

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

Department of the Treasury
Internal Revenue Service

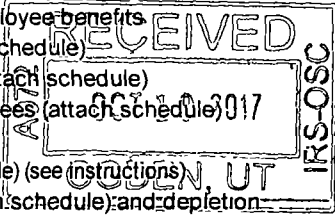
▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2016 or tax year beginning , 2016, and ending , 20

Name of foundation Humana Foundation, Inc.		A Employer identification number 61-1004763
Number and street (or P O box number if mail is not delivered to street address) Room/suite P.O. Box 740026		B Telephone number (see instructions) 502-580-3041
City or town, state or province, country, and ZIP or foreign postal code Louisville KY 40201		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 194,166,466	J Accounting method <input type="checkbox"/> -Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
<i>(Part I, column (d) must be on cash basis)</i>		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	3,077,431	3,201,529		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	583,022			
	b Gross sales price for all assets on line 6a 1,794,070				
	7 Capital gain net income (from Part IV, line 2)		583,022		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)	0				
11 Other income (attach schedule)	1,434,368				
12 Total. Add lines 1 through 11	5,094,821	3,784,551	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	75,691			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	47			
	24 Total operating and administrative expenses. Add lines 13 through 23	75,738	0	0	0
25 Contributions, gifts, grants paid	9,240,482				
26 Total expenses and disbursements. Add lines 24 and 25	9,316,220	0	0	0	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	(4,221,399)				
b Net investment income (if negative, enter -0-)		3,784,551			
c Adjusted net income (if negative, enter -0-)			0		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	0	295,412	295,412
	2	Savings and temporary cash investments	19,054,189	5,045,376	5,045,376
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	23,087,000	28,598,111	28,547,908
	b	Investments—corporate stock (attach schedule)	47,710,275	46,856,088	139,403,711
	c	Investments—corporate bonds (attach schedule)	2,788,759	2,952,931	2,958,525
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans	14,395,673	17,613,577	17,533,052
	13	Investments—other (attach schedule)	385,837	382,482	382,482
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶ <u>UNREALIZED GAIN/(LOSS)</u>)	76,264,778	92,422,490		
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	183,686,511	194,166,467	194,166,466	
Liabilities	17	Accounts payable and accrued expenses	184,555	162,566	
	18	Grants payable	1,434,368	0	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	1,618,923	162,566	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	182,067,588	194,003,901	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	Total net assets or fund balances (see instructions)	182,067,588	194,003,901		
31	Total liabilities and net assets/fund balances (see instructions)	183,686,511	194,166,467		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	182,067,588
2	Enter amount from Part I, line 27a	2	(4,221,399)
3	Other increases not included in line 2 (itemize) ▶ <u>UNREALIZED APPRECIATION</u>	3	16,157,712
4	Add lines 1, 2, and 3	4	194,003,901
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	194,003,901

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES		P	VARIOUS	VARIOUS
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 1,794,070		1,211,048	583,022	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	583,022
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }			3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	9,747,652	184,170,480	0.0529
2014	8,269,038	166,652,753	0.0496
2013	9,569,402	147,902,040	0.0647
2012	6,658,014	145,214,451	0.0458
2011	4,979,925	111,729,090	0.0446
2 Total of line 1, column (d)			2 0.2576
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.051533
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 183,426,038
5 Multiply line 4 by line 3			5 9,452,494
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 37,846
7 Add lines 5 and 6			7 9,490,340
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			8 9,240,482

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	75,691	
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2		
3	Add lines 1 and 2	3	75,691	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	75,691	
6	Credits/Payments			
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	101,254	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d	7	101,254	
8	Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	25,563	
11	Enter the amount of line 10 to be Credited to 2017 estimated tax <input type="checkbox"/> 25,563 Refunded <input type="checkbox"/>	11	0	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> KENTUCKY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>www.humanafoundation.org</u>	X	
14 The books are in care of ▶ <u>Gregg Carter</u> Telephone no ▶ <u>502-580-1241</u> Located at ▶ <u>500 W. Main Street, Louisville, KY</u> ZIP+4 ▶ <u>40202</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ <u>15</u>		<input type="checkbox"/>
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

	5b	
	6b	X
	7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Attached Schedule				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 Scholarship America - The Foundation has made no distribution in which it maintains "significant involvement" within the meaning of Reg. Sec. 53.4942(b)-1(b)(2).

2

3

4

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 100% of the Foundation's assets are held for the production of investment income. The Foundation has no program-related activities.

2

All other program-related investments See instructions

3

Total. Add lines 1 through 3 ▶ 0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	185,853,940
b	Average of monthly cash balances	1b	(17,093)
c	Fair market value of all other assets (see instructions)	1c	382,482
d	Total (add lines 1a, b, and c)	1d	186,219,329
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	186,219,329
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	2,793,290
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	183,426,039
6	Minimum investment return. Enter 5% of line 5	6	9,171,302

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	9,171,302
2a	Tax on investment income for 2016 from Part VI, line 5	2a	75,691
b	Income tax for 2016 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	75,691
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	9,095,611
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	9,095,611
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	9,095,611

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	9,240,482
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	9,240,482
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	9,240,482

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				9,095,611
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011	0			
b From 2012	0			
c From 2013	2,234,808			
d From 2014	4,631			
e From 2015	837,377			
f Total of lines 3a through e	3,076,816			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 9,240,482				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2016 distributable amount				9,095,611
e Remaining amount distributed out of corpus	144,871			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,221,687			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)		0		
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	3,221,687			
10 Analysis of line 9				
a Excess from 2012	0			
b Excess from 2013	2,234,808			
c Excess from 2014	4,631			
d Excess from 2015	837,377			
e Excess from 2016	144,871			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶
- b** Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a	0	0	0	0	0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	0	0	0	0	0
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

None

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

Humana Foundation, Inc. 500 W. Main Street, Louisville, KY 40202 502-580-3041

- b** The form in which applications should be submitted and information and materials they should include

See Attached Statement

- c** Any submission deadlines

February 1, Humana Scholarship Program

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

See Attached Statement

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- a** Transfers from the reporting foundation to a noncharitable exempt organization of
 - (1) Cash
 - (2) Other assets
 - b** Other transactions
 - (1) Sales of assets to a noncharitable exempt organization
 - (2) Purchases of assets from a noncharitable exempt organization
 - (3) Rental of facilities, equipment, or other assets
 - (4) Reimbursement arrangements
 - (5) Loans or loan guarantees
 - (6) Performance of services or membership or fundraising solicitations
 - c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees
 - d** If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee: Hank Robinson Date: 10/12/17 Title: Vice President, Tax

May the IRS discuss this return with the preparer shown below (see instructions)? Yes No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	Firm's name	Firm's EIN	Firm's address	Phone no	

Humana Foundation, Inc., 61-1004763
2016 Form 990-PF: Parts I and II
Revenue and Expenses; Balance Sheet

Part I, Revenue and Expenses	<u>Column (a)</u> Revenue & expenses per books	
Line 18, Taxes		
Federal excise tax	75,691	
	<u>75,691</u>	
Part II, Balance Sheet - End of Year	<u>Column (b)</u> Book value	<u>Column (c)</u> Market value
<u>Investments</u>		
Line 10a U.S government obligations	28,598,111	28,547,908
	<u>28,598,111</u>	<u>28,547,908</u>
Line 10b Corporate stock. see separate schedule	46,856,088	139,403,711
	<u>46,856,088</u>	<u>139,403,711</u>
Line 10c. Corporate bonds see separate schedule	2,952,931	2,958,525
	<u>2,952,931</u>	<u>2,958,525</u>
Line 13 Other investments		
Accrued interest receivable	210,446	210,446
Accrued dividends receivable	82,854	82,854
Accrued other receivable	89,182	89,182
	<u>382,482</u>	<u>382,482</u>

Humana Foundation, Inc., 61-1004763
2016 Form 990-PF: Part II, line 10b, Corporate Stock

	<u>No of Shares</u>	<u>Book Value</u>	<u>Market Value</u>
Abbot Laboratones	7,000	160,067	268,870
Abbvie, Inc	7,000	173,579	438,340
American Electric Power	25,500	934,142	1,605,480
Aqua America, Inc	40,000	686,168	1,201,600
Archer Daniels Midland	37,500	1,068,359	1,711,875
AT&T (fka SBC Communications)	26,300	618,486	1,118,539
Automatic Data Processes	16,000	686,386	1,644,480
Bank of America	16,072	808,356	355,191
Boeing Co (The)	14,500	1,021,848	2,257,360
Chemours Co	3,920	44,413	86,593
Chevron Texaco	8,400	511,546	988,680
Clorox Company	11,000	669,797	1,320,220
Coca-Cola Company	27,000	896,875	1,119,420
Conoco Phillips Petroleum	20,500	1,142,824	1,027,870
Coming Inc	66,000	852,072	1,601,820
CSX Corporation	49,500	1,123,590	1,778,535
Detroit Edison	12,100	595,480	1,191,971
Diageo PLC	9,700	667,349	1,008,218
Dow Chemical	27,800	836,499	1,590,716
Du Pont (E I) De Nemours	19,600	829,005	1,438,640
Eaton Corporation	10,000	403,135	670,900
Eli Lilly and Company	18,500	701,070	1,360,675
Exxon Mobil Corpotion	14,000	1,040,175	1,263,640
General Dynamics Corporation	15,000	996,951	2,589,900
General Electric	27,004	619,761	853,326
Goldman Sachs & Co	7,000	760,530	1,676,150
Honeywell	9,700	307,147	1,123,745
Humana Inc	325,001	5,526,350	66,309,954
Illinois Tool Works	19,000	996,216	2,326,740
Intel Corporation	42,000	894,660	1,523,340
International Paper Co	24,000	1,002,223	1,273,440
Johnson and Johnson	9,000	530,763	1,036,890
Kraft Foods	17,000	399,527	753,610
McDonalds	10,500	161,826	1,278,060
Merck & Co	23,000	881,127	1,354,010
Microsoft Corporation	40,500	1,076,809	2,516,670
Minnesota Mining & Manufacturing	13,000	1,112,619	2,321,410
Nestle SA	11,500	692,651	826,560
Nextera Energy Inc	9,400	284,216	1,122,924
Norfolk Southern Corporation	19,500	1,311,673	2,107,365
Pepsico, Inc	20,000	1,268,647	2,092,600
Pfizer	32,500	933,900	1,055,600
Proctor & Gamble	13,200	636,220	1,109,856
Prologis, Inc	23,500	706,631	1,240,565
Simon Property Group, Inc	5,000	553,573	888,350
Synchrony	12,916	348,170	468,463
Target Corporation	14,000	697,122	1,011,220
U S Bancorp	19,677	310,566	1,010,808
United Parcel Service	14,000	952,851	1,604,960
United Technologies Co	13,500	1,078,276	1,479,870
Venzon Communication	16,776	603,949	895,503
Wal-Mart Stores, Inc	14,500	762,395	1,002,240
Walt Disney Company (The)	20,500	794,889	2,136,510
Waste Management	31,500	1,048,361	2,233,665
Wells Fargo	12,400	315,069	683,364
Welltower, Inc	14,500	713,255	970,485
YUM! Brands Inc	16,500	795,236	1,044,945
Yum! China Holdings	16,500	310,711	430,980
	<u>1,421,466</u>	<u>46,856,087</u>	<u>139,403,711</u>

Humana Foundation, Inc., 61-1004763
2016 Form 990-PF: Part II, line 10c, Corporate Bonds

	Face <u>Amount</u>	Book <u>Value</u>	Market <u>Value</u>
British Columbia	210,000	209,172	213,985
Goldman Sachs & Co.	700,000	717,449	721,468
JP Morgan Chase	700,000	701,284	702,573
Nissan Auto	270,000	269,976	265,805
Novartis Fiance	50,000	49,975	53,520
Sparebank 1 Bolidkredit	375,000	373,755	370,080
Verizon Communication	130,000	130,013	129,686
Wells Fargo Bank	500,000	501,308	501,410
	<u>2,935,000</u>	<u>2,952,931</u>	<u>2,958,525</u>

Humana Foundation, Inc., 61-1004763
2016 Form 990-PF: Part VIII, line 1
Officers and Directors

Officers

Michael B. McCallister	President and CEO	500 W. Main St Louisville, KY 40202
Brian A. Kane	Senior Vice President, Chief Financial Officer	500 W Main St. Louisville, KY 40202
D. Hank Robinson	Vice President, Tax	500 W Main St Louisville, KY 40202
Joan O Lenahan	Vice President and Secretary	500 W. Main St Louisville, KY 40202
W. Mark Preston	Vice President and Treasurer	500 W Main St Louisville, KY 40202
Joseph C Ventura	Assistant General Counsel and Assistant Corporate Secretary	500 W Main St Louisville, KY 40202
Virginia K Judd	Executive Director	500 W. Main St Louisville, KY 40202

Directors

Michael B McCallister	Chairman	500 W. Main St Louisville, KY 40202
Bruce D Broussard		500 W. Main St Louisville, KY 40202
David A Jones		471 W Main St , Suite 203 Louisville, KY 40202
David A. Jones, Jr		101 S Fifth St Louisville, KY 40202
Heidi S Margulis		500 W Main St Louisville, KY 40202
Christopher M Todoroff		500 W Main St Louisville, KY 40202

The Executive Director holds a full-time position. All others devote time to the Foundation on an as-needed basis averaging from one to three hours per month

Humana Foundation, Inc., 61-1004763
2016 Form 990-PF: Part XV
Supplementary Information

Part XV, line 2, Supplementary Information

2b Applications for contributions

Applications for contributions, other than scholarships, should be submitted by letter and accompanied by a copy of the organization's exemption letter under Code Sec. 501(c)(3). The application must request a specific dollar amount and include a brief explanation of the charitable activities of the organization. Applications for scholarship awards should be submitted directly to Scholarship America, St. Peter MN, on a pre-approved form.

For details, see "Grant Applications" and "Scholarship Program" at www.humanafoundation.org.

2d Restrictions on awards

The Humana Foundation identifies, funds, and nurtures projects and organizations in three fields: domestic and international health, education, and civic and cultural development in communities where the company has a meaningful presence. The scholarship competition is open to high school seniors, high school graduates, and college students who are children of Humana employees and plan on pursuing a college degree.

Humana Foundation, Inc , 61-1004763

2016 Form 990-PF Part XV, line 3a

Grants and Contributions Paid During the Year

Recipient	Address	Purpose of contribution	Amount
21ST CENTURY PARKS INC	Louisville, KY	Matching Gifts	26,500 00
21ST CENTURY PARKS INC	Louisville, KY	The Parklands of Floyds Fork Annual Fund Payment 1 of 4	250,000 00
21ST CENTURY PARKS INC	Louisville, KY	Payment #2 - Multi-year pledge in support of The Parklands of Floyds Fork Annual Fund	250,000 00
ACCESS VENTURES INC	Louisville, KY	KIVA Access Ventures - Humana Sprint of Philanthropy	5,000 00
ACTORS THEATRE OF LOUISVILLE INC	Louisville, KY	Actors Theatre of Louisville - 2016 Humana Festival of New American Plays	725,000 00
ACTORS THEATRE OF LOUISVILLE INC	Louisville, KY	Payment #1 Humana Festival of New American Plays	750,000 00
AMERICAN RED CROSS	Louisville, KY	Matching Gifts	10,784 00
AMERICAN RED CROSS	Louisville, KY	Dollars for Doers Award	4,000 00
AMERICAN RED CROSS	Louisville, KY	Texas Flood Relief	25,000 00
AMERICAN RED CROSS	Louisville, KY	Baton Rouge Flood Relief	25,000 00
AMERICAN RED CROSS	Louisville, KY	Hurricane Matthew Disaster Relief	50,000 00
AYCO CHARITABLE FOUNDATION	Latham, NY	Matching Gifts	174,982 00
BAY AREA HUMANE SOCIETY	Green Bay WI	Humana Make a Difference Day	1,000 00
BELLARMINE UNIVERSITY	Louisville, KY	Strategic Expansion of the Institute for Advanced Analytics	500,000 00
BELLARMINE UNIVERSITY	Louisville, KY	Health Informatics Development (Payment 4/5)	200,000 00
BIG BROTHERS BIG SISTERS	Louisville, KY	BBBS Kentuckiana- Project BBBS (Big Better Best equals Success)	200,000 00
BOYS AND GIRLS CLUBS OF THE TENNESSEE VALLEY	Knoxville, TN	Food for Thought Summer Breakfast and Teaching Garden Program	40,000 00
BROWN COUNTY UNITED WAY	Green Bay WI	Matching Gifts	23,754 00
BROWN COUNTY UNITED WAY	Green Bay WI	Brown County United Way- General Campaign funding	45,000 00
BUCKNELL UNIVERSITY	Lewesburg PA	Matching Gifts	5,000 00
CAPITAL AREA UNITED WAY	Baton Rouge, LA	Baton Rouge Flood Relief and Recovery BOD approval 8/17/2016	25,000 00
CATCH Global Foundation	New Orleans LA	New Orleans CATCH Coordinated School Health Initiative	80,000 00
CATH CHARITIES ARCHDIOCESE OF NEW ORLEANS	New Orleans, LA	Health Guardians Navigating the Gap between People and Care	50,000 00
CHESTNUT STREET YMCA	Louisville, KY	Black Achievers Tuition	21,250 00
CHILDSAFE	San Antonio TX	Training Video Child Abuse-Medical Professional	50,000 00
COMMITTEE ENCOURAGING CORPORATE PHILANTHROPY	New York NY	CECP - June 2016 - June 2017 Membership	15,000 00
COMMUNITY FOUNDATION OF LOUISVILLE	Louisville KY	Matching Gifts	25,000 00
COMMUNITY FOUNDATION OF LOUISVILLE	Louisville KY	Louisville Youth Philanthropy Council	10,000 00
COMMUNITY FOUNDATION OF LOUISVILLE	Louisville KY	Gve Local Louisville	10,000 00
COMMUNITY FOUNDATION OF LOUISVILLE	Louisville, KY	Resilience Documentary Screenings & Community Discussions	10,000 00
COMMUNITY FOUNDATION OF LOUISVILLE	Louisville KY	55,000 Degrees	75,000 00
CORNELL UNIVERSITY	Ithica, NY	Matching Gifts	15,000 00
DARE TO CARE INC	Louisville KY	Humana Sprint of Philanthropy	10,000 00
DARE TO CARE INC	Louisville, KY	Choose Healthy Options Program (CHOP)	26,000 00
Daughters of Charity Services of New Orleans	New Orleans LA	HCB payment #2 of 3	100,000 00
DEPRESSION AND BIPOLAR SUPPORT ALLIANCE	Chicago IL	Peer Leadership Center	150,000 00
DERBY CITY DOG RESCUE	Louisville KY	Dollars 4 Doers Winner	4,000 00
DRESS FOR SUCCESS TAMPA BAY	Tampa FL	Humana Make a Difference Day	1,000 00
EARLY CHILDHOOD COUNCIL OF HILLSBOROUGH COUNTY INC	Tampa FL	Developmental Screening Program	20,000 00
ELDERSERVE	Louisville KY	Humana Make a Difference Day	2,000 00
Families and Schools Together Inc	Madison WI	A Community-based Approach to Support Healthy Behaviors	82,700 00
FILSON HISTORICAL SOCIETY	Louisville, KY	Matching Gifts	10,000 00
FILSON HISTORICAL SOCIETY	Louisville, KY	The Campus Expansion Project	50,000 00
FLORIDA KEY SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS, INC	Key West, FL	Matching Gifts	7,500 00
Foot Print to Success Clubhouse Inc	Pompano Beach, FL	Healthy Lifestyle Interventions	33,500 00
FRANCISCAN SHELTER HOUSE	Louisville, KY	Volunteer Month Winner	3,000 00
FUND FOR THE ARTS	Louisville, KY	Matching Gifts	53,668 00
FUND FOR THE ARTS	Louisville KY	2016 Corporate Campaign	360,000 00
GILDA'S CLUB LOUISVILLE	Louisville KY	Dollar 4 Doers winner	4,000 00
GILDA S CLUB LOUISVILLE	Louisville, KY	Team Professional Dev & Healthy Initiative	20,000 00
GILDA S CLUB LOUISVILLE	Louisville, KY	Louisville Support Groups	25,000 00
Greater Green Bay YMCA	Green Bay WI	Food and Fun	10,000 00
HABITAT FOR HUMANITY OF METRO LOUISVILLE	Louisville KY	2016 House Sponsorship - Raise the Roof	45,000 00
HAND IN HAND MINISTRIES INC	Louisville KY	D4D Winner	4,000 00
HISPANIC UNITY OF FLORIDA INC	Hollywood FL	Te Ayudo (I Help You)	35,000 00
HOME OF THE INNOCENTS	Louisville KY	Humana Make a Difference Day	1,000 00
HOME OF THE INNOCENTS	Louisville KY	Integrated Primary Care and Behavioral Health Access	100,000 00
HOPE WORLDWIDE LTD	San Diego CA	Humana Make a Difference Day	1,000 00
Honzons Unlimited Inc	Emmetsburg IA	Humana Volunteer Award	1,000 00
Housing Authority of Louisville	Louisville, KY	Parkway Place Fitness Park and Community Garden	38,750 00
INTERFAITH HEALTH CLINIC	Knoxville TN	Humana Healthy Lifestyle Classroom - HCB payment 2 of 3	100,000 00
JEFFERSON COUNTY PUBLIC EDUCATION FDN	Louisville KY	Operabng Support	25,000 00
JUBILEE FAMILY DEVELOPMENT CENTER	Lynchburg VA	Humana Make a Difference Day	1,000 00
JUNIOR ACHIEVEMENT	Louisville, KY	Health Benefits Center at JA Finance Park	15,000 00
Kentuckiana Pnde Foundation Inc	Louisville KY	Humana Make a Difference Day	1,000 00
KENTUCKY AUTHOR FORUM	Louisville KY	2016/17 Season	55,000 00
KENTUCKY EDUCATIONAL TELEVISION	Lexington KY	Endowment in honor of Leonard Press (Payment 5/5)	10,000 00
KENTUCKY EDUCATIONAL TELEVISION	Lexington KY	John R. Hall Endowment For Education	35,000 00
KOSAIR CHARITIES COMMITTEE INC	Louisville, KY	Humana Sprint of Philanthropy Award - Kosair Special Kids Program	2,000 00
KOSAIR CHARITIES COMMITTEE INC	Louisville KY	Sprint of Philanthropy Award - Kosair Holiday Party & Toy Collection	10,000 00
LEADERSHIP LOUISVILLE FOUNDATION INC DBA LEADERSHIP LOUISVILLE CENTER	Louisville KY	Well-being Scholarship Fund	20,000 00
LEADERSHIP LOUISVILLE FOUNDATION INC DBA LEADERSHIP LOUISVILLE CENTER	Louisville, KY	Destination Leadership	150,000 00
LEXINGTON CATHOLIC HIGH SCHOOL	Lexington, KY	Matching Gifts	25,000 00
Little Brothers-Friends of the Elderly	Cincinnati OH	Humana Volunteer Award	1,000 00
LOUISIANA TECH UNIVERSITY FOUNDATION INC	Ruston, LA	Matching Gifts	19,000 00
LOUISVILLE MAKES GAMES	Louisville, KY	Education Expansion Project	4,000 00
LOUISVILLE OLMSTED PARKS CONSERVANCY	Louisville KY	Victory Park Renewal Walking Paths and Lighting	140,000 00
LOUISVILLE URBAN LEAGUE	Louisville KY	LUL It starts with me! Community Health Program	200,000 00
LOUISVILLE ZOO FOUNDATION	Louisville KY	2016 Zoofan Adoption	11,000 00
MAKE-A-WISH FOUNDATION OF AMERICA	Louisville, KY	Humana s 100 Day Dash	15,000 00
MARYHURST	Louisville KY	Marketing Expansion & Enhancement	20,000 00
MARYHURST	Louisville KY	Occupational Therapy Services	80,000 00
Matching Gifts \$0-\$100	Various	Various	126,350 35
Matching Gifts \$101-\$2,500	Various	Various	101,027 34
METRO UNITED WAY	Louisville, KY	Matching Gifts	96,417 00
METRO UNITED WAY	Louisville KY	A Study of Developmental Screener Use in West Louisville	25,000 00
METRO UNITED WAY	Louisville KY	2015-2016 Corporate Campaign	660,000 00
MORNINGSIDE MINISTRIES	San Antonio TX	HCB payment #3 of 3	50,000 00

Humana Foundation, Inc , 61-1004763			
2016 Form 990-PF, Part XV, line 3a			
Grants and Contributions Paid During the Year			
Recipient	Address	Purpose of contribution	Amount
21ST CENTURY PARKS INC	Louisville, KY	Matching Gifts	26,500 00
NEW ROOTS INC	Louisville, KY	Perfecting the New Roots Model	100,000 00
NORTH CAROLINA COMMUNITY FOUNDATION	Raleigh, NC	Hurricane Matthew Disaster Relief	40,000 00
North Harrison Youth Football League	Georgetown, IN	Humana Day of Service Volunteer Award	1,000 00
PCC COMMUNITY WELLNESS CENTER	Oak Park, IL	Dollar 4 Doers winner	3,000 00
PCC COMMUNITY WELLNESS CENTER	Oak Park, IL	2014 HCB Grant - Final Payment	50,000 00
Prior Year Voided Checks run thru Contributions	Various	Various	(58,000 00)
RACING FOR KIDS	Gross Pointe Farms, MI	2016 Racing for Kids Hospital Outreach Program	50,000 00
RUTGERS UNIVERSITY FOUNDATION	New Brunswick, NJ	Matching Gifts	18,668 68
SAMJIVANI FOUNDATION	Waxhaw, NC	Matching Gifts	5,000 00
SAN ANTONIO FOOD BANK	San Antonio, TX	San Antonio Food Bank Wellness Initiative	50,000 00
SCHOLARSHIP AMERICA	St. Petersburg, FL	First Half Scholarships	358,420 00
SCHOLARSHIP AMERICA	St. Petersburg, FL	2nd Half Scholarship Payment	383,000 00
SCHWAB CHARITABLE FUND	San Francisco, CA	Matching Gifts	90,813 00
SENIOR CONNECTION CENTER INC	Tampa, FL	Combating Chronic Disease - A Community-based Health Promotion Program	88,000 00
Smoketown Family Wellness Center Corp	Louisville, KY	SFWC Renovation and Programming	200,000 00
SOCIAL SCIENCE RESEARCH COUNCIL	Brooklyn, NY	Matching Gifts	19,000 00
SOCIETY OF ST VINCENT DE PAUL	Louisville, KY	DAD award	4,000 00
SPECIAL OLYMPICS WISCONSIN	Madison, WI	Humana Dollars for Doers	4,000 00
SPECTRUM 360 A NEW JERSEY NONPROFIT CORPORATION	Verona, NJ	Matching Gifts	5,000 00
ST JOHN UNITED METHODIST CHURCH	Louisville, KY	Matching Gifts	13,387 28
ST VINCENT HOSPITAL - HOSPITAL SISTER - THIRD ORDER OF ST FRANCIS	Green Bay, WI	Humana Dollars for Doers	4,000 00
START RIGHT, NOW	Tampa, FL	2014 HCB Winner - 3rd/Final Payment	50,000 00
TEACH KENTUCKY INC	Louisville, KY	Teacher Recruitment and Retention	15,000 00
THE ARROW FUND	Louisville, KY	Humana Volunteer of the Year Award	5,000 00
THE COMMUNITY FOUNDATION FOR NORTHEAST FLORIDA INC	Jacksonville, FL	First Coast Florida Relief Fund - Hurricane Matthew Disaster Relief	25,000 00
THE CORAL REEF ALLIANCE	Oakland, CA	Humana Volunteer of the Year Award	5,000 00
THE EDUCATION FUND	Miami, FL	Edible Garden Collaborative Nutrition Initiative (CNI)	50,000 00
THE FOUNDATION FOR THE LSU HEALTH SCIENCES CENTER	New Orleans, LA	School-Based Health Interventions for Nutrition and Exercise (SHINE)	92,300 00
THE MENTAL HEALTH ASSOCIATION OF CENTRAL FLORIDA INC	Orlando, FL	Orlando Counseling Program	50,000 00
THE PENNSYLVANIA BALLET ASSOCIATION	Philadelphia, PA	Matching Gifts	25,000 00
THE SALVATION ARMY - A GEORGIA CORPORATION	Norcross, GA	Humana Volunteer Award	1,000 00
THOMAS JEFFERSON UNIVERSITY	Philadelphia, PA	Matching Gifts	25,000 00
UNITED WAY OF DANE COUNTY	Madison, WI	S A F E Falls Prevention Program for Older Adults	25,000 00
United Way of Greenbrier Valley	Lewisburg, WV	Disaster Relief - West Virginia Flooding	35,000 00
United Way of Southern West Virginia Inc	Beckley, WV	Disaster Relief - West Virginia Flooding	25,000 00
UNIVERSITY OF CALIFORNIA, LOS ANGELES FOUNDATION	Los Angeles, CA	Matching Gifts	25,000 00
UNIVERSITY OF KENTUCKY	Lexington, KY	Matching Gifts	6,612 72
UNIVERSITY OF LOUISVILLE FOUNDATION INC	Louisville, KY	Matching Gifts	10,000 00
UP2US INC	New York, NY	Matching Gifts	10,000 00
Urban League of Broward County Inc	Ft. Lauderdale, FL	Living Well - HCB payment 2 of 3	100,000 00
Vanderbilt University	Nashville, TN	Matching Gifts	12,000 00
VOLUNTEERS OF AMERICA MID-STATES INC	Louisville, KY	iChooseWell Healthy Choices for Women and Families	77,750 00
YMCA OF GREATER KANSAS CITY	Kansas City, MO	YDPP	58,700 00
YMCA OF GREATER LOUISVILLE	Louisville, KY	Healthy Weight and Your Child	45,000 00
YMCA OF SOUTH PALM BEACH COUNTY INC	Boca Raton, FL	YDPP	57,850 00
YOUNG MENS CHRISTIAN ASSOCIATION OF NEW ORLEANS METROPOLITAN DBA YOUNG M	New Orleans, LA	YDPP	60,000 00
Zebra Foundation For Youth Inc	Orlando, FL	Zebra Coalition Community Engagement & Outreach to LGBT Latino Community	50,000 00
to Part XV, line 3a			9,240,482 35

Humana Foundation, Inc., 61-1004763
2016 Form 990-PF: Part XV, line 3b
Grants and Contributions Approved in 2016 for Future Payment

<u>Recipient</u>		<u>Amount</u>
Arts		
Actors Theatre	A	750,000
	Arts - Total	<u>750,000</u>
Community Development		
	C	-
	Community Development - Total	<u>0</u>
Education		
	E	-
	E	-
	Education - Total	<u>0</u>
Human and Health Services		
	H	-
	Human and Health Services - Total	<u>0</u>
	Total to Part XV, line 3b	750,000