EXTENSION ATTACHED

Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

2002

Open to Public Inspection

A For the 2002 calendar year, or tax year beginning 7/1 , 2002, and ending 6/30 , 20 03

B Check if applicable
- ☐ Address change
- ☐ Name change
- ☐ Initial return
- ☐ Final return
- ☐ Amended return
- ☐ Application pending

Please use IRS label or print or type. See Specific Instructions

C Name of organization: **LENOX HILL NEIGHBORHOOD HOUSE, INC**

Number and street (or PO box if mail is not delivered to street address): **331 EAST 70TH STREET** Room/suite:

City or town, state or country, and ZIP + 4: **NEW YORK, NY 10021**

D Employer identification number: **013-1628180**

E Telephone number: **(212) 744-5022**

F Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) ▶

• Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ).

H and I are not applicable to section 527 organizations

H(a) Is this a group return for affiliates? ☐ Yes ☒ No

H(b) If "Yes," enter number of affiliates ▶

H(c) Are all affiliates included? ☐ Yes ☐ No (If "No," attach a list See instructions)

H(d) Is this a separate return filed by an organization covered by a group ruling? ☐ Yes ☒ No

I Enter 4-digit GEN ▶

G Web site: ▶ **www.lenoxhill.org**

J **Organization type** (check only one) ▶ ☒ 501(c) (3) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

K Check here ▶ ☐ if the organization's gross receipts are normally not more than $25,000 The organization need not file a return with the IRS, but if the organization received a Form 990 Package in the mail, it should file a return without financial data **Some states require a complete return.**

M Check ▶ ☒ if the organization is **not** required to attach Sch B (Form 990, 990-EZ, or 990-PF)

L Gross receipts Add lines 6b, 8b, 9b, and 10b to line 12 ▶ **16,564,675**

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (See page 17 of the instructions.)

Revenue	1	Contributions, gifts, grants, and similar amounts received:			
	a	Direct public support	1a 3,213,257		
	b	Indirect public support	1b 60,000		
	c	Government contributions (grants)	1c 8,265,472		
	d	**Total** (add lines 1a through 1c) (cash $ 11,414,550 noncash $ 6,559)		1d	11,538,729
	2	Program service revenue including government fees and contracts (from Part VII, line 93)		2	
	3	Membership dues and assessments		3	1,160,475
	4	Interest on savings and temporary cash investments		4	15,469
	5	Dividends and interest from securities		5	74,317
	6a	Gross rents	6a 62,031		
	b	Less: rental expenses	6b		
	c	Net rental income or (loss) (subtract line 6b from line 6a)		6c	62,031
	7	Other investment income (describe ▶)		7	
	8a	Gross amount from sales of assets other than inventory STATEMENT 1	(A) Securities 3,450,360 8a (B) Other		
	b	Less: cost or other basis and sales expenses	5,038,620 8b		
	c	Gain or (loss) (attach schedule)	(1,588,260) 8c		
	d	Net gain or (loss) (combine line 8c, columns (A) and (B))		8d	(1,588,260)
	9	Special events and activities (attach schedule)			
	a	Gross revenue (not including $ 1,410,276 of contributions reported on line 1a)	9a 117,620		
	b	Less: direct expenses other than fundraising expenses	9b 414,739		
	c	Net income or (loss) from special events (subtract line 9b from line 9a) STATEMENT 4		9c	(297,119)
	10a	Gross sales of inventory, less returns and allowances	10a		
	b	Less: cost of goods sold	10b		
	c	Gross profit or (loss) from sales of inventory (attach schedule) (subtract line 10b from line 10a)		10c	
	11	Other revenue (from Part VII, line 103)		11	145,674
	12	**Total revenue** (add lines 1d, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11)		12	11,111,316
Expenses	13	Program services (from line 44, column (B))		13	10,932,908
	14	Management and general (from line 44, column (C))		14	1,239,881
	15	Fundraising (from line 44, column (D))		15	528,690
	16	Payments to affiliates (attach schedule)		16	
	17	**Total expenses** (add lines 16 and 44, column (A))		17	12,701,479
Net Assets	18	Excess or (deficit) for the year (subtract line 17 from line 12)		18	(1,590,163)
	19	Net assets or fund balances at beginning of year (from line 73, column (A))		19	6,066,834
	20	Other changes in net assets or fund balances (attach explanation) STATEMENT 1		20	1,235,275
	21	Net assets or fund balances at end of year (combine lines 18, 19, and 20)		21	5,711,946

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For Paperwork Reduction Act Notice, see the separate instructions.

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STF FED1923F 1

Form 990 (2002)

Part II **Statement of Functional Expenses** All organizations must complete column (A). Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others (See page 21 of the instructions)

Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I		(A) Total	(B) Program services	(C) Management and general	(D) Fundraising
22 Grants and allocations (attach schedule) (cash $ ____ noncash $ ____)	22	NONE	NONE		
23 Specific assistance to individuals (attach schedule)	23				
24 Benefits paid to or for members (attach schedule)	24				
25 Compensation of officers, directors, etc.	25				
26 Other salaries and wages	26	STATEMENT 2			
27 Pension plan contributions	27				
28 Other employee benefits	28				
29 Payroll taxes	29				
30 Professional fundraising fees	30				
31 Accounting fees	31				
32 Legal fees	32				
33 Supplies	33				
34 Telephone	34				
35 Postage and shipping	35				
36 Occupancy	36				
37 Equipment rental and maintenance	37				
38 Printing and publications	38				
39 Travel	39				
40 Conferences, conventions, and meetings	40				
41 Interest	41				
42 Depreciation, depletion, etc. (attach schedule)	42				
43 Other expenses not covered above (itemize) a STMT 2	43a	12,701,479	10,932,908	1,239,881	528,690
b	43b				
c	43c				
d	43d				
e	43e				
44 **Total functional expenses** (add lines 22 through 43) *Organizations completing columns (B) - (D), carry these totals to lines 13 - 15.*	44	12,701,479	10,932,908	1,239,881	528,690

Joint Costs. Check ▶ ☐ if you are following SOP 98-2.

Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services? ▶ ☐ Yes ☒ No

If "Yes," enter (i) the aggregate amount of these joint costs $ ____ ; (ii) the amount allocated to Program services $ ____ ;

(iii) the amount allocated to Management and general $ ____ , and (iv) the amount allocated to Fundraising $ ____

Part III **Statement of Program Service Accomplishments** (See page 24 of the instructions.)

What is the organization's primary exempt purpose? ▶ SEE Statement 5

All organizations must describe their exempt purpose achievements in a clear and concise manner. State the number of clients served, publications issued, etc Discuss achievements that are not measurable (Section 501(c)(3) and (4) organizations and 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants and allocations to others)

	Program Service Expenses (Required for 501(c)(3) and (4) orgs , and 4947(a)(1) trusts, but optional for others)
a YOUTH AND FAMILY SERVICES - (SEE STATEMENT 5) (Grants and allocations $ NONE)	3,199,428
b OLDER ADULT SERVICES - (SEE STATEMENT 5) (Grants and allocations $ NONE)	2,335,744
c COMMUNITY SERVICES & EDUCATION- (SEE STATEMENT 5) (Grants and allocations $ NONE)	909,561
d HOMELESS SERVICES - (SEE STATEMENT 5) (Grants and allocations $ NONE)	3,622,080
e Other program services (attach schedule) (Grants and allocations STATEMENT 5 NONE)	866,095
f **Total of Program Service Expenses** (should equal line 44, column (B), Program services) ▶	10,932,908

Part IV **Balance Sheets** (See page 24 of the instructions.)

Section	Line	Note: *Where required, attached schedules and amounts within the description column should be for end-of-year amounts only*			(A) Beginning of year		(B) End of year
Assets	45	Cash — non-interest-bearing AND INTEREST BEARING			1,528,864	45	869,392
	46	Savings and temporary cash investments				46	
	47a	Accounts receivable	47a	1,002,644			
	b	Less: allowance for doubtful accounts	47b		758,189	47c	1,002,644
	48a	Pledges receivable	48a	322,500			
	b	Less: allowance for doubtful accounts	48b		78,250	48c	322,500
	49	Grants receivable				49	
	50	Receivables from officers, directors, trustees, and key employees (attach schedule)				50	
	51a	Other notes and loans receivable (attach schedule)	51a				
	b	Less: allowance for doubtful accounts	51b			51c	
	52	Inventories for sale or use				52	
	53	Prepaid expenses and deferred charges			47,097	53	84,282
	54	Investments — securities (attach schedule) STMT 1 ▶ ☐ Cost ☒ FMV			2,403,100	54	2,358,986
	55a	Investments — land, buildings, and equipment: basis	55a				
	b	Less: accumulated depreciation (attach schedule)	55b			55c	
	56	Investments — other (attach schedule)				56	
	57a	Land, buildings, and equipment: basis	57a	5,189,353			
	b	Less: accumulated depreciation (attach schedule) STATEMENT 1	57b	2,603,219	2,702,580	57c	2,586,134
	58	Other assets (describe ▶ INTANGIBLE PENSION ASSETS)			169,249	58	
	59	**Total assets** (add lines 45 through 58) (must equal line 74)			7,687,329	59	7,223,938
Liabilities	60	Accounts payable and accrued expenses			1,247,740	60	1,224,186
	61	Grants payable				61	
	62	Deferred revenue			372,755	62	287,806
	63	Loans from officers, directors, trustees, and key employees (attach schedule)				63	
	64a	Tax-exempt bond liabilities (attach schedule)				64a	
	b	Mortgages and other notes payable (attach schedule)				64b	
	65	Other liabilities (describe ▶)				65	
	66	**Total liabilities** (add lines 60 through 65)			1,620,495	66	1,511,992
Net Assets or Fund Balances		**Organizations that follow SFAS 117, check here ▶** ☒ and complete lines 67 through 69 and lines 73 and 74.					
	67	Unrestricted			5,395,992	67	5,093,710
	68	Temporarily restricted			662,442	68	599,836
	69	Permanently restricted			8,400	69	18,400
		Organizations that do not follow SFAS 117, check here ▶ ☐ and complete lines 70 through 74.					
	70	Capital stock, trust principal, or current funds				70	
	71	Paid-in or capital surplus, or land, building, and equipment fund				71	
	72	Retained earnings, endowment, accumulated income, or other funds				72	
	73	**Total net assets or fund balances** (add lines 67 through 69 **or** lines 70 through 72; column (A) **must** equal line 19; column (B) **must** equal line 21)			6,066,834	73	5,711,946
	74	**Total liabilities and net assets/fund balances** (add lines 66 and 73)			7,687,329	74	7,223,938

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.

Part IV-A Reconciliation of Revenue per Audited Financial Statements with Revenue per Return (See page 26 of the instructions.)

a	Total revenue, gains, and other support per audited financial statements ▶	a	12,769,946
b	Amounts included on line a but not on line 12, Form 990:		
	(1) Net unrealized gains on investments $ 1,525,993		
	(2) Donated services and use of facilities $ 147,136		
	(3) Recoveries of prior year grants $		
	(4) Other (specify): $		
	Add amounts on lines (1) through (4) ▶	b	1,673,129
c	Line a minus line b ▶	c	11,096,817
d	Amounts included on line 12, Form 990 but not on line a:		
	(1) Investment expenses not included on line 6b, Form 990 $ 14,499		
	(2) Other (specify): $		
	Add amounts on lines (1) and (2) ▶	d	14,499
e	Total revenue per line 12, Form 990 (line c plus line d) ▶	e	11,111,316

Part IV-B Reconciliation of Expenses per Audited Financial Statements with Expenses per Return

a	Total expenses and losses per audited financial statements ▶	a	12,834,116
b	Amounts included on line a but not on line 17, Form 990:		
	(1) Donated services and use of facilities $ 147,136		
	(2) Prior year adjustments reported on line 20, Form 990 $		
	(3) Losses reported on line 20, Form 990 $		
	(4) Other (specify): $		
	Add amounts on lines (1) through (4) ▶	b	147,136
c	Line a minus line b ▶	c	12,686,980
d	Amounts included on line 17, Form 990 but not on line a:		
	(1) Investment expenses not included on line 6b, Form 990 $ 14,499		
	(2) Other (specify): $		
	Add amounts on lines (1) and (2) ▶	d	14,499
e	Total expenses per line 17, Form 990 (line c plus line d) ▶	e	12,701,479

Part V List of Officers, Directors, Trustees, and Key Employees (List each one even if not compensated; see page 26 of the instructions.)

(A) Name and address	(B) Title and average hours per week devoted to position	(C) Compensation (If not paid, enter -0-.)	(D) Contributions to employee benefit plans & deferred compensation	(E) Expense account and other allowances
SEE STATEMENT 3				

75 Did any officer, director, trustee, or key employee receive aggregate compensation of more than $100,000 from your organization and all related organizations, of which more than $10,000 was provided by the related organizations? ▶ ☐ Yes ☒ No
If "Yes," attach schedule — see page 26 of the instructions.

Part VI	Other Information (See page 27 of the instructions.)		Yes	No
76	Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity	76		X
77	Were any changes made in the organizing or governing documents but not reported to the IRS? If "Yes," attach a conformed copy of the changes.	77		X
78a	Did the organization have unrelated business gross income of $1,000 or more during the year covered by this return?	78a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	78b		N/A
79	Was there a liquidation, dissolution, termination, or substantial contraction during the year? If "Yes," attach a statement	79		X
80a	Is the organization related (other than by association with a statewide or nationwide organization) through common membership, governing bodies, trustees, officers, etc., to any other exempt or nonexempt organization?	80a	X	
b	If "Yes," enter the name of the organization ▶ **THE CARING NEIGHBOR, INC.** and check whether it is [x] exempt **or** [ ] nonexempt.			
81a	Enter direct or indirect political expenditures. See line 81 instructions — 81a: **NONE**			
b	Did the organization file **Form 1120-POL** for this year?	81b		X
82a	Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value?	82a	X	
b	If "Yes," you may indicate the value of these items here. Do not include this amount as revenue in Part I or as an expense in Part II. (See instructions in Part III.) — 82b: **147,136**			
83a	Did the organization comply with the public inspection requirements for returns and exemption applications?	83a	X	
b	Did the organization comply with the disclosure requirements relating to quid pro quo contributions?	83b	X	
84a	Did the organization solicit any contributions or gifts that were not tax deductible?	84a		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	84b		N/A
85	*501(c)(4), (5), or (6) organizations.* **a** Were substantially all dues nondeductible by members?	85a		N/A
b	Did the organization make only in-house lobbying expenditures of $2,000 or less?	85b		N/A
	If "Yes" was answered to either 85a or 85b, **do not** complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year.			
c	Dues, assessments, and similar amounts from members — 85c: **N/A**			
d	Section 162(e) lobbying and political expenditures — 85d: **N/A**			
e	Aggregate nondeductible amount of section 6033(e)(1)(A) dues notices — 85e: **N/A**			
f	Taxable amount of lobbying and political expenditures (line 85d less 85e) — 85f: **N/A**			
g	Does the organization elect to pay the section 6033(e) tax on the amount on line 85f?	85g		N/A
h	If section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount on line 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year?	85h		N/A
86	*501(c)(7) orgs.* Enter: **a** Initiation fees and capital contributions included on line 12 — 86a: **N/A**			
b	Gross receipts, included on line 12, for public use of club facilities — 86b: **N/A**			
87	*501(c)(12) orgs.* Enter: **a** Gross income from members or shareholders — 87a: **N/A**			
b	Gross income from other sources. (Do not net amounts due or paid to other sources against amounts due or received from them.) — 87b: **N/A**			
88	At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Part IX	88		X
89a	*501(c)(3) organizations.* Enter: Amount of tax imposed on the organization during the year under: section 4911 ▶ **NONE** ; section 4912 ▶ **NONE** ; section 4955 ▶ **NONE**			
b	*501(c)(3) and 501(c)(4) orgs.* Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach a statement explaining each transaction	89b		X
c	Enter: Amount of tax imposed on the organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 ▶ **NONE**			
d	Enter: Amount of tax on line 89c, above, reimbursed by the organization ▶ **NONE**			
90a	List the states with which a copy of this return is filed ▶ **NEW YORK**			
b	Number of employees employed in the pay period that includes March 12, 2002 (See instructions.) — 90b: **263**			
91	The books are in care of ▶ **ORGANIZATION** Telephone no. ▶ **(212) 744-5022** Located at ▶ **331 EAST 70TH ST. NEW YORK, NY** ZIP + 4 ▶ **10021**			
92	*Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of* **Form 1041** — Check here ▶ [ ] and enter the amount of tax-exempt interest received or accrued during the tax year ▶ 92: **N/A**			

Part VII **Analysis of Income-Producing Activities** (See page 31 of the instructions)

Note: *Enter gross amounts unless otherwise indicated.*	Unrelated business income		Excluded by section 512, 513, or 514		(E) Related or exempt function income
	(A) Business code	(B) Amount	(C) Exclusion code	(D) Amount	
93 Program service revenue:					
a					
b					
c					
d					
e					
f Medicare/Medicaid payments					
g Fees and contracts from government agencies					
94 Membership dues and assessments					1,160,475
95 Interest on savings and temporary cash investments			14	15,469	
96 Dividends and interest from securities			14	74,317	
97 Net rental income or (loss) from real estate:					
a debt-financed property					
b not debt-financed property			16	62,031	
98 Net rental income or (loss) from personal property					
99 Other investment income					
100 Gain or (loss) from sales of assets other than inventory			18	(1,588,260)	
101 Net income or (loss) from special events			01	(297,119)	
102 Gross profit or (loss) from sales of inventory					
103 Other revenue: a COURIER SERVICE					64,083
b MISCELLANEOUS			03	10,607	
c OTHER			01	70,984	
d					
e					
104 Subtotal (add columns (B), (D), and (E))				(1,651,971)	1,224,558

105 **Total** (add line 104, columns (B), (D), and (E)) ▶ **(427,413)**

Note: *Line 105 plus line 1d, Part I, should equal the amount on line 12, Part I.*

Part VIII **Relationship of Activities to the Accomplishment of Exempt Purposes** (See page 32 of the instructions.)

Line No. ▼	Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes).
94	FEES CHARGED TO INDIVIDUALS PARTICIPATING IN THE ORGANIZATION'S PROGRAM
103a	COURIER SERVICE IS A VOCATIONAL TRAINING PROGRAM

Part IX **Information Regarding Taxable Subsidiaries and Disregarded Entities** (See page 32 of the instructions.)

(A) Name, address, and EIN of corporation, partnership, or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End-of-year assets
	%			
N/A	%			
	%			
	%			

Part X **Information Regarding Transfers Associated with Personal Benefit Contracts** (See page 33 of the instructions.)

(a) Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

(b) Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

Note: *If "Yes" to (b), file Form 8870 and Form 4720 (see instructions).*

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Please ▶ [signature] | 4/27/04

Date

[redacted]

Date | Check if | Preparer's SSN or PTIN (See Gen Inst W)

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Organization Exempt Under Section 501(c)(3)

(Except Private Foundation) and Section 501(e), 501(f), 501(k), 501(n), or Section 4947(a)(1) Nonexempt Charitable Trust

Supplementary Information — (See separate instructions.)

▶ MUST be completed by the above organizations and attached to their Form 990 or 990-EZ

OMB No 1545-0047

2002

Name of the organization: **LENOX HILL NEIGHBORHOOD HOUSE, INC**

Employer identification number: **13-1628180**

Part I **Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees**
(See page 1 of the instructions. List each one. If there are none, enter "None.")

(a) Name and address of each employee paid more than $50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
LYNN APPELBAUM 331 E. 70TH STREET NEW YORK, NY 10021	DEPUTY EXECUTIVE DIR. FOR PROGRAMS 35	96,048	*9,953	
LUCIA DERESPINIS 331 E. 70TH STREET NEW YORK, NY 10021	ASSOC. EXEC. DIR. FOR DEVELOP. AND COMMUN. 35	84,999	*10,983	
ANGEL BLANCO 331 E. 70TH STREET NEW YORK, NY 10021	PROPERTY MANAGER 35	82,793	*13,917	
CLARA DORDULAW 331 E. 70TH STREET NEW YORK, NY 10021	CONTROLLER 35	76,902	*13,064	
MARIAN DETELJ 331 E. 70TH STREET NEW YORK, NY 10021	DIRECTOR OF YOUTH AND FAMILY SERVICES 35	75,991	NOTE 1 0	
Total number of other employees paid over $50,000 ▶	9			

Part II **Compensation of the Five Highest Paid Independent Contractors for Professional Services**
(See page 2 of the instructions. List each one (whether individuals or firms). If there are none, enter "None.")

(a) Name and address of each independent contractor paid more than $50,000	(b) Type of service	(c) Compensation
LENOX HILL HOSPITAL 163 EAST 84TH STREET NEW YORK, NY 10028	MEDICAL (SHELTER PGM)	98,750
SHAKIN BOREYKO CONSULTING 60 MADISON AVENUE, ROOM 1217 NEW YORK, NY 10010	FUNDRAISING	79,500
KORN/FERRY INTERNATIONAL 5966 COLLECTION CENTER DRIVE CHICAGO, IL 60693	PERSONNEL SEARCH	62,773
Total number of others receiving over $50,000 for professional services ▶	NONE	

* Amounts include health insurance premiums, as applicable.

Note 1: The City of New York pays all costs associated with Ms. Detelj's employee benefit plans because she is a member of the Head Start union.

Part III **Statements About Activities** (See page 2 of the instructions.)

			Yes	No
1	During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If "Yes," enter the total expenses paid or incurred in connection with the lobbying activities ▶ $ ______ **(Must equal amounts on line 38, Part VI-A, or line i of Part VI-B.)**	1		X
	Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A. Other organizations checking "Yes," must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities.			
2	During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any substantial contributors, trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary? *(If the answer to any question is "Yes," attach a detailed statement explaining the transactions.)*			
a	Sale, exchange, or leasing of property?	2a		X
b	Lending of money or other extension of credit?	2b		X
c	Furnishing of goods, services, or facilities?	2c		X
d	Payment of compensation (or payment or reimbursement of expenses if more than $1,000)? KEY EMPLOYEE	2d	X	
e	Transfer of any part of its income or assets?	2e		X
3	Does the organization make grants for scholarships, fellowships, student loans, etc.? (See **Note** below.)	3		X
4	Do you have a section 403(b) annuity plan for your employees?	4	X	

Note: *Attach a statement to explain how the organization determines that individuals or organizations receiving grants or loans from it in furtherance of its charitable programs "qualify" to receive payments.*

Part IV **Reason for Non-Private Foundation Status** (See pages 3 through 5 of the instructions.)

The organization is not a private foundation because it is: (Please check only **ONE** applicable box.)

5 ☐ A church, convention of churches, or association of churches. Section 170(b)(1)(A)(i).

6 ☐ A school. Section 170(b)(1)(A)(ii). (Also complete Part V.)

7 ☐ A hospital or a cooperative hospital service organization. Section 170(b)(1)(A)(iii).

8 ☐ A Federal, state, or local government or governmental unit. Section 170(b)(1)(A)(v).

9 ☐ A medical research organization operated in conjunction with a hospital. Section 170(b)(1)(A)(iii). **Enter the hospital's name, city, and state ▶** ______

10 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit. Section 170(b)(1)(A)(iv). (Also complete the **Support Schedule** in Part IV-A.)

11a ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public. Section 170(b)(1)(A)(vi). (Also complete the **Support Schedule** in Part IV-A.)

11b ☐ A community trust. Section 170(b)(1)(A)(vi). (Also complete the **Support Schedule** in Part IV-A.)

12 ☒ An organization that normally receives: **(1) more than 33⅓%** of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc., functions — subject to certain exceptions, and **(2) no more than 33⅓%** of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Also complete the **Support Schedule** in Part IV-A.)

13 ☐ An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in: **(1)** lines 5 through 12 above; or **(2)** section 501(c)(4), (5), or (6), if they meet the test of section 509(a)(2). (See section 509(a)(3).)

Provide the following information about the supported organizations. (See page 5 of the instructions.)

(a) Name(s) of supported organization(s)	**(b)** Line number from above

14 ☐ An organization organized and operated to test for public safety. Section 509(a)(4). (See page 5 of the instructions.)

STF FED1955F.2

Part IV-A **Support Schedule** (Complete only if you checked a box on line 10, 11, or 12.) *Use cash method of accounting.*
Note: *You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting.*

Calendar year (or fiscal year beginning in) ... ▶	(a) 2001	(b) 2000	(c) 1999	(d) 1998	(e) Total
15 Gifts, grants, and contributions received. (Do not include unusual grants. See line 28.)	11,193,077	10,208,330	10,112,202	8,776,313	40,289,922
16 Membership fees received	1,144,416	982,768	941,373	1,051,394	4,119,951
17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable, etc., purpose	249,163	110,168	824,414	555,748	1,739,493
18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975	155,609	330,738	612,132	235,025	1,333,504
19 Net income from unrelated business activities not included in line 18					
20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf					
21 The value of services or facilities furnished to the organization by a governmental unit without charge. Do not include the value of services or facilities generally furnished to the public without charge.					
22 Other income. Attach a schedule. Do not include gain or (loss) from sale of capital assets					
23 Total of lines 15 through 22	12,742,265	11,632,004	12,490,121	10,618,480	47,482,870
24 Line 23 minus line 17	12,493,102	11,521,836	11,665,707	10,062,732	45,743,377
25 Enter 1% of line 23	127,423	116,320	124,901	106,185	

26 Organizations described on lines 10 or 11: a Enter 2% of amount in column (e), line 24 ▶ 26a

b Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 1998 through 2001 exceeded the amount shown in line 26a. Do not file this list with your return. Enter the total of all these excess amounts ▶ 26b

c Total support for section 509(a)(1) test: Enter line 24, column (e) NOT APPLICABLE ▶ 26c

d Add: Amounts from column (e) for lines: 18 ______ 19 ______ 22 ______ 26b ______ ▶ 26d

e Public support (line 26c minus line 26d total) ▶ 26e

f **Public support percentage (line 26e (numerator) divided by line 26c (denominator))** ▶ 26f %

27 Organizations described on line 12: a For amounts included in lines 15, 16, and 17 that were received from a "disqualified person," prepare a list for your records to show the name of, and total amounts received in each year from, each "disqualified person." **Do not file this list with your return.** Enter the sum of such amounts for each year:

(2001) 714,305 (2000) 524,925 (1999) 598,143 (1998) 453,346

b For any amount included in line 17 that was received from each person (other than "disqualified person"), prepare a list for your records to show the name of, and amount received for each year, that was more than the **larger** of **(1)** the amount on line 25 for the year or **(2)** $5,000. (Include in the list organizations described in lines 5 through 11, as well as individuals.) **Do not file this list with your return.** After computing the difference between the amount received and the larger amount described in **(1)** or **(2)**, enter the sum of these differences (the excess amounts) for each year:

(2001) 0 (2000) 0 (1999) 171,926 (1998) 43,815

c Add: Amounts from column (e) for lines: 15 40,289,922 16 4,119,951 17 1,739,493 20 ______ 21 ______ ▶ 27c 46,149,366

d Add: Line 27a total. 2,290,719 and line 27b total 215,741 ▶ 27d 2,506,460

e Public support (line 27c total minus line 27d total) ▶ 27e 43,642,906

f Total support for section 509(a)(2) test: Enter amount from line 23, column (e) ▶ 27f 47,482,870

g **Public support percentage (line 27e (numerator) divided by line 27f (denominator))** ▶ 27g 91.91 %

h Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator)) ▶ 27h 2.81 %

28 Unusual Grants: For an organization described in line 10, 11, or 12 that received any unusual grants during 1998 through 2001, prepare a list for your records to show, for each year, the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant. **Do not file this list with your return.** Do not include these grants in line 15.

Part V **Private School Questionnaire** (See page 7 of the instructions.) **N/A**
(To be completed ONLY by schools that checked the box on line 6 in Part IV)

			Yes	No
29	Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?	29		
30	Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?	30		
31	Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves?	31		
	If "Yes," please describe; if "No," please explain. (If you need more space, attach a separate statement.)			
32	Does the organization maintain the following:			
a	Records indicating the racial composition of the student body, faculty, and administrative staff?	32a		
b	Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?	32b		
c	Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?	32c		
d	Copies of all material used by the organization or on its behalf to solicit contributions?	32d		
	If you answered "No" to any of the above, please explain. (If you need more space, attach a separate statement.)			
33	Does the organization discriminate by race in any way with respect to:			
a	Students' rights or privileges?	33a		
b	Admissions policies?	33b		
c	Employment of faculty or administrative staff?	33c		
d	Scholarships or other financial assistance?	33d		
e	Educational policies?	33e		
f	Use of facilities?	33f		
g	Athletic programs?	33g		
h	Other extracurricular activities?	33h		
	If you answered "Yes" to any of the above, please explain. (If you need more space, attach a separate statement.)			
34a	Does the organization receive any financial aid or assistance from a governmental agency?	34a		
b	Has the organization's right to such aid ever been revoked or suspended?	34b		
	If you answered "Yes" to either 34a or b, please explain using an attached statement.			
35	Does the organization certify that it has complied with the applicable requirements of sections 4.01 through 4.05 of Rev. Proc. 75-50, 1975-2 C.B. 587, covering racial nondiscrimination? If "No," attach an explanation	35		

Part VI-A **Lobbying Expenditures by Electing Public Charities** (See page 9 of the instructions.)
(To be completed **ONLY** by an eligible organization that filed Form 5768) **N/A**

Check ▶ a ☐ if the organization belongs to an affiliated group Check ▶ b ☐ if you checked "a" and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Affiliated group totals	(b) To be completed for ALL electing organizations
36 Total lobbying expenditures to influence public opinion (grassroots lobbying)	36		
37 Total lobbying expenditures to influence a legislative body (direct lobbying)	37		
38 Total lobbying expenditures (add lines 36 and 37)	38		
39 Other exempt purpose expenditures	39		
40 Total exempt purpose expenditures (add lines 38 and 39)	40		
41 Lobbying nontaxable amount. Enter the amount from the following table —	41		
42 Grassroots nontaxable amount (enter 25% of line 41)	42		
43 Subtract line 42 from line 36. Enter -0- if line 42 is more than line 36	43		
44 Subtract line 41 from line 38. Enter -0- if line 41 is more than line 38	44		

If the amount on line 40 is —	**The lobbying nontaxable amount is —**
Not over $500,000	20% of the amount on line 40
Over $500,000 but not over $1,000,000	$100,000 plus 15% of the excess over $500,000
Over $1,000,000 but not over $1,500,000	$175,000 plus 10% of the excess over $1,000,000
Over $1,500,000 but not over $17,000,000	$225,000 plus 5% of the excess over $1,500,000
Over $17,000,000	$1,000,000

Caution: *If there is an amount on either line 43 or line 44, you must file Form 4720.*

4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 45 through 50 on page 11 of the instructions.) **N/A**

	Lobbying Expenditures During 4-Year Averaging Period				
Calendar year (or fiscal year beginning in) ▶	**(a)** 2002	**(b)** 2001	**(c)** 2000	**(d)** 1999	**(e)** Total
45 Lobbying nontaxable amount					
46 Lobbying ceiling amount (150% of line 45(e))					
47 Total lobbying expenditures					
48 Grassroots nontaxable amount					
49 Grassroots ceiling amount (150% of line 48(e))					
50 Grassroots lobbying expenditures					

Part VI-B **Lobbying Activity by Nonelecting Public Charities**
(For reporting only by organizations that did not complete Part VI-A) (See page 11 of the instructions.)

During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:	Yes	No	Amount
a Volunteers		X	
b Paid staff or management (Include compensation in expenses reported on lines **c** through **h.**)		X	
c Media advertisements		X	
d Mailings to members, legislators, or the public		X	
e Publications, or published or broadcast statements		X	
f Grants to other organizations for lobbying purposes		X	
g Direct contact with legislators, their staffs, government officials, or a legislative body		X	
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means		X	
i Total lobbying expenditures (Add lines **c** through **h.**)			

If "Yes" to any of the above, also attach a statement giving a detailed description of the lobbying activities. **N/A**

Part VII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations** (See page 12 of the instructions.)

51 Did the reporting organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

		Yes	No
a Transfers from the reporting organization to a noncharitable exempt organization of:			
(i) Cash	51a(i)		X
(ii) Other assets	a(ii)		X
b Other transactions:			
(i) Sales or exchanges of assets with a noncharitable exempt organization	b(i)		X
(ii) Purchases of assets from a noncharitable exempt organization	b(ii)		X
(iii) Rental of facilities, equipment, or other assets	b(iii)		X
(iv) Reimbursement arrangements	b(iv)		X
(v) Loans or loan guarantees	b(v)		X
(vi) Performance of services or membership or fundraising solicitations	b(vi)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting organization. If the organization received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received:

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		NOT APPLICABLE	

52a Is the organization directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ▶ ☐ Yes ☐ No

b If "Yes," complete the following schedule:

(a) Name of organization	(b) Type of organization	(c) Description of relationship
	NOT APPLICABLE	

0 SUP

- If you are filing for an Additional (not automatic) 3-Month Extension, complete only Part II and check this box .... ▶ [X]

Note: *Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.*

- If you are filing for an Automatic 3-Month Extension, complete only Part I (on page 1).

Part II Additional (not automatic) 3-Month Extension of Time — Must File Original and One Copy.

Type or print. File by the extended due date for filing the return See instructions		
	Name of Exempt Organization: LENOX HILL NEIGHBORHOOD HOUSE, INC	Employer identification number: 13-1628180
	Number, street, and room or suite no. If a P.O. box, see instructions.: 1400 OLD COUNTRY ROAD , SUITE 310	For IRS use only
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.: WESTBURY, NY 11590	

Check type of return to be filed (File a separate application for each return):

[X] Form 990 [ ] Form 990-EZ [ ] Form 990-T (sec. 401(a) or 408(a) trust) [ ] Form 1041-A [ ] Form 5227 [ ] Form 8870
[ ] Form 990-BL [ ] Form 990-PF [ ] Form 990-T (trust other than above) [ ] Form 4720 [ ] Form 6069

STOP: Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- If the organization does not have an office or place of business in the United States, check this box .... ▶ [ ]
- If this is for a **Group Return**, enter the organization's four digit Group Exemption Number (GEN) ________ . If this is for the **whole** group, check this box ▶ [ ]. If it is for **part** of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **MAY 15** , 20 **04** .

5 For calendar year ______ , or other tax year beginning **JULY 1** , 20 **02** and ending **JUNE 30** , 20 **03** .

6 If this tax year is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension **INFORMATION REQUESTED FROM THIRD PARTIES IN ORDER TO COMPLETE THE RETURN IS STILL NOT AVAILABLE.**

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions .... $

b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868 .... $

c **Balance Due.** Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions .... $

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ [signature] Title ▶ **CPA** Date **1/29/2004**

Notice to Applicant — To Be Completed by the IRS

[X] We have approved this application. Please attach this form to the organization's return.

[ ] We have not approved this application However, we have granted a 10-day grace period from the later of the date shown below or the due date of the organization's return (including any prior extensions). This grace period is considered to be a valid extension of time for elections otherwise required to be made on a timely return Please attach this form to the organization's return.

[ ] We have not approved this application. After considering the reasons stated in item 7, we cannot grant your request for an extension of time to file We're not granting a 10-day grace period.

[ ] We cannot consider this application because it was filed after the due date of the return for which an ext

[ ] Other ____________

RECEIVED FEB 0 3 2004

EXTENSION APPROVED

FEB 18 2004

LINDA WEISKOPF, FIELD DIRECTOR, SUBMISSION PROCESSING, OGDEN

Director ____________ By ____________

Alternate Mailing Address — Enter the address if you want the copy of this application for an returned to an address different than the one entered above.

Type or print	
	Name: MARKS PANETH & SHRON LLP, ATTN: SANDY PAILLERE 12
	Number and street (include suite, room, or apt. no.) Or a P.O. box number: 622 THIRD AVENUE
	City or town, province or state, and country (including postal or ZIP code): NEW YORK, NY 10017

STF FED9056F 2

LENOX HILL NEIGHBORHOOD HOUSE, INC.
FORM 990 ATTACHMENT
EIN 13-1628180
JUNE 30, 2003

FORM 990 PART I LINE 8C - SALES OF ASSETS OTHER THAN INVETORY

	Original Cost or Other Basis	Proceeds	Realized Gain or (Loss)
Realized Loss on Sale of Securities	5,038,620	3,450,360	(1,588,260)

FORM 990 PART I LINE 20 - OTHER CHANGES IN NET ASSETS

Unrealized Loss on Investments	1,525,993
Recognition of Minimum Pension Liability	(449,392)
Gain From Effect of Pension Curtailment	158,674
Total	1,235,275

FORM 990 PART I LINE 54- INVESTMENTS

Government securities	1,026,733
Corporate bonds	514,730
Equities	817,523
Total	2,358,986

FORM 990 PART IV LINE 57a - 57c - PROPERTY AND EQUIPMENT

	Cost	Accumulated Depreciation	Net Book Value	Estimated Useful Lives In Years
Land	164,999	-	164,999	
Buildings	499,481	499,481	-	50 years
Building Improvements	3,513,231	1,304,423	2,208,808	3-20 years
Furniture & Equipment	1,011,642	799,315	212,327	5-10 years
Total	5,189,353	2,603,219	2,586,134	
	Line 57a	Line 57b	Line 57c	

X \K L\Lenox Hill Neighborhood House\2003\2003 TAX\[statement for 990 xls]STMT3

LENOX HILL NEIGHBORHOOD HOUSE, INC.
FORM 990 ATTACHMENT
EIN 13-1628180
JUNE 30, 2003

FORM 990 PART II - STATEMENT OF FUNCTIONAL EXPENSES

	Total	Program Services	Management and General	Fund Raising
Payroll	$ 7,042,949	$ 5,982,562	$ 785,306	$ 275,081
Payroll taxes and benefits	1,825,941	1,639,307	112,424	74,210
Total Salaries and Related Costs	8,868,890	7,621,869	897,730	349,291
Professional fees	727,084	373,274	263,680	90,130
Insurance	117,071	97,349	15,897	3,825
Program activities	336,984	310,996	21,805	4,183
Occupancy	946,630	890,438	40,450	15,742
Expensed equipment	104,730	94,596	9,309	825
Telephone	137,896	116,245	15,048	6,603
Office supplies, printing and postage	167,468	110,027	20,874	36,567
Repairs and materials	185,080	170,445	10,664	3,971
Food	597,965	593,592	3,499	874
Transportation	105,525	102,048	3,365	112
Staff training	88,876	57,609	27,994	3,273
Payments to subgrantees	98,226	98,226	-	-
Depreciation	351,691	296,194	42,203	13,294
TOTAL EXPENSES	12,834,116	10,932,908	1,372,518	528,690
LESS: DONATED SERVICES	(147,136.00)	-	(147,136.00)	-
ADD: INVESTMENT FEES	14,499	-	14,499	-
TOTALS PER 990	$ 12,701,479	$ 10,932,908	$ 1,239,881	$ 528,690
	<PartII Ln 43a>	**<PartII Ln 43b>**	**<PartII Ln 43c>**	**<PartII Ln 43d>**

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STATEMENT 2

LENOX HILL NEIGHBORHOOD HOUSE, INC.
FORM 990, PART V
EIN 13-1628180
June 30, 2003

LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

(A) Name and address	(B) Title and average hours per week devoted to position	(C) Compensation (If not paid enter -0-.)	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
Warren B. Scharf 331 East 70th Street New York, NY 10021	Key Employee Executive Director 35 hours per week (Started 1/13/03)	$61,923	$3,950	None
Nancy Wackstein 331 East 70th Street New York, NY 10021	Key Employee Former Executive Director 35 hours per week (Left 9/17/2002)	$35,715	$3,257	None
Joseph H. Girven 331 East 70th Street New York, NY 10021	Key Employee Deputy Executive Director for Finance & Operations/Chief Financial Officer 35 hours per week	$103,904	$10,268	None
Mrs. Sydney Roberts Shuman 331 East 70th Street New York, NY 10021	Chair Hours As Needed	$0	$0	None
Diana Ronan Quasha 331 East 70th Street New York, NY 10021	President Hours: As Needed	$0	$0	None
Renée Landegger 331 East 70th Street New York, NY 10021	First Vice President Hours: As Needed	$0	$0	None
Allen Adler 331 East 70th Street New York, NY 10021	Vice President Hours. As Needed	$0	$0	None
Gary A. Beller 331 East 70th Street New York, NY 10021	Vice President Hours. As Needed	$0	$0	None

LENOX HILL NEIGHBORHOOD HOUSE, INC.
FORM 990, PART V
EIN 13-1628180
June 30, 2003

LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

(A) Name and address	(B) Title and average hours per week devoted to position	(C) Compensation (If not paid enter -0-.)	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
Timothy C. Collins 331 East 70th Street New York, NY 10021	Vice President Hours: As Needed	$0	$0	None
Christina Pennoyer 331 East 70th Street New York, NY 10021	Vice President Hours As Needed	$0	$0	None
John Rosselli 331 East 70th Street New York, NY 10021	Vice President Hours As Needed	$0	$0	None
David M. Wirtz 331 East 70th Street New York, NY 10021	Vice President Hours As Needed	$0	$0	None
Helene H. Tilney 331 East 70th Street New York, NY 10021	Secretary Hours. As Needed	$0	$0	None
Mal L. Barasch 331 East 70th Street New York, NY 10021	Treasurer Hours As Needed	$0	$0	None
Mark J. Kimsey 331 East 70th Street New York, NY 10021	Assistant Treasurer Hours As Needed	$0	$0	None
Charles Ayres 331 East 70th Street New York, NY 10021	Director Hours: As Needed	$0	$0	None
Raj Alva 331 East 70th Street New York, NY 10021	Director Hours As Needed	$0	$0	None

LENOX HILL NEIGHBORHOOD HOUSE, INC.
FORM 990, PART V
EIN 13-1628180
June 30, 2003

LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

(A) Name and address	(B) Title and average hours per week devoted to position	(C) Compensation (If not paid enter -0-.)	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
Mrs. Harold d'O. Baker 331 East 70th Street New York, NY 10021	Director Hours As Needed	$0	$0	None
Margery Baker-Riker 331 East 70th Street New York, NY 10021	Director Hours As Needed	$0	$0	None
Thompson Dean 331 East 70th Street New York, NY 10021	Director Hours As Needed	$0	$0	None
Hon. David N. Dinkins 331 East 70th Street New York, NY 10021	Director Hours As Needed	$0	$0	None
Thomas J. Edelman 331 East 70th Street New York, NY 10021	Director Hours As Needed	$0	$0	None
Jonathan Gargiulo 331 East 70th Street New York, NY 10021	Director Hours. As Needed	$0	$0	None
Helen D. Goldfarb 331 East 70th Street New York, NY 10021	Director Hours As Needed	$0	$0	None
Dr. Roger L. Greif 331 East 70th Street New York, NY 10021	Director Hours As Needed	$0	$0	None
Mrs. Jay V. Grimm 331 East 70th Street New York, NY 10021	Director Hours As Needed	$0	$0	None

LENOX HILL NEIGHBORHOOD HOUSE, INC.
FORM 990, PART V
EIN 13-1628180
June 30, 2003

LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

(A) Name and address	(B) Title and average hours per week devoted to position	(C) Compensation (If not paid enter -0-.)	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
Mrs. Martin Gruss 331 East 70th Street New York, NY 10021	Director Hours. As Needed	$0	$0	None
Jane S. Hoffman 331 East 70th Street New York, NY 10021	Director Hours· As Needed	$0	$0	None
Amabel B. James 331 East 70th Street New York, NY 10021	Director Hours. As Needed	$0	$0	None
Katherine Kahan 331 East 70th Street New York, NY 10021	Director Hours As Needed	$0	$0	None
Robert Kerrigan 331 East 70th Street New York, NY 10021	Director Hours As Needed	$0	$0	None
John H. Manice 331 East 70th Street New York, NY 10021	Director Hours As Needed	$0	$0	None
Joan G. Masket 331 East 70th Street New York, NY 10021	Director Hours. As Needed	$0	$0	None
Mrs. Gordon B. Pattee 331 East 70th Street New York, NY 10021	Director Hours. As Needed	$0	$0	None
Martin D. Raab 331 East 70th Street New York, NY 10021	Director Hours. As Needed	$0	$0	None

LENOX HILL NEIGHBORHOOD HOUSE, INC.
FORM 990, PART V
EIN 13-1628180
June 30, 2003

LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

(A) Name and address	(B) Title and average hours per week devoted to position	(C) Compensation (If not paid enter -0-.)	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
Phyllis C. Robinson 331 East 70th Street New York, NY 10021	Director Hours As Needed	$0	$0	None
Guy G. Rutherfurd, Jr. 331 East 70th Street New York, NY 10021	Director Hours. As Needed	$0	$0	None
Juan A. Sabater 331 East 70th Street New York, NY 10021	Director Hours. As Needed	$0	$0	None
Pamela Davis Van Ingen 331 East 70th Street New York, NY 10021	Director Hours As Needed	$0	$0	None
Charles S. Warren 331 East 70th Street New York, NY 10021	Director Hours: As Needed	$0	$0	None
Hedi H. White 331 East 70th Street New York, NY 10021	Director Hours: As Needed	$0	$0	None
Bunny Williams 331 East 70th Street New York, NY 10021	Director Hours As Needed	$0	$0	None
Mrs. Stanley Zabar 331 East 70th Street New York, NY 10021	Director Hours As Needed	$0	$0	None

LENOX HILL NEIGHBORHOOD HOUSE, INC.
FORM 990 ATTACHEMENT
EIN:13-1628180
June 30, 2003

FORM 990 PART IV LINE 9a - 9c - SPECIAL EVENTS

Description	Gross Receipts	Contribution Portion	Gross Revenue	Direct Expense	Net Loss
Spring Gala	$ 714,085	$ 666,825	$ 47,260	$ 156,924	$ (109,664)
Holiday Bazaar	587,175	564,475	22,700	156,524	(133,824)
Associates' Events	119,675	77,740	41,935	70,923	(28,988)
Kids in Candyland	74,025	68,300	5,725	25,703	(19,978)
Street Fair	32,936	32,936	-	4,665	(4,665)
	$ 1,527,896	$ 1,410,276	$ 117,620	$ 414,739	$ (297,119)
			LN 9a	Ln 9b	Ln 9c

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LENOX HILL NEIGHBORHOOD HOUSE, INC.

Lenox Hill Neighborhood House (The Neighborhood House) is a 110 year-old settlement house providing community-based social and educational services to 20,000 people in need every year on the East Side of Manhattan in New York City. The Neighborhood House is the oldest and largest social services organization on the Upper East Side with six departments, more than 20 different programs, 215 staff, and over 500 volunteers. The organization's mission is to help those in need who live, work, or go to school on Manhattan's East Side, primarily the Upper East Side, and to improve the quality of life for all individuals and families in its community. The Neighborhood House defines need broadly to include economic, social, emotional, and physical need, but gives priority to those in economic need. The Neighborhood House's objective is to help people gain the skills to necessary strengthen themselves and their community.

In the settlement house tradition, the Neighborhood House offers a broad range of services for all ages. On any given day a visitor to the Neighborhood House might encounter an unemployed resident receiving advice and funds to prevent eviction; a low-income father registering his child for our Head Start program; a senior citizen on a fixed income eating a nutritious lunch; a homeless person getting help finding housing; a teenager being tutored in math; or a recent immigrant learning English.

The Neighborhood House's responsive and integrated programming is intended to meet the myriad, interrelated needs that develop when people live in poverty or under stressful conditions. The Neighborhood House's programs operate beyond departmental boundaries to provide each person entering its doors with comprehensive care. This holistic approach enables the organization's staff to identify resources both within and outside of the Neighborhood House and to coordinate the flow of information between programs and with other agencies. The result is a seamless set of services for those with multiple needs.

The Neighborhood House's catchment area is East 14th Street to East 110th Street between Fifth Avenue and the East River as well as Roosevelt Island. New Yorkers of all different cultures, ethnic groups, generations, and economic means, who live, work, or attend school in the Neighborhood House's catchment area participate in the agency's comprehensive programs which include: Youth & Family Services; Older Adult Services; Homeless Services; Community Services & Education; Visual & Performing Arts; Legal Advocacy & Organizing; and home care through its affiliate, The Caring Neighbor.

HISTORY

The Neighborhood House was founded in 1894 by alumnae from the City University of New York's Hunter College as a free kindergarten for the children of immigrants living and working on the Upper East Side of Manhattan. As the community has grown and diversified over the last two centuries so has the Neighborhood House. It has long been a center of community activism and leadership addressing such issues as affordable housing, poor working conditions, health care, hunger, childcare, poverty, unemployment, homelessness, juvenile delinquency, crime prevention, and long-term care for older adults. In addition to creating New York City's first tenants' rights group, the Neighborhood House helped organize New York City's first "Meals on Wheels" program, first government-funded social adult day care program, and helped create a continuum of care for homeless people in New York City.

LEADERSHIP

The Neighborhood House is led by a skilled, active, and well-established 40-member Board of Directors comprised of some of New York City's most prominent citizens. The Board's main responsibilities include, strategy, policy, finances, management, development, and communication. The Board of Directors recruits, establishes performance criteria for, and evaluates the Neighborhood House's chief operating officer, the Executive Director. The Neighborhood House's Executive Director is an award-winning veteran legal services attorney with over 20 years of experience overseeing social service programs. The Executive Director is a frequent speaker, educator and trainer, on issues affecting unrepresented and underserved New Yorkers, and was awarded the 1999 Legal Services Award from the Association of the Bar of the City of New York.

PROGRAMS

Youth & Family Services (YAFS): The Neighborhood House's Youth & Family Services Department serves 400 low-income families each year. The Department's programs include the Early Childhood Center (ECC), the After School Program, and the Teen Center. The ECC is the Neighborhood House's highly regarded blended Head Start, Day Care, and Universal Pre-Kindergarten program for pre-schoolers. It serves 150 low-income families each year. In 2001 the National Association for the Education of Young Children granted accreditation to the ECC, a distinction given to fewer than 10 percent of early childhood programs nationwide. The ECC was also been selected by the Doris Duke Charitable Foundation as an *"exemplary early care and education program, which supports families as well as children."*

The Neighborhood House's After School Program serves 120 low-income children, ages five to 11, each year. The program is designed to help low-income parents hold full-time jobs with the confidence that their school-age children are safe, engaged in stimulating, healthy activity, and doing their homework. During the summer the After School Program becomes the Day Camp Program. The Day Camp serves about 250 children each year. The Neighborhood House's Teen Center is a comprehensive program of academic, athletic, art, career preparation, social, and leadership activities serving low-income youth ages 13 to 18. The YAFS department also has an extensive parent training program to help parents strengthen their knowledge and self-reliance so they can be their children's most effective primary educators and advocates. The Neighborhood House offers parent support groups and workshops on child development issues; informational lectures; computer literacy education at a variety of levels; and Parent Substitute Assistant Teacher classes in which parents can learn how to be a teacher's assistant.

The Neighborhood House's Director of Youth & Family Services holds a Masters of Science degree in early childhood education, and has been with the Neighborhood House for more than 20 years. The Director is a sought-after speaker on the subject of early childhood education and was a featured presenter at the National Head Start conference in 2003. The Director is also a member of the Education Advisory Board of the City University of New York's Hunter College and the Head Start Committee of the New York City Administration for Children's Services.

Older Adult Services: The Neighborhood House's Older Adult Services Department serves approximately 4,000 senior citizens throughout New York City each year. The majority of these seniors are low-income individuals on fixed incomes. The Department's programs include two model senior centers with roughly 3,000 members; a wheel chair-accessible transportation program; a case management program; two social adult day care programs; and a respite program for adults struggling to care for aging relatives. The Neighborhood House's Director of Older Adult Services holds a PhD in Social Work with a specialization in gerontology, has more than twenty years of experience, and has been with the Neighborhood House for 12 years. The Director lectures frequently on aging issues including at the National Council on the Aging conferences and at the United Nations.

Homeless Services: The Neighborhood House's Homeless Services Department serves approximately 2,000 homeless men and women each year. The Department's programs include: a Homeless Outreach Project; a 100-bed women's shelter serving women age 45 and over who are mentally ill or have suffered from other illnesses; a supported housing facility comprising 54 apartments for formerly homeless single adults who are mentally ill; a transitional housing program which prepares homeless individuals for permanent housing; a social service program to help formerly homeless individuals remain in their own homes; and the East Side Homeless Network, a collaboration with two other New York City non-profits, which coordinates services from outreach to transitional housing to employment for homeless men and women. The Neighborhood House's Director of Homeless Services is an MSW with more than ten years of experience overseeing and creating programs for homeless people.

Community Services & Education: The Community Services & Education Department offers English for Speakers of Other Languages classes and computer training. The Neighborhood House's Computer Learning Center serves approximately 800 individuals each year including low-income, underemployed individuals and welfare recipients who want to improve their skills or re-enter the work force. The Department also operates a successful alternative welfare to work program, Project Opportunity, which offers internships; vocational training; placement in unsubsidized jobs; counseling; and on-going support once full-time employment is secured. Project Opportunity is authorized as a Work Experience Program site by the City of New York. The Community Services & Education Department also operates a Fitness Center with state-of-the-art equipment and an indoor heated swimming pool. The Director of Community Services & Education is an MSW, has over 20 years of experience providing educational services in the U.S. and Latin America, and has been with the Neighborhood House for 14 years.

Visual & Performing Arts: The Neighborhood House's Visual & Performing Arts Department provides an arts education curriculum and activities to clients in all of the agency's programs. The Department also offers a community arts program through a monthly entertainment series, Second Sundays; and a Community Theatre, which stages readings and plays by established playwrights as well as by aspiring writers throughout New York City. The Department is headed by the Director of Visual & Performing Arts, a graduate of the Tisch School of the Arts at New York University. The Director has been with the Neighborhood House for ten years.

Legal Advocacy & Organizing: The Neighborhood House's Legal Advocacy & Organizing Department provides individuals with assistance from experienced public-interest attorneys and community organizers on such matters as evictions, SSI hearings, entitlements, preparing legal documents, and political asylum. Services provided include screening, assessment, advice and counsel, representation and referrals. The Department also has a Food Stamp advocacy program in which an organizer helps residents apply for food stamp benefits and educates the community about food stamp eligibility and availability. The Department is headed by an experienced public-interest attorney who was the former Deputy Director of Programs and Policy at the Children's Defense Fund, and a trial attorney at the Juvenile Rights Division of The Legal Aid Society in New York City.

AFFILIATED PROGRAM

The Caring Neighbor: The Caring Neighbor (TCN) was founded in 1981 as the home care affiliate of the Neighborhood House. TCN provides home care services, including assistance with bathing, grooming, dressing, walking, light housekeeping, shopping, and cooking to approximately 650 low-income, frail, elderly and low-income disabled individuals in the New York City communities of the Upper and Lower East Side, Roosevelt Island, Battery Park City and Chinatown. TCN employs more than 600 homecare attendants. TCN's Director is an MSW with more than 20 years of experience in aging services.

AFFILIATIONS

Lenox Hill Neighborhood House is a member of United Neighborhood Houses of New York City, the Council of Senior Centers and Services of New York City, the Council on Human Services and Policies of New York City, the Supportive Housing Network of New York City, the Day Care Council of New York City, and the Human Services Council of New York City.