Form **990**

Department of the Treasury
Internal Revenue Service

# Return of Organization Exempt From Income Tax

**Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)**

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0047

**2006**

**Open to Public Inspection**

A For the 2006 calendar year, or tax year beginning and ending

B Check if applicable:
- ☐ Address change
- ☐ Name change
- ☐ Initial return
- ☐ Final return
- ☐ Amended return
- ☐ Application pending

Please use IRS label or print or type See Specific Instructions

C Name of organization
MENTAL HEALTH ASSOCIATION
IN SANTA BARBARA COUNTY

Number and street (or P.O. box if mail is not delivered to street address) Room/suite
16 WEST MISSION STREET, SUITE V

City or town, state or country, and ZIP + 4
SANTA BARBARA, CA 93101

D Employer identification number
95-1962659

E Telephone number
(805) 898-0129

F Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) ▶

- Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ).

H and I are not applicable to section 527 organizations.

H(a) Is this a group return for affiliates? ☐ Yes ☒ No

H(b) If "Yes," enter number of affiliates ▶ N/A

H(c) Are all affiliates included? N/A ☐ Yes ☐ No
(If "No," attach a list.)

H(d) Is this a separate return filed by an organization covered by a group ruling? ☐ Yes ☒ No

G Website: ▶WWW.MHAINSB.ORG

J Organization type (check only one) ▶ ☒ 501(c) ( 3 ) ◀ (insert no ) ☐ 4947(a)(1) or ☐ 527

K Check here ▶ ☐ if the organization is not a 509(a)(3) supporting organization **and** its gross receipts are normally **not** more than $25,000. A return is not required, but if the organization chooses to file a return, be sure to file a complete return.

I Group Exemption Number ▶ N/A

M Check ▶ ☐ if the organization is **not** required to attach Sch. B (Form 990, 990-EZ, or 990-PF).

L Gross receipts: Add lines 6b, 8b, 9b, and 10b to line 12 ▶ 2,672,946.

SCANNED DEC 12 2007

## Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances

| | | | | | |
|---|---|---|---|---|---|
| Revenue | 1 | Contributions, gifts, grants, and similar amounts received: | | | |
| | a | Contributions to donor advised funds | 1a | | |
| | b | Direct public support (not included on line 1a) | 1b | 1,162,213. | |
| | c | Indirect public support (not included on line 1a) | 1c | | |
| | d | Government contributions (grants) (not included on line 1a) | 1d | 1,200,526. | |
| | e | **Total** (add lines 1a through 1d) (cash $ 2,362,739. noncash $ ) | | | 1e 2,362,739. |
| | 2 | Program service revenue including government fees and contracts (from Part VII, line 93) | | | 2 102,440. |
| | 3 | Membership dues and assessments | | | 3 |
| | 4 | Interest on savings and temporary cash investments | | | 4 |
| | 5 | Dividends and interest from securities | | | 5 14,400. |
| | 6 a | Gross rents | 6a | | |
| | b | Less: rental expenses | 6b | | |
| | c | Net rental income or (loss). Subtract line 6b from line 6a | | | 6c |
| | 7 | Other investment income (describe ▶ ) | | | 7 |
| | 8 a | Gross amount from sales of assets other than inventory — (A) Securities 49,684. | 8a | (B) Other | |
| | b | Less: cost or other basis and sales expenses 49,447. | 8b | | |
| | c | Gain or (loss) (attach schedule) 237. | 8c | | |
| | d | Net gain or (loss). Combine line 8c, columns (A) and (B) STMT 1 | | | 8d 237. |
| | 9 | Special events and activities (attach schedule). If any amount is from **gaming**, check here ▶ ☐ | | | |
| | a | Gross revenue (not including $ 0. of contributions reported on line 1b) | 9a | 142,131. | |
| | b | Less: direct expenses other than fundraising expenses | 9b | 66,085. | |
| | c | Net income or (loss) from special events. Subtract line 9b from line 9a SEE STATEMENT 2 | | | 9c 76,046. |
| | 10 a | Gross sales of inventory, less returns and allowances | 10a | | |
| | b | Less: cost of goods sold | 10b | | |
| | c | Gross profit or (loss) from sales of inventory (attach schedule). Subtract line 10b from line 10a | | | 10c |
| | 11 | Other revenue (from Part VII, line 103) | | | 11 1,552. |
| | 12 | **Total revenue.** Add lines 1e, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11 | | | 12 2,557,414. |
| Expenses | 13 | Program services (from line 44, column (B)) | | | 13 1,141,758. |
| | 14 | Management and general (from line 44, column (C)) | | | 14 204,284. |
| | 15 | Fundraising (from line 44, column (D)) | | | 15 178,568. |
| | 16 | Payments to affiliates (attach schedule) | | | 16 |
| | 17 | **Total expenses.** Add lines 16 and 44, column (A) | | | 17 1,524,610. |
| Net Assets | 18 | Excess or (deficit) for the year. Subtract line 17 from line 12 | | | 18 1,032,804. |
| | 19 | Net assets or fund balances at beginning of year (from line 73, column (A)) | | | 19 6,262,284. |
| | 20 | Other changes in net assets or fund balances (attach explanation) SEE STATEMENT 3 | | | 20 114,175. |
| | 21 | Net assets or fund balances at end of year. Combine lines 18, 19, and 20 | | | 21 7,409,263. |

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01-18-07 LHA **For Privacy Act and Paperwork Reduction Act Notice, see the separate instructions.** Form **990** (2006)



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**Part II Statement of Functional Expenses** All organizations must complete column (A). Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others.

| *Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I.* | | (A) Total | (B) Program services | (C) Management and general | (D) Fundraising |
|---|---|---|---|---|---|
| 22a Grants paid from donor advised funds (attach schedule) (cash $ 0. noncash $ 0.) If this amount includes foreign grants, check here ▶ ☐ | 22a | | | | |
| 22b Other grants and allocations (attach schedule) (cash $ 0. noncash $ 0.) If this amount includes foreign grants, check here ▶ ☐ | 22b | | | | |
| 23 Specific assistance to individuals (attach schedule) | 23 | | | | |
| 24 Benefits paid to or for members (attach schedule) | 24 | | | | |
| 25a Compensation of current officers, directors, key employees, etc. listed in Part V-A | 25a | 94,855. | 18,971. | 37,942. | 37,942. |
| b Compensation of former officers, directors, key employees, etc. listed in Part V-B | 25b | 0. | 0. | 0. | 0. |
| c Compensation and other distributions, not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) | 25c | | | | |
| 26 Salaries and wages of employees not included on lines 25a, b, and c | 26 | 790,812. | 654,136. | 59,481. | 77,195. |
| 27 Pension plan contributions not included on lines 25a, b, and c | 27 | 9,790. | 7,440. | 1,077. | 1,273. |
| 28 Employee benefits not included on lines 25a - 27 | 28 | 93,933. | 71,389. | 10,333. | 12,211. |
| 29 Payroll taxes | 29 | 76,961. | 58,490. | 8,466. | 10,005. |
| 30 Professional fundraising fees | 30 | | | | |
| 31 Accounting fees | 31 | 13,500. | | 13,500. | |
| 32 Legal fees | 32 | | | | |
| 33 Supplies | 33 | | | | |
| 34 Telephone | 34 | 15,421. | 10,998. | 1,759. | 2,664. |
| 35 Postage and shipping | 35 | 15,714. | 2,732. | 5,269. | 7,713. |
| 36 Occupancy | 36 | 50,794. | 38,096. | 5,079. | 7,619. |
| 37 Equipment rental and maintenance | 37 | 22,349. | 13,125. | 1,839. | 7,385. |
| 38 Printing and publications | 38 | 20,318. | 1,027. | 12,549. | 6,742. |
| 39 Travel | 39 | 3,365. | 2,026. | 1,085. | 254. |
| 40 Conferences, conventions, and meetings | 40 | 6,918. | | 6,918. | |
| 41 Interest | 41 | 6,195. | | 6,195. | |
| 42 Depreciation, depletion, etc (attach schedule) | 42 | 38,259. | 37,405. | 854. | |
| 43 Other expenses not covered above (itemize) | | | | | |
| a | 43a | | | | |
| b | 43b | | | | |
| c | 43c | | | | |
| d | 43d | | | | |
| e | 43e | | | | |
| f | 43f | | | | |
| g SEE STATEMENT 4 | 43g | 265,426. | 225,923. | 31,938. | 7,565. |
| 44 **Total functional expenses** Add lines 22a through 43g. (Organizations completing columns (B)-(D), carry these totals to lines 13-15) | 44 | 1,524,610. | 1,141,758. | 204,284. | 178,568. |

**Joint Costs.** Check ▶ ☐ if you are following SOP 98-2

Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services? ▶ ☐ Yes ☒ No

If "Yes," enter (i) the aggregate amount of these joint costs $ N/A ; (ii) the amount allocated to Program services $ N/A ; (iii) the amount allocated to Management and general $ N/A ; and (iv) the amount allocated to Fundraising $ N/A

**Part III Statement of Program Service Accomplishments** *(See the instructions )*

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.

What is the organization's primary exempt purpose? ▶ MENTAL HEALTH SERVICES

All organizations must describe their exempt purpose achievements in a clear and concise manner. State the number of clients served, publications issued, etc Discuss achievements that are not measurable (Section 501(c)(3) and (4) organizations and 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants and allocations to others )

**Program Service Expenses** (Required for 501(c)(3) and (4) orgs., and 4947(a)(1) trusts; but optional for others.)

**a** THE FELLOWSHIP CLUB IS A REHABILITATION CENTER THAT SERVES APPROXIMATELY 200 PEOPLE LIVING WITH SERIOUS MENTAL ILLNESS. THE PROGRAM PROVIDES JOB TRAINING, SKILLS OF LIVING CLASSES AND SOCIALIZATION.

(Grants and allocations $ ) If this amount includes foreign grants, check here ▶ ☐ 433,868.

**b** CASA JUANA MARIA AND LYONS HOUSE ARE STATE LICENSED ADULT RESIDENTIAL FACILITIES THAT PROVIDE LIVING ACCOMODATIONS AND 24/7 STAFF SUPERVISION FOR SIX MENTAL HEALTH SERVICES CLIENTS.

(Grants and allocations $ ) If this amount includes foreign grants, check here ▶ ☐ 593,714.

**c** CANON PERDIDO APARTMENTS ARE LONG-TERM INDEPENDENT LIVING APARTMENTS FOR 14 PEOPLE LIVING WITH MENTAL ILLNESS. THERE IS A RESIDENT MANAGER ON THE PREMISE TO PROVIDE SUPPORT AND RESOURCES.

(Grants and allocations $ ) If this amount includes foreign grants, check here ▶ ☐ 45,670.

**d** OUR FAMILY SERVICES PROVIDES ASSISTANCE THROUGH OUR FAMILY ADVOCATE, WEEKLY SUPPORT GROUPS, MONTHLY INFORMATIONAL MEETINGS AND EDUCATIONAL COURSES AS WELL AS STATE AND NATIONAL ADVOCACY WORK.

(Grants and allocations $ ) If this amount includes foreign grants, check here ▶ ☐ 68,506.

**e** Other program services (attach schedule)

(Grants and allocations $ ) If this amount includes foreign grants, check here ▶ ☐

**f** **Total of Program Service Expenses** (should equal line 44, column (B), Program services) ▶ 1,141,758.

Form **990** (2006)

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**Part IV Balance Sheets** *(See the instructions )*

| Note: *Where required, attached schedules and amounts within the description column should be for end-of-year amounts only* | | | | (A) Beginning of year | | (B) End of year |
|---|---|---|---|---|---|---|
| Assets | 45 | Cash - non-interest-bearing | | 109,156. | 45 | 34,951. |
| | 46 | Savings and temporary cash investments | | 761,390. | 46 | 406,689. |
| | 47 a | Accounts receivable | 47a 2,716,550. | | | |
| | b | Less allowance for doubtful accounts | 47b 10,000. | 328,713. | 47c | 2,706,550. |
| | 48 a | Pledges receivable | 48a 565,500. | | | |
| | b | Less allowance for doubtful accounts | 48b | 767,731. | 48c | 565,500. |
| | 49 | Grants receivable | | | 49 | |
| | 50 a | Receivables from current and former officers, directors, trustees, and key employees | | | 50a | |
| | b | Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) | | | 50b | |
| | 51 a | Other notes and loans receivable | 51a | | | |
| | b | Less: allowance for doubtful accounts | 51b | | 51c | |
| | 52 | Inventories for sale or use | | | 52 | |
| | 53 | Prepaid expenses and deferred charges | | 95,527. | 53 | 34,176. |
| | 54 a | Investments - publicly-traded securities | ▶ ☐ Cost ☐ FMV | 19,118. | 54a | |
| | b | Investments - other securities | ▶ ☐ Cost ☐ FMV | | 54b | |
| | 55 a | Investments - land, buildings, and equipment: basis | 55a | | | |
| | b | Less accumulated depreciation | 55b | | 55c | |
| | 56 | Investments - other SEE STATEMENT 5 | | 0. | 56 | 2,462,933. |
| | 57 a | Land, buildings, and equipment: basis | 57a 1,623,152. | | | |
| | b | Less accumulated depreciation | 57b 607,591. | 4,950,441. | 57c | 1,015,561. |
| | 58 | Other assets, including program-related investments (describe ▶ SEE STATEMENT 6 ) | | 983,141. | 58 | 983,141. |
| | 59 | **Total assets** (must equal line 74) Add lines 45 through 58 | | 8,015,217. | 59 | 8,209,501. |
| Liabilities | 60 | Accounts payable and accrued expenses | | 265,017. | 60 | 236,357. |
| | 61 | Grants payable | | | 61 | |
| | 62 | Deferred revenue | | | 62 | |
| | 63 | Loans from officers, directors, trustees, and key employees | | | 63 | |
| | 64 a | Tax-exempt bond liabilities | | | 64a | |
| | b | Mortgages and other notes payable | | 931,593. | 64b | 542,106. |
| | 65 | Other liabilities (describe ▶ SEE STATEMENT 7 ) | | 556,323. | 65 | 21,775. |
| | 66 | **Total liabilities.** Add lines 60 through 65 | | 1,752,933. | 66 | 800,238. |
| Net Assets or Fund Balances | | **Organizations that follow SFAS 117, check here** ▶ ☒ and complete lines 67 through 69 and lines 73 and 74 | | | | |
| | 67 | Unrestricted | | 4,347,085. | 67 | 5,822,745. |
| | 68 | Temporarily restricted | | 1,868,666. | 68 | 1,539,985. |
| | 69 | Permanently restricted | | 46,533. | 69 | 46,533. |
| | | **Organizations that do not follow SFAS 117, check here** ▶ ☐ and complete lines 70 through 74. | | | | |
| | 70 | Capital stock, trust principal, or current funds | | | 70 | |
| | 71 | Paid-in or capital surplus, or land, building, and equipment fund | | | 71 | |
| | 72 | Retained earnings, endowment, accumulated income, or other funds | | | 72 | |
| | 73 | **Total net assets or fund balances.** Add lines 67 through 69 **or** lines 70 through 72. (Column (A) **must** equal line 19 and column (B) **must** equal line 21) | | 6,262,284. | 73 | 7,409,263. |
| | 74 | **Total liabilities and net assets/fund balances.** Add lines 66 and 73 | | 8,015,217. | 74 | 8,209,501. |

**Part IV-A Reconciliation of Revenue per Audited Financial Statements With Revenue per Return** *(See the instructions )*

| | | | | | |
|---|---|---|---|---|---|
| a | Total revenue, gains, and other support per audited financial statements | | | a | 2,671,589. |
| b | Amounts included on line a but not on Part I, line 12. | | | | |
| 1 | Net unrealized gains on investments | b1 | | | |
| 2 | Donated services and use of facilities | b2 | 114,175. | | |
| 3 | Recoveries of prior year grants | b3 | | | |
| 4 | Other (specify). | b4 | | | |
| | Add lines b1 through b4 | | | b | 114,175. |
| c | Subtract line b from line a | | | c | 2,557,414. |
| d | Amounts included on Part I, line 12, but not on line a: | | | | |
| 1 | Investment expenses not included on Part I, line 6b | d1 | | | |
| 2 | Other (specify) | d2 | | | |
| | Add lines d1 and d2 | | | d | 0. |
| e | **Total revenue** (Part I, line 12) Add lines c and d | | ▶ | e | 2,557,414. |

**Part IV-B Reconciliation of Expenses per Audited Financial Statements With Expenses per Return**

| | | | | | |
|---|---|---|---|---|---|
| a | Total expenses and losses per audited financial statements | | | a | 1,524,610. |
| b | Amounts included on line a but not on Part I, line 17 | | | | |
| 1 | Donated services and use of facilities | b1 | | | |
| 2 | Prior year adjustments reported on Part I, line 20 | b2 | | | |
| 3 | Losses reported on Part I, line 20 | b3 | | | |
| 4 | Other (specify) | b4 | | | |
| | Add lines b1 through b4 | | | b | 0. |
| c | Subtract line b from line a | | | c | 1,524,610. |
| d | Amounts included on Part I, line 17, but not on line a: | | | | |
| 1 | Investment expenses not included on Part I, line 6b | d1 | | | |
| 2 | Other (specify) | d2 | | | |
| | Add lines d1 and d2 | | | d | 0. |
| e | **Total expenses** (Part I, line 17) Add lines c and d | | ▶ | e | 1,524,610. |

**Part V-A Current Officers, Directors, Trustees, and Key Employees** (List each person who was an officer, director, trustee, or key employee at any time during the year even if they were not compensated ) *(See the instructions )*

| (A) Name and address | (B) Title and average hours per week devoted to position | (C) Compensation (If not paid, enter -0- ) | (D) Contributions to employee benefit plans & deferred compensation plans | (E) Expense account and other allowances |
|---|---|---|---|---|
| SEE STATEMENT 8 | | 94,855. | 2,846. | 0. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Part V-A Current Officers, Directors, Trustees, and Key Employees** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 75 a | Enter the total number of officers, directors, and trustees permitted to vote on organization business at board meetings ▶ 29 | | | |
| b | Are any officers, directors, trustees, or key employees listed in Form 990, Part V-A, or highest compensated employees listed in Schedule A, Part I, or highest compensated professional and other independent contractors listed in Schedule A, Part II-A or II-B, related to each other through family or business relationships? If "Yes," attach a statement that identifies the individuals and explains the relationship(s) | 75b | | X |
| c | Do any officers, directors, trustees, or key employees listed in Form 990, Part V-A, or highest compensated employees listed in Schedule A, Part I, or highest compensated professional and other independent contractors listed in Schedule A, Part II-A or II-B, receive compensation from any other organizations, whether tax exempt or taxable, that are related to the organization? See the instructions for the definition of "related organization " | 75c | | X |
| | If "Yes," attach a statement that includes the information described in the instructions | | | |
| d | Does the organization have a written conflict of interest policy? | 75d | | X |

**Part V-B Former Officers, Directors, Trustees, and Key Employees That Received Compensation or Other Benefits** (If any former officer, director, trustee, or key employee received compensation or other benefits (described below) during the year, list that person below and enter the amount of compensation or other benefits in the appropriate column See the instructions.)

| (A) Name and address | (B) Loans and Advances | (C) Compensation (If not paid, enter -0-) | (D) Contributions to employee benefit plans & deferred compensation plans | (E) Expense account and other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
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| | | | | |

**Part VI Other Information** *(See the instructions.)*

| | | | Yes | No |
|---|---|---|---|---|
| 76 | Did the organization make a change in its activities or methods of conducting activities? If "Yes," attach a detailed statement of each change | 76 | | X |
| 77 | Were any changes made in the organizing or governing documents but not reported to the IRS? If "Yes," attach a conformed copy of the changes | 77 | | X |
| 78 a | Did the organization have unrelated business gross income of $1,000 or more during the year covered by this return? | 78a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A | 78b | | |
| 79 | Was there a liquidation, dissolution, termination, or substantial contraction during the year? If "Yes," attach a statement | 79 | | X |
| 80 a | Is the organization related (other than by association with a statewide or nationwide organization) through common membership, governing bodies, trustees, officers, etc., to any other exempt or nonexempt organization? | 80a | | X |
| b | If "Yes," enter the name of the organization ▶ N/A and check whether it is ☐ exempt or ☐ nonexempt | | | |
| 81 a | Enter direct or indirect political expenditures (See line 81 instructions ) 81a 0. | | | |
| b | Did the organization file **Form 1120-POL** for this year? | 81b | | X |

**Part VI Other Information** *(continued)*

| | | | | Yes | No |
|---|---|---|---|---|---|
| 82 a | Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value? | | 82a | | X |
| b | If "Yes," you may indicate the value of these items here Do not include this amount as revenue in Part I or as an expense in Part II. (See instructions in Part III.) | 82b N/A | | | |
| 83 a | Did the organization comply with the public inspection requirements for returns and exemption applications? | | 83a | X | |
| b | Did the organization comply with the disclosure requirements relating to quid pro quo contributions? | | 83b | X | |
| 84 a | Did the organization solicit any contributions or gifts that were not tax deductible? | | 84a | | X |
| b | If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? | N/A | 84b | | |
| 85 | *501(c)(4), (5), or (6) organizations.* a Were substantially all dues nondeductible by members? | N/A | 85a | | |
| b | Did the organization make only in-house lobbying expenditures of $2,000 or less? | N/A | 85b | | |
| | If "Yes" was answered to either 85a or 85b, **do not** complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year | | | | |
| c | Dues, assessments, and similar amounts from members | 85c N/A | | | |
| d | Section 162(e) lobbying and political expenditures | 85d N/A | | | |
| e | Aggregate nondeductible amount of section 6033(e)(1)(A) dues notices | 85e N/A | | | |
| f | Taxable amount of lobbying and political expenditures (line 85d less 85e) | 85f N/A | | | |
| g | Does the organization elect to pay the section 6033(e) tax on the amount on line 85f? | N/A | 85g | | |
| h | If section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount on line 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year? | N/A | 85h | | |
| 86 | *501(c)(7) organizations* Enter a Initiation fees and capital contributions included on line 12 | 86a N/A | | | |
| b | Gross receipts, included on line 12, for public use of club facilities | 86b N/A | | | |
| 87 | *501(c)(12) organizations* Enter a Gross income from members or shareholders | 87a N/A | | | |
| b | Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) | 87b N/A | | | |
| 88 a | At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? If "Yes," complete Part IX | | 88a | | X |
| b | At any time during the year, did the organization, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Part XI ▶ | | 88b | | X |
| 89 a | *501(c)(3) organizations.* Enter: Amount of tax imposed on the organization during the year under: section 4911 ▶ 0. ; section 4912 ▶ 0. ; section 4955 ▶ 0. | | | | |
| b | *501(c)(3) and 501(c)(4) organizations* Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach a statement explaining each transaction | | 89b | | X |
| c | Enter: Amount of tax imposed on the organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 ▶ | 0. | | | |
| d | Enter Amount of tax on line 89c, above, reimbursed by the organization ▶ | 0. | | | |
| e | *All organizations.* At any time during the tax year, was the organization a party to a prohibited tax shelter transaction? | | 89e | | X |
| f | *All organizations.* Did the organization acquire a direct or indirect interest in any applicable insurance contract? | | 89f | | X |
| g | *For supporting organizations and sponsoring organizations maintaining donor advised funds* Did the supporting organization, or a fund maintained by a sponsoring organization, have excess business holdings at any time during the year? | | 89g | | X |
| 90 a | List the states with which a copy of this return is filed ▶ CA | | | | |
| b | Number of employees employed in the pay period that includes March 12, 2006 | 90b 39 | | | |
| 91 a | The books are in care of ▶ PATRICIA COLLINS Telephone no. ▶ (805) 898-1499 Located at ▶ 16 WEST MISSION STREET, SUITE V, SANTA BARBARA, ZIP + 4 ▶ 93101 | | | | |
| b | At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? If "Yes," enter the name of the foreign country ▶ N/A See the instructions for exceptions and filing requirements for **Form TD F 90-22.1,** Report of Foreign Bank and Financial Accounts | | 91b | | X |

**Part VI Other Information** *(continued)*

| | | Yes | No |
|---|---|---|---|
| c | At any time during the calendar year, did the organization maintain an office outside of the United States? 91c | | X |
| | If "Yes," enter the name of the foreign country ▶ N/A | | |
| 92 | *Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of* **Form 1041**- Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the tax year ▶ 92 N/A | | |

**Part VII Analysis of Income-Producing Activities** *(See the instructions)*

| **Note:** *Enter gross amounts unless otherwise indicated* | Unrelated business income (A) Business code | Unrelated business income (B) Amount | Excluded by section 512, 513, or 514 (C) Exclusion code | Excluded by section 512, 513, or 514 (D) Amount | (E) Related or exempt function income |
|---|---|---|---|---|---|
| 93 Program service revenue: | | | | | |
| a RESIDENT FESS | | | | | 102,440. |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f Medicare/Medicaid payments | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 94 Membership dues and assessments | | | | | |
| 95 Interest on savings and temporary cash investments | | | | | |
| 96 Dividends and interest from securities | | | 14 | 14,400. | |
| 97 Net rental income or (loss) from real estate | | | | | |
| a debt-financed property | | | | | |
| b not debt-financed property | | | | | |
| 98 Net rental income or (loss) from personal property | | | | | |
| 99 Other investment income | | | | | |
| 100 Gain or (loss) from sales of assets other than inventory | | | 18 | 237. | |
| 101 Net income or (loss) from special events | | | 03 | 76,046. | |
| 102 Gross profit or (loss) from sales of inventory | | | | | |
| 103 Other revenue | | | | | |
| a MISCELLANEOUS INCOME | | | | | 1,552. |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 104 Subtotal (add columns (B), (D), and (E)) | | 0. | | 90,683. | 103,992. |
| 105 **Total** (add line 104, columns (B), (D), and (E)) | | | | ▶ | 194,675. |

**Note:** *Line 105 plus line 1e, Part I, should equal the amount on line 12, Part I.*

**Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes** *(See the instructions)*

| Line No ▼ | Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes). |
|---|---|
| 93A | PROVIDE BOARD AND CARE FOR MENTAL HEALTH PATIENTS. |
| 103A | PROVIDE BOARD AND CARE FOR MENTAL HEALTH PATIENTS. |
| | |
| | |

**Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities** *(See the instructions)*

| (A) Name, address, and EIN of corporation, partnership, or disregarded entity | (B) Percentage of ownership interest | (C) Nature of activities | (D) Total income | (E) End-of-year assets |
|---|---|---|---|---|
| | % | | | |
| N/A | % | | | |
| | % | | | |
| | % | | | |

**Part X Information Regarding Transfers Associated with Personal Benefit Contracts** *(See the instructions)*

| | | | |
|---|---|---|---|
| (a) | Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | ☐ Yes | ☒ No |
| (b) | Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | ☐ Yes | ☒ No |

**Note:** *If "Yes" to* **(b)**, *file Form 8870* **and** *Form 4720 (see instructions).*

**Part XI** **Information Regarding Transfers To and From Controlled Entities.** *Complete only if the organization is a controlling organization as defined in section 512(b)(13)* N/A

| | | Yes | No |
|---|---|---|---|
| 106 | Did the reporting organization **make** any transfers **to** a controlled entity as defined in section 512(b)(13) of the Code? If "Yes," complete the schedule below for each controlled entity | | |

| | (A) Name, address, of each controlled entity | (B) Employer Identification Number | (C) Description of transfer | (D) Amount of transfer |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| | Totals | | | |

| | | Yes | No |
|---|---|---|---|
| 107 | Did the reporting organization **receive** any transfers **from** a controlled entity as defined in section 512(b)(13) of the Code? If "Yes," complete the schedule below for each controlled entity | | |

| | (A) Name, address, of each controlled entity | (B) Employer Identification Number | (C) Description of transfer | (D) Amount of transfer |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| | Totals | | | |

| | | Yes | No |
|---|---|---|---|
| 108 | Did the organization have a binding written contract in effect on August 17, 2006, covering the interest, rents, royalties, and annuities described in question 107 above? | | |

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

**Please Sign Here**

Annmarie Cameron — Signature of officer — 11-14-07 — Date

Annmarie Cameron, Executive Director — Type or print name and title

**Paid Preparer's Use Only**

| | | | | |
|---|---|---|---|---|
| Preparer's signature | Cathy N. Lee | Date 11/14/07 | Check if self-employed ▶ ☐ | Preparer's SSN or PTIN (See Gen Inst X) |
| Firm's name (or yours if self-employed), address, and ZIP + 4 | MCGOWAN GUNTERMANN<br>509 E. MONTECITO ST., 2ND FLOOR<br>SANTA BARBARA, CA 93103-3293 | | EIN ▶ | Phone no. ▶ 805-962-9175 |

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

# Organization Exempt Under Section 501(c)(3)

(Except Private Foundation) and Section 501(e), 501(f), 501(k), 501(n), or 4947(a)(1) Nonexempt Charitable Trust

**Supplementary Information-(See separate instructions.)**

▶ MUST be completed by the above organizations and attached to their Form 990 or 990-EZ

OMB No 1545-0047

**2006**

Name of the organization MENTAL HEALTH ASSOCIATION IN SANTA BARBARA COUNTY

Employer identification number 95 1962659

## Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees

(See page 2 of the instructions. List each one. If there are none, enter "None.")

| (a) Name and address of each employee paid more than $50,000 | (b) Title and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans & deferred compensation | (e) Expense account and other allowances |
|---|---|---|---|---|
| PATRICIA COLLINS<br>16 WEST MISSION STREET, SUITE V, SANT | ASSISTANT E.D.<br>40.00 | 75,950. | 2,278. | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over $50,000 ▶ 0

## Part II-A Compensation of the Five Highest Paid Independent Contractors for Professional Services

(See page 2 of the instructions. List each one (whether individuals or firms). If there are none, enter "None.")

| (a) Name and address of each independent contractor paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |

Total number of others receiving over $50,000 for professional services ▶ 0

## Part II-B Compensation of the Five Highest Paid Independent Contractors for Other Services

(List each contractor who performed services other than professional services, whether individuals or firms. If there are none, enter "None." See page 2 of the instructions.)

| (a) Name and address of each independent contractor paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |

Total number of other contractors receiving over $50,000 for other services ▶ 0

**Part III Statements About Activities** (See page 2 of the instructions.)

| | | | Yes | No |
|---|---|---|---|---|
| 1 | During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If "Yes," enter the total expenses paid or incurred in connection with the lobbying activities ▶ $ _____ $ _____ (Must equal amounts on line 38, Part VI-A, or line i of Part VI-B.) | 1 | | X |
| | Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A. Other organizations checking "Yes" must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities. | | | |
| 2 | During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any substantial contributors, trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary? *(If the answer to any question is "Yes," attach a detailed statement explaining the transactions.)* | | | |
| a | Sale, exchange, or leasing of property? | 2a | | X |
| b | Lending of money or other extension of credit? | 2b | | X |
| c | Furnishing of goods, services, or facilities? | 2c | | X |
| d | Payment of compensation (or payment or reimbursement of expenses if more than $1,000)? | 2d | X | |
| e | Transfer of any part of its income or assets? | 2e | | X |
| 3 a | Did the organization make grants for scholarships, fellowships, student loans, etc.? (If "Yes," attach an explanation of how the organization determines that recipients qualify to receive payments.) | 3a | | X |
| b | Dd the organization have a section 403(b) annuity plan for its employees? | 3b | | X |
| c | Did the organization receive or hold an easement for conservation purposes, including easements to preserve open space, the environment, historic land areas or historic structures? If "Yes," attach a detailed statement | 3c | | X |
| d | Did the organization provide credit counseling, debt management, credit repair, or debt negotiation services? | 3d | | X |
| 4 a | Did the organization maintain any donor advised funds? If "Yes," complete lines 4b through 4g. If "No," complete lines 4f and 4g | 4a | | X |
| b | Did the organization make any taxable distributions under section 4966? N/A | 4b | | |
| c | Did the organization make a distribution to a donor, donor advisor, or related person? N/A | 4c | | |
| d | Enter the total number of donor advised funds owned at the end of the tax year ▶ | | | 0 |
| e | Enter the aggregate value of assets held in all donor advised funds owned at the end of the tax year ▶ | | | 0. |
| f | Enter the total number of separate funds or accounts owned at the end of the year (excluding donor advised funds included on line 4d) where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts ▶ | | | 0. |
| g | Enter the aggregate value of assets in all funds or accounts included on line 4f at the end of the tax year ▶ | | | 0. |

**Part IV** **Reason for Non-Private Foundation Status** (See pages 4 through 7 of the instructions.)

I certify that the organization is not a private foundation because it is: (Please check only **ONE** applicable box.)

5 ☐ A church, convention of churches, or association of churches. Section 170(b)(1)(A)(i).

6 ☐ A school. Section 170(b)(1)(A)(ii). (Also complete Part V.)

7 ☐ A hospital or a cooperative hospital service organization. Section 170(b)(1)(A)(iii).

8 ☐ A federal, state, or local government or governmental unit. Section 170(b)(1)(A)(v).

9 ☐ A medical research organization operated in conjunction with a hospital. Section 170(b)(1)(A)(iii). **Enter the hospital's name, city, and state** ▶ _____

10 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit. Section 170(b)(1)(A)(iv). (Also complete the **Support Schedule** in Part IV-A.)

11a ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public. Section 170(b)(1)(A)(vi). (Also complete the **Support Schedule** in Part IV-A.)

11b ☐ A community trust. Section 170(b)(1)(A)(vi). (Also complete the **Support Schedule** in Part IV-A.)

12 ☐ An organization that normally receives: **(1) more than 33 1/3%** of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc., functions - subject to certain exceptions, and **(2) no more than 33 1/3%** of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Also complete the **Support Schedule** in Part IV-A.)

13 ☐ An organization that is not controlled by any disqualified persons (other than foundation managers) and otherwise meets the requirements of section 509(a)(3). Check the box that describes the type of supporting organization:

☐ Type I ☐ Type II ☐ Type III-Functionally Integrated ☐ Type III-Other

**Provide the following information about the supported organizations.** (See page 7 of the instructions.)

| (a) Name(s) of supported organization(s) | (b) Employer identification number (EIN) | (c) Type of organization (described in lines 5 through 12 above or IRC section) | (d) Is the supported organization listed in the supporting organization's governing documents? Yes | (d) No | (e) Amount of support |
|---|---|---|---|---|---|
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| Total | | | | ▶ | |

14 ☐ An organization organized and operated to test for public safety. Section 509(a)(4). (See page 7 of the instructions.)

**Part IV-A** **Support Schedule** (Complete only if you checked a box on line 10, 11, or 12) **Use cash method of accounting.**
**Note:** *You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting*

| Calendar year (or fiscal year beginning in) ▶ | (a) 2005 | (b) 2004 | (c) 2003 | (d) 2002 | (e) Total |
|---|---|---|---|---|---|
| 15 Gifts, grants, and contributions received: (Do not include unusual grants. See line 28.) | 1,749,779. | 3,390,147. | 1,164,937. | 988,601. | 7,293,464. |
| 16 Membership fees received | | | | | |
| 17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable, etc., purpose | 153,181. | 239,238. | 234,367. | 211,704. | 838,490. |
| 18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975 | 15,908. | 5,096. | 5,638. | 5,957. | 32,599. |
| 19 Net income from unrelated business activities not included in line 18 | | | | | |
| 20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf | | | | | |
| 21 The value of services or facilities furnished to the organization by a governmental unit without charge. Do not include the value of services or facilities generally furnished to the public without charge | | | | | |
| 22 Other income. Attach a schedule. Do not include gain or (loss) from sale of capital assets | 1,552. | 1,799. | SEE STATEMENT 9<br>1,060. | 2,182. | 6,593. |
| 23 Total of lines 15 through 22 | 1,920,420. | 3,636,280. | 1,406,002. | 1,208,444. | 8,171,146. |
| 24 Line 23 minus line 17 | 1,767,239. | 3,397,042. | 1,171,635. | 996,740. | 7,332,656. |
| 25 Enter 1% of line 23 | 19,204. | 36,363. | 14,060. | 12,084. | |

| | | | |
|---|---|---|---|
| 26 **Organizations described on lines 10 or 11:** a Enter 2% of amount in column (e), line 24 ▶ | 26a | | 146,653. |
| b Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 2002 through 2005 exceeded the amount shown in line 26a. **Do not file this list with your return** Enter the total of all these excess amounts ▶ | 26b | | 1,023,379. |
| c Total support for section 509(a)(1) test: Enter line 24, column (e) ▶ | 26c | | 7,332,656. |
| d Add: Amounts from column (e) for lines: 18 32,599. 19 ____ 22 6,593. 26b 1,023,379. ▶ | 26d | | 1,062,571. |
| e Public support (line 26c minus line 26d total) ▶ | 26e | | 6,270,085. |
| f **Public support percentage (line 26e (numerator) divided by line 26c (denominator))** ▶ | 26f | | 85.5091% |

27 **Organizations described on line 12:** a For amounts included in lines 15, 16, and 17 that were received from a "disqualified person," prepare a list for your records to show the name of, and total amounts received in each year from, each "disqualified person." **Do not file this list with your return** Enter the sum of such amounts for each year: N/A

(2005) (2004) (2003) (2002)

b For any amount included in line 17 that was received from each person (other than "disqualified persons"), prepare a list for your records to show the name of, and amount received for each year, that was more than the **larger** of **(1)** the amount on line 25 for the year or **(2)** $5,000. (Include in the list organizations described in lines 5 through 11b, as well as individuals.) **Do not file this list with your return.** After computing the difference between the amount received and the larger amount described in **(1)** or **(2)**, enter the sum of these differences (the excess amounts) for each year: N/A

(2005) (2004) (2003) (2002)

| | | | |
|---|---|---|---|
| c Add: Amounts from column (e) for lines: 15 ____ 16 ____ 17 ____ 20 ____ 21 ____ ▶ | 27c | | N/A |
| d Add: Line 27a total ____ and line 27b total ____ ▶ | 27d | | N/A |
| e Public support (line 27c total minus line 27d total) ▶ | 27e | | N/A |
| f Total support for section 509(a)(2) test: Enter amount on line 23, column (e) ▶ | 27f | N/A | |
| g **Public support percentage (line 27e (numerator) divided by line 27f (denominator))** ▶ | 27g | | N/A % |
| h **Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator))** ▶ | 27h | | N/A % |

28 **Unusual Grants:** For an organization described in line 10, 11, or 12 that received any unusual grants during 2002 through 2005, prepare a list for your records to show, for each year, the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant. **Do not file this list with your return** Do not include these grants in line 15.

NONE

**Part V** **Private School Questionnaire** (See page 9 of the instructions.) N/A

**(To be completed ONLY by schools that checked the box on line 6 in Part IV)**

| | | | Yes | No |
|---|---|---|---|---|
| 29 | Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body? | 29 | | |
| 30 | Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships? | 30 | | |
| 31 | Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? | 31 | | |
| | If "Yes," please describe; if "No," please explain. (If you need more space, attach a separate statement.) | | | |
| 32 | Does the organization maintain the following: | | | |
| a | Records indicating the racial composition of the student body, faculty, and administrative staff? | 32a | | |
| b | Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis? | 32b | | |
| c | Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships? | 32c | | |
| d | Copies of all material used by the organization or on its behalf to solicit contributions? | 32d | | |
| | If you answered "No" to any of the above, please explain. (If you need more space, attach a separate statement.) | | | |
| 33 | Does the organization discriminate by race in any way with respect to: | | | |
| a | Students' rights or privileges? | 33a | | |
| b | Admissions policies? | 33b | | |
| c | Employment of faculty or administrative staff? | 33c | | |
| d | Scholarships or other financial assistance? | 33d | | |
| e | Educational policies? | 33e | | |
| f | Use of facilities? | 33f | | |
| g | Athletic programs? | 33g | | |
| h | Other extracurricular activities? | 33h | | |
| | If you answered "Yes" to any of the above, please explain. (If you need more space, attach a separate statement.) | | | |
| 34 a | Does the organization receive any financial aid or assistance from a governmental agency? | 34a | | |
| b | Has the organization's right to such aid ever been revoked or suspended? | 34b | | |
| | If you answered "Yes" to either 34a or b, please explain using an attached statement. | | | |
| 35 | Does the organization certify that it has complied with the applicable requirements of sections 4.01 through 4.05 of Rev. Proc. 75-50, 1975-2 C.B. 587, covering racial nondiscrimination? If "No," attach an explanation | 35 | | |



623141
01-18-07

**Part VI-A Lobbying Expenditures by Electing Public Charities** (See page 10 of the instructions.) N/A

(To be completed **ONLY** by an eligible organization that filed Form 5768)

Check ▶ **a** ☐ if the organization belongs to an affiliated group. Check ▶ **b** ☐ if you checked "a" and "limited control" provisions apply.

| Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.) | | (a) Affiliated group totals | (b) To be completed for all electing organizations |
|---|---|---|---|
| | | N/A | |
| 36 Total lobbying expenditures to influence public opinion (grassroots lobbying) | 36 | | |
| 37 Total lobbying expenditures to influence a legislative body (direct lobbying) | 37 | | |
| 38 Total lobbying expenditures (add lines 36 and 37) | 38 | | |
| 39 Other exempt purpose expenditures | 39 | | |
| 40 Total exempt purpose expenditures (add lines 38 and 39) | 40 | | |
| 41 Lobbying nontaxable amount. Enter the amount from the following table - | | | |
| **If the amount on line 40 is -** / **The lobbying nontaxable amount is -** | | | |
| Not over $500,000 — 20% of the amount on line 40 | | | |
| Over $500,000 but not over $1,000,000 — $100,000 plus 15% of the excess over $500,000 | | | |
| Over $1,000,000 but not over $1,500,000 — $175,000 plus 10% of the excess over $1,000,000 | 41 | | |
| Over $1,500,000 but not over $17,000,000 — $225,000 plus 5% of the excess over $1,500,000 | | | |
| Over $17,000,000 — $1,000,000 | | | |
| 42 Grassroots nontaxable amount (enter 25% of line 41) | 42 | | |
| 43 Subtract line 42 from line 36. Enter -0- if line 42 is more than line 36 | 43 | | |
| 44 Subtract line 41 from line 38. Enter -0- if line 41 is more than line 38 | 44 | | |
| **Caution:** *If there is an amount on either line 43 or line 44, you must file Form 4720.* | | | |

## 4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 45 through 50 on page 13 of the instructions.)

| | Lobbying Expenditures During 4-Year Averaging Period | | | | N/A |
|---|---|---|---|---|---|
| **Calendar year (or fiscal year beginning in) ▶** | (a) 2006 | (b) 2005 | (c) 2004 | (d) 2003 | (e) Total |
| 45 Lobbying nontaxable amount | | | | | 0. |
| 46 Lobbying ceiling amount (150% of line 45(e)) | | | | | 0. |
| 47 Total lobbying expenditures | | | | | 0. |
| 48 Grassroots nontaxable amount | | | | | 0. |
| 49 Grassroots ceiling amount (150% of line 48(e)) | | | | | 0. |
| 50 Grassroots lobbying expenditures | | | | | 0. |

**Part VI-B Lobbying Activity by Nonelecting Public Charities**

(For reporting only by organizations that did not complete Part VI-A) (See page 13 of the instructions.) N/A

| During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of: | Yes | No | Amount |
|---|---|---|---|
| **a** Volunteers | | | |
| **b** Paid staff or management (Include compensation in expenses reported on lines **c** through **h**.) | | | |
| **c** Media advertisements | | | |
| **d** Mailings to members, legislators, or the public | | | |
| **e** Publications, or published or broadcast statements | | | |
| **f** Grants to other organizations for lobbying purposes | | | |
| **g** Direct contact with legislators, their staffs, government officials, or a legislative body | | | |
| **h** Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means | | | |
| **i** Total lobbying expenditures (Add lines **c** through **h**.) | | | 0. |

If "Yes" to any of the above, also attach a statement giving a detailed description of the lobbying activities.

**Part VII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations** (See page 13 of the instructions.)

51 Did the reporting organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

| | | Yes | No |
|---|---|---|---|
| a | Transfers from the reporting organization to a noncharitable exempt organization of: | | |
| | (i) Cash | 51a(i) | X |
| | (ii) Other assets | a(ii) | X |
| b | Other transactions: | | |
| | (i) Sales or exchanges of assets with a noncharitable exempt organization | b(i) | X |
| | (ii) Purchases of assets from a noncharitable exempt organization | b(ii) | X |
| | (iii) Rental of facilities, equipment, or other assets | b(iii) | X |
| | (iv) Reimbursement arrangements | b(iv) | X |
| | (v) Loans or loan guarantees | b(v) | X |
| | (vi) Performance of services or membership or fundraising solicitations | b(vi) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | c | X |

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting organization. If the organization received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received: N/A

| (a) Line no. | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | | |
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| | | | |

52 a Is the organization directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ▶ ☐ Yes ☒ No

b If "Yes," complete the following schedule: N/A

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| | | |
| | | |
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| | | |
| | | |
| | | |
| | | |

FORM 990 GAIN (LOSS) FROM PUBLICLY TRADED SECURITIES STATEMENT 1

| DESCRIPTION | GROSS SALES PRICE | COST OR OTHER BASIS | EXPENSE OF SALE | NET GAIN OR (LOSS) |
|---|---|---|---|---|
| SOCIETE GENERALE BOND | 10,000. | 9,841. | 0. | 159. |
| LEGGETT | 8,444. | 8,368. | 0. | 76. |
| MIDSTATE | 3,308. | 3,076. | 0. | 232. |
| PACIFIC BANCORP | 3,512. | 3,800. | 0. | <288.> |
| REALY INCOME CORP | 7,994. | 7,687. | 0. | 307. |
| ROCKWELL | 9,042. | 8,988. | 0. | 54. |
| SCHWAB | 7,384. | 7,687. | 0. | <303.> |
| TO FORM 990, PART I, LINE 8 | 49,684. | 49,447. | 0. | 237. |

FORM 990 SPECIAL EVENTS AND ACTIVITIES STATEMENT 2

| DESCRIPTION OF EVENT | GROSS RECEIPTS | CONTRIBUT. INCLUDED | GROSS REVENUE | DIRECT EXPENSES | NET INCOME |
|---|---|---|---|---|---|
| NAMI DUES, MAY DINNER, CANDLELIGHT VIGIL, DIGEST INCOME | 142,131. | | 142,131. | 66,085. | 76,046. |
| TO FM 990, PART I, LINE 9 | 142,131. | | 142,131. | 66,085. | 76,046. |

FORM 990 OTHER CHANGES IN NET ASSETS OR FUND BALANCES STATEMENT 3

| DESCRIPTION | AMOUNT |
|---|---|
| DONATED SERVICES CAPITALIZED | 114,175. |
| TOTAL TO FORM 990, PART I, LINE 20 | 114,175. |

FORM 990 OTHER EXPENSES STATEMENT 4

| DESCRIPTION | (A) TOTAL | (B) PROGRAM SERVICES | (C) MANAGEMENT AND GENERAL | (D) FUNDRAISING |
|---|---|---|---|---|
| PROGRAM EXPENSES | 106,440. | 106,440. | | |
| INSURANCE | 46,267. | 35,361. | 8,067. | 2,839. |
| UTILITIES | 37,595. | 35,561. | 809. | 1,225. |
| PROFESSIONAL SERVICES | 8,038. | 4,738. | 1,577. | 1,723. |
| AFFILIATIONS | 5,400. | | 5,400. | |
| REPAIRS AND MAINTENANCE | 28,685. | 27,635. | 418. | 632. |
| PROPERTY TAXES | 915. | 915. | | |
| TRANSPORTATION | 10,612. | 10,612. | | |
| MISCELLANEOUS | 10,812. | | 10,812. | |
| TRAINING | 9,227. | 4,661. | 3,420. | 1,146. |
| DUES AND LICENSES | 1,435. | | 1,435. | |
| TOTAL TO FM 990, LN 43 | 265,426. | 225,923. | 31,938. | 7,565. |

FORM 990 OTHER INVESTMENTS STATEMENT 5

| DESCRIPTION | VALUATION METHOD | AMOUNT |
|---|---|---|
| INVESTMENT IN DEVELOPMENT PARTNERSHIP | COST | 2,462,933. |
| TOTAL TO FORM 990, PART IV, LINE 56, COLUMN B | | 2,462,933. |

FORM 990 OTHER ASSETS STATEMENT 6

| DESCRIPTION | AMOUNT |
|---|---|
| CONTRIBUTION RECEIVABLE FROM CRT | 974,485. |
| DEPOSITS WITH OTHERS | 8,656. |
| TOTAL TO FORM 990, PART IV, LINE 58, COLUMN B | 983,141. |

FORM 990 OTHER LIABILITIES STATEMENT 7

| DESCRIPTION | AMOUNT |
|---|---|
| DEFERRED REVENUE | 8,335. |
| LINE OF CREDIT | 0. |
| SECURITY DEPOSITS | 13,440. |
| TOTAL TO FORM 990, PART IV, LINE 65, COLUMN B | 21,775. |

FORM 990 PART V-A - LIST OF CURRENT OFFICERS, DIRECTORS, TRUSTEES AND KEY EMPLOYEES STATEMENT 8

| NAME AND ADDRESS | TITLE AND AVRG HRS/WK | COMPEN-SATION | EMPLOYEE BEN PLAN CONTRIB | EXPENSE ACCOUNT |
|---|---|---|---|---|
| GIL GARCIA<br>16 WEST MISSION STREET, SUITE V<br>SANTA BARBARA, CA 93101 | PRESIDENT<br>1.00 | 0. | 0. | 0. |
| INGE GATZ<br>16 WEST MISSION STREET, SUITE V<br>SANTA BARBARA, CA 93101 | 1ST VICE PRESIDENT<br>1.00 | 0. | 0. | 0. |
| MACK STATON, JD<br>16 WEST MISSION STREET, SUITE V<br>SANTA BARBARA, CA 93101 | 2ND VICE PRESIDENT<br>1.00 | 0. | 0. | 0. |
| DALE HASLEM<br>16 WEST MISSION STREET, SUITE V<br>SANTA BARBARA, CA 93101 | TREASURER<br>1.00 | 0. | 0. | 0. |
| JAN WINTER<br>16 WEST MISSION STREET, SUITE V<br>SANTA BARBARA, CA 93101 | SECRETARY<br>1.00 | 0. | 0. | 0. |
| NANCY CHASE<br>16 WEST MISSION STREET, SUITE V<br>SANTA BARBARA, CA 93101 | BOARD MEMBER<br>1.00 | 0. | 0. | 0. |
| TOM MULLANEY<br>16 WEST MISSION STREET, SUITE V<br>SANTA BARBARA, CA 93101 | BOARD MEMBER<br>1.00 | 0. | 0. | 0. |

| | | | | |
|---|---|---|---|---|
| LARRY CRANDELL, JR.<br>16 WEST MISSION STREET, SUITE V<br>SANTA BARBARA, CA 93101 | BOARD MEMBER<br>1.00 | 0. | 0. | 0. |
| JAMES DEVOE<br>16 WEST MISSION STREET, SUITE V<br>SANTA BARBARA, CA 93101 | BOARD MEMBER<br>1.00 | 0. | 0. | 0. |
| PAUL ERICKSON, M.D.<br>16 WEST MISSION STREET, SUITE V<br>SANTA BARBARA, CA 93101 | BOARD MEMBER<br>1.00 | 0. | 0. | 0. |
| DAVID PRENATT<br>16 WEST MISSION STREET, SUITE V<br>SANTA BARBARA, CA 93101 | BOARD MEMBER<br>1.00 | 0. | 0. | 0. |
| MARIE PRENATT<br>16 WEST MISSION STREET, SUITE V<br>SANTA BARBARA, CA 93101 | BOARD MEMBER<br>1.00 | 0. | 0. | 0. |
| JIM KARLS, PH.D., LCSW<br>16 WEST MISSION STREET, SUITE V<br>SANTA BARBARA, CA 93101 | BOARD MEMBER<br>1.00 | 0. | 0. | 0. |
| DARCY KEEP, R.N.<br>16 WEST MISSION STREET, SUITE V<br>SANTA BARBARA, CA 93101 | BOARD MEMBER<br>1.00 | 0. | 0. | 0. |
| JANE MACEDO DE VEER<br>16 WEST MISSION STREET, SUITE V<br>SANTA BARBARA, CA 93101 | BOARD MEMBER<br>1.00 | 0. | 0. | 0. |
| KAREL DE VEER<br>16 WEST MISSION STREET, SUITE V<br>SANTA BARBARA, CA 93101 | BOARD MEMBER<br>1.00 | 0. | 0. | 0. |
| TULI GLASMAN, PHD<br>16 WEST MISSION STREET, SUITE V<br>SANTA BARBARA, CA 93101 | BOARD MEMBER<br>1.00 | 0. | 0. | 0. |
| PAMELA REEVES, M.D.<br>16 WEST MISSION STREET, SUITE V<br>SANTA BARBARA, CA 93101 | BOARD MEMBER<br>1.00 | 0. | 0. | 0. |
| LINDA HATCH, PHD<br>16 WEST MISSION STREET, SUITE V<br>SANTA BARBARA, CA 93101 | BOARD MEMBER<br>1.00 | 0. | 0. | 0. |
| HARRIETT PHILLIPS<br>16 WEST MISSION STREET, SUITE V<br>SANTA BARBARA, CA 93101 | BOARD MEMBER<br>1.00 | 0. | 0. | 0. |

| | | | | |
|---|---|---|---|---|
| HELEN TORRES<br>16 WEST MISSION STREET, SUITE V<br>SANTA BARBARA, CA 93101 | BOARD MEMBER<br>1.00 | 0. | 0. | 0. |
| ANN LIPPINCOTT, PHD<br>16 WEST MISSION STREET, SUITE V<br>SANTA BARBARA, CA 93101 | BOARD MEMBER<br>1.00 | 0. | 0. | 0. |
| ANNMARIE M. CAMERON<br>16 WEST MISSION STREET, SUITE V<br>SANTA BARBARA, CA 93101 | EXECUTIVE DIRECTOR<br>40.00 | 94,855. | 2,846. | 0. |
| ANN ELDRIDGE, RN<br>16 WEST MISSION STREET, SUITE V<br>SANTA BARBARA, CA 93101 | BOARD MEMBER<br>1.00 | 0. | 0. | 0. |
| KELLY RAU<br>16 WEST MISSION STREET, SUITE V<br>SANTA BARBARA, CA 93101 | BOARD MEMBER<br>1.00 | 0. | 0. | 0. |
| CINDY SOMERS<br>16 WEST MISSION STREET, SUITE V<br>SANTA BARBARA, CA 93101 | BOARD MEMBER<br>1.00 | 0. | 0. | 0. |
| CARL STEINBERG<br>16 WEST MISSION STREET, SUITE V<br>SANTA BARBARA, CA 93101 | BOARD MEMBER<br>1.00 | 0. | 0. | 0. |
| PAMELA WASHBURN, MA, BCC<br>16 WEST MISSION STREET, SUITE V<br>SANTA BARBARA, CA 93101 | BOARD MEMBER<br>1.00 | 0. | 0. | 0. |
| LOUIS WEIDER<br>16 WEST MISSION STREET, SUITE V<br>SANTA BARBARA, CA 93101 | BOARD MEMBER<br>1.00 | 0. | 0. | 0. |
| ROBERT YOUNG<br>16 WEST MISSION STREET, SUITE V<br>SANTA BARBARA, CA 93101 | BOARD MEMBER<br>1.00 | 0. | 0. | 0. |
| TOTALS INCLUDED ON FORM 990, PART V-A | | 94,855. | 2,846. | 0. |

SCHEDULE A OTHER INCOME STATEMENT 9

| DESCRIPTION | 2005 AMOUNT | 2004 AMOUNT | 2003 AMOUNT | 2002 AMOUNT |
|---|---|---|---|---|
| MISCELLANEOUS INCOME | 1,552. | 1,799. | 1,060. | 2,182. |
| TOTAL TO SCHEDULE A, LINE 22 | 1,552. | 1,799. | 1,060. | 2,182. |

Mental Health Association

SBMHA Depreciation 2006

Schedule # 1

## Building & Improvements - A/C 1720

| Description | Life | M | CY | Cost | Cost Total Yearly | 12/31/2004 Accum Depr | Year 2005 Depr | 12/31/2005 Accum Depr | Remaining Depr | Year 2006 | 12/31/2006 Accum Depr. | Remaining Depr |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Bldgs - Lyons House | 30 | SL | | 280,034 | | 161,011 | 9,334 47 | 170,345 47 | 109,688 53 | 9 334 47 | 179,679 93 | 100,354 07 |
| 1990 Improve - Assoc | 10 | SL | X | 13,127 | | 13,127 | | 13,127 00 | - | - | 13,127 00 | - |
| 1991 Improve - Assoc | 10 | SL | X | 1,200 | | 1,200 | | 1,200 00 | - | - | 1,200 00 | - |
| 1992 Improve - Assoc | 10 | SL | X | 13,200 | | 13,200 | | 13,200 00 | - | - | 13,200 00 | - |
| 1996 Improve - Lyons | 10 | SL | | 45,059 | | 38,301 | 4,505 90 | 42,806 90 | 2,252 10 | 2,252 10 | 45,059 00 | - |
| 2000 Improve - Lyons | 10 | SL | | 49,345 | | 22,207 | 4,934 50 | 27,141 50 | 22,203 50 | 4,934 50 | 32,076 00 | 17,269 00 |
| 2001 Improve - Garden(reclassed out $394,247 c | 30 | SL | | | | | - | | - | - | - | - |
| 2004 Building - CJM | 30 | SL | | 297,500 | | 4,958 | 9,916 00 | 14,874 33 | 282,625 67 | 9,916 67 | 24,791 00 | 272,709 00 |
| 2005 ULD | | | | | | 401 | - | 401 07 | - | - | 401 07 | - |
| 2005 Improve - Lyons | 30 | SL | | 7,052 | | | 117 53 | 117 53 | 6,934 47 | 235 07 | 352 60 | 6,699 40 |
| 2005 Improve - Lyons | 30 | SL | | 800 | | | 13 33 | 13 33 | 786 67 | 26 67 | 40 00 | 760 00 |
| 2005 uld to audit | 1 | | | 157 | 707,474 | | | | 157 00 | 157 00 | 157 00 | - |
| no 2006 additions | | | | | | | | | | | | |
| **Total Bldg** | | | | $707,474 | | $254,405 | $28,822 | $283,227 | $424,648 | $26,856 | $310,084 | 395,425 |

## Furniture & Equipment - A/C 1735

| II. Description | Life | M | | Cost | Cost Total Yearly | 12/31/2004 Accum Depr | Year 2005 Depr | 12/31/05 Accum Depr | Remaining Depr | Year 2006 | 12/31/2006 Accum Depr. | Remaining Depr |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Furn / Equip | 5 | SL | X | 46,684 | | 46,684 | | 46,684 00 | - | - | 46,684 00 | - |
| 92 Furn / Equip | 3 | SL | X | 5,228 | | 5,228 | | 5,228 00 | - | - | 5,228 00 | - |
| 93 Furn / Equip | 3 | SL | X | 5,632 | | 5,632 | | 5,632 00 | - | - | 5,632 00 | - |
| 94 Furn / Equip | 3 | SL | X | 8,282 | | 8,282 | | 8,282 00 | - | - | 8,282 00 | - |
| 95 Furn / Equip | 3 | SL | X | 9,158 | | 9,158 | | 9,158 00 | - | - | 9,158 00 | - |
| 96 Furn / Equip - Lyons | 3 | SL | X | 12,722 | | 12,722 | | 12,722 00 | - | - | 12,722 00 | - |
| 96 Furn / Equip various | 3 | SL | X | 22,907 | | 22,907 | | 22,907 00 | - | - | 22,907 00 | - |
| 97 Comp | 3 | SL | X | 7,768 | | 7,768 | | 7,768 00 | - | - | 7,768 00 | - |
| 97 Furn / Equip - Assoc | 5 | SL | X | 9,704 | | 9,704 | | 9,704 00 | - | - | 9,704 00 | - |
| 98 Comp | 3 | SL | X | 5,577 | | 5,577 | | 5,577 00 | - | - | 5,577 00 | - |
| 98 Furn / Equip - Assoc | 5 | SL | | 19,757 | | 19,756 | 1 | 19,757 00 | - | - | 19,757 00 | - |
| 99 Furn / Equip Apts | 5 | SL | X | 30,015 | | 30,015 | | 30,015 00 | - | - | 30,015 00 | - |
| 99 Comp | 3 | SL | X | 5,573 | | 5,573 | | 5,573 00 | - | - | 5,573 00 | - |
| 99 Furn / Equip - FC.Assoc | 5 | SL | X | 1,049 | | 1,049 | | 1,049 00 | - | - | 1,049 00 | - |
| 00 Furn / Equip - FC.Assoc | 5 | SL | | 1,001 | | 900 | 101 | 1,001 00 | - | - | 1,001 00 | - |
| 00 Comp - Assoc | 3 | SL | X | 5,135 | | 5,135 | | 5,135 00 | - | - | 5,135 00 | - |
| 01 Comp - Assoc | 3 | SL | X | 1,799 | | 1,799 | | 1,799 00 | - | - | 1,799 00 | |
| 01 Furn / Equip - Assoc | 5 | SL | | 12,874 | | 9,012 | 2575 | 11,587 00 | 1,287 00 | 1,287 00 | 12,874 00 | - |
| 02 Comp Assoc | 3 | SL | | 6,694 | | 5,578 | 1116 | 6,694 00 | - | - | 6,694 00 | - |
| 02 Furn / Equip - Assoc. | 5 | SL | | 5,617 | | 2,808 | 1123 | 3,931 00 | 1,686 00 | 1,123 40 | 5,054 40 | 562.60 |
| 03 Furn/ Equip - FC | 3 | SL | | 2,400 | | 800 | 800 | 1,600 00 | 800 00 | 800 00 | 2,400 00 | - |
| 04 Furn/Equip-Copier FC | 3 | SL | | 2,281 | | 697 | 760 | 1,457 33 | 823 67 | 760 33 | 2,217 67 | 63 33 |

**Mental Health Association**

| | A | B | C | D | E | F | G | H | I | J | K | L | M | N | O |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 52 | | 04 Furn/Equip-Computer-Assoc | | 3 | SL | | 883 | | 172 | 294 | 466 33 | 416 67 | 294 33 | 760 67 | 122 33 |
| 53 | | 05 Imac G5 cpu 00495885 Ass Dir | | 3 | SL | | 2,263 27 | | - | 377 | 377 21 | 1,886 06 | 754 42 | 1,131 64 | 1,131 64 |
| 54 | | 05 DonorPerfect software | | 3 | SL | | 2,442 50 | | - | 407 | 407 08 | 2,035 42 | 814 17 | 1,221.25 | 1,221.25 |
| 55 | | 05 DonorPerfect software | | 3 | SL | | 3,827 50 | | - | 638 | 637 92 | 3,189 58 | 1,275 83 | 1,913 75 | 1,913 75 |
| 56 | | 05 2 Dell PCs 973Q171 C73Q171 | | 3 | SL | | 1,994 72 | | - | 332 | 332 45 | 1,662 27 | 664 91 | 997.36 | 997 36 |
| 57 | | 05 Furniture Eleanor #5 | | 5 | SL | | 1,200 55 | | - | 120 | 120 06 | 1,080 50 | 240 11 | 360 17 | 840.39 |
| 58 | | 05 MHA admin office cabinets | | 5 | SL | | 1,297 25 | | - | 130 | 129 73 | 1,167 53 | 259 45 | 389 18 | 908 08 |
| 59 | | 05 Dell PC G96RT71 | | 3 | SL | | 1,670 60 | | - | 278 | 278 43 | 1,392 17 | 556 87 | 835 30 | 835 30 |
| 60 | | 05 uld to audit | | | | | (1 39) | 243,435 00 | | | | | 1 39 | 1 39 | - |
| 61 | | 06 Digital Camera | 11/28/2006 | 3 | SL | | 1,533 65 | 244,968 65 | - | | - | 1,533 65 | 127 80 | 127 80 | 1,405 85 |
| 62 | | | | | | | | | | | | | | | |
| 63 | | | | | | | | | | | | | | | |
| 64 | | **Total Furn & Equip** | | | | | **$244,969** | | **$216,956** | **$9,054** | **$226,010** | **$18,960** | **$8,960** | **$234,970** | **$10,002** |
| 65 | | | | | | | | | | | | | | | |
| 66 | | | | | | | | | | | | | | | |
| 67 | | | | | | | | | | | | | | | |
| 68 | **Vehicles - A/C 1740** | | | | | | | | | | | | | | |
| 69 | | III | | | | | | | 12/31/2004 | Year 05 | 12/31/05 | Remaining Depr. | Year 2006 | 12/31/2006 | Remaining Depr |
| 70 | | Description | | Life | M | | Cost | Cost Total Yearly | Accum Depr | Depr | Accum Depr | | | | |
| 71 | | 93 Van | | 5 | SL | X | 21,543 | | 21,543 | | 21,543 | - | - | 21,543 00 | - |
| 72 | | 2000 Vehicles | | 5 | SL | | 42,676 | | 38,409 | 4267 | 42,676 | - | - | 42,676 40 | - |
| 73 | | 2001 Vehicles | | 5 | SL | | 17,483 | 81,702 | 12,238 | 3497 | 15,735 | 1,747 80 | 1,747 80 | 17,483 00 | - |
| 74 | | 2006 Van | 11/13/2006 | 5 | SL | | 27,819 | 109,521 | | | | 27,818 73 | 695 47 | 695 47 | 27,123 26 |
| 75 | | | | | | | | | | | | | | | |
| 76 | | **Total Vehicle** | | | | | **$109,520 73** | | **$72,190.60** | **$7,764 00** | **$79,954 60** | **$29,566 53** | **$2,443.27** | **$82,397.87** | **$27,123.26** |
| 77 | | | | | | | | | | | | | | | |
| 78 | | | | | | | | | | | | | | | |
| 79 | | | | | | | | | | | | | | | |
| 80 | **Land** | | | | | | | | | | | | | | |
| 81 | Date | | | | | | | | | Year 05 | 12/31/04 | | | | Remaining De |
| 82 | Acquired | Description | | Life | M | | Cost | | | Depr | Accum Depr | | | | |
| 83 | | | | | | | | | | | | | | | |
| 84 | | 1987 Lyons House | | | | | 69,966 | | | | | | | | |
| 85 | | 2001 Garden/Cota | | | | | - | | | | | | | | |
| 86 | 6/23/2004 | Casa Juana Mana | | | | | 512,000 | | | | | | | | |
| 87 | | | | | | | | | | | | | | | |
| 88 | | **Total** | | | | | **$581,966** | | | | | | | | |

Form **8868**
(Rev. December 2006)
Department of the Treasury
Internal Revenue Service

# Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . . ▶ [X]
- If you are filing for an **Additional (not automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Part I** **Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

Section 501(c)(3) corporations required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . . . ▶ [ ]

*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

**Electronic Filing (e-file).** Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for section 501(c)(3) corporations required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits.*

| **Type or print** | Name of Exempt Organization | **Employer identification number** |
|---|---|---|
| File by the due date for filing your return See instructions | MENTAL HEALTH ASSOCIATION IN SANTA BARBARA COUNTY | 95-1962659 |
| | Number, street, and room or suite no. If a P.O. box, see instructions<br>16 WEST MISSION STREET, SUITE V | |
| | City, town or post office, state, and ZIP code. For a foreign address, see instructions.<br>SANTA BARBARA, CA 93101 | |

**Check type of return to be filed** (file a separate application for each return):

| | | |
|---|---|---|
| [X] Form 990 | [ ] Form 990-T (corporation) | [ ] Form 4720 |
| [ ] Form 990-BL | [ ] Form 990-T (sec. 401(a) or 408(a) trust) | [ ] Form 5227 |
| [ ] Form 990-EZ | [ ] Form 990-T (trust other than above) | [ ] Form 6069 |
| [ ] Form 990-PF | [ ] Form 1041-A | [ ] Form 8870 |

- The books are in the care of ▶ PATRICIA COLLINS
  Telephone No. ▶ (805) 898-1499 FAX No. ▶ _____
- If the organization does not have an office or place of business in the United States, check this box . . . . . ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension will cover.

1 I request an automatic 3-month (6-months for a section 501(c)(3) corporation required to file Form 990-T) extension of time until AUGUST 15, 2007, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
▶ [X] calendar year 2006 or
▶ [ ] tax year beginning _____, and ending _____.

2 If this tax year is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

| | | | | |
|---|---|---|---|---|
| 3a | If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions | 3a | $ | |
| b | If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit | 3b | $ | |
| c | **Balance Due.** Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions | 3c | $ | N/A |

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions



623831
02-07-07

EX 1 COPY



- If you are filing for an **Additional (not automatic) 3-Month Extension, complete only Part II** and check this box ▶ [X]

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

**Part II Additional (not automatic) 3-Month Extension of Time.** *You must file original and one copy*

| Type or print | Name of Exempt Organization | | Employer identification number |
|---|---|---|---|
| File by the extended due date for filing the return See instructions | MENTAL HEALTH ASSOCIATION IN SANTA BARBARA COUNTY | | 95-1962659 |
| | Number, street, and room or suite no If a P.O. box, see instructions. 16 WEST MISSION STREET, SUITE V | | For IRS use only |
| | City, town or post office, state, and ZIP code For a foreign address, see instructions SANTA BARBARA, CA 93101 | | |

**Check type of return to be filed** (File a separate application for each return).

[X] Form 990 [ ] Form 990-EZ [ ] Form 990-T (sec 401(a) or 408(a) trust) [ ] Form 1041-A [ ] Form 5227 [ ] Form 8870
[ ] Form 990-BL [ ] Form 990-PF [ ] Form 990-T (trust other than above) [ ] Form 4720 [ ] Form 6069

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- *The books are in the care of* ▶ PATRICIA COLLINS
  Telephone No ▶ (805) 898-1499 FAX No ▶ _____
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until NOVEMBER 15, 2007

5 For calendar year 2006, or other tax year beginning _____, and ending _____.

6 If this tax year is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension
INFORMATION TO FILE A COMPLETE AND ACCURATE INFORMATION RETURN IS NOT AVAIALBLE YET REGARDING CONSTRUCTION COSTS OF NEW BUILDING.

| | | | | |
|---|---|---|---|---|
| 8a | If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions | 8a | $ | |
| b | If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868 | 8b | $ | |
| c | **Balance Due.** Subtract line 8b from line 8a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions | 8c | $ | N/A |

## Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Cathy M. Tate Title ▶ CPA Date ▶ 8-6-07

## Notice to Applicant. (To Be Completed by the IRS)

[ ] We **have** approved this application. Please attach this form to the organization's return.

[ ] We **have not** approved this application However, we have granted a 10-day grace period from the later of the date shown below or the due date of the organization's return (including any prior extensions). This grace period is considered to be a valid extension of time for elections otherwise required to be made on a timely return. Please attach this form to the organization's return

[ ] We **have not** approved this application After considering the reasons stated in item 7, we cannot grant your request for an extension of time to file We are not granting a 10-day grace period

[ ] We **cannot consider** this application because it was filed after the extended due date of the return for which an extension was requested

[ ] Other _____

By: COPY

Director Date

*Alternate Mailing Address. Enter the address if you want the copy of this application for an additional 3-month extension returned to an address different than the one entered above*

| Type or print | Name |
|---|---|
| | MCGOWAN GUNTERMANN (CHRIS REED) |
| | Number and street (include suite, room, or apt. no.) or a P.O. box number |
| | 509 E. MONTECITO STREET, 2ND FLOOR |
| | City or town, province or state, and country (including postal or ZIP code) |
| | SANTA BARBARA, CA 93103 |

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