Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990 and its instructions is at *www.irs.gov/form990.*

OMB No 1545-0047

2014

Open to Public Inspection

A For the 2014 calendar year, or tax year beginning 07/01, 2014, and ending 06/30, 2015

B Check if applicable: ☐ Address change ☐ Name change ☐ Initial return ☐ Final return/terminated ☐ Amended return ☐ Application pending	
C Name of organization: RIVERKEEPER, INC.	**D** Employer identification number: 13-3204621
Doing business as	
Number and street (or P O box if mail is not delivered to street address): 20 SECOR ROAD — Room/suite	**E** Telephone number: (914) 478-4501
City or town, state or province, country, and ZIP or foreign postal code: OSSINING, NY 10562	**G** Gross receipts $ 5,216,704.
F Name and address of principal officer: JOE BOREN, SAME AS C ABOVE	**H(a)** Is this a group return for subordinates? ☐ Yes ☒ No
	H(b) Are all subordinates included? ☐ Yes ☐ No — If "No," attach a list (see instructions)
I Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) ( ) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527	
J Website: ▶ WWW.RIVERKEEPER.ORG	**H(c)** Group exemption number ▶
K Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶	**L** Year of formation 1983 **M** State of legal domicile NY

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities. TO PROTECT THE ECOLOGICAL INTEGRITY OF THE HUDSON RIVER, & ITS TRIBUTARIES, AND TO SAFEGUARD THE DRINKING WATER SUPPLY OF NEW YORK CITY AND THE LOWER HUDSON VALLEY.

2 Check this box ▶ ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

3	Number of voting members of the governing body (Part VI, line 1a)	3	26.
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	25.
5	Total number of individuals employed in calendar year 2014 (Part V, line 2a)	5	32.
6	Total number of volunteers (estimate if necessary)	6	100.
7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0
b	Net unrelated business taxable income from Form 990-T, line 34	7b	0

			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)	3,949,130.	5,005,233.
	9	Program service revenue (Part VIII, line 2g)	81,058.	90,094.
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	229.	151.
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	38,362.	-13,871.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	4,068,779.	5,081,607.
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	0	0
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0	0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	2,369,262.	2,593,943.
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	60,000.	60,000.
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶ 385,858.		
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	1,343,710.	1,496,506.
	18	Total expenses Add lines 13-17 (must equal Part IX, column (A), line 25)	3,772,972.	4,150,449.
	19	Revenue less expenses Subtract line 18 from line 12	295,807.	931,158.
			Beginning of Current Year	**End of Year**
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	1,661,736.	2,885,292.
	21	Total liabilities (Part X, line 26)	128,134.	420,532.
	22	Net assets or fund balances Subtract line 21 from line 20	1,533,602.	2,464,760.

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Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here ▶ Signature of officer — Date 1/26/2016

▶ Paul Gallay, President — Type or print name and title

Paid Preparer Use Only			
Print/Type preparer's name: JAMES J REILLY	Preparer's signature	Date: JAN 2 0 2016	Check ☐ if self-employed — PTIN P00183769
Firm's name ▶ CONDON O'MEARA MCGINTY & DONNELLY L			Firm's EIN ▶ 13-3628255
Firm's address ▶ ONE BATTERY PARK PLAZA NEW YORK, NY 10004-1405			Phone no 212-661-7777

May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No

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Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III . . . . [X]

1 Briefly describe the organization's mission.

SEE SCHEDULE O.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . [ ] Yes [X] No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . [ ] Yes [X] No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code ________) (Expenses $ 1,432,472. including grants of $ ________) (Revenue $ 90,094.)

HUDSON RIVER PROGRAM: - SEE SCHEDULE O.

4b (Code ________) (Expenses $ 1,189,924. including grants of $ ________) (Revenue $ ________)

BOAT/WATER QUALITY PROGRAM: - SEE SCHEDULE O.

4c (Code: ________) (Expenses $ 995,434. including grants of $ ________) (Revenue $ ________)

WATERSHED PROGRAM: - SEE SCHEDULE O.

4d Other program services (Describe in Schedule O)

(Expenses $ ________ including grants of $ ________) (Revenue $ ________)

4e Total program service expenses ▶ 3,617,830.

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	X	
2	Is the organization required to complete *Schedule B, Schedule of Contributors* (see instructions)?	2	X	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		X
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II*	4	X	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III*	5		X
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6		X
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II*	7		X
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8		X
9	Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		X
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10	X	
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.			
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI*	11a	X	
b	Did the organization report an amount for investments-other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII*	11b		X
c	Did the organization report an amount for investments-program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII*	11c		X
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX*	11d		X
e	Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X*	11e		X
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X*	11f	X	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI and XII*	12a	X	
b	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional*	12b		X
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		X
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		X
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? *If "Yes," complete Schedule F, Parts I and IV*	14b		X
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or other assistance to or for any foreign organization? *If "Yes," complete Schedule F, Parts II and IV*	15		X
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or other assistance to or for foreign individuals? *If "Yes," complete Schedule F, Parts III and IV*	16		X
17	Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I* (see instructions)	17	X	
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18	X	
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		X
20a	Did the organization operate one or more hospital facilities? *If "Yes," complete Schedule H*	20a		X
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		

Part IV Checklist of Required Schedules *(continued)*

			Yes	No
21	Did the organization report more than $5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21		X
22	Did the organization report more than $5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22		X
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23	X	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a*	24a		X
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	**Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		X
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		X
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? *If "Yes," complete Schedule L, Part II*	26		X
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? *If "Yes," complete Schedule L, Part III*	27		X
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		X
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b		X
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV*	28c		X
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29		X
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		X
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		X
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		X
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? *If "Yes," complete Schedule R, Part I*	33		X
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1*	34		X
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		X
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35b		
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		X
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		X
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? **Note.** All Form 990 filers are required to complete Schedule O	38	X	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V . . . . ☐

			Yes	No
1a	Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable **1a** 36			
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable **1b** 0			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	**1c**	X	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return . **2a** 32			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?	**2b**	X	
	Note. If the sum of lines 1a and 2a is greater than 250, you may be required to *e-file* (see instructions)			
3a	Did the organization have unrelated business gross income of $1,000 or more during the year?	**3a**		X
b	If "Yes," has it filed a Form 990-T for this year? *If "No" to line 3b, provide an explanation in Schedule O*	**3b**		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	**4a**		X
b	If "Yes," enter the name of the foreign country: ▶			
	See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).			
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	**5a**		X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	**5b**		X
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	**5c**		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	**6a**		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	**6b**		
7	**Organizations that may receive deductible contributions under section 170(c).**			
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?	**7a**	X	
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	**7b**	X	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	**7c**		X
d	If "Yes," indicate the number of Forms 8282 filed during the year **7d**			
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	**7e**		X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**7f**		X
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	**7g**		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	**7h**		
8	**Sponsoring organizations maintaining donor advised funds.** Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?	**8**		
9	**Sponsoring organizations maintaining donor advised funds.**			
a	Did the sponsoring organization make any taxable distributions under section 4966?	**9a**		
b	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?	**9b**		
10	**Section 501(c)(7) organizations.** Enter.			
a	Initiation fees and capital contributions included on Part VIII, line 12 . . . . **10a**			
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities **10b**			
11	**Section 501(c)(12) organizations.** Enter:			
a	Gross income from members or shareholders **11a**			
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them) **11b**			
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?	**12a**		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year **12b**			
13	**Section 501(c)(29) qualified nonprofit health insurance issuers.**			
a	Is the organization licensed to issue qualified health plans in more than one state?	**13a**		
	Note. See the instructions for additional information the organization must report on Schedule O			
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans **13b**			
c	Enter the amount of reserves on hand **13c**			
14a	Did the organization receive any payments for indoor tanning services during the tax year?	**14a**		X
b	If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O*	**14b**		

Part VI Governance, Management, and Disclosure *For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions*

Check if Schedule O contains a response or note to any line in this Part VI . . . . . [X]

Section A. Governing Body and Management

				Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year . . . . . If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O	1a 26			
b	Enter the number of voting members included in line 1a, above, who are independent	1b 25			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? . . .		2	X	
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person? . .		3		X
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		4		X
5	Did the organization become aware during the year of a significant diversion of the organization's assets?		5		X
6	Did the organization have members or stockholders? . . .		6		X
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? . . .		7a	X	
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? . . .		7b		X
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following				
a	The governing body? . . .		8a	X	
b	Each committee with authority to act on behalf of the governing body? . . .		8b	X	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O* . . .		9		X

Section B. Policies *(This Section B requests information about policies not required by the Internal Revenue Code.)*

			Yes	No
10a	Did the organization have local chapters, branches, or affiliates? . . .	10a		X
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? . . .	10b		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? .	11a	X	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990			
12a	Did the organization have a written conflict of interest policy? *If "No," go to line 13* . . .	12a	X	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? . . .	12b	X	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this was done* . . .	12c	X	
13	Did the organization have a written whistleblower policy? . . .	13	X	
14	Did the organization have a written document retention and destruction policy? . . .	14	X	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official . . .	15a	X	
b	Other officers or key employees of the organization . . . If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).	15b	X	
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? . . .	16a		X
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? . . .	16b		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ▶ CONNECTICUT, NEW JERSEY & NEW YORK

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.

[X] Own website [ ] Another's website [X] Upon request [ ] Other *(explain in Schedule O)*

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, address, and telephone number of the person who possesses the organization's books and records ▶

STELLA LIROSI/RIVERKEEPER/INC. 20 SECOR ROAD, OSSINING, NY 10562 914-478-4501

93813U M261

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII . . . . ☐

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order: individual trustees or directors; institutional trustees, officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee): Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(1) DR. HOWARD A. RUBIN CHAIR	3.00	X		X				0	0	0
(2) ROBERT F. KENNEDY JR. VICE CHAIR	3.00	X		X				75,496.	0	0
(3) JOE BOREN TREASURER	3.00	X		X				0	0	0
(4) PEGGY CULLEN SECRETARY	3.00	X		X				0	0	0
(5) ANNE HEARST MCINERNEY DIRECTOR	3.00	X						0	0	0
(6) JOHN MOORE DIRECTOR	3.00	X						0	0	0
(7) JOHN ADAMS DIRECTOR	3.00	X						0	0	0
(8) DALE KUTNICK DIRECTOR	3.00	X						0	0	0
(9) CAMILO PATRIGNANI DIRECTOR	3.00	X						0	0	0
(10) JUSTIN DERFNER DIRECTOR	3.00	X						0	0	0
(11) ANN COLLEY DIRECTOR	3.00	X						0	0	0
(12) HAMILTON FISH DIRECTOR	3.00	X						0	0	0
(13) DAVID KOWITZ DIRECTOR	3.00	X						0	0	0
(14) MICHAEL RICHTER DIRECTOR	3.00	X						0	0	0

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees *(continued)*

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
15) DAVID REILLY DIRECTOR	3.00	X						0	0	0
16) PAUL ZOFNASS DIRECTOR	3.00	X						0	0	0
17) NICK SANGERMANO DIRECTOR	3.00	X						0	0	0
18) KRISTIE PELLECCHIA DIRECTOR	3.00	X						0	0	0
19) LESLIE WILLIAMS DIRECTOR	3.00	X						0	0	0
20) JON SPANIER DIRECTOR	3.00	X						0	0	0
21) JON BEYMAN DIRECTOR	3.00	X						0	0	0
22) CAROLYN MARKS BLACKWOOD DIRECTOR	3.00	X						0	0	0
23) MACKIN PULSIFER DIRECTOR	3.00	X						0	0	0
24) PAUL GALLAY PRESIDENT AND DIRECTOR	40.00			X				175,230.	0	15,120.
25) JOHN LIPSCOMB BOAT CAPTAIN	40.00					X		107,897.	0	4,518.
1b Sub-total ▶								75,496.	0	0
c Total from continuation sheets to Part VII, Section A ▶								656,058.	0	40,303.
d Total (add lines 1b and 1c) ▶								731,554.	0	40,303.

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶ 5

		Yes	No
3 Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	3		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	4	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*	5		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year

(A) Name and business address	(B) Description of services	(C) Compensation
NONE		

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 in compensation from the organization ▶ 0

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees *(continued)*

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position: Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
26) MEDORA FALKENBERG VICE PRESIDENT FOR DEVELOPMENT	40.00					X		171,840.	0	19,146.
27) KATHERINE HUDSON WATERSHED PROGRAM DIRECTOR	40.00					X		100,806.	0	0
28) PHILLIP MUSEGAAS HUDSON RIVER PROGRAM DIRECTOR	40.00					X		100,285.	0	1,519.

1b Sub-total ▶			
c Total from continuation sheets to Part VII, Section A ▶			
d Total (add lines 1b and 1c) ▶			

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶ 5

		Yes	No
3 Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	3		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	4	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*	5		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 in compensation from the organization ▶

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII . . . . . ☐

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts	1a	Federated campaigns	1a				
	b	Membership dues	1b				
	c	Fundraising events	1c 1,190,870.				
	d	Related organizations	1d				
	e	Government grants (contributions)	1e 347,947.				
	f	All other contributions, gifts, grants, and similar amounts not included above	1f 3,466,416.				
	g	Noncash contributions included in lines 1a-1f: $					
	h	**Total.** Add lines 1a-1f ▶		5,005,233.			
Program Service Revenue			Business Code				
	2a	COST REIMBURSEMENT	900099	90,094.	90,094.		
	b						
	c						
	d						
	e						
	f	All other program service revenue					
	g	**Total.** Add lines 2a-2f ▶		90,094.			
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts) ▶		151.			151.
	4	Income from investment of tax-exempt bond proceeds ▶		0			
	5	Royalties ▶		0			
			(i) Real / (ii) Personal				
	6a	Gross rents					
	b	Less: rental expenses					
	c	Rental income or (loss)					
	d	Net rental income or (loss) ▶		0			
	7a	Gross amount from sales of assets other than inventory	(i) Securities / (ii) Other				
	b	Less: cost or other basis and sales expenses					
	c	Gain or (loss)					
	d	Net gain or (loss) ▶		0			
	8a	Gross income from fundraising events (not including $ 1,190,870. of contributions reported on line 1c). See Part IV, line 18	a 120,205.				
	b	Less: direct expenses	b 135,097.				
	c	Net income or (loss) from fundraising events ▶		-14,892.			-14,892.
	9a	Gross income from gaming activities. See Part IV, line 19	a				
	b	Less: direct expenses	b				
	c	Net income or (loss) from gaming activities ▶		0			
	10a	Gross sales of inventory, less returns and allowances	a				
	b	Less: cost of goods sold	b				
	c	Net income or (loss) from sales of inventory ▶		0			
		Miscellaneous Revenue	Business Code				
	11a	OTHER REVENUE	900099	1,021.	1,021.		
	b						
	c						
	d	All other revenue					
	e	**Total.** Add lines 11a-11d ▶		1,021.			
	12	**Total revenue.** See instructions ▶		5,081,607.	91,115.		-14,741.

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX . . . . . [ ]

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments See Part IV, line 21	0			
2 Grants and other assistance to domestic individuals See Part IV, line 22	0			
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16	0			
4 Benefits paid to or for members	0			
5 Compensation of current officers, directors, trustees, and key employees	275,257.	244,519.	6,824.	23,914.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0			
7 Other salaries and wages	1,918,559.	1,743,352.	23,185.	152,022.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	0			
9 Other employee benefits	223,541.	196,716.	6,706.	20,119.
10 Payroll taxes	176,586.	153,893.	4,458.	18,235.
11 Fees for services (non-employees)				
a Management	0			
b Legal	142,497.	142,497.		
c Accounting	20,245.		20,245.	
d Lobbying	0			
e Professional fundraising services See Part IV, line 17	60,000.			60,000.
f Investment management fees	0			
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O) ATCH 1	733,517.	644,783.	44,701.	44,033.
12 Advertising and promotion	0			
13 Office expenses	154,125.	138,513.	2,802.	12,810.
14 Information technology	70,908.	68,350.	793.	1,765.
15 Royalties	0			
16 Occupancy	118,001.	85,188.	23,245.	9,568.
17 Travel	35,920.	33,115.	362.	2,443.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials	0			
19 Conferences, conventions, and meetings	6,374.	5,145.	422.	807.
20 Interest	0			
21 Payments to affiliates	0			
22 Depreciation, depletion, and amortization	21,908.	14,240.	5,477.	2,191.
23 Insurance	15,932.	13,224.	1,402.	1,306.
24 Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24e If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O)				
a DIRECT MAIL EXPENSE	44,269.	23,046.		21,223.
b EQUIP. RENTAL & MAINTENANCE	62,547.	56,238.	2,228.	4,081.
c MISCELLANEOUS	18,242.	13,134.	2,736.	2,372.
d DUES & SUBSCRIPTIONS	24,881.	21,765.	614.	2,502.
e All other expenses	27,140.	20,112.	561.	6,467.
25 **Total functional expenses.** Add lines 1 through 24e	4,150,449.	3,617,830.	146,761.	385,858.
26 **Joint costs.** Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation Check here ▶ [X] if following SOP 98-2 (ASC 958-720)	0			

93813U M261

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X . . . . ☐

				(A) Beginning of year		(B) End of year
Assets	1	Cash - non-interest-bearing		2,486.	1	2,486.
	2	Savings and temporary cash investments		401,136.	2	720,524.
	3	Pledges and grants receivable, net		1,153,934.	3	2,055,998.
	4	Accounts receivable, net		0	4	0
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		0	5	0
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L		0	6	0
	7	Notes and loans receivable, net		0	7	0
	8	Inventories for sale or use		0	8	0
	9	Prepaid expenses and deferred charges		3,449.	9	4,776.
	10a	Land, buildings, and equipment. cost or other basis. Complete Part VI of Schedule D	10a 469,135.			
	b	Less: accumulated depreciation	10b 378,227.	90,131.	10c	90,908.
	11	Investments - publicly traded securities		0	11	0
	12	Investments - other securities. See Part IV, line 11		0	12	0
	13	Investments - program-related. See Part IV, line 11		0	13	0
	14	Intangible assets		0	14	0
	15	Other assets. See Part IV, line 11		10,600.	15	10,600.
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)		1,661,736.	16	2,885,292.
Liabilities	17	Accounts payable and accrued expenses		128,134.	17	420,532.
	18	Grants payable		0	18	0
	19	Deferred revenue		0	19	0
	20	Tax-exempt bond liabilities		0	20	0
	21	Escrow or custodial account liability Complete Part IV of Schedule D		0	21	0
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		0	22	0
	23	Secured mortgages and notes payable to unrelated third parties		0	23	0
	24	Unsecured notes and loans payable to unrelated third parties		0	24	0
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D		0	25	0
	26	**Total liabilities.** Add lines 17 through 25		128,134.	26	420,532.
Net Assets or Fund Balances		**Organizations that follow SFAS 117 (ASC 958), check here ▶ [X] and complete lines 27 through 29, and lines 33 and 34.**				
	27	Unrestricted net assets		908,602.	27	449,462.
	28	Temporarily restricted net assets		375,000.	28	840,298.
	29	Permanently restricted net assets		250,000.	29	1,175,000.
		Organizations that do not follow SFAS 117 (ASC 958), check here ▶ [ ] and complete lines 30 through 34.				
	30	Capital stock or trust principal, or current funds			30	
	31	Paid-in or capital surplus, or land, building, or equipment fund			31	
	32	Retained earnings, endowment, accumulated income, or other funds			32	
	33	Total net assets or fund balances		1,533,602.	33	2,464,760.
	34	Total liabilities and net assets/fund balances		1,661,736.	34	2,885,292.

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI . . . . ☐

1	Total revenue (must equal Part VIII, column (A), line 12)	1	5,081,607.
2	Total expenses (must equal Part IX, column (A), line 25)	2	4,150,449.
3	Revenue less expenses Subtract line 2 from line 1	3	931,158.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	1,533,602.
5	Net unrealized gains (losses) on investments	5	0
6	Donated services and use of facilities	6	0
7	Investment expenses	7	0
8	Prior period adjustments	8	0
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	2,464,760.

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII . . . . ☐

			Yes	No
1	Accounting method used to prepare the Form 990: ☐ Cash ☒ Accrual ☐ Other ______ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both. ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis	2a		X
b	Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: ☒ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis	2b	X	
c	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	2c	X	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a		X
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.	3b		

SCHEDULE A (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ **Attach to Form 990 or Form 990-EZ.**

▶**Information about Schedule A (Form 990 or 990-EZ) and its instructions is at** ***www.irs.gov/form990.***

OMB No 1545-0047

2014

Open to Public Inspection

Name of the organization	Employer identification number
RIVERKEEPER, INC.	13-3204621

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is. (For lines 1 through 11, check only one box.)

1 [ ] A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 [ ] A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)

3 [ ] A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 [ ] A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state. ______

5 [ ] An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)

6 [ ] A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 [X] An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II)

8 [ ] A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

9 [ ] An organization that normally receives (1) more than 33 1/3 % of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3 % of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)

10 [ ] An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**

11 [ ] An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box in lines 11a through 11d that describes the type of supporting organization and complete lines 11e, 11f, and 11g

a [ ] **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization **You must complete Part IV, Sections A and B**

b [ ] **Type II** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s) **You must complete Part IV, Sections A and C.**

c [ ] **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**

d [ ] **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**

e [ ] Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.

f Enter the number of supported organizations . . . . [ ]

g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization listed in your governing document? Yes	No	(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
(A)						
(B)						
(C)						
(D)						
(E)						
Total						

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) 2014	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants")	2,729,583.	3,083,161.	3,505,308.	3,949,130.	5,005,233.	18,272,415.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						0
3 The value of services or facilities furnished by a governmental unit to the organization without charge						0
4 **Total.** Add lines 1 through 3	2,729,583.	3,083,161.	3,505,308.	3,949,130.	5,005,233.	18,272,415.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						1,770,376.
6 **Public support.** Subtract line 5 from line 4						16,502,039.

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) 2014	(f) Total
7 Amounts from line 4	2,729,583.	3,083,161.	3,505,308.	3,949,130.	5,005,233.	18,272,415.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	5,088.	1,870.	1,053.	229.	151.	8,391.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						0
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI) .ATCH. 1	4,978.	1,506.	3,166.	966.	1,021.	11,637.
11 **Total support.** Add lines 7 through 10						18,292,443.

12 Gross receipts from related activities, etc (see instructions) . . . 12 | 2,084,995.

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2014 (line 6, column (f) divided by line 11, column (f)) . . . 14 | 90.21 %

15 Public support percentage from 2013 Schedule A, Part II, line 14 . . . 15 | 88.49 %

16a **33 1/3% support test - 2014.** If the organization did not check the box on line 13, and line 14 is 33 1/3 % or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☒

b **33 1/3% support test - 2013.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3 % or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

17a **10%-facts-and-circumstances test - 2014.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ ☐

b **10%-facts-and-circumstances test - 2013.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ ☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ ☐

Part III **Support Schedule for Organizations Described in Section 509(a)(2)**
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) 2014	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 **Public support** (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) 2014	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part VI)						
13 **Total support.** (Add lines 9, 10c, 11, and 12.)						

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

15	Public support percentage for 2014 (line 8, column (f) divided by line 13, column (f))	15	%
16	Public support percentage from 2013 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17	Investment income percentage for **2014** (line 10c, column (f) divided by line 13, column (f))	17	%
18	Investment income percentage from **2013** Schedule A, Part III, line 17	18	%

19a **33 1/3 % support tests - 2014.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3 %, and line 17 is not more than 33 1/3 %, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

b **33 1/3 % support tests - 2013.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3 %, and line 18 is not more than 33 1/3 %, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶ ☐

Part IV **Supporting Organizations**

(Complete only if you checked a box on line 11 of Part I. If you checked 11a of Part I, complete Sections A and B. If you checked 11b of Part I, complete Sections A and C. If you checked 11c of Part I, complete Sections A, D, and E. If you checked 11d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

			Yes	No
1	Are all of the organization's supported organizations listed by name in the organization's governing documents? *If "No," describe in **Part VI** how the supported organizations are designated If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.*	1		
2	Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? *If "Yes," explain in **Part VI** how the organization determined that the supported organization was described in section 509(a)(1) or (2).*	2		
3a	Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? *If "Yes," answer (b) and (c) below.*	3a		
b	Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? *If "Yes," describe in **Part VI** when and how the organization made the determination*	3b		
c	Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? *If "Yes," explain in **Part VI** what controls the organization put in place to ensure such use*	3c		
4a	Was any supported organization not organized in the United States ("foreign supported organization")? *If "Yes" and if you checked 11a or 11b in Part I, answer (b) and (c) below.*	4a		
b	Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? *If "Yes," describe in **Part VI** how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations*	4b		
c	Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? *If "Yes," explain in **Part VI** what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.*	4c		
5a	Did the organization add, substitute, or remove any supported organizations during the tax year? *If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in **Part VI**, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document)*	5a		
b	**Type I or Type II only.** Was any added or substituted supported organization part of a class already designated in the organization's organizing document?	5b		
c	**Substitutions only.** Was the substitution the result of an event beyond the organization's control?	5c		
6	Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (a) its supported organizations; (b) individuals that are part of the charitable class benefited by one or more of its supported organizations; or (c) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? *If "Yes," provide detail in **Part VI.***	6		
7	Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in IRC 4958(c)(3)(C)), a family member of a substantial contributor, or a 35-percent controlled entity with regard to a substantial contributor? *If "Yes," complete Part I of Schedule L (Form 990).*	7		
8	Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? *If "Yes," complete Part I of Schedule L (Form 990).*	8		
9a	Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? *If "Yes," provide detail in **Part VI.***	9a		
b	Did one or more disqualified persons (as defined in line 9(a)) hold a controlling interest in any entity in which the supporting organization had an interest? *If "Yes," provide detail in **Part VI.***	9b		
c	Did a disqualified person (as defined in line 9(a)) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? *If "Yes," provide detail in **Part VI.***	9c		
10a	Was the organization subject to the excess business holdings rules of IRC 4943 because of IRC 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? *If "Yes," answer (b) below*	10a		
b	Did the organization have any excess business holdings in the tax year? *(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)*	10b		

Part IV Supporting Organizations *(continued)*

		Yes	No
11 Has the organization accepted a gift or contribution from any of the following persons?			
a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?	11a		
b A family member of a person described in (a) above?	11b		
c A 35% controlled entity of a person described in (a) or (b) above? *If "Yes" to a, b, or c, provide detail in* ***Part VI.***	11c		

Section B. Type I Supporting Organizations

		Yes	No
1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? *If "No," describe in* ***Part VI*** *how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year*	1		
2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? *If "Yes," explain in* ***Part VI*** *how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization*	2		

Section C. Type II Supporting Organizations

		Yes	No
1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? *If "No," describe in* ***Part VI*** *how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s)*	1		

Section D. All Type III Supporting Organizations

		Yes	No
1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (1) a written notice describing the type and amount of support provided during the prior tax year, (2) a copy of the Form 990 that was most recently filed as of the date of notification, and (3) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?	1		
2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? *If "No," explain in* ***Part VI*** *how the organization maintained a close and continuous working relationship with the supported organization(s).*	2		
3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? *If "Yes," describe in* ***Part VI*** *the role the organization's supported organizations played in this regard*	3		

Section E. Type III Functionally-Integrated Supporting Organizations

1 *Check the box next to the method that the organization used to satisfy the Integral Part Test during the year* ***(see instructions)***

a ☐ The organization satisfied the Activities Test. *Complete* **line 2** *below.*

b ☐ The organization is the parent of each of its supported organizations. *Complete* **line 3** *below.*

c ☐ The organization supported a governmental entity *Describe in Part VI how you supported a government entity (see instructions).*

		Yes	No
2 Activities Test. ***Answer (a) and (b) below.***			
a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? *If "Yes," then in* ***Part VI identify those supported organizations and explain*** *how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.*	2a		
b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? *If "Yes," explain in* ***Part VI*** *the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement*	2b		
3 Parent of Supported Organizations. ***Answer (a) and (b) below.***			
a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? *Provide details in* ***Part VI.***	3a		
b Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? *If "Yes," describe in* ***Part VI*** *the role played by the organization in this regard*	3b		

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

1 ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1 Net short-term capital gain	1		
2 Recoveries of prior-year distributions	2		
3 Other gross income (see instructions)	3		
4 Add lines 1 through 3	4		
5 Depreciation and depletion	5		
6 Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6		
7 Other expenses (see instructions)	7		
8 **Adjusted Net Income** (subtract lines 5, 6 and 7 from line 4)	8		

Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1 Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year).			
a Average monthly value of securities	1a		
b Average monthly cash balances	1b		
c Fair market value of other non-exempt-use assets	1c		
d **Total** (add lines 1a, 1b, and 1c)	1d		
e **Discount** claimed for blockage or other factors (explain in detail in **Part VI**):			
2 Acquisition indebtedness applicable to non-exempt-use assets	2		
3 Subtract line 2 from line 1d	3		
4 Cash deemed held for exempt use Enter 1-1/2% of line 3 (for greater amount, see instructions).	4		
5 Net value of non-exempt-use assets (subtract line 4 from line 3)	5		
6 Multiply line 5 by .035	6		
7 Recoveries of prior-year distributions	7		
8 **Minimum Asset Amount** (add line 7 to line 6)	8		

Section C - Distributable Amount			Current Year
1 Adjusted net income for prior year (from Section A, line 8, Column A)	1		
2 Enter 85% of line 1	2		
3 Minimum asset amount for prior year (from Section B, line 8, Column A)	3		
4 Enter greater of line 2 or line 3	4		
5 Income tax imposed in prior year	5		
6 **Distributable Amount** Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6		

7 ☐ Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions).

Part V **Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations** *(continued)*

Section D - Distributions	**Current Year**
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in **Part VI**). See instructions.	
7 **Total annual distributions.** Add lines 1 through 6.	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in **Part VI**). See instructions	
9 Distributable amount for 2014 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	**(i) Excess Distributions**	**(ii) Underdistributions Pre-2014**	**(iii) Distributable Amount for 2014**
1 Distributable amount for 2014 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2014 (reasonable cause required-see instructions)			
3 Excess distributions carryover, if any, to 2014:			
a			
b			
c			
d			
e From 2013			
f **Total** of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2014 distributable amount			
i Carryover from 2009 not applied (see instructions)			
j Remainder Subtract lines 3g, 3h, and 3i from 3f.			
4 Distributions for 2014 from Section D, line 7: $			
a Applied to underdistributions of prior years			
b Applied to 2014 distributable amount			
c Remainder. Subtract lines 4a and 4b from 4.			
5 Remaining underdistributions for years prior to 2014, if any Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions).			
6 Remaining underdistributions for 2014 Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions).			
7 **Excess distributions carryover to 2015** Add lines 3j and 4c			
8 Breakdown of line 7:			
a			
b			
c			
d Excess from 2013			
e Excess from 2014			

Part VI **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

ATTACHMENT 1

SCHEDULE A, PART II - OTHER INCOME

DESCRIPTION	2010	2011	2012	2013	2014	TOTAL
MISCELLANEOUS	4,978.	1,506.	3,166.	966.	1,021.	11,637.
TOTALS	4,978.	1,506.	3,166.	966.	1,021.	11,637.

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ Complete if the organization is described below. ▶ Attach to Form 990 or Form 990-EZ.
▶ Information about Schedule C (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990.*

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If the organization answered "Yes," to Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below Do not complete Part I-B.
- Section 527 organizations Complete Part I-A only.

If the organization answered "Yes," to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B Do not complete Part II-A

If the organization answered "Yes," to Form 990, Part IV, line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization	**Employer identification number**
RIVERKEEPER, INC.	13-3204621

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.
2 Political expenditures . . . . ▶ $
3 Volunteer hours . . . .

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 . . . . . . ▶ $
2 Enter the amount of any excise tax incurred by organization managers under section 4955 . . ▶ $
3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? . . . . ☐ Yes ☐ No
4a Was a correction made? . . . . . . ☐ Yes ☐ No
b If "Yes," describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities . . . . . . ▶ $
2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities . . . . . . ▶ $
3 Total exempt function expenditures. Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b . . . . . . ▶ $
4 Did the filing organization file **Form 1120-POL** for this year? . . . . . . ☐ Yes ☐ No
5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

	(a) Name	**(b)** Address	**(c)** EIN	**(d)** Amount paid from filing organization's funds. If none, enter -0-.	**(e)** Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

A Check ▶ ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).

B Check ▶ ☐ if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	(a) Filing organization's totals	(b) Affiliated group totals
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)	32.	
b Total lobbying expenditures to influence a legislative body (direct lobbying)	1,407.	
c Total lobbying expenditures (add lines 1a and 1b)	1,439.	
d Other exempt purpose expenditures	3,616,391.	
e Total exempt purpose expenditures (add lines 1c and 1d)	3,617,830.	
f Lobbying nontaxable amount. Enter the amount from the following table in both columns.	330,892.	

If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:
Not over $500,000	20% of the amount on line 1e
Over $500,000 but not over $1,000,000	$100,000 plus 15% of the excess over $500,000
Over $1,000,000 but not over $1,500,000	$175,000 plus 10% of the excess over $1,000,000
Over $1,500,000 but not over $17,000,000	$225,000 plus 5% of the excess over $1,500,000
Over $17,000,000	$1,000,000

	(a) Filing organization's totals	(b) Affiliated group totals
g Grassroots nontaxable amount (enter 25% of line 1f)	82,723.	
h Subtract line 1g from line 1a If zero or less, enter -0-	0	0
i Subtract line 1f from line 1c. If zero or less, enter -0-	0	0

j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? ☐ Yes ☒ No

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) Total
2a Lobbying nontaxable amount	313,550.	306,315.	315,444.	330,892.	1,266,201.
b Lobbying ceiling amount (150% of line 2a, column (e))					1,899,302.
c Total lobbying expenditures	2,295.	4,798.	2,853.	1,439.	11,385.
d Grassroots nontaxable amount	78,388.	76,579.	78,861.	82,723.	316,551.
e Grassroots ceiling amount (150% of line 2d, column (e))					474,827.
f Grassroots lobbying expenditures	371.	595.	547.	32.	1,545.

Part II-B **Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).**

For each "Yes," response to lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.	(a) Yes	(a) No	(b) Amount
1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a Volunteers?			
b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c Media advertisements?			
d Mailings to members, legislators, or the public?			
e Publications, or published or broadcast statements?			
f Grants to other organizations for lobbying purposes?			
g Direct contact with legislators, their staffs, government officials, or a legislative body?			
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i Other activities?			
j Total. Add lines 1c through 1i			
2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b If "Yes," enter the amount of any tax incurred under section 4912			
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A **Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).**

		Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?	1		
2 Did the organization make only in-house lobbying expenditures of $2,000 or less?	2		
3 Did the organization agree to carry over lobbying and political expenditures from the prior year?	3		

Part III-B **Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No," OR (b) Part III-A, line 3, is answered "Yes."**

1 Dues, assessments and similar amounts from members	1	
2 Section 162(e) nondeductible lobbying and political expenditures **(do not include amounts of political expenses for which the section 527(f) tax was paid).**		
a Current year	2a	
b Carryover from last year	2b	
c Total	2c	
3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5 Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV **Supplemental Information**

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions); and Part II-B, line 1. Also, complete this part for any additional information.

Part IV **Supplemental Information** *(continued)*

SCHEDULE D (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
▶ Attach to Form 990.
▶ Information about Schedule D (Form 990) and its instructions is at *www.irs.gov/form990*.

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Name of the organization: RIVERKEEPER, INC.
Employer identification number: 13-3204621

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.
Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate value of contributions to (during year)		
3	Aggregate value of grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No

Part II Conservation Easements.
Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).
- ☐ Preservation of land for public use (e g., recreation or education)
- ☐ Protection of natural habitat
- ☐ Preservation of open space
- ☐ Preservation of a historically important land area
- ☐ Preservation of a certified historic structure

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

			Held at the End of the Tax Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ ________________

4 Number of states where property subject to conservation easement is located ▶ ________________

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ ________________

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ $ ________________

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.
Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items.

(i) Revenue included in Form 990, Part VIII, line 1 ▶ $ ____________

(ii) Assets included in Form 990, Part X ▶ $ ____________

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenue included in Form 990, Part VIII, line 1 ▶ $ ____________

b Assets included in Form 990, Part X ▶ $ ____________

Part III **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

a ☐ Public exhibition
b ☐ Scholarly research
c ☐ Preservation for future generations
d ☐ Loan or exchange programs
e ☐ Other ______________________________

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV **Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

		Amount
c Beginning balance	1c	
d Additions during the year	1d	
e Distributions during the year	1e	
f Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII ☐

Part V **Endowment Funds.** Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	625,000.	150,000.	50,000.	140,000.	262,828.
b Contributions	1,652,800.	575,000.	150,000.	50,000.	50,000.
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs	262,502.	100,000.	50,000.	140,000.	172,828.
f Administrative expenses					
g End of year balance	2,015,298.	625,000.	150,000.	50,000.	140,000.

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as.

a Board designated or quasi-endowment ▶ ________ %

b Permanent endowment ▶ 58.3040 %

c Temporarily restricted endowment ▶ 41.6960 %

The percentages in lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

		Yes	No
(i) unrelated organizations	3a(i)		X
(ii) related organizations	3a(ii)		X
b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIII the intended uses of the organization's endowment funds

Part VI **Land, Buildings, and Equipment.**
Complete if the organization answered "Yes" to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		7,500.		7,500.
b Buildings				
c Leasehold improvements		66,565.	24,902	41,663.
d Equipment		170,488.	128,845	41,643.
e Other		224,582.	224,480	102.
Total. Add lines 1a through 1e *(Column (d) must equal Form 990, Part X, column (B), line 10(c).)* ▶				90,908.

Part VII Investments - Other Securities.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. *(Column (b) must equal Form 990, Part X, col (B) line 12)* ▶		

Part VIII Investments - Program Related.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. *(Column (b) must equal Form 990, Part X, col (B) line 13)* ▶		

Part IX Other Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. *(Column (b) must equal Form 990, Part X, col (B) line 15)* ▶	

Part X Other Liabilities.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. *(Column (b) must equal Form 990, Part X, col (B) line 25)* ▶	

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII [X]

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return. Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements			1	6,152,902.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:				
a	Net unrealized gains (losses) on investments	2a			
b	Donated services and use of facilities	2b	1,071,295.		
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIII.)	2d			
e	Add lines 2a through 2d			2e	1,071,295.
3	Subtract line 2e from line 1			3	5,081,607.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII.)	4b			
c	Add lines 4a and 4b			4c	
5	Total revenue. Add lines 3 and 4c. *(This must equal Form 990, Part I, line 12.)*			5	5,081,607.

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return. Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements			1	5,221,744.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:				
a	Donated services and use of facilities	2a	1,071,295.		
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIII.)	2d			
e	Add lines 2a through 2d			2e	1,071,295.
3	Subtract line 2e from line 1			3	4,150,449.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII.)	4b			
c	Add lines 4a and 4b			4c	
5	Total expenses. Add lines 3 and 4c. *(This must equal Form 990, Part I, line 18.)*			5	4,150,449.

Part XIII Supplemental Information.

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2, Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information

SEE PAGE 5

Part XIII Supplemental Information *(continued)*

PART V - LINE 4

TEMPORARILY RESTRICTED NET ASSETS REPRESENT CONTRIBUTIONS AND PLEDGES THAT ARE RESTRICTED BY THE DONOR FOR A SPECIFIC PURPOSE OR RELATE TO FUTURE PERIODS. RIVERKEEPER REPORTS CONTRIBUTIONS AS TEMPORARILY RESTRICTED SUPPORT IF THEY ARE RECEIVED WITH DONOR STIPULATIONS THAT LIMIT THE USE OF THE DONATED ASSETS. WHEN A DONOR STIPULATION EXPIRES, THAT IS, WHEN A STIPULATED TIME RESTRICTION ENDS OR THE PURPOSE FOR THE RESTRICTION IS ACCOMPLISHED, TEMPORARILY RESTRICTED NET ASSETS ARE RECLASSIFIED TO UNRESTRICTED NET ASSETS AND REPORTED IN THE STATEMENT OF ACTIVITIES AS NET ASSETS RELEASED FROM RESTRICTIONS. AT JUNE 30, 2015, THE NET ASSETS RELEASED FROM RESTRICTION, TOTALING $262,502, WERE USED TO FUND RIVERKEEPER PROGRAMS.

PERMANENTLY RESTRICTED NET ASSETS CONSIST OF CONTRIBUTIONS THAT ARE RESTRICTED BY THE DONORS IN THAT THE PRINCIPAL MUST REMAIN IN PERPETUITY, BUT ANY INVESTMENT RETURN EARNED ON SUCH FUNDS MAY BE SPENT IN ACCORDANCE WITH THE DONOR TERMS.

PART X - LINE 2

AS OF JUNE 30, 2015, NO AMOUNTS HAVE BEEN RECOGNIZED FOR UNCERTAIN INCOME TAX POSITIONS. IN ADDITION, RIVERKEEPER'S TAX RETURNS FOR 2012 FISCAL YEAR AND FORWARD ARE SUBJECT TO THE USUAL REVIEW BY THE APPROPRIATE AUTHORITIES.

SCHEDULE G
(Form 990 or 990-EZ)
Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding Fundraising or Gaming Activities

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than $15,000 on Form 990-EZ, line 6a.

▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule G (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047
2014
Open to Public Inspection

Name of the organization: RIVERKEEPER, INC.

Employer identification number: 13-3204621

Part I **Fundraising Activities.** Complete if the organization answered "Yes" to Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

1 Indicate whether the organization raised funds through any of the following activities. Check all that apply

a [X] Mail solicitations
b [X] Internet and email solicitations
c [ ] Phone solicitations
d [X] In-person solicitations
e [X] Solicitation of non-government grants
f [ ] Solicitation of government grants
g [X] Special fundraising events

2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? [X] Yes [ ] No

b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least $5,000 by the organization

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions? Yes	No	(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
1 EVENT ASSOCIATES, INC.	FISHERMANS BALL	X		1,261,270.	60,000.	1,201,270.
2						
3						
4						
5						
6						
7						
8						
9						
10						
Total ▶				1,261,270.	60,000.	1,201,270.

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

Part II **Fundraising Events.** Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than $15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b List events with gross receipts greater than $5,000.

		(a) Event #1 FISHERMANS BALL (event type)	(b) Event #2 SWEEP 15 (event type)	(c) Other events 3. (total number)	(d) Total events (add col. (a) through col. (c))
Revenue	1 Gross receipts	1,261,270.	35,405.	14,400.	1,311,075.
	2 Less: Contributions	1,190,870.			1,190,870.
	3 Gross income (line 1 minus line 2)	70,400.	35,405.	14,400.	120,205.
Direct Expenses	4 Cash prizes				
	5 Noncash prizes				
	6 Rent/facility costs				
	7 Food and beverages				
	8 Entertainment				
	9 Other direct expenses	135,097.			135,097.
	10 Direct expense summary. Add lines 4 through 9 in column (d) ▶				135,097.
	11 Net income summary Subtract line 10 from line 3, column (d) ▶				-14,892.

Part III **Gaming.** Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than $15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col (a) through col. (c))
Revenue	1 Gross revenue				
Direct Expenses	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	☐ Yes ___ % ☐ No	☐ Yes ___ % ☐ No	☐ Yes ___ % ☐ No	
	7 Direct expense summary. Add lines 2 through 5 in column (d) ▶				
	8 Net gaming income summary. Subtract line 7 from line 1, column (d) ▶				

9 Enter the state(s) in which the organization conducts gaming activities ____

a Is the organization licensed to conduct gaming activities in each of these states? ☐ Yes ☐ No

b If "No," explain: ____

10 a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," explain: ____

11 Does the organization conduct gaming activities with nonmembers? . . . . Yes No

12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? . . . . Yes No

13 Indicate the percentage of gaming activity conducted in:

a The organization's facility	13a	%
b An outside facility	13b	%

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶ ______

Address ▶ ______

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? . . . . Yes No

b If "Yes," enter the amount of gaming revenue received by the organization ▶ $ ______ and the amount of gaming revenue retained by the third party ▶ $ ______.

c If "Yes," enter name and address of the third party.

Name ▶ ______

Address ▶ ______

16 Gaming manager information:

Name ▶ ______

Gaming manager compensation ▶ $ ______

Description of services provided ▶ ______

☐ Director/officer ☐ Employee ☐ Independent contractor

17 Mandatory distributions.

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? . . . . Yes No

b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ $

Part IV **Supplemental Information.** Provide the explanation required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information (see instructions).

SCHEDULE J (Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

▶ Attach to Form 990.

▶ Information about Schedule J (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2014

Open to Public Inspection

Name of the organization: RIVERKEEPER, INC.

Employer identification number: 13-3204621

Part I Questions Regarding Compensation

			Yes	No
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items. ☐ First-class or charter travel ☐ Travel for companions ☐ Tax indemnification and gross-up payments ☐ Discretionary spending account ☐ Housing allowance or residence for personal use ☐ Payments for business use of personal residence ☐ Health or social club dues or initiation fees ☐ Personal services (e g , maid, chauffeur, chef)			
b	If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	1b		
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked in line 1a?	2		
3	Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III. ☐ Compensation committee ☐ Independent compensation consultant ☐ Form 990 of other organizations ☐ Written employment contract ☒ Compensation survey or study ☒ Approval by the board or compensation committee			
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization.			
a	Receive a severance payment or change-of-control payment?	4a		X
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b		X
c	Participate in, or receive payment from, an equity-based compensation arrangement?	4c		X
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III			
	Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5–9.			
5	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of.			
a	The organization?	5a		X
b	Any related organization?	5b		X
	If "Yes" to line 5a or 5b, describe in Part III			
6	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of.			
a	The organization?	6a		X
b	Any related organization?	6b		X
	If "Yes" to line 6a or 6b, describe in Part III.			
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	7		X
8	Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53 4958-4(a)(3)? If "Yes," describe in Part III	8		X
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?	9		

Part II **Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation (i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation	(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred in prior Form 990
1 PAUL GALLAY	(i)	149,439.	0	25,791.		15,120.	190,350.	
PRESIDENT AND DIRECTOR	(ii)	0	0	0				
2 MEDORA FALKENBERG	(i)	171,593.	0	247.		19,146.	190,986.	
VICE PRESIDENT FOR DEVELOPMENT	(ii)	0	0	0				
3	(i)							
	(ii)							
4	(i)							
	(ii)							
5	(i)							
	(ii)							
6	(i)							
	(ii)							
7	(i)							
	(ii)							
8	(i)							
	(ii)							
9	(i)							
	(ii)							
10	(i)							
	(ii)							
11	(i)							
	(ii)							
12	(i)							
	(ii)							
13	(i)							
	(ii)							
14	(i)							
	(ii)							
15	(i)							
	(ii)							
16	(i)							
	(ii)							

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.
▶Attach to Form 990 or 990-EZ.

OMB No 1545-0047
2014
Open to Public Inspection

Name of the organization	Employer identification number
RIVERKEEPER, INC.	13-3204621

PART III - LINE 1

RIVERKEEPER'S MISSION IS TO PROTECT THE ECOLOGICAL INTEGRITY OF THE HUDSON RIVER, AND ITS TRIBUTARIES, AND TO SAFEGUARD THE DRINKING WATER SUPPLY OF NEW YORK CITY AND THE LOWER HUDSON VALLEY. THROUGH BOAT PATROLS, STRATEGIC PARTNERSHIPS, THOUSANDS OF ACTIVIST MEMBERS AND A RESPECTED LEGAL STAFF, RIVERKEEPER IS RESTORING THE HUDSON RIVER AND KEEPING CONTAMINANTS OUT OF THE DRINKING WATER SUPPLY OF 9 MILLION NEW YORKERS. RIVERKEEPER HAS HELPED TO ESTABLISH GLOBALLY RECOGNIZED STANDARDS FOR WATERWAY AND WATERSHED PROTECTION, AND SERVE AS THE MODEL AND MENTOR FOR THE GROWING WATERKEEPER MOVEMENT THAT INCLUDES MORE THAN 260 KEEPER PROGRAMS ACROSS THE COUNTRY AND AROUND THE GLOBE. RIVERKEEPER, FOR MORE THAN 40 YEARS IS NEW YORK'S LEADING CLEAN WATER ADVOCATE.

PART III - LINE 4A

HUDSON RIVER PROGRAM:

BACKGROUND

RIVERKEEPER'S HUDSON RIVER PROGRAM PROTECTS THE HUDSON RIVER AND ITS TRIBUTARIES WITH THE GOAL OF ATTAINING A FISHABLE, SWIMMABLE AND BOATABLE HUDSON WITH ABUNDANT NATURAL RESOURCES.

STORMWATER AND GREEN INFRASTRUCTURE

SINCE 2007, RIVERKEEPER HAS SOUGHT TO REDUCE SEPARATE AND COMBINED STORMWATER POLLUTION INTO THE HUDSON RIVER AS PART OF OUR SWIMMABLE RIVER CAMPAIGN. OUR FIRST INITIATIVE WAS OUR SUSTAINABLE RAINDROPS REPORT IN

Name of the organization	Employer identification number
RIVERKEEPER, INC.	13-3204621

2007, AND THEN AS A FOUNDING MEMBER OF THE SWIM COALITION, THE PURPOSE OF WHICH IS TO ACHIEVE SWIMMABLE AND FISHABLE WATERS IN NEW YORK CITY.

SINCE 2007, WE WORKED WITH NEW YORK CITY'S DEPARTMENT OF ENVIRONMENTAL PROTECTION TO INTEGRATE GREEN INFRASTRUCTURE INTO ITS LONG TERM PLAN TO REDUCE COMBINED SEWER POLLUTION INTO CITY WATERS. IN SEPTEMBER 2010, THE DEP RELEASED ITS GREEN INFRASTRUCTURE PLAN, WHICH DESCRIBES THE CITY'S VISION FOR INCORPORATING GREEN INFRASTRUCTURE INTO ITS PLANS. RIVERKEEPER SUPPORTED THE PLAN, WHICH THE CITY HOPES WILL REDUCE SEWER OVERFLOWS INTO WATERWAYS BY 40 PERCENT BY 2030 THROUGH A MIX OF GREEN INFRASTRUCTURE AND COST-EFFECTIVE TRADITIONAL INFRASTRUCTURE. THE CITY HOPES TO ACHIEVE LEGAL STANDARDS FOR ITS WATER WHILE NEVERTHELESS SPENDING $2.4 BILLION LESS OVER THE NEXT 20 YEARS.

IN 2010, RIVERKEEPER JOINED THE GI PLAN'S CITIZEN GROUP STEERING COMMITTEE. THIS COMMITTEE HAS SINCE JOINED THE WATER INFRASTRUCTURE COMMITTEE, ALLOWING US TO ADVOCATE MORE EFFECTIVELY FOR GREEN INFRASTRUCTURE AND TO INFLUENCE NEW YORK CITY'S DEVELOPMENT OF A CITYWIDE LONG TERM CONTROL PLAN TO REDUCE COMBINED SEWER DISCHARGES. THE CITY BEGAN RELEASING DRAFT LTCPS FOR ELEVEN OF FOURTEEN "SUBWATERSHEDS" WITHIN NYC IN 2014. THESE SUB-PLANS WILL ULTIMATELY SERVE AS THE BASIS OF THE CITYWIDE PLAN. THE CITY MUST SUBMIT THE CITYWIDE PLAN TO NEW YORK STATE REGULATORS IN 2017.

RIVERKEEPER ATTENDED NUMEROUS LONG TERM CONTROL PLAN PUBLIC PARTICIPATION

Name of the organization	Employer identification number
RIVERKEEPER, INC.	13-3204621

MEETINGS TO PRESSURE THE CITY AND THE STATE TO ADOPT MEASURES TO MINIMIZE THE AMOUNT OF SEWER POLLUTION PERMITTED UNDER EACH PLAN. RIVERKEEPER PROMOTED THESE MEETINGS ON ITS WEBSITE AND THROUGH SOCIAL MEDIA, AND FREQUENTLY SPOKE AND COMMENTED AT THE MEETINGS TO REVEAL WHERE THE CITY WAS TRYING TO TAKE SHORT CUTS AND TO EXPLAIN SOME OF THE MORE TECHNICAL CONCEPTS TO THE PUBLIC. RIVERKEEPER ALSO MET SEPARATELY WITH FEDERAL, STATE AND CITY REGULATORS AND COMMENTED FORMALLY ON ASPECTS OF THE PLANS.

CLEAN WATER ACT POWER PLANT WATER INTAKE REGULATIONS

ON NOVEMBER 22, 2010, RIVERKEEPER AND OTHER ENVIRONMENTAL ORGANIZATIONS AGREED WITH EPA TO SETTLE TWO LAWSUITS OVER EPA'S FAILURE TO IMPLEMENT SECTION 316(B) OF THE CLEAN WATER ACT, WHICH REQUIRES POWER PLANT OPERATORS AND OTHER LARGE INDUSTRIAL WATER USERS TO EMPLOY THE BEST TECHNOLOGY AVAILABLE FOR MINIMIZING THE IMPACT OF THEIR OPERATIONS ON AQUATIC ECOSYSTEMS. IN THE SETTLEMENT, EPA AGREED TO DRAFT NEW REGULATIONS FOR EXISTING FACILITIES BY MARCH 14, 2011, AND TO TAKE FINAL ACTION REGARDING THE NEW REGULATIONS BY JULY 27, 2012.

CURRENTLY, HUNDREDS OF FACILITIES ACROSS THE COUNTRY TAKE IN HUGE VOLUMES OF WATER FROM VITAL ECOSYSTEMS LIKE THE HUDSON RIVER TO COOL THEIR OPERATIONS. THESE WITHDRAWALS KILL HUGE NUMBERS OF FISH AND OTHER AQUATIC SPECIES AT ALL LIFE STAGES BY IMPINGING THEM AGAINST INTAKE SCREENS OR ENTRAINING THROUGH THE FACILITY COOLING SYSTEM. DECADES OF SUCH IMPACTS DESTABILIZE FISH POPULATIONS AND MARINE AND FRESHWATER ECOSYSTEMS. RIVERKEEPER REPORTS ESTIMATE THAT IN THE HUDSON RIVER ALONE, BILLIONS OF

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FISH AND OTHER AQUATIC CREATURES COULD BE SAVED EACH YEAR BY STRONGER EPA REGULATIONS ON COOLING WATER INTAKES.

EPA ISSUED NEW DRAFT REGULATIONS IN MARCH 2011. RIVERKEEPER AND OTHER GROUPS FILED EXTENSIVE COMMENTS ON AUGUST 18, 2011. AFTER EPA RELEASED THE DRAFT REGULATIONS, RIVERKEEPER ESTABLISHED AN INDEPENDENT WEBSITE TO PROMOTE AND DISSEMINATE THE COALITION'S WORK ON THE REGULATIONS TO THE PUBLIC.

EPA ISSUED ITS FINAL 316(B) RULE IN MAY 2014, ALONG WITH A "BIOLOGICAL OPINION" (BO) PREPARED BY THE U.S. FISH AND WILDLIFE SERVICE AND NATIONAL MARINE FISHERIES SERVICE, THE FEDERAL AGENCIES ENTRUSTED WITH IMPLEMENTING THE FEDERAL ENDANGERED SPECIES ACT (ESA). UNFORTUNATELY THE FINAL RULE AND ACCOMPANYING BO FAILED TO INCLUDE A DEFAULT STANDARD FOR COOLING WATER SYSTEMS, AND FAILED TO ADEQUATELY ASSESS THE IMPACTS OF THESE SYSTEMS ON ENDANGERED SPECIES. AS A RESULT, RIVERKEEPER AND OTHER GROUPS PREPARED A NEW LEGAL CHALLENGE, WHICH WAS FILED IN THE LAST QUARTER OF 2014.

PETITIONS FOR REVIEW WERE FILED BY ENVIRONMENTAL AND INDUSTRY PETITIONERS IN SIX CIRCUITS, AND WERE ULTIMATELY CONSOLIDATED IN THE SECOND CIRCUIT.

ON JULY 13, 2015, THE AGENCIES FILED THREE CERTIFIED LISTS OF DOCUMENTS, WHICH THEY CONTEND CONSTITUTE THE COMPLETE ADMINISTRATIVE RECORDS OF EPA, NMFS AND FWS. OPENING BRIEFS ARE CURRENTLY DUE ON NOVEMBER 25, 2015. THIS

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TIMELINE, HOWEVER, MAY BE EXTENDED AS THE PARTIES, INCLUDING RIVERKEEPER, ATTEMPT TO ESTABLISH THE ADMINISTRATIVE RECORD'S BOUNDARIES.

INDIAN POINT CAMPAIGN

RIVERKEEPER HAS BEEN WORKING TOWARD THE PERMANENT SHUTDOWN OF THE INDIAN POINT NUCLEAR POWER PLANT TO ITS VULNERABILITY TO TERRORISM, NUMEROUS SAFETY PROBLEMS, ONSITE STORAGE OF RADIOACTIVE WASTE, PROXIMITY TO NEW YORK CITY AND OTHER POPULATION CENTERS AND THE LACK OF A WORKABLE EVACUATION PLAN.

AS PART OF RIVERKEEPER'S LONG-TERM CAMPAIGN TO CLOSE THE INDIAN POINT NUCLEAR POWER PLANT, RIVERKEEPER PARTNERED WITH THE NATURAL RESOURCES DEFENSE COUNCIL TO COMMISSION AND RELEASE TWO REPORTS ON THE ENERGY ALTERNATIVES AVAILABLE TO REPLACE INDIAN POINT'S POWER, IF THE NUCLEAR FACILITY IS SHUT DOWN AT THE END OF ITS CURRENT LICENSES IN 2015. THE REPORTS WERE POSTED ON THE RIVERKEEPER AND NRDC WEBSITES IN OCTOBER 2011 AND 2012, AND ANNOUNCED THROUGH JOINT PRESS RELEASES.

RIVERKEEPER HAS DISTRIBUTED THE REPORT TO STATE AND FEDERAL ELECTED OFFICIALS, AND GIVEN PUBLIC PRESENTATIONS DESCRIBING ITS FINDINGS, INCLUDING PANEL DISCUSSIONS TO THE LEAGUE OF WOMEN VOTERS, COLUMBIA LAW SCHOOL AND THE NEW YORK ASSOCIATION FOR ENERGY ECONOMICS. THE 2011 REPORT IS ESSENTIALLY A FEASIBILITY ANALYSIS WHICH FOUND THAT THERE ARE A NUMBER OF OPTIONS AND ENERGY SOURCES AVAILABLE TO REPLACE INDIAN POINT, WHILE THE 2012 REPORT LAID OUT A ROADMAP FOR REPLACING THE NUCLEAR PLANT'S

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OUTPUT ENTIRELY WITH RENEWABLE ENERGY, ENERGY EFFICIENCY AND CONSERVATION MEASURES.

SINCE 2011, RIVERKEEPER HAS PARTICIPATED AS A PARTY IN TWO SEPARATE AND CONSOLIDATED TECHNICAL HEARINGS BEFORE THE NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION CONCERNING THE FACILITY'S REQUIRED CLEAN WATER ACT WATER QUALITY CERTIFICATION AND STATE POLLUTANT DISCHARGE ELIMINATION SYSTEM PERMIT. THE HEARINGS HAVE CONSIDERED THE AVAILABILITY, EFFICACY, AND RELATED ENVIRONMENTAL IMPACTS (IF ANY) OF COOLING TOWERS, PERMANENT FISH PROTECTION OUTAGES, OR SCREENING TECHNOLOGIES TO MINIMIZE THE FACILITY-INDUCED MORTALITY TO A BILLION OR MORE AQUATIC ORGANISMS PER YEAR.

IN SEPTEMBER OF 2015, THE HEARINGS ADDRESSED PERMANENT AND INTERIM FISH PROTECTION OUTAGES, AS WELL AS THE ELECTRIC SYSTEM RELIABILITY AND ELECTRICITY PRICE IMPLICATIONS OF IMMEDIATELY SHUTTING DOWN ONE OR BOTH OF THE FACILITY'S REACTORS TO PROTECT AQUATIC LIFE DURING THE SPAWNING SEASONS. A DECISION ON THE CONSOLIDATED PROCEEDING AND APPEAL IS TENTATIVELY EXPECTED BY JULY OF 2016. THE LITIGATION OF BOTH CASES BENEFITS THE PUBLIC BY SEEKING LONG-DELAYED COMPLIANCE WITH THE CLEAN WATER ACT AND STRICTER STATE LAW, BOTH OF WHICH REQUIRE THE MINIMIZATION OF THE FACILITY'S STAGGERING AQUATIC ORGANISM MORTALITY, AND PROHIBIT THE VIOLATION OF GROUND AND SURFACE WATER QUALITY STANDARDS WHICH RESULTS FROM LEAKING RADIOLOGICAL MATERIAL FROM THE FACILITY. COSTS ARE NEITHER SOUGHT NOR RECOVERABLE IN SUCH AN ADMINISTRATIVE LITIGATION.

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RIVERKEEPER HAS ALSO SUBMITTED FORMAL COMMENTS IN LATE 2013 ON INDIAN POINT'S APPLICATION FOR A COASTAL CONSISTENCY CERTIFICATION PURSUANT TO THE COASTAL ZONE MANAGEMENT ACT. BOTH THE CONSISTENCY CERTIFICATION AND THE CLEAN WATER ACT 401 CERTIFICATION MUST BE OBTAINED BY THE PLANT'S OWNERS IN ORDER FOR THE FACILITY TO BE RELICENSED BY THE NUCLEAR REGULATORY COMMISSION (NRC).

CRUDE OIL CAMPAIGN

IN FISCAL YEAR 2014, RIVERKEEPER LAUNCHED A NEW CAMPAIGN AGAINST CRUDE OIL TRANSPORT. SINCE 2011, THE HUDSON VALLEY HAS QUICKLY TURNED INTO A VIRTUAL PIPELINE FOR OIL FROM OTHER STATES. MUCH OF THE OIL TRANSFERRED COMES FROM THE BAKKEN SHALE FORMATION IN AND AROUND NORTH DAKOTA AND IS SHIPPED IN RAILCARS TO ALBANY, WHERE IT IS TRANSFERRED TO BARGES FOR TRANSPORT DOWN THE HUDSON RIVER TO EAST COAST REFINERIES. TWO ALBANY-BASED RAIL-TO-BARGE OIL TRANSFER TERMINALS INCREASED OIL THROUGHPUT 0 TO 2.85 BILLION GALLONS PER YEAR.

BAKKEN CRUDE OIL, MORE VOLATILE THAN OTHER CRUDE OILS, IS ALSO OFTEN TRANSPORTED TO ALBANY IN OUTDATED DOT-111 RAILCARS THAT LACK NECESSARY SAFETY PROTECTIONS. THIS HAZARDOUS COMBINATION HAS RESULTED IN NUMEROUS CATASTROPHIC EXPLOSIONS ACROSS NORTH AMERICA CAUSING DEATH, DESTRUCTION OF PROPERTY, AND ENVIRONMENTAL HARM. UP TO 30 TRAINS PER WEEK CARRYING OVER ONE MILLION GALLONS OF OIL TRAVEL THE BANKS OF THE HUDSON FROM ALBANY DOWN THE HUDSON VALLEY THROUGH COMMUNITIES IN GREENE, ULSTER,

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ORANGE AND ROCKLAND COUNTIES. THE TRANSPORT OF CRUDE OIL BY BOAT FROM ALBANY DOWN THE HUDSON RIVER IS ALSO DANGEROUS. THE FIRST TANKER CARRYING CRUDE OIL, THE STENA PRIMORSK RAN AGROUND IN DECEMBER 2012.

RIVERKEEPER MOUNTED A PUBLIC OUTREACH CAMPAIGN AND FILED A LAWSUIT AGAINST GLOBAL COMPANIES LLC, IN PART CHALLENGING COMPANY'S FAILURE TO CONSIDER THE CUMULATIVE ENVIRONMENTAL IMPACTS OF ITS CONCURRENT PROPOSALS TO INCREASE CRUDE OIL THROUGHPUT AT ITS ALBANY AND NEW WINDSOR OIL TRANSFER TERMINALS, DESPITE THEIR CO-LOCATION ON THE HUDSON RIVER. THE CAMPAIGN AND LAWSUIT MOST LIKELY CAUSED GLOBAL TO WITHDRAW ITS APPLICATION TO CONSTRUCT A NEW OIL TRANSFER TERMINAL IN NEW WINDSOR THAT WOULD HAVE FACILITATED THE TRANSPORT OF 1.3 BILLION GALLONS OF OIL PER YEAR ON HUDSON RIVER BARGES. RIVERKEEPER CONTINUES TO FIGHT GLOBAL'S ATTEMPT TO TURN THE HUDSON RIVER INTO A "VIRTUAL OIL PIPELINE."

OUR GOAL FOR THE CRUDE OIL TRANSPORT CAMPAIGN IS TO STOP IRRESPONSIBLE OIL TRANSPORT, PREVENT FURTHER EXPANSION OF THE "VIRTUAL PIPELINE" ON THE HUDSON, ENSURE THAT CURRENT OPERATIONS ARE AS SAFE AS POSSIBLE TO PREVENT AN EXPLOSION OR SPILL, AND TO IMPROVE RESPONSE CAPACITY.

IN NEW YORK, RIVERKEEPER HAS ENGAGED STATE AND LOCAL LEGISLATORS; LOBBIED GOVERNMENT AGENCIES TO TAKE PROTECTIVE ACTION AND EXAMINE POTENTIAL ENVIRONMENTAL IMPACTS OF OIL TRANSPORT OPERATIONS; AND HELD INFORMATIONAL MEETINGS IN COMMUNITIES ALONG THE HUDSON RIVER AND CREATED A WEBSITE TO INFORM THE PUBLIC ABOUT THE DANGERS OF CRUDE OIL TRANSPORT AND ACTIONS THAT CAN BE TAKEN.

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OPPOSITION TO ROCKLAND COUNTY DESALINATION PLANT AND WATER DEMOCRACY INITIATIVES

RIVERKEEPER HAS SUCCESSFULLY OPPOSED UNITED WATER NEW YORK'S PROPOSAL TO BUILD A DESALINATION PLANT IT CALLED THE HAVERSTRAW WATER SUPPLY PROJECT. UNITED WATER NEW YORK SUPPLIES MOST OF ROCKLAND COUNTY'S DRINKING WATER. THE PLANT WOULD HAVE PUMPED MILLIONS OF GALLONS HUDSON RIVER WATER EVERY DAY FROM HAVERSTRAW BAY, SO THAT UNITED WATER COULD REMOVE ITS SALT, OTHERWISE TREAT IT, AND THEN SELL IT AS DRINKING WATER TO ROCKLAND COUNTY RESIDENTS.

IN JANUARY 2012, THE NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION ACCEPTED UNITED WATER'S DRAFT ENVIRONMENTAL IMPACT STATEMENT. RIVERKEEPER SUPPORTED ROCKLAND WATER COALITION'S EFFORTS OPPOSING THE PROJECT, INCLUDING REQUESTS FOR MORE PUBLIC COMMENT, ADDITIONAL HEARINGS AND FUNDING TO PAY FOR INDEPENDENT EXPERTS TO ANALYZE THE COMPLEX PLAN.

RIVERKEEPER AND ROCKLAND WATER COALITION RAISED MANY SERIOUS CONCERNS ABOUT THE PROJECT, INCLUDING IMPACTS TO WILDLIFE IN HAVERSTRAW BAY, ENERGY DEMAND, EXPENSE AND CLIMATE IMPACTS OF RUNNING A DESALINATION PLANT, WASTEWATER PRODUCED BY THE PLANT AND THE DRINKABILITY OF THE RESULTING WATER. HAVERSTRAW BAY IS POLLUTED WITH CONTAMINATED SEDIMENTS, SEWAGE AND URBAN RUNOFF, AND THE PLANT'S INTAKES WOULD BE APPROXIMATELY

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3.5-MILES FROM ENTERGY'S INDIAN POINT NUCLEAR POWER PLANT, WHICH RELEASES RADIONUCLIDES TO THE HUDSON.

IN NOVEMBER OF 2014, THE NEW YORK PUBLIC SERVICE COMMISSION RULED THAT THERE WAS NO CURRENT NEED FOR ADDITIONAL WATER SUPPLY FOR ROCKLAND COUNTY, HALTED UNITED WATER'S PLAN TO BUILD THE PLANT, AND PREVENTED THE COMPANY FROM RECOUPING THE MONEY IT SPENT ON PLANNING BY BLOCKING A SURCHARGE ON CUSTOMERS.

TAPPAN ZEE BRIDGE REPLACEMENT PROJECT

IN 2011, NEW YORK STATE PROPOSED TO REPLACE THE TAPPAN ZEE BRIDGE, WHERE THE NEW YORK STATE THRUWAY CROSSES THE HUDSON RIVER FROM NYACK TO TARRYTOWN. NEW YORK EVENTUALLY CHOSE A DESIGN THAT CONSISTED OF TWO NEW SPANS, EACH LARGER THAN THE OUTGOING BRIDGE, ONE CARRYING TRAFFIC IN EITHER DIRECTION. RIVERKEEPER RAISED CONCERNS ABOUT THE ENVIRONMENTAL ANALYSIS, IN-RIVER IMPACTS FROM CONSTRUCTION, LACK OF SUSTAINABLE MASS TRANSIT AND LACK OF A CLEAR FINANCING PLAN.

RIVERKEEPER FILED DETAILED COMMENTS ON SEVERAL ADMINISTRATIVE MATTERS. OUR PRIMARY CONCERN WAS OVER CONSTRUCTION IMPACTS ON THE HUDSON RIVER, INCLUDING LOSS OF BENTHIC HABITAT, RESUSPENSION OF CONTAMINATED SEDIMENT, INJURY TO FISH (PARTICULARLY ENDANGERED ATLANTIC AND SHORTNOSE STURGEON) FROM UNDERWATER NOISE AND SHIP STRIKES. RIVERKEEPER AND STATE OFFICIALS NEGOTIATED A SETTLEMENT IN WHICH THE STATE AGREED TO STRENGTHEN ITS PRACTICES TO ADDRESS OUR CONCERNS AND INCREASED MITIGATION FUNDS FROM $8

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MILLION TO $11.5 MILLION DOLLARS. IN EXCHANGE, RIVERKEEPER AGREED NOT TO CHALLENGE ANY PERMITS REQUIRED FOR THE CONSTRUCTION OF THE BRIDGE IN CONSIDERATION AT THE TIME. HOWEVER, RIVERKEEPER DID NOT WAIVE ITS RIGHT TO TAKE ENFORCEMENT ACTION FOR VIOLATION OF ANY OF THESE PERMITS.

IN 2013, FOLLOWING UNSUCCESSFUL DISCUSSIONS WITH THE THRUWAY, RIVERKEEPER FILED A NOTICE OF INTENT TO SUE AGAINST THE STATE OVER VIOLATIONS OF THE DREDGING PLAN. FOLLOWING THE FILING OF OUR NOTICE, THE DREDGING PRACTICES IMPROVED DRAMATICALLY, AND WE DECIDED NOT TO FILE A COMPLAINT.

IN 2015, RIVERKEEPER PETITIONED THE NATIONAL MARINE FISHERIES SERVICE TO REOPEN CONSULTATION ON ITS BIOLOGICAL OPINION INTENDED TO PROTECT ENDANGERED STURGEON UNDER THE ENDANGERED SPECIES ACT. RIVERKEEPER SOURCES REPORT MANY MORE STURGEON CARCASSES THAN BEFORE BRIDGE CONSTRUCTION BEGAN. IF THE SERVICE REOPENS CONSULTATION, IT WOULD DETERMINE WHETHER THE PROTECTIONS FOR ENDANGERED STURGEON ARE STRONG ENOUGH, AND INSTITUTE NEW OR ENHANCED PROTECTIONS IF NECESSARY.

TOXIC SITES

RIVERKEEPER PARTICIPATES ON THREE COMMUNITY ADVISORY GROUPS ON FEDERAL SUPERFUND SITES IN THE HUDSON RIVER WATERSHED. SUPERFUND IS THE FEDERAL PROGRAM, ADMINISTERED UNDER THE COMPREHENSIVE ENVIRONMENTAL RESPONSE, COMPENSATION AND LIABILITY ACT OF 1980. EPA USES THIS ACT TO CLEAN UP SITES CONTAMINATED WITH HAZARDOUS SUBSTANCES IN ORDER TO REDUCE THE DANGER FROM THESE SITES TO A REGULATORY ACCEPTABLE LEVEL. EPA WILL FIRST CREATE A REMEDIAL DESIGN AND THEN IMPLEMENT THE DESIGN THROUGH A REMEDIAL

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ACTION. THE COMMUNITY ADVISORY GROUPS ARE MADE UP OF COMMUNITY MEMBERS ARE SERVE AS THE FOCAL POINT FOR EXCHANGING INFORMATION BETWEEN THE AFFECTED COMMUNITY AND REGULATORS, AND ARE THUS A NATURAL PLACE FOR RIVERKEEPER TO SERVE THE PUBLIC.

THERE ARE THREE PRIMARY SUPERFUND SITES AFFECTING THE HUDSON RIVER WATERSHED.

THE HUDSON RIVER PCBS SUPERFUND SITE IS NEARING THE END OF THE IMPLEMENTATION OF ITS IN-RIVER REMEDIAL ACTION. GENERAL ELECTRIC HAS DREDGED A LARGE AMOUNT OF HIGHLY CONTAMINATED SEDIMENT FROM THE HUDSON RIVER IN THE UPPER 40 MILES NORTH OF THE FEDERAL DAM AT TROY. HOWEVER, TOXIC LEVELS OF PCBS REMAIN OUTSIDE OF GE'S CURRENT DREDGE AREAS, AND RIVERKEEPER HAS PRESSED TO ENSURE THAT THE MAXIMUM CONTAMINATION POSSIBLE IS REMOVED FROM THE RIVER SO THAT NEW YORKERS MAY ONCE AGAIN EAT FISH FROM THE RIVER SAFELY. A NATURAL RESOURCE DAMAGES ASSESSMENT AND GE'S REMEDIATION OF THE "FLOODPLAINS" AREA WILL BE FORTHCOMING OVER THE NEXT 5-10 YEARS.

THE GOWANUS CANAL SUPERFUND SITE IN BROOKLYN HAS JUST COMPLETED THE DEVELOPMENT OF ITS REMEDIAL ACTION PLAN. IN THE COMING YEARS, THE RESPONSIBLE PARTIES WILL PAY TO DREDGE THE CANAL TO REMOVE PETROLEUM CONTAMINATION AND OTHER TOXIC MATERIALS FROM THE CANAL. RIVERKEEPER HAS PUSHED FOR A THOROUGH CLEANUP THAT ACCOUNTS FOR CONTINUING INPUTS OF HAZARDOUS MATERIALS FROM THE MAJOR COMBINED SEWER OUTFALLS THAT DISCHARGE

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INTO THE CANAL.

THE NEWTOWN CREEK SUPERFUND SITE IN QUEENS AND BROOKLYN IS STILL DEVELOPING ITS REMEDIAL ACTION. THE CREEK IS HEAVILY CONTAMINATED WITH PETROLEUM WASTE AND OTHER HAZARDOUS MATERIALS AND IS ALSO SUBJECT TO EXTREME COMBINED SEWER POLLUTION. RIVERKEEPER IS PUSHING TO ENSURE THAT THE REMEDIAL ACTION IS THOROUGH AND TIMELY.

PART III - LINE 4B

PATROL BOAT AND WATER QUALITY PROGRAM:

RIVERKEEPER MAINTAINS A CONSTANT PRESENCE ON THE HUDSON RIVER AND A SIGNIFICANT NUMBER OF MAJOR TRIBUTARIES, PATROLLING THE ENTIRE LENGTH OF THE ESTUARY FROM NEW YORK HARBOR AND AROUND MANHATTAN (THE EAST RIVER AND HARLEM RIVERS) AND CONTINUING EAST TO FLUSHING BAY, AND NORTH TO WATERFORD, ABOVE OF THE FEDERAL DAM AT TROY, AND FURTHER NORTH TO FORT EDWARD ON THE NON-TIDAL HUDSON. IN 2015 WE BEGAN PATROLLING THE MOHAWK RIVER AS FAR AS ROME. THE PATROL BOAT "R. IAN FLETCHER," HAS BEEN MODIFIED TO ENABLE IT TO BEST SERVE ITS MISSION AS A POLLUTION WATCHDOG VESSEL, A PLATFORM FOR SCIENTIFIC RESEARCH AND AN AMBASSADOR FOR THE RIVER.

JOHN LIPSCOMB HAS BEEN CAPTAIN OF THE R. IAN FLETCHER SINCE 2000.FROM APRIL AND UNTIL DECEMBER EACH YEAR, HE TRAVELS BETWEEN 4,000 AND 5,000 NAUTICAL MILES ON THE HUDSON AND ITS TRIBUTARIES WHILE CONDUCTING REGULAR POLLUTION AND WATER SAMPLING PATROLS, PROVIDING SUPPORT FOR SCIENTIFIC STUDIES THAT ADVANCE UNDERSTANDING OF THE HUDSON ECOSYSTEM, SUPPORTING

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RIVERKEEPER'S WATER QUALITY MONITORING PROGRAM AND BRINGING STATE AND REGIONAL DECISION-MAKERS, ENVIRONMENTAL ENFORCEMENT AGENCIES, ACADEMICS, THE MEDIA, AND COMMUNITY STAKEHOLDERS OUT ON THE RIVER. ON THESE TRIPS, CAPTAIN LIPSCOMB CONDUCTS SAMPLING AND SHARES HIS DEEP KNOWLEDGE OF THE RIVER --ITS WILDLIFE, CRITICAL HABITAT ZONES, POLLUTION SOURCES AND WATER QUALITY MANAGEMENT ISSUES -- WITH HIS GUESTS.

THE BOAT PROGRAM IS CRITICAL TO RIVERKEEPER'S WORK AND UNIQUE IN THE ROLE IT SERVES ON THE HUDSON RIVER, AS A PLATFORM FOR VARIOUS PARTNERS, SCIENTISTS, POLICY MAKERS, AND ENVIRONMENTAL ENFORCEMENT AGENCIES IN THE REGION TO GAIN A NEW PERSPECTIVE BY BEING OUT ON THE RIVER, INSTEAD OF VIEWING THE RIVER FROM LAND.

IN 2015, THE BOAT CREW INVESTIGATED AND COLLECTED EVIDENCE ON CASES RANGING FROM MONITORING SEDIMENT RE-SUSPENSION AND STURGEON MORTALITY IN THE VICINITY OF THE TAPPAN ZEE BRIDGE REPLACEMENT PROJECT, TO ENGAGING POLLUTION CONCERNS AT WATERFRONT INDUSTRIAL SITES USING BOTH LEGAL AND NON-LEGAL RECOURSE TO ENSURE PERMIT COMPLIANCE. THE CREW ALSO ACTIVELY MONITORED POLLUTION SOURCES INCLUDING COMBINED SEWER OVERFLOWS AND ILLEGAL INDUSTRIAL RUNOFF ON NEWTOWN CREEK, WHICH RIVERKEEPER WAS INSTRUMENTAL IN DESIGNATING A SUPERFUND SITE IN RECENT YEARS.

PARALLEL MONITORING WAS REGULARLY CONDUCTED ON THE GOWANUS CANAL, ALSO IN BROOKLYN, THE LATTER BEING THE SITE OF A PLANNED $506 MILLION SUPERFUND CLEANUP RIVERKEEPER HELPED INITIATE IN CONJUNCTION WITH ENVIRONMENTAL

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ENFORCEMENT AGENCIES AND LOCAL COMMUNITY ACTIVISTS. FOR THE FIRST TIME IN 2015, RIVERKEEPER ALSO BEGAN REGULAR PATROLS OF FLUSHING BAY AND FLUSHING CREEK, WHERE NEW ENFORCEMENT CASES WERE DISCOVERED. OUR INITIAL PATROLS IN THE BAY WERE CASE-DRIVEN, AND PROMPTED BY OUR CONTINUING EFFORTS TO HAVE TWO LARGE DERELICT BARGES REMOVED NEAR WILLETS POINT.

AT THE SAME TIME AS ENFORCEMENT IS CONDUCTED, THE PATROL BOAT ALSO SERVES AS A MOBILE LABORATORY FOR RIVERKEEPER'S OWN WATER QUALITY MONITORING PROGRAM AND FOR RESEARCH CONDUCTED BY OUR SCIENTIFIC PARTNERS. RESEARCH PARTNERS ABOARD THE FLETCHER ARE CURRENTLY STUDYING EVERYTHING FROM MICROPLASTIC POLLUTION TO PHARMACEUTICALS, ANTIBIOTIC RESISTANT BACTERIAL AND NUTRIENT LOADING RELATED TO SEWAGE DISCHARGES IN THE ESTUARY. BEGINNING IN 2015, BOAT PROGRAM ALSO COMPLETED A PERMANENT EXPANSION OF OUR WATER QUALITY INITIATIVE, NOW COLLECTING SAMPLES FOR THE FIRST TIME ON THE HUDSON'S LARGEST TRIBUTARY, THE MOHAWK RIVER. OUR PILOT EFFORT THIS SUMMER PROVIDED THE FIRST-EVER "SNAPSHOT" OF WATER QUALITY ALONG THE MOHAWK AND GENERATED TREMENDOUS MEDIA COVERAGE, WHICH IN TURN PROMPTED SUNY COBLESKILL TO PURCHASE A LAB AND COMMIT TO A NOW REGULAR MONTHLY SAMPLING PARTNERSHIP IN SYNCH WITH OUR MAIN STEM MONITORING PROGRAM. THE EFFORT WAS SO WELL RECEIVED BY SUNY THAT THE UNIVERSITY SYSTEM'S STATE-APPOINTED CHANCELLOR FEATURED THE PARTNERSHIP ON SUNY'S WEBSITE AT THE END OF AUGUST.

IN ADDITION TO THE PATROL BOAT'S REGULAR UPDATES ON RIVER CONDITIONS AND WATER QUALITY, IT ALSO PLAYS A CRITICAL ROLE DURING EMERGENCIES THAT

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THREATEN THE RIVER AND PUBLIC SAFETY THROUGHOUT THE HUDSON RIVER ESTUARY. IN MAY, WHEN A LARGE TRANSFORMER AT THE INDIAN POINT NUCLEAR FACILITY EXPLODED, SENDING OIL INTO THE HUDSON RIVER, THE FLETCHER WAS QUICKLY DEPLOYED TO DOCUMENT THE EXTENT OF THE IMPACT, WHILE BOAT STAFF ON LAND RECEIVED AND RECORDED ADDITIONAL INCOMING REPORTS OF OILED BEACHES AND IMPACTS UPRIVER.

AS A WATCHDOG ON THE HUDSON, THE BOAT PROGRAM IS PREPARED TO OBSERVE EMERGING THREATS TO THE ESTUARY. THROUGHOUT 2015, BOAT PROGRAM STAFF ATTENDED MEETINGS AMONG THE U.S. COAST GUARD, EPA, DEC AND LOCAL RESPONDERS TO PROVIDE CONTINUED INPUT ON REVISIONS NOW BEING MADE TO THE REGION'S COORDINATED SPILL RESPONSE PLAN (AREA CONTINGENCY PLAN) WHICH DICTATES INTER-AGENCY RESPONSE PLANS SPECIFIC TO VARIOUS SENSITIVE ECOSYSTEMS IN THE EVENT OF A HAZARDOUS RELEASE INTO THE HUDSON RIVER, INCLUDING CATASTROPHIC CRUDE OIL SPILLS.

WITH A DRAMATIC RECENT INCREASE IN TRAIN AND BARGE TRAFFIC OF DOMESTIC CRUDE OIL BEING CARRIED DOWN THE RIVER OR BY RAIL ALONG THE WESTERN SHORE, RIVERKEEPER'S BOAT PROGRAM IS ACTIVELY WORKING WITH OFFICIALS TO IMPROVE THE AREA'S RESPONSE CAPABILITIES, AND ENSURE THAT WE HAVE THE EQUIPMENT, MANPOWER AND KNOWHOW TO PROTECT THE RIVER AND RIVERFRONT COMMUNITIES FROM A WORST-CASE CRUDE OIL VESSEL SPILL OR DERAILMENT.

INITIATIVES UNDERTAKEN BY THE BOAT PROGRAM TO PROTECT THE HUDSON FROM THE INCREASED RISK OF SUCH A SPILL IN 2015 INCLUDED:

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RESULTING FROM OUR CONTINUED CONSULTATION WITH STATE AND FEDERAL SPILL PLANNING AND PREPAREDNESS OFFICIALS, USCG LAST WEEK HELD THE FIRST EVER TABLE-TOP SPILL DRILL EXAMINING A TACTICAL RESPONSE TO AN OIL SPILL IN THE UPPER ESTUARY. ANOTHER, RELATED, LONG-STANDING RIVERKEEPER ASK WAS ADDRESSED RECENTLY WHEN WE WERE PROMISED A LIVE IN-WATER DRILL ON THE UPPER ESTUARY - SOMETHING THAT HAS NEVER BEEN DONE -- AND COULD IMPROVE FUTURE RESPONSE NORTH OF THE HARBOR WHERE RESOURCES ARE MORE SCARCE.

IN ADDITION, INFORMATION WE'VE PROVIDED IS SHAPING REVISIONS TO GEOGRAPHICALLY SPECIFIC MAPS DETAILING SENSITIVE AREAS (GRPS) AS INCLUDED IN REVISIONS TO THE ACP THAT ARE NOW ALMOST COMPLETE.

AN INITIATIVE BEGUN LAST YEAR TO DOCUMENT AND REPORT DEGRADED RAILROAD BRIDGES LED TO A NATIONWIDE SURVEY OF RAIL BRIDGES NOW BEING CONDUCTED BY WATERKEEPER NATIONWIDE. THE REPORT WILL BE UNVEILED AT AN OIL SPILL PREPAREDNESS CONFERENCE IN PITTSBURGH LATER THIS YEAR.

RIVERKEEPER, WORKING WITH LAMONT-DOHERTY EARTH OBSERVATORY OF COLUMBIA UNIVERSITY AND CUNY/QUEENS COLLEGE, CONTINUED THE WORK BEGUN WITH A PILOT STUDY IN 2006-2007 TO CONDUCT A COMPREHENSIVE WATER QUALITY STUDY & MONITORING PROGRAM USING THE RIVERKEEPER PATROL BOAT TO ADDRESS THE FUNDAMENTAL QUESTION: "HOW IS THE WATER QUALITY IN THE HUDSON RIVER ESTUARY?" SINCE 2012, WE HAVE ALSO CONDUCTED A SERIES OF STUDIES OF HUDSON RIVER TRIBUTARIES AND WATERFRONTS IN PARTNERSHIP WITH COMMUNITY

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SCIENTISTS.

THE LONG TERM GOALS OF THE PROGRAM INCLUDE:

1. IMPROVING PUBLIC ACCESS TO INFORMATION CRITICAL FOR DECISION-MAKING ABOUT SAFE RECREATION,

2. PROVIDING DATA RELEVANT TO DECISION-MAKERS PURSUING WASTEWATER INFRASTRUCTURE INVESTMENTS,

3. INSPIRING PUBLIC SUPPORT FOR PROJECTS THAT IMPROVE WATER QUALITY,

4. FOSTERING GRASSROOTS ACTIVISM IN SUPPORT OF SCIENCE-BASED CLEAN WATER INVESTMENTS AND ULTIMATELY,

5. ELIMINATING SOURCES OF CONTAMINATION THAT KEEP THE HUDSON RIVER AND ITS TRIBUTARIES FROM CONSISTENTLY MEETING ENVIRONMENTAL PROTECTION AGENCY SAFE-SWIMMING STANDARDS AND ACHIEVING THE CLEAN WATER ACT GOAL OF BEING "SWIMMABLE."

THE IMMEDIATE GOAL OF THIS ONGOING PROJECT IS TO CHARACTERIZE THE CONDITIONS OF THE HUDSON RIVER ESTUARY AND SEVERAL OF ITS TRIBUTARIES THROUGH THE MONITORING OF KEY DATA POINTS. OUR 2015 SAMPLING SEASON CONCLUDED WITH 6,165 SAMPLES PROCESSED, INCLUDING 2,481 FOR ENTEROCOCCUS, A FECAL-INDICATING BACTERIUM; 2,688 BASIC WATER QUALITY PARAMETERS, SUCH AS DISSOLVED OXYGEN AND CHLOROPHYLL; AND 852 SAMPLES FOR UNIVERSITY RESEARCH RELATED TO MICROBIAL DNA, OPTICAL BRIGHTENERS AND MICROPOLLUTANTS. IN ALL, 12 PARTNER PROJECTS WERE ACTIVE IN 2015, ENGAGING MORE THAN 130 CITIZEN SCIENTISTS. A TOTAL OF ROUGHLY 593 MILES OF WATER WERE SAMPLED AT 299 POINTS. TWENTY-TWO PARTNER ORGANIZATIONS ARE ENGAGED IN THIS PROJECT, INCLUDING ONE HIGH SCHOOL, FOUR UNIVERSITIES,

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SIX MUNICIPALITIES AND 13 COMMUNITY GROUPS. ELEVEN LABS MANAGED BY NON-PROFIT OR UNIVERSITY PARTNERS CONTRIBUTE. NEW PROJECTS STARTED IN 2015 INCLUDE NEW COMMUNITY SAMPLING AT OSSINING BEACH AND ON THE MOHAWK RIVER AND SAW MILL RIVER; AND EXPANDED SAMPLING ON THE ESOPUS CREEK AND WALLKILL RIVER.

OUR DATA INDICATE PATTERNS AND SUGGEST PRACTICAL SCIENCE-BASED ACTIONS THAT CAN IMPROVE WATER QUALITY AND/OR PROTECT PUBLIC HEALTH.

FOR EXAMPLE, DATA SHOW THAT WATER QUALITY IN THE HUDSON'S MAIN STEM IS OFTEN WITHIN EPA'S STANDARDS FOR SAFE PRIMARY RECREATION USE, SUCH AS SWIMMING. HOWEVER, CERTAIN PARTS OF THE HUDSON ESTUARY CONTINUE TO SUFFER FROM PERSISTENTLY HIGH LEVELS OF BACTERIAL CONTAMINATION, DUE TO FAILURES OF AND OVERFLOWS FROM MUNICIPAL SEWER SYSTEMS, STORMWATER RUNOFF FROM CITIES AND FARMS, AND OTHER FACTORS, INCLUDING SEPTIC SYSTEM FAILURES.

MANY OF THE HUDSON TRIBUTARIES STUDIED EXHIBIT CONSISTENTLY HIGH FECAL CONTAMINATION LEVELS, FOR A VARIETY OF REASONS. RIVERKEEPER HAS HELPED LAUNCH SEVERAL WATERSHED-SCALE GRASSROOTS EFFORTS IN SEVERAL TRIBUTARIES, INCLUDING THE POCANTICO RIVER, RONDOUT CREEK AND WALLKILL RIVER. DATA ALSO INFORMS DISCUSSIONS WITH COMMUNITIES, INCLUDING NEW YORK CITY OVER ITS LONG TERM CONTROL PLAN FOR REDUCING COMBINED SEWAGE OVERFLOWS. RIVERKEEPER ALSO USES THE DATA TO INFORM COMMENTS ON POLLUTION DISCHARGE PERMITTING PROPOSALS BY DEPARTMENT OF ENVIRONMENTAL CONSERVATION.

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RIVERKEEPER USES ITS DATA TO COMPILE AN ANNUAL REPORT, "HOW'S THE WATER?" TO PROVIDE THE PUBLIC WITH A COMPREHENSIVE UNDERSTANDING OF WATER QUALITY THROUGHOUT THE ESTUARY. NOW, ARMED WITH NINE YEARS OF DATA, THE LATEST SUCH COMPREHENSIVE REPORT PRESENTS OUR FINDINGS IN A CONCISE, EASY TO READ FORMAT. THE 2015 REPORT WAS MAILED TO APPROXIMATELY 400 DECISION MAKERS THROUGHOUT THE HUDSON RIVER VALLEY, AND WAS PROVIDED TO THE PUBLIC AT DOZENS OF PRESENTATIONS AND OUTREACH EVENTS.

OUR PROGRAM CONTINUES TO INSPIRE OTHERS. SAVE THE SOUND AND CHARLESTON WATERKEEPER HAVE IMPLEMENTED TESTING EFFORTS, AND OTHERS ARE BEING EXPLORED BY PECONIC BAYKEEPER, EPA IN WASHINGTON, D.C., AND THE WATERKEEPER IN NEPAL.

THE WATER QUALITY SAMPLING PROGRAM IS ACHIEVING IMPORTANT RESULTS, INCLUDING IN 2014-2015:

- PASSAGE OF THE $200 MILLION NEW YORK WATER INFRASTRUCTURE IMPROVEMENT ACT OF 2015, A THREE-YEAR GRANT PROGRAM FOR DRINKING WATER AND WASTEWATER TO SUPPORT COMMUNITY INVESTMENT IN WATER AND SEWER INFRASTRUCTURE.
- SUBMISSION OF COMMUNITY MONITORING DATA TO INFORM BIENNIAL STATE WATER QUALITY ASSESSMENTS, WHICH INFLUENCE STATE FUNDING DECISIONS FOR COMMUNITY WASTEWATER PROJECTS.
- INFLUENCED STATE WATER POLICY DECISIONS TO REQUIRE DISINFECTION OF SEWAGE TREATMENT PLANT EFFLUENT AT MORE LOCATIONS STATEWIDE, AND TO IMPROVE COMPLIANCE WITH STATE PERMITS FOR DISCHARGES TO GROUNDWATER.

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- ESTABLISHED THE WALLKILL RIVER WATERSHED ALLIANCE, A NEW CITIZENS GROUP DEVOTED TO THE LARGEST TRIBUTARY IN THE TIDAL HUDSON RIVER, AND SUPPORTED THE FORMATION OF NEW GROUPS TO RESTORE AND PROTECT THE POCANTICO RIVER AND RONDOUT CREEK.
- WORKED TO ESTABLISH A REAL TIME NOTIFICATION SYSTEM FOR SPILLS AT STEWART AIR NATIONAL GUARD BASE, WHICH IS IN THE DRINKING WATER SUPPLY WATERSHED FOR THE CITY OF NEWBURGH.
- USED THE SEWAGE POLLUTION RIGHT TO KNOW LAW - PASSED IN 2012 DUE TO RIVERKEEPER'S ADVOCACY - TO HIGHLIGHT THE NEED FOR INFRASTRUCTURE INVESTMENTS STATEWIDE THAT WILL RESULT IN CLEANER WATER.

PART III - LINE 4C

NEW YORK CITY WATERSHED PROGRAM

BACKGROUND

RIVERKEEPER'S NEW YORK CITY WATERSHED PROGRAM FOCUSES ON PROTECTING THE CROTON, CATSKILL AND DELAWARE WATERSHEDS THAT SUPPLY DRINKING WATER TO NINE MILLION NEW YORKERS. WE ACHIEVE OUR GOAL OF WATERSHED PROTECTION THROUGH ADMINISTRATIVE ADVOCACY, ENFORCING ENVIRONMENTAL LAWS, INVESTIGATING POLLUTION REPORTS, AND REVIEWING PROPOSED DEVELOPMENT PROJECTS.

OVER THE PAST FISCAL YEAR, NYC WATERSHED PROGRAM STAFF HAVE BEEN PRINCIPALLY INVOLVED WITH FOUR KEY CAMPAIGNS: 1) PROTECTING NEW YORK'S DRINKING WATER FROM THE POTENTIAL IMPACTS OF NATURAL GAS DRILLING AND INFRASTRUCTURE; 2) TRACKING THE NEW YORK CITY DEPARTMENT OF ENVIRONMENTAL PROTECTION'S (DEP) COMPLIANCE WITH THE REQUIREMENTS OF THE CITY'S

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FILTRATION AVOIDANCE DETERMINATION AND ADVOCATING FOR PROTECTION OF DOWNSTREAM COMMUNITIES; 3) CHALLENGING THE STATE'S DEREGULATION OF MEDIUM DAIRY CONCENTRATED ANIMAL FEEDING OPERATIONS; AND 4) ENCOURAGING SMART GROWTH IN THE NEW YORK CITY WATERSHED.

CAMPAIGN TO PROTECT NY'S DRINKING WATER FROM IMPACTS OF NATURAL GAS DRILLING AND INFRASTRUCTURE

RIVERKEEPER'S HYDRAULIC FRACTURING ("FRACKING") CAMPAIGN, WHICH THE NYC WATERSHED PROGRAM COMMENCED IN 2008, SCORED A HUGE VICTORY WHEN NEW YORK BECAME THE FIRST STATE IN THE NATION WITH SIGNIFICANT NATURAL GAS RESERVES TO BAN FRACKING. IN THE MONTHS LEADING UP TO THE BAN, NYC WATERSHED PROGRAM STAFF CONTINUED OUR CAMPAIGN THROUGH THE USE OF LEGISLATIVE AND ADMINISTRATIVE ADVOCACY, TESTIMONY BEFORE LEGISLATIVE BODIES, AND AN EVER-INCREASING USE OF EARNED AND SOCIAL MEDIA.

RIVERKEEPER ALSO CONTINUED OUR WORK REGARDING NATURAL GAS INFRASTRUCTURE PROJECTS THAT MAY ADVERSELY IMPACT WATER QUALITY IN THE NEW YORK CITY WATERSHED AND THE IMPROPER REUSE AND DISPOSAL OF WASTE FROM OIL AND GAS EXTRACTION AND STORAGE ACTIVITIES. NYC WATERSHED PROGRAM STAFF ENGAGED IN ADMINISTRATIVE ADVOCACY, INCLUDING INTERVENING IN FEDERAL APPROVAL PROCEEDINGS AND PARTICIPATING IN ENVIRONMENTAL REVIEW PROCESSES RELATED TO THESE PROJECTS. RIVERKEEPER ALSO WORKED TO DEVELOP STATEWIDE LEGISLATION TO BAN THE IMPROPER REUSE AND DISPOSAL OF OIL AND NATURAL GAS WASTE AND SUPPORTED THE PASSAGE OF SIMILAR LEGISLATION AT THE COUNTY

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LEVEL.

RIVERKEEPER'S REVIEW OF DEP'S COMPLIANCE WITH THE REQUIREMENTS OF THE CITY'S FILTRATION AVOIDANCE DETERMINATION AND EFFORTS TO PROTECT DOWNSTREAM COMMUNITIES

WATERSHED PROGRAM STAFF TOOK A LEADERSHIP ROLE IN THE FIGHT TO PROTECT THE LOWER ESOPUS CREEK AND ITS COMMUNITIES FROM ADVERSE IMPACTS CAUSED BY DEP'S DISCHARGES OF TURBID, MUDDY WATER FROM THE NEW YORK CITY RESERVOIR SYSTEM. WE HAVE PARTICIPATED IN THE ASHOKAN RELEASE WORKING GROUP (ARWG), A STAKEHOLDER GROUP SET UP BY DEP IN EARLY 2011 IN AN ATTEMPT TO ADDRESS COMMUNITY CONCERNS ABOUT THE DISCHARGES. WE SUCCESSFULLY PETITIONED THE STATE DEC TO REQUIRE THE CITY DEP TO CONDUCT A FULL ENVIRONMENTAL REVIEW OF THE DISCHARGES. THE REVIEW WILL INCLUDE ROBUST EVALUATION OF POTENTIAL IMPACTS AND CONSIDERATION OF ALTERNATIVES TO USING THE RELEASES TO REDUCE TURBIDITY IN THE RESERVOIR SYSTEM. THE AGENCIES AGREED TO COMPLETE THE REVIEW IN AN ADMINISTRATIVE CONSENT ORDER FINALIZED ON OCTOBER 3, 2013. A DRAFT SCOPE OF WORK FOR THE ENVIRONMENTAL REVIEW WAS RELEASED IN APRIL 2014, AND RIVERKEEPER PROVIDED SUBSTANTIAL ASSISTANCE TO THE ARWG IN PREPARING ITS DETAILED SCOPE COMMENTS AND SUBMITTED ITS OWN COMMENTS ON AUGUST 29, 2014.

RIVERKEEPER SUBMITTED COMMENTS ON THE DRAFT SCOPE OF A SEPARATE ENVIRONMENTAL REVIEW FOR NEW YORK CITY'S PLAN TO PROVIDE DRINKING WATER FOR NINE MILLION NEW YORK CITY AND HUDSON VALLEY RESIDENTS DURING THE

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SHUTDOWN AND REPAIR OF THE RONDOUT-WEST BRANCH TUNNEL (RWBT), WHICH PROVIDES 50% OF THE CITY'S WATER SUPPLY. MANY OF RIVERKEEPER'S SUGGESTIONS WERE INCORPORATED INTO THE SEPTEMBER 16, 2015 FINAL SCOPE, INCLUDING A REQUIREMENT TO STUDY THE IMPACTS OF MODIFIED RESERVOIR OPERATIONS ON THE LOWER ESOPUS. DEP WILL ALSO SET FORTH A DETAILED PLAN TO ADDRESS LEAKS IN THE CATSKILL AQUEDUCT, WHICH MAY DISCHARGE CHLORINATED WATER DURING THE TUNNEL SHUTDOWN.

RIVERKEEPER ALSO COMMENTED ON THE SCOPE OF AN INDEPENDENT EXPERT REVIEW OF NEW YORK CITY'S LONG-TERM WATERSHED PROTECTION PLAN, WHICH WILL INFORM THE STATE DEPARTMENT OF HEALTH'S MIDTERM REVIEW OF THE 2017 FILTRATION AVOIDANCE DETERMINATION. THE STUDY WILL COMPREHENSIVELY ASSESS THE ADEQUACY OF THE CITY'S WATERSHED PROTECTION PROGRAMS TO ENSURE THE WATER SUPPLY SYSTEM CAN CONTINUE TO MEET THE REGULATORY CRITERIA AND RECOMMEND ADDITIONAL MEASURES THAT COULD ENHANCE WATERSHED PROTECTION. RIVERKEEPER HAS REQUESTED A THOROUGH REVIEW OF THE CITY'S TURBIDITY CONTROL MEASURES IN THE FACE OF A CHANGING CLIMATE AS WELL AS AN EVALUATION OF THE THREATS POSED BY PHARMACEUTICALS AND INVASIVE SPECIES. WE HAVE ALSO RECOMMENDED POTENTIAL ADDITIONAL MEASURES SUCH AS SETTING FORTH QUANTITATIVE MILESTONES AND ENHANCED RIPARIAN BUFFER PROTECTIONS.

CHALLENGING THE STATE'S DEREGULATION OF MEDIUM DAIRY CONCENTRATED ANIMAL FEEDING OPERATIONS

RIVERKEEPER'S CHALLENGE TO NEW YORK STATE'S DEREGULATION OF MEDIUM SIZED

DAIRY CONCENTRATED ANIMAL FEEDING OPERATIONS ("CAFOS") IS DETAILED UNDER QUESTION 6, BELOW. IN ADDITION, RIVERKEEPER HAS ADVOCATED FOR A PROHIBITION ON LAND APPLICATION OF MANURE AND OTHER WASTES DURING THE WINTER, WHEN SUCH PRACTICES PROVIDE NO AGRONOMIC BENEFIT BUT GREATLY ENHANCE RISK OF ILLEGAL AND HARMFUL DISCHARGES TO NEW YORK'S WATERS. IN A LETTER SUBMITTED TO THE STATE DEC ON JUNE 22, 2015, RIVERKEEPER AND PARTNER ORGANIZATIONS CALLED ON DEC TO INCLUDE THE BAN IN ITS CAFO PERMIT AND STRENGTHEN RELATED MONITORING AND PUBLIC PERMIT REVIEW REQUIREMENTS.

ENCOURAGING SMART GROWTH IN THE NEW YORK CITY WATERSHED

NYC WATERSHED PROGRAM STAFF REVIEWED PROPOSED RESIDENTIAL AND COMMERCIAL DEVELOPMENT PROJECTS IN THE NEW YORK CITY WATERSHED FOR THREATS TO WATER QUALITY. STAFF EVALUATED LIKELY WATER QUALITY IMPACTS AS A RESULT OF PROXIMITY TO WETLANDS AND RIPARIAN BUFFER AREAS, POOR EROSION AND SEDIMENT CONTROLS, AND INCREASES IN IMPERVIOUS COVER AND STORMWATER RUNOFF ASSOCIATED WITH THESE PROJECTS. WE PARTICIPATED IN THE ENVIRONMENTAL REVIEW PROCESS FOR FIVE PROJECTS AND ADVOCATED FOR STRICT COMPLIANCE WITH ENVIRONMENTAL LAWS AND STRINGENT WATER QUALITY CONTROLS.

DISCOURAGING BOTTLED WATER AND ENCOURAGING RESPONSIBLE WATERSHED DEVELOPMENT

NIAGARA BOTTLING WITHDREW ITS PROPOSAL FOR A WATER BOTTLING PLANT IN

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ULSTER COUNTY, RATHER THAN COMPLETE AN ENVIRONMENTAL IMPACT STATEMENT. WE ARE REVIEWING ANOTHER THREE NEW PROPOSED PROJECTS, AS PART OF OUR CAMPAIGN AGAINST IRRESPONSIBLE DEVELOPMENT IN THE WATERSHED.

PART VI, SECTION A. - QUESTION 2

AMANDA HEARST IS THE DAUGHTER OF ANNE HEARST MCINERNEY.

PART VI, SECTION A. - QUESTION 7A

AT EACH MEMBERSHIP ANNUAL MEETING THEREAFTER, A NUMBER OF DIRECTORS EQUAL TO THAT OF THOSE WHO TERMS HAVE EXPIRED WILL BE ELECTED BY A PLURALITY OF THE MEMBERS FOR A TERM OF THREE YEARS AND THE EARLIEST OF THE ELECTION OR APPOINTMENT AND QUALIFICATION OF SUCH DIRECTOR'S SUCCESSOR OR UNTIL SUCH DIRECTOR'S DEATH, RESIGNATION, OR REMOVAL. AT THE EXPIRATION OF ANY TERM OF THREE YEARS, ANY DIRECTOR MAY BE ELECTED. CANDIDATES FOR ELECTION AS DIRECTORS WILL BE NOMINATED BY THE NOMINATING COMMITTEE. MEMBERS OF THE CORPORATION WHO DESIRE TO NOMINATE A MEMBER TO THE BOARD OF DIRECTORS, IN ADDITION TO THOSE CANDIDATES PROPOSED BY THE NOMINATING COMMITTEE, MAY DO SO ON A PETITION SIGNED BY NOT LESS THAN ONE HUNDRED MEMBERS AND DELIVERED TO THE SECRETARY OF THE CORPORATION NOT LESS THAN SIX MONTHS PRIOR TO THE ANNUAL MEETING OF THE MEMBERS. NO MORE THAN ONE PETITION FOR ELECTION SHALL BE ACCEPTED AND THEREFORE, IF MORE THAN ONE PETITION IS SUBMITTED, THE SUBMISSION WITH THE GREATEST NUMBER OF SIGNATURES WILL APPLY; IN THE CASE OF A MORE THAN ONE PETITION WITH EQUAL NUMBER OF SIGNATURES, THE PETITION FIRST SUBMITTED WILL BE ACCEPTED.

PART VI, SECTION B. - QUESTION 11B

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THE PRESIDENT, TREASURER AND BOARD CHAIRMAN WILL REVIEW AND APPROVE THE FORM 990 PRIOR TO FILING.

PART VI, SECTION B. - QUESTION 12C

ON A YEARLY BASIS, THE BOARD OF DIRECTORS MEET TO COMPLETE A "CONFLICT OF INTEREST" ACKNOWLEDGEMENT WHICH DOCUMENTS AND SIGNIFIES THAT NO CURRENT CONFLICT OF INTEREST EXISTS BETWEEN THE BOARD MEMBERS AND OUTSIDE ORGANIZATIONS. AT EACH SUBSEQUENT MEETING, BEFORE ANY DECISIONS ARE MADE, IT IS CLARIFIED THAT THERE IS NO CONFLICT OF INTEREST FOR ANYONE IN THE ROOM. IF THERE IS A CONFLICT, THAT PERSON WILL BE EXCLUDED FROM THE DECISION.

PART VI, SECTION B. - QUESTIONS 15A & 15B

TO DETERMINE COMPENSATION OF TOP MANAGEMENT, INCLUDING THE EXECUTIVE DIRECTOR, AS WELL AS OTHER KEY EMPLOYEES, THE ORGANIZATION USES SALARY PARITY AND SALARY BANDS OF OTHER COMPARABLE ORGANIZATIONS. THEN THE EXECUTIVE COMMITTEE MEETS AND MAKES THE DECISION.

PART VI, SECTION C. - QUESTION 19

RIVERKEEPER MAKES ITS GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY, AND FINANCIAL STATEMENTS AVAILABLE TO THE PUBLIC UPON REQUEST.

ATTACHMENT 1

FORM 990, PART IX - OTHER FEES

DESCRIPTION	(A) TOTAL FEES	(B) PROGRAM SERVICE EXP.	(C) MANAGEMENT AND GENERAL	(D) FUNDRAISING EXPENSES
OUTSIDE SERVICES DIRECT	161,591.	155,762.	1,807.	4,022.
TEMPORARY LABOR DIRECT	13,960.	13,456.	156.	348.

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ATTACHMENT 1 (CONT'D)

FORM 990, PART IX - OTHER FEES

DESCRIPTION	(A) TOTAL FEES	(B) PROGRAM SERVICE EXP.	(C) MANAGEMENT AND GENERAL	(D) FUNDRAISING EXPENSES
OUTSIDE SERVICES EXPENSE	15,365.	14,811.	172.	382.
CONSULTING	540,863.	459,079.	42,546.	39,238.
RECRUITMENT FEES	1,738.	1,675.	20.	43.
TOTALS	733,517.	644,783.	44,701.	44,033.