Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning JUL 1, 2013 , and ending JUN 30, 2014

Name of foundation: FOUNDATION FOR DEEP ECOLOGY

A Employer identification number: 94-3106115

Number and street (or P O box number if mail is not delivered to street address): 1606 UNION STREET | Room/suite:

B Telephone number: (415)229-9339

City or town, state or province, country, and ZIP or foreign postal code: SAN FRANCISCO, CA 94123

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 43,904,986.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	540,264.	540,264.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	<461,469.>			STATEMENT 2
	b Gross sales price for all assets on line 6a 11,291,786.				
	7 Capital gain net income (from Part IV, line 2)		200,697.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	24,850.	24,850.		STATEMENT 3
	12 **Total.** Add lines 1 through 11	103,645.	765,811.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	144,997.	0.		144,997.
	14 Other employee salaries and wages	379,076.	0.		379,079.
	15 Pension plans, employee benefits	170,092.	0.		170,092.
	16a Legal fees STMT 4	89,051.	0.		89,051.
	b Accounting fees STMT 5	28,895.	3,420.		25,475.
	c Other professional fees STMT 6	198,889.	198,889.		0.
	17 Interest				
	18 Taxes STMT 7	43,452.	124.		40,028.
	19 Depreciation and depletion	16,430.	418.		
	20 Occupancy	66,400.	0.		66,400.
	21 Travel, conferences, and meetings	1,236.	0.		1,236.
	22 Printing and publications				
	23 Other expenses STMT 8	206,082.	438.		205,574.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	1,344,600.	203,289.		1,121,932.
	25 Contributions, gifts, grants paid	576,919.			576,919.
	26 **Total expenses and disbursements.** Add lines 24 and 25	1,921,519.	203,289.		1,698,851.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	<1,817,874.>			
	b **Net investment income** (if negative, enter -0-)		562,522.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only)	Beginning of year	End of year	
	(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets			
1 Cash - non-interest-bearing	38,517.	60,733.	60,733.
2 Savings and temporary cash investments	1,872,329.	1,740,501.	1,740,501.
3 Accounts receivable ▶			
Less: allowance for doubtful accounts ▶			
4 Pledges receivable ▶			
Less: allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons			
7 Other notes and loans receivable ▶			
Less: allowance for doubtful accounts ▶			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10a Investments - U.S. and state government obligations			
b Investments - corporate stock			
c Investments - corporate bonds			
11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶			
12 Investments - mortgage loans			
13 Investments - other STMT 9	37,103,881.	36,016,522.	41,312,610.
14 Land, buildings, and equipment: basis ▶ 137,144.			
Less accumulated depreciation STMT 10 ▶ 93,031.	681,477.	44,113.	44,113.
15 Other assets (describe ▶ STATEMENT 11)	704,740.	721,201.	747,029.
16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	40,400,944.	38,583,070.	43,904,986.
Liabilities			
17 Accounts payable and accrued expenses			
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable			
22 Other liabilities (describe ▶)			
23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances			
Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.			
24 Unrestricted	40,400,944.	38,583,070.	
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.			
27 Capital stock, trust principal, or current funds			
28 Paid-in or capital surplus, or land, bldg., and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds			
30 **Total net assets or fund balances**	40,400,944.	38,583,070.	
31 **Total liabilities and net assets/fund balances**	40,400,944.	38,583,070.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	40,400,944.
2 Enter amount from Part I, line 27a	2	<1,817,874.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	38,583,070.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	38,583,070.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENTS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	11,291,186.		11,090,489.	200,697.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				200,697.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7	2	200,697.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	2,136,937.	41,270,285.	.051779
2011	2,040,378.	44,316,054.	.046042
2010	1,617,029.	42,896,371.	.037696
2009	2,830,555.	41,532,949.	.068152
2008	2,126,122.	47,035,323.	.045203

2 **Total** of line 1, column (d)	2	.248872
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.049774
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	41,890,818.
5 Multiply line 4 by line 3	5	2,085,074.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	5,625.
7 Add lines 5 and 6	7	2,090,699.
8 Enter qualifying distributions from Part XII, line 4	8	1,740,682.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	11,250.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3	Add lines 1 and 2			3	11,250.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	11,250.
6	Credits/Payments:				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	11,368.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	11,368.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	118.
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶	118.	Refunded ▶	11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A | Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW.DEEPECOLOGY.ORG

14 The books are in care of ▶ ESTHER LI Telephone no. ▶ (415) 229-9339

Located at ▶ 1606 UNION STREET, SAN FRANCISCO, CA ZIP+4 ▶ 94123

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Line	Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a During the year did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☒ Yes ☐ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		X
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☒ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53 4945-5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
If "Yes" to 6b, file Form 8870.			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 12		116,446.	28,551.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
TOM BUTLER - C/O 1606 UNION STREET, SAN FRANCISCO, CA 94123	PROGRAM DIRECTOR 32.00	65,431.	35,109.	475.
HUI LI - C/O 1606 UNION STREET, SAN FRANCISCO, CA 94123	ACCOUNTANT 30.00	63,593.	34,915.	0.
GEORGE WUERTHNER - C/O 1606 UNION STREET, SAN FRANCISCO, CA 94123	PROGRAM DIRECTOR 32.00	65,965.	22,701.	4,741.
NEIL LI - C/O 1606 UNION STREET, SAN FRANCISCO, CA 94123	ACCOUNTANT 40.00	62,180.	19,824.	0.
MARITA ALBERT - C/O 1606 UNION STREET, SAN FRANCISCO, CA 94123	ACCOUNTANT 24.00	67,351.	11,252.	0.

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
BRYAN CAVE P.O. BOX 503089, ST. LOUIS, MO 63150-3089	LEGAL	85,849.
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 SEE STATEMENT 13	60,214.
2 SEE STATEMENT 14	8,250.
3 SEE STATEMENT 15	9,533.
4 SEE STATEMENT 16	28,820.

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	39,378,993.
b	Average of monthly cash balances	1b	1,819,537.
c	Fair market value of all other assets	1c	1,330,219.
d	**Total** (add lines 1a, b, and c)	1d	42,528,749.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	42,528,749.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	637,931.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	41,890,818.
6	**Minimum investment return** Enter 5% of line 5	6	2,094,541.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,094,541.
2a	Tax on investment income for 2013 from Part VI, line 5 2a 11,250.		
b	Income tax for 2013. (This does not include the tax from Part VI.) 2b		
c	Add lines 2a and 2b	2c	11,250.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,083,291.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2,083,291.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,083,291.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,698,851.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	41,831.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,740,682.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,740,682.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				2,083,291.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years: ___ , ___ , ___		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009 767,030.				
c From 2010				
d From 2011				
e From 2012 93,355.				
f Total of lines 3a through e	860,385.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 1,740,682.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				1,740,682.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	342,609.			342,609.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	517,776.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	517,776.			
10 Analysis of line 9:				
a Excess from 2009 424,421.				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012 93,355.				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

DOUGLAS R. TOMPKINS

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
TIDES FOUNDATION P.O. BOX 29903 SAN FRANCISCO, CA 94129-0903	NONE	PC	GENERAL FUNDING/SUPPORT	16,440.
TIDES FOUNDATION P.O. BOX 29903 SAN FRANCISCO, CA 94129-0903	NONE	PC	GENERAL FUNDING/SUPPORT	15,320.
TIDES FOUNDATION P.O. BOX 29903 SAN FRANCISCO, CA 94129-0903	NONE	PC	GENERAL FUNDING/SUPPORT	35,625.
TIDES FOUNDATION P.O. BOX 29903 SAN FRANCISCO, CA 94129-0903	NONE	PC	GENERAL FUNDING/SUPPORT	50,855.
TIDES FOUNDATION P.O. BOX 29903 SAN FRANCISCO, CA 94129-0903	NONE	PC	GENERAL FUNDING/SUPPORT	8,723.
Total SEE CONTINUATION SHEET(S)			▶ 3a	576,919.
b Approved for future payment NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	540,264.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	24,850.	
8 Gain or (loss) from sales of assets other than inventory			18	<461,469.>	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		103,645.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 103,645.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

			Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 1/27/15 Title: VICE PRESIDENT

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
LINDSEY PARNELL	[signature]	1/21/15		P00546853

Firm's name ▶ MOSS ADAMS LLP — Firm's EIN ▶ 91-0189318

Firm's address ▶ 101 SECOND STREET, 9TH FLOOR SAN FRANCISCO, CA 94105 — Phone no. 415-956-1500

Form 990-PF (2013)

323622
10-10-13

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ACL ALTERNATIVE FUND	P	08/23/10	12/31/13
b	BRANDYWINE GLOBAL FIXED	P	07/30/10	12/27/13
c	PIMCO ALL ASSET ALL AUTHORITY	P	11/09/09	12/27/13
d	DOUBLELINE TOTAL RETURN	P	VARIOUS	VARIOUS
e	DOUBLELINE TOTAL RETURN	P	VARIOUS	VARIOUS
f	JPM STRATEGIC INCOME	P	02/17/11	12/24/13
g	JPM STRATEGIC INCOME	P	02/17/11	12/27/13
h	IVY ASSET STRATEGY	P	11/09/09	12/27/13
i	IVY ASSET STRATEGY	P	11/09/09	03/20/14
j	DELAWARE GLOBAL	P	12/27/13	03/20/14
k	CORE ALTERNATIVE PORTFOLIO	P	VARIOUS	VARIOUS
l	810.083 OZ. GOLD STANDARD BARS	P	05/30/12	12/13/13
m	NET GAIN FROM LEGACY VENTURE V (QP)	P	VARIOUS	VARIOUS
n	NET GAIN FROM LEGACY VENTURE V (QP)	P	VARIOUS	VARIOUS
o	NET GAIN FROM ACL ALTERNATIVE	P	VARIOUS	VARIOUS

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,662,001.		2,662,001.	0.
b	796,301.		786,690.	9,611.
c	1,999,986.		2,107,723.	<107,737.>
d	2,348,295.		2,381,151.	<32,856.>
e	120,193.		123,620.	<3,427.>
f	297,405.		300,651.	<3,246.>
g	50,034.		50,580.	<546.>
h	660,257.		463,753.	196,504.
i	255,000.		180,228.	74,772.
j	250,000.		251,163.	<1,163.>
k	514,295.		511,317.	2,978.
l	989,946.		1,271,612.	<281,666.>
m	22,752.			22,752.
n	117,492.			117,492.
o	19,153.			19,153.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				0.
b				9,611.
c				<107,737.>
d				<32,856.>
e				<3,427.>
f				<3,246.>
g				<546.>
h				196,504.
i				74,772.
j				<1,163.>
k				2,978.
l				<281,666.>
m				22,752.
n				117,492.
o				19,153.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

323591
05-01-13

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	NET GAIN FROM ACL ALTERNATIVE	P	VARIOUS	VARIOUS
b	GOLDMAN SACHS SECURITIES LITIGATION	P	VARIOUS	VARIOUS
c	WORLDCOM SETTLEMENT FUND	P	VARIOUS	VARIOUS
d	COMPUTER ASSOCIATES SECURITIES LIT	P	VARIOUS	VARIOUS
e	MICRON TECHNOLOGY INC. SEC LITIGATION	P	VARIOUS	VARIOUS
f	CAPITAL GAINS DIVIDENDS			
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	16,079.			16,079.
b	1,130.			1,130.
c	1,391.			1,391.
d	18.			18.
e	12.			12.
f	169,446.			169,446.
g				
h				
i				
j				
k				
l				
m				
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				16,079.
b				1,130.
c				1,391.
d				18.
e				12.
f				169,446.
g				
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	200,697.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

323591
05-01-13

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
TIDES FOUNDATION P.O. BOX 29903 SAN FRANCISCO, CA 94129-0903	NONE	PC	GENERAL FUNDING/SUPPORT	8,725.
TIDES FOUNDATION P.O. BOX 29903 SAN FRANCISCO, CA 94129-0903	NONE	PC	GENERAL FUNDING/SUPPORT	8,725.
TIDES FOUNDATION P.O. BOX 29903 SAN FRANCISCO, CA 94129-0903	NONE	PC	GENERAL FUNDING/SUPPORT	12,275.
TIDES FOUNDATION P.O. BOX 29903 SAN FRANCISCO, CA 94129-0903	NONE	PC	GENERAL FUNDING/SUPPORT	101,615.
TIDES FOUNDATION P.O. BOX 29903 SAN FRANCISCO, CA 94129-0903	NONE	PC	GENERAL FUNDING/SUPPORT	12,275.
TIDES FOUNDATION P.O. BOX 29903 SAN FRANCISCO, CA 94129-0903	NONE	PC	GENERAL FUNDING/SUPPORT	36,121.
THE LAND INSTITUTE 2440 E. WALTER WELL ROAD SALINA, KS 67401	NONE	PC	SUPPORT CONSERVATION CONSCIOUS FOOD & AGRICULTURE PR	85,000.
TIDES FOUNDATION P.O. BOX 29903 SAN FRANCISCO, CA 94129-0903	NONE	PC	GENERAL FUNDING/SUPPORT	101,620.
CHARITABLE CONTIBUTIONS FROM PASS THROUGH ENTITY	NONE			1,600.
WATERSHED MEDIA INC 513 BROWN ST HEALDSBURG, CA 95448	NONE	PC	GENERAL FUNDING/SUPPORT	5,000.
Total from continuation sheets				449,956.

323631
05-01-13

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BLUE RAGE FILM, INC. 367 FOWLING ST. PLAYA DEL REY, CA 90293	NONE	PC	GENERAL FUNDING/SUPPORT	3,000.
FUNDACION PUMALIN 299 KLENNER PUERTO VARAS, CHILE	NONE	NC	LAND PRESERVATION IN CHILE	74,000.
Total from continuation sheets				

323631
05-01-13

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
ABBEY CAPITAL - DIV	1.	0.	1.	1.	
ACL ALTERNATIVE FUND SAC-INT	919.	0.	919.	919.	
BRANDY WINE GLOBAL - DIV	68,597.	0.	68,597.	68,597.	
COHEN & STEERS INFRA - DIV	6,592.	0.	6,592.	6,592.	
COHEN & STEERS INFRA - INT	4.	0.	4.	4.	
DELAWARE GLOBAL - INT	14.	0.	14.	14.	
DOUBLELINE TOTAL RETURN - DIV	53,196.	0.	53,196.	53,196.	
IVA WORLDWIDE - DIV	297,668.	169,446.	128,222.	128,222.	
IVY ASSET STRATEGY - DIV	36,207.	0.	36,207.	36,207.	
JPM STRATEGIC INCOME - DIV	44,942.	0.	44,942.	44,942.	
LAP(CORE ALTERNATIVE) - DIV	18,959.	0.	18,959.	18,959.	
LAP(CORE ALTERNATIVE) - INT	15.	0.	15.	15.	
LEGACY VENTURE V (QP) - DIV	2,774.	0.	2,774.	2,774.	
LEGACY VENTURE V (QP) - INT	1,172.	0.	1,172.	1,172.	
MORGAN STANLEY SMITH BARNEY - DIV	173.	0.	173.	173.	
PIMCO ALL ASSET - DIV	152,912.	0.	152,912.	152,912.	
RS NATURAL RESOURCES - INT	4.	0.	4.	4.	
SYMPHONY CREDIT OPPORT - DIV	25,561.	0.	25,561.	25,561.	
TO PART I, LINE 4	709,710.	169,446.	540,264.	540,264.	

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 2

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
ACL ALTERNATIVE FUND			PURCHASED	08/23/10	12/31/13

(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,662,001.	2,662,001.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
BRANDYWINE GLOBAL FIXED			PURCHASED	07/30/10	12/27/13

(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
796,301.	786,690.	0.	0.	9,611.

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
PIMCO ALL ASSET ALL AUTHORITY			PURCHASED	11/09/09	12/27/13

(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,999,986.	2,107,723.	0.	0.	<107,737.>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
DOUBLELINE TOTAL RETURN	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,348,295.	2,381,151.	0.	0.	<32,856.>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
DOUBLELINE TOTAL RETURN	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
120,193.	123,620.	0.	0.	<3,427.>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
JPM STRATEGIC INCOME	PURCHASED	02/17/11	12/24/13

(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
297,405.	300,651.	0.	0.	<3,246.>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
JPM STRATEGIC INCOME	PURCHASED	02/17/11	12/27/13

(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
50,034.	50,580.	0.	0.	<546.>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
IVY ASSET STRATEGY	PURCHASED	11/09/09	12/27/13

(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
660,257.	463,753.	0.	0.	196,504.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
IVY ASSET STRATEGY	PURCHASED	11/09/09	03/20/14

(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
255,000.	180,228.	0.	0.	74,772.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
DELAWARE GLOBAL	PURCHASED	12/27/13	03/20/14

(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
250,000.	251,163.	0.	0.	<1,163.>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
CORE ALTERNATIVE PORTFOLIO	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
514,295.	511,317.	0.	0.	2,978.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
810.083 OZ. GOLD STANDARD BARS	PURCHASED	05/30/12	12/13/13

(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
989,946.	1,271,612.	0.	0.	<281,666.>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
NET GAIN FROM LEGACY VENTURE V (QP)	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
22,752.	0.	0.	0.	22,752.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
NET GAIN FROM LEGACY VENTURE V (QP)	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
117,492.	0.	0.	0.	117,492.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
NET GAIN FROM ACL ALTERNATIVE	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
19,153.	0.	0.	0.	19,153.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
NET GAIN FROM ACL ALTERNATIVE	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
16,079.	0.	0.	0.	16,079.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
GOLDMAN SACHS SECURITIES LITIGATION	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,130.	0.	0.	0.	1,130.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
WORLDCOM SETTLEMENT FUND	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,391.	0.	0.	0.	1,391.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
COMPUTER ASSOCIATES SECURITIES LIT	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
18.	0.	0.	0.	18.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
MICRON TECHNOLOGY INC. SEC LITIGATION	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
12.	0.	0.	0.	12.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
OFFICE FURNITURE	PURCHASED	VARIOUS	12/31/13

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	912.	0.	912.	0.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
OFFICE FURNITURE - FT. CRONKHITE	PURCHASED	VARIOUS	12/31/13

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
600.	46,739.	0.	46,739.	600.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
OFFICE EQUIPMENT	PURCHASED	VARIOUS	12/31/13

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	5,701.	0.	5,701.	0.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
OFFICE EQUIPMENT - FT. CRONKHITE	PURCHASED	VARIOUS	12/31/13

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	41,392.	0.	41,392.	0.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LEASEHOLD IMPORVEMENTS	PURCHASED	VARIOUS	12/31/13

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	1,001,065.	0.	350,033.	<651,032.>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
PHOTOTS	PURCHASED	VARIOUS	12/31/13

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	11,734.	0.	0.	<11,734.>

NET GAIN OR LOSS FROM SALE OF ASSETS	<630,915.>
CAPITAL GAINS DIVIDENDS FROM PART IV	169,446.
TOTAL TO FORM 990-PF, PART I, LINE 6A	<461,469.>

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
RENTAL INCOME	1,199.	1,199.	
LEGACY VENTURE V (QP)	<2,619.>	<2,619.>	
ACL ALTERNATIVE FUND SAC LTD	10.	10.	
RT SOLUTIONS PRI LOAN INTEREST	26,260.	26,260.	
TOTAL TO FORM 990-PF, PART I, LINE 11	24,850.	24,850.	

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
GREENE, RADOVSKY, MALONEY & SHARE	3,202.	0.		3,202.
ADLER & COLVIN	0.	0.		0.
BRYAN CAVE	85,849.	0.		85,849.
TO FM 990-PF, PG 1, LN 16A	89,051.	0.		89,051.

FORM 990-PF ACCOUNTING FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MOSS ADAMS LLP	28,895.	3,420.		25,475.
TO FORM 990-PF, PG 1, LN 16B	28,895.	3,420.		25,475.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
VAIKE BRODERICK	2,697.	2,697.		0.
MORGAN STANLEY SMITH BARNEY	3,767.	3,767.		0.
IVY ASSET STRATEGY - INV EXP	12,971.	12,971.		0.
LEGACY VENTURE V (QP) INV EXP	51,943.	51,943.		0.
JP MORGAN STRATEGIC INC - INV EXP	5,679.	5,679.		0.
DOUBLELINE TOTAL RETURN - INV EXP	3,042.	3,042.		0.
WORLDWIDE - INV EXP	19,338.	19,338.		0.
BRANDYWINE GLOBAL FIXED - INV EXP	5,176.	5,176.		0.
ABBEY CAPITAL - INV EXP	3,939.	3,939.		0.
DELAWARE GLOBAL - INV EXP	4,902.	4,902.		0.
LAZARD EMERGING INCOME INV EXP	5,217.	5,217.		0.

PIMCO ALL ASSET AUTHORITY INV EXP	8,812.	8,812.		0.
POINTER HFOF INV EXP	8,915.	8,915.		0.
SCS OPPORTUNITIES FUND INV EXP	6,451.	6,451.		0.
ACL ALTERNATIVE FUND INV EXP	49,084.	49,084.		0.
J.P. MORGAN (SUISSE)	3,669.	3,669.		0.
COHEN & STEERS INFRA INV EXP	401.	401.		0.
LAP (CORE ALTERNATIVE) INV EXP	1,432.	1,432.		0.
RS NATURAL RESOURCES INV EXP	398.	398.		0.
SYMPHONY CREDIT OPPORT INV EXP	1,056.	1,056.		0.
TO FORM 990-PF, PG 1, LN 16C	198,889.	198,889.		0.

FORM 990-PF TAXES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAXES	3,300.	0.		0.
LEGACY VENTURE V(QP), LLC K-1	124.	124.		0.
PAYROLL TAXES	40,028.	0.		40,028.
TO FORM 990-PF, PG 1, LN 18	43,452.	124.		40,028.

FORM 990-PF OTHER EXPENSES STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	228.	0.		228.
DUES & SUBSCRIPTIONS	677.	0.		677.
TELEPHONE	11,521.	0.		11,521.
POSTAGE AND DELIVERY	834.	0.		834.
OFFICE SUPPLIES	11,491.	0.		11,491.
MISCELLANEOUS	488.	46.		442.
REPAIRS AND MAINTENANCE	521.	0.		521.
OUTSIDE SERVICES	21,776.	77.		21,699.
EQUIPMENT RENTAL	3,955.	0.		3,955.
BOOK PROJECTS	108,633.	0.		108,633.

BOOK/TAX DIFFERENCE - NON DEDUCTIBLE EXP	70.	0.		0.
STORAGE	2,592.	0.		2,592.
UTILITIES	13,296.	315.		12,981.
MOVING EXPENSES	15,167.	0.		15,167.
GRANT ADMINISTRATION FEES	6,500.	0.		6,500.
AMORTIZATION	8,333.	0.		8,333.
TO FORM 990-PF, PG 1, LN 23	206,082.	438.		205,574.

FORM 990-PF OTHER INVESTMENTS STATEMENT 9

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
POINTER OFFSHORE, LLC	COST	2,464,512.	3,999,714.
LEGACY VENTURE V, LLC	COST	1,270,660.	1,831,796.
BRANDY WINE GLOBAL FIXED	COST	1,736,979.	1,831,317.
PIMCO ALL ASSET	COST	2,824,379.	2,740,208.
IVY ASSET STRATEGY	COST	3,704,524.	5,121,379.
LAZARD EMERGING INCOME	COST	1,192,670.	1,331,984.
SCS OPPORTUNITIES FUND	COST	1,195,965.	1,917,085.
DOUBLELINE TOTAL RETURN	COST	0.	0.
JP MORGAN STRATEGIC INCOME	COST	2,178,687.	2,161,373.
IVA WORLDWIDE	COST	8,696,437.	9,446,281.
ABBEY CAPITAL	COST	0.	0.
JP MORGAN (SUISSE)-GOLD	COST	1,573,218.	1,330,219.
DELAWARE GLOBAL	COST	4,999,335.	5,196,855.
LAP (CORE ALTERNATIVE PORTFOLIO)	COST	2,102,176.	2,139,216.
SYMPHONY CREDIT OPPORTUNITIES	COST	871,062.	886,163.
COHEN & STEERS INFRASTRUCTURE	COST	606,255.	694,975.
RS NATURAL RESOURCES	COST	599,663.	684,045.
TOTAL TO FORM 990-PF, PART II, LINE 13		36,016,522.	41,312,610.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 10

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
OFFICE FURNITURE - FT. CRONKHITE	65,202.	65,202.	0.
OFFICE EQUIPT	32,466.	25,500.	6,966.
COMPUTER	1,197.	1,197.	0.
MICROSOFT OFFICE 2010	189.	57.	132.
OFFICE EQUIPMENT - TELEPHONE	2,687.	179.	2,508.
FURNITURE & APPL. - 1606 UNION	12,073.	666.	11,407.
LEASEHOLD IMPROVEMENT - 1606 UNION	23,330.	230.	23,100.
	137,144.	93,031.	44,113.

TOTAL TO FM 990-PF, PART II, LN 14

FORM 990-PF — OTHER ASSETS — STATEMENT 11

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
LOAN COSTS (NET OF AMORTIZATION)	48,240.	44,701.	44,701.
PROGRAM RELATED INVESTMENT LOAN	656,500.	656,500.	682,328.
SECURITY DEPOSIT (1606 UNION ST)	0.	20,000.	20,000.
TO FORM 990-PF, PART II, LINE 15	704,740.	721,201.	747,029.

FORM 990-PF — PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS — STATEMENT 12

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
DOUGLAS R. TOMPKINS C/O 1606 UNION STREET SAN FRANCISCO, CA 94123	DIR/PRESIDENT 5.00	0.	0.	0.
ESTHER LI C/O 1606 UNION STREET SAN FRANCISCO, CA 94123	VICE PRESIDENT 30.00	116,446.	28,551.	0.
DEBRA B. RYKER C/O 1606 UNION STREET SAN FRANCISCO, CA 94123	DIR/SEC/TREA 10.00	0.	0.	0.
KRISTINE M. TOMPKINS C/O 1606 UNION STREET SAN FRANCISCO, CA 94123	DIRECTOR 2.00	0.	0.	0.
QUINCEY T. IMHOFF C/O 1606 UNION STREET SAN FRANCISCO, CA 94123	DIRECTOR 2.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		116,446.	28,551.	0.

FORM 990-PF SUMMARY OF DIRECT CHARITABLE ACTIVITIES STATEMENT 13

ACTIVITY ONE

FUNDING FOR A BOOK PRODUCTION TO EDUCATE THE PUBLIC ON THE WAY THE EARTH HAS BEEN TRANSFORMED BY HUMAN ACTIVITY AND THE DEMOGRAPHIC EXPLOSION THAT IS CURRENTLY UNDERWAY AS HUMAN POPULATION GROWTH AND CONCOMITANT RESOURCE USE ARE THE MAJOR DRIVERS OF THE GLOBAL EXTINCTION CRISIS.

	EXPENSES
TO FORM 990-PF, PART IX-A, LINE 1	60,214.

FORM 990-PF SUMMARY OF DIRECT CHARITABLE ACTIVITIES STATEMENT 14

ACTIVITY TWO

BIODIVERSITY - FUNDING FOR BOOK PRODUCTION TO EDUCATE THE PUBLIC ON THE PRACTICES OF INDUSTRIAL ANIMAL FACTORY FARMING AND HOW IT AFFECTS HUMAN HEALTH, THE ENVIRONMENT AND THE FARM COMMUNITY.

	EXPENSES
TO FORM 990-PF, PART IX-A, LINE 2	8,250.

FORM 990-PF SUMMARY OF DIRECT CHARITABLE ACTIVITIES STATEMENT 15

ACTIVITY THREE

BIODIVERSITY - FUNDING FOR BOOK PRODUCTION TO EDUCATE THE PUBLIC ON THE MULTIPLE ENVIRONMENTAL AND ECONOMIC IMPACTS OF ENERGY DEVELOPMENT - THOSE ASSOCIATED WITH FOSSIL FUELS AND OTHER ALTERNATIVE ENERGY SOURCES.

	EXPENSES
TO FORM 990-PF, PART IX-A, LINE 3	9,533.

FORM 990-PF SUMMARY OF DIRECT CHARITABLE ACTIVITIES STATEMENT 16

ACTIVITY FOUR

FUNDING FOR A BOOK PRODUCTION TO INFORM THE PUBLIC OF DIFFERENT IDEAS FOR THE FUTURE OF CONSERVATION. THE BOOK PROVIDES VIEWPOINTS AGAINST THE DOMESTICATION OF THE PLANET EARTH.

	EXPENSES
TO FORM 990-PF, PART IX-A, LINE 4	28,820.

Foundation for Deep Ecology
2013 Form 990-PF
Part VII B, Question 5(c)

Substantiation of Expenditure Responsibility:

Recipient:	Fundacion Pumalin
Address:	Klenner 299 Puerto Varas X, Chile
Date paid:	See list below for various payment dates
Amount:	See list below for various payment amounts
Purpose:	Payment of administration expenses for land held for preservation in Chile.
Amounts expended by recipient:	First report is due on March 31, 2014 to the Foundation hasn't received yet.
Diversion of funds:	The Foundation has no knowledge that any part of the investment has been used for other than its intended purpose.
Date of reports from recipient:	First report is due on March 31, 2014 to the Foundation hasn't received yet.
Verification of reports:	No verification has been undertaken as the Foundation has no reason to doubt the accuracy or reliability of the grantee's reports.

Payment list:

Date Paid	Amount	Date Paid	Amount
01-16-13	$ 64,000.00	02-06-13	$ 76,000.00
04-23-13	$ 71,400.00	05-08-13	$ 59,000.00
07-09-13	$ 79,000.00		

Foundation for Deep Ecology
2013 Form 990-PF
Part VII B, Question 5(c)

Information relating to program-related investments subject to expenditure responsibility:

Recipient:	R T Solutions, LLC
Address:	32 Heritage Drive Geneseo, NY 14454
Date paid:	December 15, 2009
Amount:	$656,500
Purpose:	To fund the development of sustainably produced, certified organic plant growth and protection products for use in organic agriculture, thereby improving public health and positively impacting the environment.
Amounts expended by recipient:	Quarterly reports have received by the Foundation.
Diversion of funds:	The Foundation has no knowledge that any part of the investment has been used for other than its intended purpose.
Date of reports from recipient:	The December 2013 quarterly report was due on January 1, 2014 and was received on May 6, 2014.
Verification of reports:	No verification has been undertaken as the Foundation has no reason to doubt the accuracy or reliability of the grantee's reports.

Form **8868**
(Rev. January 2014)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**
▶ **Information about Form 8868 and its instructions is at** *www.irs.gov/form8868*.

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number

Type or print	Name of exempt organization or other filer, see instructions. FOUNDATION FOR DEEP ECOLOGY	Employer identification number (EIN) or 94-3106115
File by the due date for filing your return See instructions	Number, street, and room or suite no If a P.O box, see instructions. 1606 UNION STREET	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions. SAN FRANCISCO, CA 94123	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	**Return Code**	**Application Is For**	**Return Code**
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ ESTHER LI 1606 UNION STREET - SAN FRANCISCO, CA 94123
  Telephone No. ▶ (415) 229-9339 Fax No. ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box ▶ [ ] . If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until FEBRUARY 15, 2015 , to file the exempt organization return for the organization named above. The extension is for the organization's return for:
▶ [ ] calendar year ______ or
▶ [X] tax year beginning JUL 1, 2013 , and ending JUN 30, 2014 .

2 If the tax year entered in line 1 is for less than 12 months, check reason: [ ] Initial return [ ] Final return
[ ] Change in accounting period

3a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions.	3a	$ 9,129.
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	$ 11,368.
c	**Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	$ 0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.