

# Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

**2002**

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

The organization may have to use a copy of this return to satisfy state reporting requirements

**A** For the 2002 calendar year, or tax year beginning 7/1/2002 and ending 6/30/2003

- B** Check if applicable
- Address change
  - Name change
  - Initial return
  - Final return
  - Amended return
  - Application pending

**C** Name of organization  
**Humane Animal Welfare Society of Waukesha County, Inc**

**D** Employer identification number  
**39-6108644**

**E** Telephone number  
**(262) 542-8851**

**F** Accounting method  Cash  Accrual  
 Other (specify) \_\_\_\_\_

**Number and street (or P O box if mail is not delivered to street address)** **Room/suite**  
**P O Box 834**

**City or town** **State or country** **ZIP + 4**  
**Waukesha** **WI** **53187**

• Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ)

- H and I are not applicable to section 527 organizations
- H(a)** Is this a group return for affiliates?  Yes  No
- H(b)** If "Yes" enter number of affiliates \_\_\_\_\_
- H(c)** Are all affiliates included?  Yes  No  
(If "No" attach a list. See instructions.)
- H(d)** Is this a separate return filed by an organization covered by a group ruling?  Yes  No

**G** Web site \_\_\_\_\_

**J** ORGANIZATION TYPE (check only one)  501(c) ( 3 ) (insert no )  4947(a)(1) OR  527

**K** Check here  if the organization's gross receipts are normally not more than \$25,000. The organization need not file a return with the IRS, but if the organization received a Form 990 Package in the mail, it should file a return without financial data. SOME STATES REQUIRE A COMPLETE RETURN.

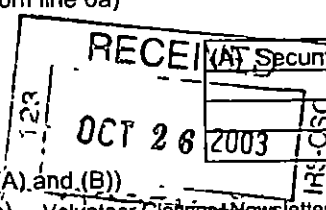
**I** Enter 4-digit GEN \_\_\_\_\_

**M** Check  if the organization is NOT required to attach Sch B (Form 990, 990-EZ, or 990-PF)

**L** Gross receipts. Add lines 6b, 8b, 9b, and 10b to line 12. **1,899,505**

**Part II Revenue, Expenses, and Changes in Net Assets or Fund Balances** (See page 17 of the instructions)

Line	Description	Amount
<b>1</b>	Contributions, gifts, grants, and similar amounts received	
<b>a</b>	Direct public support	
<b>b</b>	Indirect public support	
<b>c</b>	Government contributions (grants)	
<b>d</b>	TOTAL (add lines 1a through 1c) (cash \$ _____ noncash \$ _____)	<b>1,278,635</b>
<b>2</b>	Program service revenue including government fees and contracts (from Part VII, line 93)	<b>349,578</b>
<b>3</b>	Membership dues and assessments	<b>12,560</b>
<b>4</b>	Interest on savings and temporary cash investments	<b>48,958</b>
<b>5</b>	Dividends and interest from securities	
<b>6 a</b>	Gross rents	<b>100</b>
<b>b</b>	Less rental expenses	
<b>c</b>	Net rental income or (loss) (subtract line 6b from line 6a)	<b>100</b>
<b>7</b>	Other investment income (describe _____)	
<b>8 a</b>	Gross amount from sales of assets other than inventory	
<b>b</b>	Less cost or other basis and sales expenses	
<b>c</b>	Gain or (loss) (attach schedule)	
<b>d</b>	Net gain or (loss) (combine line 8c, columns (A) and (B))	<b>0</b>
<b>9</b>	Special events and activities (attach schedule) <b>Volunteer Classes + Newsletter Fund Appeal Profit Program</b>	
<b>a</b>	Gross revenue (not including \$ _____ of contributions reported on line 1a)	<b>209,674</b>
<b>b</b>	Less direct expenses other than fundraising expenses	<b>81,930</b>
<b>c</b>	Net income or (loss) from special events (subtract line 9b from line 9a)	<b>127,744</b>
<b>10 a</b>	Gross sales of inventory, less returns and allowances	
<b>b</b>	Less cost of goods sold	
<b>c</b>	Gross profit or (loss) from sales of inventory (attach schedule) (subtract line 10b from line 10a)	<b>0</b>
<b>11</b>	Other revenue (from Part VII, line 103)	
<b>12</b>	TOTAL REVENUE (add lines 1d, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11)	<b>1,817,575</b>
<b>13</b>	Program services (from line 44, column (B))	<b>812,633</b>
<b>14</b>	Management and general (from line 44, column (C))	<b>70,666</b>
<b>15</b>	Fundraising (from line 44, column (D))	<b>0</b>
<b>16</b>	Payments to affiliates (attach schedule)	
<b>17</b>	TOTAL EXPENSES (add lines 16 and 44, column (A))	<b>883,299</b>
<b>18</b>	Excess or (deficit) for the year (subtract line 17 from line 12)	<b>934,276</b>
<b>19</b>	Net assets or fund balances at beginning of year (from line 73, column (A))	<b>2,750,930</b>
<b>20</b>	Other changes in net assets or fund balances (attach explanation)	
<b>21</b>	Net assets or fund balances at end of year (combine lines 18, 19, and 20)	<b>3,685,206</b>



SCANNED OCT 28 2003 Revenue

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**Part II**

**Statement of Functional Expenses**

All organizations must complete column (A) Columns (B) (C) and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others (See page 21 of the instructions)

Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I		(A) Total	(B) Program services	(C) Management and general	(D) Fundraising
22	Grants and allocations (attach schedule) (cash \$ _____ noncash \$ _____)	0			
23	Specific assistance to individuals (attach schedule)	0			
24	Benefits paid to or for members (attach schedule)	0			
25	Compensation of officers, directors, etc	76,500	69,615	6,885	
26	Other salaries and wages	458,555	417,285	41,270	
27	Pension plan contributions	5,282	4,929	353	
28	Other employee benefits	71,869	65,401	6,468	
29	Payroll taxes	43,109	39,229	3,880	
30	Professional fundraising fees	0			
31	Accounting fees	0			
32	Legal fees	0			
33	Supplies	36,237	35,220	1,017	
34	Telephone	4,826	4,343	483	
35	Postage and shipping	4,629	4,166	463	
36	Occupancy	39,774	35,797	3,977	
37	Equipment rental and maintenance	18,104	18,104		
38	Printing and publications	2,160	2,160		
39	Travel	3,197	3,197		
40	Conferences, conventions, and meetings	1,616	1,616		
41	Interest	0			
42	Depreciation, depletion, etc (attach schedule)	47,999	43,199	4,800	
43	Other expenses not covered above (itemize) <b>a</b> Medical	24,350	24,350		
	<b>b</b> Insurance	10,695	9,625	1,070	
	<b>c</b> Microchips	7,208	7,208		
	<b>d</b> Cartage	329	329		
	<b>e</b> Advertising	8,770	8,770		
	<b>f</b> See attached schedule	18,090	18,090		
44	<b>TOTAL FUNCTIONAL EXPENSES</b> (add lines 22 through 43) ORGANIZATIONS COMPLETING COLUMNS (B)-(D) CARRY THESE TOTALS TO LINES 13-15	883,299	812,633	70,666	0

JOINT COSTS Check  if you are following SOP 98-2

Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services?  Yes  No  
 If "Yes," enter (i) the aggregate amount of these joint costs \$ \_\_\_\_\_, (ii) the amount allocated to Program services \$ \_\_\_\_\_,  
 (iii) the amount allocated to Management and general \$ \_\_\_\_\_, and (iv) the amount allocated to Fundraising \$ \_\_\_\_\_

**Part III**

**Statement of Program Service Accomplishments** (See page 24 of the instructions)

What is the organization's primary exempt purpose? **Prevent cruelty to animals & a location to drop off unwanted animals**

All organizations must describe their exempt purpose achievements in a clear and concise manner. State the number of clients served, publications issued, etc. Discuss achievements that are not measurable (Section 501(c)(3) and (4) organizations and 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants and allocations to others.)

**Program Service Expenses**  
 Required for 501(c)(3) and (4) orgs and 4947(a)(1) trusts but optional for others

<b>a</b>	The prevention of cruelty to animals - i.e. relative to the collection of unwanted stray animals for the purpose or intent of placement of said animals in suitable homes	(Grants and allocations \$ _____)	812,633
<b>b</b>		(Grants and allocations \$ _____)	
<b>c</b>		(Grants and allocations \$ _____)	
<b>d</b>		(Grants and allocations \$ _____)	
<b>e</b>	Other program services (attach schedule)	(Grants and allocations \$ _____)	
<b>f</b>	<b>TOTAL OF PROGRAM SERVICE EXPENSES</b> (should equal line 44, column (B), Program services)		812,633

**Part IV** Balance Sheets (See page 24 of the instructions)

Note		Where required, attached schedules and amounts within the description column should be for end-of-year amounts only		(A)		(B)	
				Beginning of year		End of year	
Assets	45	Cash - non-interest-bearing		8,267	45	25,807	
	46	Savings and temporary cash investments		1,910,537	46	2,940,613	
	47 a	47a	Accounts receivable	0	/	3,025	
	b	47b	Less allowance for doubtful accounts	0			
				11,614	47c		
	48 a	48a	Pledges receivable	0	/	0	
	b	48b	Less allowance for doubtful accounts	0			
				0	48c		
	49	Grants receivable			49		
	50	Receivables from officers, directors, trustees, and key employees (attach schedule)		0	50	0	
	51 a	51a	Other notes and loans receivable (attach schedule)	0	/	0	
	b	51b	Less allowance for doubtful accounts	0			
				0	51c		
	52	Inventories for sale or use			52		
	53	Prepaid expenses and deferred charges		7,597	53	7,505	
	54	Investments - securities (attach schedule) <input type="checkbox"/> Cost <input type="checkbox"/> FMV		0	54	0	
	55 a	55a	Investments - land, buildings, and equipment basis	0	/	0	
	b	55b	Less accumulated depreciation (attach schedule)	0			
				0	55c		
56	Investments - other (attach schedule)			56	0		
57 a	57a	Land, buildings, and equipment basis	1,388,919	/	769,835		
b	57b	Less accumulated depreciation (attach schedule)	619,084				
			815,634	57c			
58	Other assets (describe <input type="checkbox"/> Accrued interest receivable )		14,096	58	10,787		
59	TOTAL ASSETS (add lines 45 through 58) (must equal line 74)		2,767,745	59	3,757,572		
Liabilities	60	Accounts payable and accrued expenses		16,015	60	71,666	
	61	Grants payable			61		
	62	Deferred revenue			62		
	63	Loans from officers, directors, trustees, and key employees (attach schedule)		0	63	0	
	64 a	Tax-exempt bond liabilities (attach schedule)		0	64a	0	
	b	Mortgages and other notes payable (attach schedule)		0	64b	0	
	65	Other liabilities (describe <input type="checkbox"/> Deposits )		800	65	700	
66	TOTAL LIABILITIES (add lines 60 through 65)		16,815	66	72,366		
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 67 through 69 and lines 73 and 74			/	3,022,361		
	67	Unrestricted				2,750,930	67
	68	Temporarily restricted					68
	69	Permanently restricted			69		
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 70 through 74			/			
	70	Capital stock, trust principal, or current funds					70
	71	Paid-in or capital surplus, or land, building, and equipment fund					71
	72	Retained earnings, endowment, accumulated income, or other funds					72
73	TOTAL NET ASSETS OR FUND BALANCES (add lines 67 through 69 OR lines 70 through 72, column (A) MUST equal line 19, column (B) MUST equal line 21)		2,750,930	73	3,685,206		
74	TOTAL LIABILITIES AND NET ASSETS / FUND BALANCES (add lines 66 and 73)		2,767,745	74	3,757,572		

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.

**Part IV-A** Reconciliation of Revenue per Audited Financial Statements with Revenue per Return (See page 26 of the instructions)

a	Total revenue, gains, and other support per audited financial statements	a	1,824,201
b	Amounts included on line a but not on line 12, Form 990		
(1)	Net unrealized gains on investments		
	\$		
(2)	Donated services and use of facilities		6,626
	\$		
(3)	Recoveries of prior year grants		
	\$		
(4)	Other (specify)		
	\$		
	Add amounts on lines (1) through (4)	b	6,626
c	Line a minus line b	c	1,817,575
d	Amounts included on line 12, Form 990 but not on line a		
(1)	Investment expenses not included on line 6b, Form 990		
	\$		
(2)	Other (specify)		
	\$		
	Add amounts on lines (1) and (2)	d	0
e	Total revenue per line 12, Form 990 (line c plus line d)	e	1,817,575

**Part IV-B** Reconciliation of Expenses per Audited Financial Statements with Expenses per Return

a	Total expenses and losses per audited financial statements	a	889,925
b	Amounts included on line a but not on line 17, Form 990		
(1)	Donated services and use of facilities		6,626
	\$		
(2)	Prior year adjustments reported on line 20, Form 990		
	\$		
(3)	Losses reported on line 20, Form 990		
	\$		
(4)	Other (specify)		
	\$		
	Add amounts on lines (1) through (4)	b	6,626
c	Line a minus line b	c	883,299
d	Amounts included on line 17, Form 990 but not on line a		
(1)	Investment expenses not included on line 6b, Form 990		
	\$		
(2)	Other (specify)		
	\$		
	Add amounts on lines (1) and (2)	d	0
e	Total expenses per line 17, Form 990 (line c plus line d)	e	883,299

**Part V** List of Officers, Directors, Trustees, and Key Employees (List each one even if not compensated, see page 26 of the instructions)

(A) Name and address	(B) Title and average hours per week devoted to position	(C) Compensation (IF NOT PAID, ENTER -0- )	(D) Contributions to employee benefit plans & deferred compensation	(E) Expense account and other allowances
Jay Blankenship 701 Northview Rd, Waukesha, WI 53188	Executive Director (40 hrs)	76,500	353	0
Board of Directors	See attached	0	0	0

75 Did any officer, director, trustee, or key employee receive aggregate compensation of more than \$100,000 from your organization and all related organizations, of which more than \$10,000 was provided by the related organizations?  Yes  No  
If "Yes," attach schedule-see page 26 of the instructions

Part VI Other Information (See page 27 of the instructions)		Yes	No
76	Did the organization engage in any activity not previously reported to the IRS? If "Yes" attach a detailed description of each activity	76	X
77	Were any changes made in the organizing or governing documents but not reported to the IRS? If "Yes," attach a conformed copy of the changes	77	X
78 a	Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?	78a	X
b	If "Yes," has it filed a tax return on FORM 990-T for this year?	N/A 78b	
79	Was there a liquidation, dissolution, termination, or substantial contraction during the year? If "Yes" attach a statement	79	X
80 a	Is the organization related (other than by association with a statewide or nationwide organization) through common membership, governing bodies, trustees, officers, etc., to any other exempt or nonexempt organization?	80a	X
b	If "Yes," enter the name of the organization <input type="checkbox"/> and check whether it is <input type="checkbox"/> exempt OR <input type="checkbox"/> nonexempt		
81 a	Enter direct or indirect political expenditures See line 81 instructions	81a	
b	Did the organization file FORM 1120-POL for this year?	N/A 81b	
82 a	Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value?	82a	X
b	If "Yes," you indicate the value of these items here Do not include this amount as revenue in Part I or as an expense in Part II (See instructions in Part III)	82b Rent Unknown	
83 a	Did the organization comply with the public inspection requirements for returns and exemption applications?	83a	X
b	Did the organization comply with the disclosure requirements relating to quid pro quo contributions?	83b	X
84 a	Did the organization solicit any contributions or gifts that were not tax deductible?	84a	X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	N/A 84b	
85	501(c)(4), (5), or (6) organizations a Were substantially all dues nondeductible by members?	N/A 85a	
b	Did the organization make only in-house lobbying expenditures of \$2,000 or less? If "Yes" was answered to either 85a or 85b, DO NOT complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year	N/A 85b	
c	Dues, assessments, and similar amounts from members	85c N/A	
d	Section 162(e) lobbying and political expenditures	85d N/A	
e	Aggregate nondeductible amount of section 6033(e)(1)(A) dues notices	85e N/A	
f	Taxable amount of lobbying and political expenditures (line 85d less 85e)	85f N/A	
g	Does the organization elect to pay the section 6033(e) tax on the amount on line 85f?	N/A 85g	
h	If section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount on line 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year?	N/A 85h	
86	501(c)(7) orgs Enter a Initiation fees and capital contributions included on line 12	86a N/A	
b	Gross receipts, included on line 12, for public use of club facilities	86b N/A	
87	501(c)(12) orgs Enter a Gross income from members or shareholders	87a N/A	
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	87b N/A	
88	At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Part IX	88	X
89 a	501(c)(3) organizations Enter Amount of tax imposed on the organization during the year under section 4911 <input type="checkbox"/> , section 4912 <input type="checkbox"/> , section 4955 <input type="checkbox"/>		
b	501(c)(3) and 501(c)(4) orgs Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach a statement explaining each transaction	89b	X
c	Enter Amount of tax imposed on the organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 <input type="checkbox"/>		
d	Enter Amount of tax on line 89c, above, reimbursed by the organization <input type="checkbox"/>		
90 a	List the states with which a copy of this return is filed <input type="checkbox"/>		
b	Number of employees employed in the pay period that includes March 12, 2002 (See instructions)	90b	14
91	The books are in care of <input type="checkbox"/> Jay Blankenship Telephone no <input type="checkbox"/> (262) 542-8851 Located at <input type="checkbox"/> 701 Northview Rd, Waukesha, WI ZIP + 4 <input type="checkbox"/> 53188		
92	Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of FORM 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the tax year <input type="checkbox"/>	92	N/A

**Part VII Analysis of Income-Producing Activities** (See page 31 of the instructions )

	Unrelated business income		Excluded by section 512 513 or 514		(E) Related or exempt function income
	(A) Business code	(B) Amount	(C) Exclusion code	(D) Amount	
<b>93</b> Program service revenue					
<b>a</b> Stray and other fees					349,578
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>f</b> Medicare/Medicaid payments					
<b>g</b> Fees and contracts from government agencies					
<b>94</b> Membership dues and assessments					12,560
<b>95</b> Interest on savings and temporary cash investments			14	48,958	
<b>96</b> Dividends and interest from securities					
<b>97</b> Net rental income or (loss) from real estate					
<b>a</b> debt-financed property					
<b>b</b> not debt-financed property			14	100	
<b>98</b> Net rental income or (loss) from personal property					
<b>99</b> Other investment income					
<b>100</b> Gain or (loss) from sales of assets other than inventory					
<b>101</b> Net income or (loss) from special events					127,744
<b>102</b> Gross profit or (loss) from sales of inventory					
<b>103</b> Other revenue <b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>104</b> Subtotal (add columns (B), (D), and (E))		0		49,058	489,882
<b>105</b> TOTAL (add line 104, columns (B), (D), and (E))					538,940

Note Line 105 plus line 1d, Part I, should equal the amount on line 12, Part I

**Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes** (See page 32 of the instructions )

Line No	Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes)
93 (a)	Fees charged for the capture and housing of stray animals
94	Dues charged to help offset cost of programs
101	Volunteer involvement in agency to help raise funds to offset costs of operations

**Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities** (See page 32 of the instructions )

(A) Name, address, and EIN of corporation, partnership, or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End-of-year assets
	%			
	%	N/A		
	%			
	%			

**Part X Information Regarding Transfers Associated with Personal Benefit Contracts** (See page 33 of the instructions )

- (a) Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No
- (b) Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No
- Note If "Yes" to (b), file Form 8870 AND Form 4720 (see instructions)

return, including accompanying schedules and statements and to the best of my knowledge preparer (other than officer) is based on all information of which preparer has any knowledge

Date 10/17/13

**SCHEDULE A**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Organization Exempt Under Section 501(c)(3)**

(Except Private Foundation) and Section 501(e), 501(f), 501(k),  
501(n), or Section 4947(a)(1) Nonexempt Charitable Trust

**Supplementary Information - (See separate instructions.)**

**MUST be completed by the above organizations and attached to their Form 990 or 990-EZ**

OMB No 1545-0047

**2002**

Name of the organization

Humane Animal Welfare Society of Waukesha County, Inc

Employer identification number

39-6108644



**Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees**

(See page 1 of the instructions List each one If there are none, enter "None ")

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
		NONE		
Total number of other employees paid over \$50,000				



**Part II Compensation of the Five Highest Paid Independent Contractors for Professional Services**

(See page 2 of the instructions List each one (whether individuals or firms) If there are none, enter "None ")

(a) Name and address of each independent contractor paid more than \$50,000	(b) Type of service	(c) Compensation
	NONE	
Total number of others receiving over \$50,000 for professional services		

**Part III**

**Statements About Activities** (See page 2 of the instructions)

Yes No

1 During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If "Yes," enter the total expenses paid or incurred in connection with the lobbying activities \$ 0 (Must equal amounts on line 38, Part VI-A, or line i of Part VI-B )

1 X

Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A Other organizations checking "Yes," must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities

2 During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any substantial contributors, trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary? (If the answer to any question is "Yes," attach a detailed statement explaining the transactions )

2a X

a Sale, exchange, or leasing of property?

2b X

b Lending of money or other extension of credit?

2c X

c Furnishing of goods, services, or facilities?

2d X

d Payment of compensation (or payment or reimbursement of expenses if more than \$1,000)?

2e X

e Transfer of any part of its income or assets?

3 Does the organization make grants for scholarships, fellowships, student loans, etc ? (See NOTE below )

3 X

4 Do you have a section 403(b) annuity plan for your employees?

4 X

**Note** Attach a statement to explain how the organization determines that individuals or organizations receiving grants or loans from it in furtherance of its charitable programs "qualify" to receive payments

**Part IV**

**Reason for Non-Private Foundation Status** (See pages 3 through 5 of the instructions)

The organization is not a private foundation because it is (Please check only ONE applicable box )

5  A church, convention of churches, or association of churches Section 170(b)(1)(A)(i)

6  A school Section 170(b)(1)(A)(ii) (Also complete Part V )

7  A hospital or a cooperative hospital service organization Section 170(b)(1)(A)(iii)

8  A Federal, state, or local government or governmental unit Section 170(b)(1)(A)(v)

9  A medical research organization operated in conjunction with a hospital Section 170(b)(1)(A)(iii) ENTER THE HOSPITAL'S NAME, CITY, AND STATE \_\_\_\_\_

10  An organization operated for the benefit of a college or university owned or operated by a governmental unit Section 170(b)(1)(A)(iv) (Also complete the SUPPORT SCHEDULE in Part IV-A )

11 a  An organization that normally receives a substantial part of its support from a governmental unit or from the general public Section 170(b)(1)(A)(vi) (Also complete the SUPPORT SCHEDULE in Part IV-A )

11 b  A community trust Section 170(b)(1)(A)(vi) (Also complete the SUPPORT SCHEDULE in Part IV-A )

12  An organization that normally receives (1) MORE THAN 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc , functions - subject to certain exceptions, and (2) NO MORE THAN 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See section 509(a)(2) (Also complete the SUPPORT SCHEDULE in Part IV-A )

13  An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in (1) lines 5 through 12 above, or (2) section 501(c)(4), (5), or (6), if they meet the test of section 509(a)(2) (See section 509(a)(3) )

Provide the following information about the supported organizations (See page 5 of the instructions )

(a) Name(s) of supported organization(s)	(b) Line number from above

14  An organization organized and operated to test for public safety Section 509(a)(4) (See page 5 of the instructions )

**Part IV-A Support Schedule** (Complete only if you checked a box on line 10, 11, or 12 ) USE CASH METHOD OF ACCOUNTING

**Note** You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting

Calendar year (or fiscal year beginning in)	(a) 2001	(b) 2000	(c) 1999	(d) 1998	(e) Total
<b>15</b> Gifts, grants, and contributions received (Do not include unusual grants See line 28 )	117,007	388,858	805,704	352,373	1,663,942
<b>16</b> Membership fees received	7,190	2,975	2,475	2,800	15,440
<b>17</b> Gross receipts from admissions merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable, etc., purpose	396,591	320,720	330,964	300,034	1,348,309
<b>18</b> Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975	87,784	142,616	134,294	113,030	477,724
<b>19</b> Net income from unrelated business activities not included in line 18					0
<b>20</b> Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf					0
<b>21</b> The value of services or facilities furnished to the organization by a governmental unit without charge Do not include the value of services or facilities generally furnished to the public without charge					0
<b>22</b> Other income Attach a schedule Do not include gain or (loss) from sale of capital assets					0
<b>23</b> Total of lines 15 through 22	608,572	855,169	1,273,437	768,237	3,505,415
<b>24</b> Line 23 minus line 17	211,981	534,449	942,473	468,203	2,157,106
<b>25</b> Enter 1% of line 23	6,086	8,552	12,734	7,682	
<b>26</b> ORGANIZATIONS DESCRIBED ON LINES 10 OR 11 <b>a</b> Enter 2% of amount in column (e), line 24					<b>26a</b> 43,142
<b>b</b> Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 1998 through 2001 exceeded the amount shown in line 26a DO NOT FILE THIS LIST WITH YOUR RETURN Enter the total of all these excess amounts					<b>26b</b>
<b>c</b> Total support for section 509(a)(1) test Enter line 24, column (e)					<b>26c</b> 2,157,106
<b>d</b> Add Amounts from column (e) for lines <b>18</b> 477,724 <b>19</b> 0					<b>26d</b> 477,724
<b>22</b> 0 <b>26b</b> 0					<b>26e</b> 1,679,382
<b>e</b> Public support (line 26c minus line 26d total)					<b>26f</b> 77.85%
<b>f</b> PUBLIC SUPPORT PERCENTAGE (LINE 26E (NUMERATOR) DIVIDED BY LINE 26C (DENOMINATOR))					
<b>27</b> ORGANIZATIONS DESCRIBED ON LINE 12 <b>a</b> For amounts included in lines 15, 16, and 17 that were received from a "disqualified person," prepare a list for your records to show the name of, and total amounts received in each year from, each "disqualified person "					
<b>N/A</b> DO NOT FILE THIS LIST WITH YOUR RETURN Enter the sum of such amounts for each year					
(2001) _____ (2000) _____ (1999) _____ (1998) _____					
<b>b</b> For any amount included in line 17 that was received from each person (other than "disqualified persons"), prepare a list for your records to show the name of, and amount received for each year, that was more than the LARGER of (1) the amount on line 25 for the year or (2) \$5,000 (Include in the list organizations described in lines 5 through 11, as well as individuals ) DO NOT FILE THIS LIST WITH YOUR RETURN After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year					
<b>N/A</b> (2001) _____ (2000) _____ (1999) _____ (1998) _____					
<b>c</b> Add Amounts from column (e) for lines <b>15</b> 0 <b>16</b> 0					<b>27c</b> 0
<b>17</b> 0 <b>20</b> 0 <b>21</b> 0					<b>27d</b> 0
<b>d</b> Add Line 27a total 0 and line 27b total 0					<b>27e</b> 0
<b>e</b> Public support (line 27c total minus line 27d total)					<b>27f</b> 0
<b>f</b> Total support for section 509(a)(2) test Enter amount from line 23, column (e)					<b>27g</b> 0.00%
<b>g</b> PUBLIC SUPPORT PERCENTAGE (LINE 27E (NUMERATOR) DIVIDED BY LINE 27F (DENOMINATOR))					<b>27h</b> 0.00%
<b>h</b> INVESTMENT INCOME PERCENTAGE (LINE 18, COLUMN (E) (NUMERATOR) DIVIDED BY LINE 27F (DENOMINATOR))					
<b>28</b> UNUSUAL GRANTS For an organization described in line 10 11, or 12 that received any unusual grants during 1998 through 2001, prepare a list for your records to show, for each year, the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant DO NOT FILE THIS LIST WITH YOUR RETURN Do not include these grants in line 15					None

**Part V**

**Private School Questionnaire** (See page 7 of the instructions)

N/A

(To be completed ONLY by schools that checked the box on line 6 in Part IV)

	Yes	No
29 Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?		
30 Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?		
31 Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe, if "No," please explain (If you need more space, attach a separate statement)		
32 Does the organization maintain the following		
a Records indicating the racial composition of the student body, faculty, and administrative staff?		
b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?		
c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?		
d Copies of all material used by the organization or on its behalf to solicit contributions?		
If you answered "No" to any of the above, please explain (If you need more space attach a separate statement)		
33 Does the organization discriminate by race in any way with respect to		
a Students' rights or privileges?		
b Admissions policies?		
c Employment of faculty or administrative staff?		
d Scholarships or other financial assistance?		
e Educational policies?		
f Use of facilities?		
g Athletic programs?		
h Other extracurricular activities?		
If you answered "Yes" to any of the above, please explain (If you need more space, attach a separate statement)		
34 a Does the organization receive any financial aid or assistance from a governmental agency?		
b Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either 34a or b, please explain using an attached statement		
35 Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev. Proc. 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," attach an explanation		

**Part VI-A**

**Lobbying Expenditures by Electing Public Charities** (See page 9 of the instructions)  
(To be completed ONLY by an eligible organization that filed Form 5768)

N/A

Check **a**  if the organization belongs to an affiliated group Check **b**  if you checked "a" and "limited control" provisions apply

Limits on Lobbying Expenditures		N/A	(a) Affiliated group totals	(b) To be completed for ALL electing organizations
(The term "expenditures" means amounts paid or incurred )				
<b>36</b>	Total lobbying expenditures to influence public opinion (grassroots lobbying)			
<b>37</b>	Total lobbying expenditures to influence a legislative body (direct lobbying)			
<b>38</b>	Total lobbying expenditures (add lines 36 and 37)		0	0
<b>39</b>	Other exempt purpose expenditures			
<b>40</b>	Total exempt purpose expenditures (add lines 38 and 39)		0	0
<b>41</b>	Lobbying nontaxable amount Enter the amount from the following table - If the amount on line 40 is -	The lobbying nontaxable amount is -		
	Not over \$500,000	20% of the amount on line 40		
	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000		
	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000	0	0
	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000		
	Over \$17,000,000	\$1,000,000		
<b>42</b>	Grassroots nontaxable amount (enter 25% of line 41)		0	0
<b>43</b>	Subtract line 42 from line 36 Enter -0- if line 42 is more than line 36		0	0
<b>44</b>	Subtract line 41 from line 38 Enter -0- if line 41 is more than line 38		0	0

**Caution** If there is an amount on either line 43 or line 44, you must file Form 4720

**4-Year Averaging Period Under Section 501(h)**

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below  
See the instructions for lines 45 through 50 on page 11 of the instructions )

N/A

Calendar year (or fiscal year beginning in)	Lobbying Expenditures During 4-Year Averaging Period				
	(a) 2002	(b) 2001	(c) 2000	(d) 1999	(e) Total
<b>45</b> Lobbying nontaxable amount					0
<b>46</b> Lobbying ceiling amount (150% of line 45(e))					0
<b>47</b> Total lobbying expenditures					0
<b>48</b> Grassroots nontaxable amount					0
<b>49</b> Grassroots ceiling amount (150% of line 48(e))					0
<b>50</b> Grassroots lobbying expenditures					0

**Part VI-B**

**Lobbying Activity by Nonelecting Public Charities**  
(For reporting only by organizations that did not complete Part VI-A) (See page 11 of the instructions )

During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of

- a Volunteers
- b Paid staff or management (Include compensation in expenses reported on lines c through h )
- c Media advertisements
- d Mailings to members, legislators, or the public
- e Publications, or published or broadcast statements
- f Grants to other organizations for lobbying purposes
- g Direct contact with legislators, their staffs, government officials, or a legislative body
- h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means
- i Total lobbying expenditures (Add lines c through h )

Yes	No	Amount
	X	
	X	
	X	
	X	
	X	
	X	
	X	
	X	
	X	
		0

If "Yes" to any of the above, also attach a statement giving a detailed description of the lobbying activities



Humane Animal Welfare Society of Waukesha County, Inc.  
Statements of Financial Position

June 30, 2003  
(with comparative totals for June 30, 2002)

	<u>Assets</u>				<u>(Memorandum Only)</u>		
	<u>Unrestricted</u>			<u>Property &amp; Equipment</u>	<u>Temporary Restricted</u>	<u>06/30/03</u>	<u>06/30/02</u>
	<u>Operating</u>	<u>Endowment</u>	<u>Volunteers</u>			<u>Total</u>	<u>Total</u>
<b>Current Assets</b>							
Cash on hand and in checking	\$ 25,370	\$	\$ 437	\$	\$	\$ 25,807	\$ 8,267
Short term investments (Note 5 and 6)		2,263,231	14,537		662,845	2,940,613	1,910,537
Accounts receivable	3,025					3,025	11,614
Accrued interest receivable		10,787				10,787	14,096
Unexpired insurance	4,005					4,005	3,374
Prepaid expenses	3,500					3,500	4,223
<b>Total Current Assets</b>	<b>35,900</b>	<b>2,274,018</b>	<b>14,974</b>		<b>662,845</b>	<b>2,987,737</b>	<b>1,952,111</b>
<b>Property and Equipment (Note 1)</b>							
Land and improvement				122,806		122,806	122,806
Building				1,097,829		1,097,829	1,096,287
Kennel equipment				81,544		81,544	80,886
Furniture and fixtures				53,521		53,521	53,521
Automobile				33,219		33,219	33,219
				1,388,919		1,388,919	1,386,719
<b>Less - accumulated depreciation</b>				<b>619,084</b>		<b>619,084</b>	<b>571,085</b>
<b>Net Property and Equipment</b>				<b>769,835</b>		<b>769,835</b>	<b>815,634</b>
<b>Total Assets</b>	<b>\$ 35,900</b>	<b>\$ 2,274,018</b>	<b>\$ 14,974</b>	<b>\$ 769,835</b>	<b>\$ 662,845</b>	<b>\$ 3,757,572</b>	<b>\$ 2,767,745</b>
	<b><u>Liabilities and Net Assets</u></b>						
<b>Current Liabilities</b>							
Accrued wages	\$ 8,916	\$	\$	\$	\$	\$ 8,916	\$ 8,893
Accounts payable	6,845					6,845	5,927
Sterilization deposits payable (Note 2)							300
Trap deposits payable	700					700	500
Sales tax payable	616					616	515
Payroll taxes payable	682					682	680
Deferred income	54,607					54,607	
<b>Total Current Liabilities</b>	<b>72,366</b>					<b>72,366</b>	<b>16,815</b>
<b>Net Assets - Unrestricted - Operating (deficit)</b>	<b>(36,466)</b>					<b>(36,466)</b>	<b>10,373</b>
- Endowment		2,274,018				2,274,018	1,834,774
- Volunteers			14,974			14,974	90,149
- Property and equipment				769,835		769,835	815,634
<b>Temporary Restricted</b>					662,845	<b>662,845</b>	
<b>Total Net Assets</b>	<b>(36,466)</b>	<b>2,274,018</b>	<b>14,974</b>	<b>769,835</b>	<b>662,845</b>	<b>3,685,206</b>	<b>2,750,930</b>
<b>Total Liabilities and Net Assets</b>	<b>\$ 35,900</b>	<b>\$ 2,274,018</b>	<b>\$ 14,974</b>	<b>\$ 769,835</b>	<b>\$ 662,845</b>	<b>\$ 3,757,572</b>	<b>\$ 2,767,745</b>

The accompanying notes are an integral part of these statements

Humane Animal Welfare Society of Waukesha County, Inc

Notes to Financial Statements - Continued

June 30, 2003 and 2002

Note 5 - Investments

Humane Animal Welfare Society of Waukesha County, Inc invested \$1,034,507 in five mutual funds during the fiscal year ended June 30, 2003 as follows

	Cost	Fair Market Value 6-30-02
Dodge and Cox Balanced Fund	\$ 162,450	\$ 174,364
Weitz Value Fund	150,000	159,175
Fidelity Puritan Fund	188,000	182,028
T Rowe Price Equity Fund	179,500	161,149
Vanguard Short Term Bond	354,557	360,063
	<u>\$1,034,507</u>	<u>\$1,036,779</u>

The Society records these investments on the lower of cost or market value. The unrealized gain of \$2,272 is shown for informational purposes only.

Note 6 - Restrictions on Assets

Temporarily restricted net assets are available for the following purposes or periods: Temporary Restricted – a bequest was received during 2003 that restricts the funds to building improvements. The agency is allowed to use 5% of the funds each year. The fair market value as of June 30, 2003 was \$672,878.

Note 7 - Summarized Financial Information for 2002

The financial information for the year ended June 30, 2002, presented for comparative purposes, and is not intended to be complete financial statement presentation.

Humane Animal Welfare Society of Waukesha County, Inc  
39-6108644

Form 990, Part II, Line 43(f)

<u>Column A</u>	<u>Column B</u>
\$ 11,106	\$ 11,106
1,275	1,275
5,709	5,709
<u>\$ 18,090</u>	<u>\$ 18,090</u>

Professional Fees  
Dues & Subscriptions  
Miscellaneous

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**Board of Directors**  
**(October 2003)**

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