

Form **990**
Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)
Do not enter social security numbers on this form as it may be made public
Information about Form 990 and its instructions is at www.irs.gov/form990

OMB No 1545-0047
2016
Open to Public Inspection

A For the 2016 calendar year, or tax year beginning 01-01-2016, and ending 12-31-2016

- B** Check if applicable
 Address change
 Name change
 Initial return
 Final
 Return/terminated
 Amended return
 Application pending

C Name of organization
Monterey Bay Aquarium Foundation
% ANNA CAMPISI
Doing business as
Number and street (or P O box if mail is not delivered to street address) Room/suite
886 Cannery Row
City or town, state or province, country, and ZIP or foreign postal code
Monterey, CA 93940
F Name and address of principal officer
Julie Packard
886 Cannery Row
Monterey, CA 93940

D Employer identification number
94-2487469
E Telephone number
(831) 648-4800
G Gross receipts \$ 188,758,289

I Tax-exempt status 501(c)(3) 501(c) () (Insert no) 4947(a)(1) or 527
J Website: www.montereybayaquarium.org

H(a) Is this a group return for subordinates? Yes No
H(b) Are all subordinates included? Yes No
If "No," attach a list (see instructions)
H(c) Group exemption number ▶

K Form of organization Corporation Trust Association Other ▶

L Year of formation 1978 **M** State of legal domicile CA

Part I Summary

1 Briefly describe the organization's mission or most significant activities
The mission of the Monterey Bay Aquarium Foundation is to inspire conservation of the ocean See Schedule O for further detail

2 Check this box if the organization discontinued its operations or disposed of more than 25% of its net assets

3 Number of voting members of the governing body (Part VI, line 1a)	16
4 Number of independent voting members of the governing body (Part VI, line 1b)	15
5 Total number of individuals employed in calendar year 2016 (Part V, line 2a)	693
6 Total number of volunteers (estimate if necessary)	1,410
7a Total unrelated business revenue from Part VIII, column (C), line 12	-16,777
7b Net unrelated business taxable income from Form 990-T, line 34	-26,448

	Prior Year	Current Year
8 Contributions and grants (Part VIII, line 1h)	29,085,009	78,487,795
9 Program service revenue (Part VIII, line 2g)	45,015,537	47,414,687
10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	3,720,829	4,381,037
11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	3,332,508	3,246,561
12 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	81,153,883	133,530,080
13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)	479,062	801,663
14 Benefits paid to or for members (Part IX, column (A), line 4)	0	0
15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	40,172,834	42,893,034
16a Professional fundraising fees (Part IX, column (A), line 11e)	252,831	216,726
b Total fundraising expenses (Part IX, column (D), line 25) ▶ 3,706,287		
17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	40,631,468	45,388,522
18 Total expenses Add lines 13-17 (must equal Part IX, column (A), line 25)	81,536,195	89,299,945
19 Revenue less expenses Subtract line 18 from line 12	-382,312	44,230,135

	Beginning of Current Year	End of Year
20 Total assets (Part X, line 16)	387,639,444	437,722,162
21 Total liabilities (Part X, line 26)	16,739,033	18,273,013
22 Net assets or fund balances Subtract line 21 from line 20	370,900,411	419,449,149

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

Signature of officer _____ Date 2017-11-14
TROY GRANDE CFO
Type or print name and title _____

Paid Preparer Use Only
Print/Type preparer's name Rosemarie Brown Preparer's signature Rosemarie Brown Date 2017-11-15 Check if self-employed PTIN P01278077
Firm's name ▶ GRANT THORNTON LLP Firm's EIN ▶
Firm's address ▶ 101 CALIFORNIA STREET SUITE 2700 Phone no (415) 986-3900
SAN FRANCISCO, CA 94111

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

1 Briefly describe the organization's mission

SEE SCHEDULE O

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? Yes No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? Yes No

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a	(Code)	(Expenses \$	35,259,961	including grants of \$	142,698)	(Revenue \$	47,605,373)
	See Additional Data						

4b	(Code)	(Expenses \$	12,484,525	including grants of \$	56,750)	(Revenue \$	0)
	See Additional Data						

4c	(Code)	(Expenses \$	8,889,229	including grants of \$	397,945)	(Revenue \$	213,237)
	See Additional Data						

	(Code)	(Expenses \$	17,257,126	including grants of \$	204,270)	(Revenue \$	0)
	Guest Services - See Schedule O						

4d	Other program services (Describe in Schedule O)	(Expenses \$	17,257,126	including grants of \$	204,270)	(Revenue \$	0)
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4e	Total program service expenses ▶		73,890,841				
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Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	Yes	
2 Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> (see instructions)?	Yes	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>		No
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>	Yes	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>		No
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>		No
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>		No
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>		No
9 Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>		No
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>	Yes	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>	Yes	
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>	Yes	
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>		No
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>		No
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>	Yes	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>	Yes	
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i>		No
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i>	Yes	
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>		No
14a Did the organization maintain an office, employees, or agents outside of the United States?		No
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i>	Yes	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV</i>	Yes	
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV</i>		No
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i> (see instructions)	Yes	
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>		No
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>		No

Part IV Checklist of Required Schedules (continued)

	Yes	No
20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>		No
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	Yes	
22 Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	Yes	
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	Yes	
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i>		No
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>		No
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>		No
26 Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If "Yes," complete Schedule L, Part II</i>		No
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>		No
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)		
a A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		No
b A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		No
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>		No
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	Yes	
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>		No
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>		No
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>		No
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>		No
34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>	Yes	
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?	Yes	
b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	Yes	
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>		No
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>		No
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

Table with columns for question ID, question text, and Yes/No response boxes. Includes sections for backup withholding, employee reporting, foreign accounts, prohibited transactions, charitable contributions, and 501(c)(7), (12), and (29) organizations.

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O See instructions

Check if Schedule O contains a response or note to any line in this Part VI [X]

Section A. Governing Body and Management

Table with 3 columns: Question, Yes, No. Rows include: 1a Enter the number of voting members of the governing body at the end of the tax year (16); 1b Enter the number of voting members included in line 1a, above, who are independent (15); 2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? (Yes); 3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? (No); 4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? (No); 5 Did the organization become aware during the year of a significant diversion of the organization's assets? (No); 6 Did the organization have members or stockholders? (No); 7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? (No); 7b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? (No); 8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following: a The governing body? (Yes); b Each committee with authority to act on behalf of the governing body? (Yes); 9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O (No)

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

Table with 3 columns: Question, Yes, No. Rows include: 10a Did the organization have local chapters, branches, or affiliates? (No); 10b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?; 11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? (Yes); 11b Describe in Schedule O the process, if any, used by the organization to review this Form 990; 12a Did the organization have a written conflict of interest policy? If "No," go to line 13 (Yes); 12b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? (Yes); 12c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done (Yes); 13 Did the organization have a written whistleblower policy? (Yes); 14 Did the organization have a written document retention and destruction policy? (Yes); 15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? a The organization's CEO, Executive Director, or top management official (Yes); b Other officers or key employees of the organization (Yes); If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions); 16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? (No); 16b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?

Section C. Disclosure

Table with 3 columns: Question, Yes, No. Rows include: 17 List the States with which a copy of this Form 990 is required to be filed (CA); 18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply: [X] Own website, [] Another's website, [X] Upon request, [] Other (explain in Schedule O); 19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year; 20 State the name, address, and telephone number of the person who possesses the organization's books and records (ANNA CAMPISI 886 CANNERY ROW Monterey, CA 93940 (831) 648-4800)

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514	
Contributions, Gifts, Grants and Other Similar Amounts	1a Federated campaigns	1a					
	b Membership dues	1b	12,870,826				
	c Fundraising events	1c					
	d Related organizations	1d	676,643				
	e Government grants (contributions)	1e	355,266				
	f All other contributions, gifts, grants, and similar amounts not included above	1f	64,585,060				
	g Noncash contributions included in lines 1a-1f \$ _____		2,235,875				
	h Total. Add lines 1a-1f		78,487,795				
Program Service Revenue		Business Code					
	2a ADMISSION FEES	611600	45,924,537	45,924,537			
	b OTHER PROGRAM REVENUE	900099	1,490,150	1,490,150			
	c _____						
	d _____						
	e _____						
	f All other program service revenue						
g Total. Add lines 2a-2f		47,414,687					
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)		1,879,078		-138,151	2,029,233	
	4 Income from investment of tax-exempt bond proceeds		0				
	5 Royalties		0				
	6a Gross rents	(i) Real	(ii) Personal				
		4,481,588	154,188				
		b Less rental expenses	1,793,139				
		c Rental income or (loss)	2,688,449	154,188			
	d Net rental income or (loss)			2,842,637	121,374	2,721,263	
	7a Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other				
		55,937,029					
		b Less cost or other basis and sales expenses	53,034,924	400,146			
		c Gain or (loss)	2,902,105	-400,146			
	d Net gain or (loss)			2,501,959		2,501,959	
	8a Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c) See Part IV, line 18	a	0				
		b Less direct expenses	b	0			
		c Net income or (loss) from fundraising events			0		
	9a Gross income from gaming activities See Part IV, line 19	a	0				
b Less direct expenses		b	0				
c Net income or (loss) from gaming activities				0			
10a Gross sales of inventory, less returns and allowances	a	0					
	b Less cost of goods sold	b	0				
	c Net income or (loss) from sales of inventory			0			
Miscellaneous Revenue	Business Code						
11a LICENSING REVENUE	900099	213,237	213,237				
b MEMBER EVENTS	900099	44,310	44,310				
c ALL OTHER REVENUE	900099	146,377	146,377				
d All other revenue							
e Total. Add lines 11a-11d		403,924					
12 Total revenue. See Instructions		133,530,080	47,818,611	-16,777	7,252,455		

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.				
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21.	725,131	725,131		
2 Grants and other assistance to domestic individuals. See Part IV, line 22.	31,500	31,500		
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, line 15 and 16.	45,032	45,032		
4 Benefits paid to or for members.	0			
5 Compensation of current officers, directors, trustees, and key employees.	2,374,369	644,340	1,400,019	330,010
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B).	0			
7 Other salaries and wages.	30,202,866	25,163,752	3,821,485	1,217,629
8 Pension plan accruals and contributions (include section 401 (k) and 403(b) employer contributions).	2,365,882	1,971,153	299,348	95,381
9 Other employee benefits.	5,620,472	4,341,227	979,697	299,548
10 Payroll taxes.	2,329,445	1,884,718	346,166	98,561
11 Fees for services (non-employees)				
a Management.	0			
b Legal.	387,934	192,322	174,973	20,639
c Accounting.	269,550	257,491	10,759	1,300
d Lobbying.	338,355		338,355	
e Professional fundraising services. See Part IV, line 17.	216,726			216,726
f Investment management fees.	204,255		204,255	
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O).	9,984,424	8,544,597	1,019,517	420,310
12 Advertising and promotion.	8,361,338	8,334,727	11,994	14,617
13 Office expenses.	5,649,998	4,448,444	641,209	560,345
14 Information technology.	974,479	921,104	33,255	20,120
15 Royalties.	0			
16 Occupancy.	811,627	485,329	316,553	9,745
17 Travel.	2,715,720	2,084,199	393,955	237,566
18 Payments of travel or entertainment expenses for any federal, state, or local public officials.	0			
19 Conferences, conventions, and meetings.	273,393	170,294	92,296	10,803
20 Interest.	0			
21 Payments to affiliates.	0			
22 Depreciation, depletion, and amortization.	12,129,995	11,605,806	465,946	58,243
23 Insurance.	614,941	494,038	118,438	2,465
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a MARINE LIFE CARE	1,417,059	1,408,004	9,002	53
b DONATED GOODS	225,470	133,244		92,226
c MERCHANT FEES	967,809	4,389	963,420	
d A/R WRITE-OFF	62,175		62,175	
e All other expenses				
25 Total functional expenses. Add lines 1 through 24e.	89,299,945	73,890,841	11,702,817	3,706,287
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720).				

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part IX

		(A) Beginning of year		(B) End of year
Assets	1 Cash—non-interest-bearing	3,201,387	1	12,545,764
	2 Savings and temporary cash investments	21,605,602	2	24,045,150
	3 Pledges and grants receivable, net	29,914,057	3	44,408,121
	4 Accounts receivable, net	1,872,653	4	1,992,337
	5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L	0	5	0
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L	0	6	0
	7 Notes and loans receivable, net	0	7	0
	8 Inventories for sale or use	0	8	0
	9 Prepaid expenses and deferred charges	1,329,663	9	857,874
	10a Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	10a 286,660,809		
	b Less accumulated depreciation	10b 151,378,685	139,173,700	10c 135,282,124
	11 Investments—publicly traded securities	0	11	0
	12 Investments—other securities See Part IV, line 11	189,725,217	12	205,927,204
	13 Investments—program-related See Part IV, line 11	0	13	0
	14 Intangible assets	0	14	0
	15 Other assets See Part IV, line 11	817,165	15	12,663,588
16 Total assets. Add lines 1 through 15 (must equal line 34)	387,639,444	16	437,722,162	
Liabilities	17 Accounts payable and accrued expenses	8,207,463	17	8,275,300
	18 Grants payable	0	18	0
	19 Deferred revenue	8,377,583	19	9,860,984
	20 Tax-exempt bond liabilities	0	20	0
	21 Escrow or custodial account liability Complete Part IV of Schedule D	0	21	0
	22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L	0	22	0
	23 Secured mortgages and notes payable to unrelated third parties	0	23	0
	24 Unsecured notes and loans payable to unrelated third parties	0	24	0
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D	153,987	25	136,729
	26 Total liabilities. Add lines 17 through 25	16,739,033	26	18,273,013
Net Assets or Fund Balances	27 Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34. Unrestricted net assets	314,053,500	27	321,644,408
	28 Temporarily restricted net assets	40,139,614	28	80,848,831
	29 Permanently restricted net assets	16,707,297	29	16,955,910
	30 Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34. Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
	33 Total net assets or fund balances	370,900,411	33	419,449,149
	34 Total liabilities and net assets/fund balances	387,639,444	34	437,722,162

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	133,530,080
2	Total expenses (must equal Part IX, column (A), line 25)	2	89,299,945
3	Revenue less expenses Subtract line 2 from line 1	3	44,230,135
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	370,900,411
5	Net unrealized gains (losses) on investments	5	4,715,172
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	-396,569
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	419,449,149

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII

	Yes	No
<p>1 Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O</p>		
<p>2a Were the organization's financial statements compiled or reviewed by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis</p>	2a	No
<p>b Were the organization's financial statements audited by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis</p>	2b	Yes
<p>c If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O</p>	2c	Yes
<p>3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?</p>	3a	No
<p>b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits</p>	3b	

Additional Data

Software ID:

Software Version:

EIN: 94-2487469

Name: Monterey Bay Aquarium Foundation

Form 990 (2016)

Form 990, Part III, Line 4a:

Marine Life Exhibition and Care - See Schedule O

Form 990, Part III, Line 4b:
Education and Outreach - See Schedule O

Form 990, Part III, Line 4c:

Conservation and Science - See Schedule O

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Steve Neal Chairman	1 0 0 0	X		X				0	0	0
Dr Peter S Bing Chair Emeritus	1 0 0 0	X						0	0	0
Julie Packard Exec Director/Vice Chairman	28 0 0 0	X		X				299,622	0	38,249
Susan Bell Trustee	1 0 0 0	X						0	0	0
Margaret Caldwell Trustee	1 0 0 0	X						0	0	0
Samantha Campbell Trustee	1 0 0 0	X						0	0	0
Caroline Getty Trustee	1 0 0 0	X						0	0	0
Juan Govea 121616 Trustee	1 0 0 0	X						0	0	0
MRC Greenwood Trustee	1 0 0 0	X						0	0	0
William Landreth Trustee	1 0 0 0	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Joan Lane Trustee	1 0 0 0	X						0	0	0
Michael Mantell Trustee	1 0 0 0	X						0	0	0
Connie Martinez Trustee	1 0 0 0	X						0	0	0
Pietro Parravano Trustee	1 0 0 0	X						0	0	0
Chris Scholin Trustee	1 0 0 0	X						0	0	0
Mark Wan Trustee	1 0 0 0	X						0	0	0
Gideon Yu Trustee	1 0 0 0	X						0	0	0
Barbara Wright Secretary	1 0 0 0			X				0	0	0
Cynthia Vernon Chief Operating Officer	40 0 1 0			X				247,435	0	47,590
Edward Prohaska 1102 CFO/VP Enterprise Development	40 0 1 0			X				265,864	0	39,081

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors									(D)	(E)	(F)
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						Reportable compensation from the organization (W- 2/1099-MISC)	Reportable compensation from related organizations (W- 2/1099-MISC)	Estimated amount of other compensation from the organization and related organizations	
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former				
Cristina Fekeci Chief Development Officer	40 0 0 0				X			282,190	0	47,820	
Margaret Spring VP Conservation & Science	40 0 0 0				X			192,311	0	42,393	
Marian Martha Hahn Chief Marketing Officer	40 0 0 0				X			201,846	0	30,711	
Teresa Merry Chief Human Resources Officer	40 0 0 0				X			177,456	0	52,165	
Randall Hamilton VP of Husbandry	40 0 0 0				X			170,528	0	34,121	
Charles Aslanian VP of Facility Operations	40 0 0 0				X			157,555	0	47,432	
Justin Skinner VP of Information Technology	40 0 0 0					X		221,874	0	32,557	
Don Hughes VP of Exhibitions	40 0 0 0					X		210,631	0	44,412	
Jim Westbrook Director of Finance	40 0 1 0					X		171,955	0	28,419	
Jennifer Kemmerly Glob Fisheries/Aquaculture Dir	40 0 0 0					X		164,734	0	27,573	

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Alan Phillip Babazadeh Director of Information & Appl	40 0 0 0					X		170,694	0	30,514

SCHEDULE A
(Form 990 or 990EZ)

Public Charity Status and Public Support
Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
▶ Attach to Form 990 or Form 990-EZ.
▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047
2016
Open to Public Inspection

Department of the Treasury
Internal Revenue Service
Name of the organization
Monterey Bay Aquarium Foundation

Employer identification number
94-2487469

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 12, check only one box)

- 1 A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2 A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E (Form 990 or 990-EZ))
- 3 A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4 A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state _____
- 5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II)
- 6 A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II)
- 8 A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)
- 9 An agricultural research organization described in **170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land grant college of agriculture See instructions Enter the name, city, and state of the college or university _____
- 10 An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2)**. (Complete Part III)
- 11 An organization organized and operated exclusively to test for public safety See **section 509(a)(4)**.
- 12 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**. Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g
 - a **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization **You must complete Part IV, Sections A and B.**
 - b **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s) **You must complete Part IV, Sections A and C.**
 - c **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions) **You must complete Part IV, Sections A, D, and E.**
 - d **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions) **You must complete Part IV, Sections A and D, and Part V.**
 - e Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization
 - f Enter the number of supported organizations _____
 - g Provide the following information about the supported organization(s) _____

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
 (Complete only if you checked the box on line 5, 7, 8, or 9 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a)2012	(b)2013	(c)2014	(d)2015	(e)2016	(f)Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grant")	22,938,932	44,782,063	47,916,874	29,085,009	78,487,795	223,210,673
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						0
3 The value of services or facilities furnished by a governmental unit to the organization without charge						0
4 Total. Add lines 1 through 3	22,938,932	44,782,063	47,916,874	29,085,009	78,487,795	223,210,673
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						0
6 Public support. Subtract line 5 from line 4						223,210,673

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a)2012	(b)2013	(c)2014	(d)2015	(e)2016	(f)Total
7 Amounts from line 4	22,938,932	44,782,063	47,916,874	29,085,009	78,487,795	223,210,673
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	5,127,121	5,420,675	6,399,701	6,676,470	6,665,009	30,288,976
9 Net income from unrelated business activities, whether or not the business is regularly carried on	0	0	0	0	0	0
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part VI)	389,050	267,710	708,527	555,479	403,924	2,324,690
11 Total support. Add lines 7 through 10						255,824,339
12 Gross receipts from related activities, etc (see instructions)					12	213,678,961

13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here**

Section C. Computation of Public Support Percentage

14 Public support percentage for 2016 (line 6, column (f) divided by line 11, column (f))	14	87.252 %
15 Public support percentage for 2015 Schedule A, Part II, line 14	15	0 %

- 16a 33 1/3% support test—2016.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization
- b 33 1/3% support test—2015.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization
- 17a 10%-facts-and-circumstances test—2016.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization
- b 10%-facts-and-circumstances test—2015.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization
- 18 Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►		(a)2012	(b)2013	(c)2014	(d)2015	(e)2016	(f)Total
1	Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2	Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3	Gross receipts from activities that are not an unrelated trade or business under section 513						
4	Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5	The value of services or facilities furnished by a governmental unit to the organization without charge						
6	Total. Add lines 1 through 5						
7a	Amounts included on lines 1, 2, and 3 received from disqualified persons						
b	Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c	Add lines 7a and 7b						
8	Public support. (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►		(a)2012	(b)2013	(c)2014	(d)2015	(e)2016	(f)Total
9	Amounts from line 6						
10a	Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b	Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c	Add lines 10a and 10b						
11	Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12	Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13	Total support. (Add lines 9, 10c, 11, and 12.)						
14	First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ► <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

15	Public support percentage for 2016 (line 8, column (f) divided by line 13, column (f))	15	0 %
16	Public support percentage from 2015 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17	Investment income percentage for 2016 (line 10c, column (f) divided by line 13, column (f))	17	
18	Investment income percentage from 2015 Schedule A, Part III, line 17	18	
19a	33 1/3% support tests—2016. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ► <input type="checkbox"/>		
b	33 1/3% support tests—2015. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ► <input type="checkbox"/>		
20	Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ► <input type="checkbox"/>		

Part IV Supporting Organizations

(Complete only if you checked a box on line 12 of Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

		Yes	No
1	Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.		
	1		
2	Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).		
	2		
3a	Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.		
	3a		
b	Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in Part VI when and how the organization made the determination.		
	3b		
c	Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.		
	3c		
4a	Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes" and if you checked 12a or 12b in Part I, answer (b) and (c) below.		
	4a		
b	Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.		
	4b		
c	Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.		
	4c		
5a	Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI , including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document).		
	5a		
b	Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
	5b		
c	Substitutions only. Was the substitution the result of an event beyond the organization's control?		
	5c		
6	Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI .		
	6		
7	Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).		
	7		
8	Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).		
	8		
9a	Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI .		
	9a		
b	Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in Part VI .		
	9b		
c	Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI .		
	9c		
10a	Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer line 10b below.		
	10a		
b	Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)		
	10b		

Part IV Supporting Organizations (continued)

		Yes	No
11	Has the organization accepted a gift or contribution from any of the following persons?		
a	A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?		
b	A family member of a person described in (a) above?		
c	A 35% controlled entity of a person described in (a) or (b) above? <i>If "Yes" to a, b, or c, provide detail in Part VI</i>		

Section B. Type I Supporting Organizations

		Yes	No
1	Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? <i>If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.</i>		
2	Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? <i>If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.</i>		

Section C. Type II Supporting Organizations

		Yes	No
1	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? <i>If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).</i>		

Section D. All Type III Supporting Organizations

		Yes	No
1	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
2	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization (s) or (ii) serving on the governing body of a supported organization? <i>If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).</i>		
3	By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? <i>If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.</i>		

Section E. Type III Functionally-Integrated Supporting Organizations

1	Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions)		
a	<input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.		
b	<input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.		
c	<input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).		
2	Activities Test Answer (a) and (b) below.		
a	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? <i>If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.</i>		
b	Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? <i>If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.</i>		
3	Parent of Supported Organizations Answer (a) and (b) below.		
a	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? <i>Provide details in Part VI.</i>		
b	Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? <i>If "Yes," describe in Part VI the role played by the organization in this regard.</i>		

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

- 1** Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970. **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income

	(A) Prior Year	(B) Current Year (optional)
1 Net short-term capital gain	1	
2 Recoveries of prior-year distributions	2	
3 Other gross income (see instructions)	3	
4 Add lines 1 through 3	4	
5 Depreciation and depletion	5	
6 Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7 Other expenses (see instructions)	7	
8 Adjusted Net Income (subtract lines 5, 6 and 7 from line 4)	8	

Section B - Minimum Asset Amount

	(A) Prior Year	(B) Current Year (optional)
1 Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year)	1	
a Average monthly value of securities	1a	
b Average monthly cash balances	1b	
c Fair market value of other non-exempt-use assets	1c	
d Total (add lines 1a, 1b, and 1c)	1d	
e Discount claimed for blockage or other factors (explain in detail in Part VI)		
2 Acquisition indebtedness applicable to non-exempt use assets	2	
3 Subtract line 2 from line 1d	3	
4 Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	
5 Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6 Multiply line 5 by .035	6	
7 Recoveries of prior-year distributions	7	
8 Minimum Asset Amount (add line 7 to line 6)	8	

Section C - Distributable Amount

		Current Year
1 Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2 Enter 85% of line 1	2	
3 Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4 Enter greater of line 2 or line 3	4	
5 Income tax imposed in prior year	5	
6 Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	
7 <input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)		

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI) See instructions	
7 Total annual distributions. Add lines 1 through 6	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI) See instructions	
9 Distributable amount for 2016 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2016	(iii) Distributable Amount for 2016
1 Distributable amount for 2016 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2016 (reasonable cause required--see instructions)			
3 Excess distributions carryover, if any, to 2016			
a			
b			
c From 2013.			
d From 2014.			
e From 2015.			
f Total of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2016 distributable amount			
i Carryover from 2011 not applied (see instructions)			
j Remainder Subtract lines 3g, 3h, and 3i from 3f			
4 Distributions for 2016 from Section D, line 7			
a Applied to underdistributions of prior years			
b Applied to 2016 distributable amount			
c Remainder Subtract lines 4a and 4b from 4			
5 Remaining underdistributions for years prior to 2016, if any Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions)			
6 Remaining underdistributions for 2016 Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions)			
7 Excess distributions carryover to 2017. Add lines 3j and 4c			
8 Breakdown of line 7			
a			
b Excess from 2013.			
c Excess from 2014.			
d Excess from 2015.			
e Excess from 2016.			

Part VI Supplemental Information.

Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

A Check if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)

B Check if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures
(The term "expenditures" means amounts paid or incurred.)

(a) Filing organization's totals	(b) Affiliated group totals
----------------------------------	-----------------------------

1a Total lobbying expenditures to influence public opinion (grass roots lobbying)

b Total lobbying expenditures to influence a legislative body (direct lobbying)

c Total lobbying expenditures (add lines 1a and 1b)

d Other exempt purpose expenditures

e Total exempt purpose expenditures (add lines 1c and 1d)

f Lobbying nontaxable amount Enter the amount from the following table in both columns

If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:
Not over \$500,000	20% of the amount on line 1e
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000
Over \$17,000,000	\$1,000,000

g Grassroots nontaxable amount (enter 25% of line 1f)

h Subtract line 1g from line 1a If zero or less, enter -0-

i Subtract line 1f from line 1c If zero or less, enter -0-

j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?

Yes No

338,355	
338,355	
89,028,745	
89,367,100	
1,000,000	
250,000	

4-Year Averaging Period Under section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) Total
2a Lobbying nontaxable amount	1,000,000	1,000,000	1,000,000	1,000,000	4,000,000
b Lobbying ceiling amount (150% of line 2a, column (e))					6,000,000
c Total lobbying expenditures	175,477	113,655	141,513	338,355	769,000
d Grassroots nontaxable amount	250,000	250,000	250,000	250,000	1,000,000
e Grassroots ceiling amount (150% of line 2d, column (e))					1,500,000
f Grassroots lobbying expenditures	1,104	407	0		1,511

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity

	(a)		(b)
	Yes	No	Amount
1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a Volunteers?			
b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c Media advertisements?			
d Mailings to members, legislators, or the public?			
e Publications, or published or broadcast statements?			
f Grants to other organizations for lobbying purposes?			
g Direct contact with legislators, their staffs, government officials, or a legislative body?			
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i Other activities?			
j Total Add lines 1c through 1i			
2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b If "Yes," enter the amount of any tax incurred under section 4912			
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?	1	
2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3 Did the organization agree to carry over lobbying and political expenditures from the prior year?	3	

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1 Dues, assessments and similar amounts from members	1
2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).	
a Current year	2a
b Carryover from last year	2b
c Total	2c
3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3
4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4
5 Taxable amount of lobbying and political expenditures (see instructions)	5

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list), Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1 Also, complete this part for any additional information

Return Reference	Explanation
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SCHEDULE D (Form 990)

Supplemental Financial Statements

OMB No 1545-0047

2016

Open to Public Inspection

Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b. Attach to Form 990.

Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

Department of the Treasury Internal Revenue Service

Name of the organization Monterey Bay Aquarium Foundation

Employer identification number 94-2487469

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.

Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

Table with 2 columns: (a) Donor advised funds, (b) Funds and other accounts. Rows include: 1 Total number at end of year, 2 Aggregate value of contributions to (during year), 3 Aggregate value of grants from (during year), 4 Aggregate value at end of year, 5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? (Yes/No), 6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? (Yes/No)

Part II Conservation Easements. Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

Table with 2 columns: Description, Held at the End of the Year. Rows include: 1 Purpose(s) of conservation easements held by the organization (check all that apply), 2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year (2a Total number of conservation easements, 2b Total acreage restricted by conservation easements, 2c Number of conservation easements on a certified historic structure included in (a), 2d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register), 3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year, 4 Number of states where property subject to conservation easement is located, 5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? (Yes/No), 6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year, 7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year, 8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? (Yes/No), 9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

Table with 2 columns: Description, Amount. Rows include: 1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items, 1b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items (i) Revenue included on Form 990, Part VIII, line 1, (ii) Assets included in Form 990, Part X, 2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items a Revenue included on Form 990, Part VIII, line 1, b Assets included in Form 990, Part X

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

- 3** Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)
- a** Public exhibition
 - b** Scholarly research
 - c** Preservation for future generations
 - d** Loan or exchange programs
 - e** Other
- 4** Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII
- 5** During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? Yes No

Part IV Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a** Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? Yes No
- b** If "Yes," explain the arrangement in Part XIII and complete the following table
- | | Amount |
|--|--------|
| c Beginning balance | |
| d Additions during the year | |
| e Distributions during the year | |
| f Ending balance | |
- 2a** Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? Yes No
- b** If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII

Part V Endowment Funds. Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	127,401,810	131,038,945	113,049,854	80,879,570	78,277,759
b Contributions	65,084,000	1,009,663	16,050,939	19,632,856	3,864,734
c Net investment earnings, gains, and losses	9,173,000	-422,798	5,831,152	16,037,428	1,961,077
d Grants or scholarships					
e Other expenditures for facilities and programs	4,833,004	4,224,000	3,893,000	3,500,000	3,224,000
f Administrative expenses					
g End of year balance	196,825,806	127,401,810	131,038,945	113,049,854	80,879,570

- 2** Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as
- a** Board designated or quasi-endowment ▶ 88 890 %
 - b** Permanent endowment ▶ 8 610 %
 - c** Temporarily restricted endowment ▶ 2 500 %
- The percentages on lines 2a, 2b, and 2c should equal 100%
- 3a** Are there endowment funds not in the possession of the organization that are held and administered for the organization by
- | | Yes | No |
|--|---------------|----|
| (i) unrelated organizations | 3a(i) | No |
| (ii) related organizations | 3a(ii) | No |
| b If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R? | 3b | |
- 4** Describe in Part XIII the intended uses of the organization's endowment funds

Part VI Land, Buildings, and Equipment.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		22,944,271		22,944,271
b Buildings		158,967,405	83,421,025	75,546,380
c Leasehold improvements		756,385	756,385	
d Equipment		35,774,647	26,631,405	9,143,242
e Other		68,974,486	41,326,255	27,648,231
Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c)) . . . ▶				135,282,124

Part VII Investments—Other Securities. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other _____		
(A) EQUITY FUND	116,518,683	F
(B) BOND FUNDS	9,384,722	F
(C) HEDGE FUNDS	28,197,430	F
(D) US GOVERNMENT MONEY MARKET	13,471,330	F
(E) NON MARKETABLE INVESTMENTS	27,080,685	F
(F) BROKERAGE ACCOUNT BALANCES	1,283,826	F
(G) CD INVESTMENTS	9,990,528	F
(G)		
(H)		
Total. (Column (b) must equal Form 990, Part X, col (B) line 12)	205,927,204	

Part VIII Investments—Program Related. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Column (b) must equal Form 990, Part X, col (B) line 13)		

Part IX Other Assets. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d See Form 990, Part X, line 15

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col (B) line 15)	

Part X Other Liabilities. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

(a) Description of liability	(b) Book value
(1) Federal income taxes	0
GIFT ANNUITY PAYMENT LIABILITY	136,729
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col (B) line 25)	136,729

2. Liability for uncertain tax positions In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740) Check here if the text of the footnote has been provided in Part XIII

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements		1	
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12			
a	Net unrealized gains (losses) on investments	2a		
b	Donated services and use of facilities	2b		
c	Recoveries of prior year grants	2c		
d	Other (Describe in Part XIII)	2d		
e	Add lines 2a through 2d		2e	
3	Subtract line 2e from line 1		3	
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1 :			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII)	4b		
c	Add lines 4a and 4b		4c	
5	Total revenue. Add lines 3 and 4c . (This must equal Form 990, Part I, line 12)		5	

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements		1	
2	Amounts included on line 1 but not on Form 990, Part IX, line 25			
a	Donated services and use of facilities	2a		
b	Prior year adjustments	2b		
c	Other losses	2c		
d	Other (Describe in Part XIII)	2d		
e	Add lines 2a through 2d		2e	
3	Subtract line 2e from line 1		3	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1 :			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII)	4b		
c	Add lines 4a and 4b		4c	
5	Total expenses. Add lines 3 and 4c . (This must equal Form 990, Part I, line 18)		5	

Part XIII Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
See Additional Data Table	

Additional Data

Software ID:

Software Version:

EIN: 94-2487469

Name: Monterey Bay Aquarium Foundation

Supplemental Information

Return Reference	Explanation
Revision of Prior Year Change in Endowment Funds	Schedule D, Part V The change in endowment funds for some prior years have been updated from the previously filed Form 990 to more accurately reflect the true appreciation or use of the endowment funds There has been no change to the beginning or end of year balances

Supplemental Information

Return Reference	Explanation
Intended Use of Endowment Funds	Schedule D, Part V, Line 4 The earnings of the Aquarium's endowment funds support education and conservation programs and the mission of the Aquarium

Supplemental Information

Return Reference	Explanation
FIN 48 (ASC 740) Footnote	<p>Schedule D, Part X, Line 2 The following footnote appeared in the consolidated financial statements for both Monterey Bay Aquarium Foundation and Monterey Bay Aquarium Support Services ("MBASS"), a related organization In accordance with the FASB guidance on accounting for uncertainty in income taxes, management regularly evaluates its tax positions and does not believe either Monterey Bay Aquarium Foundation or MBASS have any uncertain tax positions that require disclosure or adjustment to the consolidated financial statements According to the statute of limitations, both the Monterey Bay Aquarium Foundation and MBASS are no longer subject to income tax examinations for fiscal years prior to December 31, 2012 for California tax purposes and for fiscal years prior to December 31, 2013 for federal tax purposes</p>

**SCHEDULE F
(Form 990)**

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" to Form 990,
Part IV, line 14b, 15, or 16.

▶ Attach to Form 990. ▶ See separate instructions.

▶ Information about Schedule F (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

**Open to Public
Inspection**

Name of the organization
Monterey Bay Aquarium Foundation

Employer identification number
94-2487469

Part I **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

- For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States
- Activities per Region (The following Part I, line 3 table can be duplicated if additional space is needed)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e g , fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
(1) See Add'l Data					
(2)					
(3)					
(4)					
(5)					
3a Sub-total					20,872,104
b Total from continuation sheets to Part I					
c Totals (add lines 3a and 3b)					20,872,104

Part II Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)		South America	See Part V	5,500	WIRE			
(2)		North America	See Part V	11,500	WIRE			
(3)		North America	See Part V	25,532	WIRE			
(4)								

- 2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter ► 0
- 3 Enter total number of other organizations or entities ► 3

Part III Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 16.

Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							

Part IV Foreign Forms

- 1 Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U S Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* Yes No
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U S Owner (see Instructions for Forms 3520 and 3520-A)* Yes No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U S Persons with Respect to Certain Foreign Corporations (see Instructions for Form 5471)* Yes No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)* Yes No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U S Persons with Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* Yes No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713)* Yes No

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

Return Reference	Explanation
Procedures for Monitoring Use of Grant Funds Outside the United States	Schedule F, Part I, Line 2 The Aquarium requires potential grant recipients to submit formal applications which are reviewed by the program manager and director of finance for consistency with the Aquarium's mission and compliance with the annual budget Furthermore, the Aquarium requires a written report from the grantee providing detail about how funds were used to accomplish the grant objectives Reports are reviewed by the program manager as well as the director of finance for compliance with grant conditions

Return Reference	Explanation
Purposes of Grants	Schedule F, Part II, column d Grant of \$5,500 - to support fisheries and aquaculture seeking sustainable solutions Grant of \$11,500 - to support juvenile white shark research in Sebastian Vizcaino Bay Grant of \$25,532 - to support migratory movement, ecology and conservation of rays in Gulf of California

Additional Data

Software ID:

Software Version:

EIN: 94-2487469

Name: Monterey Bay Aquarium Foundation

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia and the Pacific			Program Services	Conferences	111,712
Middle East and North Africa			Program Services	Conferences	3,015
Central America and the Caribbean			Program Services	Conferences	5,757

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Europe (Including Iceland and Greenland)			Program Services	Conferences	25,866
North America			Program Services	Meetings, Sales & Conf	21,334
South America			Program Services	Conferences	2,194

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
North America			Grantmaking		45,032
Central America and the Caribbean			Investments		20,657,194

SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding Fundraising or Gaming Activities

Complete if the organization answered "Yes" on Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a
▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule G (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

**Open to Public
Inspection**

Name of the organization
Monterey Bay Aquarium Foundation

Employer identification number
94-2487469

Part I Fundraising Activities. Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

- 1** Indicate whether the organization raised funds through any of the following activities. Check all that apply.
- | | |
|---|--|
| <p>a <input checked="" type="checkbox"/> Mail solicitations</p> <p>b <input checked="" type="checkbox"/> Internet and email solicitations</p> <p>c <input checked="" type="checkbox"/> Phone solicitations</p> <p>d <input checked="" type="checkbox"/> In-person solicitations</p> | <p>e <input checked="" type="checkbox"/> Solicitation of non-government grants</p> <p>f <input checked="" type="checkbox"/> Solicitation of government grants</p> <p>g <input checked="" type="checkbox"/> Special fundraising events</p> |
|---|--|
- 2a** Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? Yes No
- b** If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
1 FELDSTEIN CO LLC THE WRIGLEY BUILDING 400 NORTH MICHIGAN AVENUE SUITE 16 CHICAGO, IL 60611	CONSULTING		No		153,600	-153,600
2 SDA TELESERVICES 5757 WEST CENTURY BLVD SUITE 300 LOS ANGELES, CA 90045	TELE- MARKETING		No	237,282	63,126	174,156
3						
4						
5						
6						
7						
8						
9						
10						
Total ▶				237,282	216,726	20,556

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing

CA

Part II Fundraising Events. Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

Revenue		(a) Event #1	(b) Event #2	(c) Other events	(d)
		(event type)	(event type)	(total number)	Total events (add col (a) through col (c))
Revenue	1 Gross receipts				
	2 Less Contributions				
	3 Gross income (line 1 minus line 2)				
Direct Expenses	4 Cash prizes				
	5 Noncash prizes				
	6 Rent/facility costs				
	7 Food and beverages				
	8 Entertainment				
	9 Other direct expenses				
	10 Direct expense summary Add lines 4 through 9 in column (d) ▶				
11 Net income summary Subtract line 10 from line 3, column (d) ▶					

Part III Gaming. Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

Revenue		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col (a) through col (c))
		1 Gross revenue			
Direct Expenses	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
Revenue	6 Volunteer labor	<input type="checkbox"/> Yes _____% <input type="checkbox"/> No	<input type="checkbox"/> Yes _____% <input type="checkbox"/> No	<input type="checkbox"/> Yes _____% <input type="checkbox"/> No	
	7 Direct expense summary Add lines 2 through 5 in column (d) ▶				
	8 Net gaming income summary Subtract line 7 from line 1, column (d) ▶				

9 Enter the state(s) in which the organization conducts gaming activities _____

a Is the organization licensed to conduct gaming activities in each of these states? Yes No

b If "No," explain _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? Yes No

b If "Yes," explain _____

- 11** Does the organization conduct gaming activities with nonmembers? Yes No
- 12** Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? Yes No
- 13** Indicate the percentage of gaming activity conducted in
- | | | | |
|----------|-----------------------------|------------|---------|
| a | The organization's facility | 13a | _____ % |
| b | An outside facility | 13b | _____ % |
- 14** Enter the name and address of the person who prepares the organization's gaming/special events books and records

Name ▶

Address ▶

- 15a** Does the organization have a contract with a third party from whom the organization receives gaming revenue? Yes No
- b** If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ _____ and the amount of gaming revenue retained by the third party ▶ \$ _____
- c** If "Yes," enter name and address of the third party

Name ▶

Address ▶

16 Gaming manager information

Name ▶

Gaming manager compensation ▶ \$

Description of services provided ▶

Director/officer Employee Independent contractor

17 Mandatory distributions

- a** Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? Yes No
- b** Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ _____

Part IV Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

Return Reference

Explanation

**Schedule I
(Form 990)**

Department of the
Treasury
Internal Revenue Service

**Grants and Other Assistance to Organizations,
Governments and Individuals in the United States**
Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.
▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047
2016
**Open to Public
Inspection**

Name of the organization
Monterey Bay Aquarium Foundation

Employer identification number
94-2487469

Part I General Information on Grants and Assistance

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance

2	Enter total number of section 501(c)(3) and government organizations listed in the line 1 table	10
3	Enter total number of other organizations listed in the line 1 table	0

Part III Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22

Part III can be duplicated if additional space is needed

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
(1) Student Scholarships	8	6,500			
(2) Fellowship	1	25,000			
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					

Part IV Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

Return Reference	Explanation
Procedures for Monitoring the Use of Grant Funds in the United States	Schedule I, Part I, Line 2 The Aquarium requires potential grant recipients to submit formal applications which are reviewed by the program manager and Director of Finance for consistency with the Aquarium's mission and compliance with the annual budget Furthermore, the Aquarium requires a written report from the grantee providing detail about how funds were used to accomplish the grant objectives Reports are reviewed by the program manager as well as the Director of Finance for compliance with grant conditions

Additional Data

Software ID:
Software Version:
EIN: 94-2487469
Name: Monterey Bay Aquarium Foundation

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Stanford University PO BOX 44253 SAN FRANCISCO, CA 94305	94-1153656	501(c)(3)	245,357				White Shark Research
Monterey Bay Aqu Research Institute 7700 Sandholdt Road Moss Landing, CA 95039	77-0150580	501(c)(3)	83,366				R&D of Cephalopods

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of California Santa Cruz 1156 HIGH STREET Santa Cruz, CA 95064	94-1539563	115	16,000				Field research & tags
University of Hawaii Research Office 2240 Campus Rd PO 368 Honolulu, HI 96822	99-6000354	115	12,000				RESEARCH PROJECT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ASSOCIATION OF ZOOS & AQUARIUMS 8403 COLESVILLE SILVER SPRINGS, MD 20910	55-0526930	501(C)(3)	10,000				PLANNING AND CAPACITY
MARINE MAMMAL CENTER 200 BUNKER RD SAUSALITO, CA 94965	04-3569744	501(C)(3)	15,000				Necropsy/Pathology

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF CALIFORNIA LOS ANGELES 405 HILGARD AVE LOS ANGELES, CA 90095	94-6006143	501(C)(3)	16,500				SEA OTTER DNA SEQUENCING
MONTEREY BAY FISHERIES TRUST 256 FIGUEROA STREET MONTEREY, CA 93940	47-1978379	501(C)(3)	44,500				Fishing conservation

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CITY OF MONTEREY 735 PACIFIC ST MONTEREY, CA 93940		115	153,135				PUBLIC TRANSPORTATION CONTRIBUTION
CALIFORNIA STATE UNIV LONG BEACH RESEARCH FOUNDATION 6300 STATE UNIVERSITY DR SUITE 33 LONG BEACH, CA 90815	93-1150363	115	22,000				SHARK PROGRAM & RAPID TRAINING

Schedule J
(Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

OMB No 1545-0047

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
 ▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 23.**
 ▶ **Attach to Form 990.**

2015
Open to Public Inspection

▶ **Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.**

Name of the organization Monterey Bay Aquanum Foundation	Employer identification number 94-2487469
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Part I Questions Regarding Compensation

	Yes	No								
<p>1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.</p> <table border="0"> <tr> <td><input type="checkbox"/> First-class or charter travel</td> <td><input type="checkbox"/> Housing allowance or residence for personal use</td> </tr> <tr> <td><input type="checkbox"/> Travel for companions</td> <td><input type="checkbox"/> Payments for business use of personal residence</td> </tr> <tr> <td><input type="checkbox"/> Tax indemnification and gross-up payments</td> <td><input type="checkbox"/> Health or social club dues or initiation fees</td> </tr> <tr> <td><input type="checkbox"/> Discretionary spending account</td> <td><input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)</td> </tr> </table>	<input type="checkbox"/> First-class or charter travel	<input type="checkbox"/> Housing allowance or residence for personal use	<input type="checkbox"/> Travel for companions	<input type="checkbox"/> Payments for business use of personal residence	<input type="checkbox"/> Tax indemnification and gross-up payments	<input type="checkbox"/> Health or social club dues or initiation fees	<input type="checkbox"/> Discretionary spending account	<input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)		
<input type="checkbox"/> First-class or charter travel	<input type="checkbox"/> Housing allowance or residence for personal use									
<input type="checkbox"/> Travel for companions	<input type="checkbox"/> Payments for business use of personal residence									
<input type="checkbox"/> Tax indemnification and gross-up payments	<input type="checkbox"/> Health or social club dues or initiation fees									
<input type="checkbox"/> Discretionary spending account	<input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)									
<p>b If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain.</p>	1b	Yes								
<p>2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a?</p>	2	Yes								
<p>3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.</p> <table border="0"> <tr> <td><input type="checkbox"/> Compensation committee</td> <td><input type="checkbox"/> Written employment contract</td> </tr> <tr> <td><input type="checkbox"/> Independent compensation consultant</td> <td><input type="checkbox"/> Compensation survey or study</td> </tr> <tr> <td><input type="checkbox"/> Form 990 of other organizations</td> <td><input type="checkbox"/> Approval by the board or compensation committee</td> </tr> </table>	<input type="checkbox"/> Compensation committee	<input type="checkbox"/> Written employment contract	<input type="checkbox"/> Independent compensation consultant	<input type="checkbox"/> Compensation survey or study	<input type="checkbox"/> Form 990 of other organizations	<input type="checkbox"/> Approval by the board or compensation committee				
<input type="checkbox"/> Compensation committee	<input type="checkbox"/> Written employment contract									
<input type="checkbox"/> Independent compensation consultant	<input type="checkbox"/> Compensation survey or study									
<input type="checkbox"/> Form 990 of other organizations	<input type="checkbox"/> Approval by the board or compensation committee									
<p>4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization:</p>										
<p>a Receive a severance payment or change-of-control payment?</p>	4a	No								
<p>b Participate in, or receive payment from, a supplemental nonqualified retirement plan?</p>	4b	No								
<p>c Participate in, or receive payment from, an equity-based compensation arrangement?</p> <p>If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.</p>	4c	No								
<p>Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.</p>										
<p>5 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:</p>										
<p>a The organization?</p>	5a	No								
<p>b Any related organization?</p> <p>If "Yes," on line 5a or 5b, describe in Part III.</p>	5b	No								
<p>6 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:</p>										
<p>a The organization?</p>	6a	No								
<p>b Any related organization?</p> <p>If "Yes," on line 6a or 6b, describe in Part III.</p>	6b	No								
<p>7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III.</p>	7	No								
<p>8 Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.</p>	8	No								
<p>9 If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?</p>	9									

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column(B) reported as deferred on prior Form 990
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
See Additional Data Table							

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation
Schedule J, Part I, Line 1a	<p>Gross-Up Payments and Health Club Dues. As part of the Aquarium's wellness program, all employees (including the listed employees in Part VII, Section A) are eligible to receive a monthly subsidy of \$25 for fitness center dues if the employee meets certain wellness program requirements. Since this type of subsidy is considered a taxable fringe benefit, the Aquarium grosses-up these subsidies to cover the employee's taxes. Such payments were treated as taxable income on the employee's Form W-2 and reported as compensation on Schedule J, Part II, Column b(iii). Additionally, certain employees received tax gross-up payments for small appreciation gifts received during the course of the 2016 calendar year. Such payments were treated as taxable income on the employee's Form W-2 and reported as compensation on Schedule J, Part II, Column b(ii).</p>

Additional Data

Software ID:
Software Version:
EIN: 94-2487469
Name: Monterey Bay Aquarium Foundation

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1 Julie Packard Exec Director/Vice Chairman	(i)	297,248	401	1,973	26,500	11,749	337,871	0
	(ii)	0	0	0	0	0	0	0
1 Cynthia Vernon Chief Operating Officer	(i)	245,035	801	1,599	24,175	23,415	295,025	0
	(ii)	0	0	0	0	0	0	0
2 Edward Prohaska 1102 CFO/VP Enterprise Development	(i)	264,883	0	981	26,500	12,581	304,945	0
	(ii)	0	0	0	0	0	0	0
3 Cristina Fekeci Chief Development Officer	(i)	279,327	801	2,062	26,500	21,320	330,010	0
	(ii)	0	0	0	0	0	0	0
4 Margaret Spring VP Conservation & Science	(i)	190,287	790	1,234	19,725	22,668	234,704	0
	(ii)	0	0	0	0	0	0	0
5 Manan Martha Hahn Chief Marketing Officer	(i)	200,635	790	421	20,226	10,485	232,557	0
	(ii)	0	0	0	0	0	0	0
6 Teresa Merry Chief Human Resources Officer	(i)	173,551	2,369	1,536	18,422	33,743	229,621	0
	(ii)	0	0	0	0	0	0	0
7 Randall Hamilton VP of Husbandry	(i)	168,786	790	952	17,011	17,110	204,649	0
	(ii)	0	0	0	0	0	0	0
8 Justin Skinner VP of Information Technology	(i)	221,704	0	170	13,597	18,960	254,431	0
	(ii)	0	0	0	0	0	0	0
9 Don Hughes VP of Exhibitions	(i)	207,299	2,392	940	21,481	22,931	255,043	0
	(ii)	0	0	0	0	0	0	0
10 Jim Westbrook Director of Finance	(i)	170,533	790	632	17,210	11,209	200,374	0
	(ii)	0	0	0	0	0	0	0
11 Jennifer Kemmerly Glob Fishenes/Aquaculture Dir	(i)	162,774	1,665	295	16,434	11,139	192,307	0
	(ii)	0	0	0	0	0	0	0
12 Alan Phillip Babazadeh Director of Information & Appl	(i)	168,208	790	1,696	17,019	13,495	201,208	0
	(ii)	0	0	0	0	0	0	0
13 Charles Aslanian VP of Facility Operations	(i)	155,847	790	918	16,608	30,824	204,987	0
	(ii)	0	0	0	0	0	0	0

**SCHEDULE M
(Form 990)**

Noncash Contributions

OMB No 1545-0047

2016

▶ **Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.**
 ▶ **Attach to Form 990.**
 ▶ **Information about Schedule M (Form 990) and its instructions is at www.irs.gov/form990**

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Name of the organization
Monterey Bay Aquarium Foundation

Employer identification number
94-2487469

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art				
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded	X	64	2,215,841	Selling Price
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests				
12 Securities—Miscellaneous				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles				
19 Food inventory	X	15	20,034	Opinion of Experts
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ▶ (_____)				
26 Other ▶ (_____)				
27 Other ▶ (_____)				
28 Other ▶ (_____)				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement

29	
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30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?

b If "Yes," describe the arrangement in Part II

31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?

b If "Yes," describe in Part II

33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II

	Yes	No
30a		No
31	Yes	
32a		No
33		

Part II Supplemental Information.

Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference	Explanation
Schedule M, Part I, Column (b)	The organization is reporting the total number of items contributed on Schedule M, Part I, Column (b)

SCHEDULE O
(Form 990 or 990-EZ)

Supplemental Information to Form 990 or 990-EZ

OMB No 1545-0047

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

2016

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Name of the organization

Monterey Bay Aquarium Foundation

Employer identification number

94-2487469

990 Schedule O, Supplemental Information

Return Reference	Explanation
Organization's Mission	<p>Form 990, Part I, Line 1 and Form 990, Part III, line 1 The mission of the Monterey Bay Aquarium is to inspire conservation of the ocean Unique among ocean conservation organizations, the Aquarium inspires 2 million visitors each year We reach far beyond our walls as well, engaging over 3 million followers through social media channels The powerful impact of an Aquarium visit and the energy and engagement of our online audience contribute to the Aquariums growing stature as a trusted source of science-based conservation solutions 2016 Highlights - We opened a special exhibit Viva Baja! Life on the Edge to share with visitors the unique and fragile ecosystems of Baja California - We welcomed 2 1 million visitors - making 2016 the third consecutive year we have hosted at least 2 million people - We convened a first-ever international 'Bluefin Futures Symposium' to bring the plight of these fish center stage, and also helped tag a record 3,000 Pacific bluefin tuna off Japan - Encouraging new research revealed that sea otters we raised and released into Elkhorn Slough have helped restore this vital wetland - Construction began on our Bechtel Family Center for Ocean Education and Leadership to expand our ocean science programs and reach thousands more students and teachers - Millions of California voters trusted our support of a statewide law to ban single-use carryout plastic bags-the first in the nation</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
<p>Program Service Accomplishment Description</p>	<p>Form 990, Part III, Line 4a - Marine Life Exhibition and Care Marine life exhibition and care costs of \$35,259,961 include the cost of operating and maintaining the Aquariums living exhibit galleries Viva Baja! Highlights Conservation Connections with Our Southern Neighbor Viva Baja! Life on the Edge invited visitors to explore the unique and fragile coastal habitats of Baja California while watching flashy fishes, colorful crustaceans and riveting desert reptiles-the latter a first for us Our newest special exhibition, which opened March 2016, shows how we all are connected to this special place (only a few hundred miles south of Monterey) whose future hangs in a delicate balance Our companion auditorium program, 'Journey to Baja', tells the uplifting success story of how acting to protect ocean animals from threats, including climate change, helps build a better future for us all Our Exhibits and Animals (Real and Imaginary) Inspire Visitors Our living exhibits transport visitors into a magical underwater world, and have inspired others to take journeys of their own Theyre the heart of what we do Our impact begins when people come face to face with ocean wildlife - real and even imagined Finding Dory Years before its release, we schooled staff at Pixar Animation Studios in marine animal biology and behavior for Finding Dory, Disney Pixars 2016 summer blockbuster We were also an inspiration for the design of and operations at the fictional Marine Life Institute, and for the look and function of bird feathers in the accompanying Oscar-winning animated short, Piper Breeding Breakthroughs We bred Pacific seahorses for the first time at the Aquarium to ensure a healthy exhibit population for Viva Baja! and we shared our secrets - and seahorse babies - with other aquariums and zoos to protect wild populations We also became the first aquarium in North America to exhibit and raise striped pyjama squid, and sent our home-grown squidlings to other institutions Cracking the Comb Jelly Code For the first time ever, our staff cultured the elusive comb jelly Figuring out how to raise comb jellies in-house is a big deal in the scientific world It allows aquariums to exhibit these animals year-round for public education without collecting from the wild - and may also help improve our picture of how life on Earth began Welcome, Selka Selka became the newest member of our Sea Otter exhibit She is a rescued California sea otter that lived in the wild, survived a shark bite, and contributed to important research into understanding her species Now shes on track to serve as another surrogate mother for stranded wild pups Happy 10th, Makana! As the only Laysan albatross at any zoo or aquarium in the United States, Makana is a valued ambassador for her species and for all seabirds and marine life that face deadly threats from ocean plastic pollution Visitors learn Makanas story - and how they can reduce their reliance on single-use plastic - d</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
Program Service Accomplishment Description	uring her popular daily program Pokmon Phenomenon The Aquarium became home to some unusu al animals when the viral mobile game 'Pokemon Go' engaged visitors who were glued to thei r phones more than usual, searching for cute cartoon creatures inside our exhibits - and e ven in our caf Blending digital and real worlds together, the game was the first success story for the use of augmented reality technology

990 Schedule O, Supplemental Information

Return Reference	Explanation
<p>Program Service Accomplishment Description</p>	<p>Form 990, Part III, Line 4b - Education and Outreach Education and Outreach costs of \$12, 467,625 include the cost of education programs for both teachers and students, and Aquarium Adventures programs to deepen guest experiences We continued to raise funds for our new Bechtel Family Center for Ocean Education and Leadership, which will open its doors in 2019 The Center will let us double the number of visiting schoolchildren who can take part in programs led by Aquarium educators, double the number of science teachers we reach through institutes and workshops designed to build their skills, and double the number of teens in leadership programs With donor support, we offer all of our education programs to students, teens and teachers free of charge, including complimentary admission to the Aquarium Empowering Future Ocean Stewards through Innovative Science Education Our commitment to engaging children with science remains as strong today as when we opened our doors in 1984 Since then, we have provided free visits to more than 2.3 million students In 2016 we welcomed nearly 85,000 students on complimentary field trips, 20 percent from Monterey County and 20 percent from Santa Cruz County Of those, over 31,000 participated in a staff-led program in either our auditorium or Discovery Labs Our bilingual education staff served 1,500 preschool students visiting from 43 Head Start centers in Monterey and Santa Cruz counties Over 9,200 family members participated in one of six Home School Days offered during the year Teacher Programs Through in-person and virtual workshops, conference presentations and other professional development events, we shared ecosystem-based approaches to science education with nearly 2,000 teachers One such project was bringing secondary school teachers to the site of the former San Clemente Dam on Carmel River, where they explored restored habitats along the riverbanks Elementary teachers collaborated to design schoolyard habitat projects that they later created with their students Our staff welcomed 95 Head Start teachers, staff and administrators for professional development sessions as part of our Splash Zone partnership Teen Programs A total of 225 teens participated in our programs designed for middle and high school students Mentored by Teen Conservation Leader alumni, over 100 teen volunteers provided superlative on-site visitor experiences for Aquarium summer guests, and created humorous and hopeful posts about the ocean for Instagram, Snapchat and Facebook In our Young Women in Science program, 63 middle school girls immersed themselves in the life history of sea otters, while 39 alumnae in the Ocean Guardians camp focused on the impacts of plastic waste on marine food webs, and used social media to inspire others to find alternatives to single-use plastic Through our Watsonville Area Teens Conserving Habitats program, 70 students representing all three high schools in the Pajaro Valley Unified Sch</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
Program Service Accomplishment Description	<p>ool District collaborated to learn about local ecosystems and the environmental challenges they face - and what teens can do to make a difference Teaching with Tech We continued utilizing technology to advance our mission in new ways as we hosted 326 teachers and students in onsite professional development workshops and off-site virtual field trips A total of 85 preschool to 12th grade teachers attended the 'Connecting Conservation and Technology' one-day workshop, which covered topics such as integrating coding into lessons, helping students create virtual reality tours and programming microcontrollers for use in data collection projects We also hosted a hands-on, virtual field trip experience that introduced 241 middle and high school students to the habitats of Monterey Bay and the animals that live there Summits and STEM Over 300 students and teachers attended our fifth annual Ocean Plastic Pollution Summit, presenting results of their year-long projects Participants were inspired by two teens from Bali whose 'Bye Plastic Bags' movement succeeded in banning single-use plastic bags from that island province Their campaign sparked similar initiatives by teens around the world The fall kickoff event was attended by 91 preschool to 12th grade students and teachers Keynote Marcus Eriksen of 5 Gyres set the tone for the inspirational weekend We also created a uniquely Aquarium STEM (Science, Technology, Engineering, Math) challenge at the San Francisco Bay Area Maker Faire Using a variety of materials and their imaginations, visitors designed a wave machine that would create enough water motion to keep kelp fronds moving This spin-off design of our own kelp forest piston won the Faire's 'Best in Class' award for educational projects</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
Program Service Accomplishment Description	<p>Form 990, Part III, Line 4c - Conservation and Science Conservation and Science costs of \$9,064,675 represent expenses for marine policy and advocacy efforts, the Seafood Watch program and field research. Our Impact as a Global Conservation Leader From Monterey Bay to the Sea of Japan, our international research and conservation work remains focused on one urgent goal - ensuring the health of the global ocean. We study and protect marine wildlife from sea otters to white sharks, as well as collaborate on solutions to ocean plastic pollution, and champion sustainable fisheries and aquaculture around the world. Our Conservation Research Helps Sea Otters and Their Habitats. Our work with southern sea otters validates why a healthy population of these iconic marine mammals is critical to maintaining thriving coastal habitats. For more than 30 years, we have worked to understand how sea otters affect coastal ecosystems. We've long understood their vital role in restoring and maintaining kelp forests, now, our researchers have documented a similar effect in coastal wetlands - especially Elkhorn Slough. Our surrogate-raised pups - and their offspring - make up the majority of sea otters in Elkhorn Slough, where this keystone species has helped restore this productive coastal wetland. We're now exploring the potential benefits of introducing surrogate-reared otters into other portions of their historical range. Toward that goal, in 2016 we completed a three-year study of sea otters in Elkhorn Slough. We and our partners are analyzing the more than 41,000 data points we collected on such behaviors as diving, foraging and feeding. Over 10 scientific papers will result from this collaboration, affirming the vital role played by sea otter recovery and range expansion in supporting a resilient California coast. We can also use the data to support policies that protect and restore coastal habitats on which sea otters depend for their recovery. We regularly collaborate with other biologists to study population dynamics not only of the southern sea otters that live off California, but also the northern sea otters off Alaska and Canada. This helps us to better understand these ecosystem engineers, and how the two populations are connected. We're also collaborating with UCLA scientists to sequence the first-ever sea otter genome, using a blood sample from one of our resident exhibit sea otters. This first genome will provide a template for the sequencing of an additional 60 genomes from across the sea otter range, and represents a huge technical and computational effort. Understanding the genetic diversity of the southern sea otter population will inform recovery plans for this threatened species. The birth of a wild sea otter pup in March in the Great Tide Pool gave us an unprecedented opportunity to share our conservation mission in real time. While hundreds of people witnessed the birth live at the Aquarium, thousands around the world watched the first-ever live</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
<p>Program Service Accomplishment Description</p>	<p>stream of a sea otter birth on Periscope Over 14 million people eventually saw archived footage of the momentous event as social media fans and international news outlets shared the good news Ocean Plastic Pollution We played a leading role in the successful Proposition 67 campaign to uphold Californias statewide ban on single-use plastic grocery bags Hundreds of thousands of people embraced the messages of support we shared through social media and other channels, helping to pass this important legislation Julie Packard was one of three authors for the 'Yes on Proposition 67' ballot argument in the official Voter Information Guide, and was honored by Governor Jerry Brown for her leadership in this campaign and her longstanding commitment to protect the ocean We deployed volunteers on college campuses, created a new web page about ocean plastic pollution, produced a podcast series that explored the issues and solutions, and created engaging online videos to support the 'Yes on 67' campaign We also hosted the inaugural Aquarium Plastic Pollution Symposium, where over 100 colleagues from aquariums across the United States and Canada discussed how to work together in a conservation partnership over the next two years to reduce plastic pollution in our ocean, rivers and lakes Climate Change Our new director of science was a voice for the ocean at the U N Climate Change Conference (COP22) in Morocco, where he underscored how carbon emissions are affecting ocean health - and human survival In California, which continues to lead the way nationally in enacting laws to address climate change and mitigate its impacts, we supported key legislation that addresses greenhouse gas emissions We worked locally with public officials to develop mitigation plans for sea level rise and other unavoidable impacts We are telling the climate change story to our visitors and online audiences, including through our new 'Journey to Baja' auditorium program and a new web page that raises awareness of this critical issue for ocean health We have coordinated a network of climate change interpreters who work at aquariums, zoos and science centers nationwide to further raise awareness about practical actions people can take, individually and collectively, to make a difference for the ocean and for us all White Sharks Our research team continues to employ advanced technologies to track and analyze the movement and behavior of white sharks along the coasts of California and Baja, Mexico Working with engineers at the Monterey Bay Aquarium Research Institute, we developed a prototype 'shark cam' that we will deploy to capture underwater video footage of these mysterious animals when they travel far offshore from California We also collaborated with colleagues in Baja to assess genetic variation in juvenile white sharks using teeth from 'shark mummies,' identified a new nursery area on Bajas Pacific coast, and documented high bycatch of newborns in gillnets there</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
Program Service Accomplishment Description	<p>Pacific Bluefin Tuna We partnered with Stanford University to convene the first-ever international 'Bluefin Futures Symposium,' connecting the world's leading bluefin tuna scientists and managers with expert stakeholders from academia, industry and nonprofits to chart a course for recovery of these remarkable and threatened fish. In collaboration with scientists and commercial fishermen in Japan, we tagged over 3,000 Pacific bluefin tuna in the Sea of Japan to track their migrations across the Pacific and generate new data on their spawning cycles - key to understanding what protections are needed to assist in their recovery.</p> <p>Seafood Watch Steps Up its International Role Since 1999, our sustainable seafood initiative Seafood Watch has empowered consumers and businesses to support ocean-friendly fisheries and aquaculture through their purchasing decisions. Now, Seafood Watch is assuming an international leadership role in the movement. More than 68,000 business locations worldwide use Seafood Watch guidelines to inform their purchasing decisions, either as a direct Aquarium partner or through one of our many conservation partners. This includes large retailers like Target, which is now 97 percent of the way toward its goal of having all of its fresh and frozen seafood both sustainable and traceable. In 2016, longtime partner Aramark committed to serving sustainably sourced skipjack and albacore tuna in the U.S. The company also has sustainable seafood programs underway in Canada, Germany and the United Kingdom. We also now count among our official partners the food delivery services Blue Apron and Hello Fresh. Seafood Watch partnered with the U.S. Agency for International Development to improve traceability of seafood imports entering the North American market. We also joined international seafood rating organizations to create the Global Seafood Ratings Alliance to coordinate rating programs in the U.S. and around the world as a way to accelerate the movement toward seafood sustainability. The Seafood Watch team further advanced the sustainability movement internationally by bringing together colleagues from Canada, South Africa, Japan, Brazil, the Netherlands and the United Kingdom who develop sustainable seafood standards in their countries. At a meeting in Monterey, they agreed to collaborate in new ways that will expand the reach and effectiveness of all of our programs. Seafood Watch is actively working to improve aquaculture practices in Southeast Asia - the source of most shrimp imported to the U.S. We created a special protocol to give shrimp farmers in this region a flexible way to meet our standards and secure access to a U.S. market that increasingly demands sustainably produced seafood.</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
We also helped to establish a new collaborative group through	<p>which producers from Southeast Asia can improve the sustainability of the regions shrimp aquaculture and fisheries. We'll continue to work with this group, and with business and government partners, to upgrade environmental sustainability and labor practices in the region. Ultimately, we want to make it easier for U.S. consumers to find sustainable seafood choices at their local stores. Our work in Asia earned us a partnership with the U.S. Agency for International Development Oceans and Fisheries Partnership (USAID Oceans). Together, we will work to improve the traceability of seafood products entering the North American market from the Asia-Pacific region, the world's largest seafood exporter and home to rich marine ecosystems. The end goal is to make the region a global model for sustainability and good labor practices. We made significant progress on our Human Rights Risk Tool, which we plan to launch in 2017. The tool will help businesses identify where there may be human rights issues in their seafood supply chain and take steps to mitigate them. Our positive impact was affirmed in October when the State Department chose Seafood Watch as the only sustainable seafood NGO invited to present at its international 'Our Ocean' conference, hosted by Secretary of State John Kerry. Leaders from around the world - government, industry and nonprofit leaders, and emerging young voices - attended this significant ocean conservation event. We chose Seafood Watch as the only sustainable seafood NGO invited to present at its international 'Our Ocean' conference, hosted by Secretary of State John Kerry. Leaders from around the world - government, industry and nonprofit leaders, and emerging young voices - attended this significant ocean conservation event.</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
<p>Program Service Accomplishment Description</p>	<p>Form 990, Part III, Line 4d - Other program services Other program services of \$17,291,316 represent the costs of activities to provide a high-quality experience for the 2.1 million people who visited the Aquarium in 2016 - the third consecutive year we have hosted at least 2 million people. Included in this category are costs for marketing, guest services and membership services, as well as costs associated with food services and retail. Marketing includes costs associated with the creation, production and placement of advertising to build awareness about the opportunity to connect with marine life and ocean conservation through an Aquarium visit. An allocation of marketing costs is included in management and general expenses to represent the costs associated with soliciting admission revenue. Our digital, search-based advertising campaign continued to attract more visitors to the Aquarium and the destination. In 2016 this campaign drew 402,000 more visitors (an increase of 30,000 over 2015). Most of our Hispanic attendance is from California, with the majority of visitors arriving from the San Francisco, Sacramento, Fresno and local tri-county area (Monterey, Santa Cruz and San Benito counties), markets in which we advertise directly in Spanish language media. Hispanic visitors represented 27 percent of total paid Aquarium visitors in 2016. Our active and responsive presence on social media platforms allows visitors to remain connected with us, and us with them, once they leave the Aquarium. We ended 2016 with over 3 million people around the world following and engaging with us on social media. In 2016 the Aquarium admitted more than 93,000 people in our community free of charge, including audiences visiting through our 'Free to Learn,' 'Shelf to Shore,' 'Student Ocean Stewards' affordable access programs, and our annual 'Community Open House.' Membership households totaled 79,000. In addition to the essential processing and customer service functions, membership services hosts several member events each year including a Halloween dance party and holiday wine tasting event. Aquarium members also receive targeted monthly e-newsletters and Shorelines, the Aquarium's member magazine, which in 2016 we also introduced as a new, interactive online format and encouraged members to adopt the paperless version. Other Membership services include efforts to serve, retain and communicate with members about our conservation mission. The Aquarium's overall outreach wouldn't have the impact it does without the dedication of our many volunteers, who do more than make the Aquarium better; they make our work possible. For each staff member, we have two volunteers sharing their energy and enthusiasm for the ocean. In 2016, over 1,400 individuals volunteered over 169,000 hours of service in over 80 different work areas, notably in education and animal care. Our volunteer community hails from all over central and northern California - over 100 cities in all - and volunt</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
Program Service Accomplishment Description	eers range in age from teenagers to folks in their 90s

990 Schedule O, Supplemental Information

Return Reference	Explanation
Family or Business Relationships	Form 990, Part VI, Section A, line 2 TRUSTEES JULIE PACKARD AND CHRIS SCHOLIN AND OFFICER BARBARA WRIGHT ARE DIRECTORS AND OFFICERS OF THE MONTEREY BAY AQUARIUM RESEARCH INSTITUTE ('MBARI') JULIE PACKARD AND MEG CALDWELL ARE TRUSTEES, JULIE PACKARD IS A TRUSTEE AND MEG CALDWELL IS AN EMPLOYEE OF THE DAVID AND LUCILE PACKARD FOUNDATION MICHAEL MANTELL AND MARK WAN ARE TRUSTEES, MICHAEL MANTELL IS AN OFFICER AND MARK WAN IS A TRUSTEE OF RESOURCES LEGACY FUND

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990 Review Process	Form 990, Part VI, Section A, line 11A The Form 990 is prepared by Grant Thornton LLP based on audited financial statements and with the assistance of the Aquarium's finance and accounting staff The final draft of the Form 990 is reviewed by the CFO and the Executive Director Upon acceptance of the draft by the CFO and Executive Director, the draft Form 990 is shared with the Audit Committee of the Board of Trustees The Audit Committee is asked to review the draft and provide any questions or commentary by a specified date After finalizing the draft per the Audit Committee, CFO, and Executive Director review, the full Board receives a copy of the final version of the Form 990 prior to filing

990 Schedule O, Supplemental Information

Return Reference	Explanation
Monitoring and Enforcement of Conflict of Interest Policy	<p>Form 990, Part VI, Section B, Line 12c The Aquarium regularly and consistently monitors and enforces compliance with its conflict of interest policies Trustees and officers Conflict of Interest Policy -- The Executive Director's office assures that all disclosures forms (and mitigation plans, if applicable) have been received by January 31 of each year from all trustees and officers, prepares a summary of disclosures, and forwards the completed forms and the summary to the Aquarium's Conflicts Review Panel, consisting of the Executive Director, Legal Counsel, Chief Human Resources Officer, the Chief Financial Officer (CFO), Controller and Director of Finance Trustees and officers also acknowledge receipt and understanding of the Aquariums COI Policy in conjunction with providing the annual disclosures Following review by the Panel, the Panels findings and the disclosures are provided to the Board's Audit Committee, which reviews the disclosures and makes a report to the Board at its March Board meeting Both the Panel and the Audit Committee annually evaluate the effectiveness of the process The CFO and Controller assure appropriate reporting to the external auditors and tax filings preparer Trustees and officers have a continuing duty to make additional disclosures throughout the year if warranted Employees Code of Ethical Conduct Policy -- The Chief Human Resources Officer forwards the Code, which includes a section on conflicts of interest, to all managers in early January each year, and assures that each manager responds that they have read it and are in compliance by January 31 Managers are also required to disclose any interests which could give rise to conflict, and to assure that any staff in their areas with interests which could give rise to conflict has done the same Disclosures (and mitigation plans, if applicable) are reviewed by the Executive Director, Chief Human Resources Officer and CFO by March This team also evaluates the effectiveness of the process The CFO assures appropriate reporting to the external auditors and tax filings preparer Employees have a continuing duty to make additional disclosures throughout the year if warranted</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
Process for Determining Compensation	<p>Form 990, Part VI, Section B, Lines 15 The Board of Trustees maintains a performance evaluation process for the Chief Executive Officer, referred to as the Executive Director, who is a member of the Board The Boards Executive Compensation Committee, comprised of independent directors, reviews the CEO's performance with input from the other Board members, and recommends the compensation of the CEO to the Board The Committee obtains and reviews market survey data from several independent organizations (in 2017 this was five national and regional firms, including one custom survey) containing data for comparable positions at comparable organizations The Committee provides the performance review and comparable salary information to the Board and recommends the CEO's compensation Based upon the performance review and comparable salary information, the independent members of the Board determine that the compensation is fair, just and reasonable and then approves total compensation for the CEO The Board delegates to the Executive Compensation Committee the performance review and compensation approval of the Chief Financial Officer, who is not a member of the Board, and any other Officers or senior staff who are highly compensated Based upon the performance review and comparable salary information, the Committee determines that the compensation is fair, just and reasonable and approves total compensation for the CFO and all other key employees In each case, the review and approval is contemporaneously documented in the minutes of the Committee and the Board, respectively</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
Documents Made Available to the Public	Form 990, Part VI, Section C, Line 19 The Aquarium makes its audited financial statements and Form 990 for the most recent three years available to the public by posting on its website The conflicts of interest policy and governing documents are provided upon request within two business days

990 Schedule O, Supplemental Information

Return Reference	Explanation
Other Changes in Net Assets	Form 990, Part XI, Line 9 Unrealized Loss on Interest in Charitable Remainder Trusts (\$396,569)

990 Schedule O, Supplemental Information

Return Reference	Explanation
Schedule A Part II and Schedule B	Due to the volume of donors that meet the contribution reporting requirements for Schedule B, the organization has elected to complete Schedule A Part II Public Support Test to utilize the Schedule B Special Rule which raises the threshold to 2% of total contributions

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990 PART IX LINE 11G	DESCRIPTION OUTSIDE SERVICES TOTAL FEES 9024978

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990 PART IX LINE 11G	DESCRIPTION OTHER FEES TOTAL FEES 959446

**SCHEDULE R
(Form 990)**

Related Organizations and Unrelated Partnerships

OMB No 1545-0047

2016

**Open to Public
Inspection**

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

▶ Attach to Form 990. ▶ Information about Schedule R (Form 990) and its instructions is at www.irs.gov/form990.

Department of the Treasury
Internal Revenue Service

Name of the organization
Monterey Bay Aquarium Foundation

Employer identification number

94-2487469

Part I Identification of Disregarded Entities Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

Part II Identification of Related Tax-Exempt Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
(1) Monterey Bay Aquarium Support Services 99 Pacific Street Monterey, CA 93940 77-0569564	Property Mgmt	CA	501(c)(3)	11-I	MBAF	Yes	

Part III Identification of Related Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	

Part IV Identification of Related Organizations Taxable as a Corporation or Trust Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of- year assets	(h) Percentage ownership	(i) Section 512(b) (13) controlled entity?	
								Yes	No

Part V Transactions With Related Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule

	Yes	No
1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?		
a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity	1a	No
b Gift, grant, or capital contribution to related organization(s)	1b	No
c Gift, grant, or capital contribution from related organization(s)	1c	Yes
d Loans or loan guarantees to or for related organization(s)	1d	No
e Loans or loan guarantees by related organization(s)	1e	No
f Dividends from related organization(s)	1f	No
g Sale of assets to related organization(s)	1g	No
h Purchase of assets from related organization(s)	1h	No
i Exchange of assets with related organization(s)	1i	No
j Lease of facilities, equipment, or other assets to related organization(s)	1j	No
k Lease of facilities, equipment, or other assets from related organization(s)	1k	No
l Performance of services or membership or fundraising solicitations for related organization(s)	1l	No
m Performance of services or membership or fundraising solicitations by related organization(s)	1m	No
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n	Yes
o Sharing of paid employees with related organization(s)	1o	Yes
p Reimbursement paid to related organization(s) for expenses	1p	No
q Reimbursement paid by related organization(s) for expenses	1q	No
r Other transfer of cash or property to related organization(s)	1r	No
s Other transfer of cash or property from related organization(s)	1s	No

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) MONTEREY BAY ACQUARIUM SUPPORT SERVICES	C	676,642	FMV

Part VII **Supplemental Information**

Provide additional information for responses to questions on Schedule R (see instructions)

Return Reference**Explanation**