Return of Organization Exempt From Income Tax
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

For the 2003 calendar year, or tax year beginning JUL 1, 2003 and ending JUN 30, 2004

C Name of organization

AMERICAN LUNG ASSOCIATION

61 BROADWAY
NEW YORK, NY 10006

D Employer identification number

13-1632524

E Telephone number

(212) 315-8700

F Accounting method

[ ] Cash [x] Accrual

G Website

WWW.LUNGUSA.ORG

H Check if not applicable to section 527 organizations

[ ] Yes [x] No

H(a) Is this a group return for affiliates?
[ ] Yes [x] No

H(b) If "Yes," enter number of affiliates

H(c) Are all affiliates included?
[ ] N/A [x] Yes [ ] No

If "No," attach a list

H(d) Is this a separate return filed by an organization covered by a group ruling?
[ ] Yes [x] No

J Organization type

(x) 501(c)(3) (check only and insert no.)

K Check here if the organization's gross receipts are normally not more than $25,000

The organization need not file a return with the IRS, but if the organization received a Form 990 Package in the mail, it should file a return without financial data. Some states require a complete return

L Gross receipts

Add lines 6b, 8b, 9b, and 10b to line 12

26,599,021

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances

1 Contributions, gifts, grants, and similar amounts received

a Direct public support

3,654,173

b Indirect public support

10,027,543

c Government contributions (grants)

867,361

d Total (add lines 1a through 1c) (cash $14,549,077, noncash $14,549,077)

2 Program service revenue including government fees and contracts (from Part VII, line 93)

3 Membership dues and assessments

4 Interest on savings and temporary cash investments

5 Dividends and interest from securities

6 Other investment income (describe )

7 Revenue

8a Gross amount from sales of assets other than inventory

3,332,500

8b Less cost or other basis and sales expenses

2,989,712

c Gain or (loss) (attach schedule)

33,788

8d Net gain or (loss) (combine line 8c, columns (A) and (B))

8e STMT 1

8f 33,788

9 Special events and activities (attach schedule). If any amount is from gaming, check here


a Gross revenue (not including $473,398 of contributions reported on line 1a)

70,604

b Less direct expenses other than fundraising expenses

104,038

c Net income or (loss) from special events (subtract line 9b from line 9a)

-33,434

10a Gross sales of inventory, less returns and allowances

1,223,517

10b Less cost of goods sold

576,837

c Gross profit or (loss) from sales of inventory (attach schedule) (subtract line 10b from line 10a)

23,391,038

11 Other revenue (from Part VII, line 103)

12 Total revenue (add lines 1d, 2, 3, 4, 5, 6, 7, 8d, 9c, 10c, and 11)

646,680

13 Program services (from line 44, column (B))

14 Management and general (from line 44, column (C))

15 Fundraising (from line 44, column (D))

16 Payments to affiliates (attach schedule)

17 Total expenses (add lines 16 and 44, column (A))

18 Excess or (deficit) for the year (subtract line 17 from line 12)

-771,604

19 Net assets or fund balances at beginning of year (from line 73, column (A))

20 Other changes in net assets or fund balances (attach explanation)

21 Net assets or fund balances at end of year (combine lines 18, 19, and 20)

STMT 4

23,391,038

2003.07000 AMERICAN LUNG ASSOCIATION 10115_1

09261229 788832 10115
### Part II Statement of Functional Expenses

All organizations must complete column (A). Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others.

<table>
<thead>
<tr>
<th>Item Description</th>
<th>(A) Total</th>
<th>(B) Program Services</th>
<th>(C) Management and General</th>
<th>(D) Fundraising</th>
</tr>
</thead>
<tbody>
<tr>
<td>22 Grants and allocations (attach schedule)</td>
<td>7,912,180</td>
<td>7,912,180</td>
<td></td>
<td></td>
</tr>
<tr>
<td>23 Specific assistance to individuals (attach schedule)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>24 Benefits paid to or for members (attach schedule)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>25 Compensation of officers, directors, etc</td>
<td>555,235</td>
<td>555,235</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>26 Other salaries and wages</td>
<td>5,996,194</td>
<td>3,794,914</td>
<td>1,754,473</td>
<td>446,807</td>
</tr>
<tr>
<td>27 Pension plan contributions</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>28 Other employee benefits</td>
<td>1,650,264</td>
<td>1,095,775</td>
<td>441,941</td>
<td>112,548</td>
</tr>
<tr>
<td>29 Payroll taxes</td>
<td>527,485</td>
<td>350,251</td>
<td>141,260</td>
<td>35,974</td>
</tr>
<tr>
<td>30 Professional fundraising fees</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>31 Accounting fees</td>
<td>58,590</td>
<td>58,590</td>
<td></td>
<td></td>
</tr>
<tr>
<td>32 Legal fees</td>
<td>28,388</td>
<td>28,388</td>
<td></td>
<td></td>
</tr>
<tr>
<td>33 Supplies</td>
<td>114,373</td>
<td>75,944</td>
<td>30,629</td>
<td>7,800</td>
</tr>
<tr>
<td>34 Telephone</td>
<td>143,614</td>
<td>95,360</td>
<td>38,460</td>
<td>9,794</td>
</tr>
<tr>
<td>35 Postage and shipping</td>
<td>172,554</td>
<td>81,399</td>
<td>77,465</td>
<td>13,690</td>
</tr>
<tr>
<td>36 Occupancy</td>
<td>856,751</td>
<td>568,883</td>
<td>229,438</td>
<td>58,430</td>
</tr>
<tr>
<td>37 Equipment rental and maintenance</td>
<td>175,441</td>
<td>116,493</td>
<td>46,983</td>
<td>11,965</td>
</tr>
<tr>
<td>38 Printing and publications</td>
<td>471,784</td>
<td>329,757</td>
<td>68,615</td>
<td>73,412</td>
</tr>
<tr>
<td>39 Travel</td>
<td>878,964</td>
<td>576,262</td>
<td>281,131</td>
<td>21,571</td>
</tr>
<tr>
<td>40 Conferences, conventions, and meetings</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>41 Interest</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>42 Depreciation, depletion, etc (attach schedule)</td>
<td>248,738</td>
<td>165,162</td>
<td>66,612</td>
<td>16,964</td>
</tr>
<tr>
<td>43 Other expenses not covered above (itemize)</td>
<td>2,725,371</td>
<td>1,864,731</td>
<td>799,468</td>
<td>61,172</td>
</tr>
<tr>
<td>a Professional Fees</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b Affiliations and</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c Subscriptions</td>
<td>462,701</td>
<td>212,075</td>
<td>243,850</td>
<td>6,776</td>
</tr>
<tr>
<td>d Insurance</td>
<td>142,300</td>
<td>94,487</td>
<td>38,108</td>
<td>9,705</td>
</tr>
<tr>
<td>e Miscellaneous</td>
<td>270,111</td>
<td>179,353</td>
<td>72,336</td>
<td>18,422</td>
</tr>
<tr>
<td>44 Total functional expenses (column 42 through 43)</td>
<td>23,391,038</td>
<td>18,068,261</td>
<td>4,417,747</td>
<td>905,030</td>
</tr>
</tbody>
</table>

Joint Costs. Check [ ] if you are following SOP 98-2

Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services? [ ] Yes [ ] No

If "Yes," enter (I) the aggregate amount of these joint costs $___________, (II) the amount allocated to Program services $_________.

(III) the amount allocated to Management and General $__________, and (IV) the amount allocated to Fundraising $__________.

### Part III Statement of Program Service Accomplishments

What is the organization's primary exempt purpose? [ ] See Statement 5

All organizations must describe their exempt purpose achievements in a clear and concise manner. State the number of clients served, publications issued, etc. Discuss achievements that are not measurable (Section 501(c)(3) and (4) organizations and 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants and allocations to others).

a See Statement #6 & Statement #15

(Grants and allocations $ 7,912,180.) 18,068,261.

b

(Grants and allocations $ )

c

(Grants and allocations $ )

d

(Grants and allocations $ )

e Other program services (attach schedule)

(Grants and allocations $ )

f Total of Program Service Expenses (should equal line 44, column (B), Program services) [ ] 18,068,261.

Form 990 (2003)

10551229 788832 10115 2003.07000 AMERICAN LUNG ASSOCIATION 10115_1
### Part IV Balance Sheets

**Note:** Where required, attached schedules and amounts within the description column should be for end-of-year amounts only.

<table>
<thead>
<tr>
<th>(A)</th>
<th>(B)</th>
</tr>
</thead>
<tbody>
<tr>
<td>45</td>
<td>45</td>
</tr>
<tr>
<td>46</td>
<td>799,339.</td>
</tr>
<tr>
<td>47 a</td>
<td>8,398,668.</td>
</tr>
<tr>
<td>47 b</td>
<td>68,564.</td>
</tr>
<tr>
<td>47 c</td>
<td>8,330,104.</td>
</tr>
<tr>
<td>48 a</td>
<td>26,157.</td>
</tr>
<tr>
<td>48 b</td>
<td>26,157.</td>
</tr>
<tr>
<td>49</td>
<td>49</td>
</tr>
<tr>
<td>50</td>
<td>50</td>
</tr>
<tr>
<td>51 a</td>
<td>9,181,628.</td>
</tr>
<tr>
<td>51 b</td>
<td>2,119,519.</td>
</tr>
<tr>
<td>51 c</td>
<td>7,062,109.</td>
</tr>
<tr>
<td>52</td>
<td>314,949.</td>
</tr>
<tr>
<td>53</td>
<td>245,852.</td>
</tr>
<tr>
<td>54 a</td>
<td>15,545,798.</td>
</tr>
<tr>
<td>54 b</td>
<td>14,476,543.</td>
</tr>
<tr>
<td>55 a</td>
<td>55 c</td>
</tr>
<tr>
<td>56</td>
<td>56</td>
</tr>
<tr>
<td>57 a</td>
<td>1,515,046.</td>
</tr>
<tr>
<td>57 b</td>
<td>768,298.</td>
</tr>
<tr>
<td>58</td>
<td>746,748.</td>
</tr>
<tr>
<td>59</td>
<td>33,299,264.</td>
</tr>
<tr>
<td>60</td>
<td>1,626,253.</td>
</tr>
<tr>
<td>61</td>
<td>3,778,832.</td>
</tr>
<tr>
<td>62</td>
<td>2,397,183.</td>
</tr>
<tr>
<td>63</td>
<td>63</td>
</tr>
<tr>
<td>64 a</td>
<td>64 b</td>
</tr>
<tr>
<td>65</td>
<td>66</td>
</tr>
<tr>
<td>66</td>
<td>66</td>
</tr>
<tr>
<td>67</td>
<td>13,934,934.</td>
</tr>
<tr>
<td>68</td>
<td>66</td>
</tr>
<tr>
<td>69</td>
<td>66</td>
</tr>
<tr>
<td>70</td>
<td>70</td>
</tr>
<tr>
<td>71</td>
<td>71</td>
</tr>
<tr>
<td>72</td>
<td>72</td>
</tr>
<tr>
<td>73 a</td>
<td>18,042,000.</td>
</tr>
<tr>
<td>73 b</td>
<td>19,364,330.</td>
</tr>
<tr>
<td>74 a</td>
<td>33,299,264.</td>
</tr>
</tbody>
</table>

**Liabilities**

<table>
<thead>
<tr>
<th>(A)</th>
<th>(B)</th>
</tr>
</thead>
<tbody>
<tr>
<td>60</td>
<td>1,574,446.</td>
</tr>
<tr>
<td>61</td>
<td>4,187,000.</td>
</tr>
<tr>
<td>63</td>
<td>63</td>
</tr>
<tr>
<td>64 a</td>
<td>64 b</td>
</tr>
<tr>
<td>65</td>
<td>65</td>
</tr>
<tr>
<td>66</td>
<td>66</td>
</tr>
<tr>
<td>67</td>
<td>16,172,811.</td>
</tr>
<tr>
<td>68</td>
<td>1,358,456.</td>
</tr>
<tr>
<td>69</td>
<td>1,833,063.</td>
</tr>
<tr>
<td>70</td>
<td>70</td>
</tr>
<tr>
<td>71</td>
<td>71</td>
</tr>
<tr>
<td>72</td>
<td>72</td>
</tr>
<tr>
<td>73 a</td>
<td>18,042,000.</td>
</tr>
<tr>
<td>73 b</td>
<td>19,364,330.</td>
</tr>
<tr>
<td>74 a</td>
<td>33,299,264.</td>
</tr>
</tbody>
</table>

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.
### Part IV-A
Reconciliation of Revenue per Audited Financial Statements with Revenue per Return

- **a.** Total revenue, gains, and other support per audited financial statements $24,715,518.
- **b.** Amounts included on line a but not on line 12, Form 990:
  - (1) Net unrealized gains on investments $759,817.
  - (2) Donated services and use of facilities $500.
  - (3) Recoveries of prior year grants $1,335,767.
  - (4) Other (specify) $1,209,084.

### Part IV-B
Reconciliation of Expenses per Audited Financial Statements with Expenses per Return

- **a.** Total expenses and losses per audited financial statements $23,391,538.
- **b.** Amounts included on line a but not on line 17, Form 990:
  - (1) Donated services and use of facilities $500.
  - (2) Prior year adjustments reported on line 20, Form 990.
  - (3) Losses reported on line 20, Form 990 $23,391,038.
  - (4) Other (specify) $500.

### Part V
List of Officers, Directors, Trustees, and Key Employees (List each one even if not compensated)

<table>
<thead>
<tr>
<th>(A) Name and address</th>
<th>(B) Title and average hours per week devoted to position</th>
<th>(C) Compensation if not paid, enter here</th>
<th>(D) Contributions to employee benefit plans &amp; deferred compensation</th>
<th>(E) Expense account and other allowances</th>
</tr>
</thead>
<tbody>
<tr>
<td>JOHN KIRKWOOD</td>
<td>PRESIDENT &amp; CEO</td>
<td>35</td>
<td>308,195. 27,720. 0.</td>
<td></td>
</tr>
<tr>
<td>61 BROADWAY</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>NEW YORK, NY 10006</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>JOSEPH BERGEN</td>
<td>EVP/COO</td>
<td>35</td>
<td>247,040. 27,720. 0.</td>
<td></td>
</tr>
<tr>
<td>61 BROADWAY</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>NEW YORK, NY 10006</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

SEE ATTACHED STATEMENT # 17

ALA BOARD

76 Did any officer, director, trustee, or key employee receive aggregate compensation of more than $100,000 from your organization and all related organizations, of which more than $10,000 was provided by the related organizations? If "Yes," attach schedule:

- [ ] Yes
- [x] No
Part VI  Other Information

76  Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity
   Yes  No  76   X

77  Were any changes made in the organizing or governing documents but not reported to the IRS?
   Yes  No  77   X

78a  Did the organization have unrelated business gross income of $1,000 or more during the year covered by this return?
   if "Yes," attach a conformed copy of the changes
   N/A 78a   X

78b  Was there a liquidation, dissolution, termination, or substantial contraction during the year?
   Yes  No  78b   X

80a  Is the organization related (other than by association with a statewide or nation-wide organization) through common membership, governing bodies, trustees, officers, etc., to any other exempt or nonexempt organization?
   Yes  No  80a   X

81a  Enter direct or indirect political expenditures. See line 81 instructions
   enter the name of the organization
   exempt or nonexempt
   81a   X

81b  Did the organization file Form 1120-POL for this year?
   Yes  No  81b   X

82a  Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value?
   Yes  No  82a   X

82b  If "Yes," you may indicate the value of these items here. Do not include this amount as revenue in Part I or as an expense in Part II. (See instructions in Part III).
   82b  500.

83a  Did the organization comply with the public inspection requirements for returns and exemption applications?
   Yes  No  83a   X

83b  Did the organization comply with the disclosure requirements relating to quid pro quo contributions?
   Yes  No  83b   X

84a  Did the organization solicit any contributions or gifts that were not tax deductible?
   Yes  No  84a   X

85  501(c)(4), (5), or (6) organizations. a Were substantially all dues nondeductible by members?
   Yes  No  85a   N/A

b Did the organization make only in-house lobbying expenditures of $2,000 or less?
   Yes  No  85b   N/A

85c  If "Yes," was answered to either 85a or 85b, do not complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year
   Dues, assessments, and similar amounts from members
   N/A 85c

d Section 162(e) lobbying and political expenditures
   N/A 85d

e Aggregate nondeductible amount of section 6033(e)(1)(A) dues notices
   N/A 85e

f Taxable amount of lobbying and political expenditures (line 85d less 85e)
   N/A 85f

g Does the organization elect to pay the section 6033(e) tax on the amount on line 85f?
   Yes  No  85g

h If section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount on line 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year?
   N/A 85h

86  501(c)(7) organizations. Enter a initiation fees and capital contributions included on line 12
   Yes  No  86a   N/A

b Gross receipts, included on line 12, for public use of club facilities
   N/A 86b

87  501(c)(12) organizations. Enter gross income from members or shareholders
   Yes  No  87a   N/A

b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)
   N/A 87b

88  If "Yes," complete Part IX
   At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3?
   Yes  No  88   X

89a  501(c)(3) organizations. Enter amount of tax imposed on the organization during the year under
   section 4911 (b) 0., section 4912 (b) 0., section 4955 (b) 0.

b 501(c)(3) and 501(c)(4) organizations. Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year?
   Yes  No  89b   X

b Enter Amount of tax imposed on the organization managers or disqualified persons during the year under
   sections 4912, 4955, and 4958
   0.

d Enter Amount of tax on line 89c, above, reimbursed by the organization
   0.

90a  List the states with which a copy of this return is filed
   NY, NJ, CT, PA, CA

b Number of employees employed in the pay period that includes March 12, 2003
   102 90b

91  The books are in care of
   THE ORGANIZATION
   Telephone no. (212) 315-8700
   61 BROADWAY, NEW YORK, NY 10006

92  Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the tax year
   N/A 92   X

Form 990 (2003)
09261229 788832 10115
0203.07000 AMERICAN LUNG ASSOCIATION 10115_1
### Part VII | Analysis of Income-Producing Activities

#### Note: Enter gross amounts unless otherwise indicated.

<table>
<thead>
<tr>
<th>Business code</th>
<th>Amount</th>
<th>Exclusion code</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>(A)</td>
<td>(B)</td>
<td>(C)</td>
<td>(D)</td>
</tr>
<tr>
<td>Program service revenue</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>d</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>f</td>
<td>Medicare/Medicaid payments</td>
<td></td>
<td></td>
</tr>
<tr>
<td>g</td>
<td>Fees and contracts from government agencies</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Membership dues and assessments</td>
<td></td>
<td></td>
<td>30,920.</td>
</tr>
<tr>
<td>Interest on savings and temporary cash investments</td>
<td></td>
<td></td>
<td>779,757.</td>
</tr>
<tr>
<td>Dividends and interest from securities</td>
<td>14</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Net rental income or (loss) from real estate</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a debt-financed property</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b not debt-financed property</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Net rental income or (loss) from personal property</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other investment income</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Gain or (loss) from sales of assets</td>
<td>18</td>
<td>33,788.</td>
<td></td>
</tr>
<tr>
<td>other than inventory</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Net income or (loss) from special events</td>
<td>01</td>
<td>-33,434.</td>
<td></td>
</tr>
<tr>
<td>Gross profit or (loss) from sales of inventory</td>
<td></td>
<td></td>
<td>646,680.</td>
</tr>
<tr>
<td>Other revenue</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a ROYALTIES</td>
<td>15</td>
<td>989,999.</td>
<td></td>
</tr>
<tr>
<td>b MISC. INCOME/GRANTS</td>
<td>01</td>
<td>5,261,184.</td>
<td></td>
</tr>
<tr>
<td>c OTHER MISC SERVICE FEES</td>
<td>01</td>
<td>361,463.</td>
<td></td>
</tr>
<tr>
<td>d</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Subtotal (add columns (B), (D), and (E))</td>
<td>0.</td>
<td>7,392,757.</td>
<td>677,600.</td>
</tr>
<tr>
<td>Total (add line 104, columns (B), (D), and (E))</td>
<td></td>
<td></td>
<td>8,070,357.</td>
</tr>
</tbody>
</table>

**Note:** Line 105 plus line 1d, Part I, should equal the amount on line 12, Part I.

### Part VIII | Relationship of Activities to the Accomplishment of Exempt Purposes

- **94** MEMBERSHIP DUES SUPPORT THE CONGRESS OF LUNG ASSOCIATION STAFF
- **102** SALES OF EDUCATIONAL AND FUND RAISING MATERIALS TO THE LOCAL ASSOCIATIONS.

### Part IX | Information Regarding Taxable Subsidiaries and Disregarded Entities

<table>
<thead>
<tr>
<th>Percentage of ownership interest</th>
<th>Nature of activities</th>
<th>Total income</th>
<th>End-of-year assets</th>
</tr>
</thead>
<tbody>
<tr>
<td>N/A</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>%</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Part X | Information Regarding Transfers Associated with Personal Benefit Contracts

- (a) Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?
- (b) Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

**Note:** If "Yes" to (a), file Form 8870 and Form 4720 (see instructions)

---

**Information:**
- Preparer:
- Date:
- Check if self-prepared: Yes, No
- Preparer's SSN or PTIN:
- Type or print name and title:

---

**Form 990 (2003) AMERICAN LUNG ASSOCIATION 13-1632524 Page 6**
### Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees

<table>
<thead>
<tr>
<th>Name</th>
<th>Title and average hours per week devoted to position</th>
<th>Compensation</th>
<th>Contributions to employee benefit plans &amp; deferred compensation</th>
<th>Expense account and other allowances</th>
</tr>
</thead>
<tbody>
<tr>
<td>FRANCES DUMELLE</td>
<td>SR.VP, PROGRAM</td>
<td>198,941</td>
<td>24,216</td>
<td></td>
</tr>
<tr>
<td>C/O AMERICAN LUNG, 61 BROADWAY</td>
<td>35</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>MICHAEL GALVIN</td>
<td>VP, FINANCE</td>
<td>129,254</td>
<td>18,018</td>
<td></td>
</tr>
<tr>
<td>C/O AMERICAN LUNG, 61 BROADWAY</td>
<td>35</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>NORMAN CHILDS</td>
<td>VP SPEC. PROJ</td>
<td>137,351</td>
<td>18,525</td>
<td></td>
</tr>
<tr>
<td>C/O AMERICAN LUNG, 61 BROADWAY</td>
<td>35</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>JOSEPH FAY</td>
<td>VP, MARK. &amp; COMM</td>
<td>129,265</td>
<td>18,018</td>
<td></td>
</tr>
<tr>
<td>C/O AMERICAN LUNG, 61 BROADWAY</td>
<td>35</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>STEVEN SMITH</td>
<td>VP, FIELD OPER</td>
<td>130,277</td>
<td>18,105</td>
<td></td>
</tr>
<tr>
<td>C/O AMERICAN LUNG, 61 BROADWAY</td>
<td>35</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Total number of other employees paid over $50,000: 53

### Part II Compensation of the Five Highest Paid Independent Contractors for Professional Services

<table>
<thead>
<tr>
<th>Name</th>
<th>Type of service</th>
<th>Compensation</th>
</tr>
</thead>
<tbody>
<tr>
<td>COONEY/WATER</td>
<td>PUBLIC RELATIONS</td>
<td>187,184</td>
</tr>
<tr>
<td>141 FIFTH AVENUE, 9TH FLOOR, NEW YORK, NY 10010</td>
<td></td>
<td></td>
</tr>
<tr>
<td>KINTERA</td>
<td>INTERNET DESIGN</td>
<td>137,300</td>
</tr>
<tr>
<td>9605 SCRANTON ROAD, SUITE 240, SAN DIEGO, CA 92121</td>
<td></td>
<td></td>
</tr>
<tr>
<td>LEGACY LEADERS</td>
<td>FUNDRAISING</td>
<td>144,000</td>
</tr>
<tr>
<td>75 SHERBOURNE ST,STE 437, TORONTO,ONTARIO M5A2P9</td>
<td></td>
<td></td>
</tr>
<tr>
<td>NEXCURA</td>
<td>HEALTH EDUCATION</td>
<td>280,000</td>
</tr>
<tr>
<td>1725 W.LAKE AVE. N, SUITE 300, SEATTLE, WA 98109</td>
<td></td>
<td></td>
</tr>
<tr>
<td>THOMPSON HABIB DENISON</td>
<td>DIRECT MAIL</td>
<td>191,730</td>
</tr>
<tr>
<td>754 MASSACHUSETTS AVE., ARLINGTON, MA 02476</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Total number of others receiving over $50,000 for professional services: 10
Schedule A (Form 990 or 990-EZ) 2003 AMERICAN LUNG ASSOCIATION 13-1632524 Page 2

Part III Statements About Activities  (See page 2 of the instructions)

1 During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If "Yes," enter the total expenses paid or incurred in connection with the lobbying activities $226,955. (Must equal amounts on line 38, Part VI-A, or line i of Part VI-B)

- Yes - No

- Statement 18

- Organizations that made an election under section 501(n) by filing Form 5768 must complete Part VI-A. Other organizations checking "Yes," must complete Part VI-B and attach a detailed description of the lobbying activities.

2 During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any substantial contributors, trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary? (If the answer to any question is "Yes," attach a detailed statement explaining the transactions.)

- a. Sale, exchange, or leasing of property?
- b. Lending of money or other extension of credit?
- c. Furnishing of goods, services, or facilities?
- d. Payment of compensation (or payment or reimbursement of expenses if more than $1,000)?
- e. Transfer of any part of its income or assets?

- 2a X
- 2b X
- 2c X
- 2d X
- 2e X

3 a. Do you make grants for scholarships, fellowships, student loans, etc.? (If "Yes," attach an explanation of how you determine that recipients qualify to receive payments.)

- b. Do you have a section 403(b) annuity plan for your employees?

- 3a X
- 3b X

4 Did you maintain any separate account for participating donors where donors have the right to provide advice on the use or distribution of funds?

- 4 X

Part IV Reason for Non-Private Foundation Status  (See pages 3 through 6 of the instructions)

The organization is not a private foundation because it is (Please check only ONE applicable box)

- 5 A church, convention of churches, or association of churches, Section 170(b)(1)(A)(i)
- 6 A school, Section 170(b)(1)(A)(ii) (Also complete Part V)
- 7 A hospital, Section 170(b)(1)(A)(iii)
- 8 A federal, state, or local government or governmental unit, Section 170(b)(1)(A)(iv)
- 9 A medical research organization operated in conjunction with a hospital, Section 170(b)(1)(A)(v)
- 10 An organization operated for the benefit of a college or university owned or operated by a governmental unit, Section 170(b)(1)(A)(vi)
- 11a An organization that normally receives a substantial part of its support from a governmental unit or from the general public, Section 170(b)(1)(A)(vii) (Also complete the Support Schedule in Part IV-A)
- 11b A community trust, Section 170(b)(1)(A)(viii) (Also complete the Support Schedule in Part IV-A)
- 12 An organization that normally receives more than 1/3 of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc., functions, subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975, Section 509(a)(2) (Also complete the Support Schedule in Part IV-A).
- 13 An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in (1) lines 5 through 12 above, or (2) section 501(c)(4), (5), or (6), if they meet the test of section 509(a)(2). (See section 509(a)(3))

Provide the following information about the supported organizations (See page 5 of the instructions)

(a) Name(s) of supported organization(s)  
(b) Line number from above

- 14 An organization organized and operated to test for public safety, Section 509(a)(4). (See page 6 of the instructions)

Schedule A (Form 990 or 990-EZ) 2003

323111 12-05-03

09261229 788832 10115 2003.07000 AMERICAN LUNG ASSOCIATION 10115-1
## Support Schedule

**Note:** You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting.

<table>
<thead>
<tr>
<th>Calendar year (or fiscal year beginning in)</th>
<th>(a) 2002</th>
<th>(b) 2001</th>
<th>(c) 2000</th>
<th>(d) 1999</th>
<th>(e) Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>15 Gifts, grants, and contributions received</td>
<td>14,903,499</td>
<td>12,749,488</td>
<td>17,045,639</td>
<td>16,549,000</td>
<td>61,247,626</td>
</tr>
<tr>
<td>16 Membership fees received</td>
<td>33,370</td>
<td>37,075</td>
<td>19,205</td>
<td>18,000</td>
<td>107,650</td>
</tr>
<tr>
<td>17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable, etc., purpose</td>
<td>478,150</td>
<td>68,400</td>
<td>221,598</td>
<td>538,000</td>
<td>1,306,148</td>
</tr>
<tr>
<td>18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975</td>
<td>725,545</td>
<td>925,654</td>
<td>1,037,411</td>
<td>1,003,000</td>
<td>3,691,610</td>
</tr>
<tr>
<td>19 Net income from unrelated business activities not included in line 18</td>
<td>23,218,742</td>
<td>17,950,735</td>
<td>22,510,329</td>
<td>27,956,000</td>
<td>91,635,806</td>
</tr>
<tr>
<td>20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf</td>
<td>22,740,592</td>
<td>17,882,335</td>
<td>22,288,731</td>
<td>27,418,000</td>
<td>90,329,658</td>
</tr>
<tr>
<td>21 The value of services or facilities furnished to the organization by a governmental unit without charge Do not include the value of services or facilities generally furnished to the public without charge</td>
<td>232,187</td>
<td>179,507</td>
<td>225,103</td>
<td>279,560</td>
<td>90,329,658</td>
</tr>
<tr>
<td>22 Other income Attach a schedule Do not include gain or (loss) from sale of capital assets</td>
<td>7,078,178</td>
<td>4,170,118</td>
<td>4,186,476</td>
<td>9,848,000</td>
<td>25,282,772</td>
</tr>
<tr>
<td>23 Total of lines 15 through 22</td>
<td>23,218,742</td>
<td>17,950,735</td>
<td>22,510,329</td>
<td>27,956,000</td>
<td>91,635,806</td>
</tr>
<tr>
<td>24 Line 23 minus line 17</td>
<td>22,740,592</td>
<td>17,882,335</td>
<td>22,288,731</td>
<td>27,418,000</td>
<td>90,329,658</td>
</tr>
</tbody>
</table>

### Organizations described on lines 10 or 11:
- **Part 26a**: Enter 2% of amount in column (e), line 24: 1,806,593.
- **Part 26b**: Do not file this list with your return. Enter the total of all these excess amounts: 0.
- **Part 26c**: Total support for section 509(a)(1) test Enter line 24, column (e): 90,329,658.
- **Part 26d**: Add Amounts from column (e) for lines 18
  - 3,691,610
  - 25,282,772
- **Part 26e**: Public support (line 26c minus line 26d total): 61,355,276.
- **Part 26f**: Public support percentage (line 26e (numerator) divided by line 26e (denominator)): 67.9237%.

### Organizations described on line 12:
- **Part 27a**: For amounts included in lines 15, 16, and 17 that were received from a "disqualified person," prepare a list for your record to show the name of and total amounts received in each year from each "disqualified person": Do not file this list with your return. Enter the sum of such amounts for each year: N/A.
- **Part 27b**: For any amount included in line 17 that was received from each person (other than "disqualified persons"), prepare a list for your record to show the name of, and amount received for each year, that was more than the larger of (1) the amount on line 25 for the year or (2) $5,000 (Include in the list organizations described in lines 5 through 11, as well as individuals) Do not file this list with your return. After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year: N/A.
- **Part 27c**: Add Amounts from column (e) for lines 15 N/A
- **Part 27d**: Line 27a total N/A
- **Part 27e**: Public support (line 27c total minus line 27d total): N/A
- **Part 27f**: Total support for section 509(a)(2) test Enter amount on line 23, column (e): N/A
- **Part 27g**: Public support percentage (line 27c (numerator) divided by line 27f (denominator)): N/A
- **Part 27h**: Investment income percentage (line 16, column (g) (numerator) divided by line 27f (denominator)): N/A

### Unusual Grants:
- For an organization described in line 10, 11, or 12 that received any unusual grants during 1999 through 2002, prepare a list for your record to show, for each year, the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant: Do not file this list with your return. Do not include these grants in line 15: NONE.
**Schedule A (Form 990 or 990-EZ) 2003 AMERICAN LUNG ASSOCIATION**

### Part V: Private School Questionnaire
(To be completed ONLY by schools that checked the box on line 6 in Part IV)

<table>
<thead>
<tr>
<th>Question</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>29 Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>30 Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>31 Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>If &quot;Yes,&quot; please describe. If &quot;No,&quot; please explain (if you need more space, attach a separate statement)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Question</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>32 Does the organization maintain the following</td>
<td></td>
<td></td>
</tr>
<tr>
<td>a Records indicating the racial composition of the student body, faculty, and administrative staff?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>d Copies of all material used by the organization or on its behalf to solicit contributions?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>If you answered &quot;No&quot; to any of the above, please explain (If you need more space, attach a separate statement)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Question</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>33 Does the organization discriminate by race in any way with respect to</td>
<td></td>
<td></td>
</tr>
<tr>
<td>a Students' rights or privileges?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>b Admissions policies?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>c Employment of faculty or administrative staff?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>d Scholarships or other financial assistance?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>e Educational policies?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>f Use of facilities?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>g Athletic programs?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>h Other extracurricular activities?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>If you answered &quot;Yes&quot; to any of the above, please explain (If you need more space, attach a separate statement)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Question</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>34 Does the organization receive any financial aid or assistance from a governmental agency?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>b Has the organization's right to such aid ever been revoked or suspended?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>If you answered &quot;Yes&quot; to either 34a or b, please explain using an attached statement</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Question</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>35 Does the organization certify that it has complied with the applicable requirements of sections 401 through 405 of Rev. Proc. 75-50, 1975-2 C.B. 587, covering racial nondiscrimination? If &quot;No,&quot; attach an explanation</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Schedule A (Form 990 or 990-EZ) 2003 AMERICAN LUNG ASSOCIATION 13-1632524 Page 5

Part VI-A Lobbying Expenditures by Electing Public Charities (See page 9 of the instructions)

To be completed ONLY by an eligible organization that filed Form 5768

Check ▶ a □ if the organization belongs to an affiliated group
Check ▶ b □ if you checked "a" and "limited control" provisions apply

Limits on Lobbying Expenditures
(The term "expenditures" means amounts paid or incurred)

(a) Affiliated group totals
(b) To be completed for ALL electing organizations

36 Total lobbying expenditures to influence public opinion (grassroots lobbying)
N/A

37 Total lobbying expenditures to influence a legislative body (direct lobbying)

38 Total lobbying expenditures (add lines 36 and 37)

39 Other exempt purpose expenditures

40 Total exempt purpose expenditures (add lines 38 and 39)

41 Lobbying nontaxable amount Enter the amount from the following table -

If the amount on line 40 is - 

Not over $500,000 20% of the amount on line 40
Over $500,000 but not over $1,000,000 $100,000 plus 15% of the excess over $500,000
Over $1,000,000 but not over $1,500,000 $175,000 plus 10% of the excess over $1,000,000
Over $1,500,000 but not over $17,000,000 $225,000 plus 5% of the excess over $1,500,000
Over $17,000,000 $1,000,000

41

42 Grassroots nontaxable amount (enter 25% of line 41)

43 Subtract line 42 from line 36 Enter -0- if line 42 is more than line 36

44 Subtract line 41 from line 38 Enter -0- if line 41 is more than line 38

Caution: If there is an amount on either line 43 or line 44, you must file Form 4720

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 45 through 50 on page 11 of the instructions.)

<table>
<thead>
<tr>
<th>Calendar year (or fiscal year beginning in)</th>
<th>(a) 2003</th>
<th>(b) 2002</th>
<th>(c) 2001</th>
<th>(d) 2000</th>
<th>(e) Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>45 Lobbying nontaxable amount</td>
<td></td>
<td></td>
<td></td>
<td>0.</td>
<td></td>
</tr>
<tr>
<td>46 Lobbying ceiling amount (150% of line 45(e))</td>
<td></td>
<td></td>
<td></td>
<td>0.</td>
<td></td>
</tr>
<tr>
<td>47 Total lobbying expenditures</td>
<td></td>
<td></td>
<td></td>
<td>0.</td>
<td></td>
</tr>
<tr>
<td>48 Grassroots nontaxable amount</td>
<td></td>
<td></td>
<td></td>
<td>0.</td>
<td></td>
</tr>
<tr>
<td>49 Grassroots ceiling amount (150% of line 48(e))</td>
<td></td>
<td></td>
<td></td>
<td>0.</td>
<td></td>
</tr>
<tr>
<td>50 Grassroots lobbying expenditures</td>
<td></td>
<td></td>
<td></td>
<td>0.</td>
<td></td>
</tr>
</tbody>
</table>

N/A

Part VI-B Lobbying Activity by Nonelecting Public Charities
(For reporting only by organizations that did not complete Part VI-A) (See page 12 of the instructions)

During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of

a. Volunteers
b. Paid staff or management (Include compensation in expenses reported on lines c through h.)
c. Media advertisements
d. Mailings to members, legislators, or the public
e. Publications, or published or broadcast statements
f. Grants to other organizations for lobbying purposes
g. Direct contact with legislators, their staffs, government officials, or a legislative body
h. Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means

1 Total lobbying expenditures (Add lines c through h.)

If "Yes" to any of the above, also attach a statement giving a detailed description of the lobbying activities

<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>X</td>
<td></td>
<td>21,835</td>
</tr>
<tr>
<td>X</td>
<td></td>
<td>33,930</td>
</tr>
<tr>
<td>X</td>
<td></td>
<td>171,190</td>
</tr>
<tr>
<td>X</td>
<td></td>
<td>226,955</td>
</tr>
</tbody>
</table>

Schedule A (Form 990 or 990-EZ) 2003

09261229 788832 10115 2003.07000 AMERICAN LUNG ASSOCIATION 10115_1
51 Did the reporting organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

<table>
<thead>
<tr>
<th></th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(i) Cash</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>(ii) Other assets</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>b</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(i) Sales or exchanges of assets with a noncharitable exempt organization</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>(ii) Purchases of assets from a noncharitable exempt organization</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>(iii) Rental of facilities, equipment, or other assets</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>(iv) Reimbursement arrangements</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>(v) Loans or loan guarantees</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>(vi) Performance of services or membership or fundraising solicitations</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>c</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Sharing of facilities, equipment, mailing lists, other assets, or paid employees</td>
<td>X</td>
<td></td>
</tr>
</tbody>
</table>

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting organization. If the organization received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

<table>
<thead>
<tr>
<th>(a) Line no</th>
<th>(b) Amount involved</th>
<th>(c) Name of noncharitable exempt organization</th>
<th>(d) Description of transfers, transactions, and sharing arrangements</th>
</tr>
</thead>
</table>

52 a Is the organization directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

<table>
<thead>
<tr>
<th></th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>b</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

If "Yes," complete the following schedule.

<table>
<thead>
<tr>
<th>(a) Name of organization</th>
<th>(b) Type of organization</th>
<th>(c) Description of relationship</th>
</tr>
</thead>
</table>
Pamela Wilson, MD

Dr. Pamela Wilson's family has a long tradition of volunteering for the American Lung Association. Her aunt, Dr. Helen Dickie, was an outstanding physician who did pioneering work with the tuberculosis drug isoniazid, and was a committed volunteer for the American Lung Association of Wisconsin. Dr. Wilson has been very involved in smoking prevention and education issues with the American Lung Association. She is particularly proud of one research project funded by the association which looked at how to help pregnant women stop smoking. "That initiative gave insights that have since been used to help other pregnant women stop smoking," says Dr. Wilson.

Strategic Plan Goal #1
Tobacco-related lung disease will be eliminated in future generations.

The American Lung Association...

- Issued American Lung Association State of Tobacco Control 2003, a landmark annual report that grades state tobacco laws. The report gained extensive media coverage, reaching an audience of more than 65 million people via national and local wire services, newspapers, radio and TV stations, and the Internet. The report found progress on some policies such as cigarette taxes and smoke-free air, but far too many states still have not adopted adequate tobacco prevention policies that will truly protect public health.

- Worked vigorously at the state level to establish tobacco control policies. Successes are reflected in State Legislated Actions on Tobacco Issues 2003 (SLATI), the Lung Association's annual compilation of state tobacco control laws. In 2003, four states (Connecticut, Florida, Maine and New York) passed or expanded laws protecting workers from second-hand smoke. And in the first half of 2004, three states (Idaho, Massachusetts and Rhode Island) followed suit. Numerous local governments passed smoke-free workplace ordinances. The average state cigarette excise tax rose dramatically by more than 10 cents to 72 cents per pack in 2003. A mid-term SLATI update found that during the first half of 2004, several more states raised cigarette taxes. As of July 1, 2004, the national average was 76.2 cents per pack.

- Celebrated a hard-fought victory as the United States signed the Framework Convention on Tobacco Control (FCTC), the first-ever international tobacco-control treaty. The Lung Association has been a leader in pushing for adoption of the treaty and is working to obtain U.S. Senate ratification of the measure.

- Sought congressional approval of bipartisan legislation to grant explicit authority to the Food and Drug Administration to regulate tobacco...
James Doughty

Twenty years ago, James Doughty received a letter from the American Lung Association offering Freedom From Smoking classes to businesses in Southern Illinois. James registered for the class, and seven weeks later was smoke-free. Grateful to the Lung Association for helping him quit smoking, James felt compelled to become a volunteer. James started volunteering for the American Lung Association of Illinois-Iowa in the spring of 1985, and has since served as president of the Association. Throughout his many years of service, James has been instrumental in the success of numerous programs and special events. "I got involved for two reasons; one was to motivate myself to never smoke again," James says. "I also wanted to give back to the organization. Little did I know that 20 years later I would still be involved."

products. The Lung Association also strongly backed legislation to curtail sales of tobacco products over the Internet.

- Joined forces with others in the U.S. and international tobacco-control community by participating in the World Conference on Tobacco or Health in Helsinki, Finland, and by organizing an annual conference of tobacco-control advocates in Washington, DC.

- Presented the American Lung Association Unsung Hero Award to D. Douglas Blanke, a strong voice in tobacco control and consumer rights. Currently, Blanke is the Director of the Tobacco Law Center at William Mitchell College of Law in St. Paul, Minnesota.

- Unveiled a public service announcement campaign commemorating the 40th anniversary of the first Surgeon General's Report on Smoking and Health with former Surgeon General C Everett Koop as the spokesman. The PSA was made possible through an educational partnership with GlaxoSmithKline. In the PSA, entitled "Remember 1964," Dr. Koop reminds smokers of the report's impact and issues a powerful call to action, urging smokers to celebrate the anniversary event by quitting.

- American Lung Association past president Dr. Alfred Munzer participated in a satellite media tour with golf icon Arnold Palmer to remind consumers about the benefits of smoking cessation and resources to help.

- Increased awareness and usage of Not-On-Tobacco (N-O-T), America's most popular smoking cessation program for teenagers. In addition to the publication of several research articles, N-O-T received the honor of being designated an Effective Program by the Substance Abuse and Mental Health Services Administration (SAMHSA).

- For Valentine's Day, featured the Send a Smoke-Free Valentine E-card Campaign. AOL, MSN, and Time were among the major sites that agreed to promote this smoking cessation initiative. In three weeks, nearly 2,000 smoke-free valentines were sent. By the end of February, more than 5,000 people had signed up for Freedom From Smoking Online, the web-based version of the Association's gold-standard smoking cessation program.
Kevin Hall

When Kevin Hall was born prematurely, his mother Renee knew she had many obstacles to overcome—but she never imagined that asthma would be her biggest one. Kevin was diagnosed with asthma when he was 5. Renee’s life became consumed with Kevin’s needs. When it became obvious last year that Kevin’s asthma was out of control, Renee contacted the American Lung Association of Ohio, which helped her find the care Kevin needed. Based on the latest asthma research, the asthma specialists treating Kevin chose a complex regimen of eight medications to keep his breathing under control. Kevin is still an honor student despite having missed an incredible 72 days of school last year due to asthma.

Strategic Plan Goal #2
Asthma will no longer be a burden to patients and their families, nor will it cause illness or death.

The American Lung Association...

- Received a second year of funding from the initiative, the Lung Association awarded more than $450,000 in grants to local Lung Associations in order to implement Best Practices programs at the community level.

- Received a fourth year of funding from the for the Asthma-Friendly Schools Initiative in the amount of $204,000. Also signed an agreement for the Asthma-Friendly Schools Initiative with Kaiser Permanente. Phase III of the initiative is underway with an eight-site pilot study (six sites funded by These Lung Association sites are using the tools developed by the initiative to plan and implement comprehensive asthma management programs in their local schools while evaluating the program for effectiveness and ease of implementation.

- Board of Directors approved the funding of a second 5-year cycle of the American Lung Association Asthma Clinical Research Centers Network (ACRC) program. The network, which consists of 19 centers and a data coordinating center at Johns Hopkins University, has successfully leveraged funds to secure support from industry and government.

www.lungusa.org 1-800-LUNG-USA
James J. Lee, PhD

As a graduate student in embryology, James Lee's asthma was so severe he decided to switch his course of study. "I didn't think I'd survive if I didn't do something about asthma," he said. Today Dr. Lee, who describes himself as "a very severe asthmatic," works with mouse models of human disease to understand asthma. Dr. Lee's own asthma has become more manageable, although he still ends up in the ER from time to time during cold season. "When I was a kid there wasn't much available in terms of asthma treatment," says Dr. Lee. "Now, unless I have a cold, with currently available drugs I lead a fairly normal life."

That's a direct consequence of research. I think that in the next 30 years research will produce a similar jump in asthma treatment. We can make asthma treatment manageable, with no side effects and no symptoms. It's an attainable goal."

• In 2004, the American Lung Association Asthma Clinical Research Centers (ACRC) continued to worked on the Leukotriene Modifier or Corticosteroid or Corticosteroid-Salmeterol Trial (LOCCS), and began the Trial of Asthma Patient Education (TAPE). The LOCCS study will compare alternative treatments for patients whose asthma is well controlled on low-dose inhaled steroids and will seek to clarify the role of alternative anti-inflammatory medications in the treatment of asthma. The TAPE study will determine if patient education and the method of presenting asthma drugs can improve the treatment response to both placebo and active asthma medications.

• Announced that the ACRC network received funding for its fifth study: Study of Acid Reflux and Asthma (SARA) which will examine whether there is a connection between asthma and gastroesophageal reflux (GERD) and whether treatment for GERD will decrease asthma flare-ups in adults. The study that began in September 2004 is funded by the

• ACRC Principal Investigator Dr. John Lima of the Nemours Children's Clinic in Florida received a grant from the to conduct a study entitled "Pharmaco-genetics of Asthma Monotherapy." The study will be conducted over three years with a total budget of $1.6 million. The study will aim to identify genetic variants that will predict which patients in the LOCCS study will respond favorably to the drugs used in the study.

• Appeared before congressional committees to support increased federal funding for research on asthma in children.

• Led the formation of a new partnership of public health and education organizations working to develop a national policy agenda on school health, specifically asthma.

• Worked with the U.S. Centers for Disease Control and Prevention and other national organizations to coordinate the development of a national policy on asthma.
Darcy Ellefson

Darcy Ellefson, RRT, is a facilitator for the American Lung Association Open Airways for Schools program and a Certified Asthma Educator who volunteers her time and expertise to help reduce the impact of asthma on the Native American population in South Dakota. "Open Airways is a very important program for the Native American population, because on the reservation, they may be as far away as 120 miles from the nearest physician," says Darcy, Clinical Coordinator of the South Dakota Asthma Institute, who received the American Lung Association Volunteer Excellence Award in Program Innovation in 2002. "It's crucial that children with asthma know their early warning signs and do early intervention—it could save their lives. You want to avoid emergency situations as the nearest ambulance could be an hour or more away."

Organizational Highlights

- Met with the National Center for Environmental Health to provide guidance to the demonstration environmental health tracking programs, especially those involving asthma surveillance and the role of environmental factors in asthma.

- Partnered with the National Association of School Nurses to distribute surveys to school nurses nationwide to find out how asthma affects the quality of school life. Results were released along with tips for parents and schools on how to help make the school experience more asthma-friendly and the importance of an Asthma Action Plan.

- Introduced new asthma publications in both English and Spanish: 'Carlos Controls Asthma,' designed in comic-book style to explain and reassure young children how to manage their asthma, stay healthy and have fun; 'Control Asthma and Celebrate Life,' a general-awareness brochure that provides an introduction to asthma for all audiences; and 'Control Asthma and Celebrate Life: Six Steps to Asthma Management'—a patient education handbook for asthma management.

Strategic Plan Goal #3

The air we breathe will not cause or worsen lung disease.

The American Lung Association...

- Received major media coverage when the American Lung Association State of the Air 2004 report was released on April 29. Coverage included the Associated Press, Reuters, CBS, The Early Show, CNN, FOX, MSNBC, Washington Post, New York Times, CNN.com, WebMD, dozens of local newspapers, more than 400 local radio stations and extensive Spanish-language radio and TV coverage. This signature Lung Association report showed that 159 million people live in counties with dangerous levels of smog or particle (soot) pollution. To enhance public awareness of the problems of air pollution, the 2004 report for the first time included particle pollution.

- In conjunction with the American Lung Association State of the Air 2004 report, helped
Whitney Weldon

Whitney's asthma started flaring up when she was 16. "I contacted the American Lung Association of Alabama out of desperation. I wanted information about asthma, to find out what I could do to help myself and others with asthma," she says. Now 21, Whitney, has been volunteering for the Lung Association ever since. She has participated in Asthma Walks to raise money for asthma research, and this past year, she appeared on a local television station on ozone-alert days, explaining why air pollution is harmful to people with asthma and what they should do to protect their lungs. "I go to college at the University of Alabama at Birmingham, which is in a high-traffic area; and on ozone-alert days, my asthma really bothers me because of the traffic fumes," she says. "I want people with asthma to be aware of the dangers of air pollution."

- Declared victory as the Environmental Protection Agency (EPA) complied with an agreement reached on a 2002 Lung Association lawsuit to force the agency to formally determine which counties have failed to attain national standards for 8-hour ozone pollution. Counties that are designated as "non-attainment" are required to take steps to clean up their air.

- Successfully persuaded the EPA to publish a final rule to limit emissions from heavy equipment and diesel fuel, closing a loophole that had left millions of nonroad engines—from tractors to bulldozers—underregulated. The Lung Association pushed hard for a strong diesel rule to protect seniors, children and people with lung diseases—including asthma—who are most vulnerable to the harm from air pollution.

- Won a victory when a federal court responded to a Lung Association lawsuit and blocked implementation of new EPA regulations that would create a dangerous loophole in the Clean Air Act by gutting a key provision known as "New Source Review." The regulations would allow thousands of aging power plants and other industrial facilities to increase air pollution.

- Testified at numerous hearings to urge Congress to give the EPA adequate funding to enforce the Clean Air Act and reject steps that would weaken the landmark air pollution law.

- Worked with the EPA to launch an expanded version of the agency's highly successful Air Quality Index (AQI) program. The expanded AQI provides Americans with air quality forecasting all year long and includes information on particle pollution for the first time.
Guy SooHoo, MD, MPH

Dr. Guy SooHoo first became involved with the Lung Association after receiving a research grant to find a way to help people with chronic obstructive pulmonary disease (COPD) breathe more easily without getting fatigued. Since 1992, he has been a volunteer with the American Lung Association of California, serving in positions of leadership at the state and local levels. Dr. SooHoo is a strong believer in the power of the Lung Association's research grant program. "Any major finding that affects people with lung disease stems from information gleaned from research funded by the American Lung Association," he says. "Much of the research that the Lung Association funds has implications beyond the lungs."

Strategic Plan Goal #4

The American Lung Association will monitor lung health and be the champion for those affected by lung disease.

The American Lung Association...

- Funded 115 new investigator research grant awardees totaling $3.9 million. One quarter of the awards are being supported through co-funding with local Lung Associations. Additional support for this year's research program was obtained from the

- Total nationwide expenditures for research awards, including the

- Convened the Lung Disease Action Panel for the first time. The panel reviewed the state of the science, and made recommendations for results indicators and strategies for meeting the American Lung Association's Strategic Plan goal to decrease the burden of chronic obstructive lung disease.

- Expanded its action-oriented e-advocacy system to support the Lung Association's public policy program. The system now includes more than 29,000 members—an increase of nearly 50 percent over the previous year—and participation by 43 Lung Associations

- Worked with key members of Congress to create a new Congressional COPD Caucus to promote public awareness, prevention, early detection and proper treatment of chronic obstructive pulmonary disease (COPD).

- Joined the U.S. Secretary of Health and Human Services in launching a new Medicare initiative to
Bernd Meibohm, PhD

There are a number of drugs available to treat chronic obstructive pulmonary disease, but there's no easy way to know which drug will work best for which patient. Bernd Meibohm, PhD, is trying to change that. "Although both asthma and COPD involve inflammation of the airways, they are very different diseases," says Dr. Meibohm, an American Lung Association Research Grant recipient at the University of Tennessee Health Science Center in Memphis. "Corticosteroids help control asthma for many patients, but for many patients with COPD, these drugs don't improve lung function. But a lot of physicians say that these drugs do seem to have beneficial effects in some patients, so there seems to be subclasses of individuals who respond differently to corticosteroids. We want to find a way to determine which patients will respond well to which drug, instead of having to go through a process of trial and error."

**Strategic Plan Goal #5**

Relevant publics will identify the American Lung Association as the premiere organization engaged in lung health research, education and advocacy.

**The American Lung Association...**

- As one of the first voluntary public health organizations to reach 100 years of service, the American Lung Association marked its 100th anniversary through media outreach and with events throughout the country. The Lung Association was honored by the Surgeon General, the Centers for Disease Control, the President of the United States and members of the House and Senate.
- Redesigned its website, www.lungusa.org, with new interactive site features such as the "Wall of..."
Hal Lichterman

After Hal was diagnosed with chronic obstructive pulmonary disease (COPD), he called the American Lung Association of Metropolitan Chicago to find out what they were doing to address the growing problem of this debilitating respiratory disease. Hal, president of a Chicago-area development company, found through his own experience that it can be difficult for patients to find good information about COPD, which is the fourth leading cause of death in the United States. The association conducted a community needs assessment and concluded that no single organization was addressing issues critical to the COPD patient. As a result of the assessment, the association launched a comprehensive COPD educational campaign. A full-time COPD advocate was hired, and Hal accepted an invitation to join the Board of Directors. "I'm amazed how responsive the American Lung Association of Metropolitan Chicago has been to my inquiry," says Hal. "They saw the need, developed a plan, found the funding and now we're running at full speed."

**ORGANIZATIONAL HIGHLIGHTS**

- **Remembrance** and **Living With Lung Disease** areas, as well as updated treatment for the online smoking cessation program. Freedom From Smoking Online. Each week the site honors a Volunteer of the Week who exemplifies our commitment to prevent lung disease and promote lung health.

- Introduced the “Lung Champions” section on its public website, to honor people who exemplify the hope, determination, action, and change that are vital to achieving the mission of the American Lung Association. A world free of lung disease.


- Joined with corporate partners 3M Filtrete, Altana Pharma, GlaxoSmithKline, Honeywell, The IQAir Group, Kaiser Permanente, ProTeam, Toyota Prius and Wyeth Pharmaceuticals to educate the public about lung disease and lung health. These corporate partnerships enable the Lung Association to reach a significant number of people with important information about vital lung health issues such as asthma, indoor air quality and chronic obstructive pulmonary disease.

- Through an educational partnership with Altana Pharma, launched "Hungry For Air: Share The Facts About COPD," a diagnostic information card offered through a toll-free number or online at www.lungusa.org. The media campaign garnered millions in impressions including the Today Show and Time magazine and helped to raise awareness about COPD to a consumer audience.

- Partnered with GlaxoSmithKline, which sponsored an "Asthma Creatures" advertising campaign to educate people about the importance of proper asthma management. The ads featured two out-of-control asthma creatures Flammie (inflammation) and Connie (constriction), both of whom were designed by the creators of the movie "Chicken
Peg Petrone

Five years ago Peg’s 40-year-old husband, Tom Finucane, died from a heart attack en route to the hospital for an asthma attack. After an astounding outpouring of memorial donations to the American Lung Association of Finger Lakes Region (New York) office, Peg was asked if she would allow the Lung Association to hold its first Asthma Research Dinner in Tom’s honor. Not only did she agree, but she also began fundraising for this dinner by selling tables and seeking sponsorships for the dinner. The following year Peg and her daughter’s and Tom’s family and friends began the Thomas Finucane Memorial Golf Tournament to raise funds to support the work of the Lung Association. This tournament has raised more than $20,000 for the Lung Association. In addition, Peg has had a leadership role in all of the association’s fundraising efforts, including being the Family Chair of its second Asthma Walk.

Run—The campaign was piloted in 17 markets nationwide and consisted of print, radio, online and TV components, all carrying American Lung Association branding

- Entered into an educational partnership with Wyeth to help encourage healthy individuals to get flu vaccines. As part of the partnership, the Lung Association launched a national radio public service announcement campaign featuring Sherry Stringfield, actor on NBC’s primetime series ER, educating the general public on the importance of getting immunized against the flu.

- Formed an educational partnership with IQAir North America to raise awareness on indoor air quality issues.

- Entered into a partnership with Univision Communications Inc., the leading Spanish-language media company in the United States, along with many other top health organizations. The partnership aims to promote healthy lifestyles among Hispanics through access to information and health care services, focusing on raising awareness and encouraging prevention of health issues that particularly affect Hispanics across all age groups.

Strategic Plan Goal #6

The American Lung Association will be innovative, aggressive and successful in generating revenue to achieve its mission.

The American Lung Association...

- Held 150 Asthma Walks around the country. Walkers joined with neighbors, family and friends to fight the asthma epidemic. Approximately 40,000 walkers participated, raising an estimated $5.5 million.

- Developed a nationwide email fundraising campaign that can promote year-end giving to the American Lung Association and doubled online members.
Shari Gonzales

When Shan's son Sydney was diagnosed with asthma as an infant, she became a mother on a mission. She has helped to make a difference not only in her son's life but in her community as well. Shari joined the American Lung Association of Gulfcoast Florida's Asthma Walk three years ago with family and friends as "Team Sydney." She has reached out to other parents and community workers to help raise awareness for asthma. "Sydney is a very severe asthmatic, and I volunteer for the Lung Association so that I can learn more, help others and raise money to find a cure so that kids like Sydney don't have to go through what he does, not being able to do things that other kids his age do," Shari says.

ORGANIZATIONAL HIGHLIGHTS

• Raised funds for lung research through its 100th Anniversary Celebration and Research Fund Dinner, which brought together more than 450 volunteers, staff and corporate representatives to celebrate the Lung Association's accomplishments achieved throughout the past century in the fight against lung disease.

• Recruited approximately 100,000 volunteers for the American Lung Association 'Breath of Life' neighborhood residential program

• Studied existing direct mail operations to review the effectiveness and efficiency of current processes. With Board approval of changes in December, began implementation of a new streamlined, centralized direct mail operation.

Strategic Plan Goal #7

The nationwide American Lung Association will be committed to its goals, aligned in its actions, and accountable for its performance.

The American Lung Association...

• Continued its collaborative nationwide process for implementing the American Lung Association Strategic Plan for 2002-2007. The Association is undergoing this strategic planning process in an effort to better serve its mission: to prevent lung disease and promote lung health. As part of this effort, the association formed a new Task Force on Organizational Effectiveness that includes representatives from the Lung Association Board, Council Past-Presidents, local Lung Associations, Congress of Lung Association Staff (CLAS) and National Headquarters.
Gwendolyn Young

"I volunteer for the Lung Association because my mom and my brother died at very young ages from smoking-related illnesses, and I know this is the organization that is doing everything to prevent lung disease: research, education and advocacy," says Gwen. She uses her expertise in public relations, marketing and communications to help the Lung Association of California educate the public about lung health issues. Through her firm, Young Communications Group, Inc. she has been involved in providing the African American community with education and information for the County of Los Angeles and nationally through her work with the National African American Tobacco Education Network. She has also been involved in working on numerous social marketing campaigns including the award-winning state of California anti-smoking campaign. As a dedicated volunteer for the American Lung Association of California, Gwen serves as a spokesperson on tobacco control and other topics.

Cultural Diversity and Volunteerism

- Developed organization-wide diversity attainment plans to establish a system to implement the association's Cultural Diversity Policy. The association also established a Diversity Resource Center.

- Awarded the 2003 Bernard Gregory Award for Cultural Diversity to the American Lung Association of the East Bay (California). In cooperation with culturally diverse community partners, the association makes a special effort to connect with the East Bay's diverse communities.

- Honored T Wayne Bailey, PhD, longtime Lung Association volunteer and advocate for clean air and tobacco control, with the Will Ross Medal. The medal recognizes volunteers whose leadership, character and dedication to the American Lung Association stands out as an example to all who serve the American Lung Association. The medal is named for a distinguished Past President of the American Lung Association. Dr. Bailey was also honored by the Discovery Health Channel.

- Participated in a conference of National Association for Equal Opportunity in Higher Education (NAFEO), an umbrella group that represents historically black colleges and universities. Since 2000, the Lung Association and NAFEO have collaborated on a broad range of projects at the national and local levels. The NAFEO conference is attended by the senior leadership in the African American higher education community and provides the Lung Association with visibility in that community.

- Worked with almost 180,000 volunteers nationwide, who contributed their time and hard work to fight lung disease. Our volunteers help in many ways from working with people with lung disease, to assisting in our local offices, to organizing fundraisers, and much more.

- Began a collaboration with the National Conference for Community and Justice, NCCJ (formerly the National Conference of Christians and Jews) to develop diversity-related training modules. Also, in an effort to increase the diversity of applicant pools when CEO or other senior-level vacancies occur, the American Lung Association has recently used the networks of NCCJ, the National Alliance for Hispanic Health, and 100 Black Men of New York.
FORM 990  NON-GOVERNMENT SECURITIES  STATEMENT  7

SECURITY DESCRIPTION  CORPORATE STOCKS  CORPORATE BONDS  OTHER PUBLICLY TRADED SECURITIES  OTHER SECURITIES  TOTAL NON-GOV'T SECURITIES

COMMON STOCKS  7,737,352.  890,916.  
CORPORATE BONDS  
MONEY FUNDS  

TO 990, LN 54 COL B  7,737,352.  890,916.  888,974.  9,517,242.

FORM 990  GOVERNMENT SECURITIES  STATEMENT  8

DESCRIPTION  U.S. GOVERNMENT  STATE AND LOCAL GOV'T  TOTAL GOV'T SECURITIES

USTreasury Securities  4,959,301.  

TOTAL TO FORM 990, LINE 54, COL B  4,959,301.  4,959,301.

FORM 990  OTHER ASSETS  STATEMENT  9

DESCRIPTION  AMOUNT

Beneficial Interest in Assets Held by Constituents  1,297,463.

TOTAL TO FORM 990, PART IV, LINE 58, COLUMN B  1,297,463.

FORM 990  OTHER LIABILITIES  STATEMENT  10

DESCRIPTION  AMOUNT

Due to Constituent and Affiliates  3,930,675.
Other Liabilities  992,721.
Minimum Pension Liability  1,209,270.

TOTAL TO FORM 990, PART IV, LINE 65, COLUMN B  6,132,666.
DESCRIPTION

WTC GRANT
ASSETS ACQUIRED THROUGH MERGER
MINIMUM PENSION LIABILITY ADJUSTMENT

AMOUNT

115,500.
72,502.
1,147,765.

TOTAL TO FORM 990, PART IV-A

1,335,767.

SCHEDULE A
EXPLANATION OF QUALIFICATIONS TO RECEIVE PAYMENTS
PART III, LINE 3

THE AMERICAN LUNG ASSOCIATION IS A MAJOR VOLUNTARY HEALTH ORGANIZATION CONCERNED WITH THE PREVENTION OF LUNG DISEASE AND PROMOTION OF LUNG HEALTH. TO HELP ACCOMPLISH THIS MISSION, THE AMERICAN LUNG ASSOCIATION SUPPORTS RESEARCH. THE AMERICAN LUNG ASSOCIATION PROMOTES RESEARCH BY DIRECT AWARDS FOR THE TRAINING OF SCIENTISTS AND "SEED GRANTS" TO DEVELOP NEW INVESTIGATORS. INVESTIGATORS ARE REQUIRED TO SUBMIT APPLICATIONS TO THE AMERICAN LUNG ASSOCIATION. ALL RESEARCH APPLICATIONS ARE PEER REVIEWED BY A SCIENTIFIC PANEL. FUNDING IS GRANTED BASED ON AVAILABILITY OF FUNDS.

SCHEDULE A
OTHER INCOME
STATEMENT 13

DESCRIPTION

2002 AMOUNT
2001 AMOUNT
2000 AMOUNT
1999 AMOUNT

OTHER MISC. INCOME

7,078,178.
4,170,118.
4,186,476.
9,848,000.

TOTAL TO SCHEDULE A, LINE 22

7,078,178.
4,170,118.
4,186,476.
9,848,000.
### FORM 990

**GAIN (LOSS) FROM PUBLICLY TRADED SECURITIES**

**DESCRIPTION**

<table>
<thead>
<tr>
<th>Description</th>
<th>Gross Sales Price</th>
<th>Cost or Other Basis</th>
<th>Expense of Sale</th>
<th>Net Gain or (Loss)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sale of Investments</td>
<td>3,332,500</td>
<td>3,298,712</td>
<td>0</td>
<td>33,788</td>
</tr>
<tr>
<td>To Form 990, Part I, Line 8</td>
<td>3,332,500</td>
<td>3,298,712</td>
<td>0</td>
<td>33,788</td>
</tr>
</tbody>
</table>

### FORM 990

**SPECIAL EVENTS AND ACTIVITIES**

**Description of Event**

<table>
<thead>
<tr>
<th>Description of Event</th>
<th>Gross Receipts</th>
<th>Contrib. Included</th>
<th>Gross Revenue</th>
<th>Direct Expenses</th>
<th>Net Income</th>
</tr>
</thead>
<tbody>
<tr>
<td>Annual Dinner</td>
<td>544,002</td>
<td>473,398</td>
<td>70,604</td>
<td>104,038</td>
<td>-33,434</td>
</tr>
<tr>
<td>To Form 990, Part I, Line 9</td>
<td>544,002</td>
<td>473,398</td>
<td>70,604</td>
<td>104,038</td>
<td>-33,434</td>
</tr>
</tbody>
</table>
INCOME

1. GROSS RECEIPTS ........................................ 1,223,517
2. RETURNS AND ALLOWANCES ................................
3. LINE 1 LESS LINE 2 ....................................... 1,223,517

4. COST OF GOODS SOLD (LINE 13) .......................... 576,837
5. GROSS PROFIT (LINE 3 LESS LINE 4) ...................... 646,680

COST OF GOODS SOLD

6. INVENTORY AT BEGINNING OF YEAR ...................... 391,040
7. MERCHANDISE PURCHASED ................................. 500,746
8. COST OF LABOR ...........................................
9. MATERIALS AND SUPPLIES ................................
10. OTHER COSTS ............................................
11. ADD LINES 6 THROUGH 10 ................................. 891,786

12. INVENTORY AT END OF YEAR .............................. 314,949
13. COST OF GOODS SOLD (LINE 11 LESS LINE 12) .......... 576,837
## FORM 990 OTHER CHANGES IN NET ASSETS OR FUND BALANCES

<table>
<thead>
<tr>
<th>DESCRIPTION</th>
<th>AMOUNT</th>
</tr>
</thead>
<tbody>
<tr>
<td>CHANGE IN UNREALIZED APPRECIATION ON INVESTMENTS</td>
<td>759,817.</td>
</tr>
<tr>
<td>MINIMUM PENSION LIABILITY ADJUSTMENT</td>
<td>1,147,765.</td>
</tr>
<tr>
<td>WTC GRANT</td>
<td>115,500.</td>
</tr>
<tr>
<td>ASSETS ACQUIRED THROUGH MERGER</td>
<td>72,502.</td>
</tr>
<tr>
<td>ROUNding DIFFERENCE DUE TO CONVERSION FROM ROUNDED TO WHOLE NUMBERS</td>
<td>-1,650.</td>
</tr>
<tr>
<td>TOTAL TO FORM 990, PART I, LINE 20</td>
<td>2,093,934.</td>
</tr>
<tr>
<td>DESCRIPTION</td>
<td>AMOUNT</td>
</tr>
<tr>
<td>-----------------------------------</td>
<td>------------</td>
</tr>
<tr>
<td>MEDICAL AWARDS, ETC</td>
<td>$3,448,364.07</td>
</tr>
<tr>
<td>AWARDS &amp; GRANTS</td>
<td>$438,065.09</td>
</tr>
<tr>
<td>ASTHMA CLINICAL RESEARCH</td>
<td>$3,986,632.26</td>
</tr>
<tr>
<td>CLAS SCHOLARSHIP AWARDS</td>
<td>$37,103.00</td>
</tr>
<tr>
<td>MISC AWARDS</td>
<td>$2,016.00</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td><strong>$7,912,180.42</strong></td>
</tr>
</tbody>
</table>
ALBANY MEDICAL COLLEGE $35,000.00
BARNES-JEWISH HOSPITAL (20,925.70)
BAYLOR COLLEGE OF MEDICINE 135,000.00
BD OF REGENTS OF THE UNIV. OF WI. 35,000.00
BETH ISRAEL MEDICAL CENTER (775.00)
BRENTWOOD BIOMEDICAL RESEARCH INST. (219.82)
BRIGHAM AND WOMEN'S HOSPITAL 170,000.00
CASE WESTERN RESERVE UNIV. 35,000.00
CHILDREN'S HOSPITAL OF PITTSBURGH 35,000.00
CHILDREN'S HOSPITAL OF LOS ANGELES 50,000.00
CHILDREN'S HOSPITAL MEDICAL CENTER 202,500.00
CINCINNATI CHILDREN'S HOSPITAL 34,048.11
DEPAUL UNIVERSITY (2,928.48)
DUKE UNIVERSITY 69,632.54
EMORY UNIVERSITY 35,000.00
EVANS MEDICAL FOUNDATION (16,250.00)
FRED HUTCHINSON CANCER RESEARCH CTR 32,500.00
GENERAL HOSPITAL CORPORATION 70,000.00
JOHNS HOPKINS UNIVERSITY 22,552.00
KANSAS STATE UNIVERSITY (4,043.64)
LOVELACE RESPIRATORY RESEARCH INST. 135,000.00
MAYO CLINIC ROCHESTER 67,847.00
MCG RESEARCH INSTITUTE, INC. 35,000.00
MEDICAL COLLEGE OF WISCONSIN 35,000.00
MOUNT SINAI SCHOOL OF MEDICINE 34,840.50
NAT'L JEWISH MEDICAL CENTER 32,500.00
NEW ENGLAND MEDICAL CENTER HOSPITALS 35,000.00
NORTHEASTERN UNIVERSITY 3,493.00
OCEAN STATE RESEARCH INSTITUTE 35,000.00
PEDIATRIC CRITICAL CARE - 35,000.00
PENNSYLVANIA STATE UNIV. 35,000.00
PRES. & FELLOWS OF HARVARD COLLEGE 45,954.88
REGENTS OF THE UNIV. OF MICHIGAN 70,000.00
RESEARCH FOUNDATION OF SUNY 28,799.93
RUTGERS, THE STATE UNIVERSITY 35,000.00
SCRIPPS RESEARCH INSTITUTE (3,862.81)
SOUTHERN ILLINOIS UNIV SCHOOL 35,000.00
STANFORD UNIVERSITY 97,317.81
THE REGENTS OF CALIFORNIA 166,558.50
THE UNIV. OF N. C. AT CHAPEL HILL 54,600.00
THE UNIV. OF TEXAS SOUTHWESTERN (17,500.00)
THE UNIVERSITY OF ALABAMA 25,000.00
TRUSTEES OF BOSTON UNIVERSITY 70,208.42
TRUSTEES OF THE UNIV. OF PA 132,814.36
TULANE UNIV HEALTH SCIENCE 34,999.00
UC REGENTS 82,500.00
### Medical Awards Etc.

<table>
<thead>
<tr>
<th>Institution</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>UMDNJ-R. Wood Johnson</td>
<td>(232.00)</td>
</tr>
<tr>
<td>Univ of Alabama at Birmingham</td>
<td>110,000.00</td>
</tr>
<tr>
<td>Univ of California, Regents</td>
<td>66,150.00</td>
</tr>
<tr>
<td>Univ of Connecticut HLTH CTR</td>
<td>2,644.56</td>
</tr>
<tr>
<td>Univ of Texas HLTH CTR at Tyler</td>
<td>104,945.00</td>
</tr>
<tr>
<td>Univ of N.M. Health Sciences CTR</td>
<td>32,500.00</td>
</tr>
<tr>
<td>Univ. TX. HLTH SCI CTR @ Houston</td>
<td>49,784.65</td>
</tr>
<tr>
<td>University of Arizona</td>
<td>49,951.34</td>
</tr>
<tr>
<td>University of Cincinnati</td>
<td>35,000.00</td>
</tr>
<tr>
<td>University of Colorado @ Denver</td>
<td>244.50</td>
</tr>
<tr>
<td>University of Dayton</td>
<td>10.00</td>
</tr>
<tr>
<td>University of Iowa</td>
<td>137,500.00</td>
</tr>
<tr>
<td>University of Michigan</td>
<td>35,000.00</td>
</tr>
<tr>
<td>University of Minnesota</td>
<td>95,369.29</td>
</tr>
<tr>
<td>University of Missouri</td>
<td>(1,419.50)</td>
</tr>
<tr>
<td>University of Pittsburgh</td>
<td>40,000.00</td>
</tr>
<tr>
<td>University of Rochester</td>
<td>32,971.00</td>
</tr>
<tr>
<td>University of Tennessee</td>
<td>(17.00)</td>
</tr>
<tr>
<td>University of Vermont</td>
<td>50,000.00</td>
</tr>
<tr>
<td>University of Washington</td>
<td>68,750.00</td>
</tr>
<tr>
<td>Vanderbilt University Medical Center</td>
<td>(2,708.35)</td>
</tr>
<tr>
<td>Virginia Commonwealth Univ.</td>
<td>(16,250.00)</td>
</tr>
<tr>
<td>Washington University</td>
<td>151,237.16</td>
</tr>
<tr>
<td>Weill Med Coll of Cornell Univ</td>
<td>55.00</td>
</tr>
<tr>
<td>West Virginia Research Corp.</td>
<td>49,998.66</td>
</tr>
<tr>
<td>Women and Infants' Hospital</td>
<td>(73.47)</td>
</tr>
<tr>
<td>Yale University</td>
<td>117,291.63</td>
</tr>
<tr>
<td>Ala of Metropolitan Chicago</td>
<td>(17,500.00)</td>
</tr>
<tr>
<td>Ala of Louisiana</td>
<td>1.00</td>
</tr>
</tbody>
</table>

**Total** $3,448,364.07
<table>
<thead>
<tr>
<th>PROJECT</th>
<th>AMOUNT</th>
</tr>
</thead>
<tbody>
<tr>
<td>0300 - FIELD MANAGEMENT ADVISORY</td>
<td></td>
</tr>
<tr>
<td>ALA OF DELAWARE</td>
<td>$ 500.00</td>
</tr>
<tr>
<td>MISC - OTHERS</td>
<td>190.09</td>
</tr>
<tr>
<td>0300 - FIELD MANAGEMENT ADVISORY</td>
<td>690.09</td>
</tr>
</tbody>
</table>

| 0310 - REGIONAL TRAINING CONFERENCES          |              |
| ALA OF CALIFORNIA                             | 3,000.00     |
| 0310 - REGIONAL TRAINING CONFERENCES          | 3,000.00     |

| 0800 - MANAGEMENT & GENERAL                   |              |
| ALA OF FLORIDA                                | 6,000.00     |
| 0800 - MANAGEMENT & GENERAL                   | 6,000.00     |

| 0445 - KAISER ASTHMA - NAT. PARTNERSHIP        |              |
| ALA OF CALIFORNIA                             | 10,000.00    |
| ALA OF OHIO                                   | 10,000.00    |
| 0445 - KAISER ASTHMA - NAT. PARTNERSHIP        | 20,000.00    |

<p>| 0800 - EXEC. OFFICE-MANAGEMENT                |              |
| ALA OF ALABAMA                                | 1,000.00     |
| ALA OF ALASKA                                 | 1,000.00     |
| ALA VEHICLE DONATION PROGRAM                 | 4,375.00     |
| ALA OF ARIZONA/NEW MEXICO                     | 1,000.00     |
| ALA OF ARKANSAS                               | 1,000.00     |
| ALA OF CENTRAL CALIFORNIA                    | 1,000.00     |
| ALA OF CENTRAL NEW YORK                      | 1,000.00     |
| ALA OF COLORADO                               | 1,000.00     |
| ALA OF CONNECTICUT                           | 1,000.00     |
| ALA OF DELAWARE                               | 1,000.00     |
| ALA OF EAST BAY CALIFORNIA                   | 1,000.00     |
| ALA OF EASTERN MISSOURI                      | 22,000.00    |
| ALA OF FINGERLAKES                            | 1,000.00     |
| ALA OF GEORGIA                               | 1,000.00     |
| ALA OF GREATER NORFOLK COUNTY                | 1,000.00     |
| ALA OF HAWAII                                | 1,000.00     |
| ALA OF HUDSON VALLEY                         | 1,000.00     |
| ALA OF IDAHO/NEVADA                          | 1,000.00     |
| ALA OF ILLINOIS-IOWA                         | 1,000.00     |
| ALA OF INDIANA                               | 1,000.00     |
| ALA OF INLAND COUTIES                        | 1,000.00     |
| ALA OF KANSAS                                | 1,000.00     |
| ALA OF KENTUCKY                              | 1,000.00     |
| ALA OF LOS ANGELES COUNTY                    | 1,000.00     |</p>
<table>
<thead>
<tr>
<th>PROJECT</th>
<th>AMOUNT</th>
</tr>
</thead>
<tbody>
<tr>
<td>ALA OF LOUISIANA</td>
<td>1,000.00</td>
</tr>
<tr>
<td>ALA OF MICHIGAN</td>
<td>1,000.00</td>
</tr>
<tr>
<td>ALA OF MIDDLESEX COUNTY</td>
<td>1,000.00</td>
</tr>
<tr>
<td>ALA OF NASSAU-SUFFOLK</td>
<td>1,000.00</td>
</tr>
<tr>
<td>ALA OF NEBRASKA</td>
<td>1,000.00</td>
</tr>
<tr>
<td>ALA OF NEW JERSEY</td>
<td>1,000.00</td>
</tr>
<tr>
<td>ALA OF NEW YORK STATE</td>
<td>1,000.00</td>
</tr>
<tr>
<td>ALA OF NORTH CAROLINA</td>
<td>1,000.00</td>
</tr>
<tr>
<td>ALA OF NORTHERN ROCKIES</td>
<td>1,000.00</td>
</tr>
<tr>
<td>ALA OF OHIO</td>
<td>1,000.00</td>
</tr>
<tr>
<td>ALA OF OKLAHOMA</td>
<td>1,000.00</td>
</tr>
<tr>
<td>ALA OF ORANGE COUNTY</td>
<td>1,000.00</td>
</tr>
<tr>
<td>ALA OF OREGON</td>
<td>1,000.00</td>
</tr>
<tr>
<td>ALA OF PENNSYLVANIA</td>
<td>1,000.00</td>
</tr>
<tr>
<td>ALA OF RHODE ISLAND</td>
<td>1,000.00</td>
</tr>
<tr>
<td>ALA OF SACRAMENTO-EMIGRANT TRAILS</td>
<td>1,000.00</td>
</tr>
<tr>
<td>ALA OF SAN DIEGO &amp; IMPERIAL COUNTIES</td>
<td>1,000.00</td>
</tr>
<tr>
<td>ALA OF SAN FRANCISCO &amp; SAN MATEO COUNTIES</td>
<td>1,000.00</td>
</tr>
<tr>
<td>ALA OF SOUTH CAROLINA</td>
<td>1,000.00</td>
</tr>
<tr>
<td>ALA OF TENNESSEE</td>
<td>1,000.00</td>
</tr>
<tr>
<td>ALA OF TEXAS</td>
<td>1,000.00</td>
</tr>
<tr>
<td>ALA OF UTAH</td>
<td>1,000.00</td>
</tr>
<tr>
<td>ALA OF VIRGINIA</td>
<td>1,000.00</td>
</tr>
<tr>
<td>ALA OF WASHINGTON</td>
<td>1,000.00</td>
</tr>
<tr>
<td>ALA OF WESTERN MASSACHUSETTS</td>
<td>1,000.00</td>
</tr>
<tr>
<td>ALA OF WEST VIRGINIA</td>
<td>1,000.00</td>
</tr>
<tr>
<td><strong>0800 - EXEC. OFFICE-MANAGEMENT</strong></td>
<td><strong>74,375.00</strong></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>0413 - ASTHMA FRIENDLY SCHOOLS</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>ALA OF ARIZONA/NEW MEXICO</td>
<td>10,000.00</td>
</tr>
<tr>
<td>ALA OF CALIFORNIA</td>
<td>10,000.00</td>
</tr>
<tr>
<td>ALA OF KANSAS</td>
<td>10,000.00</td>
</tr>
<tr>
<td>ALA OF MARYLAND</td>
<td>10,000.00</td>
</tr>
<tr>
<td>ALA OF MICHIGAN</td>
<td>10,000.00</td>
</tr>
<tr>
<td>ALA OF WASHINGTON</td>
<td>10,000.00</td>
</tr>
<tr>
<td><strong>0413 - ASTHMA FRIENDLY SCHOOLS</strong></td>
<td><strong>60,000.00</strong></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>0432 - EPA - IAQ GRANT 7/1/03-8/31/06</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>ALA OF ALABAMA</td>
<td>6,000.00</td>
</tr>
<tr>
<td>ALA OF ARIZONA/NEW MEXICO</td>
<td>3,000.00</td>
</tr>
<tr>
<td>ALA OF CALIFORNIA</td>
<td>3,000.00</td>
</tr>
<tr>
<td>ALA OF CENTRAL CALIFORNIA</td>
<td>10,000.00</td>
</tr>
<tr>
<td>ALA OF CHICAGO</td>
<td>10,000.00</td>
</tr>
<tr>
<td>ALA OF CONNECTICUT</td>
<td>10,000.00</td>
</tr>
<tr>
<td>ALA OF EAST BAY CALIFORNIA</td>
<td>3,000.00</td>
</tr>
<tr>
<td>ALA OF FINGERLAKES</td>
<td>10,000.00</td>
</tr>
<tr>
<td>ALA OF FLORIDA</td>
<td>26,000.00</td>
</tr>
<tr>
<td>PROJECT</td>
<td>AMOUNT</td>
</tr>
<tr>
<td>------------------------------------------------------</td>
<td>-----------</td>
</tr>
<tr>
<td>ALA OF HAWAII</td>
<td>6,000.00</td>
</tr>
<tr>
<td>ALA OF ILLINOIS-IOWA</td>
<td>13,000.00</td>
</tr>
<tr>
<td>ALA OF INLAND COUNTIES</td>
<td>3,000.00</td>
</tr>
<tr>
<td>ALA OF KANSAS</td>
<td>13,000.00</td>
</tr>
<tr>
<td>ALA OF MARYLAND</td>
<td>16,000.00</td>
</tr>
<tr>
<td>ALA MASSACHUSETTS</td>
<td>13,000.00</td>
</tr>
<tr>
<td>ALA OF MICHIGAN</td>
<td>3,000.00</td>
</tr>
<tr>
<td>ALA OF NASSAU-SUFFOLK</td>
<td>13,000.00</td>
</tr>
<tr>
<td>ALA OF NEW HAMPSHIRE</td>
<td>3,000.00</td>
</tr>
<tr>
<td>ALA OF NORTH DAKOTA</td>
<td>3,000.00</td>
</tr>
<tr>
<td>ALA OF NORTHERN ROCKIES</td>
<td>6,000.00</td>
</tr>
<tr>
<td>ALA OF OHIO</td>
<td>13,000.00</td>
</tr>
<tr>
<td>ALA OF ORANGE COUNTY</td>
<td>10,000.00</td>
</tr>
<tr>
<td>ALA OF PENNSYLVANIA</td>
<td>9,000.00</td>
</tr>
<tr>
<td>ALA OF SANTA BARBARA AND VENTURA COUNTIES</td>
<td>7,000.00</td>
</tr>
<tr>
<td>ALA OF SANTA CLARA - SAN BENITO COUNTIES</td>
<td>10,000.00</td>
</tr>
<tr>
<td>ALA OF TEXAS</td>
<td>19,000.00</td>
</tr>
<tr>
<td>ALA OF VERMONT</td>
<td>10,000.00</td>
</tr>
<tr>
<td>ALA OF WASHINGTON</td>
<td>10,000.00</td>
</tr>
<tr>
<td>ALA OF WESTERN MASSACHUSETTS</td>
<td>10,000.00</td>
</tr>
<tr>
<td>ALA OF WISCONSIN</td>
<td>3,000.00</td>
</tr>
<tr>
<td>0432 - EPA - IAQ GRANT 7/1/03-8/31/06</td>
<td>274,000.00</td>
</tr>
<tr>
<td></td>
<td>274,000.00</td>
</tr>
</tbody>
</table>

**REPORT TOTAL**  
$438,065.09
AMERICAN LUNG ASSOCIATION
ASTHMA CLINICAL RESEARCH - AWARDS
JULY 2003 - JUNE 2004

PROJECT: 0605 - ASTHMA CLINICAL RESEARCH

<table>
<thead>
<tr>
<th>Project Code</th>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>010-5150-03-0605</td>
<td>UNR.W/REV-AWRDS&amp;GRNTS-GOV REL ACRC-MED</td>
<td>$ 1,621,000 26</td>
</tr>
<tr>
<td>010-5151-03-0605</td>
<td>UN W/ REV.-AWRD-DCC-03-MED AFFAIRS-ASTH</td>
<td>250,000.00</td>
</tr>
<tr>
<td>010-5152-03-0605</td>
<td>UNR.W/REV-AWRDS&amp;GRNTS-GOV REL PROTO-MED</td>
<td>28,107.00</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>1,899,107 26</strong></td>
</tr>
</tbody>
</table>

PROJECT: 0670 - GLAXOSMITHKLINE - ACRC GRANT

<table>
<thead>
<tr>
<th>Project Code</th>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>010-5152-03-0670</td>
<td>UN W/ REV.-AWRD RSRCH PROT-03-MED. AFFAIR</td>
<td>2,087,525 00</td>
</tr>
</tbody>
</table>

REPORT TOTAL: $ 3,986,632.26
AMERICAN LUNG ASSOCIATION

FORM 990 - Y.E. JUNE 30, 2004

ID #13-1632524

FORM 990- PART II -LINE 42
FORM 990- PART IV -LINE 57

ASSETS

<table>
<thead>
<tr>
<th></th>
<th>JUNE 30,2003</th>
<th>ADDITIONS</th>
<th>DISPOSALS</th>
<th>JUNE 30,2004</th>
</tr>
</thead>
<tbody>
<tr>
<td>Furniture and equipment</td>
<td>347,472</td>
<td>8,139</td>
<td>(822)</td>
<td>354,789</td>
</tr>
<tr>
<td>Computer equipment</td>
<td>604,708</td>
<td>503,577</td>
<td></td>
<td>1,108,285</td>
</tr>
<tr>
<td>Leasehold improvements</td>
<td>50,572</td>
<td>1,400</td>
<td></td>
<td>51,972</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>1,002,753</strong></td>
<td><strong>513,116</strong></td>
<td>(822)</td>
<td><strong>1,515,046</strong></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th>JUNE 30,2003</th>
<th>DEP. EXP</th>
<th>DISPOSALS</th>
<th>JUNE 30,2004</th>
</tr>
</thead>
<tbody>
<tr>
<td>Furniture and equipment</td>
<td>114,008</td>
<td>59,240</td>
<td></td>
<td>173,248</td>
</tr>
<tr>
<td>Computer Equipment</td>
<td>395,694</td>
<td>179,238</td>
<td></td>
<td>574,932</td>
</tr>
<tr>
<td>Leasehold Improvements</td>
<td>9,857</td>
<td>10,260</td>
<td></td>
<td>20,117</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td><strong>519,559</strong></td>
<td><strong>248,738</strong></td>
<td></td>
<td><strong>768,298</strong></td>
</tr>
</tbody>
</table>
James M. Anderson  
*American Lung Association Secretary*  
Municipal Capital Markets Group, Inc.  
7887 East Bellevue, 11th Floor  
Englewood, CO 80111

Don Awerkamp, Ph.D., J.D.  
Raven & Awerkamp, P.C  
313 Convent Avenue  
Tucson, AZ 85701-3017

Lee A. Baggott, MD, FACP, FCCP  
Easy Breathing Clinic  
P.O. Box 306  
Manchester, ME 04351-0306

Robert E. Bates, Jr.  
6970 Kim Lane  
Friendship, MD 20758

Don Brunson  
6819 Kings Harbor Drive  
Rancho Palos Verdes, CA 90275

Pat Callard R.N., M.S.N.  
24105 Palomino Drive  
Diamond Bar, CA 91765

Ellen Cepetelli, MS, RNC  
2975 Route 44  
Brownsville, VT 05037

Kathleen L. Fackler  
*CLAS President*  
Chief Executive Officer  
American Lung Association of Pennsylvania  
3001 Old Gettysburg Road  
Camp Hill, PA 17011

H. James Gooden  
405 York Street  
Ladson, SC 29456-3287

Robert A. Green, M.D.  
*American Lung Association Vice-Chair*  
2125 Nature Cove #306  
Ann Arbor, MI 48104

Charles A. Heinrich  
*American Lung Association Chair*  
4008-168th Avenue NE  
Redmond, WA 98052-5477

Bruce A. Herring  
1208 Rippey Street  
El Cajon, CA 92020-1742

Terrence L. Johnston  
154 E. Court Street  
Kankakee, IL 60901

William Kowalewski  
*American Lung Association Treasurer*  
Associate Executive Director  
North Shore University Hospital  
300 Community Drive  
Manhasset, NY 11030

Ron Mestre  
Bi-Rite Markets, Inc.  
200 Crampton Street  
Reno, NV 89502

Pablo M. Mila  
President  
Mila Promotions, Inc.  
20412 N.E. 16th Place  
Miami, FL 33179-2704

Leslie M. Newman  
127 North Main Street  
P.O. Box 43  
Henderson, KY 42419-0043

Linda H. Newton  
Vice President, Community Affairs  
Blue Cross & Blue Shield of Rhode Island  
444 Westminster Street  
Providence, RI 02903

Bill J. Pfeifer  
*CLAS President-Elect*  
President and CEO  
American Lung Association of Arizona/New Mexico, Inc.  
102 West McDowell Road  
Phoenix, AZ 85003-1299
Sharon I.S. Rounds, M.D.  
**ATS President**  
Professor of Medicine and of Pathology & Laboratory Medicine  
Brown Medical School  
Chief, Pulmonary/Critical Care  
Providence VA Medical Center  
830 Chalkstone Avenue  
Providence, RI 02908

Dean Schraufnagel, M.D.  
Professor, Department of Medicine  
University of Illinois at Chicago  
Respiratory and Critical Care Medicine, M/C 719  
840 South Wood Street  
Chicago, IL 60612-7323

John F. "Jack" Sutter  
**American Lung Association Chair-Elect**  
2401 Fountainview, Suite 1010  
Houston, TX 77057-4818

Eneida Torres de Durand, Ph.D., PPL  
1603 Caballero Street Urb. Caribe  
San Juan, PR 00927

William M. Voigt  
**American Lung Association Past-Chair**  
128 Glenview Drive  
Birmingham, AL 35213

Peter D. Wagner, M.D.  
**ATS President-Elect**  
Department of Medicine  
University of California/San Diego  
9500 Gilman Drive, #0623A  
La Jolla, CA 92037-0623

Terri E. Weaver, Ph.D., RN, CS, FAAN  
260 Ravenscliff Road  
St. Davids, PA 19087
American Lung Association®
Council
2004-05

Alan V. Abramson, Ph.D.
Senior Vice President, Information Technology and CIO
HealthPartners
8100 34th Avenue South
P.O. Box 1309
Mail Stop 21110A
Minneapolis, MN 55440-1309

Ruben E. Alvarez
Partner
The Molera Alvarez Group
300 W. Claredon, Suite 220
Phoenix, AZ 85013

James M. Anderson
American Lung Association Secretary
Municipal Capital Markets Group, Inc.
7887 East Belleview, 11th Floor
Englewood, CO 80111

Kathryn J. Anderson
11500 Discovery Heights Circle
Anchorage, AK 99515

Don Awerkamp, Ph.D., J.D.
Raven & Awerkamp, P.C
313 Convent Avenue
Tucson, AZ 85701-3017

Lee A. Baggott, MD, FACP, FCCP
Easy Breathing Clinic
P.O. Box 306
Manchester, ME 04351-0306

Francis (Frank) L. Barkofskie, AB, JD
5 Lenox Place
St. Louis, MO 63108-1901

Robert E. Bates, Jr.
6970 Kim Lane
Friendship, MD 20758

Curtis E. Bauman, CLU
8310 NW Forest Drive
Weatherby Lake, MO 64152

Irwin Martin Berlin, M.D., FCCP
Chief, Pulmonary and Critical Care Medicine
Elmhurst Hospital Center
7901 Broadway
Elmhurst, NY 11373

Roslyn Bienenson, RRT, MPH
967 Mears Court
Stanford, CA 94305

James Brandt, Ph.D.
6611 25th Avenue, N.W.
Mnrt, ND 58703

Alfred Lynne Brannen, II, M.D. MBA
907 Nennum Trail
Evans, GA 30809

Lewis A. Brown, M.D.
Southwest Asthma & Allergy Associates
15400 Southwest Freeway #125
Sugar Land, TX 77478

Don Brunson
6819 Kings Harbor Drive
Rancho Palos Verdes, CA 90275

Christine L. Bryant
Vice President, Sales Manager
North Island Credit Union
2300 Boswell Road
Chula Vista, CA 91914

Pat Callard, R.N., M.S.N.
24105 Palomino Drive
Diamond Bar, CA 91765

Ellen Ceppetelli, MS, RNC
2975 Route 44
Brownsville, VT 05037

Arthur A. Cerullo, J.D.
Drummond & Drummond
One Monument Way
Portland, ME 04101
Hugh W. Church, BS, MA, CCM  
10009 Barrinson NE  
Albuquerque, NM 87111-5889

Ivonne L. Class  
President  
S.J. Family Entertainment Group, Inc  
Garden Hills Plaza PMB 359  
Carr.19  
Guaynabo, PR 00966-2706

John M. Cloud, J.D.  
Rogers & Greenberg LLP  
2160 Kettering Tower  
Dayton, OH 45423

John A. Coefield  
Environmental Program Manager  
Montana Department of Environmental Quality  
1165 Erickson Road  
Helena, MT 59602

Wanda G. Colburn  
Senior Vice President  
Atlantic Trust Company  
1700 Lincoln Street, Suite 2550  
Denver, CO 80203-4502

Sindo Delgado, MA, RRT  
2081 Beacon Manor Drive #1  
Ft. Meyers, FL 33907

Leonard L. Desautelle  
20 Mount Vernon Street  
Boston, MA 02108-1200

James W. Ehrenstrom  
9926 N. Valley Hill Drive  
Mequon, WI 53092

Larry Epps, M.L.M.  
5649 Reynard Trail  
Lithonia, GA 30038

Kathleen L. Fackler  
CLAS President  
Chief Executive Officer  
American Lung Association of Pennsylvania  
3001 Old Gettysburg Road  
Camp Hill, PA 17011

Susan L. Folden, ARNP, Ph.D., CS  
800 NE 39th St.  
Boca Raton, FL 33431

Bruce K. Galbraith  
Vice President, Marketing  
R.C. Willey Home Furnishings  
1597 East Chapel Oaks Circle  
Sandy, UT 84093

Michael A. Gardner  
331 Bonita Avenue  
Piedmont, CA 94611

Michael G. Genovesi, M.D., MBA  
Grove Hill Medical Center  
300 Kensington Avenue  
New Britain, CT 06051-3999

H. James Gooden  
405 York Street  
Ladson, SC 29456-3287

Karen E. Gottovi  
609 Leonidas Court  
Raleigh, NC 27604

Robert A. Green, M.D.  
American Lung Association Vice Chair  
2125 Nature Cove #306  
Ann Arbor, MI 48104

Ed E. Harrison  
Marketing Director  
Artech Design Group  
P.O. Box 5831  
Chattanooga, TN 37406

Charles A. Heinrich  
American Lung Association Chair  
4008-168th Avenue NE  
Redmond, WA 98052-5477

A. Leon Helms  
14615 Chambery Drive  
Little Rock, AR 72211-5586

Bruce G. Herold, Esq.  
1556 Homestead Avenue  
Walnut Creek, CA 94598-1336

Bruce A. Herring  
1208 Rippey Street  
El Cajon, CA 92020-1742

Norman P. Hetrick, Esq.  
4380 Saint Andrews Way  
Harrisburg, PA 17112-1578
Charles F. Huber
5610 Pheasant Run Lane
Lincoln, NE 68516

Michael Iannuzzi, M.D.
Division Chief Pulmonary & Critical Care Medicine
Mount Sinai Medical Center
One Gustave L. Levy Place, Box 1232
New York, NY 10029

Leslie S. Jacobson, Ph.D.
200 Central Park South
New York, NY 10019

Foster M. "Duke" Johns, III
Medical Specialties, Inc.
676 Time Saver Avenue
New Orleans, LA 70123

Terrence L. Johnston
154 E. Court Street
Kankakee, IL 60901

Charles F. Kegley, Ph.D
1320 Lake Roger Drive
Kent, OH 44240

Malcolm T. Koga
Koga Engineering & Construction, Inc.
P.O. Box 31289
Honolulu, HI 96820

Arthur Kotch, M.D.
57 Seventy Acre Road
West Redding, CT 06896

William Kowalewski
American Lung Association Treasurer
Associate Executive Director
North Shore University Hospital
300 Community Drive
Manhasset, NY 11030

Robert Leek
Sentara Williamsburg Community Hospital
301 Monticello Avenue
Williamsburg, VA 23185-2833

Michael V. Luken
156 S. Lake Drive
P.O. Box 374
Watertown, SD 57201

Singleton B. McAllister
427 Walker Road
Great Falls, VA 22066-3618

D. Robert McCaffree, MD, MSHA
Professor of Medicine/Chief of Staff
VA Medical Center/University of Oklahoma College of Medicine
921 NE 13th Street
Oklahoma, OK 73104

Bennie C. McWilliams, MD
Austin Children's Chest Association
3305 Northland Drive, Suite 512
Austin, TX 78731

Ron Mestre
Bi-Rite Markets, Inc.
200 Crampton Street
Reno, NV 89502

Pablo M. Mila
President
Mila Promotions, Inc
20412 N.E. 16th Place
Miami, FL 33179-2704

Claire P. Monier
Executive Director
New Hampshire Housing Finance Authority
P.O. Box 5087
Manchester, NH 03108

Evelyn Montalvo, MD
Goryeb Children's Hospital -Respiratory Center for Children
100 Madison Avenue
Morristown, NJ 07962

Betty Mary Nelson
5130 SW 2nd Place
Cape Coral, FL 33914-7116

Leslie M. Newman
127 North Main Street
P.O. Box 43
Henderson, KY 42419-0043

Linda H. Newton
Vice-President, Community Affairs
Blue Cross & Blue Shield of Rhode Island
444 Westminster Street
Providence, RI 02903
Andrew A. Nixon, III
503 Sadler Way
Franklin, TN 37069

Stephen J. Nolan
President, Stephen J. Nolan, Chartered
210 West Pennsylvania Avenue, Suite 350
Baltimore, MD 21204-5325

Stephen R. O'Kane
Children's Hospital & Health Center
3020 Children's Way
San Diego, CA 92123-4282

Gaynell Park
Dumbell Ranch - Hwy 220
Alcova, WY 82620

W. Anthony Park
706 Warm Springs Avenue
Boise, ID 83712

Charles J. Parkman, M.D.
Hattiesburg Clinic PA
415 South 28th Avenue
Hattiesburg, MS 39401

Mary H. Partridge
President, Impact Consulting
202 Morning Cloud Drive
Austin, TX 78734

Harry Perlstadt, Ph.D., M.P.H.
Michigan State University
316 Berkeley Hall - Sociology
East Lansing, MI 48824-1111

Bill J. Pfeifer
CLAS President-Elect
President and CEO
American Lung Association of Arizona/New Mexico, Inc.
102 West McDowell Road
Phoenix, AZ 85003-1299

Harry F. Redlick
2280 Rosewood Drive
San Bruno, CA 94066

Albert A. Rizzo, MD
Pulmonary Associates
7 Wineberry Drive
Hockessin, DE 19707-2124

Linda G. Roehner
Manager of Workers' Compensation & Disability Programs
National Fuel Gas
Human Resources Department
6363 Main Street
Williamsville, NY 14221

Jonathon K. Rosen
President, Impact Communications
8-C Putnam Green
Greenwich, CT 06830-6037

Sharon I.S. Rounds, MD
ATS President
Professor of Medicine and of Pathology & Laboratory Medicine
Brown Medical School
Chief, Pulmonary/Critical Care
Providence VA Medical Center
830 Chalkstone Avenue
Providence, RI 02908

Alan Rowe
2650 26th Avenue
Marron, IA 53202

John A. Rutkowski
Director of Clinical Education/Asst. Professor
University of Medicine & Dentistry of New Jersey
290 Carentan Road
Hopatcong, NJ 07843

E. Neil Schachter, M.D.
Pulmonary & Critical Care
Mount Sinai School of Medicine
1 Gustave L. Levy Place Box 1232
New York, NY 10029

Karen S. Schell, BSRT, RRT, RPFT, RPSGT
2612 Lincoln Street
Emporia, KS 66901

Dean Schraufnagel, M.D.
Professor, Department of Medicine
University of Illinois at Chicago
Respiratory and Critical Care Medicine M/C 719
840 South Wood St.
Chicago, IL 60612-7323

Lewis J. Smith, M.D.
Professor of Medicine & Associate Vice President of Research
Northwestern University
710 N. Lake Shore Drive
Chicago, IL 60611
Linda Smith, RN, MSN, Ed.D.
226 Forest Court
Edwardsville, IL 62025

Gwendolyn Young
President, Young Communications Group
672 S. La Fayette Park Place, Suite 29
Los Angeles, CA 90057

Thomas C. Spain, III, RRT, CFE
Manager, Home Health Care & Technical Support
Blue Cross Blue Shield of Nebraska
3234 North 157th Street
Omaha, NE 68116-2069

F. Ronald "Ron" Stanton
313 Versailles Drive
Cary, NC 27511

John F. "Jack" Sutter
American Lung Association Chair-Elect
2401 Fountainview, Suite 1010
Houston, TX 77057-4818

Eneida Torres de Durand, Ph.D., PPL
1603 Calvalieri Street Urb. Caribe
San Juan, PR 00927

Robert G. Tweel, J.D.
Jackson Kelly PLLC
P.O. Box 553
Charleston, WV 25322

Homer L. Twigg, III, M.D.
Associate Professor of Medicine
Chief, Division of Pulmonary, Allergy, Critical Care &
Occupational Medicine
Indiana University School of Medicine
Richard L. Roudebush VA Medical Center
1481 West 10th Street, 111P
Indianapolis, IN 46202

William M. Voigt
American Lung Association Past Chair
128 Glenview Drive
Birmingham, AL 35213

Peter D. Wagner, MD
ATS President-Elect
Department of Medicine
University of California/San Diego
9500 Gilman Drive, #0623A
La Jolla, CA 92037-0623

Terri E. Weaver, Ph.D., RN, CS, FAAN
260 Ravenschiff Road
St. Davids, PA 19087
Form 990
American Lung Association
July 1, 2003–June 30, 2004

July 2003
Meetings with selected Senators and House and Senate staff on tobacco control issues.
Meetings with selected House and Senate staff on funding for biomedical research.
Meetings with selected Senate and House staff on issues related to asthma
Meetings with selected House and Senate staff on clean air issues.
Correspondence sent to selected members of the House and Senate on tobacco control issues
Correspondence sent to selected members of the House and Senate on air pollution issues.
Communications sent to advocates on clean air issues
Testimony before the U.S. House of Representatives Committee on Agriculture on tobacco issues

August 2003
Meetings with selected Senators and House and Senate staff on tobacco control issues.
Meetings with selected House and Senate staff on funding for biomedical research
Meetings with selected House and Senate staff on clean air issues
Communications sent to advocates on research funding issues

September 2003
Meetings with selected Senators and House and Senate staff on tobacco control issues
Meetings with selected House and Senate staff on funding for biomedical research.
Meetings with selected House and Senate staff on tobacco control issues
Meetings with selected House and Senate staff on clean air issues
Meetings with selected Senate and House staff on issues related to asthma
Meetings with selected Senate and House staff on tuberculosis control.
Correspondence sent to selected members of the House and Senate on tobacco control issues.
Correspondence sent to selected members of the House and Senate on air pollution issues
Communications sent to advocates on clean air issues

October 2003
Meetings with House and Senate staff on clean air issues
Meetings with selected House and Senate staff on tobacco control issues
Meetings with selected Senate and House staff on tuberculosis control.
Correspondence sent to selected members of the House and Senate on funding for biomedical research
Correspondence sent to selected members of the House and Senate on funding for environmental protection
Communications sent to advocates on clean air issues

November 2003
Meetings with selected House and Senate staff on funding for biomedical research.
Meetings with selected House and Senate staff on tobacco control issues
Correspondence sent to selected member of the House and Senate on tuberculosis
Correspondence sent to selected Senators on clean air

December 2003
Meetings with selected House and Senate staff on tobacco control issues
Meetings with selected House and Senate staff on clean air issues
January 2004
Meetings with selected House and Senate staff on funding for biomedical research
Meetings with selected Members and Senators and House and Senate staff on clean air issues.
Meetings with selected House and Senate staff on tobacco control issues.
Meetings with selected Senate and House staff on issues related to asthma
Meetings with selected Senate and House staff on tuberculosis control
Correspondence sent to selected members of the House and Senate on tobacco control issues.
Correspondence sent to selected members of the House and Senate on air pollution issues.
Correspondence sent to selected members of the House and Senate on funding for biomedical research
Correspondence sent to selected members of the House and Senate on asthma issues
Communications sent to advocates on tobacco issues

February 2004
Meetings with selected Senate and House staff on issues related to asthma.
Meetings with selected House and Senate staff on tuberculosis control.
Meetings with selected House and Senate staff on funding for biomedical research
Meetings with selected House and Senate staff on health care issues.
Meetings with selected House and Senate staff on clean air issues.
Meetings with selected House and Senate staff on tobacco control issues.
Correspondence sent to selected members of the House and Senate on tobacco control issues.
Correspondence sent to selected members of the House and Senate on tuberculosis issues.
Correspondence sent to selected members of the House and Senate on air pollution issues
Communications sent to advocates on clean air issues
Communications sent to advocates on tobacco issues

March 2004
Meetings with selected House and Senate staff on tuberculosis control.
Meetings with selected House and Senate staff on funding for biomedical research
Meetings with selected House and Senate staff on health care issues.
Meetings with selected Senators and House and Senate staff on tobacco control issues
Meetings with selected House and Senate staff on clean air issues
Meetings with selected House and Senate staff on asthma
Testimony before Committee on Appropriations Subcommittee on Veterans, Housing and Urban
Development and Independent Agencies on clean air and veterans health issues
Correspondence sent to selected members of the House and Senate on funding for biomedical research.
Communications sent to advocates on tobacco issues
Communications sent to advocates on clean air issues
Communications sent to advocates on tuberculosis issues

April 2004
Meetings with selected Senators and House and Senate staff on tobacco control issues.
Meetings with selected Senate staff on charitable tax issues
Meetings with selected House and Senate staff on funding for biomedical research.
Meetings with selected House and Senate staff on clean air issues.
Meetings with selected House and Senate staff on tobacco control issues
Meetings with selected House and Senate staff on asthma.
Meetings with selected Senate and House staff on tuberculosis control
Testimony before House Appropriations Committee on research and environment funding
Correspondence sent to selected members of the House and Senate on tobacco control issues.
Correspondence sent to selected members of the House and Senate on air pollution issues.
Communications sent to advocates on clean air issues

May 2004
Meetings with selected Senators and House and Senate staff on tobacco control issues
Meetings with selected Senate staff and House members and staff on charitable tax issues.
Meetings with House and Senate staff on funding for biomedical research
Meetings with selected House and Senate staff on clean air issues
Meetings with selected House and Senate staff on asthma.
Meetings with selected House and Senate staff on tobacco control issues
Meetings with selected Senate and House staff on tuberculosis control.
Correspondence sent to selected members of the House and Senate on funding for biomedical research
Correspondence sent to selected members of the House and Senate on tobacco control issues.
Correspondence sent to selected members of the House on charitable tax issues
Communications sent to advocates on tobacco issues

June 2004
Meetings with selected Senate staff and House members and staff on charitable tax issues.
Meetings with selected House and Senate staff on funding for biomedical research.
Meetings with selected House and Senate staff on clean air issues.
Meetings with selected House and Senate staff on tobacco control issues
Meetings with selected Senate and House staff on issues related to asthma
Meetings with selected Senate and House staff on tuberculosis control
Correspondence sent to selected members of the House on charitable tax issues
Correspondence sent to selected members of the House and Senate on tobacco control issues.
Correspondence sent to selected members of the House and Senate on air pollution issues.
Correspondence sent to selected members of the House and Senate on funding for biomedical research
application for extension of time to file an exempt organization return

form 8868 (december 2000)

department of the treasury
internal revenue service

application for extension of time to file an exempt organization return

- if you are filing for an automatic 3-month extension, complete only part i and check this box
- if you are filing for an additional (not automatic) 3-month extension, complete only part ii (on page 2 of this form).

note: do not complete part ii unless you have already been granted an automatic 3-month extension on a previously filed form 8868.

part i

automatic 3-month extension of time - only submit original (no copies needed)

note: form 990-t corporations requesting an automatic 6-month extension - check this box and complete part i only

all other corporations (including form 990-c filers) must use form 7004 to request an extension of time to file income tax returns. partnerships, remics and trusts must use form 8736 to request an extension of time to file form 1065, 1066, or 1041

<table>
<thead>
<tr>
<th>type or print</th>
<th>name of exempt organization</th>
<th>employer identification number</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>american lung association</td>
<td>13-1632524</td>
</tr>
<tr>
<td></td>
<td>number, street, and room or suite no. if a p.o. box, see instructions</td>
<td>61 broadway</td>
</tr>
<tr>
<td></td>
<td>city, town or post office, state, and zip code. for a foreign address, see instructions</td>
<td>new york, ny 10006</td>
</tr>
</tbody>
</table>

check type of return to be filed (file a separate application for each return)

x form 990

- if the organization does not have an office or place of business in the united states, check this box
- if this is for a group return, enter the organization's four-digit group exemption number (gen) __________. if this is for the whole group, check this box and attach a list with the names and eins of all members the extension will cover.

1 i request an automatic 3-month (6-month, for 990-t corporation) extension of time until february 15, 2005.

- to file the exempt organization return for the organization named above. the extension is for the organization's return for:

  - calendar year __________ or
  - tax year beginning jul 1, 2003 __________, and ending jun 30, 2004 __________.

2 if this tax year is for less than 12 months, check reason: initial return final return change in accounting period

3a if this application is for form 990-bl, 990-pf, 990-t, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. see instructions

$ __________

b if this application is for form 990-pf or 990-t, enter any refundable credits and estimated tax payments made. include any prior year overpayment allowed as a credit

$ __________

c balance due. subtract line 3b from line 3a. include your payment with this form, or, if required, deposit with ftd coupon or, if required, by using eftps (electronic federal tax payment system). see instructions

$ na

signature and verification

under penalties of perjury, i declare that i have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that i am authorized to prepare this form

signature __________ title __________ date __________

lha for paperwork reduction act notice, see instruction

form 8868 (12-2000)

09261229 788832 10115 2003.07000 american lung association 10115_1