Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**

▶ **Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.***

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending , 20

Name of foundation
DORIS DUKE CHARITABLE FOUNDATION

A Employer identification number
13-7043679

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
650 FIFTH AVENUE, 19TH FLOOR

B Telephone number (see instructions)
(908) 243-3619

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10019

C If exemption application is pending, check here . . . . . ▶ ☐

G Check all that apply. ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here . . ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation . . . . . ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A) check here . ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 1,744,439,319

J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . ▶ ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ▶ ☒ if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments	11,617	11,617		
	4 Dividends and interest from securities	9,008,988	21,487,843		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	93,096,250			
	b Gross sales price for all assets on line 6a 736,076,200				
	7 Capital gain net income (from Part IV, line 2)		70,111,574		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule) ATCH 1	-23,976	5,445,955		
	12 **Total.** Add lines 1 through 11	102,092,879	97,056,989	0.	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	487,500			640,502
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) ATCH 2	12,063			22,117
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule) [3]	5,866,394	5,817,396		
	17 Interest				
	18 Taxes (attach schedule) (see instructions) [4]	3,423,128			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	18,625			19,256
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 5	7,802,062	13,944,478		7,645,678
	24 **Total operating and administrative expenses.** Add lines 13 through 23	17,609,772.	19,761,874		8,327,553
	25 Contributions, gifts, grants paid	100,920,321			83,832,876.
	26 **Total expenses and disbursements** Add lines 24 and 25	118,530,093	19,761,874.	0.	92,160,429
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	-16,437,214			
	b **Net investment income** (if negative, enter -0-)		77,295,115.		
	c **Adjusted net income** (if negative, enter -0-)			0	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	1,587,568.	1,712,267.	1,712,267
	2 Savings and temporary cash investments	30,107,462	34,937,924.	34,937,924.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable	2,672,715	2,795,934	2,795,934
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	1,140,087	83,640	83,640
	10a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) ATCH 6	341,478,258	390,599,695	390,599,695
	c Investments - corporate bonds (attach schedule) ATCH 7	40,575,599	48,909,373	48,909,373.
	11 Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation ▶ (attach schedule)			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule) ATCH 8	1,296,539,469.	1,245,172,388.	1,245,172,388
	14 Land, buildings, and equipment basis ▶ Less accumulated depreciation ▶ (attach schedule)			
	15 Other assets (describe ▶ ATCH 9)	23,101,514	20,228,098	20,228,098
	16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	1,737,202,672	1,744,439,319	1,744,439,319
Liabilities	17 Accounts payable and accrued expenses	1,030,919	1,299,211	
	18 Grants payable	72,076,674	81,695,086	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ ATCH 10)	21,416,983	25,955,167	
	23 **Total liabilities** (add lines 17 through 22)	94,524,576	108,949,464	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted	1,642,678,096	1,635,489,855	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ [ ]			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see instructions)	1,642,678,096	1,635,489,855	
	31 **Total liabilities and net assets/fund balances** (see instructions)	1,737,202,672	1,744,439,319	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,642,678,096
2	Enter amount from Part I, line 27a	2	-16,437,214
3	Other increases not included in line 2 (itemize) ▶ ATCH 11	3	9,248,973
4	Add lines 1, 2, and 3	4	1,635,489,855
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,635,489,855

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	70,111,574
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	91,743,150	1,768,665,149.	0 051871
2014	88,040,166	1,754,808,008	0 050171
2013	83,234,494	1,645,344,824	0 050588
2012	86,576,154	1,559,889,084	0 055501
2011	84,732,632	1,596,811,002	0 053064

2	**Total** of line 1, column (d)	2	0 261195
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 052239
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	1,674,009,429
5	Multiply line 4 by line 3	5	87,448,579
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	772,951
7	Add lines 5 and 6	7	88,221,530
8	Enter qualifying distributions from Part XII, line 4	8	92,561,595

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948- see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	772,951.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3	Add lines 1 and 2		3	772,951.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	772,951
6	Credits/Payments			
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a 2,098,453		
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c 150,000		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	2,248,453
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	1,475,502
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 725,502 **Refunded** ▶		11	750,000.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*	1c		X
c	Did the foundation file **Form 1120-POL** for this year?			
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW DDCF ORG

14 The books are in care of ▶ EILEEN OBERLANDER Telephone no ▶ 908-243-3619

Located at ▶ 1112 DUKES PARKWAY WEST HILLSBOROUGH, NJ ZIP+4 ▶ 08844

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here . . . ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? **(Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here . . . ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No			
	If "Yes," list the years ▶ _____, _____, _____, _____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ _____, _____, _____, _____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No	
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions)	☒ Yes	☐ No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No	
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		5b	X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ATCH 12	☒ Yes	☐ No	
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☒ No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☒ No	
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 13		640,502	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
ATCH 14		1,779,227
Total number of others receiving over $50,000 for professional services ▶		6

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 SEE ATTACHMENTS 17 & 18	451,457
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 ENVIRONMENT/CONSERVATION-DDCF PARTNERED WITH THE NATURE CONSERVANCY TO PROMOTE LAND CONSERVATION, SPECIFICALLY AT COVE MOUNTAIN	199,300
2 SOCIAL FINANCE-DDCF PARTNERED WITH SOCIAL FINANCE, INC TO PROMOTE STABILITY FOR FAMILIES AND TO REDUCE OUT-OF-HOME PLACEMENTS FOR CHILDREN IMPACTED BY PARENTAL SUBSTANCE ABUSE	201,866
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	401,166

Form **990-PF** (2016)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:		
a	Average monthly fair market value of securities	1a	1,644,878,479.
b	Average of monthly cash balances	1b	54,623,479
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	1,699,501,958.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,699,501,958
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	25,492,529
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,674,009,429
6	**Minimum investment return.** Enter 5% of line 5	6	83,700,471

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	83,700,471.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	772,951		
b	Income tax for 2016 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	772,951
3	Distributable amount before adjustments Subtract line 2c from line 1			3	82,927,520
4	Recoveries of amounts treated as qualifying distributions			4	189,175
5	Add lines 3 and 4			5	83,116,695
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	83,116,695

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	92,160,429
b	Program-related investments - total from Part IX-B	1b	401,166
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	92,561,595
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	772,951
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	91,788,644

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				83,116,695
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only				
b Total for prior years 20 14 ,20 13 ,20 12				
3 Excess distributions carryover, if any, to 2016				
a From 2011				
b From 2012 1,487,150				
c From 2013 2,764,142				
d From 2014 1,801,249				
e From 2015 5,208,206				
f **Total** of lines 3a through e	11,260,747			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 92,561,595				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2016 distributable amount				83,116,695
e Remaining amount distributed out of corpus	9,444,900.			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	20,705,647.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	20,705,647.			
10 Analysis of line 9				
a Excess from 2012 1,487,150				
b Excess from 2013 2,764,142				
c Excess from 2014 1,801,249				
d Excess from 2015 5,208,206				
e Excess from 2016 9,444,900				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets. . . . (2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHMENT 19

c Any submission deadlines:

SEE ATTACHMENT 19

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHMENT 19

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHMENT 16				83,832,876
Total ▶ **3a**				83,832,876.
b *Approved for future payment*				
SEE ATTACHMENT 16A				44,655,802
Total ▶ **3b**				44,655,802

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	11,617	
4 Dividends and interest from securities			14	9,008,988	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory	525990	1,138,699.	18	92,235,179	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b ATCH 15		-277,628		-23,976	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		861,071		101,231,808	
13 **Total.** Add line 12, columns (b), (d), and (e)				13	102,092,879

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Sign Here

▶ E.P. Hong — Signature of officer or trustee | 11/7/17 — Date | ▶ President — Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
SCOTT THOMPSETT	Scott Thompsett	11/10/2017		P00741490

Firm's name ▶ GRANT THORNTON LLP — Firm's EIN ▶ 36-6055558

Firm's address ▶ 757 THIRD AVENUE, 3RD FLOOR NEW YORK, NY 10017-2013 — Phone no 212-599-0100

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		SEE ATTACHMENT 20					70111574	
TOTAL GAIN(LOSS) . . .		. . . . .					70111574	

ATTACHMENT 1

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
LOSS ON SALE OF COLLECTIBLES	-24,020.		
MISCELLANEOUS INCOME	44.	44.	
FLOW THROUGH INCOME FROM INVESTMENTS IN LIMITED PARTNERSHIPS		5,168,283.	
LESS INVESTMENT LOSS REPORTED AS UNRELATED BUSINESS INCOME ON 990-T		277,628.	
TOTALS	-23,976.	5,445,955.	

ATTACHMENT 2

FORM 990PF, PART I - LEGAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
SCHENCK, PRICE, SMITH & KING LLP	8,241.			13,671.
SIMPSON THACHER & BARTLETT LLP	3,822.			3,821.
JONES DAY				2,625.
FRIED, FRANK, HARRIS, SHRIVER & JACOBSON LLP				2,000.
TOTALS	12,063.			22,117.

ATTACHMENT 3

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
CUSTODY FEES	551,284.	551,284.		
MANAGERS BASE FEES	1,739,684.	1,739,684.		
INVESTMENT ADVISORY FEES	434,769.	434,769.		
OTHER INVESTMENT EXPENSES	3,114,881.	3,114,881.		
INVESTMENT MGMT FEES ALLOCATED TO DDF (ON ITS HOLDINGS)	-26,931.	-26,931.		
BANK FEES	25,997.	25,997.		
SECURITIES LENDING EXPENSE	4,630.	4,630.		
CONSULTING	22,080.	22,080.		
LESS ALLOCATED INVESTMENT FEES REPORTED ON 990T		-48,998.		
TOTALS	5,866,394.	5,817,396.		

ATTACHMENT 4

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
CURRENT & DEFERRED FEDERAL EXCISE TAX PROVISION	1,448,041.			
FEDERAL & STATE UNRELATED BUSINESS INCOME TAX PROVISION	1,975,087.			
TOTALS	3,423,128.			

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
MANAGEMENT FEES	7,719,848.			7,564,043.
INSURANCE	77,967.			77,967.
FILING FEES	1,577.			1,577.
MISCELLANEOUS OFFICE EXPENSES	2,067.			2,091.
PENALTIES	603.			
FLOW-THROUGH EXPENSES FROM INVESTMENTS IN LIMITED PARTNERSHIPS		13,944,478		
TOTALS	7,802,062.	13,944,478.		7,645,678.

ATTACHMENT 6

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
EQUITY INVESTMENTS	49,146,950.	49,146,950.
COMMINGLED INVESTMENTS	341,452,745.	341,452,745.
TOTALS	390,599,695.	390,599,695.

ATTACHMENT 7

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
FIXED INCOME INVESTMENTS	53,087,538.	53,087,538.
LESS FIXED INCOME INVESTMENT ALLOCATED TO DORIS DUKE FOUNDATION	-4,178,165.	-4,178,165.
TOTALS	48,909,373.	48,909,373.

ATTACHMENT 8

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
MULTI-STRATEGY ALTERNATIVES	303,679,709.	303,679,709.
PRIVATE EQUITY INVESTMENTS	384,053,961.	384,053,961.
DISTRESSED/HIGH YIELD	137,638,346.	137,638,346.
FUND OF FUNDS	62,771,717.	62,771,717.
BUYOUTS/GROWTH NON-MARKETABLE	107,103,073.	107,103,073.
VENTURE CAPITAL	150,576,395.	150,576,395.
DISTRESSED NON-MARKETABLE ALT	2,324,954.	2,324,954.
REAL ASSETS	97,024,233.	97,024,233.
TOTALS	1,245,172,388.	1,245,172,388.

ATTACHMENT 9

FORM 990PF, PART II - OTHER ASSETS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
SALES COMMITMENT RECEIVABLE	18,583,895.	18,583,895.
INTEREST RECEIVABLE	528,135.	528,135.
DIVIDENDS RECEIVABLE	232,356.	232,356.
TAX RECLAIM RECEIVABLE	100,099.	100,099.
OTHER INVESTMENT INCOME RECEIVABLE	1,231.	1,231.
DUE FROM DORIS DUKE FOUNDATION	2,232.	2,232.
DUE FROM DORIS DUKE FOUNDATION FOR ISLAMIC ART	24,034.	24,034.
COLLECTIBLES	354,950.	354,950.
PROGRAM RELATED INVESTMENTS	401,166.	401,166.
TOTALS	20,228,098.	20,228,098.

ATTACHMENT 10

FORM 990PF, PART II - OTHER LIABILITIES

DESCRIPTION	ENDING BOOK VALUE
DUE TO DORIS DUKE MANAGEMENT FOUNDATION	6,627,857
DUE TO DUKE FARMS FOUNDATION	156,960
DEFERRED FEDERAL & STATE EXCISE TAXES	10,098,465
PURCHASE COMMITMENTS PAYABLE	9,071,393
SECURITIES LENDING PAYABLE	492
TOTALS	25,955,167

ATTACHMENT 11

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
NET UNREALIZED GAIN ON SECURITIES	9,125,754.
CHANGE IN VALUE OF BENEFICIAL INTEREST IN TRUSTS HELD BY OTHERS	123,219.
TOTAL	9,248,973.

The following table contains the date of payment of each part of the grant that was spent in 2016, and a description of how the grant was spent by DDFIA:

Date of Payment	Amount of Grant	Purpose for which Grant was Used
01/31/16	$395,575	Employee Related Expenses; General Admin Expenses; Program Related Expenses; General Operating Expenses; Capital Expenditures.
02/29/16	$679,165	" "
03/31/16	$1,439,355	" "
04/30/16	$487,989	" "
05/31/16	$366,131	" "
06/30/16	$789,016	" "
07/31/16	$335,929	" "
08/31/16	$432,354	" "
09/30/16	$609,774	" "
10/31/16	$500,285	" "
11/30/16	$315,530	" "
12/31/16	$903,548	" "

All of the grant funds have been spent for DDFIA's operating expenses, and no portion of the grant funds has been diverted from such purpose.

In addition, in the letter dated August 31, 2017, I represented that before the end of 2016, DDFIA would make a qualifying distribution out of corpus as defined in Section 4942 of the Internal Revenue Code, in the amount of $7,254,651 and that I would provide DDCF with adequate records or other sufficient evidence to demonstrate that this distribution has been made.

As described above, all of the grant funds were spent for reasonable and necessary administration expenses to accomplish DDFIA's charitable purposes, which are qualifying distributions. Therefore, a qualifying distribution was made of the entire value of the grants in 2016.

Since DDFIA has otherwise made qualifying distributions equal to the amount of its minimum investment return, this distribution would be treated as a distribution out of corpus if DDFIA were not a private operating foundation.

Very truly yours,
Doris Duke Foundation for Islamic Art

By: _____
Peter Simmons, Chief Operating Officer

The following table contains the date of payment of each part of the grant that was spent in 2016 and a description of how the grant was spent by DFF:

Date of Payment	Amount of Grant	Purpose for which Grant was Used
1/31/16	$1,666,938	Employee Related Expenses; General Admin Expenses; Program Related Expenses; General Operating Expenses; Capital Expenditures.
2/29/16	$655,781	"
3/31/16	$1,508,601	"
4/30/16	$966,028	"
5/31/16	$1,063,726	"
6/30/16	$1,232,007	"
7/31/16	$1,757,474	"
8/31/16	$1,192,411	"
9/30/16	$1,248,059	"
10/31/16	$728,536	"
11/30/16	$702,955	"
12/31/16	$1,236,961	"

All of the grant funds have been spent for DFF's operating expenses, and no portion of the grant funds has been diverted from such purpose.

In addition, in the letter dated August 31, 2017, I represented that before the end of 2016, DFF would make a qualifying distribution out of corpus as defined in Section 4942 of the Internal Revenue Code, in the amount of $13,959,477 and that I would provide DDCF with adequate records or other sufficient evidence to demonstrate that this distribution has been made. As described above, all of the grant funds were spent for reasonable and necessary administration expenses to accomplish DFF's charitable purposes, which are qualifying distributions. Therefore, a qualifying distribution was made of the entire value of the grants in 2016.

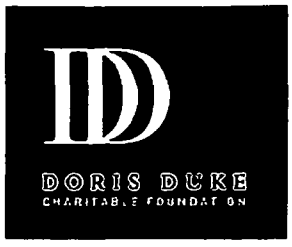
Since DFF has otherwise made qualifying distributions equal to the amount of its minimum investment return, this distribution would be treated as a distribution out of corpus if DFF were not a private operating foundation.

Very truly yours,
Duke Farms Foundation

By: _____
Peter Simmons, Chief Operating Officer

Doris Duke Artist Awards - 2012 Cohort (21 Artists): Grants Paid in 2016 from Grant No. 2012015

Recipient*	Award	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2016
Bogart, Anne	Doris Duke Artist Award 2012	Unrestricted	Individual/None	$50,000
	Doris Duke Artist Award 2012	Incentive Funding		$5,000
Byron, Donald	Doris Duke Artist Award 2012	Unrestricted	Individual/None	$25,000
	Doris Duke Artist Award 2012	Audience Development		$5,000
Cardona, Francis Wallace	Doris Duke Artist Award 2012	Unrestricted	Individual/None	$40,000
	Doris Duke Artist Award 2012	Incentive Funding		
Eckert, Rinde	Doris Duke Artist Award 2012	Unrestricted	Individual/None	$35,000
	Doris Duke Artist Award 2012	Audience Development		$20,000
	Doris Duke Artist Award 2012	Incentive Funding		$5,000
Frisell, William	Doris Duke Artist Award 2012	Unrestricted	Individual/None	$90,000
	Doris Duke Artist Award 2012	Incentive Funding		$10,000
Hollenbeck, John	Doris Duke Artist Award 2012	Unrestricted	Individual/None	$40,000
Iyer, Vijay	Doris Duke Artist Award 2012	Unrestricted	Individual/None	$56,250
Joseph, Marc Bamuthi	Doris Duke Artist Award 2012	Unrestricted	Individual/None	$30,000
	Doris Duke Artist Award 2012	Audience Development		$5,000
LeCompte, Elizabeth	Doris Duke Artist Award 2012	Incentive Funding	Individual/None	$9,000
Lee, Young Jean	Doris Duke Artist Award 2012	Unrestricted	Individual/None	$41,667
	Doris Duke Artist Award 2012	Incentive Funding	Individual/None	$ 38
Lemon, Ralph	Doris Duke Artist Award 2012	Unrestricted	Individual/None	
	Doris Duke Artist Award 2012	Incentive Funding		$3,000
Maxwell, Richard	Doris Duke Artist Award 2012	Unrestricted	Individual/None	$13,600
	Doris Duke Artist Award 2012	Incentive Funding		$5,000
Michelson, Sarah	Doris Duke Artist Award 2012	Unrestricted	Individual/None	$42,100
Mitchell, Nicole	Doris Duke Artist Award 2012	Unrestricted	Individual/None	$33,000
	Doris Duke Artist Award 2012	Audience Development		$10,000
	Doris Duke Artist Award 2012	Incentive Funding		$7,500
Monk, Meredith	Doris Duke Artist Award 2012	Unrestricted	Individual/None	
	Doris Duke Artist Award 2012	Incentive Funding		$5,000
Otake, Eiko	Doris Duke Artist Award 2012	Unrestricted	Individual/None	$60,000
	Doris Duke Artist Award 2012	Incentive Funding		
Otake, Takashi Koma	Doris Duke Artist Award 2012	Unrestricted	Individual/None	$40,000
	Doris Duke Artist Award 2012	Audience Development		$15,000
Twist, Basil	Doris Duke Artist Award 2012	Unrestricted	Individual/None	$20,000
	Doris Duke Artist Award 2012	Incentive Funding		$5,250
Wilson, Reginald	Doris Duke Artist Award 2012	Unrestricted	Individual/None	$45,000
			Total Grants Paid to Individuals	**$771,405**
From Reginal Wilson's Audience Development Funds ($25,000):				
Fist and Heel Performance Group 476 Dean St, Suite #2 Brooklyn, NY 11217	*To film and edit the world premiere of "CITIZEN" to further development of potential target audiences for company's work and sustainability*		PC	$3,000
			Total Grants Paid to Organizations	**$3,000**
			TOTAL Grants Paid in 2016 from DDCF Grant No. 2012015	**$774,405**



August 31, 2017

Mr. Peter Simmons
Chief Operating Officer
Doris Duke Foundation for Islamic Art
650 Fifth Avenue, 19th Floor
New York, New York 10019

Dear Mr. Simmons:

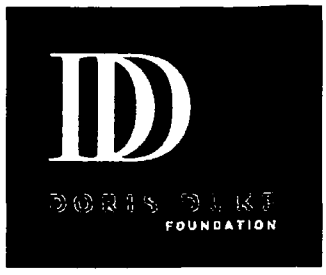
The Doris Duke Charitable Foundation has made grants to the Doris Duke Foundation for Islamic Art in 2016 totaling $7,254,651 to be used for the Doris Duke Foundation for Islamic Art's general operating expenses (referred to collectively as the "Grant"). Because the Doris Duke Foundation for Islamic Art is a private foundation, rather than a publicly supported charity, this Grant is subject to the "expenditure responsibility" requirements contained in the Treasury regulations. Under these regulations, the Doris Duke Charitable Foundation is required to obtain certain written commitments from the Doris Duke Foundation for Islamic Art before making payment of the Grant funds. By signing this letter, you agree on behalf of the Doris Duke Foundation for Islamic Art to the following specific obligations with respect to this Grant:

(1) To repay any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;

(2) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, to submit a full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of your organization or a certified public accountant, within a reasonable period of time after the close of your annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant);

(3) To report the Grant separately on your books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.

(4) To maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make your books, records and other information available for the Doris Duke Charitable Foundation's inspection at reasonable times, which



October 16, 2017

Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2012015

Dear Mr. Henry:

In 2012, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation ("DDF") totaling $5,775,000 (the "Grant").

By grant agreement executed April 3, 2012 and Amendment executed May 18, 2012 (collectively, the "Grant Agreement"), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF's fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those payments, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

Name and address of grantee:

Doris Duke Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Purpose of Grant: To support the first cohort of Doris Duke Artists Award recipients selected for funding through the Doris Duke Performing Artists Awards Program (the "Awards Program")

Use of Qualifying Distribution Grant funds by DDF: This is a report for calendar year 2016. DDF received grant payments from DDCF totaling $774,405 in 2016. The grant funds were fully expended in 2016 toward the first cohort of Awards Program recipients, as shown on the following page.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

Peter Simmons
Chief Operating Officer
Doris Duke Foundation

October 16, 2017

Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2013030

Dear Mr. Henry:

In February 2013, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation ("DDF") totaling $5,500,000 (the "Grant").

By grant agreement executed February 27, 2013 (the "Grant Agreement"), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF's fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

Name and address of grantee:

Doris Duke Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Purpose of Grant: To support the second cohort of Doris Duke Artist Award recipients selected for funding through the Doris Duke Performing Artists Awards Program in 2013 (the "Awards Program")

Use of Qualifying Distribution Grant funds by DDF: This is a report for calendar year 2016. DDF received payments from DDCF totaling $609,803 in 2016. The grant funds were fully expended in 2016 toward the second cohort of Doris Duke Artist Award recipients, as shown on the following page.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

Peter Simmons
Chief Operating Officer
Doris Duke Foundation

Doris Duke Artist Awards - 2014 Cohort (19 Artists): Grants Paid in 2016 from Grant No. 2013221

Recipient*	Award Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2016
140 Second Avenue, 501 New York, NY 10003	*presence, open rehearsals and discussions with audiences at venues around the country, and relationship building with presenters and other artists*		
From Tarell McCraney's Audience Development funds ($25,000)			
Arts for Learning/Miami, Inc. 404 NW 26th Street Miami, FL 33127	*To support "Youth Artist Leadership Summer Program (YALS PROGRAM)," which will engage young female artists of color in the production and creation of theatrical works and provide them with leadership skills*	PC	$25,000
From Ranee Ramaswamy's Audience Development funds ($25,000)			
Ragamala Dance 711 West Lake Street, #309 Minneapolis, MN 55408	*To support pre- and post-performance engagement with audiences for Ragamala Dance Company's work "Written in Water "*	PC	$25,000
From Alonzo King's Audience Development funds ($25,000)			
Alonzo King LINES Ballet 26 7th Street San Francisco, CA 94103	*To support the Audience Development/Arts Education project entitled, "LINES Ballet Audience Engagement Series "*	PC	$25,000
		Total Grants Paid to Organizations	**$125,000**
		Total Grants Paid in 2016 from DDCF Grant #2013221	**$1,211,661**

Doris Duke Artist Awards - 2014 Cohort (19 Artists): Grants Paid in 2016 from Grant No. 2013221

Recipient*	Award	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2016
Collins, John Charles	Doris Duke Artist Award 2014	Unrestricted	Individual/None	$ 45,000
	Doris Duke Artist Award 2014	Incentive Funding		$ 6,900
Haigood, Joanna	Doris Duke Artist Award 2014	Unrestricted	Individual/None	$ 75,000
	Doris Duke Artist Award 2014	Incentive Funding		$ 6,500
Hwang, David	Doris Duke Artist Award 2014	Unrestricted	Individual/None	$ 67,500
	Doris Duke Artist Award 2014	Audience Development		$ 12,500
	Doris Duke Artist Award 2014	Incentive Funding		$ 5,000
Jasperse, John R	Doris Duke Artist Award 2014	Unrestricted	Individual/None	$ 46,250
Johnson, Emily	Doris Duke Artist Award 2014	Unrestricted	Individual/None	$ 62,000
	Doris Duke Artist Award 2014	Audience Development		$ 14,000
Jones, William T	Doris Duke Artist Award 2014	Unrestricted	Individual/None	$ 45,000
	Doris Duke Artist Award 2014	Incentive Funding		$ 5,000
Joseph, Melanie	Doris Duke Artist Award 2014	Unrestricted	Individual/None	$ 35,000
	Doris Duke Artist Award 2014	Incentive Funding		$ 25,000
Keystone, Nancy	Doris Duke Artist Award 2014	Unrestricted	Individual/None	$ 15,000
Kron, Lisa	Doris Duke Artist Award 2014	Incentive Funding	Individual/None	$ 5,000
Lake, Oliver	Doris Duke Artist Award 2014	Unrestricted	Individual/None	$ 67,500
	Doris Duke Artist Award 2014	Incentive Funding		$ 8,333
Lazar, Anne Parson	Doris Duke Artist Award 2014	Unrestricted	Individual/None	$ 45,000
	Doris Duke Artist Award 2014	Incentive Funding		$ 1,625
Lehman, Stephen Hart	Doris Duke Artist Award 2014	Unrestricted	Individual/None	$ 35,000
	Doris Duke Artist Award 2014	Audience Development		$ 5,000
	Doris Duke Artist Award 2014	Incentive Funding		$ 10,000
McCraney, Tarell A	Doris Duke Artist Award 2014	Unrestricted	Individual/None	$ 75,000
	Doris Duke Artist Award 2014	Incentive Funding		$ 5,553
Mitchell, Roscoe E	Doris Duke Artist Award 2014	Unrestricted	Individual/None	$ 67,500
	Doris Duke Artist Award 2014	Incentive Funding		$ 8,334
Parkins, Elizabeth	Doris Duke Artist Award 2014	Unrestricted	Individual/None	$ 50,000
	Doris Duke Artist Award 2014	Incentive Funding		$ 5,000
Ramaswamy, Ranee	Doris Duke Artist Award 2014	Unrestricted	Individual/None	$ 45,000
	Doris Duke Artist Award 2014	Incentive Funding		$ 5,500
Shaw, Margaret	Doris Duke Artist Award 2014	Audience Development	Individual/None	$ 25,000
	Doris Duke Artist Award 2014	Incentive Funding		$ 8,333
Taborn, Craig	Doris Duke Artist Award 2014	Unrestricted	Individual/None	$ 45,000
Weston, Randolph	Doris Duke Artist Award 2014	Unrestricted	Individual/None	$ 45,000
	Doris Duke Artist Award 2014	Incentive Funding		$ 8,333
King, Alonzo	Doris Duke Artist Award 2015	Unrestricted	Individual/None	$ 45,000
	Doris Duke Artist Award 2015	Incentive Funding		$ 5,000
			Total Grants Paid to Individuals	**$1,086,661**

From Joanna Haigood's Audience Development funds ($25,000)

Zaccho SF 1777 Yosemite Ave, Suite 330 San Francisco, CA 94124	*To create a digital media archive of your work and embed it in a new website*	PC	$25,000

From John Jasperse's Audience Development funds ($25,000)

Thin Man Dance, Inc.	*To support revitalization of John Jasperse/Thin Man Dance's on-line*	PC	$25,000

Doris Duke Artist Awards - 2013 Cohort (20 Artists): Grants Paid in 2016 from Grant No. 2013030

Recipient*	Award	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2016
Childs, William	Doris Duke Artist Award 2013	Incentive Funding	Individual/None	$10,000
Copper, Kelly	Doris Duke Artist Award 2013	Unrestricted	Individual/None	$45,000
	Doris Duke Artist Award 2013	Incentive Funding		$ 7,627
D'Amour, Lisa	Doris Duke Artist Award 2013	Unrestricted	Individual/None	$35,000
Dorvillier, Deanna	Doris Duke Artist Award 2013	Unrestricted	Individual/None	$50,000
	Doris Duke Artist Award 2013	Incentive Funding		$ 4,756
ElSaffar, Amir	Doris Duke Artist Award 2013	Audience Development	Individual/None	$12,500
	Doris Duke Artist Award 2013	Incentive Funding		$15,000
Graney, Patricia	Doris Duke Artist Award 2013	Unrestricted	Individual/None	$50,000
Lang, David	Doris Duke Artist Award 2013	Unrestricted	Individual/None	$45,000
	Doris Duke Artist Award 2013	Incentive Funding		$ 5,018
Liska, Pavol	Doris Duke Artist Award 2013	Unrestricted	Individual/None	$30,000
	Doris Duke Artist Award 2013	Incentive Funding		$ 7,908
Mahanthappa, Rudresh	Doris Duke Artist Award 2013	Incentive Funding	Individual/None	$ 5,000
Malpede, John	Doris Duke Artist Award 2013	Incentive Funding	Individual/None	$ 5,000
Masaoka, Miya	Doris Duke Artist Award 2013	Unrestricted	Individual/None	$45,000
	Doris Duke Artist Award 2013	Audience Development		$10,000
	Doris Duke Artist Award 2013	Incentive Funding		$ 3,250
O'Connor, Tere	Doris Duke Artist Award 2013	Unrestricted	Individual/None	$41,250
	Doris Duke Artist Award 2013	Incentive Funding		$ 6,300
Parker, William	Doris Duke Artist Award 2013	Unrestricted	Individual/None	$33,000
	Doris Duke Artist Award 2013	Incentive Funding		$ 4,000
Streb, Elizabeth	Doris Duke Artist Award 2013	Unrestricted	Individual/None	$40,000
	Doris Duke Artist Award 2013	Incentive Funding		$ 5,000
Zollar, Wilia Jo	Doris Duke Artist Award 2013	Unrestricted	Individual/None	$50,000
	Doris Duke Artist Award 2013	Incentive Funding		$19,194
			Total Grants Paid to Individuals	**$584,803**

From Kelly Copper's Audience Development Funds ($25,000)

Fractured Atlas 248 W 35th St FL 10 New York, NY 10001	*To support the Nature Theater of Oklahoma's Blog/Video Portal for Project 2016*	**PC**	$25,000
		Total Grants Paid to Organizations	**$25,000**
		Total Grants Paid in 2016 from DDCF Grant #2013030	**$609,803**

October 16, 2017

Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2014207

Dear Mr. Henry:

In November 2014, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation ("DDF") totaling $5,225,000 (the "Grant").

By grant agreement executed December 19, 2014 (the "Grant Agreement"), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF's fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

Name and address of grantee:

Doris Duke Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Purpose of Grant: To support the fourth cohort of Doris Duke Artists Award recipients, to be selected for funding through the Doris Duke Performing Artists Awards Program in 2015.

Use of Qualifying Distribution Grant funds by DDF: This is a report for calendar year 2016. DDF received payments from DDCF totaling $1,351,004 in 2016. The grant funds were fully expended in 2016 toward the fourth cohort of Doris Duke Artist Award recipients, as shown on the following page.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

Peter Simmons
Chief Operating Officer
Doris Duke Foundation

October 16, 2017

Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2013221

Dear Mr. Henry:

In November 2013, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation ("DDF") totaling $5,500,000 (the "Grant").

By grant agreement executed December 16, 2013 (the "Grant Agreement"), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF's fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

Name and address of grantee:

Doris Duke Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Purpose of Grant: To support the third cohort of Doris Duke Artists Award recipients selected for funding through the Doris Duke Performing Artists Awards Program in 2014.

Use of Qualifying Distribution Grant funds by DDF: This is a report for calendar year 2016. DDF received payments from DDCF totaling $1,211,661 in 2016. The grant funds were fully expended in 2016 toward the second cohort of Doris Duke Artist Award recipients, as shown on the following pages. Note that only 19 artists were selected in 2014; the remaining funds are supporting an artist selected in 2015.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

Peter Simmons
Chief Operating Officer
Doris Duke Foundation

Doris Duke Artist Awards - 2015 Cohort (19 Artists): Grants Paid in 2016 from Grant No. 2014207

Recipient*	Award	Purpose	Tax Status and	2016
Abrams, Muhal Richard	Doris Duke Artist Award 2015	Unrestricted	Individual/None	$39,200
	Doris Duke Artist Award 2015	Audience Development		$4,870
	Doris Duke Artist Award 2015	Incentive Funding		$8,334
Akinmusire, Ambrose	Doris Duke Artist Award 2015	Unrestricted	Individual/None	$46,000
Argue, Darcy James	Doris Duke Artist Award 2015	Unrestricted	Individual/None	$90,000
	Doris Duke Artist Award 2015	Incentive Funding		$7,030
Brown, Camille	Doris Duke Artist Award 2015	Unrestricted	Individual/None	$30,000
	Doris Duke Artist Award 2015	Audience Development		$15,000
	Doris Duke Artist Award 2015	Incentive Funding		$2,500
Brown, Ronald	Doris Duke Artist Award 2015	Unrestricted	Individual/None	$90,000
Carlson, Ann	Doris Duke Artist Award 2015	Unrestricted	Individual/None	$88,000
Chipaumire, Nora	Doris Duke Artist Award 2015	Audience Development	Individual/None	$45,000
Coleman, Steven D	Doris Duke Artist Award 2015	Audience Development	Individual/None	$2,500
Flores, Paul	Doris Duke Artist Award 2015	Unrestricted	Individual/None	$70,000
	Doris Duke Artist Award 2015	Incentive Funding		$10,000
Hopkins, Cynthia	Doris Duke Artist Award 2015	Unrestricted	Individual/None	$90,000
	Doris Duke Artist Award 2015	Audience Development		$25,000
Jones, Daniel Alexander	Doris Duke Artist Award 2015	Unrestricted	Individual/None	$54,500
	Doris Duke Artist Award 2015	Audience Development		$15,000
Lee, Okkyung	Doris Duke Artist Award 2015	Unrestricted	Individual/None	$45,000
Parris-Bailey, Linda	Doris Duke Artist Award 2015	Unrestricted	Individual/None	$90,000
Petronio, Stephen	Doris Duke Artist Award 2015	Unrestricted	Individual/None	$90,000
	Doris Duke Artist Award 2015	Incentive Funding		$18,070
Ruiz Sapp, Mildred	Doris Duke Artist Award 2015	Unrestricted	Individual/None	$50,000
	Doris Duke Artist Award 2015	Incentive Funding		$5,000
Sapp, Steven	Doris Duke Artist Award 2015	Unrestricted	Individual/None	$50,000
	Doris Duke Artist Award 2015	Incentive Funding		$5,000
Sides, Shawn	Doris Duke Artist Award 2015	Unrestricted	Individual/None	$50,000
Terry, Yosvany	Doris Duke Artist Award 2015	Unrestricted	Individual/None	$50,000
Varone, Doug	Doris Duke Artist Award 2015	Unrestricted	Individual/None	$90,000
			TOTAL	$1,276,004

From Linda Parris-Bailey's Audience Development funds ($25,000)

Carpetbag Theater, Inc. 3018 East Fifth Ave Knoxville, TN 37914	*To build audiences and long-term support for the Carpetbag Theater by providing opportunities for residents, visitors, and tourists to share stories through Digital Storytelling training and open Story Circles*	**PC**	**$25,000**

From Shawn Sides' Audience Development funds ($25,000)

Rude Mechanicals-A Theatre Collective 2211-A Hidalgo St Austin, TX 78723	*To support the 20th Anniversary Year Project, which will include a monthly series of events throughout the 2016-17 season designed to reconnect and develop closer relationships with the company's existing audience base and to engage new audiences*	**PC**	**$25,000**

From Doug Varone's Audience Development funds ($25,000)

DOVA, Inc	*To support DOVA's 30th Anniversary Online Engagement Initiative,*	**PC**	**$25,000**

Doris Duke Artist Awards - 2015 Cohort (19 Artists): Grants Paid in 2016 from Grant No. 2014207

Recipient*	Award	Purpose	Tax Status and	2016
260 West Broadway New York NY 10013	*which includes creation and launching a new website that engages new audiences, incorporates a series of online videos of Doug Varone's work, and integrates access to social media*			
			Total Grants Paid to Organizations	**$75,000**
			Total Grants Paid in 2016 from DDCF Grant #2014207	**$1,351,004**

October 16, 2017

Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2015302

Dear Mr. Henry:

In November 2015, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation ("DDF") totaling $5,500,000 (the "Grant").

By grant agreement executed December 23, 2015 (the "Grant Agreement"), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF's fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

Name and address of grantee:

Doris Duke Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Purpose of Grant: To support the fifth cohort of Doris Duke Artists Award recipients, to be selected for funding through the Doris Duke Performing Artists Awards Program in 2016.

Use of Qualifying Distribution Grant funds by DDF: This is a report for calendar year 2016. DDF received payments from DDCF totaling $710,833 in 2016. The grant funds were fully expended in 2016 toward the fifth cohort of Doris Duke Artist Award recipients, as shown on the following page.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

Peter Simmons
Chief Operating Officer
Doris Duke Foundation

Doris Duke Artist Awards - 2016 Cohort (21 Artists): Grants Paid in 2016 from Grant No. 2015302

Recipient*	Award	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2016
Roberts, Matana	Doris Duke Artist Award 2016	Unrestricted	Individual/None	$48,333
Bridgforth, Sharon	Doris Duke Artist Award 2016	Unrestricted	Individual/None	$75,000
Douglas, Dave	Doris Duke Artist Award 2016	Unrestricted	Individual/None	$75,000
Geiser, Janie	Doris Duke Artist Award 2016	Unrestricted	Individual/None	$75,000
Gutierrez, Miguel	Doris Duke Artist Award 2016	Unrestricted	Individual/None	$75,000
Mac, Taylor	Doris Duke Artist Award 2016	Audience Development	Individual/None	$25,000
Moran, Jason	Doris Duke Artist Award 2016	Unrestricted	Individual/None	$75,000
Nottage, Lynn	Doris Duke Artist Award 2016	Unrestricted	Individual/None	$75,000
Ramaswamy, Aparna	Doris Duke Artist Award 2016	Unrestricted	Individual/None	$75,000
Smith, Wadada Leo	Doris Duke Artist Award 2016	Audience Development	Individual/None	$25,000
	Doris Duke Artist Award 2016	Incentive Funding	Individual/None	$12,500
Thorson, Morgan	Doris Duke Artist Award 2016	Unrestricted	Individual/None	$75,000
			Total Grants Paid to Individuals	**$710,833**
			Total Grants Paid to Organizations	**$0**
			Total Grants Paid in 2016 from DDCF Grant #2014207	**$710,833**

October 16, 2017

Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2013222

Dear Mr. Henry:

In November 2013, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation ("DDF") totaling $1,600,000 (the "Grant").

By grant agreement executed December 16, 2013 (the "Grant Agreement"), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF's fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

Name and address of grantee:

Doris Duke Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Purpose of Grant: To support the first cohort of Doris Duke Impact Award recipients selected for funding through the Doris Duke Performing Artists Awards Program in 2014.

Use of Qualifying Distribution Grant funds by DDF: This is a report for calendar year 2016. DDF received payments from DDCF totaling $372,000 in 2016. The grant funds were fully expended in 2016 toward the first cohort of Doris Duke Impact Award recipients, as shown on the following page.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

Peter Simmons
Chief Operating Officer
Doris Duke Foundation

Doris Duke Impact Awards - 2014 Cohort (20 Artists): Grants Paid in 2016 from Grant No. 2013222

Recipient*	Award	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2016
Harrell, Trajal	Doris Duke Impact Award 2014	Unrestricted	Individual/None	$20,000
Jarcho, Julia K	Doris Duke Impact Award 2014	Unrestricted	Individual/None	$20,000
	Doris Duke Impact Award 2014	Incentive Funding		$5,000
Melnick, Jodi	Doris Duke Impact Award 2014	Unrestricted	Individual/None	$25,000
	Doris Duke Impact Award 2014	Audience Development		$4,750
Monder, Ben	Doris Duke Impact Award 2014	Unrestricted	Individual/None	$36,000
	Doris Duke Impact Award 2014	Audience Development		$2,000
	Doris Duke Impact Award 2014	Incentive Funding		$5,000
Monson, Jennifer	Doris Duke Impact Award 2014	Unrestricted	Individual/None	$15,000
	Doris Duke Impact Award 2014	Incentive Funding		$5,100
Moss, Richard Dean	Doris Duke Impact Award 2014	Unrestricted	Individual/None	$20,000
Neare, Lucia	Doris Duke Impact Award 2014	Unrestricted	Individual/None	$12,000
	Doris Duke Impact Award 2014	Incentive Funding		$5,500
Ortiz Vizcay, Orlando Aruan	Doris Duke Impact Award 2014	Unrestricted	Individual/None	$20,000
Roberts, Matana	Doris Duke Impact Award 2014	Unrestricted	Individual/None	$10,000
Satter, Kristina Susan	Doris Duke Impact Award 2014	Unrestricted	Individual/None	$24,000
	Doris Duke Impact Award 2014	Incentive Funding		$1,250
Shyu, Jennifer Lay	Doris Duke Impact Award 2014	Incentive Funding	Individual/None	$3,500
Simons, John T	Doris Duke Impact Award 2014	Unrestricted	Individual/None	$25,000
	Doris Duke Impact Award 2014	Incentive Funding		$5,000
Sommers, Michael	Doris Duke Impact Award 2014	Unrestricted	Individual/None	$20,000
	Doris Duke Impact Award 2014	Incentive Funding		$4,500
Truscott, Adrienne E	Doris Duke Impact Award 2014	Unrestricted	Individual/None	$9,000
Truscott, Cristal Chanelle	Doris Duke Impact Award 2014	Audience Development	Individual/None	$10,000
Abrams, Muhal Richard	Doris Duke Impact Award 2014	Unrestricted	Individual/None	$31,500
	Doris Duke Impact Award 2014	Audience Development		$4,900
	Doris Duke Impact Award 2014	Incentive Funding		$5,000
Coleman, Steven D	Doris Duke Impact Award 2014	Unrestricted	Individual/None	$3,000
	Doris Duke Impact Award 2014	Audience Development		$10,000
	Doris Duke Impact Award 2014	Incentive Funding		
			Total Grants Paid to Individuals	**$362,000**
From Michael Sommers' Audience Development Funds ($10,000)				
Open Eye Figure Theatre 506 East 24th Street Minneapolis, MN 55404	*To support touring of Michael Sommers' work, "The Sorcerer's Apprentice," to new audiences and puppetry artists attending the Chicago International Puppet Theatre Festival*		PC	$10,000
			Total Grants Paid to Organizations	**$10,000**
			Total Grants Paid in 2015 from DDCF Grant #2013222	**$372,000**

October 16, 2017

Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2014206

Dear Mr. Henry:

In November 2014, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation ("DDF") totaling $1,600,000 (the "Grant").

By grant agreement executed December 19, 2014 (the "Grant Agreement"), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF's fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

Name and address of grantee:

Doris Duke Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Purpose of Grant: To support the second cohort of Doris Duke Impact Award recipients, to be selected for funding through the Doris Duke Performing Artists Awards Program in 2015.

Use of Qualifying Distribution Grant funds by DDF: This is a report for calendar year 2016. DDF received payments from DDCF totaling $518,545 in 2016. The grant funds were fully expended in 2016 toward the second cohort of Doris Duke Impact Award recipients, as shown on the following page.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

Peter Simmons
Chief Operating Officer
Doris Duke Foundation

Doris Duke Impact Awards - 2015 Cohort (20 Artists): Grants Paid in 2016 from Grant No. 2014206

Recipient*	Award	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2016
Blackwell, Becca	Doris Duke Impact Award 2015	Unrestricted	Individual/None	$20,000
	Doris Duke Impact Award 2015	Incentive Funding		$ 2,750
Davis, Kris	Doris Duke Impact Award 2015	Unrestricted	Individual/None	$25,000
	Doris Duke Impact Award 2015	Audience Development		$10,000
	Doris Duke Impact Award 2015	Incentive Funding		$ 2,500
deBessonet, Lear	Doris Duke Impact Award 2015	Unrestricted	Individual/None	$20,000
Dresser, Mark	Doris Duke Impact Award 2015	Unrestricted	Individual/None	$30,000
	Doris Duke Impact Award 2015	Audience Development		$ 6,000
Ellsworth, Michelle	Doris Duke Impact Award 2015	Unrestricted	Individual/None	$20,000
Gill, Beth	Doris Duke Impact Award 2015	Unrestricted	Individual/None	$30,000
	Doris Duke Impact Award 2015	Incentive Funding		$ 5,500
Jenness, Morgan	Doris Duke Impact Award 2015	Unrestricted	Individual/None	$30,000
Kravas, Heather	Doris Duke Impact Award 2015	Unrestricted	Individual/None	$50,000
	Doris Duke Impact Award 2015	Audience Development		$10,000
Lee, Dohee	Doris Duke Impact Award 2015	Unrestricted	Individual/None	$30,000
	Doris Duke Impact Award 2015	Audience Development		$ 4,000
Mitchell, Matthew	Doris Duke Impact Award 2015	Unrestricted	Individual/None	$13,000
	Doris Duke Impact Award 2015	Audience Development		$10,000
	Doris Duke Impact Award 2015	Incentive Funding		$ 2,795
Murillo, Carlos	Doris Duke Impact Award 2015	Unrestricted	Individual/None	$20,000
O'Harra, Brooke	Doris Duke Impact Award 2015	Unrestricted	Individual/None	$60,000
	Doris Duke Impact Award 2015	Audience Development		$10,000
	Doris Duke Impact Award 2015	Incentive Funding		$ 5,000
Sorey, Tyshawn	Doris Duke Impact Award 2015	Unrestricted	Individual/None	$36,000
Workman, Reginald	Doris Duke Impact Award 2015	Unrestricted	Individual/None	$36,000
	Doris Duke Impact Award 2015	Incentive Funding		$ 5,000
Z, Pamela	Doris Duke Impact Award 2015	Unrestricted	Individual/None	$20,000
	Doris Duke Impact Award 2015	Incentive Funding		$ 5,000
			Total Grants Paid to Individuals	**$518,545**

EUREKA FOUNDATION INC.

NEW YORK

6/30/17

Adrienne A. Fisher
Director of Grants Management
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2016225

Dear Ms. Fisher:

In 2016, the Doris Duke Charitable Foundation (DDCF) made a grant to Eureka Foundation, Inc. totaling $75,000 (the "Grant").

According to the agreement dated December 15,2 016 ("Grant Agreement") Eureka Foundation, Inc. agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent, and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, Eureka Foundation, Inc. represented that before the end of the grant: December 31, 2017, it would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of the Grant, and that it would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

Name and address of grantee:

Eureka Foundation Inc.
12 East 12th Street, #10
New York, NY 10003

Purpose of Grant: To support educational programming in connection with: GREAT MUSEUMS: Masterworks of Islamic Art

Use of Qualifying Distribution Grant funds by Eureka Foundation, Inc.: This is a report for calendar year 2016. None of the grant funds were expended on the project.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

An expenditure responsibility report on project expenditures from the Grant during 2017 will be provided to DDCF in 2018.

Sincerely

Michael McCarthy, Chairman

Eureka Foundation, Inc.

DORIS DUKE CHARITABLE FOUNDATION

DORIS DUKE FOUNDATION FOR ISLAMIC ART

December 15, 2016

Michael McCarthy
Chairman & CEO
Eureka Foundation, Inc.
12 East 12th Street, #10
New York, NY 10003

Dear Mr. McCarthy:

It gives me great pleasure to inform you that the Doris Duke Charitable Foundation (DDCF) and the Doris Duke Foundation for Islamic Art (DDFIA) have each approved a 1-year grant (in the amounts of $75,000 and $25,000, respectively) that together provide a total of $100,000 to the Eureka Foundation, Inc. The purpose of the grants is to support educational programming in connection with *GREAT MUSEUMS: Masterworks of Islamic Art* (working title).

Since Eureka Foundation, Inc., DDCF and DDFIA are all private foundations, we must enter into an expenditure responsibility agreement, the terms and conditions of which are described in the attached grant agreement. We request that you carefully review the enclosed grant agreements and sign both. If there are no questions related to the grants, please enter your electronic signature in the designated areas and return. Please retain a copy of the fully executed agreements for your records. The Electronic Funds Transfer Instructions form will be sent separately for electronic signature.

We are pleased to provide this grant and wish you every success in the activities it supports.

Sincerely,

Ed Henry

Edward P. Henry
President

cc: Marc Doyle

Doris Duke Charitable Foundation
GRANT AGREEMENT
(Expenditure Responsibility)

This Grant Agreement sets forth the terms of the 1-year $75,000 grant (the "Grant") by the Doris Duke Charitable Foundation ("DDCF") to Eureka Foundation, Inc. ("GRANTEE") to cover the period January 1, 2017 through December 31, 2017. References to the "Grant Agreement" shall include this Agreement as well as the proposal and budget submitted December 14, 2016 ("the Grant Documentation"). The purpose of the Grant is to support educational programming in connection with *GREAT MUSEUMS: Masterworks of Islamic Art* (working title).

The Grant, which will be considered approved only after final execution of this document, is made subject to the following terms and conditions:

Program and Administrative Requirements

1. The Grant is to be used by GRANTEE in accordance with the Grant Agreement and may not be used for any other purpose or program of GRANTEE without the prior written approval of DDCF.
2. DDCF, through its designated program director, must be consulted in advance in the event that any aspect of the program is changed or redesigned.
3. GRANTEE shall ensure that the terms and conditions of all sub-agreements to support work with funds from this Grant (e.g., memoranda of understanding, major subcontract, re-granting agreements, etc.) are in accordance with the terms of this Grant Agreement. GRANTEE further agrees to provide DDCF with copies of such sub-agreements should DDCF request them for its monitoring purposes.
4. GRANTEE agrees to ensure that the Grant will be used in compliance with all applicable financing and asset control laws, regulations, rules, and executive orders.
5. GRANTEE agrees that not later than the end of its first taxable year after the taxable year in which it receives the DDCF grant payment, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Internal Revenue Code (IRC), equal to the value of the Grant, and within such time period the GRANTEE agrees to provide adequate records or other sufficient evidence to DDCF to demonstrate that this distribution has been made. Such records or evidence will also state that the qualifying distribution was a distribution out of corpus under section 4942 of the IRC.
6. No funds may be used to:
    a) Carry on propaganda, or otherwise attempt to influence legislation (as defined by Section 4945 of the IRC);
    b) influence the outcome of any specific public election, or carry on, directly or indirectly, any voter registration drive (as defined in IRC Section 4945);
    c) make an individual grant or re-grant funds to another organization unless the requirements of IRC 4945 are met; or
    d) advance a purpose that is not specified in Section 170(c)(2)(B) of the Internal Revenue Code.
7. Advance notice of all major meetings, seminars and workshops relating to this project must be provided to DDCF.
8. GRANTEE agrees to inform DDCF in advance (if possible) or as soon as is practical of any material organizational changes (leadership changes, legal issues, etc.).

Duke Farms
Living Habitats

September 5, 2017

Mr. Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, New York 10019

Dear Mr. Henry:

In 2016, the Doris Duke Charitable Foundation ("DDCF") made grants totaling $13,959,477 to Duke Farms Foundation ("DFF"). By a letter dated August 31, 2017, I agreed on behalf of DFF to submit certain reports to DDCF for each year during which any grant funds remained unspent and a final report for the year in which all of the grant funds were spent. Since all of the grant funds were spent during 2016 the year in which they were granted, this is both a first and final report.

Name and address of grantee:
Duke Farms Foundation
1112 Dukes Parkway West
Hillsborough, NJ 08844

Purpose of Grants:

To be used for DFF's general operating expenses. Property owned by DFF is used for a charitable purpose since DFF is a regional center for environmental stewardship. DFF provides educational programs to visitors as well as serving as a critical habitat for protecting endangered species of all kinds, both flora and fauna.

DORIS DUKE
FOUNDATION FOR ISLAMIC ART

September 5, 2017

Mr. Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, New York 10019

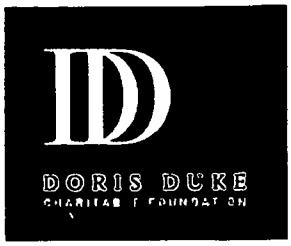
Dear Mr. Henry:

In 2016, the Doris Duke Charitable Foundation ("DDCF") made grants totaling $7,254,651 to the Doris Duke Foundation for Islamic Art ("DDFIA"). By a letter dated August 31, 2017, I agreed on behalf of DDFIA to submit certain reports to DDCF for each year during which any grant funds remained unspent and a final report for the year in which all of the grant funds were spent. Since all of the grant funds were spent during 2016 the year in which they were granted, this is both a first and final report.

Name and address of grantee:
Doris Duke Foundation for Islamic Art
4055 Papu Circle
Honolulu, HI 96816

Purpose of Grants:

To be used for DDFIA's general operating expenses. Property owned by DDFIA is used for a charitable purpose since DDFIA facilitates the study and understanding of Middle Eastern Art and Culture.



August 31, 2017

Mr. Peter Simmons
Chief Operating Officer
Duke Farms Foundation
1112 Dukes Parkway West
Hillsborough, New Jersey 08844

Dear Mr. Simmons:

The Doris Duke Charitable Foundation has made grants to Duke Farms Foundation in 2016 totaling $13,959,477 to be used for Duke Farms Foundation's general operating expenses (referred to collectively as the "Grant"). Because Duke Farms Foundation is a private foundation, rather than a publicly supported charity, this Grant is subject to the "expenditure responsibility" requirements contained in the Treasury regulations. Under these regulations, the Doris Duke Charitable Foundation is required to obtain certain written commitments from Duke Farms Foundation before making payment of the Grant funds. By signing this letter, you agree on behalf of Duke Farms Foundation to the following specific obligations with respect to this Grant:

(1) To repay any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;

(2) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, to submit a full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of your organization or a certified public accountant, within a reasonable period of time after the close of your annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant);

(3) To report the Grant separately on your books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.

(4) To maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make your books, records and other information available for the Doris Duke Charitable Foundation's inspection at reasonable times, which we believe necessary to keep us fully appraised of the status or use of the Grant or income arising

Doris Duke Charitable Foundation
Form 990-PF - Return of Private Foundation
FYE: 12/31/2016
Attachment 13

Form 990-PF, Part VIII - List of Officers, Directors and Trustees

Name	Title	Hrs Worked	Comp	Employee Benefits	Expense Accounts
John Zuccotti	Chairperson*	0 hours per year	$ 47,819	NONE	NONE
Peter A Nadosy	Chairperson	136 hours per year	NONE	NONE	NONE
Anthony S Fauci	Vice Chairperson	112 hours per year	NONE	NONE	NONE
Kathy Halbreich	Trustee	100 hours per year	$ 87,819	NONE	NONE
Harry Demopoulos	Trustee**	48 hours per year	$ 147,819	NONE	NONE
Jide Zeitlin	Trustee	128 hours per year	NONE	NONE	NONE
James F Gill	Trustee	136 hours per year	$ 97,819	NONE	NONE
William H Schlesinger	Trustee	136 hours per year	$ 97,819	NONE	NONE
Nannerl O Keohane	Trustee	136 hours per year	$ 48,909	NONE	NONE
Angela K. Mwanza	Trustee	124 hours per year	$ 50,000	NONE	NONE
Nicholas Scoppetta	Trustee**	28 hours per year	$ 62,500	NONE	NONE
Vishakha N. Desai	Trustee	44 hours per year	NONE	NONE	NONE
Marie Lynn Miranda	Trustee	22 hours per year	NONE	NONE	NONE
Edward P Henry	Chief Executive Officer/President	28 hours per week	NONE	NONE	NONE
Peter Simmons	Chief Operating Officer/Treasurer	12 hours per week	NONE	NONE	NONE
Erica Dziedzic	Secretary/Counsel	12 hours per week	NONE	NONE	NONE
	Total Compensation		**$ 640,502**	-	-

*Chairperson John Zuccotti passed away in November 2015, and received a payout of $47,819 in January 2016 for his service in 2015

**Board of Trustees Members, Harry Demopoulos and Nicholas Scoppetta, passed away in 2016, the compensation reported represents payments for their board service both in 2015 and 2016.

All trustees and officers provide services to five related entities (Doris Duke Charitable Foundation, Doris Duke Management Foundation, Duke Farms Foundation, Doris Duke Foundation for Islamic Art, Doris Duke Foundation), and may be reached at the Doris Duke Charitable Foundation's corporate address at 650 Fifth Avenue, 19th Floor, NY, NY, 10019.
See Attachment 13(a) Note (1), Note (2) and Note (3) for further information

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

ATTACHMENT 14

NAME AND ADDRESS	TYPE OF SERVICE	COMPENSATION
THE NORTHERN TRUST COMPANY 50 LASALLE STREET CHICAGO, IL 60675	INVESTMENT MGMT FEES	544,286.
OBERWEIS ASSET MANAGEMENT 3333 WARRENVILLE RD. LISLE, IL 60532	INVESTMENT MGMT FEES	418,093.
SILCHESTER INTERNATIONAL 780 THIRD AVENUE, 42ND FL. NEW YORK, NY 10017	INVESTMENT MGMT FEES	338,558.
ALBOURNE AMERICA LLC 655 MONTGOMERY ST, SUITE 1910 SAN FRANCISCO, CA 94111	INVESTMENT MGMT FEES	240,000.
WESTWOOD GLOBAL INVESTMENTS LLC ONE FINANCIAL CENTER, SUITE 1620 BOSTON, MA 02111	INVESTMENT MGMT FEES	238,290.
	TOTAL COMPENSATION	1,779,227.

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 15

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
LOSS ON SALE OF COLLECTIBLES			01	-24,020	
MISCELLANEOUS INCOME			01	44	
PORTFOLIO LOSS FROM INVESTMENTS IN LPS	525990	-277,628			
TOTALS		-277,628		-23,976	

Doris Duke Charitable Foundation
Form 990-PF - Return of Private Foundation
FYE: 12/31/2016
Attachment 16

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	GRANTS PAID
PROGRAM GRANTS	No Relationship	67,197,806
PROGRAM RELATED INVESTMENTS	No Relationship	401,166
NEWPORT RESTORATION FOUNDATION(NRF)	No Relationship	2,937,000
DUKE FARMS FOUNDATION	Related Entity	13,959,477
DORIS DUKE FOUNDATION FOR ISLAMIC ART	Related Entity	7,254,651
DONATIONS TO DUKE UNIVERSITY	No Relationship	70,200
LESS· DORIS DUKE FOUNDATION FOR ISLAMIC ARTS BUILDING BRIDGES GRANTS	Related Entity	(2,250,000)
LESS DORIS DUKE FOUNDATION ARTISTS' AWARDS	Related Entity	(5,548,250)
LESS RESCINDED GRANTS	No Relationship	(189,175)
TOTAL		**83,832,876**

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING 2016

SUMMARY BY PROGRAM AREA	Amount Paid 2016
TOTAL AFRICAN HEALTH INITIATIVE	4,538,288
TOTAL ARTS	14,908,750
TOTAL ARTS INITIATIVE	7,893,423
TOTAL CHILD WELL-BEING	6,500,500
TOTAL ENVIRONMENT	16,563,358
TOTAL MEDICAL RESEARCH	15,126,183
TOTAL CROSS PROGRAM GRANTS	303,750
TOTAL MEDIA FUND	733,555
TOTAL PHILANTHROPIC SECTOR/OTHER	630,000
GRAND TOTAL	67,197,806

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2016

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
AFRICAN HEALTH INITIATIVE			
Health Alliance International 1107 NE 45th Street, Suite 350 Seattle, WA 98105 *Strengthening Integrated Primary Health Care and Workforce Training in Sofala Province, Mozambique*	$11,880,000 2009	PC	163,003
Health Alliance International 1107 NE 45th Street, Suite 350 Seattle, WA 98105 *To support participation in the 4th Global Symposium on Health Systems Research, in conjunction with PHIT Partnership Grant in Mozambique*	$7,000 2016	PC	7,000
Health Alliance International 1107 NE 45th Street, Suite 350 Seattle, WA 98105 *To support Spreading IDEAs. The Integrated District Evidence to Action Program to Improve Maternal, Newborn, and Child Health*	$8,800,000 2016	PC	1,922,000
Indiana University 509 E. 3rd St. Indianapolis, IN 46634 *To support a study to optimize hydroxyurea therapy in children with sickle cell anemia in Uganda*	$25,000 2016	PC	25,000
Trustees Of Columbia University In The City of New York 60 Haven Avenue, B2 New York, NY 10032 *A National Program for Strengthening the Implementation of the Community-based Health Planning and Services (CHPS) Initiative in Ghana CHPS+*	$8,000,000 2016	PC	2,410,500
Trustees Of Columbia University In The City of New York 60 Haven Avenue, B2 New York, NY 10032 *To support participation in the 4th Global Symposium on Health Systems Research, in conjunction with PHIT partnership Grant #2009058A (Tanzania)*	$4,620 2016	PC	4,620
Trustees Of Columbia University In The City of New York 60 Haven Avenue, B2 New York, NY 10032 *To support participation in the 4th Global Symposium on Health Systems Research, in conjunction with PHIT Partnership Grant #2009058B (Ghana)*	$6,165 2016	PC	6,165
TOTAL African Health			**4,538,288**

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2016

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
ARTS			
Kings Majestic Corporation 1000 Dean Street, Suite 232 Brooklyn, NY 11238 *To support the 651 Arts Financial Analysis Project*	$10,000 2016	PC	10,000
Alvin Ailey Dance Foundation Inc The Joan Weill Center for Dance 405 West 55th Street New York, NY 10019 *Leadership Grants Program for Dance*	$500,000 2015	PC	131,250
American Composers Orchestra Inc 244 W 54 St Ste 805 New York, NY 10019 *To support the Jazz Composers Orchestra Institute*	$63,250 2014	PC	29,560
American Repertory Theatre 64 Brattle Street Cambridge, MA 02138 *Adaptive Capacity Initiative*	$1,000,000 2013	PC	277,370
American Theatre Wing Inc 230 West 41st Street, Suite 1101 New York, NY 10036 *To support the national expansion and distribution of new media programming to catalyze the national theatre community to engage audiences*	$112,500 2016	PC	71,875
Appalshop, Inc. 91 Madison Ave. Whitesburg, KY 41858 *To support "Performing Our Future," helping communities tap their cultural assets for economic and civic development*	$225,000 2015	PC	80,875
Art2Action Inc 8677 Key Royale Lane, #301 Tampa, FL 33614 *To support the "National Summit on Arts & Health in the Military"*	$95,625 2016	PC	50,470
Arts Council of Greater Baton Rouge 427 Laurel Street Baton Rouge, LA 70801 *To support the Creative Relief program*	$10,000 2016	PC	10,000
Arts Midwest 2908 Hennepin Ave, Suite 200 Minneapolis, MN 55408 *To support a series of engagement and messaging strategies that highlight the intersection of existing public values and the values inherent in the arts*	$225,000 2015	PC	58,750

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2016

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
Association of Performing Arts Presenters, Inc. 1211 Connecticut Avenue, NW, Suite 200 Washington, DC 22311 *Core Support for National Organizations FY 16 & FY 17*	$360,500.00 2015	PC	180,250
Association of Performing Arts Presenters, Inc. 1211 Connecticut Avenue, NW, Suite 200 Washington, DC 22311 *To support Building Bridges Art, Culture , and Identity*	$1,280,000 2015	PC	104,973
AXIS Dance Company 1428 Alice St , Ste. 200 Oakland, CA 94612 *Leadership Grants Program for Dance*	$250,000 2015	PC	112,500
Ballet Hispanico of New York 167 W 89th St New York, NY 10024-1901 *Leadership Grants Program for Dance*	$550,000 2015	PC	222,625
Brooklyn Academy of Music, Inc. Brooklyn Academy of Music Peter Jay Sharp Building 30 Lafayette Avenue Brooklyn, NY 11217-1486 *Leadership Grants Program for Dance*	$500,000 2015	PC	100,000
Chamber Music America 12 West 32nd Street, 7th Floor New York, NY 10001-3813 *Core Support for National Organizations FY 16 & FY 17*	$150,000 2015	PC	75,000
Chamber Music America 12 West 32nd Street, 7th Floor New York, NY 10001-3813 *To support the Doris Duke Jazz Ensembles Project*	$1,427,500 2016	PC	880,900
Childrens Theater Company And School 2400 3rd Ave S Minneapolis, MN 55404-3506 *Dr Seuss' The Sneetches*	$125,000 2015	PC	100,000
Childsplay, Inc. 900 S Mitchell Dr Tempe, AZ 85281 *To support Phase II of "White Now" a festival of new plays for young audiences*	$28,125 2016	PC	25,000
City Parks Foundation 1234 Fifth Avenue New York, NY 10029 *Leadership Grants Program for Jazz*	$75,000 2016	PC	37,500

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2016

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
Creative Capital Foundation 15 Maiden Lane, 18th Floor New York, NY 10038 *To support grants and advisory services to Performing Artists*	$1,200,000 2013	PC	433,566
Creative Capital Foundation 15 Maiden Lane, 18th Floor New York, NY 10038 *Core Support for National Organizations FY 16 & FY 17*	$250,000 2015	PC	125,000
Cultural Data Project 400 Market Street, Suite 600 Philadelphia, PA 19106 *To develop an educational curriculum to build data fluency in the performing arts as a means of strengthening vitality, performance, and public impact*	$33,750 2016	PC	20,625
Dance USA 1029 Vermont Ave NW, Suite 400 Washington, DC 20005 *To support round three of Engaging Dance Audiences*	$1,873,000 2014	PC	517,100
Dance USA 1029 Vermont Ave NW, Suite 400 Washington, DC 20005 *To support Round Four of Engaging Dance Audiences*	$1,900,000 2016	PC	668,500
Danspace Project Inc 131 East 10th Street New York, NY 10003 *Leadership Grants Program for Dance*	$250,000 2015	PC	112,500
Danspace Project Inc 131 East 10th Street New York, NY 10003 *To support the second phase of "Curatorial Practice in Performance, Practice, Documentation, Dissemination"*	$112,500 2015	PC	40,625
Detroit Jazz Festival Foundation 19900 Harper Avenue Harper Woods, MI 48225 *Leadership Grants Program for Jazz*	$200,000 2016	PC	129,805
Discalced, Inc 3 Lafayette Ave Brooklyn, NY 11217-1415 *Leadership Grants Program for Dance*	$1,000,000 2015	PC	420,700
Double Edge Theatre Productions, Incorporated 948 Conway Rd Ashfield, MA 01330 *To support Art & Survival 2016, an artist-led convening*	$8,300 2016	PC	8,300

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2016

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
East-West Players Inc 120 Judge John Aiso St Los Angeles, CA 90012 *To support a series of "Think Tanks" to address issues of access, equity, and inclusing and implement systemic changes for sustainability in the performing arts*	$180,000 2016	PC	115,000
Emerson College 120 Boylston Street Boston, MA 02116 *Core Support for National Organizations FY 16 & FY 17* *Center for the Theater Commons*	$121,980 2015	PC	60,990
Emerson College 120 Boylston Street Boston, MA 02116 *To support the 2016 Latina/o Theatre Commons (LTC)* *New York Convening*	$45,000 2016	PC	45,000
Emerson College 120 Boylston Street Boston, MA 02116 *To support "El Fuego Fueling the American Theatre with new Latina/o/x Plays," a multi-year initiative by the Latina/o Theatre Commons (LTC) and partner theatres*	$35,000 2016	PC	35,000
Eugene O'Neill Memorial Theater Center, Inc. 305 Great Neck Rd Waterford, CT 06385 *To support the National Directors Institute*	$225,000 2014	PC	75,000
Fractured Atlas Inc 248 West 35th Street, 10th Floor New York, PA 10001 *Core Support for National Organizations FY 16 & FY 17*	$470,000 2015	PC	235,000
Fractured Atlas Inc 248 West 35th Street, 10th Floor New York, PA 10001 *To support the American Dance Abroad project, entitled "GLOBAL EXPOSURE"*	$225,000 2015	PC	79,150
Fractured Atlas Inc 248 West 35th Street, 10th Floor New York, PA 10001 *To support the Jazz Forward Coalition's Jazzhubs Initiative to build a sustainable national jazz network and audience demand for jazz*	$113,400 2016	PC	90,250
Fractured Atlas Inc 248 West 35th Street, 10th Floor New York, PA 10001 *To support the preparation of audited financial statements and and techinical assistance for Evidence, A Dance Company*	$50,000 2016	PC	50,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2016

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
Chicago Theatre Group Inc 170 N Dearborn St. Chicago, IL 60601 *Theatre Commissioning and Production Program*	$125,000 2015	PC	25,000
Grantmakers in the Arts 4055 21st Ave W, Ste 100 Seattle, WA 98199 *To support the advancement of GIA's Information and Communication Initiative*	$40,000 2016	PC	40,000
Grantmakers in the Arts 4055 21st Ave W, Ste 100 Seattle, WA 98199 *To support the ALAANA Project, a pilot project to develop three location-specific collaborative models for providing equitable capitalization and program funding to African-, Latinx, Asian-, Arab- and Native American arts organizations and artists*	$75,000 2016	PC	75,000
Hispanic Federation Inc 55 Exchange Place New York, NY 10005 *To support the launch of the Sol Project, a new theatre initiative to raise the visibility of Latina/o playwrights*	$90,000 2016	PC	90,000
Hubbard Street Dance Chicago Inc 1147 W Jackson Blvd Chicago, IL 60607 *Leadership Grants Program for Dance*	$500,000 2015	PC	144,500
Jacob's Pillow Dance Festival, Inc. 358 George Carter Road Becket, MA 01223 *Leadership Grants Program for Dance*	$500,000 2015	PC	195,000
Jazz Institute of Chicago Inc 410 S. Michigan Ave, Suite 500 Chicago, IL 60605 *Leadership Grants Program for Jazz*	$225,000 2016	PC	140,630
John F. Kennedy Center for the Performing Arts 2700 F Street NW Washington, DC 20566 *To support the 2016 NEA Jazz Masters Tribute Concert*	$50,000 2016	PC	50,000
Joyce Theater Foundation Inc 175 Eighth Avenue New York, NY 10011 *Leadership Grants Program for Dance*	$0	PC	250,000
Vivian Beaumont Theater Inc 150 West 65th Street New York, NY 10023-6916 *Theatre Commissioning and Production Program*	$125,000 2015	PC	125,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2016

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
Alonzo King Lines Ballet 26 Seventh St. San Francisco, CA 94103 *Leadership Grants Program for Dance*	$550,000 2015	PC	302,500
MAP Fund Inc 601 W 26th St, Suite 325-42 New York, NY 10001 *To renew support for three rounds of The Multi-Arts Production Fund (MAP Fund)*	$4,085,052 2013	PC	1,058,538
MAP Fund Inc 601 W 26th St, Suite 325-42 New York, NY 10001 *Support to explore the role of grant administrators/panel moderators in reducing racial bias in the grantmakig process*	$50,000 2016	PC	50,000
Margaret Jenkins Dance Studio Inc 507 Polk Street Suite 320 San Francisco, CA 94102 *Leadership Grants Program for Dance*	$250,000 2015	PC	75,000
Mid Atlantic Arts Foundation Inc 201 North Charles Street, Suite 401 Baltimore, MD 21201 *To support two rounds of the French-American Jazz Exchange*	$200,000 2016	PC	200,000
Monterey Jazz Festival 9699 Blue Larkspur Lane, Suite 204 Monterey, CA 93940 *Leadership Grants Program for Jazz*	$400,000 2015	PC	217,300
National Arts Strategies Inc 2000 Duke St Ste 115 Alexandria, VA 22314 *To support the creation of a Learning Magnet in partnership with the International Association of Blacks in Dance (IABD) to strengthen the field*	$84,938 2016	PC	42,873
National Asian American Theatre Festival Inc. 520 8th Avenue, Suite 308 New York, NY 10018 *To support the Fifth National Asian American Theater Conference & Festival*	$50,000 2016	PC	50,000
National Association of Latino Arts and Culture 1208 Buena Vista San Antonio, TX 78255 *To provide general operating support for NALAC's core programs to advance artistic production, leadership, development and cultural equity in the Latino arts field*	$100,000 2016	PC	100,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2016

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
National New Play Network 641 D Street NW Washington, DC 20004 *To support continued development of the New Play Exchange, (NPX), an online tool to connect playwrights and theatres*	$56,250 2015	PC	18,440
National Performance Network Inc 1024 Elysian Fields Ave. New Orleans, LA 70117 *Core Support for National Organizations FY 16 & FY 17*	$200,000 2015	PC	100,000
National Performance Network Inc 1024 Elysian Fields Ave. New Orleans, LA 70117 *To support Performing Arts Programs*	$1,980,000 2016	PC	755,000
Network of Ensemble Theaters 9334 N Lombard #2 Portland, OR 97203 *To support CoNECTR (Circuit of National Ensemble Collaborative Tours & Residencies*	$140,625 2016	PC	88,980
New England Foundation for the Arts 145 Tremont Street, 7th Floor Boston, MA 02111 *To support the National Dance Project*	$3,629,400 2016	PC	1,768,102
New York Public Library, Astor, Lenox and Tilden Foundations Stephen A. Schwarzman Building 476 Fifth Avenue (42nd St and Fifth Ave) New York, NY 10018 *To support preservation of At-Risk Audio and Moving Image Collections*	$500,000 2016	PC	500,000
Newport Festivals Foundation, Inc. 150 East 69th Street 27K New York, NY 10021 *Leadership Grants Program for Jazz*	$100,000 2016	PC	50,000
ODC 351 Shotwell Street San Francisco, CA 94110 *Leadership Grants Program for Dance*	$500,000 2015	PC	152,375
Oregon Shakespeare Festival Association 15 S Pioneer Street Ashland, OR 97520 *Adaptive Capacity Initiative*	$1,000,000 2013	PC	71,725

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2016

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
Partners For Sacred Places Inc 1700 Sansom Street Philadelphia, PA 19103 *To advance the Creating Spaces project and implement on-the-ground programming in Austin and Baltimore*	$33,750 2016	PC	21,150
Paul Taylor Dance Foundation Inc 551 Grand Street New York, NY 10002 *Leadership Grants Program for Dance*	$500,000 2015	PC	187,500
Penumbra Theatre Company Inc 270 North Kent St St. Paul, MN 55102 *To support the 2016 and 2017 rounds of the artEquity initiative, providing theatre practioners with training and resources for diversity and inclusion initiatives nationally*	$214,594 2016	PC	137,100
Performance Zone, Inc 75 Maiden Lane, Suite 906 New York, NY 10038 *To provide programmatic support and cash awards to nominees of the New York Dance and Performance Awards aka The Bessies*	$85,000 2016	PC	85,000
New York Shakespeare Festival 425 Lafayette Street New York, NY 10003 *Theatre Commissioning and Production Program*	$125,000 2014	PC	25,000
Ragamala Dance 711 W Lake St, Suite 309 Minneapolis, MN 55408 *Leadership Grants Program for Dance*	$250,000 2015	PC	60,000
Roundabout Theatre Company, Inc. 231 West 39th Street, Suite 1200 New York, NY 10018 *Theatre Commissioning and Production Program*	$125,000 2015	PC	100,000
Rutgers University Foundation Institute for Ethical Learning 1 Washington Street Newark, NJ 07102 *To support the Cultural and Ethnic Arts Executive Leadership Program (CEA)*	$50,000 2016	PC	50,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2016

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
Stephen Petronio Dance Company Inc 140 Second Avenue, Suite 504 New York, NY 10003-8384 *Leadership Grants Program for Dance*	$250,000 2015	PC	50,000
STREB Inc. 51 North 1st Street Brooklyn, NY 11249 *Leadership Grants Program for Dance*	$450,000 2015	PC	150,000
Theatre Communications Group Inc. 520 8th Avenue, 24th Floor New York, NY 10018-4156 *To support Round 2 of the Audience (R)Evolution Program*	$1,451,500 2014	PC	136,279
Theatre Communications Group Inc. 520 8th Avenue, 24th Floor New York, NY 10018-4156 *Core Support for National Organizations, FY15 & FY17*	$470,000 2015	PC	235,000
Theatre Communications Group Inc. 520 8th Avenue, 24th Floor New York, NY 10018-4156 *To support Round 3 of the Audience (R)Evolution Program*	$1,635,000 2016	PC	356,200
Theatre Communications Group Inc. 520 8th Avenue, 24th Floor New York, NY 10018-4156 *To support Phase II of the Equity, Diversity & Inclusion Initiative*	$112,500 2016	PC	92,260
Theatre Development Fund Inc 520 Eighth Ave, Suite 801 New York, NY 10018 *To support the Triple Play Project in finding new ways to connect playwrights and generative theatre artists with audiences*	$39,375 2015	PC	6,345
University of Minnesota Foundation 210 Oak Street, SE. Suite 500 Minneapolis, MN 55455-2010 *To support the African American Theater History Project*	$168,750 2014	PC	55,412
UBW, Inc 138 South Oxford Street, 4B Brooklyn, NY 11217 *Leadership Grants Program for Dance*	$250,000 2015	PC	50,000
UBW, Inc 138 South Oxford Street, 4B Brooklyn, NY 11217 *To support the UBW Choreographic Center*	$29,869 2015	PC	3,319

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2016

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
Virginia Tech Foundation Inc 190 Alumni Mall (0916) Blacksburg, VA 24060 *To support the School of Performing Arts working in partnership with the University Libraries on the VTArtWorks Initiative create an innovative online platform for the community cultural development field*	$222,824 2016	PC	190,378
Wesleyan University 237 High Street Middletown, CT 06459 *Adaptive Capacity Initiative*	$400,000 2013	PC	95,601
White Bird 5620 SW Edgemont Place Portland, OR 97239 *Leadership Grants Program for Dance*	$250,000 2015	PC	50,000
Woolly Mammoth Theatre Company 641 D Street NW Washington, DC 20004 *Adaptive Capacity Initiative*	$800,000.00 2013	PC	215,234
TOTAL Arts			**14,908,750**

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2016

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
ARTS INITIATIVE			
Kings Majestic Corporation 1000 Dean Street, Suite 232 Brooklyn, NY 11238 *To explore new approaches to build demand for the performing arts*	$60,000 2016	PC	60,000
Aaron Davis Hall Inc 150 Convent Avenue New York, NY 10031 *To explore new approaches to build demand for jazz at Harlem Stage*	$115,000 2016	PC	53,200
American Conservatory Theatre Foundation 30 Grant Avenue, 7th Floor San Francisco, CA 94108 *Asian American Arts Engagement in the Technology Sector*	$115,000 2016	PC	60,000
Brooklyn Arts Exchange Inc 421 Fifth Avenue Brooklyn, NY 11217 *To explore new approaches to build demand for theater and contemporary performance, particularly within the LGBTQ/ QPOC communities*	$115,000 2016	PC	45,000
Casita Maria Inc 928 Simpson Street Bronx, NY 10459 *To explore new approaches to building demand for Jazz at Casita Maria Center for Arts and Education*	$60,000 2016	PC	39,400
The Cedar Cultural Center Inc 416 Cedar Ave S Minneapolis, MN 55454 *To explore new approaches to building demand for Jazz*	$115,000 2016	PC	67,800
Center Theatre Group of Los Angeles 601 W Temple Street Los Angeles, CA 90012 *To explore new approaches to building demand for theatre*	$115,000 2016	PC	63,000
Cornerstone Theater Company 708 Traction Ave Los Angeles, CA 90013 *To explore new approaches to building demand for theatre*	$115,000 2016	PC	92,300

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Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
Counterpulse 1310 Mission Street San Francisco, CA 94103 *To explore new approaches to building demand for theatre and dance within the Bay Area tech sector*	$115,000 2016	PC	42,400
Creative Capital Foundation 15 Maiden Lane, 18th Floor New York, NY 10038 *To support the Doris Duke Performing Artist Awards Program*	$796,315 2015	PC	185,273
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108 *To support the 2012 Doris Duke Artists Awards*	$5,775,000 2012	PF	774,405
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108 *To support the 2013 Doris Duke Artists Awards*	$5,500,000 2013	PF	609,802
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108 *To support the 2014 Doris Duke Artists Awards*	$5,500,000 2013	PF	1,211,661
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108 *To support the 2014 Doris Duke Impact Awards*	$1,600,000 2013	PF	372,000
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108 *To support the 2015 Doris Duke Artists Awards*	$5,225,000 2014	PF	1,351,004
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108 *To support the 2015 Doris Duke Impact Awards*	$1,600,000 2014	PF	518,545
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108 *To support the 2016 Doris Duke Artists Awards*	$5,500,000 2015	PF	710,833

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Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
Eastside Arts Alliance 2277 International Blvd. PO Box 17008 Oakland, CA 94601-7008 *To encourage greater demand for experimental/contemporary performance, including dance, theatre, poetry and*	$60,000 2016	PC	43,500
Ellis Marsalis Center For Music Inc 1901 Bartholomew Street New Orleans, LA 70117 *To explore new approaches to building demand for jazz by using a modern jazz repertoire*	$115,000 2016	PC	70,625
Emerson College 120 Boylston Street Boston, MA 02116 *To build demand for the performing arts among Boston's black, Latino/a, and Asian populations*	$115,000 2016	PC	38,250
Interact Inc 2030 Sansom Street Philadelphia, PA 19103 *To build demand for Asian American Theater in Philadelphia*	$115,000 2016	PC	61,000
La Peña Cultural Center Inc 3105 Shattuck Avenue Berkeley, CA 94705 *To explore new approaches to building demand for dance in the East Bay Mexican-American immigrant communities*	$60,000 2016	PC	60,000
Lower Manhattan Cultural Council 125 Maiden Lane, 2nd FL New York, NY 10038 *To explore new approaches to building demand for dance*	$115,000 2016	PC	102,000
Mixed Blood Theatre Company 1501 S 4th Street Minneapolis, MN 55454 *To build demand for theatre*	$115,000 2016	PC	72,000
New Group Inc 410 W 42nd St New York, NY 10036 *To explore new approaches to building demand for theatre*	$115,000 2016	PC	50,800
On The Boards 100 W Roy Street Seattle, WA 98119 *To explore new appraoches to building demand for contemporary dance*	$115,000 2016	PC	78,000

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Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
Painted Bride Art Center Inc 230 Vine Street Philadelphia, PA 19106 *To explore new approaches to building demand for theatre and performance.*	$115,000 2016	PC	51,275
Performance Space 122 Inc 67 West St Suite 315 Brooklyn, NY 11222 *To explore new approaches to building demand for contemporary dance and theatre*	$115,000 2016	PC	65,750
St. Ann's Warehouse, Inc. 55 Washington Street, #458 Brooklyn, NY 11201 *To explore new approaches to building demand for theatre*	$115,000 2016	PC	74,400
Su Teatro 721 Santa Fe Dr. Denver, CO 80204 *To explore new approaches to building demand for theatre*	$60,000 2016	PC	30,200
Theatre Communications Group Inc. 520 8th Avenue. 24th Floor New York, NY 10018-4156 *To implement and educational program that builds demand for theatre in military communities*	$115,000 2016	PC	59,000
United States Artists Inc 980 N. Michigan Ave, Suite 1300 Chicago, IL 60611 *USA Fellowships*	$3,600,000 2015	PC	720,000
Yard Inc 1 The Yard Chilmark, MA 02535 *To build demand for dance through the MAKING IT initiative*	$60,000 2016	PC	60,000
TOTAL Arts Initiative			**7,893,423**

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Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
CHILD WELL-BEING			
American Academy of Pediatrics 141 Northwest Point Blvd Elk Grove Village, IL 60007 *To support the Community Pediatrics Training Initiative*	$300,000 2014	PC	150,548
Boston Community Capital Inc 600 Atlantic Avenue Boston, MA 02110 *To support expansion of the Working Cities Challenge model to small- and mid-sized cities in New England and other Federal Reserve districts*	$1,500,000 2015	PC	116,660
Boston Medical Center Corporation One Boston Medical Center Place Boston, MA 02118 *To support implementation of the Vital Villages Community Engagement Network*	$1,300,000 2013	PC	345,533
Boston Medical Center Corporation One Boston Medical Center Place Boston, MA 02118 *To support and expand the Vital Village Community Engagement Network*	$1,000,000 2015	PC	378,500
Center for Law and Social Policy 1200 18th Street, NW, Suite 200 Washington, DC 20036 *To improve medical / mental health policy outcomes for poor families and young adults by seizing immediate opportunities for reform*	$50,000 2016	PC	50,000
Chapin Hall Center For Children 1313 E 60th Street Chicago, IL 60637 *To support an additional two cohorts of the Dorid Duke Fellowships for the Promotion of Child Well-being*	$3,410,325 2014	PC	1,025,500
Children and Family Futures Inc 25371 Commercentre Drive, Suite 140 Lake Forest, CA 92630 *To support integration of parent training and additional family services for families in drug courts*	$2,500,000 2013	PC	275,770
Children and Family Futures Inc 25371 Commercentre Drive, Suite 140 Lake Forest, CA 92630 *To support Prevention and Family Recovery (PFR) - Phase 2 Continuation and Expansion*	$3,000,000 2016	PC	946,400

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Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
Children's Bureau of Southern California 1910 Magnolia Ave. Los Angeles, CA 90007 *To support expansion of the Magnolia Community Initiative approach to a broader network*	$600,000 2013	PC	200,000
Children's Bureau of Southern California 1910 Magnolia Ave Los Angeles, CA 90007 *To support and expand the Magnolia Community Initiative*	$1,000,000 2015	PC	329,000
Childrens Outing Assn 909 E North Ave Milwaukee, WI 53212 *To support a widespread community effort to improve health and well-being for children and families in two Milwaukee neighborhoods*	$950,000 2015	PC	319,500
Community Solutions International Inc 125 Maiden Lane, Ste 16C New York, NY 10038 *To support a "backbone" infrastructure for coordinated service delivery to drive accountability for program implementation and evaluate activities to measure improvements in the lives of children and families*	$1,000,000 2013	PC	333,329
Community Solutions International Inc 125 Maiden Lane, Ste 16C New York, NY 10038 *To support and expand the Brownsville Partnership*	$1,000,000 2015	PC	328,700
Denver Indian Family Resource Center 7596 West Jewell Avenue, Suite 305 Lakewood, CO 80232 *To provide trauma-informed and culturally-responsive case management services in the Denver metro area to reduce the disparity of American Indian/Alaska Native children in the child welfare system*	$50,000 2016	PC	50,000
Forum for Youth Investment 7064 Eastern Avenue, NW WASHINGTON, DC 20012 *To support planning for The Children's Funding Project*	$50,000 2016	PC	50,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2016

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
Indiana University 509 E 3rd St Indianapolis, IN 46634 *To support a study to optimize hydroxyurea therapy in children with sickle cell anemia in Uganda*	$75,000 2016	PC	75,000
President and Fellows of Harvard College 50 Church Street, 4th Floor Cambridge, MA 02138 *To support enhancing the Center's communications capacity products, and platforms for science-based knowledge dissemination*	$950,000 2014	PC	300,000
Mount Sinai Hospital One Gustave L Levy Place New York, NY 10029-6504 *To support Mount Sinai Adolescent Health Center's provision of free high-quality comprehensive medical services for 300 low-income adolescents with foster care experience and pilot an assessment tool to better target high need clients to relevant services*	$1,500,000 2016	PC	100,000
National Academy of Sciences 2101 Constitution Ave Nw Washington, DC 20418-0007 *To support the Forum on Investing in Young Children Globally*	$49,000 2016	PC	49,000
National Academy of Sciences 2101 Constitution Ave Nw Washington, DC 20418-0007 *To support Reducing Child Poverty A Planning Meeting*	$20,000 2016	PC	20,000
National Black Child Development Institute, Inc. 1313 L Street, NW , Suite 110 Washington, DC 20005 *To support support planning for the NBCDI Early Care and Education Fellowship Program*	$25,000 2016	PC	25,000
New Yorkers For Children Inc 450 Seventh Ave, Suite 403 New York, NY 10123 *To create and launch the Nicholas Scoppetta Scholarship Fund (Nick's Scholars)*	$1,187,000 2016	PC	21,132
Society For Research In Child Development 2950 South State Street, Suite 401 Ann Arbor, MI 48104 *To support efforts to enhance diversity in developmental science*	$48,000 2016	PC	48,000

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Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
Thunder Valley Community Development Corporation 290 Empowerment Dr Porcupine, SD 57772 *To support Ecosystem of Opportunity Creating a Model for Pathways to Resilience, enhancing and evaluating the Thunder Valley CDC model for replication in other communities*	$1,700,000 2015	PC	454,200
Regents University of California Los Angeles 10920 Wilshire Blvd Ste 620 Los Angeles, CA 90024-6505 *To support and strengthen the Population Change Community and establish a rigorous measurement system to be implemented in place-based projects working to improve child well-being*	$350,000 2014	PC	75,228
Regents University of California Los Angeles 10920 Wilshire Blvd Ste 620 Los Angeles, CA 90024-6505 *To support "Identifying Methods and Measures for Driving Change in Community Systems a Case Study Inquiry Process in the Population Change Learning Community"*	$33,500 2016	PC	33,500
Zero To Three - National Center For Infants, Toddlers, and Families 1255 23rd Street, NW, Suite 350 Washington, DC 20037 *To support the delivery of positive parenting strategies to media partners and the viewing audience*	$1,175,000 2014	PC	400,000
TOTAL Child Well-being			**6,500,500**

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Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
ENVIRONMENT			
American Farmland Trust 1150 Connecticut Avenue, Suite 600 Washington, DC 20036-4104 *To support the launch of the Hudson Valley Farmlink Network*	$425,000 2014	PC	100,000
American Museum of Natural History 200 Central Park West New York, NY 10024-5192 *To build a diverse pipeline for the conservation workforce through the enhanced Science Research Mentoring Program for high school students*	$892,000 2016	PC	367,000
Climate Conservation 303 W. Mendenhall, Suite 4 (3rd Floor) Bozeman, MT 59715 *To support a practitioners' network for large landscape conservation and its efforts to advance the regional and national practice of large landscape conservation*	$50,000 2016	PC	50,000
Climate Conservation 303 W. Mendenhall, Suite 4 (3rd Floor) Bozeman, MT 59715 *To advance and build a network for landscape scale conservation*	$100,000 2016	PC	100,000
Consultative Group On Biological Diversity 1016 Torney Avenue San Francisco, CA 94129-0361 *To support the Climate and Energy Funders Group*	$40,000 2016	PC	40,000
Council On The Environment Inc 100 Gold St. Suite 3300 New York, NY 10038-1617 *To support the FARMRoots technical assistance program for Greenmarked farmers*	$300,000 2014	PC	100,000
Defenders of Wildlife 1130 17Th St Nw Washington, DC 20036-4604 *To develop and inform a national policy expediting the sensitive siting of renewable energy resources*	$4,066,750 2013	PC	428,540
Foodshed Alliance 97 Stillwater Road Blairstown, NJ 07825-0713 *To support implementation of the Regional Foodshed Resiliency Plan*	$50,000 2016	PC	50,000
Fund For Public Health In New York Inc 22 Cortlandt Street, Suite 802 New York, NY 10007-3107 *To support "Improving the Nutritional Health of Young Children and Families in East Harlem,"*	$1,536,000 2015	PC	400,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2016

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
Growing Power Inc 5500 W. Silver Spring Drive Milwaukee, WI 53218 *To support Growing Power at Duke Farms· Regional Training Center for Community Food Systems*	$100,000 2016	PC	100,000
Land Trust Alliance Incorporated 1250 H Street NW , Suite 600 Washington, DC 20005 *To support the Land Trust Excellence Program*	$1,000,000 2013	PC	325,000
Land Trust Alliance Incorporated 1250 H Street NW , Suite 600 Washington, DC 20005 *To support a new Land Trust Climate Change Initiative*	$1,000,000 2016	PC	650,000
National Wildlife Federation 11100 Wildlife Center Drive Reston, VA 20190-5361 *To renew support for the Bioenergy, Wildlife and Biodiversity project*	$1,162,000 2014	PC	162,000
Natural Resources Defense Council Inc 40 West 20th St, 11th Floor New York, NY 10011 *To support the City Energy Project*	$3,500,000 2015	PC	1,000,000
Nature Conservancy 4245 North Fairfax Drive Arlington, VA 22203-1637 *To support the application of resilience science to the Great Lakes and Great Plains regions of the U S*	$650,000 2014	PC	105,000
Nature Conservancy 4245 North Fairfax Drive Arlington, VA 22203-1637 *To support the Pacific Northwest Resilient Landscapes Initiative*	$6,500,000 2014	PC	1,500,000
Nature Conservancy 4245 North Fairfax Drive Arlington, VA 22203-1637 *To support the first phase of the Natural Climate Solutions Initiative Transforming Land Use to Curb Climate Change, effort of the Nature Conservancy and World Resources Institute*	$3,970,000 2015	PC	1,575,858
North Star Fund Inc 520 8th Avenue, Suite 1800 New York, NY 10018 *To support the Community Food Funders Group*	$20,000 2016	PC	20,000

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Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
Riverkeeper Inc 20 Secor Road Ossining, NY 10562 *To support Newtown Creek Visioning· Remdiation, Restoration & Resilience*	$100,000 2016	PC	100,000
Scenic Hudson, Inc One Civic Center Plaza, Suite 200 Poughkeepsie, NY 12601-3157 *To support implementation of the Hudson Valley Conservation Strategy. a landscape-scale framework to conserve climate-resilient sites, connectivity, and biodiversity*	$2,300,000 2016	PC	1,300,000
Society For Conservation Biology 1133 15th St. NW, Suite 300 Washington, DC 20005 *General operating support through sponsorship of the 2016 NACCB Congress, "Communicating Science for Conservation Action"*	$25,000 2016	PC	25,000
The Trust for Public Land 101 Montgomery Street Suite 900 San Francisco, CA 94104 *To support the Conservation Finance Initiative*	$2,200,000 2016	PC	1,100,000
US Green Building Council New York Chapter 20 Broad Street , Suite 709 New York, NY 10005 *To support efforts to advance energy efficient retrofits of buildings in New York City*	$700,000 2015	PC	300,000
Waterfront Alliance Inc 217 Water Street, Suite 300 New York, NY 10038 *To support the development and national rollout of WEDG 2 0 A National Program for Resilient Urban Waterfronts*	$100,000 2016	PC	100,000
Wildlife Conservation Society 2300 Southern Boulevard Bronx, NY 10460 *To support renewal of the Climate Adaptation Fund*	$5,050,000 2014	PC	1,500,000
Wildlife Conservation Society 2300 Southern Boulevard Bronx, NY 10460 *To support the Climate Adaptation Fund*	$6,752,500 2016	PC	4,964,960
Windward Fund 1201 Connecticut Ave NW, Suite 300 Washington, DC 20036 *To support the Climate Resilience Fund*	$100,000 2016	PC	100,000
TOTAL Environment			**16,563,358**

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Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
MEDICAL RESEARCH			
Alliance For Academic Internal Medicine, Inc. 330 John Carlyle Street, Suite 610 Alexandria, VA 22314 *To support the Residency Research Pathway Directors Summit*	$50,000 2016	PC	50,000
American Institute of Biological Sciences 1313 Dolley Madison Blvd Ste 402 Mclean, VA 22101-3926 *To support a meeting on The Role of Peer Review in Informed Decision-making*	$1,500 2016	PC	1,500
American Physician Scientists Association, Inc. 4 Lan Dr Ste 310 Westford, MA 01886-3575 *To support the American Physician Scientists Association Annual Meeting* $7,500 00	$7,500 2016	PC	7,500
Arthur Ashe Institute For Urban Health, Inc. 450 Clarkson Avenue, 1232 Brooklyn, NY 11203 *To support the Arthur Ashe Institute for Urban Health CRC-HSC*	$557,000 2016	PC	278,300
Beth Israel Deaconess Medical Center Inc 330 Brookline Ave Boston, MA 02215-5400 *The role of vesicle trafficking in Alzheimer's disease and Down Syndrome A predictive biomarker*	$70,400 2016	PC	70,400
Beth Israel Deaconess Medical Center Inc 330 Brookline Ave Boston, MA 02215-5400 *Exome Screening and Functional Analysis of Rare Variant Risk Modifiers among Genes Regulating Red Blood Cell Density in the GenMod Sickle Cell Disease Cohort*	$54,000 2016	PC	54,000
Bradley University 1501 W Bradley Ave Peoria, IL 61625 *To support the Clinical Research (CREST) Internships for High School Students and Undergraduate Mentors Undergraduate Mentors*	$367,000 2016	PC	182,320

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Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
Brigham and Women's Hospital Inc 75 Francis Street Somerville, MA 02145-1465 *Examination of human samples with somatic mutations in somatic mutations in hematopoietic stem hemoglobin induction*	$486,000 2013	PC	81,000
Brigham and Women's Hospital Inc 75 Francis Street Somerville, MA 02145-1465 *Adrenal and Parathyroid Hormone Interactions in Human Health Interactions in Human Health*	$486,000 2015	PC	162,000
Brown University Of Providence 164 Angell St Providence, RI 02912-9002 *Breaking Beta Decoding and Manipulating Critical Neural Manipulating Critical Neural State State Transitions in Parkinson's Disease*	$486,000 2014	PC	81,000
Case Western Reserve University 10900 Euclid Avenue Cleveland, OH 44106 *SCD Biochip' Towards a Simple and Reliable Way to Monitor Sickle Cell Disease*	$486,000 2013	PC	81,000
Case Western Reserve University 10900 Euclid Avenue Cleveland, OH 44106 *Pharmacologic NK harness to seek and destroy the HIV Reservoir destroy the HIV reservoir*	$486,000 2014	PC	81,000
Center For Open Science 210 Ridge McIntire Road, Suite 500 Charlottesville, VA 22903 *To support travel awards for the COS-HRA Research Funder Forum*	$7,500 2016	PC	7,500
Charles Drew University of Medicine & Science 1731 E. 120th St Los Angeles, CA 90059 *To support Project STRIDE (Students Training in Research Involving Disparity Elimination)*	$497,500 2016	PC	248,600
Children's Hospital & Research Center at Oakland 747 52nd St Oakland, CA 94609 *To support the Children's Hospital Oakland Research Institute (CHORI) Summer Student Research Program*	$405,000 2016	PC	202,400

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Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
Childrens Hospital Corporation 300 Longwood Avenue Boston, MA 02115-5724 *Genome editing of the GWAS-marked BCL11A enhancer· an BCL11A enhancer an approach to HbF approach to HbF reactivation in sickle cell disease*	$486,000 2013	PC	81,000
Children's Hospital Corporation 300 Longwood Avenue Boston, MA 02115-5724 *Modeling fetal hemoglobin reactivation in Sickle Cell Anemia iPS cells*	$54,000 2016	PC	54,000
Childrens Hospital Of Los Angeles 4650 Sunset Blvd Los Angeles, CA 90027 *To support The Samuels Family LA-HIP Clinical Research Continuum High School to College*	$588,500 2016	PC	290,575
Children's Hospital of Philadelphia 34th and Civic Center Blvd. Philadelphia, PA 19104 *Producing highly active lymphocytes for aadoptive immunotherapy*	$495,000 2016	PC	247,500
Children's Hospital of Philadelphia 34th and Civic Center Blvd. Philadelphia, PA 19104 *A randomized clinical trial of intranasal oxytocin to promote weight loss in chidlren and adolescents with hypothalamic obesity syndrome*	$495,000 2016	PC	247,500
Childrens Research Institute 111 Michigan Ave NW Washington, DC 20010-2916 *Feasibility and efficacy of a home-based, computerized cognitive training program in pediatric sickle cell disease*	$486,000 2013	PC	81,000
Children's Hospital Medical Center 3333 Burnett Avenue Cincinnati, OH 45229-3039 *Gene Therapy for Sickle Cell Anemia*	$486,000 2013	PC	81,000
Children's Hospital Medical Center 3333 Burnett Avenue Cincinnati, OH 45229-3039 *CD38 bright CD8+ effector memory T-cells herald acute graft versus host disease*	$486,000 2015	PC	162,000

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Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
Children's Hospital Medical Center 3333 Burnett Avenue Cincinnati, OH 45229-3039 *Effects of hydroxyurea treatment on transcranial Doppler Doppler velocities and stroke risk in children with sickle cell anemia*	$70,400 2016	PC	70,400
City Of Hope 1500 East Duarte Road Duarte, CA 91010 *Effect of DNA demethylation therapy on the Wnt pathway in lung cancer stem cells*	$495,000 2016	PC	247,500
The Cleveland Clinic Foundation 6801 Brecksville Rd Rk85 Independence, OH 44131-5032 *The Microbiome In Individuals With PTEN Germline Mutations*	$70,400 2016	PC	70,400
Trustees Of Columbia University In The City of New York 60 Haven Avenue, B2 New York, NY 10032 *Advanced phenotypic and genotypic methods to predict TB treatment response and measure emergent TB drug-resistance*	$486,000 2015	PC	162,000
Duke University 324 Blackwell St Ste 850 Durham, NC 27701-3659 *International Clinical Research Fellowships for Medical Students*	$864,000 2012	PC	216,000
Duke University 324 Blackwell St Ste 850 Durham, NC 27701-3659 *Fund to Retain Clinical Scientists at Duke University*	$583,200 2015	PC	43,200
Duke University 324 Blackwell St Ste 850 Durham, NC 27701-3659 *The B cell repertoire and antibody maturation elicited by adjuvanted HIV envelope vaccines in infants*	$70,400 2016	PC	70,400
Duke University 324 Blackwell St Ste 850 Durham, NC 27701-3659 *Healthcare Disparities and Variation in Care of Patiences with Peripheral Artery Disease at Risk for Lower Extremity Amputation and Poor Cardiovascular Outcomes*	$495,000 2016	PC	247,500
Duke University 324 Blackwell St Ste 850 Durham, NC 27701-3659 *International Clinical Research Fellowships for Medical Students*	$462,000 2016	PC	231,000

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Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
Foundation for the National Institutes of Health, Inc. 9650 Rockville Pike Bethesda, MD 20814 *To support the NIH Medical Research Scholars Program*	$650,000 2016	PC	650,000
Fund For Public Health In New York Inc 22 Cortlandt Street, Suite 802 New York, NY 10007-3107 *To support Creating Career Pathways to NYC's Public Health Laboratory*	$100,000 2016	PC	100,000
President and Fellows of Harvard College 50 Church Street, 4th Floor Cambridge, MA 02138 *International Clinical Research Fellowships for Medical Students*	$864,000 2012	PC	216,000
President and Fellows of Harvard College 50 Church Street, 4th Floor Cambridge, MA 02138 *Using pathogen genomics and patient data to define determinants of persistent MRSA colonization and of success of decolonization*	$495,000 2016	PC	247,500
Health Research Alliance Inc. 65 Tw Alexander Dr Unit 13605 Research Triangle Park, NC 27709-0872 *Membership renewal and general support* $10,000 00	$10,000 2016	PC	10,000
Indiana University 509 E. 3rd St. Indianapolis, IN 46634 *Novel use Of Hydroxyurea in an African Region with Malaria*	$486,000 2013	PC	95,986
Indiana University 509 E 3rd St. Indianapolis, IN 46634 *To support a study to optimize hydroxyurea therapy in children with sickle cell anemia in Uganda*	$200,000 2016	PC	50,000
Johns Hopkins University 3910 Keswick Road N4327-B Baltimore, MD 21211-2226 *Blood Based Biomarkers for Hereditary Cancer Syndromes Using Neurofibromatosis 1 as a Model*	$486,000 2014	PC	81,000
Johns Hopkins University 3910 Keswick Road N4327-B Baltimore, MD 21211-2226 *Johns Hopkins School of Medicine Doris Duke Clinical Investigator Support Program*	$583,200 2015	PC	43,200

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2016

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
Johns Hopkins University 3910 Keswick Road N4327-B Baltimore, MD 21211-2226 *Improving Patient Education and Informed Consent in Live Kidney Donation Through Creation of an Interactive Patient-Centered Web Resource*	$70,400 2016	PC	70,400
Loyola University of Chicago 2160 S First Ave Maywood, IL 60153 *Risk Stratification for Clinical Severity of Sickle Cell Disease in Nigeria and Assessment of Efficacy and Safety during Treatment with Hydroxyurea*	$486,000 2013	PC	81,000
General Hospital Corporation dba Massachusetts General Hospital 399 Revolution Dr Ste 645 Somerville, MA 02145-1465 *Improving Stroke Care by Predicting Atrial Fibrillation*	$486,000 2014	PC	81,000
General Hospital Corporation dba Massachusetts General Hospital 399 Revolution Dr Ste 645 Somerville, MA 02145-1465 *Neurobiological basis of clozapine response in schizophrenia patients*	$486,000 2015	PC	162,000
General Hospital Corporation dba Massachusetts General Hospital 399 Revolution Dr Ste 645 Somerville, MA 02145-1465 *PRISM -- Predicting atRial fibrillation to Inhibit Stroke and eMbolism*	$70,400 2016	PC	70,400
General Hospital Corporation dba Massachusetts General Hospital 399 Revolution Dr Ste 645 Somerville, MA 02145-1465 *Skeletal Fragility and Fracture Risk after Bariatric Surgery*	$495,000 2016	PC	247,500
General Hospital Corporation dba Massachusetts General Hospital 399 Revolution Dr Ste 645 Somerville, MA 02145-1465 *Adherence to periconception HIV risk-reduction among HIV-exposed uninfected women in rural Uganda*	$495,000 2016	PC	247,500

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2016

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
General Hospital Corporation dba	$50,000	PC	50,000
Massachusetts General Hospital	2016		
399 Revolution Dr Ste 645			
Somerville, MA 02145-1465			
To support a strategic planning conference on a long-term agenda for equity in biomedical careers			
Medical University Hospital Authority	$583,200	PC	43,200
169 Ashley Avenue Suite 203	2015		
Charleston, SC 29425-8905			
Program to Enhance the Retention of Clinicians in the Medical University of South Carolina			
Minnesota Medical Foundation 1342	$864,000	PC	216,000
Minneapolis, MN	2012		
International Clinical Research Fellowships for Medical Students			
National Academy of Sciences	$19,500	PC	19,500
2101 Constitution Ave Nw	2016		
Washington, DC 20418-0007			
To support the Institute of Medicine's Regional Site Visit for the study on Strengthening the Disaster Resilience of Academic Biomedical Research Communities			
National Science Communication Institute	$25,000	PC	25,000
2320 N 137th Street	2016		
Seattle, WA 98133			
To support the 2017 Open Scholarship Initiative Convening			
New York Academy of Sciences	$2,500	PC	2,500
250 Greenwich Street, 40th Floor	2016		
New York, NY 10007-0000			
Organizational Membership			
New York Blood Center Inc	$54,000	PC	54,000
310 East 67th	2016		
New York, NY 10065			
Comparison between cord blood and adult hematopoietic progenitor cells from people with sickle cell disease of of characteristics predicting superior gene therapy efficacy			
New York University	$486,000	PC	81,000
School of Medicine	2014		
550 1st Avenue, New York, NY 10016			
New York, NY 10036			
Hypermethylation as a microbiomemediated epigenetic phenomenon in CIMP(+) colorectal cancers			

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2016

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
New York University School of Medicine 530 First Avenue, Skirball 9R New York, NY 10016 *Fund to Retain Clinical Scientists at NYU Langone Medical Center*	$583,200 2015	PC	43,200
Northwestern University 1801 Maple Avenue, Suite 2410 Chicago, IL 60611 *Identification of the genetic basis of altered T cell receptor signaling in cutaneous T cell lymphoma*	$270,000 2016	PC	135,000
Rector & Visitors Of The University Of Virginia Room 1709A Carter-Harrison Medical Research Building, 345 Crispell Drive, University of Virginia School of Medicine Charlottesville, VA 22901 *Early Predictors and Biomarkers of Cognition and Growth in Impoverished Children*	$486,000 2014	PC	81,000
Research Foundation for Mental Hygiene, Inc. 722 W 168th St #310 New York, NY 10032 *Dopamine Transmission in the Psychosis Prodrome: a [11C]--(+)--PHNO PET Study*	$495,000 2016	PC	247,500
Rockefeller University 1230 York Avenue New York, NY 10021 *Understanding the mechanisms behind the disparate actions of endogenous vitamin D vs. vitamin D supplements on the lipid metabolism a rational step towards optimizing treatment of vitamin D deficiency*	$486,000 2015	PC	162,000
Society For Clinical And Translational Science, Inc. 2025 M St Nw Ste 800 Washington, DC 20036-2422 *Membership 2016*	$7,500 2016	PC	7,500
The Board Of Trustees Of The Leland Stanford Jr. University Stanford, CA 94304-1234 *Rigorous Evaluations of Global Health Programs and Polcies*	$486,000 2014	PC	81,000
The Board Of Trustees Of The Leland Stanford Jr. University Stanford, CA 94304-1234 *The role of iron and inflammation in Alzheimer's disease: from ex vivo to in vivo*	$486,000 2015	PC	162,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2016

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
The Board Of Trustees Of The Leland Stanford Jr. University Stanford, CA 94304-1234 *Development of a liquid biopsy for pediatric sarcomas*	$70,400 2016	PC	70,400
The Board Of Trustees Of The Leland Stanford Jr. University Stanford, CA 94304-1234 *Personalization of cancer therapy utilizing circulating tumor DNA as biomarkers*	$70,400 2016	PC	70,400
The Board Of Trustees Of The Leland Stanford Jr. University Stanford, CA 94304-1234 *Statin-associated diabetes: Identifying risk factors and physiologic mechanisms*	$495,000 2016	PC	247,500
The Board Of Trustees Of The Leland Stanford Jr. University Stanford, CA 94304-1234 *Functional genetic characterization of a novel host determinant of Plasmodium falciparum malaria*	$495,000 2016	PC	247,500
The Board Of Trustees Of The Leland Stanford Jr. University Stanford, CA 94304-1234 *To support the Stanford SIMR Program-Clinical Research Program*	$304,000 2016	PC	151,800
Universities Allied For Essential Medicines Corp 641 S Street NW Washington, DC 20001-5196 *To support the third phase of a creative mapping of alternative biomedical R&D models innovating for the public good*	$15,000 2016	PC	15,000
University Of Arizona Foundation 1111 N Cherry Ave Tucson, AZ 85721-0111 *New insights into molecular mechanisms driving pediatric movement disorders*	$486,000 2014	PC	81,000
Regents University of California Los Angeles 10920 Wilshire Blvd Ste 620 Los Angeles, CA 90024-6505 *Site-Specific Gene Modification in Hematopoietic Stem Cells for Sickle Cell Disease*	$486,000 2013	PC	81,000
Regents of The University of California at San Diego 9500 Gilman Drive La Jolla, CA 92103-8756 *Human viral communities as vehicles for the spread of antibiotic resistance in the community*	$486,000 2015	PC	162,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2016

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
Regents of The University of California at San Diego 9500 Gilman Drive La Jolla, CA 92103-8756 *Mapping the environmental landscape of human cardiovascular disease*	$486,000 2015	PC	162,000
University Of California San Francisco 505 Parnassus Ave M696 San Francisco, CA 94143-0000 *International Clinical Research Fellowships for Medical Students*	$864,000 2012	PC	216,000
University Of California San Francisco University of California, San Francisco San Francisco, CA 94143-0000 *Dissecting Human Osteoprogenitor Function using iPS Cells*	$486,000 2014	PC	81,000
University Of California San Francisco 505 Parnassus Ave M696 San Francisco, CA 94143-0000 *Improving cardiovascular risk stratification in kidney transplant recipients*	$486,000 2015	PC	162,000
University Of California San Francisco 505 Parnassus Ave M696 San Francisco, CA 94143-0000 *Enhanced therapeutic monitoring of multidrug resistant tuberculosis*	$486,000 2015	PC	162,000
University Of California San Francisco 505 Parnassus Ave M696 San Francisco, CA 94143-0000 *Fund to Retain Clinical Scientists at UCSF*	$583,200 2015	PC	43,200
University Of California San Francisco 505 Parnassus Ave M696 San Francisco, CA 94143-0000 *Measuring and Modeling Effects of Inter-Individual Protein-Level Buffering on Phenotypic Penetrance of DNA Copy Number Variants*	$495,000 2016	PC	247,500
Regents Of The University Of Colorado 1800 N Grant St Ste 400 Denver, CO 80203-1125 *Fund to Retain Clinical Scientists at the University of Anschutz Medical Campus School of Medicine*	$583,200 2015	PC	43,200
Regents Of The University Of Colorado 1800 N Grant St Ste 400 Denver, CO 80203-1125 *Targeting DOT1L in MN1-high Acute Myeloid Leukemia*	$486,000 2014	PC	81,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2016

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
Regents Of The University Of Michigan Office of Contract Administration 5000 Wolverine Tower Ann Arbor, MI 48109-1276 *Big Data in the Brain Implementing and Characterizing a Novel Biomarker of Epilepsy*	$402,000 2015	PC	99,000
Regents Of The University Of Michigan Office of Contract Administration 5000 Wolverine Tower Ann Arbor, MI 48109-1276 *Finding genes for arterial dysplasia*	$70,400 2016	PC	70,400
Regents Of The University Of Michigan Office of Contract Administration 5000 Wolverine Tower Ann Arbor, MI 48109-1276 *Defining the epigenetic landscape of pediatric posterior fossa ependymomas*	$495,000 2016	PC	247,500
University of Minnesota Foundation 210 Oak Street, SE. Suite 500 Minneapolis, MN 55455-2010 *International Clinical Research Fellowships for Medical Students*	$462,000 2016	PC	231,000
University of North Carolina at Chapel 134 East Franklin Street, Campus Box 3233 Chapel Hill, NC 27599 *International Clinical Research Fellowships for Medical Students*	$864,000 2012	PC	216,000
University of North Carolina at Chapel Hill 134 East Franklin Street, Campus Box 3233 Chapel Hill, NC 27599 *The effects of hypoxia on red blood cell-dependent thrombin generation in sickle cell disease*	$486,000 2013	PC	81,000
University of North Carolina at Chapel Hill 134 East Franklin Street, Campus Box 3233 Chapel Hill, NC 27599 *Targeting Neutrophil Extracellular Traps in Sickle Cell Disease*	$486,000 2013	PC	81,000
University of North Carolina at Chapel Hill 134 East Franklin Street, Campus Box 3233 Chapel Hill, NC 27599 *Fund to Retain Clinical Scientists at the UNC Chapel Hill School of Medicine*	$583,200 2015	PC	43,200

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2016

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
University of North Carolina at Chapel Hill 134 East Franklin Street, Campus Box 3233 Chapel Hill, NC 27599 *To support the Doris Duke Charitable Fund to Retain Clinical Scientists Program Directors Meeting*	$25,000 2016	PC	25,000
Trustees Of The University Of Pennsylvania 3451 Walnut Street Philadelphia, PA 19104-6205 *Transcriptional Silencing of C9orf72 in Amyotrophic Lateral Sclerosis and Frontotemporal Degeneration*	$486,000 2014	PC	81,000
Trustees Of The University Of Pennsylvania 3451 Walnut Street Philadelphia, PA 19104-6205 *Using Social Incentives and Patient-Generated Health Data to Change Health Behaviors and Improve Glycemic Control Among Type 2 Diabetics*	$495,000 2016	PC	317,973
University Of Pittsburgh/School of Medicine Scaife Hall 3550 Terrace Street Pittsburgh, PA 15261 *To support the Doris Duke Foundation Academy for Clinical Research*	$140,400 2014	PC	70,200
University Of Pittsburgh/School of Medicine Scaife Hall 3550 Terrace Street Pittsburgh, PA 15261 *Atrial Fibrillation health Literacy Information Technology Trial (AF-LITT)*	$486,000 2015	PC	162,000
University Of Pittsburgh/School of Medicine Scaife Hall 3550 Terrace Street Pittsburgh, PA 15261 *Targeting the TWIST1- E2A pathway in oncogene driven lung cancer*	$486,000 2015	PC	162,000
University Of Pittsburgh/School of Medicine Scaife Hall 3550 Terrace Street Pittsburgh, PA 15261 *To support the University of Pittsburgh Cancer Institute and Doris Duke Charitable Foundation Academy Charitable Foundation Academy*	$506,000 2016	PC	253,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2016

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
University of Rochester AIR Box 695 601 Elmwood Ave Rochester, NY 14642 *Understanding how the initial encounter with influenza virous poises children for protective immunity*	$486,000 2015	PC	162,000
University of Utah 1395 East Presidents Circle Room 190 Salt Lake City, UT 84112 *Protein Kinase C as a Target for Reactivation of Latent HIV-1*	$495,000 2016	PC	247,500
University of Washington Foundation 407 Gerberding Hall Seattle, WA 98195-0001 *T Cell Memory to Mycobacterial Lipids*	$495,000 2016	PC	247,500
University of Wisconsin Foundation 1848 University Ave Madison, WI 53726-4090 *Genetic Variants, Immune Dysregulation, & Rheumatoid Arthritis*	$486,000 2015	PC	162,000
University of Wisconsin Foundation 1848 University Ave Madison, WI 53726-4090 *To support the University of Wisconsin Surgery Clinical Research Experiences for Underrepresented Students*	$551,500 2016	PC	275,700
Vanderbilt University Medical Center 1161 21St Ave S Nashville, TN 37232-0011 *Racial Differences in Vagal Control of Glucose Homeostasis Glucose Homeostasis*	$486,000 2014	PC	81,000
Vanderbilt University Medical Center 1161 21St Ave S Nashville, TN 37232-0011 *Fund to Retain Clinical Scientists at Vanderbilt University Medical School*	$583,200 2015	PC	43,200
Washington University 700 Rosedale Ave Box 1000 St Louis, MO 63112-1408 *Understanding How Different Therapies Reshape Brain Networks to Promote Stroke Recovery*	$486,000 2014	PC	81,000
Washington University 700 Rosedale Ave Box 1000 St Louis, MO 63112-1408 *Small intestinal Paneth cell phenotype in Crohn's Disease clinical relevance and genetic associations*	$486,000 2014	PC	81,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2016

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
Washington University 700 Rosedale Ave Box 1000 St Louis, MO 63112-1408 *Dysregulated Signaling Pathways in Myeloproliferative Neoplasms*	$261,000 2014	PC	43,500
Washington University 700 Rosedale Ave Box 1000 St Louis, MO 63112-1408 *Functional characterization of deleterious germline variability in MLL3 in infant leukemia*	$486,000 2014	PC	81,000
Washington University 700 Rosedale Ave Box 1000 St Louis, MO 63112-1408 *Risk Alleles and Podocyte Endoplasmic Reticulum Stress in Focal Segmental Glomerulosclerosis*	$486,000 2015	PC	162,000
Washington University 700 Rosedale Ave Box 1000 St Louis, MO 63112-1408 *Fund to Retain Clinical Scientists at Washington University School of Medicine*	$583,200 2015	PC	43,200
Washington University 700 Rosedale Ave Box 1000 St Louis, MO 63112-1408 *Immune Regulation of Atopic Dermatitis and Itch*	$495,000 2016	PC	247,500
Washington University 700 Rosedale Ave Box 1000 St Louis, MO 63112-1408 *Novel Prediction Models for Patient-Centered Clinical Outcomes after Transcatheter Aortic Valve Replacement for Aortic Stenosis*	$486,000 2014	PC	81,000
William Marsh Rice University 6100 Main Street MS 1 Houston, TX 77005 *Accurate and Inexpensive Point-of-Care Diagnosis of Sickle Cell Anemia*	$486,000 2013	PC	81,000
Yale University Po Box 208356 New Haven, CT 06520-8356 *International Clinical Research Fellowships for Medical Students*	$864,000 2012	PC	133,158

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2016

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
Yale University Po Box 208356 New Haven, CT 06520-8356 *Defining Intercellular Metabolic Networks in Human Cutaneous Squamous Cell Carcinoma*	$486,000 2015	PC	162,000
Yale University Po Box 208356 New Haven, CT 06520-8356 *Fund to Retain Clinical Scientists at Yale School of Medicine*	$583,200 2015	PC	43,200
Yale University Po Box 208356 New Haven, CT 06520-8356 *To support a pilot "Twinning Model" for Doris Duke Charitable Foundation International Clinical Research Fellowship Program for Medical Students*	$12,000 2016	PC	12,000
Yale University Po Box 208356 New Haven, CT 06520-8356 *International Clinical Research Fellowships for Medical Students* *Fellowships for Medical Students*	$462,000 2016	PC	135,571
TOTAL Medical Research			**15,126,183**

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2016

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
CROSS PROGRAM GRANTS			
Art2Action Inc 8677 Key Royale Lane, #301 Tampa, FL 33614 *To support the study design and pilot program for the the Veteran Arts Recovery & Reintegration Research Project*	$90,000 2016	PC	90,000
CEL Education Fund 1330 Broadway 3rd Floor Oakland, CA 94612 *To support Arts in a Changing America's ArtChangeUS at convenings in Honolulu, HI and Richmond, VA*	$100,000 2016	PC	100,000
Eureka Foundation Inc 12 East 12th Street, #10 New York, NY 10003 *To support educational programming in connection with GREAT MUSEUMS. Masterworks of Islamic Art (w/t)*	$75,000 2016	PF - ER	
Lincoln Center for the Performing Arts, Inc. 70 Lincoln Center Plaza, 9th Floor New York, NY 10023 *To support Lincoln Center Global Exchange 2016*	$87,500 2016	PC	87,500
National Asian American Theatre Festival, Inc. 520 8th Avenue, Suite 308 New York, NY 10018 *To support the 5th National Asian American Theatre Conference and Festival's preconference program focusing on Central, West Asian and Middle Eastern diaspora artists*	$26,250 2016	PC	26,250
TOTAL Cross Program Grants			**303,750**

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2016

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
MEDIA FUND			
Center for Cultural and Technical Interchange Between East and West, Inc. 1601 East-West Road Honolulu, HI 96848-1601 *To support the 2016-18 Senior Journalists Seminar*	$150,000 2016	PC	62,500
Greater Washington Educational Telecommunications Assn, Inc. 3939 Campbell Ave Arlington, VA 22206 *To support PBS NewsHour programming related to DDCF fields of interest*	$300,000.00 2014	PC	100,000
General Hospital Corporation dba Massachusetts General Hospital 399 Revolution Dr Ste 645 Somerville, MA 02145-1465 *To support a strategic planning conference on a long-term agenda for equity in biomedical careers*	$50,000 2016	PC	50,000
National Public Radio Inc 1111 North Capitol St Nw Washington, DC 20002-0000 *To support news programming in DDCF's areas of interest through general operating support*	$1,000,000 00 2014	PC	191,055
New York Public Library, Astor, Lenox and Tilden Foundations Stephen A. Schwarzman Building 476 Fifth Avenue (42nd St and Fifth Ave) New York, NY 10018 *To support preservation of At-Risk Audio and Moving Image Collections*	$280,000 2016	PC	280,000
World Policy Institute Inc 426 5th Avenue, 4th Floor New York, NY 10011 *To support the production of Refugees Deeply, an in-depth website and social media hub about the global refugee crisis*	$50,000 2016	PC	50,000
TOTAL Media Fund			**733,555**

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2016

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
PHILANTHROPIC SECTOR/OTHER			
Center for Effective Philanthropy Inc 675 Massachusetts Ave., 7th fl Cambridge, MA 02139 *General Support*	$10,000 2016	PC	10,000
Communication Network 1365 York Ave 28H New York, NY 10021 *General Support*	$ 1,000 2016	PC	1,000
Council on Foundations Inc. 2121 Crystal Dr Ste 700 Arlington, VA 22202 *General Support*	$25,000 2016	PC	25,000
Foundation Center 32 Old Slip 24Th Floor New York, NY 10005-0000 *General Support*	$25,000 2016	PC	25,000
Grants Managers Network Inc 1666 K St NW STE 440 Washington, DC 20006 *General Support*	$ 7,000 2016	PC	7,000
Grants Managers Network Inc 1666 K St NW STE 440 Washington, DC 20006 *To support GMN's capacity building through the Campaign for Excellence*	$50,000 2016	PC	50,000
Guidestar USA Inc 4801 Courthouse St Ste 220 Williamsburg, VA 23188-2678 *Philanthropic Sector Support 2016*	$ 2,000 2016	PC	2,000
Independent Sector 1602 L St NW, Ste 900 Washington, DC 20036 *General Support*	$17,500 2016	PC	17,500
Philanthropy New York Inc 1500 Broadway, 7th Fl New York, NY 10036 *To support implementation of the 2014-16 strategic plan through the Fund for 2025*	$100,000 2015	PC	50,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2016

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
Philanthropy New York Inc 1500 Broadway, 7th Fl New York, NY 10036 *General Support*	$28,000 2016	PC	28,000
Technology Affinity Group Inc 23 Briar Road Wayne, PA 19087 *General Support*	$ 2,000 2016	PC	2,000
AFS Intercultural Programs Inc 71 W 23rd St 6th Floor New York, NY 10010-4102 *General Support*	$10,000 2016	PC	10,000
Asia Society 725 Park Ave New York, NY 10021 *Performing Arts Programs*	$5,000 2016	PC	5,000
Barnard College 3009 Broadway New York, NY 10027-6909 *General Support*	$5,000 2016	PC	5,000
Breaking Ground Housing Development Fund Corporation 505 8th Avenue, 5th Floor New York, NY 10018 *General Support*	$25,000 2016	PC	25,000
The Carl Schurz Park Conservancy Inc 523 East 86th Street New York, NY 10028 *General Support*	$25,000 2016	PC	25,000
The Elizabeth Taylor AIDS Foundation 150 S. Rodeo Dr. #220 Beverly Hills, CA 90212 *General Support*	$10,000 2016	PC	10,000
Environmental Defense Fund, Inc. 257 Park Avenue South New York, NY 10010 *General Support*	$12,500 2016	PC	12,500
Grassroot Soccer Inc 198 Church St Norwich, VT 05055 *General Support*	$20,000 2016	PC	20,000
President and Fellows of Harvard College 50 Church Street, 4th Floor Cambridge, MA 02138 *To support undergraduate scholarships*	$55,000 2016	PC	55,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2016

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
Hoops For Hope Inc 85 Hedges Lane Amagansett, NY 11930 *General Support*	$12,500 2016	PC	12,500
An Infinite Mind 7413 Wynnewood Sq Winter Park, FL 32792-6559 *General Support*	$5,000 2016	PC	5,000
Lower East Side Tenement Museum 91 Orchard Street New York, NY 10002-3132 *in honor of John Zuccotti*	$20,000 2016	PC	20,000
Natural Resources Defense Council Inc 40 West 20th St, 11th Floor New York, NY 10011 *General Support*	$5,000 2016	PC	5,000
New Professional Theatre Inc 229 W. 42nd St NYC, NY 10036 *General Support*	$10,000 2016	PC	10,000
New York Blood Center Inc 310 East 67th New York, NY 10065 *General Support*	$15,000 2016	PC	15,000
Organ Alliance Inc 115 w 18th St 2nd Fl New York, New York 10011 *General Support*	$10,000 2016	PC	10,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2016

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
Oxfam-America Inc 226 Causeway Street, 5th Floor Boston, MA 02114-2206 *General Support*	$12,500 2016	PC	12,500
Partners In Health 888 Commonwealth Ave, 3 Boston, MA 02215 *General Support*	$12,500 2016	PC	12,500
Peconic Land Trust Incorporated 296 Hampton Road Southampton, New York 11968 *General Support*	$5,000 2016	PC	5,000
Playwrights Horizons Inc. 416 West 42nd Street New York, NY 10036 *General Support*	$40,000 2016	PC	40,000
Project Sunshine Inc 211 East 43rd Street, Suite 401 New York, New York 10017 *General Support*	$10,000 2016	PC	10,000
SCOA Inc 25 Jobs Lane Southampton, NY 11968 *General Support*	$5,000 2016	PC	5,000
Southampton Fresh Air Home For Crippled Children 36 Barkers Island Road Southampton, NY 11968 *General support*	$5,000 2016	PC	5,000
Studio Museum In Harlem Inc 144 W 125th St New York, NY 10027 *General Support*	$25,000 2016	PC	25,000

PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2016

Recipient and/or Purpose	Grant Amount/ Year Approved	Tax Status	Amount Paid 2016
United States Fund for UNICEF 125 Maiden Lane New York, NY 10038 *General Support*	$12,500 2016	PC	12,500
Regents Of The University Of Michigan Office of Contract Administration 5000 Wolverine Tower Ann Arbor, MI 48109-1276 *To support the scholarship fund for the master's program at the Center for Sustainable Systems, School of Natural Resources and Environment*	$12,500 2016	PC	12,500
Vermont Land Trust 8 Bailey Ave. Montpelier, VT 05602 *General Support*	$15,000 2016	PC	15,000
William Marsh Rice University 6100 Main Street MS 1 Houston, TX 77005 *Rice 360 Program to support expansion of the Queen Elizabeth Central Hospital's Orthopedic Clinic in Iantyre, Malawi*	$12,500 2016	PC	12,500
TOTAL Philanthropic Sector/Other			**630,000**
GRAND TOTAL			**67,197,806**

PART XV - GRANTS APPROVED FOR FUTURE PAYMENT

SUMMARY BY PROGRAM AREA

Recipient and/or Purpose	Approved for Future Payment
TOTAL AFRICAN HEALTH INITIATIVE	12,467,500
TOTAL ARTS	10,931,933
TOTAL ARTS INITIATIVE	990,100
TOTAL CHILD WELL-BEING	7,119,468
TOTAL ENVIRONMENT	4,762,540
TOTAL MEDICAL RESEARCH	8,296,761
TOTAL MEDIA FUND	87,500
GRAND TOTAL	44,655,802

ATTACHMENT 16A

PART XV, 3b - GRANTS APPROVED IN 2016 FOR FUTURE PAYMENT

Recipient and/or Purpose	Total Grant	Tax Status	Approved for Future Payment
AFRICAN HEALTH INITIATIVE			
Trustees Of Columbia University In The City fo New York 60 Haven Avenue, B2 New York, NY 10032 *A National Program for Strengthening the Implementation of the Community-based Health Planning and Services (CHPS) Initiative in Ghana CHPS+*	$8,000,000	PC	$5,589,500
Health Alliance International 1107 NE 45th Street, Suite 350 Seattle, WA 98105 *To support Spreading IDEAs. The Integrated District Evidence to Action Program to Improve Maternal, Newborn, and Child Health*	$8,800,000	PC	$6,878,000
TOTAL African Health			**$12,467,500**
ARTS			
American Theatre Wing Inc 230 West 41st Street, Suite 1101 New York, NY 10036 *To support the national expansion and distribution of new media programming to catalyze the national theatre community to engage audiences*	$112,500	PC	$40,625
Art2Action Inc 8677 Key Royale Lane, #301 Tampa, FL 33614 *To support the "National Summit on Arts & Health in the Military"*	$95,625	PC	$45,155
Chamber Music America 12 West 32nd Street, 7th Floor New York, NY 10001-3813 *To support the Doris Duke Jazz Ensembles Project*	$1,427,500	PC	$546,600
Childsplay, Inc. 900 S. Mitchell Dr Tempe, AZ 85281 *To support Phase II of "Write Now" a festival of new plays for young audiences*	$28,125	PC	$3,125
City Parks Foundation 1234 Fifth Avenue New York, NY 10029 *Leadership Grants Program for Jazz*	$75,000	PC	$37,500

PART XV, 3b - GRANTS APPROVED IN 2016 FOR FUTURE PAYMENT

Recipient and/or Purpose	Total Grant	Tax Status	Approved for Future Payment
Creative Capital Foundation 15 Maiden Lane, 18th Floor New York, NY 10038 *To support grants and advisory services to Performing Artists*	$1,260,000	PC	$1,260,000
Cultural Data Project 400 Market Street, Suite 600 Philadelphia, PA 19106 *To develop an educational curriculum to build data fluency in the performing arts as a means of strengthening vitality, performance, and public impact*	$33,750	PC	$13,125
Dance USA 1029 Vermont Ave NW, Suite 400 Washington, DC 20005 *To support Round Four of Engaging Dance Audiences*	$1,900,000	PC	$1,231,500
Detroit Jazz Festival Foundation 19900 Harper Avenue Harper Woods, MI 48225 *Leadership Grants Program for Jazz*	$200,000	PC	$70,195
East-West Players Inc 120 Judge John Aiso St Los Angeles, CA 90012 *To support a series of "Think Tanks" to address issues of access, equity, and inclusing and implement systemic changes for sustainability in the performing arts*	$180,000	PC	$65,000
Fractured Atlas Inc 248 West 35th Street, 10th Floor New York, PA 10001 *To support the Jazz Forward Coalition's Jazzhubs Initaitive to build a sustainable national jazz network and audience demand for jazz*	$113,400	PC	$23,150
Jazz Institute of Chicago Inc 410 S. Michigan Ave, Suite 500 Chicago, IL 60605 *Leadership Grants Program for Jazz*	$225,000	PC	$84,370
MAP Fund Inc 601 W 26th St, Suite 325-42 New York, NY 10001 *To support two rounds of The Multi-Arts Production Fund re-granting program*	$2,860,000	PC	$2,860,000

PART XV, 3b - GRANTS APPROVED IN 2016 FOR FUTURE PAYMENT

Recipient and/or Purpose	Total Grant	Tax Status	Approved for Future Payment
National Arts Strategies Inc 2000 Duke St Ste 115 Alexandria, VA 22314 *To support the creation of a Learning Magnet in partnership with the International Association of Blacks in Dance (IABD) to strengthen the field*	$84,938	PC	$42,065
National Performance Network Inc 1024 Elysian Fields Ave New Orleans, LA 70117 *To support Performing Arts Programs*	$1,980,000	PC	$1,225,000
Network of Ensemble Theaters 9334 N Lombard #2 Portland, OR 97203 *To support CoNECTR (Circuit of National Ensemble Collaborative Tours & Residencies)*	$140,625	PC	$51,645
New England Foundation for the Arts 145 Tremont Street, 7th Floor Boston, MA 02111 *To support the National Dance Project*	$3,629,400	PC	$1,861,298
Newport Festivals Foundation, Inc. 150 East 69th Street 27K New York, NY 10021 *Leadership Grants Program for Jazz*	$100,000	PC	$50,000
Partners For Sacred Places Inc 1700 Sansom Street Philadelphia, PA 19103 *To advance the Creating Spaces project and implement on-the-ground programming in Austin and Baltimore*	$33,750	PC	$12,600
Penumbra Theatre Company Inc 270 North Kent St St Paul, MN 55102 *To support the 2016 and 2017 rounds of the artEquity initiative, providing theatre practioners with training and resources for diversity and inclusion initiatives nationally*	$214,594	PC	$77,494

PART XV, 3b - GRANTS APPROVED IN 2016 FOR FUTURE PAYMENT

Recipient and/or Purpose	Total Grant	Tax Status	Approved for Future Payment
Theatre Communications Group Inc. 520 8th Avenue, 24th Floor New York, NY 10018-4156 *To support Round 3 of the Audience (R)Evolution Program*	$1,635,000	PC	$1,278,800
Theatre Communications Group Inc. 520 8th Avenue, 24th Floor New York, NY 10018-4156 *To support Phase II of the Equity, Diversity & Inclusion Initiative*	$112,500	PC	$20,240
Virginia Tech Foundation Inc 190 Alumni Mall (0916) Blacksburg, VA 24060 *To support the School of Performing Arts working in partnership with the University Libraries on the VTArtWorks Initiative create an innovative online platform for the community cultural development field*	$222,824	PC	$32,446
TOTAL Arts			**$10,931,933**
ARTS INITIATIVE			
Aaron Davis Hall Inc 150 Convent Avenue New York, NY 10031 *To explore new approaches to build demand for jazz at Harlem Stage*	$115,000 2016	PC	$61,800
American Conservatory Theatre Foundation 30 Grant Avenue, 7th Floor San Francisco, CA 94108 *Asian American Arts Engagement in the Technology Sector*	$115,000 2016	PC	$55,000
Brooklyn Arts Exchange Inc 421 Fifth Avenue Brooklyn, NY 11217 *To explore new approaches to build demand for theater and contemporary performance, particularly within the LGBTQ/ QPOC communities*	$115,000 2016	PC	$70,000
Casita Maria Inc 928 Simpson Street Bronx, NY 10459 *To explore new approaches to building demand for Jazz at Casita Maria Center for Arts and Education*	$60,000 2016	PC	$20,600
The Cedar Cultural Center Inc 416 Cedar Ave S Minneapolis, MN 55454 *To explore new approaches to building demand for Jazz*	$115,000 2016	PC	$47,200

PART XV, 3b - GRANTS APPROVED IN 2016 FOR FUTURE PAYMENT

Recipient and/or Purpose	Total Grant	Tax Status	Approved for Future Payment
Center Theatre Group of Los Angeles 601 W. Temple Street Los Angeles, CA 90012 *To explore new approaches to building demand for theatre*	$115,000 2016	PC	$52,000
Cornerstone Theater Company 708 Traction Ave Los Angeles, CA 90013 *To explore new approaches to building demand for theatre*	$115,000 2016	PC	$22,700
Counterpulse 1310 Mission Street San Francisco, CA 94103 *To explore new approaches to building demand for theatre and dance within the Bay Area tech sector*	$115,000 2016	PC	$72,600
Eastside Arts Alliance 2277 International Blvd., P O Box 17008 Oakland, CA 94601-7008 *To encourage greater demand for experimental/contemporary performance, including dance, theatre, poetry and interdisciplinary forms*	$60,000 2016	PC	$16,500
Ellis Marsalis Center For Music Inc 1901 Bartholomew Street New Orleans, LA 70117 *To explore new approaches to building demand for jazz by using a modern jazz repertoire*	$115,000 2016	PC	$44,375
Emerson College 120 Boylston Street Boston, MA 02116 *To build demand for the performing arts among Boston's black, Latino/a, and Asian populations*	$115,000 2016	PC	$76,750
Interact Inc 2030 Sansom Street Philadelphia, PA 19103 *To build demand for Asian American Theater in Philadelphia*	$115,000 2016	PC	$54,000
Lower Manhattan Cultural Council 125 Maiden Lane, 2nd FL New York, NY 10038 *To explore new approaches to building demand for dance*	$115,000 2016	PC	$13,000
Mixed Blood Theatre Company 1501 S 4th Street Minneapolis, MN 55454 *To build demand for theatre*	$115,000 2016	PC	$43,000

PART XV, 3b - GRANTS APPROVED IN 2016 FOR FUTURE PAYMENT

Recipient and/or Purpose	Total Grant	Tax Status	Approved for Future Payment
New Group Inc 410 W 42nd St New York, NY 10036 *To explore new approaches to building demand for theatre*	$115,000 2016	PC	$64,200
On The Boards 100 W Roy Street Seattle, WA 98119 *To explore new approaches to building demand for contemporary dance*	$115,000 2016	PC	$37,000
Painted Bride Art Center Inc 230 Vine Street Philadelphia, PA 19106 *To explore new approaches to building demand for theatre and performance*	$115,000 2016	PC	$63,725
Performance Space 122 Inc 67 West St Suite 315 Brooklyn, NY 11222 *To explore new approaches to building demand for contemporary dance and theatre*	$115,000 2016	PC	$49,250
St. Ann's Warehouse, Inc. 55 Washington Street, #458 Brooklyn, NY 11201 *To explore new approaches to building demand for theatre*	$115,000 2016	PC	$40,600
Su Teatro 721 Santa Fe Dr. Denver, CO 80204 *To explore new approaches to building demand for theatre*	$60,000 2016	PC	$29,800
Theatre Communications Group Inc. 520 8th Avenue. 24th Floor New York, NY 10018-4156 *To implement and educational program that builds demand for theatre in military communities*	$115,000 2016	PC	$56,000
TOTAL Arts Initiative			**$990,100**

PART XV, 3b - GRANTS APPROVED IN 2016 FOR FUTURE PAYMENT

Recipient and/or Purpose	Total Grant	Tax Status	Approved for Future Payment
CHILD WELL-BEING			
Chapin Hall Center For Children 1313 E 60th Street Chicago, IL 60637 *To support the 2017 and 2018 cohorts of the Doris Duke Fellowships for the Promotion of Child Well-being*	$2,500,000 2016	PC	$2,500,000
Children and Family Futures Inc 25371 Commercentre Drive, Suite 140 Lake Forest, CA 92630 *To support Prevention and Family Recovery (PFR) - Phase 2 Continuation and Expansion*	$3,000,000 2016	PC	$2,053,600
Mount Sinai Hospital One Gustave L Levy Place New York, NY 10029-6504 *To support Mount Sinai Adolescent Health Center's provision of free high-quality comprehensive medical services for 300 low-income adolescents with foster care experience and pilot an assessment tool to better target high need clients to relevant servicse*	$1,500,000 2016	PC	$1,400,000
New Yorkers For Children Inc 450 Seventh Ave, Suite 403 New York, NY 10123 *To create and launch the Nicholas Scoppetta Scholarship Fund (Nick's Scholars)*	$1,187,000 2016	PC	$1,165,868
TOTAL Child Well-being			**$7,119,468**
ENVIRONMENT			
American Museum of Natural History 200 Central Park West New York, NY 10024-5192 *To build a diverse pipeline for the conservation workforce through the enhanced Science Research Mentoring Program for high school students*	$892,000 2016	PC	$525,000
Land Trust Alliance Incorporated 1250 H Street NW , Suite 600 Washington, DC 20005 *To support a new Land Trust Climate Change Initiative*	$1,000,000 2016	PC	$350,000

PART XV, 3b - GRANTS APPROVED IN 2016 FOR FUTURE PAYMENT

Recipient and/or Purpose	Total Grant	Tax Status	Approved for Future Payment
Scenic Hudson, Inc One Civic Center Plaza, Suite 200 Poughkeepsie, NY 12601-3157 *To support implementation of the Hudson Valley Conservation Strategy a landscape-scale framework to conserve climate-resilient sites, connectivity, and biodiversity*	$2,300,000 2016	PC	$1,000,000
The Trust for Public Land 101 Montgomery Street Suite 900 San Francisco, CA 94104 *To support the Conservation Finance Initiative*	$2,200,000 2016	PC	$1,100,000
Wildlife Conservation Society 2300 Southern Boulevard Bronx, NY 10460 *To support the Climate Adaptation Fund*	$6,752,500 2016	PC	$1,787,540
TOTAL Environment			**$4,762,540**
MEDICAL RESEARCH			
Arthur Ashe Institute For Urban Health, Inc. 450 Clarkson Avenue, 1232 Brooklyn, NY 11203 *To support the Arthur Ashe Institute for Urban Health CRC-HSC*	$557,000 2016	PC	$278,700
Bradley University 1501 W Bradley Ave Peoria, IL 61625 *To support the Clinical Research (CREST) Internships for High School Students and Undergraduate Mentors*	$367,000 2016	PC	$184,680
Charles Drew University of Medicine & Science 1731 E 120th St Los Angeles, CA 90059 *To support Project STRIDE (Students Training in Research Involving Disparity Elimination)*	$497,500 2016	PC	$248,900
Children's Hospital & Research Center at Oakland 747 52nd St Oakland, CA 94609 *To support the Children's Hospital Oakland Research Institute (CHORI) Summer Student Research Program*	$405,000 2016	PC	$202,600
Childrens Hospital Of Los Angeles 4650 Sunset Blvd Los Angeles, CA 90027 *To support The Samuels Family LA-HIP Clinical Research Continuum. High School to College*	$588,500 2016	PC	$297,925

PART XV, 3b - GRANTS APPROVED IN 2016 FOR FUTURE PAYMENT

Recipient and/or Purpose	Total Grant	Tax Status	Approved for Future Payment
Children's Hospital of Philadelphia 34th and Civic Center Blvd. Philadelphia, PA 19104 *Producing highly active lymphocytes for aadoptive immunotherapy*	$495,000 2016	PC	$247,500
Children's Hospital of Philadelphia 34th and Civic Center Blvd. Philadelphia, PA 19104 *A randomized clinical trial of intranasal oxytocin to promote weight loss in chidlren and adolescents with hypothalamic obesity syndrome*	$495,000 2016	PC	$247,500
City Of Hope 1500 East Duarte Road Duarte, CA 91010 *Effect of DNA demethylation therapy on the Wnt pathway in lung cancer stem cells*	$495,000 2016	PC	$247,500
Duke University 324 Blackwell St Ste 850 Durham, NC 27701-3659 *Healthcare Disparities and Variation in Care of Patiences with Peripheral Artery Disease at Risk for Lower Extremity Amputation and Poor Cardiovascular Outcomes*	$495,000 2016	PC	$247,500
Duke University 324 Blackwell St Ste 850 Durham, NC 27701-3659 *International Clinical Research Fellowships for Medical Students*	$462,000 2016	PC	$231,000
President and Fellows of Harvard College 50 Church Street, 4th Floor Cambridge, MA 02138 *Using pathogen genomics and patient data to define determinants of persistent MRSA colonization and of success of decolonization*	$495,000 2016	PC	$247,500
President and Fellows of Harvard College 50 Church Street, 4th Floor Cambridge, MA 02138 *International Clinical Research Fellowships for Medical Students*	$462,000 2016	PC	$462,000

PART XV, 3b - GRANTS APPROVED IN 2016 FOR FUTURE PAYMENT

Recipient and/or Purpose	Total Grant	Tax Status	Approved for Future Payment
Indiana University 509 E 3rd St. Indianapolis, IN 46634 *To support a study to optimize hydroxyurea therapy in children with sickle cell anemia in Uganda*	$200,000 2016	PC	$150,000
General Hospital Corporation dba Massachusetts General Hospital 399 Revolution Dr Ste 645 Somerville, MA 02145-1465 *Skeletal Fragility and Fracture Risk after Bariatric Surgery*	$495,000 2016	PC	$247,500
General Hospital Corporation dba Massachusetts General Hospital 399 Revolution Dr Ste 645 Somerville, MA 02145-1465 *Adherence to periconception HIV risk-reduction among HIV-exposed uninfected women in rural Uganda*	$495,000 2016	PC	$247,500
Northwestern University 1801 Maple Avenue, Suite 2410 Chicago, IL 60611 *Identification of the genetic basis of altered T cell receptor signaling in cutaneous T cell lymphoma*	$270,000 2016	PC	$135,000
Research Foundation for Mental Hygiene, Inc. 722 W 168th St #310 New York, NY 10032 *Dopamine Transmission in the Psychosis Prodrome a [11C]--(+)--PHNO PET Study*	$495,000 2016	PC	$247,500
The Board Of Trustees Of The Leland Stanford Jr. University Stanford, CA 94304-1234 *Statin-associated diabetes Identifying risk factors and physiologic mechanisms*	$495,000 2016	PC	$247,500
The Board Of Trustees Of The Leland Stanford Jr. University Stanford, CA 94304-1234 *Functional genetic characterization of a novel host determinant of Plasmodium falciparum malaria*	$495,000 2016	PC	$247,500
The Board Of Trustees Of The Leland Stanford Jr. University Stanford, CA 94304-1234 *To support the Stanford SIMR Program-Clinical Research Program*	$304,000 2016	PC	$152,200

PART XV, 3b - GRANTS APPROVED IN 2016 FOR FUTURE PAYMENT

Recipient and/or Purpose	Total Grant	Tax Status	Approved for Future Payment
Regents University of California Los Angeles 10920 Wilshire Blvd Ste 620 Los Angeles, CA 90024-6505 *Direct Comparison of Gene Therapy Approaches to treating Sickle Cell Disease*	$54,000 2016	PC	$54,000
University Of California San Francisco 505 Parnassus Ave M696 San Francisco, CA 94143-0000 *Measuring and Modeling Effects of Inter-Individual Protein-Level Buffering on Phenotypic Penetrance of DNA Copy Number Variants*	$495,000 2016	PC	$247,500
University Of California San Francisco 505 Parnassus Ave M696 San Francisco, CA 94143-0000 *International Clinical Research Fellowships for Medical Students Fellowships for Medical Students*	$462,000 2016	PC	$462,000
Regents Of The University Of Michigan Office of Contract Administration 5000 Wolverine Tower Ann Arbor, MI 48109-1276 *Defining the epigenetic landscape of pediatric posterior fossa ependymomas.*	$495,000 2016	PC	$247,500
University of Minnesota Foundation 210 Oak Street, SE Suite 500 Minneapolis, MN 55455-2010 *International Clinical Research Fellowships for Medical Students*	$462,000 2016	PC	$231,000
University of North Carolina at Chapel Hill 134 East Franklin Street, Campus Box 3233 Chapel Hill, NC 27599 *International Clinical Research Fellowships for Medical Students*	$462,000 2016	PC	$462,000
Trustees Of The University Of Pennsylvania 3451 Walnut Street Philadelphia, PA 19104-6205 *Using Social Incentives and Patient-Generated Health Data to Change Health Behaviors and Improve Glycemic Control Among Type 2 Diabetics*	$495,000 2016	PC	$177,027

PART XV, 3b - GRANTS APPROVED IN 2016 FOR FUTURE PAYMENT

Recipient and/or Purpose	Total Grant	Tax Status	Approved for Future Payment
University Of Pittsburgh/School of Medicine Scaife Hall 3550 Terrace Street Pittsburgh, PA 15261 *To support the University of Pittsburgh Cancer Institute and Doris Duke Charitable Foundation Academy* *Charitable Foundation Academy*	$506,000 2016	PC	$253,000
University of Utah 1395 East Presidents Circle Room 190 Salt Lake City, UT 84112 *Protein Kinase C as a Target for Reactivation of Latent HIV-1*	$495,000 2016	PC	$247,500
University of Washington Foundation 407 Gerberding Hall Seattle, WA 98195-0001 *T Cell Memory to Mycobacterial Lipids*	$495,000 2016	PC	$247,500
University of Wisconsin Foundation 1848 University Ave Madison, WI 53726-4090 *To support the University of Wisconsin Surgery Clinical Research Experiences for Underrepresented Students*	$551,500 2016	PC	$275,800
Washington University 700 Rosedale Ave Box 1000 St Louis, MO 63112-1408 *Immune Regulation of Atopic Dermatitis and Itch*	$495,000 2016	PC	$247,500
Yale University Po Box 208356 New Haven, CT 06520-8356 *International Clinical Research Fellowships for Medical Students* *Fellowships for Medical Students*	$462,000 2016	PC	$326,429
TOTAL Medical Research			**$8,296,761**
MEDIA Fund			
Center for Cultural and Technical Interchange Between East and West, Inc. 1601 East-West Road Honolulu, HI 96848-1601 *To support the 2016-18 Senior Journalists Seminar*	$150,000	PC	$87,500
TOTAL Media Fund			**$87,500**
GRAND TOTAL			**$44,655,802**

Doris Duke Charitable Foundation
Form 990-PF - Return of Private Foundation
FYE: 12/31/2016
Direct Charitable Activities
Attachment 17

	2016
FUND FOR NATIONAL PROJECTS MEETING	$10,205
GRANTMAKERS IN THE ARTS MEETING 8/4-8/5/2016	$2,048
ARTS-NATIONAL SECTOR MEETING NY 9/20/16	$34,879
GRANTMAKERS IN THE ARTS	$2,500
8TH ANNUAL GRANTEE MEETING 11/14 - 15 VANCOUVER	$63,342
AHI 2 LAUNCH MEETING MARCH 2016	$1,576
AHI 2.0 REVIEW MEETING JOHANNESBURG 2/18-19/16	$12,813
AHI SITE VISITS	$13,320
ARTS PROGRAM SITE VISITS	$1,609
BUILDING DEMANDS CONVENING-OCT 13-14 2016 DFF	$66,603
CHILD WELL-BEING ADIVSORS MEETING 6/1/16 NYC	$11,472
DD CONSERVATION SCHOLAR PLANNING & LEADERSHIP MTGS	$46,157
ENVIRONMENT PROGRAM SITE VISITS	$13,992
CLINICAL RESEARCH MENTORSHIP MEETING 2016	$2,716
CLINICAL SCIENTIST MEETING 10/17-18/2016	$67,571
HRA MEETING 3/31/-4-1 - SHARE W/NY STEM CELL	$40,014
2016 INTERNATIONAL CLINICAL RESEARCH FELLOWSHIP	$25,625
2016 SCIENTIFIC ADVISORY COUNCIL	$23,235
SICKLE CELL DISEASE ADVISORY PANEL MEETING	$5,399
CLINICAL RESEARCH MENTORSHIP MEETING- MAY 23 2017	$4,662
MEDICAL RESEARCH PROGRAM SITE VISITS	$1,720
Total	**$451,457**

Doris Duke Charitable Foundation
Form 990-PF
Part IX-A: Summary of Direct Charitable Activities
FYE: 12/31/2016

Environment Activities

Doris Duke was a lifelong environmentalist with a keen interest in conservation. In her will, she expressed her interest in "the preservation of wildlife, both flora and fauna" and in supporting "ecological endeavors."

The mission of the Environment Program is to enable communities to protect and manage wildlife habitat and create efficient built environments. It supports environmental initiatives and partners with other tax-exempt organizations, such as The Nature Conservancy, in the pursuit of land conservation, and strives to accomplish its mission through the following strategies:

- Accelerate land conservation in an era of climate change through the protection of landscapes that exhibit climate change resilience and help wildlife adapt to the impacts of climate change.

- Reduce impacts on the landscape from increased energy development and energy demand by facilitating the sensitive siting of energy infrastructure and reducing energy demand through increasing energy efficiency in the buildings sector.

- Encourage land stewardship and sustainability in the Tri-State area by supporting local efforts to manage wildlife habitat and create efficient, healthy, and vibrant built and natural environments in the greater New York City area.

- Strengthen the conservation field by diversifying and increasing the representation of under-represented communities in the conservation workforce. Build the overall capacity of the community focused on the permanent protection of high-priority wildlife habitat.

Medical Research Activities

Doris Duke was a significant supporter of medical research, making contributions to hospitals and research centers throughout her life. In her will, she expressed her interest in supporting "medical research designed to effectuate cures of major diseases."

The mission of the Medical Research Program is to support the prevention, diagnosis and treatment of human disease. The Medical Research Program strives to accomplish its mission through the following strategies·

- Encourage and develop clinical research careers that build the clinical research career ladder by supporting physician scientists in the United States at different stages of their career

- Advance biomedical research and innovation through the support of innovative approaches to clinical research in targeted disease areas.

African Health Activities

The foundation also seeks to spur significant advances in strengthening health systems in sub-Saharan Africa through a special 10-year grant-making initiative. The African Health Initiative supports partnerships based at

- Build the national sector by supporting activities that strengthen national organizations critical to the health of dance, jazz, presenting and/or theater fields, as well as national projects that have the potential to improve the health of a given field.

- Empower, invest in and celebrate artists by offering flexible, multi-year and unrestricted funding for artists in contemporary dance, theater, jazz and related interdisciplinary work.

***

The $451,457 in direct charitable expenditures listed in Part IX-A represent amounts paid by the Doris Duke Charitable Foundation to support various meetings, conferences, seminars and other climate, conservation and/or medical research endeavours. Further information about each individual program listed in the attached schedule is available upon request.

agreement and award letter and verified that all grant documentation is in order, the Director of Grants Managements notifies the President via e-mail that the grant is ready for approval and requests the President's approval to affix his signature (jpeg) to the agreement and award letter.

After the President replies that the grants are approved and his jpeg signature may be used, the approval e-mail is stored in the GIFTS grant record and the agreement is sent to the grantee organization for electronic signature using Adobe's EchoSign product. The grant agreement specifies the total funds awarded, payment schedule, use of the funds, performance and financial reporting requirements, and various other disclosures regarding DDCF's rights (for example, to publicly announce the grant). If the grantee organization agrees to the terms of the agreement, an authorized representative of the organization will sign electronically and return the agreement to the DDCF via EchoSign. When the fully executed grant agreement is received by DDCF, the first grant payment is initiated by Grants Administration and forwarded to Finance for processing.

Grant Payment Process

Once the Grants Manager has determined that all requirements for a grant payment have been met, the grant payment process is initiated

The Grants Associate confirms that ACH instructions are still correct and updates the GIFTS record if necessary. Then, Grants Administration exports the grantee data from GIFTS to an Excel spreadsheet which is emailed to the Accounting Manager for upload to Great Plains (GP). The information is uploaded into GP where it is reviewed and approved as per the signing authority below. Once the approvals have been made, an ACH encrypted file is generated and transmitted to Bank of America via a secured transmission website. The transmission is then verified with the bank via a phone call to an ACH automated phone system. Once the payment has been sent, the grantee and appropriate program staff are alerted by email that the ACH has been executed.

Grant signing authority requirements:

Grant Payment Amount	Requirements	Signatories
between $0 and $9,999.99	Require any one signer listed	Peter Simmons Eileen Oberlander Edward Henry Jeffrey Heil
between $10,000 and $99,999.99	Require any two signers listed	Peter Simmons Eileen Oberlander Edward Henry Jeffrey Heil
Equal to or over $100,000	Require two of the following individuals	Peter Simmons Edward Henry Eileen Oberlander

Grant Monitoring

Within each grant agreement, the grantee agrees to provide DDCF with certain interim and final financial and program performance information. The time period for this submission varies from grant to grant. To ensure that the DDCF funds are being used in accordance with the grant agreement, the grantee is required to submit the following information:

Cross-Program Fund grant and Program Fund grants that total more than $50,000 are discussed at a Staff Program Review meeting prior to approval. Finally, in 2016, eight Trustees recommended grants totaling $412,500; the grant purpose and organizations are vetted by staff and approved by the Board Chair prior to grant award.

Grants solicited by DDCF Staff. Edward Henry (President) is actively involved in the entire grants cycle. Prior to performing all the research on a potential grantee, the Program Director discusses the organization or proposed project with Mr. Henry Based on this discussion, the research will begin or the project will be set aside.

Once a completed grant application is returned to DDCF, the Program Director and staff members review it to ensure that the organization meets DDCF requirements and that the program description is in line with DDCF's mission and program objectives. The application is also reviewed by the Program Associate for Grants Administration to ensure that all the proper documentation has been received and the organization is a qualified not-for-profit. Each proposed grant is entered into GIFTS, DDCF's grants management database.

Once the submitted application has been reviewed and approved, the Program Director compiles a docket for presentation to the Board of Directors that describes the potential grantee organization and its proposed use of funds. Prior to the Board meeting, the Program Director presents the information to senior management and program staff at a Program Review session and at a "practice" session for the Board meeting. During the meeting, the senior staff critiques the written summary and the presentation, and appropriate changes are made, as necessary.

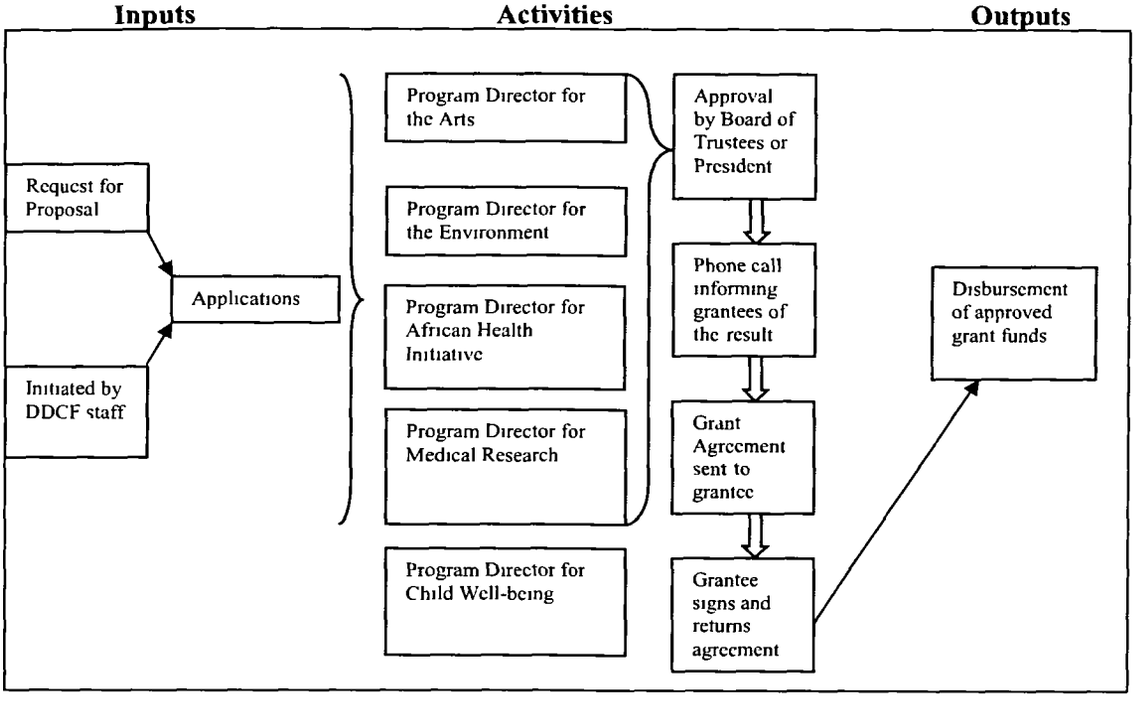
At the next Board meeting, the docket will be presented to the Board for their approval. The Board's decisions regarding proposed grants are documented in the Board of Director Minutes. After a grant has been approved by the board, Grants Administration staff records the grant as "Approved" in GIFTS and the GIFTS system assigns a grant number. Grants Administration sends a monthly report detailing grants approved to Finance and the Accounting Manager prepares a journal entry to record the liability.

Grants awarded through competitive Requests for Proposals. Once the Board approves funds for a grant competition and delegates authority to approve specific grants that will be identified through that competition to the DDCF President, program staff release a Request for Proposals (RFP). The RFP specifies the purpose of the funding available through the award program, the timeline for application, the selection process, and expectations of projects selected. Competitions may involve one or two stages of application (i e., letter of interest followed by a full proposal or just a full proposal) with staff and/or panel review at the first stage and panel review at the second stage. Applications are brought into GIFTS via on-line forms. Review panels score applications in accordance with the criteria specific to the grant program and recommend a roster of grants to DDCF. With authority delegated from the Board, the President approves grants to the projects selected via the competition. Grants Administration staff conducts a standard pre-grant agreement due diligence of each institution approved for funding.

Grant Award

Once the Board or President approves a grant, the appropriate DDCF program staff member notifies the organization by telephone. The Program Associate for Grants Administration generates a draft grant agreement using the appropriate GIFTS template (grant agreement templates are stored in GIFTS and merged with the GIFTS grant data to create the grant agreement). The Program Director and Director of Grants Management review and refine the grant agreement until they are satisfied that it is complete and includes terms that are appropriate for the funded project. Once the Director of Grants Management has approved the grant

Doris Duke Charitable Foundation
Grants Process
December 31, 2016



The mission of the Doris Duke Charitable Foundation (DDCF) is to improve the quality of people's lives through grants supporting the performing arts, environmental conservation, medical research and child well-being, and through preservation of the cultural and environmental legacy of Doris Duke's properties.

Grant Development and Approval

Grants are either competitively awarded through Request for Proposal (RFP) processes or are initiated by the DDCF staff. Grants and proposed grant competitions are presented to the Board of Trustees at one of the four meetings that take place during the year. All grants must be approved by the Board of Trustees with the exception of the Program Fund, Cross-Program Fund, Trustee recommended grants, and grants selected through competitive, peer-reviewed program initiatives. In these cases, the Board approves a total amount for the program initiative or fund and delegates to DDCF's President Edward Henry authority to approve grants. Authority for Program Fund and Cross-Program Fund grants is sought from the Board each November for the following year. Program Fund and Cross-Program Fund grants must be for purposes that are in keeping with DDCF's mission; the amount of these grants is limited both on a per-grant basis ($100,000 or less) and cumulative annual basis for each program (up to 5% of each Program's annual payout budget may be awarded as Program Fund grants). Additionally, the Board authorized the President to approve up to $500,000 in Program Fund grants for the African Health Initiative (with individual grants totaling no more than $100,000), with funding to come from the initiative's annual grants budget. All Program Fund and Cross-Program Fund grants are developed by staff, undergo routine due diligence review, and are approved by the President.

ATTACHMENT 19

Doris Duke Charitable Foundation
Form 990-PF
FYE 12/31/2016

Form 990-PF, Part I, Line 6(a) & Part IV Statement
Attachment 20

Asset Class	Realized Gains
Short Term Investments	15,692
Fixed Income Investments	475,775
Marketable Equity Alternative Investments	(106,070)
Marketable Commingled Equity Investments	(2,281,434)
Marketable Distressed/High Yield Alternatives	(104,767)
Marketable Long/Short Equity Alternatives	(6,573,425)
Marketable Multi-Strategy Alternatives	47,845,931
Non-Exchange traded Buyout/Growth Funds	27,740,552
Non-Exchange Traded Distressed Funds	301,997
Non-Exchange Traded Fund of Funds	11,027,522
Non-Exchange Traded Real Assets	6,817,911
Non-Exchange TradedVenture Capital Funds	8,158,657
Allocation to Doris Duke Foundation (DDF)	(222,091)
Capital Gains/Losses Per Books - Part I, Line 6a	**$93,096,250**
Limited Partnership Investments	(21,845,977)
Less Short-Term Capital Gain from UBTI	(235,074)
Less Long-Term Capital Gain from UBTI	(158,082)
Less: 1231 Gain from UBTI	(745,543)
Total Capital Gains - Part I, Line 7b	**$70,111,574**

A detailed schedule of the Foundation's capital gains and losses by specific funds held is available on request