

**Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

**2006**

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

The organization may have to use a copy of this return to satisfy state reporting requirements

**A** For the 2006 calendar year, or tax year beginning **JUL 1, 2006** and ending **JUN 30, 2007**

**B** Check if applicable:  
 Address change  
 Name change  
 Initial return  
 Final return  
 Amended return  
 Application pending

Please use IRS label or print or type. See Specific Instructions

**C** Name of organization: **UNITED WAY OF THE CAPITAL AREA, INC.**  
 Number and street (or P.O. box if mail is not delivered to street address): **30 LAUREL STREET**  
 Room/suite: \_\_\_\_\_  
 City or town, state or country, and ZIP + 4: **HARTFORD, CT 06106**

**D** Employer identification number: **06-0646653**

**E** Telephone number: **860-493-6800**

**F** Accounting method:  Cash  Accrual  
 Other (specify) \_\_\_\_\_

Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ).

H and I are not applicable to section 527 organizations

H(a) Is this a group return for affiliates?  Yes  No

H(b) If "Yes," enter number of affiliates: **N/A**

H(c) Are all affiliates included? **N/A**  Yes  No (If "No," attach a list)

H(d) Is this a separate return filed by an organization covered by a group ruling?  Yes  No

I Group Exemption Number: **N/A**

M Check  if the organization is not required to attach Sch. B (Form 990, 990-EZ, or 990-PF)

**G** Website: **WWW.UWCACT.ORG**

**J** Organization type (check only one)  501(c)(3) (insert no.)  4947(a)(1) or  527

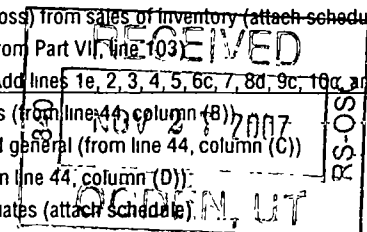
**K** Check here  if the organization is not a 509(a)(3) supporting organization and its gross receipts are normally not more than \$25,000. A return is not required, but if the organization chooses to file a return, be sure to file a complete return

**L** Gross receipts. Add lines 6b, 8b, 9b, and 10b to line 12: **30,800,409.**

**Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances**

		1a	1b	1c	1d	1e
Revenue	<b>1</b> Contributions, gifts, grants, and similar amounts received					
	<b>a</b> Contributions to donor advised funds					
	<b>b</b> Direct public support (not included on line 1a)		25,371,266.			
	<b>c</b> Indirect public support (not included on line 1a)			1,239,582.		
	<b>d</b> Government contributions (grants) (not included on line 1a)					
	<b>e</b> Total (add lines 1a through 1d) (cash \$ <b>26,610,848.</b> noncash \$ _____)					26,610,848.
	<b>2</b> Program service revenue including government fees and contracts (from Part VII, line 93)					178,559.
	<b>3</b> Membership dues and assessments					
	<b>4</b> Interest on savings and temporary cash investments					
	<b>5</b> Dividends and interest from securities					1,309,542.
	<b>6 a</b> Gross rents <b>SEE STATEMENT 1</b>	6a	128,253.			
	<b>b</b> Less rental expenses	6b				
	<b>c</b> Net rental income or (loss) Subtract line 6b from line 6a	6c				128,253.
<b>7</b> Other investment income (describe _____)	7					
<b>8 a</b> Gross amount from sales of assets other than inventory	(A) Securities	8a				
	1,630,506.	8a				
	<b>b</b> Less cost or other basis and sales expenses	8b				
	1,510,937.	8b				
<b>c</b> Gain or (loss) (attach schedule)	8c					
119,569.	8c					
<b>d</b> Net gain or (loss) Combine line 8c, columns (A) and (B) <b>STMT 2</b>	8d				119,569.	
<b>9</b> Special events and activities (attach schedule) If any amount is from gaming, check here <input type="checkbox"/>						
<b>a</b> Gross revenue (not including \$ _____ of contributions reported on line 1b)	9a					
<b>b</b> Less direct expenses other than fundraising expenses	9b					
<b>c</b> Net income or (loss) from special events Subtract line 9b from line 9a	9c					
<b>10 a</b> Gross sales of inventory, less returns and allowances	10a					
	<b>b</b> Less cost of goods sold	10b				
	<b>c</b> Gross profit or (loss) from sales of inventory (attach schedule) Subtract line 10b from line 10a	10c				
<b>11</b> Other revenue (from Part VII, line 103)	11				942,701.	
<b>12</b> Total revenue. Add lines 1e, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11	12				29,289,472.	
Expenses	<b>13</b> Program services (from line 44, column (B))	13				24,598,846.
	<b>14</b> Management and general (from line 44, column (C))	14				942,412.
	<b>15</b> Fundraising (from line 44, column (D))	15				2,301,957.
	<b>16</b> Payments to affiliates (attach schedule) <b>SEE STATEMENT 3</b>	16				260,942.
	<b>17</b> Total expenses. Add lines 16 and 44, column (A)	17				28,104,157.
<b>18</b> Excess or (deficit) for the year Subtract line 17 from line 12	18				1,185,315.	
Net Assets	<b>19</b> Net assets or fund balances at beginning of year (from line 73, column (A))	19				18,908,988.
	<b>20</b> Other changes in net assets or fund balances (attach explanation) <b>SEE STATEMENT 4</b>	20				2,250,502.
	<b>21</b> Net assets or fund balances at end of year Combine lines 18, 19, and 20	21				22,344,805.

SCANNED JAN 02 2008



**Part II Statement of Functional Expenses**

All organizations must complete column (A) Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others

Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I.	(A) Total	(B) Program services	(C) Management and general	(D) Fundraising
22a Grants paid from donor advised funds (attach schedule) (cash \$ <u>0</u> noncash \$ <u>0</u> ) If this amount includes foreign grants, check here <input type="checkbox"/>				
22b Other grants and allocations (attach schedule) (cash \$ <u>23121207</u> noncash \$ <u>0</u> ) If this amount includes foreign grants, check here <input type="checkbox"/>	23,121,207.	23,121,207.		
23 Specific assistance to individuals (attach schedule)				
24 Benefits paid to or for members (attach schedule)				
25a Compensation of current officers, directors, key employees, etc. listed in Part V-A	289,741.	71,759.	124,328.	93,654.
b Compensation of former officers, directors, key employees, etc. listed in Part V-B	68,755.	35,066.	8,938.	24,751.
c Compensation and other distributions, not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
26 Salaries and wages of employees not included on lines 25a, b, and c	1,774,020.	630,226.	276,964.	866,830.
27 Pension plan contributions not included on lines 25a, b, and c				
28 Employee benefits not included on lines 25a - 27	640,707.	217,382.	140,414.	282,911.
29 Payroll taxes				
30 Professional fundraising fees				
31 Accounting fees				
32 Legal fees				
33 Supplies	270,503.	9,372.	17,194.	243,937.
34 Telephone	31,732.	11,538.	6,669.	13,525.
35 Postage and shipping	85,254.	3,092.	17,195.	64,967.
36 Occupancy	270,577.	91,459.	117,449.	61,669.
37 Equipment rental and maintenance	219,792.	125,421.	34,954.	59,417.
38 Printing and publications				
39 Travel	45,900.	10,216.	14,270.	21,414.
40 Conferences, conventions, and meetings				
41 Interest				
42 Depreciation, depletion, etc. (attach schedule)	125,050.	50,378.	61,651.	13,021.
43 Other expenses not covered above (itemize):				
a				
b				
c				
d				
e				
f				
g SEE STATEMENT 5	899,977.	221,730.	122,386.	555,861.
44 Total functional expenses. Add lines 22a through 43g (Organizations completing columns (B)-(D), carry these totals to lines 13-15)	27,843,215.	24,598,846.	942,412.	2,301,957.

STATEMENT 6

Joint Costs. Check  if you are following SOP 98-2.

Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services?  Yes  No

If "Yes," enter (i) the aggregate amount of these joint costs \$ N/A, (ii) the amount allocated to Program services \$ N/A,

(iii) the amount allocated to Management and general \$ N/A, and (iv) the amount allocated to Fundraising \$ N/A

Part III Statement of Program Service Accomplishments (See the instructions.)

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.

What is the organization's primary exempt purpose? <b>SEE ATTACHED ACCOMPANYING STATEMENTS/NOTES</b>	Program Service Expenses (Required for 501(c)(3) and (4) orgs, and 4947(a)(1) trusts, but optional for others)
All organizations must describe their exempt purpose achievements in a clear and concise manner. State the number of clients served, publications issued, etc. Discuss achievements that are not measurable. (Section 501(c)(3) and (4) organizations and 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants and allocations to others.)	
<b>a SEE ATTACHED ACCOMPANYING STATEMENTS/NOTES</b>	
(Grants and allocations \$ 23,121,207.) If this amount includes foreign grants, check here <input type="checkbox"/>	24,598,846.
<b>b</b>	
(Grants and allocations \$ ) If this amount includes foreign grants, check here <input type="checkbox"/>	
<b>c</b>	
(Grants and allocations \$ ) If this amount includes foreign grants, check here <input type="checkbox"/>	
<b>d</b>	
(Grants and allocations \$ ) If this amount includes foreign grants, check here <input type="checkbox"/>	
<b>e Other program services (attach schedule)</b>	
(Grants and allocations \$ ) If this amount includes foreign grants, check here <input type="checkbox"/>	
<b>f Total of Program Service Expenses</b> (should equal line 44, column (B), Program services)	24,598,846.

Form 990 (2006)

**Part IV Balance Sheets** (See the instructions.)

**Note:** Where required, attached schedules and amounts within the description column should be for end-of-year amounts only

		(A) Beginning of year	(B) End of year
Assets	45 Cash - non-interest-bearing	300.	300.
	46 Savings and temporary cash investments	4,318,029.	6,253,427.
	47 a Accounts receivable	95,871.	
	b Less: allowance for doubtful accounts		95,871.
	48 a Pledges receivable	13,378,316.	
	b Less: allowance for doubtful accounts	1,296,564.	12,081,752.
	49 Grants receivable		
	50 a Receivables from current and former officers, directors, trustees, and key employees		
	b Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)		
	51 a Other notes and loans receivable		
	b Less allowance for doubtful accounts		
	52 Inventories for sale or use		
	53 Prepaid expenses and deferred charges	246,857.	281,581.
	54 a Investments - publicly-traded securities STMT 10 <input type="checkbox"/> Cost <input checked="" type="checkbox"/> FMV	13,815,407.	15,566,068.
	b Investments - other securities <input type="checkbox"/> Cost <input type="checkbox"/> FMV		
55 a Investments - land, buildings, and equipment: basis			
b Less: accumulated depreciation			
56 Investments - other			
57 a Land, buildings, and equipment: basis	3,937,727.		
b Less: accumulated depreciation STMT 7	2,363,575.	1,574,152.	
58 Other assets, including program-related investments (describe SEE STATEMENT 8 )	6,093,246.	6,731,523.	
59 <b>Total assets</b> (must equal line 74). Add lines 45 through 58	38,910,143.	42,584,674.	
Liabilities	60 Accounts payable and accrued expenses	1,208,077.	973,292.
	61 Grants payable	1,239,478.	1,270,192.
	62 Deferred revenue		
	63 Loans from officers, directors, trustees, and key employees		
	64 a Tax-exempt bond liabilities		
	b Mortgages and other notes payable		
	65 Other liabilities (describe SEE STATEMENT 9 )	17,553,600.	17,996,385.
66 <b>Total liabilities.</b> Add lines 60 through 65	20,001,155.	20,239,869.	
Net Assets or Fund Balances	<b>Organizations that follow SFAS 117, check here</b> <input checked="" type="checkbox"/> and complete lines 67 through 69 and lines 73 and 74.		
	67 Unrestricted	11,544,389.	14,321,440.
	68 Temporarily restricted	29,534.	50,021.
	69 Permanently restricted	7,335,065.	7,973,344.
	<b>Organizations that do not follow SFAS 117, check here</b> <input type="checkbox"/> and complete lines 70 through 74.		
	70 Capital stock, trust principal, or current funds		
	71 Paid-in or capital surplus, or land, building, and equipment fund		
	72 Retained earnings, endowment, accumulated income, or other funds		
	73 <b>Total net assets or fund balances.</b> Add lines 67 through 69 or lines 70 through 72 (Column (A) must equal line 19 and column (B) must equal line 21)	18,908,988.	22,344,805.
	74 <b>Total liabilities and net assets/fund balances.</b> Add lines 66 and 73	38,910,143.	42,584,674.





Part VI Other Information (continued)		Yes	No	
82 a	Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value?	82a	X	
b	If "Yes," you may indicate the value of these items here. Do not include this amount as revenue in Part I or as an expense in Part II. (See instructions in Part III.)	82b		6,511.
83 a	Did the organization comply with the public inspection requirements for returns and exemption applications?	83a	X	
b	Did the organization comply with the disclosure requirements relating to quid pro quo contributions?	83b	X	
84 a	Did the organization solicit any contributions or gifts that were not tax deductible?	84a		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	84b		N/A
85	501(c)(4), (5), or (6) organizations a Were substantially all dues nondeductible by members?	85a		N/A
b	Did the organization make only in-house lobbying expenditures of \$2,000 or less? If "Yes" was answered to either 85a or 85b, do not complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year.	85b		N/A
c	Dues, assessments, and similar amounts from members	85c		N/A
d	Section 162(e) lobbying and political expenditures	85d		N/A
e	Aggregate nondeductible amount of section 6033(e)(1)(A) dues notices	85e		N/A
f	Taxable amount of lobbying and political expenditures (line 85d less 85e)	85f		N/A
g	Does the organization elect to pay the section 6033(e) tax on the amount on line 85f?	85g		N/A
h	If section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount on line 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year?	85h		N/A
86	501(c)(7) organizations. Enter: a Initiation fees and capital contributions included on line 12	86a		N/A
b	Gross receipts, included on line 12, for public use of club facilities	86b		N/A
87	501(c)(12) organizations Enter: a Gross income from members or shareholders	87a		N/A
b	Gross income from other sources. (Do not net amounts due or paid to other sources against amounts due or received from them.)	87b		N/A
88 a	At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Part IX	88a		X
b	At any time during the year, did the organization, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Part XI	88b		X
89 a	501(c)(3) organizations. Enter: Amount of tax imposed on the organization during the year under: section 4911 <u>0.</u> , section 4912 <u>0.</u> , section 4955 <u>0.</u>			
b	501(c)(3) and 501(c)(4) organizations. Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach a statement explaining each transaction	89b		X
c	Enter: Amount of tax imposed on the organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 <u>0.</u>			
d	Enter: Amount of tax on line 89c, above, reimbursed by the organization <u>0.</u>			
e	All organizations. At any time during the tax year, was the organization a party to a prohibited tax shelter transaction?	89e		X
f	All organizations. Did the organization acquire a direct or indirect interest in any applicable insurance contract?	89f		X
g	For supporting organizations and sponsoring organizations maintaining donor advised funds. Did the supporting organization, or a fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	89g		X
90 a	List the states with which a copy of this return is filed <u>CT</u>			
b	Number of employees employed in the pay period that includes March 12, 2006	90b		39
91 a	The books are in care of <u>A.J. MASCARO, JR.</u> Telephone no. <u>860-493-6810</u> Located at <u>30 LAUREL ST., HARTFORD, CT</u> ZIP + 4 <u>06106-1374</u>			
b	At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? If "Yes," enter the name of the foreign country <u>N/A</u> See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.	91b		X

**Part VI Other Information** (continued) Yes No

c At any time during the calendar year, did the organization maintain an office outside of the United States? 91c  Yes  No  
 If "Yes," enter the name of the foreign country N/A

92 Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of Form 1041- Check here   
 and enter the amount of tax-exempt interest received or accrued during the tax year 92 N/A

**Part VII Analysis of Income-Producing Activities** (See the instructions.)

	Unrelated business income		Excluded by section 512, 513, or 514		(E) Related or exempt function income
	(A) Business code	(B) Amount	(C) Exclu- sion code	(D) Amount	
93 Program service revenue:					
a <u>COMMUNITY GRANTS,</u>					
b <u>INITIATIVES, AND SERVICE</u>					
c <u>INCOME</u>					178,559.
d _____					
e _____					
f Medicare/Medicaid payments					
g Fees and contracts from government agencies					
94 Membership dues and assessments					
95 Interest on savings and temporary cash investments					
96 Dividends and interest from securities			14	1,309,542.	
97 Net rental income or (loss) from real estate:					
a debt-financed property	531190	128,253.	16		
b not debt-financed property					
98 Net rental income or (loss) from personal property					
99 Other investment income					
100 Gain or (loss) from sales of assets other than inventory			18	119,569.	
101 Net income or (loss) from special events					
102 Gross profit or (loss) from sales of inventory					
103 Other revenue:					
a <u>ADMINISTRATIVE FEES AND</u>					
b <u>OTHER REVENUES</u>					942,701.
c _____					
d _____					
e _____					
104 Subtotal (add columns (B), (D), and (E))		128,253.		1,429,111.	1,121,260.
105 Total (add line 104, columns (B), (D), and (E))					2,678,624.

Note: Line 105 plus line 1e, Part I, should equal the amount on line 12, Part I.

**Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes** (See the instructions.)

Line No.	Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes).
93A	FUNDS REC. FOR SERVICES TO LOCAL FOUNDATIONS TO MEET COMMUNITY NEEDS
103A	ADMINISTRATIVE FEES ON AMOUNTS RAISED ON BEHALF OF OTHERS INCLUDING MISCELLANEOUS PUBLIC SUPPORT

**Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities** (See the instructions.)

(A) Name, address, and EIN of corporation, partnership, or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End-of-year assets
N/A	%			
	%			
	%			
	%			

**Part X Information Regarding Transfers Associated with Personal Benefit Contracts** (See the instructions.)

(a) Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

(b) Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No

Note: If "Yes" to (b), file Form 8870 and Form 4720 (see instructions).

**Part XI** Information Regarding Transfers To and From Controlled Entities. Complete only if the organization is a controlling organization as defined in section 512(b)(13). N/A

106 Did the reporting organization make any transfers to a controlled entity as defined in section 512(b)(13) of the Code? If "Yes," complete the schedule below for each controlled entity. Yes No

	(A) Name, address, of each controlled entity	(B) Employer Identification Number	(C) Description of transfer	(D) Amount of transfer
a	-----			
b	-----			
c	-----			
Totals				

107 Did the reporting organization receive any transfers from a controlled entity as defined in section 512(b)(13) of the Code? If "Yes," complete the schedule below for each controlled entity. Yes No

	(A) Name, address, of each controlled entity	(B) Employer Identification Number	(C) Description of transfer	(D) Amount of transfer
a	-----			
b	-----			
c	-----			
Totals				

108 Did the organization have a binding written contract in effect on August 17, 2006, covering the interest, rents, royalties, and annuities described in question 107 above? Yes No

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Please Sign Here:  Signature of officer: *Anthony J. Mascaro Jr* Date: *11/15/07*  
 Type or print name and title: *ANTHONY J. MASCARO JR VICE PRESIDENT, FINANCE and Mkt*

Paid Preparer's Use Only: Preparer's signature: *Frank J. Corn* Date: *11.14.07* Check if self-employed:  Preparer's SSN or PTIN (See Gen. Inst. X): *P00023659*  
 Firm's name (or yours if self-employed), address, and ZIP + 4: *J.H. CORN, LLP 180 GLASTONBURY BOULEVARD GLASTONBURY, CT 06033* EIN: Phone no: *(860) 633-3000*

**SCHEDULE A**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Organization Exempt Under Section 501(c)(3)**

(Except Private Foundation) and Section 501(e), 501(f), 501(k),  
501(n), or 4947(a)(1) Nonexempt Charitable Trust

**Supplementary Information-(See separate instructions.)**

▶ **MUST be completed by the above organizations and attached to their Form 990 or 990-EZ**

OMB No 1545-0047

**2006**

Name of the organization **UNITED WAY OF THE CAPITAL AREA, INC.** Employer identification number **06 0646653**

**Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees**  
(See page 2 of the instructions List each one If there are none, enter "None ")

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
PAULA GILBERTO C/O UNITED WAY, HARTFORD, CT	SENIOR VP 37.50	104,813.	16,673.	
RACHEL HOUSEMAN C/O UNITED WAY, HARTFORD, CT	DONOR RELATIONS DIR. 37.50	84,868.	9,898.	
SANDRA COSTELLO C/O UNITED WAY, HARTFORD, CT	DIRECTOR - MIS 37.50	77,831.	8,226.	
JENNIFER GIFFORD C/O UNITED WAY, HARTFORD, CT	COMM. INVST. DIR. 37.50	56,929.	20,221.	
LINDSEY WOODWORTH C/O UNITED WAY, HARTFORD, CT	ASS. DIRECTOR - FIN. 37.50	54,133.	9,971.	
Total number of other employees paid over \$50,000 ▶	1			

**Part II-A Compensation of the Five Highest Paid Independent Contractors for Professional Services**  
(See page 2 of the instructions List each one (whether individuals or firms) If there are none, enter "None ")

(a) Name and address of each independent contractor paid more than \$50,000	(b) Type of service	(c) Compensation
PENDERS CONSULTING 22 GARDEN STREET, WETHERSFIELD, CT 06109	CONSULTATIVE SERVICES	70,000.
HAGGETT LONGOBARDI A DIVISION OF J.H. COHN, LLP 180 GLASTONBURY BOULEVARD, GLASTONBURY, CT 06033	AUDITORS	51,780.
ADAMS & KNIGHTS, INC. 80 AVON MEADOW LANE, AVON, CT 06001	ADVERTISING/PUBLIC RELATIONS	50,001.
Total number of others receiving over \$50,000 for professional services ▶	0	

**Part II-B Compensation of the Five Highest Paid Independent Contractors for Other Services**  
(List each contractor who performed services other than professional services, whether individuals or firms If there are none, enter "None " See page 2 of the instructions )

(a) Name and address of each independent contractor paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of other contractors receiving over \$50,000 for other services ▶	0	

**Part III** Statements About Activities (See page 2 of the instructions)

		Yes	No
<b>1</b>	During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If "Yes," enter the total expenses paid or incurred in connection with the lobbying activities ▶ \$ _____ \$ _____ (Must equal amounts on line 38, Part VI-A, or line i of Part VI-B) Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A. Other organizations checking "Yes" must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities		X
<b>2</b>	During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any substantial contributors, trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary? (If the answer to any question is "Yes," attach a detailed statement explaining the transactions.)		
<b>a</b>	Sale, exchange, or leasing of property? SEE STATEMENT 16	X	
<b>b</b>	Lending of money or other extension of credit?		X
<b>c</b>	Furnishing of goods, services, or facilities?		X
<b>d</b>	Payment of compensation (or payment or reimbursement of expenses if more than \$1,000)? SEE PART V-A, FORM 990	X	
<b>e</b>	Transfer of any part of its income or assets?		X
<b>3 a</b>	Did the organization make grants for scholarships, fellowships, student loans, etc.? (If "Yes," attach an explanation of how the organization determines that recipients qualify to receive payments.) SEE STATEMENT 17	X	
<b>b</b>	Did the organization have a section 403(b) annuity plan for its employees?	X	
<b>c</b>	Did the organization receive or hold an easement for conservation purposes, including easements to preserve open space, the environment, historic land areas or historic structures? If "Yes," attach a detailed statement		X
<b>d</b>	Did the organization provide credit counseling, debt management, credit repair, or debt negotiation services?		X
<b>4 a</b>	Did the organization maintain any donor advised funds? If "Yes," complete lines 4b through 4g. If "No," complete lines 4f and 4g		X
<b>b</b>	Did the organization make any taxable distributions under section 4966? N/A		
<b>c</b>	Did the organization make a distribution to a donor, donor advisor, or related person? N/A		
<b>d</b>	Enter the total number of donor advised funds owned at the end of the tax year ▶		0
<b>e</b>	Enter the aggregate value of assets held in all donor advised funds owned at the end of the tax year ▶		0.
<b>f</b>	Enter the total number of separate funds or accounts owned at the end of the year (excluding donor advised funds included on line 4d) where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts ▶		0.
<b>g</b>	Enter the aggregate value of assets in all funds or accounts included on line 4f at the end of the tax year ▶		0.

**Part IV Reason for Non-Private Foundation Status** (See pages 4 through 7 of the instructions )

I certify that the organization is not a private foundation because it is (Please check only **ONE** applicable box )

- 5  A church, convention of churches, or association of churches Section 170(b)(1)(A)(i)
- 6  A school Section 170(b)(1)(A)(ii) (Also complete Part V )
- 7  A hospital or a cooperative hospital service organization Section 170(b)(1)(A)(iii)
- 8  A federal, state, or local government or governmental unit Section 170(b)(1)(A)(v)
- 9  A medical research organization operated in conjunction with a hospital Section 170(b)(1)(A)(iii) Enter the hospital's name, city, and state
- 10  An organization operated for the benefit of a college or university owned or operated by a governmental unit Section 170(b)(1)(A)(iv) (Also complete the **Support Schedule** in Part IV-A )
- 11a  An organization that normally receives a substantial part of its support from a governmental unit or from the general public Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A )
- 11b  A community trust Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A )
- 12  An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc , functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See section 509(a)(2) (Also complete the **Support Schedule** in Part IV-A )
- 13  An organization that is not controlled by any disqualified persons (other than foundation managers) and otherwise meets the requirements of section 509(a)(3) Check the box that describes the type of supporting organization  
 Type I       Type II       Type III-Functionally Integrated       Type III-Other

Provide the following information about the supported organizations. (See page 7 of the instructions )

(a) Name(s) of supported organization(s)	(b) Employer identification number (EIN)	(c) Type of organization (described in lines 5 through 12 above or IRC section)	(d) Is the supported organization listed in the supporting organization's governing documents?		(e) Amount of support
			Yes	No	
<b>Total</b>					▶

- 14  An organization organized and operated to test for public safety Section 509(a)(4) (See page 7 of the instructions )

**Part IV-A Support Schedule** (Complete only if you checked a box on line 10, 11, or 12.) Use cash method of accounting.  
 Note: You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2004	(c) 2003	(d) 2002	(e) Total
15 Gifts, grants, and contributions received (Do not include unusual grants See line 28)	26,209,742.	25,290,885.	25,203,252.	26,649,890.	103,353,769.
16 Membership fees received					
17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable, etc., purpose					
18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975	1,095,037.	863,284.	815,562.	879,728.	3,653,611.
19 Net income from unrelated business activities not included in line 18					
20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf					
21 The value of services or facilities furnished to the organization by a governmental unit without charge Do not include the value of services or facilities generally furnished to the public without charge					
22 Other income Attach a schedule Do not include gain or (loss) from sale of capital assets	856,066.	846,824.	SEE STATEMENT 18	784,702.	2,487,592.
23 Total of lines 15 through 22	28,160,845.	27,000,993.	26,018,814.	28,314,320.	109,494,972.
24 Line 23 minus line 17	28,160,845.	27,000,993.	26,018,814.	28,314,320.	109,494,972.
25 Enter 1% of line 23	281,608.	270,010.	260,188.	283,143.	
26 Organizations described on lines 10 or 11: a Enter 2% of amount in column (e), line 24					26a 2,189,899.
b Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 2002 through 2005 exceeded the amount shown in line 26a Do not file this list with your return. Enter the total of all these excess amounts					26b 6,031,892.
c Total support for section 509(a)(1) test Enter line 24, column (e)					26c 109,494,972.
d Add: Amounts from column (e) for lines 18 3,653,611. 19 22 2,487,592. 26b 6,031,892.					26d 12,173,095.
e Public support (line 26c minus line 26d total)					26e 97,321,877.
f Public support percentage (line 26e (numerator) divided by line 26c (denominator))					26f 88.8825%
27 Organizations described on line 12: a For amounts included in lines 15, 16, and 17 that were received from a "disqualified person," prepare a list for your records to show the name of, and total amounts received in each year from, each "disqualified person" Do not file this list with your return. Enter the sum of such amounts for each year N/A	(2005)	(2004)	(2003)	(2002)	
b For any amount included in line 17 that was received from each person (other than "disqualified persons"), prepare a list for your records to show the name of, and amount received for each year, that was more than the larger of (1) the amount on line 25 for the year or (2) \$5,000 (Include in the list organizations described in lines 5 through 11b, as well as individuals) Do not file this list with your return. After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year N/A	(2005)	(2004)	(2003)	(2002)	
c Add: Amounts from column (e) for lines 15 16 17 20 21					27c N/A
d Add: Line 27a total and line 27b total					27d N/A
e Public support (line 27c total minus line 27d total)					27e N/A
f Total support for section 509(a)(2) test Enter amount on line 23, column (e)			27f N/A		
g Public support percentage (line 27e (numerator) divided by line 27f (denominator))					27g N/A %
h Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator))					27h N/A %

28 Unusual Grants: For an organization described in line 10, 11, or 12 that received any unusual grants during 2002 through 2005, prepare a list for your records to show, for each year, the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant Do not file this list with your return. Do not include these grants in line 15

NONE

**Part V Private School Questionnaire** (See page 9 of the instructions)

N/A

(To be completed ONLY by schools that checked the box on line 6 in Part IV)

		Yes	No
29	Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?		
30	Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?		
31	Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe, if "No," please explain. (If you need more space, attach a separate statement )		
<hr/> <hr/> <hr/>			
32	Does the organization maintain the following		
a	Records indicating the racial composition of the student body, faculty, and administrative staff?	32a	
b	Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?	32b	
c	Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?	32c	
d	Copies of all material used by the organization or on its behalf to solicit contributions? If you answered "No" to any of the above, please explain (If you need more space, attach a separate statement )	32d	
<hr/> <hr/>			
33	Does the organization discriminate by race in any way with respect to		
a	Students' rights or privileges?	33a	
b	Admissions policies?	33b	
c	Employment of faculty or administrative staff?	33c	
d	Scholarships or other financial assistance?	33d	
e	Educational policies?	33e	
f	Use of facilities?	33f	
g	Athletic programs?	33g	
h	Other extracurricular activities? If you answered "Yes" to any of the above, please explain (If you need more space, attach a separate statement )	33h	
<hr/> <hr/>			
34 a	Does the organization receive any financial aid or assistance from a governmental agency?	34a	
b	Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either 34a or b, please explain using an attached statement	34b	
35	Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," attach an explanation	35	

**Part VI-A Lobbying Expenditures by Electing Public Charities** (See page 10 of the instructions) N/A

(To be completed ONLY by an eligible organization that filed Form 5768)

Check **a**  if the organization belongs to an affiliated group Check **b**  if you checked "a" and "limited control" provisions apply

<b>Limits on Lobbying Expenditures</b> (The term "expenditures" means amounts paid or incurred )		(a) Affiliated group totals	(b) To be completed for all electing organizations
		N/A	
<b>36</b>	Total lobbying expenditures to influence public opinion (grassroots lobbying)	<b>36</b>	
<b>37</b>	Total lobbying expenditures to influence a legislative body (direct lobbying)	<b>37</b>	
<b>38</b>	Total lobbying expenditures (add lines 36 and 37)	<b>38</b>	
<b>39</b>	Other exempt purpose expenditures	<b>39</b>	
<b>40</b>	Total exempt purpose expenditures (add lines 38 and 39)	<b>40</b>	
<b>41</b>	Lobbying nontaxable amount Enter the amount from the following table -		
	<b>If the amount on line 40 is -</b>		
	Not over \$500,000		
	Over \$500,000 but not over \$1,000,000		
	Over \$1,000,000 but not over \$1,500,000		
	Over \$1,500,000 but not over \$17,000,000		
	Over \$17,000,000		
	<b>The lobbying nontaxable amount is -</b>		
	20% of the amount on line 40		
	\$100,000 plus 15% of the excess over \$500,000		
	\$175,000 plus 10% of the excess over \$1,000,000		
	\$225,000 plus 5% of the excess over \$1,500,000		
	\$1,000,000		
<b>42</b>	Grassroots nontaxable amount (enter 25% of line 41)	<b>42</b>	
<b>43</b>	Subtract line 42 from line 36 Enter -0- if line 42 is more than line 36	<b>43</b>	
<b>44</b>	Subtract line 41 from line 38 Enter -0- if line 41 is more than line 38	<b>44</b>	

**Caution:** If there is an amount on either line 43 or line 44, you must file Form 4720.

**4-Year Averaging Period Under Section 501(h)**

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below See the instructions for lines 45 through 50 on page 13 of the instructions )

Calendar year (or fiscal year beginning in)	Lobbying Expenditures During 4-Year Averaging Period				N/A (e) Total
	(a) 2006	(b) 2005	(c) 2004	(d) 2003	
<b>45</b> Lobbying nontaxable amount					0.
<b>46</b> Lobbying ceiling amount (150% of line 45(e))					0.
<b>47</b> Total lobbying expenditures					0.
<b>48</b> Grassroots nontaxable amount					0.
<b>49</b> Grassroots ceiling amount (150% of line 48(e))					0.
<b>50</b> Grassroots lobbying expenditures					0.

**Part VI-B Lobbying Activity by Nonelecting Public Charities**

(For reporting only by organizations that did not complete Part VI-A) (See page 13 of the instructions )

During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of

- a Volunteers
- b Paid staff or management (Include compensation in expenses reported on lines c through h.)
- c Media advertisements
- d Mailings to members, legislators, or the public
- e Publications, or published or broadcast statements
- f Grants to other organizations for lobbying purposes
- g Direct contact with legislators, their staffs, government officials, or a legislative body
- h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means
- i Total lobbying expenditures (Add lines c through h.)

Yes	No	Amount
	X	
	X	
	X	
	X	
	X	
	X	
	X	
	X	
	X	
		0.

If "Yes" to any of the above, also attach a statement giving a detailed description of the lobbying activities



FORM 990	RENTAL INCOME	STATEMENT	1
KIND AND LOCATION OF PROPERTY		ACTIVITY NUMBER	GROSS RENTAL INCOME
RENTAL INCOME		1	128,253.
TOTAL TO FORM 990, PART I, LINE 6A			128,253.

FORM 990	GAIN (LOSS) FROM PUBLICLY TRADED SECURITIES			STATEMENT	2
DESCRIPTION	GROSS SALES PRICE	COST OR OTHER BASIS	EXPENSE OF SALE	NET GAIN OR (LOSS)	
SALE OF INVESTMENT- DETAIL AVAILABLE UPON REQUEST	1,630,506.	1,510,937.	0.	119,569.	
TO FORM 990, PART I, LINE 8	1,630,506.	1,510,937.	0.	119,569.	

FORM 990 PAYMENTS TO AFFILIATES STATEMENT 3

AFFILIATE'S NAME	AFFILIATE'S ADDRESS	AMOUNT
UNITED WAY OF AMERICA		
PURPOSE OF PAYMENT		AMOUNT
ANNUAL DUES		260,942.
TOTAL TO FORM 990, PART I, LINE 16		260,942.

FORM 990 OTHER CHANGES IN NET ASSETS OR FUND BALANCES STATEMENT 4

DESCRIPTION	AMOUNT
UNREALIZED GAINS ON INVESTMENTS	1,489,464.
CHANGE IN INVESTMENTS HELD IN TRUST BY OTHERS	638,278.
EFFECT OF ADOPTION OF RECOGNITION PROVISIONS OF FASB 158	122,760.
TOTAL TO FORM 990, PART I, LINE 20	2,250,502.

FORM 990 OTHER EXPENSES STATEMENT 5

DESCRIPTION	(A) TOTAL	(B) PROGRAM SERVICES	(C) MANAGEMENT AND GENERAL	(D) FUNDRAISING
PROFESSIONAL FEES	291,833.	62,444.	57,673.	171,716.
PROMOTIONS	371,149.	11,592.	14,639.	344,918.
VOLUNTEER & AGENCY DEVELOPMENT	44,520.	8,467.	3,726.	32,327.
MISCELLANEOUS & OTHERS	10,055.	437.	8,236.	1,382.
DUES & SUBSCRIPTIONS	14,903.	2,624.	6,761.	5,518.
INSURANCE	93,669.	62,318.	31,351.	0.
INVESTMENT MANAGEMENT FEES	73,848.	73,848.		
TOTAL TO FM 990, LN 43	899,977.	221,730.	122,386.	555,861.

FORM 990 CASH GRANTS AND ALLOCATIONS STATEMENT 6  
 APPROVED BUT NOT PAID BY FILING DEADLINE

CLASS OF ACTIVITY/DONEE'S NAME AND ADDRESS	DONEE'S RELATIONSHIP	AMOUNT
ENSURING CHILDREN ARE SUCCESSFUL VARIOUS	NONE	3,398,100.
STRENGTHENING FAMILIES VARIOUS	NONE	838,700.
IMPROVING COMMUNITIES VARIOUS	NONE	5,613,200.
COMMUNITY HEALTH CHARITIES OF CT.	NONE	3,424,817.
AMOUNTS DESIGNATED BY DONORS VARIOUS	NONE	9,697,776.
GRANTS AND INITIATIVES VARIOUS	NONE	148,614.
TOTAL INCLUDED ON FORM 990, PART II, LINE 22B		<u>23121207.</u>

FORM 990 DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 7

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
BUILDING 30 LAUREL STREET	2,514,084.	1,016,445.	1,497,639.
FURNITURE	296,247.	281,180.	15,067.
COMPUTER EQUIPMENT	669,050.	611,899.	57,151.
OFFICE EQUIPMENT	122,047.	122,047.	0.
TENANT IMPROVEMENTS	332,976.	332,004.	972.
LAND	3,323.	0.	3,323.
TOTAL TO FORM 990, PART IV, LN 57	3,937,727.	2,363,575.	1,574,152.

FORM 990 OTHER ASSETS STATEMENT 8

DESCRIPTION	AMOUNT
INVESTMENTS HELD IN TRUST BY OTHERS	6,731,523.
TOTAL TO FORM 990, PART IV, LINE 58, COLUMN B	6,731,523.

FORM 990 OTHER LIABILITIES STATEMENT 9

DESCRIPTION	AMOUNT
CAMPAIGN SUPPORT DUE TO COMMUNITY HEALTH CHARITIES	2,285,283.
UNDISTRIBUTED AGENCY SUPPORT	9,903,987.
DONOR DESIGNATIONS PAYABLE	5,807,115.
TOTAL TO FORM 990, PART IV, LINE 65, COLUMN B	17,996,385.

FORM 990 NON-GOVERNMENT SECURITIES STATEMENT 10

SECURITY DESCRIPTION	COST/FMV	CORPORATE STOCKS	CORPORATE BONDS	OTHER PUBLICLY TRADED SECURITIES	TOTAL NON-GOV'T SECURITIES
MUTUAL FUNDS - FIXED INCOME	FMV			5,187,831.	5,187,831.
DOMESTIC EQUITY - LARGE CAP	FMV			5,391,148.	5,391,148.
DOMESTIC EQUITY - MID CAP	FMV			236,496.	236,496.
DOMESTIC EQUITY - SMALL CAP	FMV			1,075,479.	1,075,479.
INTERNATIONAL EQUITY	FMV			2,399,939.	2,399,939.
GLOBAL EQUITY	FMV			1,275,175.	1,275,175.
TO FORM 990, LINE 54A, COL B				15,566,068.	15,566,068.

FORM 990 OTHER REVENUE NOT INCLUDED ON FORM 990 STATEMENT 11

DESCRIPTION	AMOUNT
EFFECT OF ADOPTION OF RECOGNITION PROVISIONS OF FASB 158	122,760.
TOTAL TO FORM 990, PART IV-A	122,760.

FORM 990 OTHER REVENUE INCLUDED ON FORM 990 STATEMENT 12

DESCRIPTION	AMOUNT
COMMUNITY HEALTH CHARITIES SHARE OF DIRECT PUBLIC SUPPORT AMOUNTS DESIGNATED BY DONORS	3,424,817. 9,697,776.
TOTAL TO FORM 990, PART IV-A	13,122,593.

FORM 990	OTHER EXPENSES INCLUDED ON FORM 990	STATEMENT	13
DESCRIPTION		AMOUNT	
AMOUNTS DESIGNATED BY DONORS		9,697,776.	
COMMUNITY HEALTH CHARITIES SHARE OF DIRECT PUBLIC SUPPORT		3,424,817.	
TOTAL TO FORM 990, PART IV-B		13,122,593.	

FORM 990	PART V-A - LIST OF CURRENT OFFICERS, DIRECTORS, TRUSTEES AND KEY EMPLOYEES	STATEMENT	14
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
SUSAN DUNN C/O THE UNITED WAY OF THE CAPITAL AREA, INC. 30 LAUREL STREET, HARTFORD, CT 06106	PRESIDENT / CEO 37.50	154,235.	16,396.	0.
ANTHONY J. MASCARO, JR. C/O THE UNITED WAY OF THE CAPITAL AREA, INC. 30 LAUREL STREET, HARTFORD, CT 06106	VP FINANCE & MIS 37.50	108,527.	10,583.	0.
RAYMOND P. NECCI C/O THE UNITED WAY OF THE CAPITAL AREA, INC. 30 LAUREL STREET, HARTFORD, CT 06106	BOARD CHAIRMAN 0.50	0.	0.	0.
SEAN EGAN C/O THE UNITED WAY OF THE CAPITAL AREA, INC. 30 LAUREL STREET, HARTFORD, CT 06106	BOARD TREASURER 0.50	0.	0.	0.
CHESTER PAUL BEACH C/O THE UNITED WAY OF THE CAPITAL AREA, INC. 30 LAUREL STREET, HARTFORD, CT 06106	BOARD MEMBER 0.50	0.	0.	0.

NANCY BERSTEIN C/O THE UNITED WAY OF THE CAPITAL AREA, INC. 30 LAUREL STREET, HARTFORD, CT 06106	BOARD MEMBER  0.50	0.	0.	0.
LORI BUDNICK C/O THE UNITED WAY OF THE CAPITAL AREA, INC. 30 LAUREL STREET, HARTFORD, CT 06106	BOARD MEMBER  0.50	0.	0.	0.
CLARENCE E. BYERS C/O THE UNITED WAY OF THE CAPITAL AREA, INC. 30 LAUREL STREET, HARTFORD, CT 06106	BOARD MEMBER  0.50	0.	0.	0.
KEVIN CANAVAN C/O THE UNITED WAY OF THE CAPITAL AREA, INC. 30 LAUREL STREET, HARTFORD, CT 06106	BOARD MEMBER  0.50	0.	0.	0.
HOWARD L. CARVER C/O THE UNITED WAY OF THE CAPITAL AREA, INC. 30 LAUREL STREET, HARTFORD, CT 06106	BOARD MEMBER  0.50	0.	0.	0.
MICHAEL CHESHIRE C/O THE UNITED WAY OF THE CAPITAL AREA, INC. 30 LAUREL STREET, HARTFORD, CT 06106	BOARD MEMBER  0.50	0.	0.	0.
SUSAN CHRISTENSEN C/O THE UNITED WAY OF THE CAPITAL AREA, INC. 30 LAUREL STREET, HARTFORD, CT 06106	BOARD MEMBER  0.50	0.	0.	0.
RONALD A. COPES C/O THE UNITED WAY OF THE CAPITAL AREA, INC. 30 LAUREL STREET, HARTFORD, CT 06106	BOARD MEMBER  0.50	0.	0.	0.
KEN CURRENCE C/O THE UNITED WAY OF THE CAPITAL AREA, INC. 30 LAUREL STREET, HARTFORD, CT 06106	BOARD MEMBER  0.50	0.	0.	0.

BETH FERRARI C/O THE UNITED WAY OF THE CAPITAL AREA, INC. 30 LAUREL STREET, HARTFORD, CT 06106	BOARD MEMBER  0.50	0.	0.	0.
KEVIN FLAHERTY C/O THE UNITED WAY OF THE CAPITAL AREA, INC. 30 LAUREL STREET, HARTFORD, CT 06106	BOARD MEMBER  0.50	0.	0.	0.
MICHAEL GORZUCH C/O THE UNITED WAY OF THE CAPITAL AREA, INC. 30 LAUREL STREET, HARTFORD, CT 06106	BOARD MEMBER  0.50	0.	0.	0.
CHANDLER J. HOWARD C/O THE UNITED WAY OF THE CAPITAL AREA, INC. 30 LAUREL STREET, HARTFORD, CT 06106	BOARD MEMBER  0.50	0.	0.	0.
KAREN JARMOC C/O THE UNITED WAY OF THE CAPITAL AREA, INC. 30 LAUREL STREET, HARTFORD, CT 06106	BOARD MEMBER  0.50	0.	0.	0.
RICHARD M. KAPLAN C/O THE UNITED WAY OF THE CAPITAL AREA, INC. 30 LAUREL STREET, HARTFORD, CT 06106	BOARD MEMBER  0.50	0.	0.	0.
CLARKE KING C/O THE UNITED WAY OF THE CAPITAL AREA, INC. 30 LAUREL STREET, HARTFORD, CT 06106	BOARD MEMBER  0.50	0.	0.	0.
BETTY LOU KULLAS C/O THE UNITED WAY OF THE CAPITAL AREA, INC. 30 LAUREL STREET, HARTFORD, CT 06106	BOARD MEMBER  0.50	0.	0.	0.
LAURIE H. LONDERGAN C/O THE UNITED WAY OF THE CAPITAL AREA, INC. 30 LAUREL STREET, HARTFORD, CT 06106	BOARD MEMBER  0.50	0.	0.	0.

SHAWN J. MAYNARD C/O THE UNITED WAY OF THE CAPITAL AREA, INC: 30 LAUREL STREET, HARTFORD, CT 06106	BOARD MEMBER  0.50	0.	0.	0.
NANCY ONKEN C/O THE UNITED WAY OF THE CAPITAL AREA, INC. 30 LAUREL STREET, HARTFORD, CT 06106	BOARD MEMBER  0.50	0.	0.	0.
JOHN PATRICK C/O THE UNITED WAY OF THE CAPITAL AREA, INC. 30 LAUREL STREET, HARTFORD, CT 06106	BOARD MEMBER  0.50	0.	0.	0.
PAUL PITA C/O THE UNITED WAY OF THE CAPITAL AREA, INC. 30 LAUREL STREET, HARTFORD, CT 06106	BOARD MEMBER  0.50	0.	0.	0.
RAQUEL RIVERA C/O THE UNITED WAY OF THE CAPITAL AREA, INC. 30 LAUREL STREET, HARTFORD, CT 06106	BOARD MEMBER  0.50	0.	0.	0.
EARL SCHOFIELD C/O THE UNITED WAY OF THE CAPITAL AREA, INC. 30 LAUREL STREET, HARTFORD, CT 06106	BOARD MEMBER  0.50	0.	0.	0.
JAMES SICILIAN C/O THE UNITED WAY OF THE CAPITAL AREA, INC. 30 LAUREL STREET, HARTFORD, CT 06106	BOARD MEMBER  0.50	0.	0.	0.
CHEIF CHARLES A. TEALE C/O THE UNITED WAY OF THE CAPITAL AREA, INC. 30 LAUREL STREET, HARTFORD, CT 06106	BOARD MEMBER  0.50	0.	0.	0.
WILLIAM B. WEBER C/O THE UNITED WAY OF THE CAPITAL AREA, INC. 30 LAUREL STREET, HARTFORD, CT 06106	BOARD MEMBER  0.50	0.	0.	0.



SCHEDULE A

EXPLANATION OF TRANSACTIONS  
PART III, LINE 2A

STATEMENT 16

LINE 2A, ANY AND ALL SALES, EXCHANGES, OR LEASING OF PROPERTY WERE  
ENTERED AT ARMS LENGTH AND IN THE ORDINARY COURSE OF BUSINESS

SCHEDULE A EXPLANATION OF QUALIFICATIONS TO RECEIVE PAYMENTS STATEMENT 17  
PART III, LINE 3A

SEE ATTACHED ACCOMPANYING STATEMENTS/NOTES

SCHEDULE A	OTHER INCOME			STATEMENT 18
DESCRIPTION	2005 AMOUNT	2004 AMOUNT	2003 AMOUNT	2002 AMOUNT
MISCELLANEOUS REVENUE	856,066.	846,824.	0.	784,702.
TOTAL TO SCHEDULE A, LINE 22	856,066.	846,824.	0.	784,702.

**United Way of the Capital Area, Inc.**  
**Board of Directors**  
**June 30, 2007**

Chester Paul Beach	Beth Ferrari	Nancy Onken
Nancy Bernstein	Kevin Flaherty	John Patrick
Lori M. Budnick	Michael Gorzoch	Paul Pita
Clarence E. Byers	Chandler J. Howard	Raquel Rivera
Kevin Canavan	Karen Jarmoc	Earl Schofield
Howard L. Carver	Richard M. Kaplan	James Sicilian
Michael Cheshire	Clarke King	Chief Charles A. Teale
Susan Christensen	Betty Lou Kullas	William B. Weber
Ronald A. Copes	Laurie H. Londergan	Christopher Wilkos
Ken Currence	Shawn J. Maynard	Paul Zimmerman
Sean Egan (b) ✓	Raymond P. Necci (a) ✓	

(a) Board Chairman

(b) Treasurer

All of the above Board members are volunteers and are not compensated.

All directors may be reached through:

United Way of the Capital Area, Inc.  
30 Laurel Street  
Hartford, CT 06106  
(860) 493-6800

**United Way of the Capital Area**  
**Fiscal 2007**  
**2006 Form 990 – Accompanying Statements/Notes**

**2006 Form 990**  
**Part I, Line 1b**

Campaign Results

*United Way of the Capital Area (“UWCA”) is the Principal Combined Fund Organization (“PCFO”) for the Combined Federal Employees Campaign. This campaign is the employee charitable effort of federal employees within Hartford, Connecticut and the surrounding towns that UWCA serves. This fiscal and administrative relationship is subject to annual renewal and was renewed in 2007 for the upcoming 2007 fall campaign. As PCFO, UWCA administers this campaign and records the results in its combined financial statements. As required by Office of Personnel Management, the fiscal activities and functions required by being a PCFO, including banking, are kept separate from any non-CFC operations of this organization.*

Other Public Sector Campaigns

*For the Connecticut State Employees’ Campaign, UWCA is identified as the local campaign manager. Campaign results designated to UWCA and to our certified partner agencies, from state facilities based in the Greater Hartford region, have been reflected in campaign amounts raised by UWCA.*

Contributions from Other United Way Campaigns

*This revenue is recorded in the financial statements, net of any pass-through amounts directed to local community agencies. No fees are deducted (by United Way of the Capital Area) on any such “donor-restricted gifts.”*

**2006 Form 990**  
**Part I – Rental Income**

*United Way of the Capital Area leases portions of its administrative building to unrelated not-for-profit organizations. As of June 30, 2007, three such leases were in effect which expire at various dates through fiscal 2010.*

**United Way of the Capital Area**  
**Fiscal 2007**  
**2006 Form 990 – Accompanying Statements/Notes (cont.)**

**2006 Form 990**  
**Payments to Affiliates**

*United Way of the Capital Area (“UWCA”) is a member of United Way of America (“UWA”). UWA is a national leadership organization for the United Way movement. The payment reported is a quota support payment to UWA for which this local United Way receives, among other things, the right to use the national brand in charitable endeavors, national advocacy of issues, member education and training, centralized creation and support for marketing of fundraising campaigns, fostering relationships with national organizations that support multiple members, establishment and monitoring of compliance with standards of accountability by members, establishment of policies and processes that improve operational efficiencies among members, and promotion of concept of local community impact on a national scale.*

**United Way of the Capital Area**  
**Fiscal 2007**  
**2006 Form 990 – Accompanying Statements/Notes (cont.)**

**2006 Form 990**

**Part III – Organization’s primary exempt purpose**

*Since its incorporation in 1924, UWCA has been addressing the community’s most important needs and priorities in Hartford and the surrounding 40 towns of north central Connecticut. With its network of partner agencies, volunteers and community leaders, United Way of the Capital Area takes a leadership role in changing conditions to improve lives in the Greater Hartford Region.*

**2006 Form 990**

**Part III a**

*Through our annual community-wide campaign, United Way of the Capital Area provides the opportunity for people to strengthen the United Way’s investment in programs, special initiatives, and collaborations with other community groups to ensure success for children, strong and healthy families, and a thriving community.*

**United Way of the Capital Area**  
**Fiscal 2007**  
**2006 Form 990 – Accompanying Statements/Notes (cont.)**

**2006 Form 990**  
**Part IV – Balance Sheets**

Investments – Other/Other Assets

*Investments held in trust by others (and administered by a third-party trustee) represent the market values of this United Way's rights to split-interest agreements. The interest in the trusts' assets is carried as a permanently-restricted net asset. The income from these investments is recorded as unrestricted revenue when received, as there are no restrictions on the use of such funds.*

**2006 Form 990**  
**Part IV – Balance Sheets**

Grants Payable

*The Board of Directors provided grants payable in order to increase United Way's flexibility to respond to new or emerging needs in the community. Funds are available to provide financial support, outside of the traditional Community Investment award process, to programs that are meeting the needs in the community priority areas. Grants payable include funds available for the following initiatives:*

*Changing Community Conditions – funds available to identify or encourage the development of programs that respond to new or emerging needs in the community without jeopardizing ongoing support to existing initiatives and services.*

**United Way of the Capital Area**  
**Fiscal 2007**  
**2006 Form 990 – Accompanying Statements/Notes (cont.)**

**2006 Form 990**  
**Part IV-A**

Community Campaign Partnership

*In 1983, United Way of the Capital Area signed an agreement with the organization presently called Community Health Charities of New England (“CHC”). Under the terms of this agreement, the annual United Way Community Campaign would be conducted as a joint solicitation effort, with the intention of providing the donor community with a single campaign through which contributions can be made to the region’s major social and health service providers.*

*This agreement establishes a schedule for the sharing of campaign receipts between the two organizations, which calls for 20% of the adjusted gross campaign receipts (as defined) due to CHC. United Way of the Capital Area is solely responsible for operating and managing the Community Campaign, including the collection of all pledges related to the annual community campaign. Funds are distributed to CHC on a scheduled basis (as defined). No fees are deducted by UWCA from these proceeds. In addition and per this agreement, CHC is responsible for a portion of campaign expenditures incurred by United Way.*

**2006 Form 990**  
**Part IV-A**

Designations to Others

*Through the United Way Community Campaign, donors can direct their gifts to any qualified 501(c)(3) organizations in the United States. As a member of United Way of America, UWC adheres to all membership criteria including the requirements for deducting expenses from donor-directed pledges (UWA publication titled United Way of America Implementation Requirements for Membership Standard M – Cost Deduction for Designated Funds).*

**United Way of the Capital Area**  
**Fiscal 2007**  
**2006 Form 990 – Accompanying Statements/Notes (cont.)**

**2006 Form 990**  
**Part IV-A**

Designations to Others (cont.)

*Membership to United Way of America (“UWA”) requires that local United Ways charge donors no more than the actual cost incurred to process and transfer their designated gifts. The formulas utilized to determine fundraising and administrative costs are based upon a current, 3-year average of information taken from IRS Form 990. The three-year averages, from tax filings through fiscal 2006, were 9.6% and 3.2% for fundraising costs and management and general costs respectively. United Ways are permitted to charge less than this amount, so long as the amount of undesignated dollars used to subsidize this policy, if applicable, is knowingly undertaken by the local United Way’s board and certified to UWA as such.*

*While this standard would allow us to deduct up to 12.8%, designated gifts through the 2006 (and upcoming 2007) UWCA Community Campaign were subject to a 10% fee (includes administration and fundraising costs), capped at \$100 per designated gift. In addition, UWCA does not deduct any fees as consideration for uncollectible campaign pledges.*

*Expenses incurred for the processing of these donor-designated gifts include, but are not limited to, the verification of 501(C)(3) status of designated agencies, annual collection of Anti-Terrorism Compliance certifications, maintenance of an agency data base, recording of individual donor designation data, the compilation of designation information in reports, and notification of letters of payments to be forwarded to recipient agencies. Donor designation expenses (the costs incurred in processing/transferring designations) are similar to pledge processing and administrative costs, and therefore do not qualify and are not reported as community investment and program services.*

*In some cases, companies have promised their employees that 100% of their gift goes to the designated agency. In those instances, the company in turn provides an additional gift equal to 10% of the employees’ designated gifts to cover the administration fee.*

*Designation payout is based on actual pledge amount received from each individual company. If the company provides employee detail with each payment, designation payout will be based upon the actual amount received for the individual employee. If detail is not provided, the payout will be based upon the amount received from company prorated over all gifts. Any applicable fees deducted from donor-designated gifts will be done so ratably upon collected proceeds.*

**United Way of the Capital Area**  
**Fiscal 2007**  
**2006 Form 990 – Accompanying Statements/Notes (cont.)**

**2006 Form 990**  
**Part IV-A**

Designations to Others (cont.)

*Federal law (USA Patriot Act) and the membership standards of United Way of America require that UWCA retain on file a statement from agencies certifying that funds received from and through UWCA are not being, and will not knowingly be, used to support terrorists or terrorist organizations. In order to receive any such funds, an agency must complete this Anti-Terrorism Compliance certification form annually.*

*Confirmations of donor gifts processed by UWCA will be generated weekly. The names of donors wishing to be acknowledged by the agency, to which they directed their gift, will be included with the first payment to the agency. UWCA does not rent, trade or sell its list of donors under any circumstances.*

**United Way of the Capital Area**  
**Fiscal 2007**  
**2006 Form 990 – Accompanying Statements/Notes (cont.)**

**2006 Form 990**

**Part VI – Identification of Related Organizations**

Avon United Fund  
Canton United Fund  
Farmington Community Chest  
United Way of New Britain and Berlin  
United Way of North Central Connecticut  
Windham Region United Way

*These recognized exempt organizations, as described in Section 501(c) of the code, are identified as affiliates of United Way of the Capital Area for fundraising (and administrative) efficiencies within our 40-town community. Fiscal results of these entities are recorded separately and are not included in the financial results from operations of United Way of the Capital Area.*

**United Way of the Capital Area  
Fiscal 2007  
2006 Form 990 – Accompanying Statements/Notes (cont.)**

**2006 Form 990  
Sch A, Line 3a**

*Through United Way's Community Investment, donors' gifts are invested in programs to ensure success for children, strong and healthy families and a thriving community. Programs funded through Community Investment are researched and monitored by knowledgeable, experienced local volunteers to be certain that they maintain the highest levels of governance and financial accountability. These volunteers are trained to assess "what's working" and "what's needed" in order to determine the appropriate level of support awarded to programs and community initiatives that serve children, families and neighborhoods in this community.*

*Certified agencies are invited to apply for Community Investment funding. Applicants must provide information on past results, anticipated outcomes, demographics, staff qualifications and budget. Site visits are conducted by United Way of the Capital Area volunteers. Funding decisions and awards are based on outcome evaluations of the program, in addition to financial and budget review.*