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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation
Kevin J Mossier Foundation

A Employer identification number
41-1863691

Number and street (or P O box number if mail is not delivered to street address)
7201 Ohms Lane

Room/suite

B Telephone number (see instructions)
(952) 841-0550

City or town, state or province, country, and ZIP or foreign postal code
Edina, MN 55439

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)*▶$ 1,489,168

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities.	77,173	77,173	77,173	
	5a	Gross rents				
	b	Net rental income or (loss) ______				
	6a	Net gain or (loss) from sale of assets not on line 10	471,598			
	b	Gross sales price for all assets on line 6a 1,794,256				
	7	Capital gain net income (from Part IV, line 2) . . .		471,598		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	548,771	548,771	77,173	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	38,715	38,715		
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	1,975	1,975		
	c	Other professional fees (attach schedule)	38,389	38,389		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	19,252	19,252		
	19	Depreciation (attach schedule) and depletion . . .				
	20	Occupancy				
	21	Travel, conferences, and meetings	16,158	16,158		
	22	Printing and publications				
	23	Other expenses (attach schedule)	2,178	2,178		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	116,667	116,667		0
	25	Contributions, gifts, grants paid	1,527,000			1,527,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	1,643,667	116,667		1,527,000
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-1,094,896			
	b	**Net investment income** (if negative, enter -0-)		432,104		
	c	**Adjusted net income** (if negative, enter -0-) . . .			77,173	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	147,299	179,340	179,340
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	203,844	103,879	102,596
	b	Investments—corporate stock (attach schedule)	1,298,556	468,663	777,625
	c	Investments—corporate bonds (attach schedule)	589,287	392,208	429,607
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	2,238,986	1,144,090	1,489,168
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	7,172,821	7,172,821	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	-4,933,835	-6,028,731	
	30	**Total net assets or fund balances** (see page 17 of the instructions)	2,238,986	1,144,090	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	2,238,986	1,144,090	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,238,986
2	Enter amount from Part I, line 27a	2	-1,094,896
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,144,090
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,144,090

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	471,598
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	-4,928

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012			
2011			
2010			
2009			
2008			

2	**Total** of line 1, column (d).	2	
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	
5	Multiply line 4 by line 3.	5	
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	
7	Add lines 5 and 6.	7	
8	Enter qualifying distributions from Part XII, line 4.	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	8,642
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	8,642
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	8,642
6	Credits/Payments				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	11,600		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	11,600
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached			8	6
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	2,952
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 2,952 **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ ________			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶Gaasedelen & Goldberg PA Telephone no ▶(952) 841-0550

Located at ▶7201 Ohms Lane Edina MN Edina MN ZIP+4 ▶554392148

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20___, 20___, 20___, 20___				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)		2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20___, 20___, 20___, 20___				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*		3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		**No**
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☑ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		**No**
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		**No**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				
Total number of other employees paid over $50,000 ▶				

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions **3**	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	2,227,013
b	Average of monthly cash balances	1b	232,407
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	2,459,420
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	2,459,420
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	36,891
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,422,529
6	**Minimum investment return.** Enter 5% of line 5	6	121,126

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	121,126
2a	Tax on investment income for 2013 from Part VI, line 5 — 2a: 8,642		
b	Income tax for 2013 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	8,642
3	Distributable amount before adjustments Subtract line 2c from line 1	3	112,484
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	112,484
6	Deduction from distributable amount (see instructions)	6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	112,484

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	1,527,000
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,527,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,527,000

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				112,484
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.				
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2013				
a From 2008. 143,853				
b From 2009. 120,814				
c From 2010. 133,768				
d From 2011. 422,580				
e From 2012. 1,772,982				
f **Total** of lines 3a through e.	2,593,997			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 1,527,000				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				112,484
e Remaining amount distributed out of corpus	1,414,516			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	4,008,513			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .	143,853			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	3,864,660			
10 Analysis of line 9				
a Excess from 2009. . . . 120,814				
b Excess from 2010. . . . 133,768				
c Excess from 2011. . . . 422,580				
d Excess from 2012. . . . 1,772,982				
e Excess from 2013. . . . 1,414,516				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				1,527,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	77,173	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			14	471,598	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				548,771	

13 Total. Add line 12, columns (b), (d), and (e). 13 548,771

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		No
(2) Other assets.	**1a(2)**		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		No
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		No
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		No
(4) Reimbursement arrangements.	**1b(4)**		No
(5) Loans or loan guarantees.	**1b(5)**		No
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

******	2014-07-24	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Jay D Goldberg				P00610613
Firm's name ▶ Gaasedelen & Goldberg PA			Firm's EIN ▶	
Firm's address ▶ 7201 Ohms Lane Suite 100 Edina, MN 55439			Phone no (952) 841-0550	

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
Donald S Ofstedal 7201 Ohms Lane Suite 100 Edina, MN 55439	Trustee 2 00	7,743		
Charlie Rounds 7201 Ohms Lane Suite 100 Edina, MN 55439	Trustee 2 00	7,743		
Helen Dehner 7201 Ohms Lane Suite 100 Edina, MN 55439	Trustee 2 00	7,743		
Larry Bye 7201 Ohms Lane Suite 100 Edina, MN 55439	Trustee 2 00	7,743		
Steve Brandwein 7201 Ohms Lane Suite 100 Edina, MN 55439	Trustee 2 00	7,743		

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ACLU Lesbian Gay Rights 125 Broad Street 18th Floor New York, NY 10004	None	Public	General Support	35,000
Lambda Legal Def Educ 120 Wall St 1500 New York, NY 10005	None	Public	General Support	35,000
Servicemembers Legal Defense Networ P O Box 65301 Washington, DC 20035	None	Public	General Support	12,500
Out Front Minnesota Comm Svcs 310 - 38th St E 204 Minneapolis, MN 55409	None	Public	General Support	25,000
PFLAG 1726 M Street NW Ste 400 Washington, DC 20036	None	Public	General Support	25,000
Bolder Giving 330 W 38th St Suite 505 New York, NY 10018	None	Public	General Support	117,000
Metropole Film Board 545 W 110th St 9C New York, NY 10025	None	Public	General Support	10,000
LGBT Movement Advancement Project 2215 Market Street Denver, CO 80205	None	Public	General Support	25,000
Planned Parenthood of AZ 5651 N 7th St Phoenix, AZ 85014	None	Public	General Support	50,000
ARENA Dances 528 Hennepin Ave 508 Minneapolis, MN 55403	None	Public	General Support	25,000
One Voice Mixed Chorus 732 Holly Ave Suite Q St Paul, MN 55104	None	Public	General Support	10,000
Freedom to Marry 116 West 23rd St Suite 500 New York, NY 10011	None	Public	General Support	250,000
PFLAG Central Oregon PO Box 9182 Bend, OR 97708	None	Public	General Support	5,000
IGLTA Foundation 1201 NE 26th St Suite 103 Fort Lauderdale, FL 33305	None	Public	General Support	250,000
National Youth Recovery Foundation 223 University Avenue West St Paul, MN 55114	None	Public	General Support	50,000
Total . . . . ▶ **3a**				1,527,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
StartOut 1501 Broadway STE 2900 New York, NY 10036	None	Public	General Support	250,000
Horizons Foundation 550 Montgomery St Suite 700 San Francisco, CA 94111	None	Public	General Support	100,000
University of MN Foundation 200 Oak St SE STE 500 Minneapolis, MN 55455	None	Public	General Support	115,000
Basic Rights Education Fund PO Box 40625 Portland, OR 97240	None	Public	General Support	25,000
Cascades Theatrical Company 149 NW Greenwood Ave Bend, OR 97701	None	Public	General Support	10,000
TU Dance PO Box 40405 St Paul, MN 55104	None	Public	General Support	10,000
New York Foundation for the Arts 20 Jay St - 7th Floor Brooklyn, NY 11201	None	Public	General Support	10,000
Victory Fund 1133 15th St NW 350 Washington, DC 20005	None	Public	General Support	25,000
Aegis Foundation 528 Hennepin Ave S 304 Minneapolis, MN 55403	None	Public	General Support	20,000
Global Fund for Women 222 Sutter Street 500 San Francisco, CA 94108	None	Public	General Support	5,000
Transgender Law Center 1629 Telegraph Avenue 400 Oakland, CA 94612	None	Public	General Support	2,500
MASS MOCA 1040 Mass Moca Way North Adams, MA 01247	None	Public	General Support	30,000
Total . . . . ▶ **3a**				1,527,000

TY 2013 Accounting Fees Schedule

Name: Kevin J Mossier Foundation

EIN: 41-1863691

Software ID: 13000170

Software Version: 2013v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Gaasedelen & Goldberg	1,975	1,975	0	0

TY 2013 Investments Corporate Bonds Schedule

Name: Kevin J Mossier Foundation

EIN: 41-1863691

Software ID: 13000170

Software Version: 2013v3.1

Name of Bond	End of Year Book Value	End of Year Fair Market Value
Corporate Bonds	392,208	429,607

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TY 2013 Investments Corporate Stock Schedule

Name: Kevin J Mossier Foundation

EIN: 41-1863691

Software ID: 13000170

Software Version: 2013v3.1

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Common stock	468,663	777,625

TY 2013 Investments Government Obligations Schedule

Name: Kevin J Mossier Foundation

EIN: 41-1863691

Software ID: 13000170

Software Version: 2013v3.1

US Government Securities - End of Year Book Value: 103,879

US Government Securities - End of Year Fair Market Value: 102,596

State & Local Government Securities - End of Year Book Value:

State & Local Government Securities - End of Year Fair Market Value:

TY 2013 Other Expenses Schedule

Name: Kevin J Mossier Foundation
EIN: 41-1863691
Software ID: 13000170
Software Version: 2013v3.1

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Insurance	2,090	2,090		
Miscellaneous	63	63		
MN Annual Filing Fee	25	25		

TY 2013 Other Professional Fees Schedule

Name: Kevin J Mossier Foundation

EIN: 41-1863691

Software ID: 13000170

Software Version: 2013v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Consulting	21,667	21,667	0	0
Investment Advisor	16,722	16,722	0	0

TY 2013 Taxes Schedule

Name: Kevin J Mossier Foundation

EIN: 41-1863691

Software ID: 13000170

Software Version: 2013v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
2012 Federal Excise Tax Due	7,234	7,234		
2013 Federal Estimated Excise Tax	11,600	11,600		
Foreign Tax	418	418		

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss)

Accounting Method
Mutual Funds: Average
All Other Investments: High Cost

Short-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
GENERAL ELECTRIC COMPANY: GE	700.0000	05/23/13	11/08/13	$18,859.22	$16,527.13	$2,332.09
Security Subtotal				**$18,859.22**	**$16,527.13**	**$2,332.09**
HERBALIFE LTD F: HLF	225.0000	05/17/12	03/22/13	$8,552.72	$10,062.83	($1,510.11)
HERBALIFE LTD F: HLF	325.0000	05/17/12	05/15/13	$14,684.20	$14,535.19	$149.01
Security Subtotal				**$23,236.92**	**$24,598.02**	**($1,361.10)**
ISHARES US HOME CONST HOME CONSTRUCTION ETF: ITB	100.0000	03/04/13	11/08/13	$2,163.21	$2,290.92	($127.71)
ISHARES US HOME CONST HOME CONSTRUCTION ETF: ITB	700.0000	03/04/13	11/14/13	$15,846.19	$16,036.43	($190.24)
Security Subtotal				**$18,009.40**	**$18,327.35**	**($317.95)**
PUBLIC STORAG 6.35% PFDPFD SER R: PSA+R	1,000.0000	10/25/12	10/23/13	$24,746.62	$27,532.45	($2,785.83)
Security Subtotal				**$24,746.62**	**$27,532.45**	**($2,785.83)**
ROYAL DUTCH SHELL B ADRFSPONSORED ADR 1 ADR REPS 2: RDSB	200 0000	05/23/13	06/26/13	$13,159.62	$14,005.15	($845.53)
ROYAL DUTCH SHELL B ADRFSPONSORED ADR 1 ADR REPS 2: RDSB	100.0000	05/23/13	10/25/13	$7,235.32	$7,002.57	$232.75

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

charles SCHWAB
INSTITUTIONAL

Schwab One® Account of
KEVIN J MOSSIER FOUNDATION
CHARLES ROUNDS & STEVEN BRANDWEIN

Account Number
4976-5631

Report Period
January 1 - December 31, 2013

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ROYAL DUTCH SHELL B ADRFSPONSORED ADR 1 ADR REPS 2: RDSB	600.0000	05/23/13	11/08/13	$41,242.73	$42,015.45	($772.72)
Security Subtotal				**$61,637.67**	**$63,023.17**	**($1,385.50)**
UNILEVER N V NY SHS NEWFN Y REGISTRY SHARES 1 NEW YORK S· UN	600.0000	03/25/13	11/08/13	$23,049.25	$24,458.71	($1,409.46)
Security Subtotal				**$23,049.25**	**$24,458.71**	**($1,409.46)**
Total Short-Term				**$169,539.08**	**$174,466.83**	**($4,927.75)**

Long-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis *Adjusted*	Realized Gain or (Loss) *Adjusted*
ABB LTD ADR FSPONSORED ADR 1 ADR REP 1: ABB	1,000.0000	08/21/06	12/09/13	$25,342.61	$13,546 46	$11,796.15
Security Subtotal				**$25,342.61**	**$13,546.46**	**$11,796.15**
ABBVIE INC: ABBV	700.0000	10/06/06	11/08/13	$33,514.10	$16,849.14	$16,664.96
Security Subtotal				**$33,514.10**	**$16,849.14**	**$16,664.96**
AGILENT TECHNOLOGIES INC: A	100.0000	03/28/12	10/25/13	$5,175.56	$4,439.10	$736.46
Security Subtotal				**$5,175.56**	**$4,439.10**	**$736.46**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis *Adjusted*	Realized Gain or (Loss) *Adjusted*
AMERICAN WATER WORKS CO: AWK	125.0000	05/04/11	10/25/13	$5,258.21	$3,649.47	$1,608.74
AMERICAN WATER WORKS CO. AWK	300.0000	05/04/11	11/08/13	$12,816.22	$8,758.72	$4,057.50
Security Subtotal				**$18,074.43**	**$12,408.19**	**$5,666.24**
AMGEN INCORPORATED: AMGN	100.0000	07/15/08	11/19/13	$11,520.05	$5,247.63	$6,272.42
Security Subtotal				**$11,520.05**	**$5,247.63**	**$6,272.42**
APPLE INC: AAPL	10.0000	08/20/07	03/05/13	$4,221.97	$1,222.31	$2,999.66
APPLE INC: AAPL	25 0000	08/20/07	10/25/13	$13,255.57	$3,055.76	$10,199.81
APPLE INC: AAPL	50.0000	08/20/07	12/09/13	$28,458.55	$6,111 52	$22,347.03
Security Subtotal				**$45,936.09**	**$10,389.59**	**$35,546.50**
ATMOS ENERGY CORP: ATO	1,200 0000	12/06/07	07/17/13	$51,872.75	$32,684.04	$19,188.71
Security Subtotal				**$51,872.75**	**$32,684.04**	**$19,188.71**
AVAGO TECHNOLOGIES F: AVGO	200.0000	03/03/11	10/25/13	$9,097.09	$6,591.33	$2,505.76
AVAGO TECHNOLOGIES F: AVGO	400.0000	03/03/11	12/09/13	$18,922.12	$13,182.67	$5,739.45
Security Subtotal				**$28,019.21**	**$19,774.00**	**$8,245.21**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

CG1C1802-008738 393372

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis *Adjusted*	Realized Gain or (Loss) *Adjusted*
B C E INC NEW F: BCE	1,050.0000	02/23/12	06/26/13	$41,932.47	$42,028 27	($95.80)
Security Subtotal				**$41,932.47**	**$42,028.27**	**($95.80)**
BLACKROCK INC: BLK	30.0000	04/05/11	06/26/13	$7,702.45	$6,024.35	$1,678.10
BLACKROCK INC: BLK	75.0000	04/05/11	12/09/13	$22,726.90	$15,060 88	$7,666.02
Security Subtotal				**$30,429.35**	**$21,085.23**	**$9,344.12**
BOTTLING GROUP LLC 5%13 DUE 11/15/13: 10138MAF2	100,000.0000	08/16/07	11/15/13	$100,000.00	$97,490.00	$2,510.00
					$100,000.00	*$0.00* [b]
Security Subtotal				**$100,000.00**	**$97,490.00**	**$2,510.00**
BRISTOL-MYERS SQUIBB CO. BMY	100 0000	03/03/11	03/04/13	$3,670.27	$2,617.82	$1,052.45
BRISTOL-MYERS SQUIBB CO: BMY	200.0000	03/03/11	06/26/13	$9,139.29	$5,235.64	$3,903.65
BRISTOL-MYERS SQUIBB CO: BMY	300.0000	03/03/11	10/25/13	$14,592.10	$7,853.47	$6,738.63
BRISTOL-MYERS SQUIBB CO: BMY	300.0000	03/03/11	12/09/13	$15,471.08	$7,853.46	$7,617.62
Security Subtotal				**$42,872.74**	**$23,560.39**	**$19,312.35**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

CG1C1802-008738 393373

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis *Adjusted*	Realized Gain or (Loss) *Adjusted*
CITRIX SYSTEMS INC: CTXS	430.0000	07/27/11	11/08/13	$25,589.83	$31,067.59	($5,477.76)
Security Subtotal				**$25,589.83**	**$31,067.59**	**($5,477.76)**
CONOCOPHILLIPS: COP	200.0000	01/30/09	10/25/13	$14,643.40	$7,302.15	$7,341.25
CONOCOPHILLIPS: COP	200.0000	01/30/09	12/09/13	$14,105 20	$7,302.15	$6,803.05
Security Subtotal				**$28,748.60**	**$14,604.30**	**$14,144.30**
DR PEPPER SNAPPLE GROUP: DPS	200.0000	09/02/10	10/23/13	$9,319.09	$7,591.33	$1,727.76
DR PEPPER SNAPPLE GROUP: DPS	300 0000	09/02/10	11/08/13	$14,283.70	$11,387.00	$2,896.70
Security Subtotal				**$23,602.79**	**$18,978.33**	**$4,624.46**
DU PONT E I DE NEMOUR&CO: DD	200.0000	10/07/08	12/09/13	$12,285.24	$7,316.41	$4,968.83
Security Subtotal				**$12,285.24**	**$7,316.41**	**$4,968.83**
DUKE ENERGY CORP NEW: DUK	316.6666	01/17/08	02/15/13	$21,495.10	$18,748.80	$2,746.30
DUKE ENERGY CORP NEW: DUK	183.3333	11/06/08	02/15/13	$12,444.53	$8,622.23	$3,822.30
DUKE ENERGY CORP NEW DUK	100.0000	06/28/10	02/15/13	$6,787.93	$4,904.65	$1,883.28
Security Subtotal				**$40,727.56**	**$32,275.68**	**$8,451.88**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

CG1C1802-008738 393374

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INSTITUTIONAL

Schwab One® Account of
KEVIN J MOSSIER FOUNDATION
CHARLES ROUNDS & STEVEN BRANDWEIN

Account Number
4976-5631

Report Period
January 1 - December 31, 2013

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis *Adjusted*	Realized Gain or (Loss) *Adjusted*
E M C CORP MASS. EMC	100.0000	04/27/12	11/08/13	$2,380.31	$2,839.90	($459 59)
E M C CORP MASS: EMC	900 0000	04/27/12	11/19/13	$21,438.58	$25,559.05	($4,120.47)
Security Subtotal				**$23,818.89**	**$28,398.95**	**($4,580.06)**
F M C CORP NEW: FMC	200.0000	05/04/09	10/23/13	$14,816.39	$5,119.64	$9,696.75
F M C CORP NEW: FMC	300.0000	05/04/09	11/19/13	$22,120.76	$7,679.46	$14,441.30
Security Subtotal				**$36,937.15**	**$12,799.10**	**$24,138.05**
GOLDMAN SACHS G 5.25XXX**MATURED** DUE 04/01/13: 38141GDB7	100,000.0000	10/18/07	04/01/13	$100,000.00	$99,590.00 [a]	$410.00
Security Subtotal				**$100,000.00**	**$99,590.00**	**$410.00**
GOOGLE INC CLASS A: GOOG	3.1034	02/23/06	11/08/13	$3,148.35	$1,176.99	$1,971.36
GOOGLE INC CLASS A: GOOG	26.8966	08/16/06	11/08/13	$27,286.20	$10,340.44	$16,945.76
Security Subtotal				**$30,434.55**	**$11,517.43**	**$18,917.12**
GOVERNMENT PPTY INCM TR REIT: GOV	300.0000	08/12/11	03/04/13	$8,070.29	$6,608.55	$1,461.74
GOVERNMENT PPTY INCM TR REIT: GOV	1,300.0000	08/12/11	10/23/13	$32,583.82	$28,637.06	$3,946.76
Security Subtotal				**$40,654.11**	**$35,245.61**	**$5,408.50**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

CG1C1802-008738 393375

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds· Average
All Other Investments: High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
HEINZ H J CO: HNZ	550.0000	09/08/10	03/14/13	$39,859.83	$25,535.77	$14,324 06
Security Subtotal				**$39,859.83**	**$25,535.77**	**$14,324.06**
HOME DEPOT INC: HD	100 0000	11/08/10	03/04/13	$6,976.19	$3,145.06	$3,831.13
HOME DEPOT INC: HD	250.0000	11/08/10	11/08/13	$18,816.47	$7,862.64	$10,953.83
Security Subtotal				**$25,792.66**	**$11,007.70**	**$14,784.96**
INTEL CORP: INTC	300.0000	10/04/06	05/23/13	$7,182.82	$6,230.65	$952.17
Security Subtotal				**$7,182.82**	**$6,230.65**	**$952.17**
INTL BUSINESS MACHINES IBM	50.0000	01/14/08	10/25/13	$8,895.90	$5,178.83	$3,717.07
Security Subtotal				**$8,895.90**	**$5,178.83**	**$3,717.07**
JOHNSON CONTROLS INC: JCI	600.0000	07/31/09	05/23/13	$22,210.46	$15,614.93	$6,595.53
Security Subtotal				**$22,210.46**	**$15,614.93**	**$6,595.53**
KIMBERLY-CLARK CORP: KMB	75.0000	05/16/06	03/04/13	$7,097 97	$4,626.37	$2,471.60
KIMBERLY-CLARK CORP: KMB	125.0000	05/16/06	10/23/13	$13,035.48	$7,710.62	$5,324.86
Security Subtotal				**$20,133.45**	**$12,336.99**	**$7,796.46**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

CG1C1802-008738 393376

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
MARATHON OIL CORP: MRO	1,350 0000	11/07/05	05/23/13	$47,473 55	$23,869.14	$23,604.41
MARATHON OIL CORP: MRO	200.0000	06/24/10	05/23/13	$7,033.12	$3,951.66	$3,081.46
MARATHON OIL CORP: MRO	50.0000	03/25/11	05/23/13	$1,758.28	$1,520.89	$237.39
Security Subtotal				**$56,264.95**	**$29,341.69**	**$26,923.26**
MICROSOFT CORP: MSFT	300.0000	08/26/05	05/23/13	$10,263.77	$8,088.64 [a]	$2,175.13
MICROSOFT CORP: MSFT	200.0000	08/26/05	06/26/13	$6,859.53	$5,392.42 [a]	$1,467.11
MICROSOFT CORP: MSFT	200.0000	08/26/05	10/23/13	$6,771.73	$5,392.42 [a]	$1,379.31
MICROSOFT CORP: MSFT	200.0000	08/26/05	12/09/13	$7,759.11	$5,392.42 [a]	$2,366.69
Security Subtotal				**$31,654.14**	**$24,265.90**	**$7,388.24**
NIKE INC CLASS B: NKE	100.0000	04/06/11	03/04/13	$5,447.23	$3,926.12	$1,521.11
NIKE INC CLASS B: NKE	230.0000	04/06/11	06/26/13	$14,191.46	$9,030.07	$5,161.39
NIKE INC CLASS B: NKE	400.0000	04/06/11	12/09/13	$31,943.29	$15,704.46	$16,238.83
Security Subtotal				**$51,581.98**	**$28,660.65**	**$22,921.33**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

CG1C1802-008738 393377

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
OPPENHEIMER STEELPATH MLP SLCT 40 INST: MLPTX	5,592.3550	12/12/11	03/21/13	$65,883.92	$60,000.00	$5,883.92
Security Subtotal				**$65,883.92**	**$60,000.00**	**$5,883.92**
PFIZER INCORPORATED: PFE	200.0000	02/26/10	03/04/13	$5,507.93	$3,544.91	$1,963.02
PFIZER INCORPORATED: PFE	200.0000	02/26/10	10/25/13	$6,079.14	$3,544.91	$2,534.23
PFIZER INCORPORATED: PFE	300 0000	02/26/10	12/09/13	$9,453.49	$5,317.36	$4,136.13
Security Subtotal				**$21,040.56**	**$12,407.18**	**$8,633.38**
PFIZER INCORPORATED EXCHANGE OFFER EXP· 6/21/1: PFE	200.0000	02/26/10	06/26/13	$5,575.35	$3,544.91	$2,030.44
Security Subtotal				**$5,575.35**	**$3,544.91**	**$2,030.44**
QUALCOMM INC: QCOM	500.0000	05/09/05	11/08/13	$33,567.47	$18,022.80	$15,544.67
Security Subtotal				**$33,567.47**	**$18,022.80**	**$15,544.67**
REINSURANCE GP AMER NEW: RGA	100.0000	08/31/10	03/04/13	$5,754.32	$4,360.47	$1,393.85
REINSURANCE GP AMER NEW: RGA	300.0000	08/31/10	06/26/13	$20,108.10	$13,081.43	$7,026.67

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

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charles SCHWAB
INSTITUTIONAL

Schwab One® Account of
KEVIN J MOSSIER FOUNDATION
CHARLES ROUNDS & STEVEN BRANDWEIN

Account Number
4976-5631

Report Period
January 1 - December 31, 2013

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
REINSURANCE GP AMER NEW: RGA	300.0000	08/31/10	11/08/13	$21,274.87	$13,081.42	$8,193.45
Security Subtotal				**$47,137.29**	**$30,523.32**	**$16,613.97**
SENIOR HOUSING PPTYS TR REIT: SNH	400.0000	11/18/11	06/26/13	$10,227 59	$8,645.61	$1,581.98
SENIOR HOUSING PPTYS TR REIT: SNH	100.0000	11/18/11	10/23/13	$2,467.21	$2,161.40	$305.81
SENIOR HOUSING PPTYS TR REIT: SNH	1,000.0000	11/18/11	11/08/13	$23,332.64	$21,614.02	$1,718.62
Security Subtotal				**$36,027.44**	**$32,421.03**	**$3,606.41**
SIRONA DENTAL SYSTEMS: SIRO	200.0000	04/16/12	07/18/13	$13,712.81	$9,799 53	$3,913.28
SIRONA DENTAL SYSTEMS: SIRO	350.0000	04/16/12	11/08/13	$24,868.87	$17,149.19	$7,719.68
Security Subtotal				**$38,581.68**	**$26,948.72**	**$11,632.96**
T J X COS INC TJX	250.0000	11/11/08	04/16/13	$11,870.58	$2,849.22	$9,021.36
Security Subtotal				**$11,870.58**	**$2,849.22**	**$9,021.36**
TRAVELERS COMPANIES INC: TRV	200.0000	07/28/09	12/09/13	$17,723.14	$8,722.99	$9,000.15
Security Subtotal				**$17,723.14**	**$8,722.99**	**$9,000.15**
UNION PACIFIC CORP: UNP	100.0000	05/23/08	11/08/13	$15,437.06	$7,567.49	$7,869.57

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

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2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments. High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis *Adjusted*	Realized Gain or (Loss) *Adjusted*
UNION PACIFIC CORP: UNP	100.0000	11/06/08	11/08/13	$15,437.05	$6,221.95	$9,215.10
Security Subtotal				**$30,874.11**	**$13,789.44**	**$17,084.67**
US TREAS NOT 3.875%02XXX**MATURED**DUE 02/15/13: 912828AU4	100,000.0000	10/01/03	02/15/13	$100,000.00	$99,964.84 [a]	$35.16
Security Subtotal				**$100,000.00**	**$99,964.84**	**$35.16**
V F CORPORATION: VFC	175.0000	06/03/10	12/09/13	$40,738.74	$13,605.30	$27,133.44
Security Subtotal				**$40,738.74**	**$13,605.30**	**$27,133.44**
VANGUARD FTSE EMERGING MARKETS ETF: VWO	300.0000	04/17/09	05/15/13	$13,208.78	$8,143.12	$5,065.66
VANGUARD FTSE EMERGING MARKETS ETF: VWO	200.0000	04/17/09	06/26/13	$7,525.32	$5,428.75	$2,096.57
VANGUARD FTSE EMERGING MARKETS ETF: VWO	500.0000	04/17/09	07/17/13	$20,041.70	$13,571.86	$6,469.84
Security Subtotal				**$40,775.80**	**$27,143.73**	**$13,632.07**
WESTCORE INTL SMALL CAP FD: WTIFX	2,400 2950	09/17/10	10/25/13	$50,022.15	$32,500.00	$17,522.15
Security Subtotal				**$50,022.15**	**$32,500.00**	**$17,522.15**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

charles SCHWAB INSTITUTIONAL

Schwab One® Account of
KEVIN J MOSSIER FOUNDATION
CHARLES ROUNDS & STEVEN BRANDWEIN

Account Number
4976-5631

Report Period
January 1 - December 31, 2013

2013 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds: Average
All Other Investments: High Cost

Realized Gain or (Loss) (continued)

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
3M COMPANY: MMM	100.0000	08/31/04	06/26/13	$10,941.26	$8,139.24	$2,802.02
3M COMPANY: MMM	100 0000	08/31/04	12/09/13	$12,893.03	$8,139.24	$4,753.79
Security Subtotal				**$23,834.29**	**$16,278.48**	**$7,555.81**
Total Long-Term				**$1,624,716.79**	**$1,148,190.51**	**$476,526.28**
					$1,150,700.51	***$474,016.28*** [b]
Total Realized Gain or (Loss)				**$1,794,255.87**	**$1,322,657.34**	**$471,598.53**
					$1,325,167.34	***$469,088.53*** [b]

Schwab has provided realized gain/loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. See Terms and Conditions.
Option Customers: Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options.

Endnotes For Your Account

Symbol	Endnote Legend
a	Data for this holding has been edited or provided by the advisor.
b	When available, Adjusted Cost Basis values are used in Gain or (Loss) calculations.

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

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