

EXTENDED TO MAY 16, 2016

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

OMB No 1545-0052

2014

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

For calendar year 2014 or tax year beginning JUL 1, 2014, and ending JUN 30, 2015

Name of foundation
FOUNDATION FOR DEEP ECOLOGY

Number and street (or P.O. box number if mail is not delivered to street address)
1606 UNION STREET

City or town, state or province, country, and ZIP or foreign postal code
SAN FRANCISCO, CA 94123

A Employer identification number
94-3106115

B Telephone number
(415) 229-9339

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply:
☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16)
\$ 41,476,208.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		605,324.	605,324.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		1,739,317.			STATEMENT 2
b Gross sales price for all assets on line 6a		16,107,876.			
7 Capital gain net income (from Part IV, line 2)			1,739,158.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		73,246.	73,246.		STATEMENT 3
12 Total. Add lines 1 through 11		2,417,887.	2,417,728.		
13 Compensation of officers, directors, trustees, etc.		147,783.	0.		147,783.
14 Other employee salaries and wages		380,529.	0.		380,529.
15 Pension plans, employee benefits		194,595.	0.		194,595.
16a Legal fees STMT 4		24,386.	0.		24,386.
b Accounting fees STMT 5		26,375.	3,030.		23,345.
c Other professional fees STMT 6		150,861.	150,861.		0.
17 Interest					
18 Taxes STMT 7		97,866.	300.		41,566.
19 Depreciation and depletion		8,441.	0.		
20 Occupancy		101,924.	0.		101,924.
21 Travel, conferences, and meetings		3,697.	0.		3,697.
22 Printing and publications					
23 Other expenses STMT 8		735,028.	76.		406,687.
24 Total operating and administrative expenses. Add lines 13 through 23		1,871,485.	154,267.		1,324,512.
25 Contributions, gifts, grants paid		465,576.			465,576.
26 Total expenses and disbursements. Add lines 24 and 25		2,337,061.	154,267.		1,790,088.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		80,826.			
b Net investment income (if negative, enter -0-)			2,263,461.		
c Adjusted net income (if negative, enter -0-)				N/A	

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LHA For Paperwork Reduction Act Notice, see instructions.

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Form 990-PF (2014)

13450330 146892 49293

2014.05091 FOUNDATION FOR DEEP ECOLO 49293__1

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		60,733.	58,653.	58,653.
	2	Savings and temporary cash investments		1,740,501.	1,570,478.	1,570,478.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock				
	c	Investments - corporate bonds				
	11	Investments - land, buildings, and equipment basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other	STMT 9	36,016,522.	36,580,818.	39,393,130.	
14	Land, buildings, and equipment basis ▶	153,735.				
	Less: accumulated depreciation	STMT 10 ▶	86,411.	44,113.	67,324.	
15	Other assets (describe ▶)	STATEMENT 11)	721,201.	386,623.	386,623.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		38,583,070.	38,663,896.	41,476,208.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted		38,583,070.	38,663,896.	
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances		38,583,070.	38,663,896.	
	31	Total liabilities and net assets/fund balances		38,583,070.	38,663,896.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	38,583,070.
2	Enter amount from Part I, line 27a	2	80,826.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	38,663,896.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	38,663,896.

Part IV Capital Gains and Losses for Tax on Investment Income**SEE ATTACHED STATEMENT**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 16,107,717.		14,368,559.	1,739,158.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			1,739,158.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,739,158.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	1,740,682.	41,890,818.	.041553
2012	2,136,937.	41,270,285.	.051779
2011	2,040,378.	44,316,054.	.046042
2010	1,617,029.	42,896,371.	.037696
2009	2,830,555.	41,532,949.	.068152

2 Total of line 1, column (d)	2	.245222
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.049044
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	42,590,849.
5 Multiply line 4 by line 3	5	2,088,826.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	22,635.
7 Add lines 5 and 6	7	2,111,461.
8 Enter qualifying distributions from Part XII, line 4	8	1,790,088.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	45,269.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	45,269.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	45,269.
6 Credits/Payments:			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a	56,118.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	56,118.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	10,849.	
11 Enter the amount of line 10 to be: Credited to 2015 estimated tax	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
4b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address **WWW.DEEPECOLOGY.ORG**

14 The books are in care of **ESTHER LI** Telephone no. **(415) 229-9339**
 Located at **1606 UNION STREET, SAN FRANCISCO, CA** ZIP+4 **94123**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year **15** **N/A**

16 At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? **16** **Yes** **No**
X

See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country **▶**

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ Yes ☒ No		
If "Yes," list the years ▶ _____ , _____ , _____ , _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ _____ , _____ , _____ , _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

X

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 12		118,125.	29,658.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
TOM BUTLER - C/O 1606 UNION STREET, SAN FRANCISCO, CA 94123	PROGRAM DIRECTOR 32.00	69,003.	35,316.	0.
HUI LI - C/O 1606 UNION STREET, SAN FRANCISCO, CA 94123	ACCOUNTANT 40.00	64,510.	36,378.	0.
NEIL LI - C/O 1606 UNION STREET, SAN FRANCISCO, CA 94123	ACCOUNTANT 40.00	63,077.	27,739.	0.
GEORGE WUERTHNER - C/O 1606 UNION STREET, SAN FRANCISCO, CA 94123	PROGRAM DIRECTOR 32.00	66,923.	22,994.	0.
MARITA ALBERT - C/O 1606 UNION STREET, SAN FRANCISCO, CA 94123	ACCOUNTANT 40.00	57,905.	27,977.	0.
Total number of other employees paid over \$50,000				0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 SEE STATEMENT 13	237,872.
2 SEE STATEMENT 14	17,846.
3 SEE STATEMENT 15	8,098.
4 SEE STATEMENT 16	44,375.

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
3 All other program-related investments. See instructions.	
Total. Add lines 1 through 3	0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	39,987,612.
b	Average of monthly cash balances	1b	2,076,905.
c	Fair market value of all other assets	1c	1,174,924.
d	Total (add lines 1a, b, and c)	1d	43,239,441.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	43,239,441.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	648,592.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	42,590,849.
6	Minimum investment return. Enter 5% of line 5	6	2,129,542.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,129,542.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	45,269.
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	45,269.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,084,273.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2,084,273.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,084,273.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,790,088.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,790,088.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,790,088.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				2,084,273.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009	424,421.			
b From 2010				
c From 2011				
d From 2012	93,355.			
e From 2013				
f Total of lines 3a through e	517,776.			
4 Qualifying distributions for 2014 from Part XII, line 4: ▶ \$ 1,790,088.				
a Applied to 2013, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				1,790,088.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)	294,185.			294,185.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	223,591.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	130,236.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	93,355.			
10 Analysis of line 9:				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012	93,355.			
d Excess from 2013				
e Excess from 2014				

Part XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
-----------------	--

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(i)(3) or ☐ 4942(i)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

- 3** Complete 3a, b, or c for the alternative test relied upon:

- a "Assets" alternative test - enter:**

(1) Value of all assets

(2) Value of assets qualifying under section 4942(i)(3)(B)(i)

- b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter:**

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 17

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include:

- c** Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHARITABLE CONTRIBUTIONS FROM PASS THROUGH ENTITY	NONE			1,125.
FUNDACION PUMALIN 299 KLENNER PUERTO VARAS, CHILE	NONE	NC	LAND PRESERVATION IN CHILE	100,000.
FUNDACION PUMALIN 299 KLENNER PUERTO VARAS, CHILE	NONE	NC	LAND PRESERVATION IN CHILE	30,000.
FUNDACION PUMALIN 299 KLENNER PUERTO VARAS, CHILE	NONE	NC	LAND PRESERVATION IN CHILE	32,000.
FUNDACION PUMALIN 299 KLENNER PUERTO VARAS, CHILE	NONE	NC	LAND PRESERVATION IN CHILE	29,000.
Total	SEE CONTINUATION SHEET(S)			465,576.
b <i>Approved for future payment</i>				
NONE				
Total				0.

Part XVII	Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations
-----------	---

- | 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | Yes | No |
|----------|--|-------|----|
| | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date 04/13/2016

▶ VICE PRESIDENT

May the IRS discuss this return with the preparer shown below (see instr. 1)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

LINDSEY PARNELL

Preparer's signature

Preparer's signature Jim Paull
LPP

Date _____

Date 4/1/16

Check ☐
self-employed

PTIN

P00546853

Firm's name ► **MOSS ADAMS LLP**

Firm's EIN ▶	91-0189318
--------------	------------

Firm's address ► 101 SECOND STREET SUITE 900
SAN FRANCISCO, CA 94105

Phone no. **415-956-1500**

FORM 990-PF

DIVIDENDS AND INTEREST FROM SECURITIES

STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BRANDY WINE GLOBAL - DIV	90,063.	10,141.	79,922.	79,922.	
COHEN & STEERS INFRA - DIV	15,498.	0.	15,498.	15,498.	
DELAWARE GLOBAL - DIV	32,076.	0.	32,076.	32,076.	
IVA WORLDWIDE - DIV	481,866.	335,119.	146,747.	146,747.	
IVY ASSET STRATEGY - DIV	803,236.	772,396.	30,840.	30,840.	
JPM STRATEGIC INCOME - DIV	43,330.	0.	43,330.	43,330.	
JPM STRATEGIC INCOME - INC	0.	0.	0.	0.	
LAP(CORE ALTERNATIVE) - DIV	92,353.	32,836.	59,517.	59,517.	
LAP(CORE ALTERNATIVE) - INT	23.	0.	23.	23.	
LEGACY VENTURE V (QP) - DIV	3,044.	0.	3,044.	3,044.	
LEGACY VENTURE V (QP) - INT	703.	0.	703.	703.	
MORGAN STANLEY SMITH BARNEY - DIV	15.	0.	15.	15.	
MORGAN STANLEY SMITH BARNEY - INT	403.	0.	403.	403.	
PIMCO ALL ASSET - DIV	133,843.	0.	133,843.	133,843.	
RS NATURAL RESOURCES - DIV	38,041.	34,332.	3,709.	3,709.	
RS NATURAL RESOURCES - INT	0.	0.	0.	0.	
SYMPHONY CREDIT OPPORT - DIV	58,699.	3,045.	55,654.	55,654.	
TO PART I, LINE 4	1,793,193.	1,187,869.	605,324.	605,324.	

STATEMENT 2

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
IVY ASSET STRATEGY			PURCHASED	VARIOUS	VARIOUS
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
4,176,751.	3,704,524.	0.	0.	472,227.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
IVA WORLDWIDE CI				PURCHASED	VARIOUS	VARIOUS
	4,916,702.	4,841,380.	0.	0.	75,322.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
DELAWARE FOCUS GLOBAL GROWTH				PURCHASED	12/27/13	03/23/15
	152,127.	143,627.	0.	0.	8,500.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
DELAWARE FOCUS GLOBAL GROWTH				PURCHASED	12/27/13	03/16/15
	575,000.	554,729.	0.	0.	20,271.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
CORE ALTERNATIVE PORTFOLIO				PURCHASED	VARIOUS	VARIOUS
	838,490.	843,842.	0.	0.	-5,352.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
CORE ALTERNATIVE PORTFOLIO				PURCHASED	VARIOUS	VARIOUS
	2,493,404.	2,519,027.	0.	0.	-25,623.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
SYMPHONY CREDIT OPPORTUNITIES	150,130.	153,835.	0.	0.	-3,705.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
RS NATURAL RESOURCES	413,781.	599,663.	0.	0.	-185,882.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
RS NATURAL RESOURCES	35,938.	38,041.	0.	0.	-2,103.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
SECURITIES LITIGATION SETTLEMENTS	7,425.	0.	0.	0.	7,425.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
NET GAIN FROM LEGACY VENTURE V (QP)	187,159.	0.	0.	0.	187,159.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
OFF. FURN.-FORT CRONKHITE	159.	363.	0.	363.	159.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
OFFICE EQUIPMENT	0.	14,700.	0.	14,700.	0.

NET GAIN OR LOSS FROM SALE OF ASSETS	551,448.
CAPITAL GAINS DIVIDENDS FROM PART IV	1,187,869.
TOTAL TO FORM 990-PF, PART I, LINE 6A	1,739,317.

FORM 990-PF	OTHER INCOME	STATEMENT 3
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME
LEGACY VENTURE V (QP)	-5,534.	-5,534.
RT SOLUTIONS PRI LOAN INTEREST	78,780.	78,780.
TOTAL TO FORM 990-PF, PART I, LINE 11	73,246.	73,246.

FORM 990-PF

LEGAL FEES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
GREENE, RADOVSKY, MALONEY & SHARE	734.	0.		734.
ADLER & COLVIN	1,437.	0.		1,437.
BRYAN CAVE	22,215.	0.		22,215.
TO FM 990-PF, PG 1, LN 16A	24,386.	0.		24,386.

FORM 990-PF

ACCOUNTING FEES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MOSS ADAMS LLP	26,375.	3,030.		23,345.
TO FORM 990-PF, PG 1, LN 16B	26,375.	3,030.		23,345.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
VAIKE BRODERICK	3,251.	3,251.		0.
MORGAN STANLEY SMITH BARNEY	1,158.	1,158.		0.
IVY ASSET STRATEGY - INV EXP	15,610.	15,610.		0.
LEGACY VENTURE V (QP) INV EXP	52,540.	52,540.		0.
JP MORGAN STRATEGIC INC - INV EXP	5,159.	5,159.		0.
DOUBLELINE TOTAL RETURN - INV EXP	0.	0.		0.
WORLDWIDE - INV EXP	19,754.	19,754.		0.
BRANDYWINE GLOBAL FIXED - INV EXP	4,538.	4,538.		0.
ABBAY CAPITAL - INV EXP	0.	0.		0.
DELAWARE GLOBAL - INV EXP	12,312.	12,312.		0.
LAZARD EMERGING INCOME INV EXP	3,154.	3,154.		0.
PIMCO ALL ASSET AUTHORITY INV EXP	6,485.	6,485.		0.
POINTER HFOF INV EXP	10,161.	10,161.		0.
SCS OPPORTUNITIES FUND INV EXP	4,835.	4,835.		0.
J.P. MORGAN (SUISSE)	2,453.	2,453.		0.
COHEN & STEERS INFRA INV EXP	1,975.	1,975.		0.
LAP (CORE ALTERNATIVE) INV EXP	3,653.	3,653.		0.
RS NATURAL RESOURCES INV EXP	1,054.	1,054.		0.
SYMPHONY CREDIT OPPORT INV EXP	2,247.	2,247.		0.
MILLENIUM STRATEGIC CAPITAL INV EXP	522.	522.		0.
TO FORM 990-PF, PG 1, LN 16C	150,861.	150,861.		0.

FORM 990-PF

TAXES

STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAXES	56,000.	0.		0.
LEGACY VENTURE V(QP), LLC K-1	300.	300.		0.
PAYROLL TAXES	41,566.	0.		41,566.
TO FORM 990-PF, PG 1, LN 18	97,866.	300.		41,566.

FORM 990-PF

OTHER EXPENSES

STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DUES & SUBSCRIPTIONS	1,387.	0.		1,387.
TELEPHONE	6,270.	0.		6,270.
POSTAGE AND DELIVERY	1,267.	0.		1,267.
OFFICE SUPPLIES	4,441.	0.		4,441.
MISCELLANEOUS	479.	76.		403.
REPAIRS AND MAINTENANCE	7,262.	0.		7,262.
OUTSIDE SERVICES	22,763.	0.		22,763.
EQUIPMENT RENTAL	4,137.	0.		4,137.
BOOK PROJECTS	336,394.	0.		336,394.
BOOK/TAX DIFFERENCE - NON DEDUCTIBLE EXP	15.	0.		0.
STORAGE	3,169.	0.		3,169.
UTILITIES	1,910.	0.		1,910.
MOVING EXPENSES	1,242.	0.		1,242.
GRANT ADMINISTRATION FEES	6,500.	0.		6,500.
PRI LOAN WRITE OFF	328,250.	0.		0.
AMORTIZATION	9,542.	0.		9,542.
TO FORM 990-PF, PG 1, LN 23	735,028.	76.		406,687.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 9

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
POINTER OFFSHORE, LLC	COST	2,464,512.	4,335,019.
LEGACY VENTURE V, LLC	COST	1,228,331.	2,083,793.
BRANDY WINE GLOBAL FIXED	COST	1,937,992.	1,829,524.
PIMCO ALL ASSET	COST	2,958,222.	2,501,231.
IVY ASSET STRATEGY	COST	10,055,863.	10,029,512.
LAZARD EMERGING INCOME	COST	1,192,670.	1,200,969.
SCS OPPORTUNITIES FUND	COST	1,195,965.	1,981,710.
JP MORGAN STRATEGIC INCOME	COST	1,901,527.	1,850,361.
IVA WORLDWIDE	COST	4,336,923.	4,440,992.
JP MORGAN (SUISSE)-GOLD	COST	1,573,218.	1,174,925.
DELAWARE GLOBAL	COST	4,333,055.	4,549,492.
LAP (CORE ALTERNATIVE PORTFOLIO)	COST	0.	0.
SYMPHONY CREDIT OPPORTUNITIES	COST	1,080,726.	1,023,508.
COHEN & STEERS INFRASTRUCTURE	COST	1,071,814.	1,110,964.
RS NATURAL RESOURCES	COST	0.	0.
JP MORGAN GLOBEOP MILLENIUM	COST	1,250,000.	1,281,130.
TOTAL TO FORM 990-PF, PART II, LINE 13		36,580,818.	39,393,130.

FORM 990-PF

DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT

STATEMENT 10

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
OFFICE FURNITURE - FT.			
CRONKHITE	64,839.	64,839.	0.
OFFICE EQUIPT	20,067.	13,255.	6,812.
COMPUTER	1,197.	1,197.	0.
MICROSOFT OFFICE 2010	189.	96.	93.
OFFICE EQUIPMENT - TELEPHONE	2,687.	717.	1,970.
FURNITURE & APPL. - 1606 UNION	39,607.	5,448.	34,159.
LEASEHOLD IMPROVEMENT - 1606 UNION	25,149.	859.	24,290.
TOTAL TO FM 990-PF, PART II, LN 14	153,735.	86,411.	67,324.

FORM 990-PF

OTHER ASSETS

STATEMENT 11

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
LOAN COSTS (NET OF AMORTIZATION)	44,701.	38,373.	38,373.
PROGRAM RELATED INVESTMENT LOAN	656,500.	328,250.	328,250.
SECURITY DEPOSIT (1606 UNION ST)	20,000.	20,000.	20,000.
TO FORM 990-PF, PART II, LINE 15	721,201.	386,623.	386,623.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 12

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
DOUGLAS R. TOMPKINS C/O 1606 UNION STREET SAN FRANCISCO, CA 94123	DIR/PRESIDENT 5.00	0.	0.	0.
ESTHER LI C/O 1606 UNION STREET SAN FRANCISCO, CA 94123	VICE PRESIDENT 30.00	118,125.	29,658.	0.
DEBRA B. RYKER C/O 1606 UNION STREET SAN FRANCISCO, CA 94123	DIR/SEC/TREA 10.00	0.	0.	0.
KRISTINE M. TOMPKINS C/O 1606 UNION STREET SAN FRANCISCO, CA 94123	DIRECTOR 2.00	0.	0.	0.
QUINCEY T. IMHOFF C/O 1606 UNION STREET SAN FRANCISCO, CA 94123	DIRECTOR 2.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		118,125.	29,658.	0.

FORM 990-PF

SUMMARY OF DIRECT CHARITABLE ACTIVITIES

STATEMENT 13

ACTIVITY ONE

FUNDING FOR A BOOK PRODUCTION TO EDUCATE THE PUBLIC ON THE WAY THE EARTH HAS BEEN TRANSFORMED BY HUMAN ACTIVITY AND THE DEMOGRAPHIC EXPLOSION THAT IS CURRENTLY UNDERWAY AS HUMAN POPULATION GROWTH AND CONCOMITANT RESOURCE USE ARE THE MAJOR DRIVERS OF THE GLOBAL EXTINCTION CRISIS.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 1

237,872.

FORM 990-PF

SUMMARY OF DIRECT CHARITABLE ACTIVITIES

STATEMENT 14

ACTIVITY TWO

FUNDING FOR A BOOK PRODUCTION TO EDUCATE THE PUBLIC ON THE IMPACTS OF WAR. THE PERPETUAL PREPARATIONS FOR WAR USE MASSIVE AMOUNTS OF ENERGY, CAPITAL AND RESOURCES THAT MIGHT BE DEPLOYED TOWARD SOCIALLY USEFUL ENDS, BUT ALSO PRODUCES MASSIVE AMOUNTS OF TOXIC POLLUTIONS. THIS BOOK WILL EXPLORE THOSE IMPACTS.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 2

17,846.

FORM 990-PF

SUMMARY OF DIRECT CHARITABLE ACTIVITIES

STATEMENT 15

ACTIVITY THREE

BIODIVERSITY - FUNDING FOR BOOK PRODUCTION TO EDUCATE THE PUBLIC ON THE MULTIPLE ENVIRONMENTAL AND ECONOMIC IMPACTS OF ENERGY DEVELOPMENT - THOSE ASSOCIATED WITH FOSSIL FUELS AND OTHER ALTERNATIVE ENERGY SOURCES.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 3

8,098.

FORM 990-PF

SUMMARY OF DIRECT CHARITABLE ACTIVITIES

STATEMENT 16

ACTIVITY FOUR

FUNDING FOR A BOOK PRODUCTION TO INFORM THE PUBLIC OF
DIFFERENT IDEAS FOR THE FUTURE OF CONSERVATION. THE BOOK
PROVIDES VIEWPOINTS AGAINST THE DOMESTICATION OF THE PLANET
EARTH.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 4

44,375.

FORM 990-PF

PART XV - LINE 1A
LIST OF FOUNDATION MANAGERS

STATEMENT 17

NAME OF MANAGER

DOUGLAS R. TOMPKINS
KRISTINE M. TOMPKINS

FOUNDATION FOR DEEP ECOLOGY

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BRANDYWINE GLOBAL FIXED	P	02/17/11	03/23/15
b	JPM STRATEGIC INCOME	P	02/17/11	03/16/15
c	IVY ASSET STRATEGY	P	12/11/14	03/17/15
d	IVY ASSET STRATEGY	P	VARIOUS	VARIOUS
e	IVA WORLDWIDE CI	P	VARIOUS	VARIOUS
f	DELAWARE FOCUS GLOBAL GROWTH	P	12/27/13	03/23/15
g	DELAWARE FOCUS GLOBAL GROWTH	P	12/27/13	03/16/15
h	CORE ALTERNATIVE PORTFOLIO	P	VARIOUS	VARIOUS
i	CORE ALTERNATIVE PORTFOLIO	P	VARIOUS	VARIOUS
j	SYMPHONY CREDIT OPPORTUNITIES	P	02/17/11	03/20/15
k	RS NATURAL RESOURCES	P	01/09/14	03/17/15
l	RS NATURAL RESOURCES	P	12/18/14	03/17/15
m	SECURITIES LITIGATION SETTLEMENTS	P	VARIOUS	VARIOUS
n	NET GAIN FROM LEGACY VENTURE V (QP)	P	VARIOUS	VARIOUS
o	CAPITAL GAINS DIVIDENDS			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 110,897.		113,634.	-2,737.
b 51,700.		53,021.	-1,321.
c 810,344.		803,236.	7,108.
d 4,176,751.		3,704,524.	472,227.
e 4,916,702.		4,841,380.	75,322.
f 152,127.		143,627.	8,500.
g 575,000.		554,729.	20,271.
h 838,490.		843,842.	-5,352.
i 2,493,404.		2,519,027.	-25,623.
j 150,130.		153,835.	-3,705.
k 413,781.		599,663.	-185,882.
l 35,938.		38,041.	-2,103.
m 7,425.			7,425.
n 187,159.			187,159.
o 1,187,869.			1,187,869.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-2,737.
b			-1,321.
c			7,108.
d			472,227.
e			75,322.
f			8,500.
g			20,271.
h			-5,352.
i			-25,623.
j			-3,705.
k			-185,882.
l			-2,103.
m			7,425.
n			187,159.
o			1,187,869.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	1,739,158.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FUNDACION PUMALIN 299 KLENNER PUERTO VARAS, CHILE	NONE	NC	LAND PRESERVATION IN CHILE	9,304.
TIDES FOUNDATION P.O. BOX 29903 SAN FRANCISCO, CA 94129-0903	NONE	PC	GENERAL FUNDING/SUPPORT	25,470.
TIDES FOUNDATION P.O. BOX 29903 SAN FRANCISCO, CA 94129-0903	NONE	PC	GENERAL FUNDING/SUPPORT	24,507.
TIDES FOUNDATION P.O. BOX 29903 SAN FRANCISCO, CA 94129-0903	NONE	PC	GENERAL FUNDING/SUPPORT	20,400.
TIDES FOUNDATION P.O. BOX 29903 SAN FRANCISCO, CA 94129-0903	NONE	PC	GENERAL FUNDING/SUPPORT	21,305.
TIDES FOUNDATION P.O. BOX 29903 SAN FRANCISCO, CA 94129-0903	NONE	PC	GENERAL FUNDING/SUPPORT	15,320.
TIDES FOUNDATION P.O. BOX 29903 SAN FRANCISCO, CA 94129-0903	NONE	PC	GENERAL FUNDING/SUPPORT	35,625.
TIDES FOUNDATION P.O. BOX 29903 SAN FRANCISCO, CA 94129-0903	NONE	PC	GENERAL FUNDING/SUPPORT	7,710.
TIDES FOUNDATION P.O. BOX 29903 SAN FRANCISCO, CA 94129-0903	NONE	PC	GENERAL FUNDING/SUPPORT	13,810.
CENTER FOR ECOLITERACY 2150 ALLSTON WAY, STE. 270 BERKELEY, CA 94707-1377	NONE	PC	GENERAL FUNDING/SUPPORT	100,000.
Total from continuation sheets				273,451.

Foundation for Deep Ecology
2014 Form 990-PF
Part VII B, Question 5(c)

Substantiation of Expenditure Responsibility:

Recipient: Fundacion Pumalin

Address: Klenner 299
Puerto Varas X, Chile

Date paid: See list below for various payment dates

Amount: See list below for various payment amounts

Purpose: Payment of administration expenses for land held for preservation in Chile.

Amounts expended by recipient: First report is due on March 31, 2015 to the Foundation hasn't received yet.

Diversion of funds: The Foundation has no knowledge that any part of the investment has been used for other than its intended purpose.

Date of reports from recipient: First report is due on March 31, 2015 to the Foundation hasn't received yet.

Payment list:

<u>Date Paid</u>	<u>Amount</u>	<u>Date Paid</u>	<u>Amount</u>
11-05-14	\$ 100,000.00		

Foundation for Deep Ecology
2014 Form 990-PF
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Substantiation of Expenditure Responsibility:

Recipient: Fundacion Pumalin

Address: Klenner 299
Puerto Varas X, Chile

Date paid: See list below for various payment dates

Amount: See list below for various payment amounts

Purpose: Payment of administration expenses for land held for preservation in Chile.

Amounts expended by recipient: First report is due on March 31, 2016 to the Foundation.

Diversion of funds: The Foundation has no knowledge that any part of the investment has been used for other than its intended purpose.

Date of reports from recipient: First report is due on March 31, 2016 to the Foundation.

Payment list:

<u>Date Paid</u>	<u>Amount</u>	<u>Date Paid</u>	<u>Amount</u>
01-22-15	\$ 30,000.00	04-21-15	\$ 32,000.00
05-13-15	\$ 29,000.00	06-13-15	\$ 9,304.00

Foundation for Deep Ecology
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Substantiation of Expenditure Responsibility:

Recipient: Fundacion Pumalin

Address: Klenner 299
Puerto Varas X, Chile

Date paid: See list below for various payment dates

Amount: See list below for various payment amounts

Purpose: Payment of administration expenses for land held for preservation in Chile.

Amounts expended by recipient: **Final-April 7, 2014.**

Diversion of funds: The Foundation has no knowledge that any part of the investment has been used for other than its intended purpose.

Date of reports from recipient: **Final-April 7, 2014.**

Verification of reports: No verification has been undertaken as the Foundation has no reason to doubt the accuracy or reliability of the grantee's reports.

Payment list:

<u>Date Paid</u>	<u>Amount</u>	<u>Date Paid</u>	<u>Amount</u>
01-16-13	\$ 64,000.00	02-06-13	\$ 76,000.00
04-23-13	\$ 71,400.00	05-08-13	\$ 59,000.00
07-09-13	\$ 74,000.00		

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Information relating to program-related investments subject to expenditure responsibility:

Recipient:	R T Solutions, LLC
Address:	32 Heritage Drive Geneseo, NY 14454
Date paid:	December 15, 2009
Amount:	\$656,500
Purpose:	To fund the development of sustainably produced, certified organic plant growth and protection products for use in organic agriculture, thereby improving public health and positively impacting the environment.
Amounts expended by recipient:	Quarterly reports have received by the Foundation.
Diversion of funds:	The Foundation has no knowledge that any part of the investment has been used for other than its intended purpose.
Date of reports from recipient:	The December 2014 quarterly report is due on January 1, 2015 and hasn't received yet.
Verification of reports:	No verification has been undertaken as the Foundation has no reason to doubt the accuracy or reliability of the grantee's reports.