

**Return of Private Foundation**

**2015**

Department of the Treasury  
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation  
Do not enter social security numbers on this form as it may be made public.  
Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

Open to Public Inspection

For calendar year 2015 or tax year beginning **DEC 1, 2015**, and ending **NOV 30, 2016**

Name of foundation: **PEACOCK FOUNDATION, INC.**

Number and street (or P.O. box number if mail is not delivered to street address): **100 SE 2ND ST**

Room/suite: **2370**

City or town, state or province, country, and ZIP or foreign postal code: **MIAMI, FL 33131-2127**

A Employer identification number: **59-0999759**

B Telephone number: **305 373-1386**

C If exemption application is pending, check here

D 1. Foreign organizations, check here   
2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

G Check all that apply:  
 Initial return  
 Final return  
 Address change  
 Initial return of a former public charity  
 Amended return  
 Name change

H Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  
 Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16): **\$ 43,595,661.**

J Accounting method:  Cash  Accrual  
 Other (specify) \_\_\_\_\_

(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		791,077.	783,202.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		183,838.			
b Gross sales price for all assets on line 6a <b>8,411,433.</b>					
7 Capital gain net income (from Part IV, line 2)			183,838.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit (or loss)					
11 Other income		36,193.	36,193.		STATEMENT 2
12 Total (Add lines 1 through 11)		1,011,108.	1,003,233.		
13 Compensation of officers, directors, trustees, etc.		170,130.	34,026.		136,104.
14 Other employee salaries and wages		104,948.	0.		104,948.
15 Pension plans, employee benefits		127,262.	19,120.		108,142.
16a Legal fees					
b Accounting fees STMT 3		43,590.	0.		39,090.
c Other professional fees STMT 4		129,551.	129,551.		0.
17 Interest		11,558.	11,558.		0.
18 Taxes STMT 5		8,473.	1,054.		0.
19 Depreciation and depletion					
20 Occupancy		78,456.	3,923.		74,533.
21 Travel, conferences, and meetings		6,745.	0.		6,745.
22 Printing and publications		420.	0.		420.
23 Other expenses STMT 6		89,335.	57,285.		32,050.
24 Total operating and administrative expenses. Add lines 13 through 23		770,468.	256,517.		502,032.
25 Contributions, gifts, grants paid		1,649,400.			1,649,400.
26 Total expenses and disbursements. Add lines 24 and 25		2,419,868.	256,517.		2,151,432.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<1,408,760.>			
b Net investment income (if negative, enter -0-)			746,716.		
c Adjusted net income (if negative, enter -0-)				N/A	

SCANNED MAR 09 2017, Operating and Administrative Expenses

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**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing	281,199.	237,667.	237,667.
	2	Savings and temporary cash investments	333,172.	1,899,636.	1,899,636.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	6,710.		
	10a	Investments - U.S. and state government obligations <b>STMT 9</b>	3,887,931.	3,637,472.	3,637,472.
	b	Investments - corporate stock <b>STMT 10</b>	5,610,192.	2,613,354.	2,613,354.
	c	Investments - corporate bonds <b>STMT 11</b>	1,286,290.	1,402,994.	1,402,994.
	11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶				
12	Investments - mortgage loans				
13	Investments - other <b>STMT 12</b>	32,141,574.	33,668,715.	33,668,715.	
14	Land, buildings, and equipment: basis ▶ <b>96,917.</b>				
	Less accumulated depreciation ▶ <b>96,917.</b>				
15	Other assets (describe ▶ <b>STATEMENT 13</b> )	179,113.	135,823.	135,823.	
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item i)	43,726,181.	43,595,661.	43,595,661.	
Liabilities	17	Accounts payable and accrued expenses	20,000.	24,500.	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶ <b>STATEMENT 14</b> )	496,434.	26,700.	
23	<b>Total liabilities</b> (add lines 17 through 22)	516,434.	51,200.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31				
	24	Unrestricted	43,209,747.	43,544,461.	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	<b>Total net assets or fund balances</b>	43,209,747.	43,544,461.		
31	<b>Total liabilities and net assets/fund balances</b>	43,726,181.	43,595,661.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	43,209,747.
2	Enter amount from Part I, line 27a	2	<1,408,760.>
3	Other increases not included in line 2 (itemize) ▶ <b>SEE STATEMENT 7</b>	3	1,770,174.
4	Add lines 1, 2, and 3	4	43,571,161.
5	Decreases not included in line 2 (itemize) ▶ <b>SEE STATEMENT 8</b>	5	26,700.
6	<b>Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30</b>	6	43,544,461.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a PUBLICLY TRADED SECURITIES</b>		<b>VARIOUS</b>	<b>VARIOUS</b>
<b>b DAVIDSON KEMPNER INSTITUTION PARTNERS, L.P</b>	<b>P</b>	<b>VARIOUS</b>	<b>VARIOUS</b>
<b>c CAPITAL GAINS DIVIDENDS</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a 7,521,532.</b>		<b>8,227,595.</b>	<b>&lt;706,063.&gt;</b>
<b>b 92,818.</b>			<b>92,818.</b>
<b>c 797,083.</b>			<b>797,083.</b>
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b>			<b>&lt;706,063.&gt;</b>
<b>b</b>			<b>92,818.</b>
<b>c</b>			<b>797,083.</b>
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	<b>183,838.</b>
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	<b>3</b>	<b>N/A</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	2,305,757.	45,113,196.	.051110
2013	2,458,147.	47,438,594.	.051817
2012	2,131,964.	45,634,641.	.046718
2011	2,026,767.	42,838,277.	.047312
2010	2,066,408.	41,747,381.	.049498

<b>2</b> Total of line 1, column (d)	<b>2</b>	<b>.246455</b>
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	<b>.049291</b>
<b>4</b> Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	<b>4</b>	<b>42,711,641.</b>
<b>5</b> Multiply line 4 by line 3	<b>5</b>	<b>2,105,299.</b>
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	<b>7,467.</b>
<b>7</b> Add lines 5 and 6	<b>7</b>	<b>2,112,766.</b>
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	<b>8</b>	<b>2,151,432.</b>

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	7,467.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	7,467.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	<b>Tax based on investment income</b> Subtract line 4 from line 3. If zero or less, enter -0-	5	7,467.
6	Credits/Payments:		
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	59,568.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	59,568.
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10	52,101.
11	Enter the amount of line 10 to be: <b>Credited to 2016 estimated tax</b> <input type="checkbox"/> <b>52,101.</b> <b>Refunded</b> <input checked="" type="checkbox"/> <b>0.</b>	11	0.

**Part VII-A Statements Regarding Activities**

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		X
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

N/A

**Part VII-A Statements Regarding Activities** (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.PEACOCKFOUNDATIONINC.ORG</u>	X	
14 The books are in care of ► <u>THE FOUNDATION</u> Telephone no. ► <u>305 373-1386</u> Located at ► <u>100 SE 2ND ST. SUITE 2370, MIAMI, FL</u> ZIP+4 ► <u>33131</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► _____, _____, _____, _____ b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement - see instructions.) N/A c If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. ► _____, _____, _____, _____	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4a	X
	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No  
 Organizations relying on a current notice regarding disaster assistance check here  N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945-5(d). N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6b, file Form 8870. 6b X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945-5(d). N/A 7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 15		170,130.	42,171.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BARBARA QUESADA - 100 SE 2ND ST., SUITE 2370, MIAMI, FL 33131	ADMIN ASSISTANT	64,448.	27,944.	0.

Total number of other employees paid over \$50,000 0



**Part X**

**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities	1a	41,836,750.
b	Average of monthly cash balances	1b	1,520,051.
c	Fair market value of all other assets	1c	5,271.
d	<b>Total</b> (add lines 1a, b, and c)	1d	43,362,072.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	43,362,072.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	650,431.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	42,711,641.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	2,135,582.

**Part XI**

**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,135,582.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	7,467.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	7,467.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,128,115.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2,128,115.
6	Deduction from distributable amount (see instructions)	6	0.
7	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,128,115.

**Part XII**

**Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:			
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,151,432.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,151,432.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	7,467.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	2,143,965.

**Note** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				2,128,115.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			1,899,080.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010				
b From 2011				
c From 2012				
d From 2013				
e From 2014				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ \$ 2,151,432.				
a Applied to 2014, but not more than line 2a			1,899,080.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				252,352.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				1,875,763.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013				
d Excess from 2014				
e Excess from 2015				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year		Prior 3 years		(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test - enter:					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
<b>c</b> "Support" alternative test - enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:  
**JOELLE M. ALLEN, PEACOCK FOUNDATION, INC., (305)373-1386**  
**100 SE 2ND ST SUITE 2370, MIAMI, FL 33131-2127**

**b** The form in which applications should be submitted and information and materials they should include:  
**SEE ATTACHMENT C**

**c** Any submission deadlines:  
**NONE**

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:  
**SEE ATTACHMENT C**

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p><i>a Paid during the year</i></p> <p>SEE ATTACHMENT A</p>	<p>N/A</p>	<p>PC</p>	<p>SEE ATTACHMENT A</p>	<p>1,649,400.</p>
<p><b>Total</b> <span style="float: right;">▶ 3a</span></p>				<p>1,649,400.</p>
<p><i>b Approved for future payment</i></p> <p>NONE</p>				
<p><b>Total</b> <span style="float: right;">▶ 3b</span></p>				<p>0.</p>





FORM 990-PF		DIVIDENDS AND INTEREST FROM SECURITIES			STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	
DIVIDENDS AND INTEREST	1,580,285.	797,083.	783,202.	783,202.		
TAX EXEMPT INTEREST	7,875.	0.	7,875.	0.		
TO PART I, LINE 4	1,588,160.	797,083.	791,077.	783,202.		

FORM 990-PF		OTHER INCOME		STATEMENT	2
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME		
OTHER INCOME	9,016.	9,016.			
OTHER PARTNERSHIP INCOME	27,177.	27,177.			
TOTAL TO FORM 990-PF, PART I, LINE 11	36,193.	36,193.			

FORM 990-PF		ACCOUNTING FEES			STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES		
BOOKKEEPING	11,749.	0.		11,749.		
LEGAL FEES	841.	0.		841.		
AUDIT AND TAX PREP EXPENSES	31,000.	0.		26,500.		
TO FORM 990-PF, PG 1, LN 16B	43,590.	0.		39,090.		

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT PORTFOLIO MANAGEMENT FEES	129,551.	129,551.		0.
TO FORM 990-PF, PG 1, LN 16C	129,551.	129,551.		0.

FORM 990-PF	TAXES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	7,419.	0.		0.
FOREIGN TAX	1,054.	1,054.		0.
TO FORM 990-PF, PG 1, LN 18	8,473.	1,054.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BOARD MEETINGS	638.	319.		319.
DUES	2,250.	0.		2,250.
INSURANCE	12,901.	0.		12,901.
OFFICE EXPENSES	2,193.	0.		2,193.
OFFICE SUPPLIES	669.	0.		669.
POSTAGE	263.	0.		263.
EQUIPMENT RENTAL, REPAIRS AND MAINTENANCE	13,182.	0.		13,182.
OTHER PARTNERSHIP EXPENSES	56,966.	56,966.		0.
LICENSES AND PERMITS	273.	0.		273.
TO FORM 990-PF, PG 1, LN 23	89,335.	57,285.		32,050.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	7
DESCRIPTION		AMOUNT	
UNREALIZED GAINS ON INVESTMENTS		1,273,740.	
WRITE OFF OF RETIREMENT PLAN ACCRUAL		496,434.	
TOTAL TO FORM 990-PF, PART III, LINE 3		1,770,174.	

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	8
DESCRIPTION		AMOUNT	
PROVISION FOR DEFERRED FEDERAL EXCISE TAX		26,700.	
TOTAL TO FORM 990-PF, PART III, LINE 5		26,700.	

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS		STATEMENT	9
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
MUNICIPAL BONDS-SEE ATTACHMENT B		X	3,573,021.	3,573,021.
TREASURY BONDS-SEE ATTACHMENT B	X		64,451.	64,451.
TOTAL U.S. GOVERNMENT OBLIGATIONS			64,451.	64,451.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			3,573,021.	3,573,021.
TOTAL TO FORM 990-PF, PART II, LINE 10A			3,637,472.	3,637,472.

FORM 990-PF	CORPORATE STOCK	STATEMENT	10
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
CORPORATE STOCK-SEE ATTACHMENT B	2,613,354.	2,613,354.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,613,354.	2,613,354.	

FORM 990-PF	CORPORATE BONDS	STATEMENT	11
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
CORPORATE BONDS-SEE ATTACHMENT B	1,402,994.	1,402,994.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,402,994.	1,402,994.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	12
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
CERTIFICATE OF DEPOSIT	COST	104,066.	104,066.
OTHER INVESTMENTS-SEE ATTACHMENT B	FMV	4,125,610.	4,125,610.
PREFERRED STOCK-SEE ATTACHMENT B	FMV	1,694,460.	1,694,460.
MUTUAL FUNDS-SEE ATTACHMENT B	FMV	27,744,579.	27,744,579.
TOTAL TO FORM 990-PF, PART II, LINE 13		33,668,715.	33,668,715.

FORM 990-PF	OTHER ASSETS	STATEMENT	13
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
SUNDRY DEPOSITS	5,271.	5,271.	5,271.
ACCRUED DIVIDENDS AND INTEREST RECEIVABLE	60,223.	56,499.	56,499.
FINE ARTS	21,952.	21,952.	21,952.
PREPAID FEDERAL EXCISE TAX	91,667.	52,101.	52,101.
TO FORM 990-PF, PART II, LINE 15	179,113.	135,823.	135,823.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	14
DESCRIPTION	BOY AMOUNT	EOY AMOUNT	
RETIREMENT PLAN PAYABLE	496,434.	0.	
DEFERRED FEDERAL EXCISE TAX PAYABLE	0.	26,700.	
TOTAL TO FORM 990-PF, PART II, LINE 22	496,434.	26,700.	

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 15

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
ROBIN REITER-FARAGALLI 100 SE 2ND ST., SUITE 2370 MIAMI, FL 33131-2127	PRESIDENT 2.00	0.	0.	0.
DONALD KRESS 100 SE 2ND ST., SUITE 2370 MIAMI, FL 33131-2127	VICE PRESIDENT 0.50	0.	0.	0.
CHARLES P. SACHER, PA 100 SE 2ND ST., SUITE 2370 MIAMI, FL 33131-2127	SECRETARY/TREASURER 0.50	0.	0.	0.
JORGE ECHENIQUE 100 SE 2ND ST., SUITE 2370 MIAMI, FL 33131-2127	MEMBER 0.50	0.	0.	0.
MELANIE INK BROEKER 100 SE 2ND ST., SUITE 2370 MIAMI, FL 33131-2127	MEMBER 0.50	0.	0.	0.
JAN GRIFFIN 100 SE 2ND ST., SUITE 2370 MIAMI, FL 33131-2127	MEMBER 0.50	0.	0.	0.
JOELLE M. ALLEN 100 SE 2ND ST., SUITE 2370 MIAMI, FL 33131-2127	EXECUTIVE DIRECTOR 40.00	170,130.	42,171.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		170,130.	42,171.	0.

**Peacock Foundation, Inc.**  
**EIN# 59-0999759**  
**Grants Paid**  
**Fiscal Year Ended: November 30, 2016**

<b>Payee Organization</b>	<b>Status</b>	<b>Purpose</b>	<b>Amount</b>
American Heart Association Greater Southeast Affiliate, Inc. 11207 Blue Heron Boulevard North Saint Petersburg, Florida 33716	PC	Hands Only CPR Initiative	\$30,000.00
Big Brothers & Big Sisters of Greater Miami 5291 Blue Lagoon Drive, Suite 937 Miami, Florida 33126	PC	Peacock Thrive Project	\$50,000.00
Boggy Creek Gang, Inc. 30500 Brantley Branch Road Eustis, Florida 32736	PC	2016 Summer Camp	\$25,000.00
Breakthrough Miami Inc 3250 SW Third Avenue, 6th Floor Miami, Florida 33129	PC	2016 Program Support	\$60,000.00
Camillus House, Inc. 1603 NW 7th Avenue Miami, Florida 33136	PC	Compassionate Hospitality Program	\$50,000 00
Chapman Partnership, Inc. 1550 North Miami Avenue Miami, Florida 33136	PC	Mobile Dental Unit	\$25,000.00
Children's Bereavement Center, Inc 7600 South Red Road, Suite 307 South Miami, Florida 33143	PC	General Operating Expenses	\$25,000.00
Children's Resource Fund, Inc. 8571 SW 112 Street Miami, Florida 33156	PC	2016 Enrichment Summer Camp	\$25,000 00
Common Threads 3811 Bee Caves Road, Suite 108 Austin, Texas 78746	PC	2016-17 Miami Program	\$35,000.00
Communities in Schools of Miami, Inc 12485 SW 137 Avenue, Suite 109 Miami, Florida 33186	PC	Program Support	\$40,000.00

**Peacock Foundation, Inc.**  
**EIN# 59-0999759**  
**Grants Paid**  
**Fiscal Year Ended: November 30, 2016**

<b>Payee Organization</b>	<b>Status</b>	<b>Purpose</b>	<b>Amount</b>
Council on Foundations 2121 Crystal Drive, Suite 700 Arlington, Virginia 22202	PC	2016 Support Contribution	\$7,300.00
Dade Heritage Trust Inc. 190 S.E. 12th Terrace Miami, Florida 33131	PC	Green Spaces, Historic Places	\$25,000.00
Diabetes Research Institute Foundation 200 South Park Road, Suite 100 Hollywood, Florida 33021	PC	Memorial Contribution	\$2,500.00
Dream in Green, Inc 425 NE 22 Street, Suite 401 Miami, Florida 33137	PC	Green Schools Challenge	\$10,000.00
Epilepsy Foundation of Florida, Inc. 1200 NW 78 Ave, Suite 400 Miami, Florida 33126	PC	Program Monitoring and Evaluation System	\$20,000 00
Everglades Foundation, Inc. 18001 Old Cutler Road, Suite 625 Palmetto Bay, Florida 33157	PC	Everglades Literacy Program	\$50,000 00
Fairchild Tropical Garden 10901 Old Cutler Road Miami, Florida 33156-4296	PC	Education Programs	\$70,000.00
Florida Grand Opera, Inc. 8390 NW 25th Street Miami, Florida 33122	PC	2016-17 Education and Community Outreach Programs	\$25,000.00
Florida Impact Inc. 1331 E Lafayette Street, Suite A Tallahassee, Florida 32301	PC	No Kid Hungry in Florida - Miami Position	\$50,000.00
Florida International University Foundation, Inc 11200 SW 8th Street University Park, MARC 540 Miami, Florida 33199	PC	Undergraduate Nursing Scholarships	\$50,000 00

**Peacock Foundation, Inc.**  
**EIN# 59-0999759**  
**Grants Paid**  
**Fiscal Year Ended: November 30, 2016**

<b>Payee Organization</b>	<b>Status</b>	<b>Purpose</b>	<b>Amount</b>
Florida Philanthropic Network 1211 North Westshore Boulevard, Suite 314 Tampa, Florida 33607	PC	2016 Support Contribution	\$4,600.00
GableStage Inc 1200 Anastasia Avenue, Suite 230 Coral Gables, Florida 33134	PC	2017 Educational Programming	\$25,000.00
Health Information Project, Inc 4601 Ponce de Leon Boulevard, Suite 300 Coral Gables, Florida 33146	PC	Expansion to Additional Public High School	\$10,000 00
Humane Society of Greater Miami, Inc. 16101 West Dixie Highway North Miami Beach, Florida 33160	PC	Spay/Neuter Program	\$25,000.00
Jack and Jill Children's Center 1315 W Broward Boulevard Fort Lauderdale, Florida 33312	PC	Family Strengthening Program	\$50,000.00
Jewish Community Services of South Florida, Inc 735 NE 125 Street North Miami, Florida 33161	PC	Senior Emergency Meals and Shopping Program	\$70,000.00
Kristi House, Inc Orlowitz-Lee Advocacy Center 1265 N W. 12th Avenue Miami, Florida 33136	PC	Deep South Dade Initiative	\$80,000.00
Leave A Legacy c/o Chase Marketing Group 2937 SW 27th Avenue, Suite 306 Miami, Florida 33133	PC	Philanthropy Miami 2016	\$5,000 00
Miami Bridge Youth and Family Services, Inc 2810 N.W. South River Drive Miami, Florida 33125	PC	Program Truancy Assistant	\$30,000 00

**Peacock Foundation, Inc.**  
**EIN# 59-0999759**  
**Grants Paid**  
**Fiscal Year Ended: November 30, 2016**

<b>Payee Organization</b>	<b>Status</b>	<b>Purpose</b>	<b>Amount</b>
Miami Children's Museum, Inc. 980 MacArthur Causeway Miami, Florida 33132	<b>PC</b>	Educational Explorations Field Trips	\$50,000.00
Miami City Ballet 2200 Liberty Avenue Miami Beach, Florida 33139-1641	<b>PC</b>	Ballet for Young People	\$20,000.00
Miami Dade College Foundation, Inc. 300 N E. Second Avenue, 1423-1 Miami, Florida 33132	<b>PC</b>	Miami Book Fair International's Generation Genius Authors Program	\$15,000.00
Miami Music Project, Inc 2125 Biscayne Boulevard, Suite 215 Miami, Florida 33137	<b>PC</b>	2016-17 Children's Orchestras	\$35,000.00
Miami Theater Center, Inc. 9806 NE Second Avenue Miami Shores, Florida 33138	<b>PC</b>	MTCEducation 2016-17	\$35,000 00
Network for Teaching Entrepreneurship 1951 NW 7th Avenue, SUite 300 Miami, Florida 33136	<b>PC</b>	Startup to Success	\$60,000.00
Pace Center for Girls, Inc. 1400 NW 36 Street, Suite 200 Miami, Florida 331	<b>PC</b>	General Operating Support - PACE Miami	\$25,000 00
Schott Memorial Center, Inc 6591 S Flamingo Road Cooper City, Florida 33330-3915	<b>PC</b>	Art Therapy Program	\$20,000.00
SOS Childrens Village - Florida, Inc 3681 N W. 59th Place Coconut Creek, Florida 33073	<b>PC</b>	Children Achieve Program	\$35,000 00
South Florida Council Boy Scouts of America 15255 N.W 82 Avenue Miami Lakes, Florida 33016	<b>PC</b>	Outreach Initiatives	\$35,000 00

Peacock Foundation, Inc.  
 EIN# 59-0999759  
 Grants Paid  
 Fiscal Year Ended: November 30, 2016

<u>Payee Organization</u>	<u>Status</u>	<u>Purpose</u>	<u>Amount</u>
South Florida National Parks Trust, Inc. 1390 South Dixie Highway, Suite 2203 Coral Gables, Florida 33146	PC	2016-17 Everglades Environmental Education Program	\$35,000.00
SSJ Health Foundation, Inc. 3661 South Miami Avenue, Suite 103 Miami, Florida 33133	PC	Primary Care Services	\$25,000.00
St. Thomas University, Inc 16401 NW 37th Avenue Miami Gardens, Florida 33054	PC	Community Educational Partnership	\$25,000.00
Star of the Sea Foundation, Inc. 5640 Maloney Avenue Key West, Florida 33040	PC	Children's Nutrition Initiative	\$50,000 00
Teach for America, Inc 3100 NW 5th Avenue Miami, Florida 33137	PC	Miami-Dade Program	\$50,000 00
The SEED Foundation, Inc. 8004 NW 154 Street, #389 Miami Lakes, Florida 33016	PC	The SEED School of Miami, Inc.	\$25,000.00
The Sundari Foundation, Inc. 1514 NW 2nd Ave Miami, Florida 33136	PC	Lotus House Operations Manager Positions	\$50,000 00
Transition, Inc. 1550 NW 3rd Avenue Miami, Florida 33136	PC	Boot Camp Re-Entry Program	\$45,000 00
YMCA of South Florida 900 SW 3 Avenue, Suite 300 Fort Lauderdale, Florida 33316	PC	Active Older Adult Program	\$40,000.00
<b>Total Grants Paid</b>			<u>\$1,649,400.00</u>

Peacock Foundation, Inc.  
 EIN# 59-0999759  
 Summary of Investments  
 November 30, 2016

Quantity	Security	Market Value
<u>PREFERRED STOCK</u>		
4,000	BANK AMER CORP DEPSHS PFD SER W	\$ 102,520.00
4,000	BB&T CORP DEP SHS REPSTG 1	96,320.00
6,000	CAPITAL ONE FINL CORP DEP SHS PFD C	150,720.00
14,000	CUSTOMERS BANCORP INC SR NT 18	366,800.00
8,000	JPMORGAN CHASE & CO DEP SHS 1/400	205,280.00
10,000	STATE STR CORP SHS REPSTG PFD S	254,700.00
14,000	US BANCORP DEL DEP SHS REP G	358,540.00
4,000	WESTERN ALLIANCE BANCORP SUB DEBENTURS 56	94,880.00
2,500	WSFS FINL CORP SR NT 6.25%19	64,700.00
		<u>\$ 1,694,460.00</u>
<u>MUTUAL FUNDS</u>		
155,876	DELAWARE GROUP GLOBAL&INTL FDS EMERG MKT INST	\$ 2,289,823.00
118,021	EATON VANCE GROWTH TR ATL CAPTSMID I	3,343,542.00
164,051	FINANCIAL INVS TR VLC VAL PTN FD	2,959,471.00
145,198	FIRST EAGLE FDS INC OVERSEAS FD I	3,417,966.00
38,440	FPA FDS TR FPA CRESCENT I	1,291,187.00
36,696	FPA NEW INCOME INC COM	366,589.00
187,629	HARDING LOEVNER FDS INC INTL EQUITY PR	3,317,279.00
174,632	INVESTMENT MANAGERS SER TR AD RE MLPEN IN I	1,693,926.00
113,605	PIMCO FDS PAC INVT MGMT SER ALL AST AUTH I	955,422.00
105,609	PIMCO FDS PAC INVT MGMT SER SHTM PTFO	1,032,128.00
189,395	RBB FD INC RB LNG/SH INST	2,918,571.00
20,634	ROWE T PRICE INTL FDS INC INTL DISCOVERY	1,113,229.00
9,500	SPDR SER TR SHT TRM HGH YLD	261,060.00
9,007	VANGUARD INDEX FDS 500INDEX ADMIR	1,835,850.00
91,558	WELLS FARGO FDS TR WF ABSOLUTE INST	948,536.00
		<u>\$ 27,744,579.00</u>
<u>COMMON STOCK</u>		
183	ALPHABET INC CAP STK CL A	\$ 141,986.00
2,315	APPLE INC COM	255,854.00
1,605	BERKSHIRE HATHAWAY INC DEL CL B NEW	252,691.00
1,490	COGNIZANT TECHNOLOGY SOLUTIONS CL A	177,358.00
1,015	CORE LABORATORIES N V COM	113,436.00
1,080	EXPRESS SCRIPTS HLDG CO COM	81,950.00
1,550	FASTENAL CO COM	73,470.00
2,055	KRAFT HEINZ CO COM	167,791.00

## Peacock Foundation, Inc.

EIN# 59-0999759

## Summary of Investments

November 30, 2016

## COMMON STOCK (CONTINUED)

2,370	LKQ CORP COM	77,807.00
980	MEAD JOHNSON NUTRITION CO COM	70,648.00
2,575	PAYPAL HLDGS INC COM	101,146.00
119	PRICELINE GRP INC COM NEW	178,938.00
1,805	QUALCOMM INC COM	122,975.00
1,125	ROSS STORES INC COM	76,039.00
1,885	SCHLUMBERGER LTD COM	158,434.00
3,030	SCHWAB CHARLES CORP NEW COM	117,140.00
715	TJX COS INC NEW COM	56,013.00
985	TRACTOR SUPPLY CO COM	73,944.00
1,210	TREEHOUSE FOODS INC COM	66,547.00
1,380	VERISK ANALYTICS INC CL A	114,650.00
2,115	VISA INC COM CL A	134,537.00
		<u>\$ 2,613,354.00</u>

## CORPORATE BONDS

100,000	ICAHN ENTERPRISES LP/CORP 3.500% Due 03-15-17	\$ 100,125.00
300,000	FORD MOTOR CREDIT COMPANY 3.000% Due 12-20-18	300,048.00
100,000	ICAHN ENTERPRISES LP/CORP 6.000% Due 08-01-20	99,553.00
200,000	HEWLETT PACKARD CO 4.650% Due 12-09-21	212,868.00
250,000	TOLL BROS FIN CORP 4.375% Due 04-15-23	248,125.00
200,000	US WEST COMMUNICATIONS INC 7.125% Due 11-15-43	190,125.00
246,000	GENERAL ELECTRIC CO 5.000% Due 12-29-99	\$ 252,150.00
		<u>\$ 1,402,994.00</u>

## MUNICIPAL BONDS

500,000	JEA FLA WTR & SWR SYS REV 5.200% Due 10-01-19	\$ 537,825.00
300,000	BALTIMORE MD 4.189% Due 10-15-19	319,116.00
250,000	KENTUCKY ST PPTY & BLDGS COMMN 3.509% Due 11-01-19	260,218.00
200,000	INDEPENDENCE KANS INDL DEV REV 8.110% Due 06-15-20	200,328.00

## Peacock Foundation, Inc.

EIN# 59-0999759

## Summary of Investments

November 30, 2016

## MUNICIPAL BONDS (CONTINUED)

400,000	OHIO ST BLDG AUTH 5.130% Due 10-01-22	426,932.00
250,000	NEW YORK N Y 5.199% Due 12-01-22	282,970.00
265,000	ORANGE CNTY CALIF LOC TRANSN A 6.063% Due 02-15-23	291,895.00
130,000	MASSACHUSETTS EDL FING AUTH ED 5.000% Due 07-01-23	139,021.00
500,000	MILWAUKEE CNTY WIS 5.000% Due 10-01-24	527,895.00
250,000	HAMPTON CNTY S C SCH DIST NO 1 6.250% Due 06-01-28	302,843.00
250,000	JERSEY CITY N J 7.125% Due 11-01-36	283,978.00
		<u>\$ 3,573,021.00</u>

## OTHER INVESTMENT

3,192,776	DAVIDSON KEMPNER INSTL PARTNERS LP	\$ 4,125,610.00
		<u>\$ 4,125,610.00</u>

## CERTIFICATES OF DEPOSIT

100,000	GOLDMAN SACHS BK USA NY 5.000% Due 01-16-18	\$ 104,066.00
		<u>\$ 104,066.00</u>

## TREASURY BONDS/NOTES

50,000	UNITED STATES TREAS BDS 8.000% Due 11-15-21	\$ 64,451.00
		<u>\$ 64,451.00</u>

**Total Investments** \$ 41,322,535.00



## FACT SHEET

### History

Peacock Foundation, Inc. was established in 1947 by Henry B. Peacock, Jr. as a Florida not-for-profit corporation. Mr. Peacock made numerous contributions to the Foundation during his lifetime and upon his death in late 1994 most of his substantial estate was left to this private, independent Foundation.

### Purposes

As put forth in its December 15, 1947 charter, the purpose of Peacock Foundation, Inc. is "to do benevolent and charitable acts; to assist the needy and underprivileged persons, children, and families who are neglected; to expend funds for educational purposes; to make gifts or contributions to charitable organizations ..and to further medical or scientific research."

### Areas of Interest

All new applicants must be IRS recognized 501(c)(3) public charities classified as not a private foundation; registered with the Department of Agriculture to solicit funds in Florida, when applicable; and located in and/or of significant benefit to the residents of the Southeast Florida counties of Miami-Dade, Broward, Monroe.

Peacock Foundation, Inc. supports specific projects, operations, and endowments of programs in these interest areas. **Arts/Culture/Humanities; Children/Youth; Disabilities; Education; Health/Hospitals; Human/Social Services; Medical Research.**

By law and/or preference, Peacock Foundation, Inc. **does not fund**: construction/capital campaigns, individuals; deficit financing/debt reduction; conferences; fundraising/special/athletic events; lobbying to influence legislation; religious organizations, unless engaged in a significant project benefiting the entire community.

### Review Process

For Peacock Foundation, Inc. consideration, eligible organizations should mail a brief letter of inquiry, two pages or less, that includes: a statement about the organization, the proposed project and its intended results, the general project costs and amount to be requested. *Guidelines for Grant Application* will be mailed to organizations invited to submit a full proposal, after initial review by staff.

The submission of proposals that address the critical community needs of Southeast Florida is encouraged throughout the year – there is no deadline.

**For additional information, please contact: Joëlle Allen, Executive Director.**