Form 990-EZ

Short Form Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ Sponsoring organizations, and controlling organizations as defined in section 512(b)(13) must file Form 990 All other organizations with gross receipts less than $100,000 and total assets less than $250,000 at the end of the year may use this form

▶ *The organization may have to use a copy of this return to satisfy state reporting requirements*

Department of the Treasury
Internal Revenue Service

OMB No 1545-1150

2007

Open to Public Inspection

A For the 2007 calendar year, or tax year beginning , 2007, and ending , 20

B Check if applicable
- ☐ Address change
- ☐ Name change
- ☐ Initial return
- ☐ Termination
- ☐ Amended return
- ☐ Application pending

Please use IRS label or print or type. See Specific Instructions.

C Name of organization: AMERICAN PRINTING HISTORY ASSOCIATION (APHA)

Number and street (or P O box, if mail is not delivered to street address) Room/suite: P.O. BOX 4519, GRAND CENTRAL STATION

City or town, state or country, and ZIP + 4: NEW YORK, NY 10163-4519

D Employer identification number: 23 : 7441237

E Telephone number: (212) 930-9220

F Group Exemption Number . . ▶

- *Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ).*

G Accounting method. ☑ Cash ☐ Accrual Other (specify) ▶

I Website: ▶ WWW.printinghistory.org

J Organization type (check only one)— ☑ 501(c) (3) ◀ (insert no.) ☐ 4947(a)(1) or ☐ 527

H Check ▶ ☐ if the organization is **not** required to attach Schedule B (Form 990, 990-EZ, or 990-PF).

K Check ▶ ☐ if the organization is not a section 509(a)(3) supporting organization **and** its gross receipts are normally **not** more than $25,000 A return is not required, but if the organization chooses to file a return, be sure to file a complete return.

L Add lines 5b, 6b, and 7b, to line 9 to determine gross receipts; if $100,000 or more, file Form 990 instead of Form 990-EZ . ▶ $ 90,068

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (See page 55 of the instructions.)

Revenue	1	Contributions, gifts, grants, and similar amounts received	1	9,520
	2	Program service revenue including government fees and contracts	2	31,799
	3	Membership dues and assessments	3	46,053
	4	Investment income	4	2,696
	5a	Gross amount from sale of assets other than inventory — 5a: 0		
	b	Less: cost or other basis and sales expenses — 5b: 0		
	c	Gain or (loss) from sale of assets other than inventory. Subtract line 5b from line 5a (attach schedule)	5c	0
	6	Special events and activities (attach schedule) If any amount is from **gaming,** check here ▶ ☐		
	a	Gross revenue (not including $ _____ of contributions reported on line 1) — 6a: 0		
	b	Less: direct expenses other than fundraising expenses — 6b: 0		
	c	Net income or (loss) from special events and activities. Subtract line 6b from line 6a	6c	0
	7a	Gross sales of inventory, less returns and allowances — 7a: 0		
	b	Less: cost of goods sold — 7b: 0		
	c	Gross profit or (loss) from sales of inventory. Subtract line 7b from line 7a	7c	0
	8	Other revenue (describe ▶ _____)	8	0
	9	**Total revenue.** Add lines 1, 2, 3, 4, 5c, 6c, 7c, and 8 ▶	9	90,068
Expenses	10	Grants and similar amounts paid (attach schedule) SEE ATTACHED FINANCIAL REPORT	10	2,000
	11	Benefits paid to or for members	11	0
	12	Salaries, other compensation, and employee benefits	12	0
	13	Professional fees and other payments to independent contractors	13	10,000
	14	Occupancy, rent, utilities, and maintenance	14	0
	15	Printing, publications, postage, and shipping	15	42,136
	16	Other expenses (describe ▶ SEE ATTACHED FINANCIAL REPORT)	16	22,023
	17	**Total expenses.** Add lines 10 through 16 ▶	17	76,159
Net Assets	18	Excess or (deficit) for the year Subtract line 17 from line 9	18	13,909
	19	Net assets or fund balances at beginning of year (from line 27, column (A)) (must agree with end-of-year figure reported on prior year's return)	19	110,212
	20	Other changes in net assets or fund balances (attach explanation)	20	0
	21	Net assets or fund balances at end of year. Combine lines 18 through 20 ▶	21	124,121

Part II Balance Sheets—If Total assets on line 25, column (B) are $250,000 or more, file Form 990 instead of Form 990-EZ.

(See page 60 of the instructions.)

		(A) Beginning of year		(B) End of year
22	Cash, savings, and investments	110,212	22	124,121
23	Land and buildings	0	23	0
24	Other assets (describe ▶ _____)	0	24	0
25	**Total assets**	110,212	25	124,121
26	**Total liabilities** (describe ▶ _____)	0	26	0
27	**Net assets or fund balances** (line 27 of column (B) **must** agree with line 21)	110,212	27	124,121

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Part III Statement of Program Service Accomplishments (See page 60 of the instructions.)

What is the organization's primary exempt purpose? PUBLICATION OF A SCHOLARLY JOURNAL.

Describe what was achieved in carrying out the organization's exempt purposes. In a clear and concise manner, describe the services provided, the number of persons benefited, or other relevant information for each program title.

Expenses (Required for 501(c)(3) and (4) organizations and 4947(a)(1) trusts, optional for others)

28 PUBLICATION OF A JOURNAL AND NEWSLETTER AND SPONSORSHIP OF AN ANNUAL MEETING FOR INDIVIDUALS AND ORGANIZATIONS CONCERNED WITH PRINTING, PUBLISHING, AND THE HISTORY OF PRINTING.

(Grants $) If this amount includes foreign grants, check here ▶ ☐ 28a 76,159

29 (Grants $) If this amount includes foreign grants, check here ▶ ☐ 29a

30 (Grants $) If this amount includes foreign grants, check here ▶ ☐ 30a

31 Other program services (attach schedule)

(Grants $) If this amount includes foreign grants, check here ▶ ☐ 31a

32 **Total program service expenses.** Add lines 28a through 31a ▶ 32 76,159

Part IV List of Officers, Directors, Trustees, and Key Employees (List each one even if not compensated. See page 61 of the instructions.)

(A) Name and address	(B) Title and average hours per week devoted to position	(C) Compensation (If not paid, enter -0-.)	(D) Contributions to employee benefit plans & deferred compensation	(E) Expense account and other allowances
SEE ATTACHED BOARD DIRECTORY				

Part V Other Information (Note the statement requirement in General Instruction V.)

			Yes	No
33	Did the organization make a change in its activities or methods of conducting activities? If "Yes," attach a detailed statement of each change	33		X
34	Were any changes made to the organizing or governing documents but not reported to the IRS? If "Yes," attach a conformed copy of the changes	34		X
35	*If the organization had income from business activities, such as those reported on lines 2, 6, and 7 (among others), but* ***not*** *reported on Form 990-T, attach a statement explaining your reason for not reporting the income on Form 990-T.*			
a	Did the organization have unrelated business gross income of $1,000 or more or 6033(e) notice, reporting, and proxy tax requirements?	35a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	35b		X
36	Was there a liquidation, dissolution, termination, or substantial contraction during the year? If "Yes," attach a statement.	36		X
37a	Enter amount of political expenditures, direct or indirect, as described in the instructions. ▶ 37a			
b	Did the organization file **Form 1120-POL** for this year?	37b		X
38a	Did the organization borrow from, or make any loans to, any officer, director, trustee, or key employee **or** were any such loans made in a prior year and still unpaid at the start of the period covered by this return?	38a		X
b	If "Yes," attach the schedule specified in the line 38 instructions and enter the amount involved 38b			
39	*501(c)(7) organizations.* Enter:			
a	Initiation fees and capital contributions included on line 9 39a			
b	Gross receipts, included on line 9, for public use of club facilities 39b			

Part V Other Information (Note the statement requirement in General Instruction V.) *(Continued)*

40a *501(c)(3) organizations.* Enter amount of tax imposed on the organization during the year under: section 4911 ▶ N/A ; section 4912 ▶ N/A ; section 4955 ▶ N/A

		Yes	No
b	*501(c)(3) and (4) organizations* Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach an explanation . .	40b	X
c	Enter amount of tax imposed on organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 . . . . . ▶		
d	Enter amount of tax on line 40c reimbursed by the organization . . . . . ▶		
e	*All organizations.* At any time during the tax year, was the organization a party to a prohibited tax shelter transaction?	40e	X

41 List the states with which a copy of this return is filed. ▶

42a The books are in care of ▶ STEPHEN CROOK, EXECUTIVE SECRETARY Telephone no. ▶ (212) 930-9220
Located at ▶ NEW YORK PUBLIC LIBRARY, 5TH AVE. & 42ND ST., NEW YORK ZIP + 4 ▶ 10018-2788

		Yes	No
b	At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	42b	X
	If "Yes," enter the name of the foreign country: ▶		
	See the instructions for exceptions and filing requirements for **Form TD F 90-22.1.**		
c	At any time during the calendar year, did the organization maintain an office outside of the U.S.?	42c	X
	If "Yes," enter the name of the foreign country: ▶		

43 *Section 4947(a)(1) nonexempt charitable trusts filing Form 990-EZ in lieu of* ***Form 1041***—Check here . . . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the tax year . . . ▶ | 43 |

Please Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Stephen G. Crook — Signature of officer — MAY 1, 2008 — Date

STEPHEN G. CROOK, EXECUTIVE SECRETARY — Type or print name and title

Paid Preparer's Use Only

Preparer's signature	Date	Check if self-employed ▶ ☐	Preparer's SSN or PTIN (See Gen Inst X)
Firm's name (or yours if self-employed), address, and ZIP + 4		EIN ▶	
		Phone no ▶ ( )	

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SCHEDULE A (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Organization Exempt Under Section 501(c)(3)

(Except Private Foundation) and Section 501(e), 501(f), 501(k), 501(n), or 4947(a)(1) Nonexempt Charitable Trust

Supplementary Information—(See separate instructions.)

▶ **MUST be completed by the above organizations and attached to their Form 990 or 990-EZ**

OMB No 1545-0047

2007

Name of the organization: AMERICAN PRINTING HISTORY ASSN (APHA)

Employer identification number: 23 : 7441237

Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees

(See page 1 of the instructions. List each one. If there are none, enter "None.")

(a) Name and address of each employee paid more than $50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
NONE				
Total number of other employees paid over $50,000 ▶				

Part II-A Compensation of the Five Highest Paid Independent Contractors for Professional Services

(See page 2 of the instructions. List each one (whether individuals or firms). If there are none, enter "None.")

(a) Name and address of each independent contractor paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part II-B Compensation of the Five Highest Paid Independent Contractors for Other Services

(List each contractor who performed services other than professional services, whether individuals or firms. If there are none, enter "None." See page 2 of the instructions.)

(a) Name and address of each independent contractor paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of other contractors receiving over $50,000 for other services ▶		

Part III Statements About Activities (See page 2 of the instructions.)

		Yes	No
1	During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If "Yes," enter the total expenses paid or incurred in connection with the lobbying activities ▶ $ _____ (Must equal amounts on line 38, Part VI-A, or line i of Part VI-B)	1		X
	Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A. Other organizations checking "Yes" must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities.			
2	During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any substantial contributors, trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary? *(If the answer to any question is "Yes," attach a detailed statement explaining the transactions.)*			
a	Sale, exchange, or leasing of property?	2a		X
b	Lending of money or other extension of credit?	2b		X
c	Furnishing of goods, services, or facilities?	2c		X
d	Payment of compensation (or payment or reimbursement of expenses if more than $1,000)?	2d		X
e	Transfer of any part of its income or assets?	2e		X
3a	Did the organization make grants for scholarships, fellowships, student loans, etc ? (If "Yes," attach an explanation of how the organization determines that recipients qualify to receive payments)	3a	X	
b	Did the organization have a section 403(b) annuity plan for its employees?	3b		X
c	Did the organization receive or hold an easement for conservation purposes, including easements to preserve open space, the environment, historic land areas or historic structures? If "Yes," attach a detailed statement	3c		X
d	Did the organization provide credit counseling, debt management, credit repair, or debt negotiation services?	3d		X
4a	Did the organization maintain any donor advised funds? If "Yes," complete lines 4b through 4g. If "No," complete lines 4f and 4g	4a		X
b	Did the organization make any taxable distributions under section 4966?	4b		X
c	Did the organization make a distribution to a donor, donor advisor, or related person?	4c		X
d	Enter the total number of donor advised funds owned at the end of the tax year ▶ _____			
e	Enter the aggregate value of assets held in all donor advised funds owned at the end of the tax year ▶ _____			
f	Enter the total number of separate funds or accounts owned at the end of the tax year (excluding donor advised funds included on line 4d) where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts ▶ _____			
g	Enter the aggregate value of assets held in all funds or accounts included on line 4f at the end of the tax year ▶ _____			

Part IV Reason for Non-Private Foundation Status (See pages 4 through 8 of the instructions.)

I certify that the organization is not a private foundation because it is: (Please check only **ONE** applicable box.)

5 ☐ A church, convention of churches, or association of churches. Section 170(b)(1)(A)(i)

6 ☐ A school. Section 170(b)(1)(A)(ii). (Also complete Part V.)

7 ☐ A hospital or a cooperative hospital service organization. Section 170(b)(1)(A)(iii).

8 ☐ A federal, state, or local government or governmental unit. Section 170(b)(1)(A)(v).

9 ☐ A medical research organization operated in conjunction with a hospital. Section 170(b)(1)(A)(iii). **Enter the hospital's name, city, and state** ▶ .....

10 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit. Section 170(b)(1)(A)(iv). (Also complete the **Support Schedule** in Part IV-A.)

11a ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public Section 170(b)(1)(A)(vi). (Also complete the **Support Schedule** in Part IV-A.)

11b ☐ A community trust Section 170(b)(1)(A)(vi). (Also complete the **Support Schedule** in Part IV-A)

12 ☐ An organization that normally receives: **(1) more than 33⅓%** of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc., functions—subject to certain exceptions, and **(2) no more than 33⅓%** of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Also complete the **Support Schedule** in Part IV-A)

13 ☐ An organization that is not controlled by any disqualified persons (other than foundation managers) and otherwise meets the requirements of section 509(a)(3). Check the box that describes the type of supporting organization:

☐ Type I ☐ Type II ☐ Type III-Functionally Integrated ☐ Type III-Other

Provide the following information about the supported organizations. (See page 8 of the instructions.)

(a) Name(s) of supported organization(s)	(b) Employer identification number (EIN)	(c) Type of organization (described in lines 5 through 12 above or IRC section)	(d) Is the supported organization listed in the supporting organization's governing documents?		(e) Amount of support
			Yes	No	
Total ▶					

14 ☐ An organization organized and operated to test for public safety Section 509(a)(4). (See page 8 of the instructions)

Part IV-A Support Schedule (Complete only if you checked a box on line 10, 11, or 12.) ***Use cash method of accounting.***

Note: *You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting.*

Calendar year (or fiscal year beginning in) ▶	(a) 2006	(b) 2005	(c) 2004	(d) 2003	(e) Total
15 Gifts, grants, and contributions received (Do not include unusual grants See line 28.)	3,825	11,555	6,860	1,445	23,685
16 Membership fees received	37,222	40,960	41,100	38,840	158,122
17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable, etc., purpose					
18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, income from similar sources, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975	818	1,274	475	670	3,237
19 Net income from unrelated business activities not included in line 18.					
20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf					
21 The value of services or facilities furnished to the organization by a governmental unit without charge Do not include the value of services or facilities generally furnished to the public without charge					
22 Other income. Attach a schedule Do not include gain or (loss) from sale of capital assets	16,443	17,188	16,619	19,178	69,428
23 Total of lines 15 through 22	58,308	70,977	65,054	60,133	254,472
24 Line 23 minus line 17	58,308	70,977	65,054	60,133	254,472
25 Enter 1% of line 23	583	709	650	601	

26 Organizations described on lines 10 or 11: **a** Enter 2% of amount in column (e), line 24 ▶ **26a**

b Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 2003 through 2006 exceeded the amount shown in line 26a **Do not file this list with your return.** Enter the total of all these excess amounts ▶ **26b**

c Total support for section 509(a)(1) test: Enter line 24, column (e) ▶ **26c**

d Add: Amounts from column (e) for lines: 18 _____ 19 _____ 22 _____ 26b _____ ▶ **26d**

e Public support (line 26c minus line 26d total) ▶ **26e**

f Public support percentage (line 26e (numerator) divided by line 26c (denominator)) ▶ **26f** %

27 Organizations described on line 12: **a** For amounts included in lines 15, 16, and 17 that were received from a "disqualified person," prepare a list for your records to show the name of, and total amounts received in each year from, each "disqualified person." **Do not file this list with your return.** Enter the sum of such amounts for each year.

(2006) NONE (2005) NONE (2004) NONE (2003) NONE

b For any amount included in line 17 that was received from each person (other than "disqualified persons"), prepare a list for your records to show the name of, and amount received for each year, that was more than the **larger** of **(1)** the amount on line 25 for the year or **(2)** $5,000 (Include in the list organizations described in lines 5 through 11b, as well as individuals.) **Do not file this list with your return.** After computing the difference between the amount received and the larger amount described in **(1)** or **(2),** enter the sum of these differences (the excess amounts) for each year:

(2006) NONE (2005) NONE (2004) NONE (2003) NONE

c Add. Amounts from column (e) for lines: 15 23,685 16 158,122 17 _____ 20 _____ 21 _____ ▶ **27c** 181,807

d Add: Line 27a total _____ and line 27b total _____ ▶ **27d** 0

e Public support (line 27c total minus line 27d total) ▶ **27e** 181,807

f Total support for section 509(a)(2) test. Enter amount from line 23, column (e) ▶ **27f** 254,472

g Public support percentage (line 27e (numerator) divided by line 27f (denominator)) ▶ **27g** 71 %

h Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator)) ▶ **27h** 1 %

28 Unusual Grants: For an organization described in line 10, 11, or 12 that received any unusual grants during 2003 through 2006, prepare a list for your records to show, for each year, the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant. **Do not file this list with your return.** Do not include these grants in line 15.

Part V **Private School Questionnaire** (See page 9 of the instructions.)
(To be completed ONLY by schools that checked the box on line 6 in Part IV)

			Yes	No
29	Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?	29		
30	Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?	30		
31	Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves?	31		
	If "Yes," please describe; if "No," please explain. (If you need more space, attach a separate statement.)			
32	Does the organization maintain the following:			
a	Records indicating the racial composition of the student body, faculty, and administrative staff?	32a		
b	Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?	32b		
c	Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?	32c		
d	Copies of all material used by the organization or on its behalf to solicit contributions?	32d		
	If you answered "No" to any of the above, please explain. (If you need more space, attach a separate statement.)			
33	Does the organization discriminate by race in any way with respect to:			
a	Students' rights or privileges?	33a		
b	Admissions policies?	33b		
c	Employment of faculty or administrative staff?	33c		
d	Scholarships or other financial assistance?	33d		
e	Educational policies?	33e		
f	Use of facilities?	33f		
g	Athletic programs?	33g		
h	Other extracurricular activities?	33h		
	If you answered "Yes" to any of the above, please explain. (If you need more space, attach a separate statement.)			
34a	Does the organization receive any financial aid or assistance from a governmental agency?	34a		
b	Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either 34a or b, please explain using an attached statement.	34b		
35	Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4.05 of Rev. Proc. 75-50, 1975-2 C.B. 587, covering racial nondiscrimination? If "No," attach an explanation	35		

Part VI-A **Lobbying Expenditures by Electing Public Charities** (See page 11 of the instructions.)
(To be completed **ONLY** by an eligible organization that filed Form 5768)

Check ▶ **a** ☐ if the organization belongs to an affiliated group Check ▶ **b** ☐ if you checked "a" and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Affiliated group totals	(b) To be completed for all electing organizations
36 Total lobbying expenditures to influence public opinion (grassroots lobbying)	36		
37 Total lobbying expenditures to influence a legislative body (direct lobbying)	37		
38 Total lobbying expenditures (add lines 36 and 37)	38		
39 Other exempt purpose expenditures	39		
40 Total exempt purpose expenditures (add lines 38 and 39)	40		
41 Lobbying nontaxable amount. Enter the amount from the following table—			
If the amount on line 40 is— **The lobbying nontaxable amount is—**			
Not over $500,000 — 20% of the amount on line 40			
Over $500,000 but not over $1,000,000 — $100,000 plus 15% of the excess over $500,000			
Over $1,000,000 but not over $1,500,000 — $175,000 plus 10% of the excess over $1,000,000	41		
Over $1,500,000 but not over $17,000,000 — $225,000 plus 5% of the excess over $1,500,000			
Over $17,000,000 — $1,000,000			
42 Grassroots nontaxable amount (enter 25% of line 41)	42		
43 Subtract line 42 from line 36. Enter -0- if line 42 is more than line 36	43		
44 Subtract line 41 from line 38. Enter -0- if line 41 is more than line 38	44		
Caution: *If there is an amount on either line 43 or line 44, you must file Form 4720.*			

4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 45 through 50 on page 13 of the instructions.)

	Lobbying Expenditures During 4-Year Averaging Period				
Calendar year (or fiscal year beginning in) ▶	**(a)** 2007	**(b)** 2006	**(c)** 2005	**(d)** 2004	**(e)** Total
45 Lobbying nontaxable amount					
46 Lobbying ceiling amount (150% of line 45(e))					
47 Total lobbying expenditures					
48 Grassroots nontaxable amount					
49 Grassroots ceiling amount (150% of line 48(e))					
50 Grassroots lobbying expenditures					

Part VI-B **Lobbying Activity by Nonelecting Public Charities**
(For reporting only by organizations that did not complete Part VI-A) (See page 14 of the instructions.)

During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of.	Yes	No	Amount
a Volunteers			
b Paid staff or management (Include compensation in expenses reported on lines **c** through **h.**)			
c Media advertisements			
d Mailings to members, legislators, or the public			
e Publications, or published or broadcast statements			
f Grants to other organizations for lobbying purposes			
g Direct contact with legislators, their staffs, government officials, or a legislative body			
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means			
i Total lobbying expenditures (Add lines **c** through **h.**)			

If "Yes" to any of the above, also attach a statement giving a detailed description of the lobbying activities.

Part VII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations** (See page 14 of the instructions.)

51 Did the reporting organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

		Yes	No
a Transfers from the reporting organization to a noncharitable exempt organization of:			
(i) Cash	51a(i)		
(ii) Other assets	a(ii)		
b Other transactions			
(i) Sales or exchanges of assets with a noncharitable exempt organization	b(i)		
(ii) Purchases of assets from a noncharitable exempt organization	b(ii)		
(iii) Rental of facilities, equipment, or other assets	b(iii)		
(iv) Reimbursement arrangements	b(iv)		
(v) Loans or loan guarantees	b(v)		
(vi) Performance of services or membership or fundraising solicitations	b(vi)		
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	c		

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting organization. If the organization received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received:

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

52a Is the organization directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ▶ ☐ Yes ☐ No

b If "Yes," complete the following schedule:

(a) Name of organization	(b) Type of organization	(c) Description of relationship

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APHA FINANCIAL REPORT

		Fiscal Year: January 1 through December 31	Cash Basis
	2007 Budget	2007 YTD	2006 YTD
INCOME (GENERAL)			
Membership Dues	36,000	38,891[1] - LINE 3	31,930
Chapter Dues	2,000	3,790 - LINE 3	1,920
Withheld Chapter Dues	3,372[2]	3,372 - LINE 3	3,372
Mailing List Rentals	300	0 - LINE 2	300
PH Sales	500	1,300 - LINE 2	280
Ad Sales	1,080	1,484 - LINE 2	0
Annual Conference	12,000	15,695[3] - LINE 2	0
Annual Fund	300	565 - LINE 1	645
Interest (General)	200	270 - LINE 4	165
Gifts (Unrestricted)	0	0 - LINE 1	0
TOTAL	55,752	65,367	38,612
INCOME (RESTRICTED, INCLUDING SPECIAL PROJECTS)			
Lieb. Fund Dividends	225	250 - LINE 4	242
Board Contributions to Endowment	1,000	660 - LINE 1	925
Other Contributions to Endowment	0	595 - LINE 1	170
Endowment Dividends	1,000	2,176 - LINE 4	411
Gifts/Grants (one-time)	0	0 - LINE 1	85
Oral History Project	1,000[4]	0 - LINE 1	0
Fellowship Award	2,000	2,000 - LINE 1	2,000
Fellowship Endowment	N/A	5,700 - LINE 1	N/A
Transfer from Pub Revolving Fund		N/A	10,935
PH49/50	17,879	10,582 - LINE 2	N/A
Publication Sales	2,500	2,738 - LINE 2	4,928
TOTAL	25,604	24,701	19,696
TOTAL INCOME	**81,356**	**90,068**	**58,308**

Membership by Category (2006 YTD in parenthesis)

Individual: 464 (443)
Institutional: 120 (122)
Sustaining 13 (6)
Contributing: 60 (69)
Foreign Individual: 20 (18)
Foreign Institutional: 29 (32)
Student: 14 (16)
Honorary/Conferred: 10/2 (13/1)

TOTAL: 732 (720)

[1] Total 2007 dues received, including 2007 dues received in 2006: $38,570.
[2] New York Chapter dues withheld : $3,052; Chesapeake Chapter dues withheld: $320.
[3] Includes $2,500 Delmas grant and $500 gift
[4] This amount represents unspent balance of 2004 gift carried over to 2007.

	2007 Budget	2007 YTD	2006 YTD
EXPENSES (GENERAL)			
Membership	1,800	1,375 – LINE 15	1,433
Management			
Chapter Dues Paid	5,372[5]	5,392 - LINE 16	3,541
Printing/Mailing Dues Notice	1,400	1,386 - LINE 15	1,368
PH New Series 1/2	17,142	21,578 – LINE 15	N/A
Newsletter	7,300	7,215 - LINE 15	2,045
Annual Conference	12,000	13,432 - LINE 16	2,355
Annual Meeting	1,400	1,280 – LINE 16	1,007
Executive Secretary	10,000	10,000 – LINE 13	10,000
Supplies	500	156 – LINE 16	397
Fees	2,250[6]	1,606 - LINE 16	1,696
Website	225	157 - LINE 16	307
TOTAL	59,989	63,577	24,149
EXPENSES (RESTRICTED, INCLUDING SPECIAL PROJECTS)			
Lieberman Lecture	1,000	0- LINE 16	1,034
Oral History	1,000	0 - LINE 16	0
Fellowship Award	2,000	2,000 - LINE 10	2,000
PH49/50	17,879	10,582 – LINE 15	3,121
Drawn from Pub Revolving Fund		N/A	10,935
TOTAL	21,879	12,582	17,090
TOTAL EXPENSES	**81,268**	**76,159**	**41,239**
BALANCE	**(688)**	**13,909**	**17,069**
OPERATING BUDGET BALANCE	**(4,237)**	**1,790**	**N/A**

TO:

PAUL SHAW
785 WEST END AVENUE, #16A
NEW YORK, NY 10025

[5] Includes withheld New York and Chesapeake Chapter dues (see footnote 2.)
[6] Includes monthly bank fees, credit card processing fees, annual P.O. box rental, teleconferencing charges, and board directors' insurance.

The American Printing History Association

The Mark Samuels Lasner Fellowship in Printing History

About APHA

Printing History

Regional Chapters

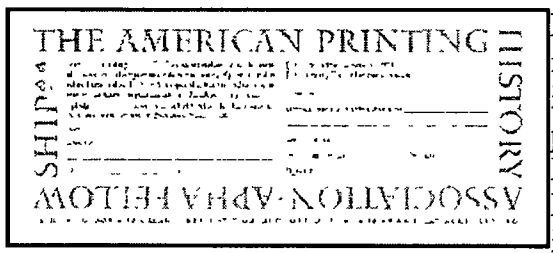
APHA Events

Site Map

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The Mark Samuels Lasner Fellowship in Printing History is a program providing an award of up to $2,000, for research in any area of the history of printing in all its forms, including all the arts and technologies relevant to printing, the book arts, and letter forms. The subject of research has no geographical or chronological limitations, and may be national or regional in scope, biographical, analytical, technical, or bibliographical in nature. Printing history-related study with a recognized printer or book artist may also be supported. The fellowship can be used to pay for travel, living, and other expenses. The fellowship, named for the first donor of the fellowship, was started in 2002.

NB: In January 2008 the American Printing History Association named this program the "Mark Samuels Lasner Fellowship in Printing History" and designated the winners of the competition as "Mark Samuels Lasner Fellows."



From 2003 to 2007, funding for the fellowship depended on an individual donor. To ensure the permanence of the Mark Samuels Lasner Fellowship in Printing History, APHA is actively engaged in raising an endowment. Our goal is a $50,000 endowment to provide a $2,000 annual award. *More information*

The 2008 Mark Samuels Lasner Fellowship in Printing History

APHA is pleased to announce the winners of the 2008 competition. Pablo Alvarez and Keli E. Rylance will be the Mark Samuels Lasner Fellows for 2008. The Fellowship Committee's citation follows:

> **Pablo Alvarez of the University of Rochester and Keli E. Rylance of Tulane University were chosen as this year's recipients of the Fellowship.** Their proposal is to analyze one of only two known extant copies of *Institución y origen del arte de la imprenta, y reglas generales para los componedores* (*Institution and Origin of the Art of Printing, and General Rules for Compositors*) written by Spanish printer and compositor Alonso Víctor de Paredes, ca. 1680. This text pre-dates Moxon's *Mechanic Exercises* by about

local repositories.

View the complete 2007 Competition announcement and linked application.

2006 Winner: Paul Shaw on W. A. Dwiggins

Paul Shaw is the winner of the 2006 Mark Samuels Lasner Fellowship in Printing History. The fellowship goes to support Mr. Shaw's research on American designer, artist, calligrapher and illustrator William Addison Dwiggins (1880-1956) whose biography he is writing. The fellowship, providing an award of up to $2,000 for research in any area of the history of printing, will be used by Mr. Shaw to further his biographical and bibliographical research on Dwiggins's life and works. There is no full-length, comprehensive biography of W. A. Dwiggins ("WAD") and the standard bibliography lacks more than 150 items which his research has uncovered. WAD was incontrovertibly important to the history of American design and typography. Mr. Shaw writes:

> Dwiggins was a book designer, commercial artist, type designer, illustrator and calligrapher/letterer. He wrote extensively on various aspects of the graphic arts and, privately, created an entire marionette theater. In all of these fields he was an influential figure. Through his work for Alfred A. Knopf, Inc. Dwiggins brought the high standards of fine printing to mass market books. Similarly, with his type designs for Mergenthaler Linotype, he attempted to put machine composition on a par with foundry type.
>
> Although Dwiggins is best known for his work in these two fields, his career as a commercial artist from c.1905 to the end of the 1920s is equally deserving of attention. He was a leading figure in the transition from commercial art to graphic design, coining the latter term in 1922 to describe the changed nature of the business by that time. His work in advertising was summed up in *Layout in Advertising* (1928). As a commercial artist Dwiggins was highly revered by his contemporaries for his illustration, his decoration and his lettering. In the 1920s he developed a unique form of stencil illustration and decoration with Art Deco overtones. Independently of the English Arts & Crafts movement, he pioneered broad-pen calligraphy in the United States. His later lettering, despite echoes of Caslon and Bodoni, was often idiosyncratic. Combined with his stencil designs they made his mature work uncategorizable: neither pure Art Deco nor Bauhaus

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Bold Face = Preferred Address

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