OUTSTANDING FORMS WHEN THE BOARD OF DIRECTORS HOLDS ITS BOARD MEETINGS. EFFORTS TO RECEIVE COMPLETED FORMS ARE ALSO MADE VIA E-MAIL.

Name of the organization INSTITUTE FOR EASTWEST STUDIES, INC.	Employer identification number 13-3091844
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THE INSTITUTE'S MANAGEMENT TEAM WILL PROVIDE ADDITIONAL SUPPORT TO THE DESIGNATED STAFF MEMBER TO ENSURE 100% COMPLIANCE FROM THE DIRECTORS IN SIGNING AND RETURNING TO MANAGEMENT THE ANNUAL CONFLICT OF INTEREST QUESTIONNAIRE. IN ADDITION, THE INSTITUTE'S HUMAN RESOURCES DEPARTMENT SENDS A STAFF HANDBOOK WITH CODE OF BUSINESS CONDUCT AND ETHICS (INCLUDING CONFLICTS OF INTEREST SECTION) TO EWI STAFF, INCLUDING NEW HIRES AND BOARD MEMBERS BEFORE APPOINTMENT, AND REQUESTS ACKNOWLEDGMENT OF RECEIPT OF THE DOCUMENT. IN 2018, ALL STAFF MEMBERS ACKNOWLEDGED RECEIPT OF THE STAFF HANDBOOK. IN ADDITION, THE KEY EMPLOYEES COMPLETED A CONFLICTS OF INTEREST QUESTIONNAIRE SIMILAR TO THE VERSION THAT IS DISTRIBUTED TO EWI BOARD MEMBERS AND WILL DO SO SUBSEQUENTLY ON AN ANNUAL BASIS.

FORM 990, PART VI, SECTION B, LINES 15A AND 15B:

THE COMPENSATION COMMITTEE OF THE EASTWEST INSTITUTE'S INDEPENDENT BOARD OF DIRECTORS ANNUALLY REVIEWS THE FAIRNESS OF THE CEO'S COMPENSATION AND BENEFITS AND UTILIZES DATA FROM COMPETENT SALARY SURVEYS IN THE NOT-FOR-PROFIT INDUSTRY. THE CEO IS NOT A MEMBER OF THE COMPENSATION COMMITTEE. THE COMMITTEE WORKS TOGETHER WITH THE HUMAN RESOURCES DEPARTMENT. COMPARABLE DATA FROM SALARY SURVEYS OF SIMILAR POSITIONS IN THE NOT-FOR-PROFIT INDUSTRY ARE REVIEWED, AND THE CEO AND SENIOR MANAGEMENT TEAM ARE ADVISED OF CURRENT STANDARDS AND PAY SCALES. THE CHANGE OF COMPENSATION IS SUGGESTED TO THE COMPENSATION COMMITTEE FOR APPROVAL. A COPY OF THE APPROVAL OR REFUSAL BY THE COMPENSATION COMMITTEE IS PUT INTO THE PERSONNEL FILE OF THE CEO AND FOR PAYROLL PURPOSES SUBMITTED TO THE FINANCE DEPARTMENT. HR SUMMARIZES THE DECISION IN A

Name of the organization INSTITUTE FOR EASTWEST STUDIES, INC.	Employer identification number 13-3091844
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CONFIRMATION LETTER, WHICH IS GIVEN TO THE CEO. ALL DOCUMENTATION IS PREPARED NO LATER THAN 30 DAYS AFTER THE APPROVAL. FOR EMPLOYEES WITH A SALARY LESS THAN \$100,000 USD THE PROCESS IS AS FOLLOWS: FIRST, THE SUPERVISOR SENDS A REQUEST TO HR, WHICH INCLUDES THE FORM "POSITION CHANGE/NEW POSITION INFORMATION". WITHIN THIS FORM, THE REQUESTING SUPERVISOR DESCRIBES A JUSTIFICATION IN DETAIL. ALONGSIDE THIS DOCUMENT, HR SUGGESTS A SPECIFIC SALARY RANGE, WHICH IS BASED ON REPRESENTATIVE SALARY SURVEYS IN THE NOT-FOR-PROFIT INDUSTRY. AFTER ALL INFORMATION IS COLLECTED AND VERIFIED, THE VP MAKING THE REQUEST, THE VP OF FINANCE AND ADMINISTRATION, AND THE CEO APPROVE OR DECLINE THE ADJUSTMENT. FOR EMPLOYEES WITH SALARIES OF MORE THAN \$100,000 USD, AND/OR KEY EMPLOYEES (VPS, DIRECTORS, ETC.), THE PROCESS INCLUDES REVIEW AND APPROVAL BY THE COMPENSATION COMMITTEE. ALL DOCUMENTATION IS FILED IN THE PERSONNEL FILES AND FINANCE DEPARTMENT, AND A LETTER OF CONFIRMATION IS GIVEN TO THE EMPLOYEE.

FORM 990, PART VI, SECTION C, LINE 19:

THE ORGANIZATION MAKES ITS GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY AND FINANCIAL STATEMENTS AVAILABLE TO THE PUBLIC UPON REQUEST.

FORM 990, PART VII:

NO COMPENSATION IS REPORTED ON THIS SHORT PERIOD RETURN TO EFFECTUATE A TAX YEAR CHANGE FOR OFFICERS, KEY EMPLOYEES, HIGHEST COMPENSATED EMPLOYEES, OR HIGHEST COMPENSATED INDEPENDENT CONTRACTORS (BECAUSE SUCH PERSONS ARE DETERMINED ACCORDING TO COMPENSATION RECEIVED IN THE CALENDAR YEAR ENDING WITH OR WITHIN THE TAX YEAR FOR WHICH THE RETURN IS FILED).

Name of the organization INSTITUTE FOR EASTWEST STUDIES, INC.	Employer identification number 13-3091844
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FORM 990, PART XI, LINE 9:

LOSS DUE TO UNCOLLECTED CONTRIBUTIONS.....\$(10,000,000)  
FOREIGN TRANSLATION LOSS.....(15,348)  
TOTAL.....\$(10,015,348)

ATTACHMENT 1

FORM 990, PART III, LINE 1 - ORGANIZATION'S MISSION

THE EASTWEST INSTITUTE IS A GLOBAL NETWORK OF INFLUENTIAL  
STAKEHOLDERS COMMITTED TO AND ENGAGED IN BUILDING TRUST AND  
PREVENTING CONFLICT AROUND THE WORLD. WE ENGAGE IN CONFLICT  
PREVENTION AND RESOLUTION, PROVIDING THOUGHT LEADERSHIP AND STRATEGIC  
COUNSEL TO GOVERNMENTS, THE PRIVATE SECTOR AND OTHER STAKEHOLDERS  
WORLDWIDE. WE BRING CONFLICTING PARTIES TO THE NEGOTIATING TABLE,  
OFTEN FOR THE FIRST TIME, TO FIND COMMON GROUND. WE ANTICIPATE GLOBAL  
CHALLENGES AND DEVELOP SOLUTIONS RELEVANT TO POLICYMAKERS AND  
CORPORATE LEADERS.

ATTACHMENT 2

FORM 990, PART III - PROGRAM SERVICE, LINE 4A

CYBERSECURITY INITIATIVE - THE OBJECTIVE OF THE GLOBAL COOPERATION  
IN CYBERSPACE PROGRAM IS TO REDUCE CONFLICT, CRIME AND OTHER  
DISRUPTIONS IN CYBERSPACE AND PROMOTE STABILITY, INNOVATION AND  
INCLUSION.

GLOBAL COOPERATION IS ESSENTIAL TO SUCCESSFULLY ADDRESS EXISTING  
AND EMERGING CONFLICTS - BOTH IN CYBERSPACE AND ACROSS ALL AREAS  
OF HUMAN ENDEAVOR. TO THIS END, THE EASTWEST INSTITUTE BEGAN ITS

Name of the organization INSTITUTE FOR EASTWEST STUDIES, INC.	Employer identification number 13-3091844
--	--

ATTACHMENT 2 (CONT'D)

CYBER WORK IN 2009 AS THE PIONEER ORGANIZATION THAT INITIATED A GLOBAL DIALOGUE ON CYBERSPACE SECURITY, DIPLOMACY AND DETERRENCE. PAST SUCCESSES HAVE HELPED SHORTEN REPAIR TIMES FOR DAMAGED UNDERSEA CABLES, REDUCE SPAM ON A GLOBAL BASIS, PROMOTE BALANCED ENCRYPTION POLICIES, BUILD BILATERAL CONFIDENCE AND TRUST BETWEEN EAST AND WEST TO IMPROVE CRISIS RESPONSE AND COMBAT MALICIOUS HACKERS, AND DEVELOP NORMS OF RESPONSIBLE BEHAVIOR IN CYBERSPACE. WORKING WITH GOVERNMENT, BUSINESS AND CIVIL SOCIETY LEADERS FROM AROUND THE WORLD, THE PROGRAM HAS HIGHLIGHTED THREE OBJECTIVES TO BE PURSUED TOWARDS A SAFER AND MORE SECURE INTERNET:

- PROMOTE CYBERSECURITY DEFENSES TO INCREASE THE COSTS AND REDUCE THE BENEFITS CYBER ATTACKERS CAN EXPECT.
- ADVOCATE FOR LIMITS ON THE USE OF CYBERSPACE AND CYBER TOOLS FOR OFFENSIVE PURPOSES.
- FACILITATE ENHANCED OPERATIONAL COLLABORATION ACROSS BORDERS AND AMONG ADVERSARIES.

IN 2019, THE PROGRAM WILL CONTINUE TO DEVELOP AND ADVOCATE FOR RECOMMENDED CHANGES IN NATIONAL AND CORPORATE POLICIES AND PROCEDURES. THE PRINCIPAL MEANS OF DEVELOPING THESE RECOMMENDATIONS IS THROUGH THE WORK OF FIVE COLLABORATIVE BREAKTHROUGH GROUPS, COMPOSED OF DECISION-MAKERS FROM KEY SECTORS AROUND THE WORLD:

Name of the organization

INSTITUTE FOR EASTWEST STUDIES, INC.

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ATTACHMENT 2 (CONT'D)

- SECURE, RESILIENT CITIES AND THE INTERNET OF THINGS
- SYSTEMIC RISK AND CYBER INSURANCE
- INCREASING THE GLOBAL AVAILABILITY AND USE OF SECURE ICT PRODUCTS AND SERVICES
- PROMOTING NORMS OF RESPONSIBLE BEHAVIOR IN CYBERSPACE
- UBIQUITOUS ENCRYPTION AND LAWFUL GOVERNMENT ACCESS

ATTACHMENT 3FORM 990, PART III - PROGRAM SERVICE, LINE 4B

STRATEGIC TRUST BUILDING INITIATIVES -

A) ASIA-PACIFIC - SINCE 2006, EWI'S ASIA-PACIFIC PROGRAM HAS CREATED OPPORTUNITIES FOR CONSTRUCTIVE, HIGH-LEVEL DIALOGUES THAT ENGAGE KEY U.S. AND REGIONAL STAKEHOLDERS FROM THE GOVERNMENT, BUSINESS AND MILITARY SECTORS TO ADDRESS SECURITY ISSUES AND ENHANCE RISK ASSESSMENT AND CRISIS MANAGEMENT. WE HAVE STRENGTHENED TRUST AND DEVELOPED ORIGINAL POLICY IDEAS, WHICH ARE RECEIVING ATTENTION IN WASHINGTON, BEIJING AND TOKYO.

SINCE 2008, THE U.S.-CHINA SANYA INITIATIVE HAS REGULARLY ASSEMBLED RETIRED U.S. AND CHINESE FOUR-STAR GENERALS AND ADMIRALS TO BUILD GREATER MILITARY-TO-MILITARY UNDERSTANDING BETWEEN THE TWO COUNTRIES AND TO MITIGATE THE CHANCE OF ESCALATION AND MISCALCULATION. DELEGATIONS HAVE DISCUSSED A WIDE SPECTRUM OF STRATEGIC SECURITY ISSUES, INCLUDING NORTH KOREA, MARITIME ISSUES IN THE SOUTH AND EAST CHINA SEAS, CHINA'S MILITARY REFORM AND

Name of the organization INSTITUTE FOR EASTWEST STUDIES, INC.	Employer identification number 13-3091844
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ATTACHMENT 3 (CONT'D)

COUNTERTERRORISM.

EWI'S U.S.-CHINA HIGH-LEVEL POLITICAL PARTY LEADERS DIALOGUE (P2P) IS THE ONLY U.S.-CHINA DIALOGUE PROCESS INVOLVING SITTING OFFICIALS FROM THE COMMUNIST PARTY OF CHINA AND THE U.S. DEMOCRATIC AND REPUBLICAN NATIONAL COMMITTEES. LAUNCHED IN 2010, THE DIALOGUE BUILDS TRUST AND UNDERSTANDING AMONG POLITICAL LEADERS FROM THE U.S. AND CHINA THROUGH AN EXCHANGE OF VIEWS ON DOMESTIC GOVERNANCE AND FOREIGN POLICY ISSUES.

LAUNCHED IN 2017 AND BUILDING ON EWI'S TRACK RECORD IN ORGANIZING TRACK 2 DIALOGUES, THE U.S.-JAPAN MILITARY-TO-MILITARY DIALOGUE IS A KEY PIECE OF ONGOING EFFORTS TO INTEGRATE MORE PLAYERS FROM THE ASIA-PACIFIC REGION INTO OUR PROGRAMMING AND OUTREACH.

IN 2018, EWI'S ASIA-PACIFIC PROGRAM LAUNCHED THE FOLLOWING NEW INITIATIVES, NAMELY THE BELT AND ROAD WEBPAGE AND DATABASE, THE U.S.-CHINA STRATEGIC ROUNDTABLE SERIES, THE ASIA-PACIFIC FELLOWS NETWORK, AND THE SOUTH ASIA INITIATIVE.

B) RUSSIA AND THE UNITED STATES - EWI CONTINUES TO BRIDGE THE STRATEGIC DIVIDE BETWEEN RUSSIA AND THE UNITED STATES BY PROMOTING COOPERATIVE ENGAGEMENT BETWEEN THE TWO COUNTRIES ON ISSUES OF MUTUAL INTEREST. IN RECENT YEARS, AS RUSSIA'S RELATIONS WITH THE WEST HAVE DETERIORATED, EWI HAS HELPED TO FILL THE VOID IN DISRUPTED BILATERAL GOVERNMENTAL CHANNELS BY SUSTAINING

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ATTACHMENT 3 (CONT'D)

U.S.-RUSSIA DIALOGUE TALKS ON A NUMBER OF CRITICAL ISSUES, INCLUDING COUNTERTERRORISM AND COUNTERNARCOTICS EFFORTS IN AFGHANISTAN AND CYBERSECURITY. EVEN IN THIS CHALLENGING POLITICAL CLIMATE, EWI CONTINUES TO ENGAGE KEY POLICYMAKERS, EXPERTS AND BUSINESS LEADERS AND EXPERTS IN BOTH RUSSIA AND THE UNITED STATES TO KEEP OPEN CHANNELS OF COMMUNICATION, ACHIEVE BREAKTHROUGHS AND DELIVER POLICY IMPACT.

SINCE 2017, THE JOINT U.S.-RUSSIA WORKING GROUP ON COUNTERTERRORISM IN AFGHANISTAN HAS CONVENED AMERICAN AND RUSSIAN EXPERTS IN MOSCOW, WASHINGTON, D.C., BRUSSELS AND VIENNA TO ASSESS THE IMPACT OF TERRORIST AND INSURGENT GROUPS ON AFGHANISTAN'S SECURITY AND STABILITY. THROUGH THE EFFORTS OF THE WORKING GROUP, EWI SEEKS TO BRIDGE THE GAP IN CURRENT U.S.-RUSSIA DIPLOMATIC ENGAGEMENT AND HELP BUILD TRUST - EVEN IF GRADUALLY AND INCREMENTALLY - BETWEEN GOVERNMENTS AND THE POLITICAL ESTABLISHMENTS OF BOTH COUNTRIES.

IN CLOSE COLLABORATION WITH EWI'S GLOBAL COOPERATION IN CYBERSPACE PROGRAM, THE RUSSIA AND THE UNITED STATES PROGRAM CONTINUES TO SUSTAIN BILATERAL DIALOGUE ON INFORMATION SECURITY BY TAKING PART IN THE RUSSIAN MINISTRY OF FOREIGN AFFAIRS' (MFA) ANNUAL CONFERENCE ON CYBERSECURITY, THE RUSSIAN INTERNET GOVERNANCE FORUM IN MOSCOW AND THE ANNUAL INTERNATIONAL INFORMATION SECURITY FORUM IN GARMISCH-PARTENKIRCHEN.

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ATTACHMENT 4

FORM 990, PART V, LINE 4B - FOREIGN COUNTRIES

BELGIUM

RUSSIA

UNITED KINGDOM