

# Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

**2003**

Department of the Treasury  
Internal Revenue Service

**Open to Public Inspection**

The organization may have to use a copy of this return to satisfy state reporting requirements

**A For the 2003 calendar year, or tax year beginning** \_\_\_\_\_, **and ending** \_\_\_\_\_

- B** Check if applicable:
- Address change
  - Name change
  - Initial return
  - Final return
  - Amended return
  - Application pending

**C Name of organization**  
American Society For The Prevention Of Cruelty To Animals

Number and street (or P O box if mail is not delivered to street address) Room/suite  
424 East 92nd Street

City or town State or country ZIP + 4  
New York, NY 10128-6804

**D Employer identification number**  
13-1623829

**E Telephone number**  
(212) 876-7700

**F Accounting method**  Cash  Accrual  
 Other (specify) \_\_\_\_\_

• Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ).

- H and I are not applicable to section 527 organizations**
- H(a)** Is this a group return for affiliates?  Yes  No
- H(b)** If "Yes," enter number of affiliates  N/A
- H(c)** Are all affiliates included?  Yes  No  
(If "No," attach a list See instructions) N/A
- H(d)** Is this a separate return filed by an organization covered by a group ruling?  Yes  No

**G Website:** [www.aspca.org](http://www.aspca.org)

**J Organization type** (check only one)  501(c) ( 3 ) (insert no )  4947(a)(1) or  527

**K** Check here  if the organization's gross receipts are normally not more than \$25,000. The organization need not file a return with the IRS, but if the organization received a Form 990 Package in the mail, it should file a return without financial data. **Some states require a complete return**

**I** Group Exemption Number \_\_\_\_\_

**M** Check  if the organization is not required to attach Sch B (Form 990, 990-EZ, or 990-PF)

**L** Gross receipts Add lines 6b, 8b, 9b, and 10b to line 12 **57,991,416**

**Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances** (See page 18 of the instructions.)

Revenue	<b>1</b> Contributions, gifts, grants, and similar amounts received:				
	<b>a</b> Direct public support	<b>1a</b>	35,402,876		
	<b>b</b> Indirect public support	<b>1b</b>	868,156		
	<b>c</b> Government contributions (grants)	<b>1c</b>			
	<b>d Total</b> (add lines 1a through 1c) (cash \$ 33,083,386 noncash \$ 3,187,646)	<b>1d</b>			36,271,032
	<b>2</b> Program service revenue including government fees and contracts (from Part VII, line 93)	<b>2</b>			7,393,200
	<b>3</b> Membership dues and assessments	<b>3</b>			0
	<b>4</b> Interest on savings and temporary cash investments	<b>4</b>			49,862
	<b>5</b> Dividends and interest from securities	<b>5</b>			1,215,277
	<b>6a</b> Gross rents	<b>6a</b>	190,898		
	<b>b</b> Less rental expenses	<b>6b</b>	89,492		
	<b>6c</b> Net rental income or (loss) (subtract line 6b from line 6a)	<b>6c</b>			101,406
<b>7</b> Other investment income (describe _____)	<b>7</b>			0	
Revenue	<b>8a</b> Gross amount from sales of assets other than inventory	(A) Securities		(B) Other	
		10,759,282	<b>8a</b>	0	
	<b>b</b> Less cost or other basis and sales expenses	10,819,044	<b>8b</b>	0	
	<b>c</b> Gain or (loss) (attach schedule)	-59,762	<b>8c</b>	0	
<b>d</b> Net gain or (loss) (combine line 8c, columns (A) and (B))	<b>8d</b>			-59,762	
Revenue	<b>9</b> Special events and activities (attach schedule) If any amount is from gaming, check here <input type="checkbox"/>				
	<b>a</b> Gross revenue (not including \$ 166,086 of contributions reported on line 1a)	<b>9a</b>	488,015		
	<b>b</b> Less direct expenses other than fundraising expenses	<b>9b</b>	262,720		
<b>c</b> Net income or (loss) from special events (subtract line 9b from line 9a)	<b>9c</b>			225,295	
Revenue	<b>10a</b> Gross sales of inventory, less returns and allowances	<b>10a</b>			
	<b>b</b> Less cost of goods sold	<b>10b</b>			
	<b>c</b> Gross profit or (loss) from sales of inventory (attach schedule) (subtract line 10b from line 10a)	<b>10c</b>			0
<b>11</b> Other revenue (from Part VII, line 103)	<b>11</b>			1,623,850	
<b>12</b> Total revenue (add lines 1d, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11)	<b>12</b>			46,820,160	
Expenses	<b>13</b> Program services (from line 44, column (B))	<b>13</b>			33,513,597
	<b>14</b> Management and general (from line 44, column (C))	<b>14</b>			481,770
	<b>15</b> Fundraising (from line 44, column (D))	<b>15</b>			8,970,197
	<b>16</b> Payments to affiliates (attach schedule)	<b>16</b>			0
	<b>17</b> Total expenses (add lines 16 and 44, column (A))	<b>17</b>			42,965,564
Net Assets	<b>18</b> Excess or (deficit) for the year (subtract line 17 from line 12)	<b>18</b>			3,854,596
	<b>19</b> Net assets or fund balances at beginning of year (from line 73, column (A))	<b>19</b>			53,983,597
	<b>20</b> Other changes in net assets or fund balances (attach explanation)	<b>20</b>			6,855,282
	<b>21</b> Net assets or fund balances at end of year (combine lines 18, 19, and 20)	<b>21</b>			64,693,475

For Paperwork Reduction Act Notice, see the separate instructions.

POSTMARK DATE

SCANNED JUL 1 2 2004

RECEIVED  
JUN 29 2004  
OGDEN, UT

613-14 3 NR

Part II Statement of Functional Expenses All organizations must complete column (A) Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others (See page 22 of the instructions )

Table with 5 columns: Description, (A) Total, (B) Program services, (C) Management and general, (D) Fundraising. Rows include Grants and allocations, Specific assistance, Benefits paid, Compensation of officers, Other salaries and wages, Pension plan contributions, etc.

Joint Costs. Check [X] if you are following SOP 98-2. Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services? [X] Yes [ ] No. If "Yes," enter (i) the aggregate amount of these joint costs \$ 8,801,707 ; (ii) the amount allocated to Program services \$ 3,863,381 ; (iii) the amount allocated to Management and general \$ 158,538 ; and (iv) the amount allocated to Fundraising \$ 4,779,788

Part III Statement of Program Service Accomplishments (See page 25 of the instructions )

Table with 2 columns: Description, Program Service Expenses. Description includes Animal Welfare - Prevention of cruelty to animals, Animal hospital and mobile veterinary clinics, Animal Poison Control Center, Humane Education, Communications, Other program services.

**Part IV** Balance Sheets (See page 25 of the instructions.)

			(A)		(B)	
			Beginning of year		End of year	
<b>Note:</b> Where required, attached schedules and amounts within the description column should be for end-of-year amounts only						
Assets	45	Cash—non-interest-bearing	747,912	45	566,968	
	46	Savings and temporary cash investments	6,799,826	46	6,745,360	
	47 a	Accounts receivable	2,016,876			
		b Less allowance for doubtful accounts	0	1,692,318	47c	2,016,876
	48 a	Pledges receivable	0			
		b Less allowance for doubtful accounts	0	0	48c	0
	49	Grants receivable			49	
	50	Receivables from officers, directors, trustees, and key employees (attach schedule)		0	50	0
	51 a	Other notes and loans receivable (attach schedule)				
		b Less allowance for doubtful accounts	0	0	51c	0
	52	Inventories for sale or use	138,561	52	138,384	
	53	Prepaid expenses and deferred charges	683,688	53	884,019	
	54	Investments—securities (attach schedule)	<input type="checkbox"/> Cost <input checked="" type="checkbox"/> FMV	19,846,471	54	30,743,303
	55 a	Investments—land, buildings, and equipment, basis	0			
		b Less accumulated depreciation (attach schedule)	0	0	55c	0
	56	Investments—other (attach schedule)			56	0
	57 a	Land, buildings, and equipment: basis	27,153,232			
		b Less accumulated depreciation (attach schedule)	8,094,895	19,816,877	57c	19,058,337
58	Other assets (describe <input checked="" type="checkbox"/> Beneficial interests in perpetual trusts )		12,789,144	58	13,490,543	
<b>59 Total assets (add lines 45 through 58) (must equal line 74)</b>			62,514,797	59	73,643,790	
Liabilities	60	Accounts payable and accrued expenses	3,107,239	60	2,465,809	
	61	Grants payable		61		
	62	Deferred revenue	240,833	62	903,229	
	63	Loans from officers, directors, trustees, and key employees (attach schedule)	0	63	0	
	64 a	Tax-exempt bond liabilities (attach schedule)	0	64a	0	
		b Mortgages and other notes payable (attach schedule)	0	64b	0	
	65	Other liabilities (describe <input checked="" type="checkbox"/> See attached worksheet )		5,183,128	65	5,581,277
<b>66 Total liabilities (add lines 60 through 65)</b>			8,531,200	66	8,950,315	
Net Assets or Fund Balances	<b>Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 67 through 69 and lines 73 and 74.</b>					
	67	Unrestricted	37,774,559	67	47,808,696	
	68	Temporarily restricted	2,674,895	68	2,649,237	
	69	Permanently restricted	13,534,143	69	14,235,542	
	<b>Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 70 through 74.</b>					
	70	Capital stock, trust principal, or current funds		70		
	71	Paid-in or capital surplus, or land, building, and equipment fund		71		
	72	Retained earnings, endowment, accumulated income, or other funds		72		
	73	<b>Total net assets or fund balances (add lines 67 through 69 or lines 70 through 72; column (A) must equal line 19; column (B) must equal line 21)</b>		53,983,597	73	64,693,475
	74	<b>Total liabilities and net assets / fund balances (add lines 66 and 73)</b>		62,514,797	74	73,643,790

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.

**Part IV-A Reconciliation of Revenue per Audited Financial Statements with Revenue per Return** (See page 27 of the instructions)

**Part IV-B Reconciliation of Expenses per Audited Financial Statements with Expenses per Return**

<b>a</b>	Total revenue, gains, and other support per audited financial statements		<b>a</b>	43,218,543
<b>b</b>	Amounts included on line a but not on line 12, Form 990			
(1)	Net unrealized gains on investments	\$		
(2)	Donated services and use of facilities	\$		
(3)	Recoveries of prior year grants	\$		
(4)	Other (specify):			
	Investment income for operations	\$ 1,065,200		
	Add amounts on lines (1) through (4)		<b>b</b>	1,065,200
<b>c</b>	Line a minus line b		<b>c</b>	42,153,343
<b>d</b>	Amounts included on line 12, Form 990 but not on line a:			
(1)	Investment expenses not included on line 6b, Form 990	\$		
(2)	Other (specify):			
	Bequests for endowment	\$ 3,898,445		
	Investment income	\$ 768,372		
	Add amounts on lines (1) and (2)		<b>d</b>	4,666,817
<b>e</b>	Total revenue per line 12, Form 990 (line c plus line d)		<b>e</b>	46,820,160

<b>a</b>	Total expenses and losses per audited financial statements		<b>a</b>	42,965,564
<b>b</b>	Amounts included on line a but not on line 17, Form 990			
(1)	Donated services and use of facilities	\$		
(2)	Prior year adjustments reported on line 20, Form 990	\$		
(3)	Losses reported on line 20, Form 990	\$		
(4)	Other (specify)	\$		
	Add amounts on lines (1) through (4)		<b>b</b>	0
<b>c</b>	Line a minus line b		<b>c</b>	42,965,564
<b>d</b>	Amounts included on line 17, Form 990 but not on line a:			
(1)	Investment expenses not included on line 6b, Form 990	\$		
(2)	Other (specify)	\$		
	Add amounts on lines (1) and (2)		<b>d</b>	0
<b>e</b>	Total expenses per line 17, Form 990 (line c plus line d)		<b>e</b>	42,965,564

**Part V List of Officers, Directors, Trustees, and Key Employees** (List each one even if not compensated, see page 27 of the instructions)

(A) Name and address	(B) Title and average hours per week devoted to position	(C) Compensation (if not paid, enter -0-)	(D) Contributions to employee benefit plans & deferred compensation	(E) Expense account and other allowances
Name Edwin J Sayres Str City 424 E 92nd St ST NY ZIP 10128	Title President 40 Hr/WK 8 months	152,885	9,156	24,000
Name Dr Larry M Hawk Str City 424 E 92nd St ST NY ZIP 10128	Title President Hr/WK 4 months	145,386	7,719	None
Name Stephen Musso Str City 424 E 92nd St ST NY ZIP 10128	Title Senior VP 40 Hr/WK NY Operations	177,682	11,340	None
Name Stephen Zawistowski Str City 424 E 92nd St ST NY ZIP 10128	Title Senior VP 40 Hr/WK National Programs	139,617	15,058	None
Name Stephen Eudene Str City 424 E 92nd St ST NY ZIP 10128	Title Senior VP & CFO 40 Hr/WK	123,930	10,942	None
Name City ST ZIP	Title Hr/WK			
Name Members of Board of Directors - Statement 8 Str City ST ZIP	Title Hr/WK	0	0	0
Name City ST ZIP	Title Hr/WK			
Name City ST ZIP	Title Hr/WK			

75 Did any officer, director, trustee, or key employee receive aggregate compensation of more than \$100,000 from your organization and all related organizations, of which more than \$10,000 was provided by the related organizations?  
If "Yes," attach schedule—see page 28 of the instructions

Yes  No

Part VI

Other Information (See page 28 of the instructions.)

Yes No

76 Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity . . . . . 76 X

77 Were any changes made in the organizing or governing documents but not reported to the IRS? . . . . . 77 X  
If "Yes," attach a conformed copy of the changes.

78 a Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return? . . . . . 78a X  
b If "Yes," has it filed a tax return on Form 990-T for this year? . . . . . 78b X

79 Was there a liquidation, dissolution, termination, or substantial contraction during the year? If "Yes," attach a statement . . . . . 79 X

80 a Is the organization related (other than by association with a statewide or nationwide organization) through common membership, governing bodies, trustees, officers, etc., to any other exempt or nonexempt organization? . . . . . 80a X  
b If "Yes," enter the name of the organization ▶ \_\_\_\_\_ and check whether it is  exempt or  nonexempt.

81 a Enter direct and indirect political expenditures. See line 81 Instructions . . . . . 81a N one  
b Did the organization file Form 1120-POL for this year? . . . . . 81b X

82 a Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value? Volunteer dog walkers . . . . . 82a X  
b If "Yes," you may indicate the value of these items here. Do not include this amount as revenue in Part I or as an expense in Part II. (See instructions in Part III.) . . . . . 82b N/A

83 a Did the organization comply with the public inspection requirements for returns and exemption applications? . . . . . 83a X  
b Did the organization comply with the disclosure requirements relating to quid pro quo contributions? . . . . . 83b X

84 a Did the organization solicit any contributions or gifts that were not tax deductible? . . . . . 84a N/A  
b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? . . . . . 84b N/A

85 501(c)(4), (5), or (6) organizations. a Were substantially all dues nondeductible by members? . . . . . 85a N/A  
b Did the organization make only in-house lobbying expenditures of \$2,000 or less? . . . . . 85b N/A  
If "Yes" was answered to either 85a or 85b, do not complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year.  
c Dues, assessments, and similar amounts from members . . . . . 85c N/A  
d Section 162(e) lobbying and political expenditures . . . . . 85d N/A  
e Aggregate nondeductible amount of section 6033(e)(1)(A) dues notices . . . . . 85e N/A  
f Taxable amount of lobbying and political expenditures (line 85d less 85e) . . . . . 85f N/A  
g Does the organization elect to pay the section 6033(e) tax on the amount on line 85f? . . . . . 85g N/A  
h If section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount on line 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year? . . . . . 85h N/A

86 501(c)(7) orgs. Enter: a Initiation fees and capital contributions included on line 12 . . . . . 86a N/A  
b Gross receipts, included on line 12, for public use of club facilities . . . . . 86b N/A

87 501(c)(12) orgs. Enter: a Gross income from members or shareholders . . . . . 87a N/A  
b Gross income from other sources. (Do not net amounts due or paid to other sources against amounts due or received from them.) . . . . . 87b N/A

88 At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Part IX . . . . . 88 X

89 a 501(c)(3) organizations. Enter: Amount of tax imposed on the organization during the year under: section 4911 ▶ None ; section 4912 ▶ None ; section 4955 ▶ None  
b 501(c)(3) and 501(c)(4) orgs. Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach a statement explaining each transaction . . . . . 89b X  
c Enter: Amount of tax imposed on the organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 . . . . . ▶ None  
d Enter: Amount of tax on line 89c, above, reimbursed by the organization . . . . . ▶ None

90 a List the states with which a copy of this return is filed ▶ Statement 10 : \_\_\_\_\_  
b Number of employees employed in the pay period that includes March 12, 2003 (See instructions.) . . . . . 90b 276

91 The books are in care of ▶ Name A S P C A Telephone no ▶ (212)876-7700  
Located at ▶ 424 East 92nd Street City New York ST NY Zip + 4 ▶ 10128-6804

92 Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of Form 1041—Check here . . . . . ▶   
and enter the amount of tax-exempt interest received or accrued during the tax year . . . . . 92 N/A

**Part VII Analysis of Income-Producing Activities** (See page 33 of the instructions.)

Note: Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 613, or 514		(E) Related or exempt function income
	(A) Business code	(B) Amount	(C) Exclusion code	(D) Amount	
93 Program service revenue:					
a Animal hospital and clinics					3,898,414
b Animal poison control center					3,108,194
c Animal placement fees					165,017
d Animal behavior training fees					134,793
e Education/outreach revenue					88,782
f Medicare/Medicaid payments					
g Fees and contracts from government agencies					
94 Membership dues and assessments					
95 Interest on savings and temporary cash investments			14	49,862	
96 Dividends and interest from securities			14	1,215,277	
97 Net rental income or (loss) from real estate:					
a debt-financed property					
b not debt-financed property			16	101,408	
98 Net rental income or (loss) from personal property					
99 Other investment income					
100 Gain or (loss) from sales of assets other than inventory			18	-59,762	
101 Net income or (loss) from special events			01	225,295	
102 Gross profit or (loss) from sales of inventory					
103 Other revenue: a Advertising	511120	9,600			
b Royalties/licensing			15	1,060,483	
c List sales			13	523,200	
d Horse show & misc.			01	30,567	
e					
104 Subtotal (add columns (B), (D), and (E))		9,600		3,146,328	7,393,200
105 Total (add line 104, columns (B), (D), and (E))					10,549,128

Note: Line 105 plus line 1d, Part I, should equal the amount on line 12, Part I.

**Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes** (See page 34 of the instructions.)

Line No.	Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes).
▼	SEE STATEMENT 9

**Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities** (See page 34 of the instructions.)

(A) Name, address, and EIN of corporation, partnership, or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End-of-year assets
N/A	%		0	0
	%		0	0
	%		0	0
	%		0	0

**Part X Information Regarding Transfers Associated with Personal Benefit Contracts** (See page 34 of the instructions.)

- (a) Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No
- (b) Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No

Note: If "Yes" to (b), file Form 8870 and Form 4720 (see instructions)

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Please Sign Here: Stephen Eudane Signature of officer Date: 6/15/04

Sr. VP & CFO

Date: 6/14/04 Check if self-employed  Preparer's SSN or PTIN (See Gen. Inst. W)

**SCHEDULE A**  
**(Form 990 or 990-EZ)**

**Organization Exempt Under Section 501(c)(3)**

OMB No 1545-0047

(Except Private Foundation) and Section 501(e), 501(f), 501(k),  
501(n), or Section 4947(a)(1) Nonexempt Charitable Trust

**2003**

**Supplementary Information—(See separate instructions.)**

**MUST be completed by the above organizations and attached to their Form 990 or 990-EZ**

Department of the Treasury  
Internal Revenue Service

Name of the organization  
American Society For The Prevention Of Cruelty To Animals

Employer identification number  
13-1623829

**Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees**

(See page 1 of the instructions List each one If there are none, enter "None ")

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
Name Dr Steven Hansen Str 1717 S Philo Rd City Urbana ST IL Zip 61802 Country	Title Senior VP-APCC Avg hr/wk 40	137,009	14,039	None
Name Barbara Garber Str 424 E 92nd St City New York ST NY Zip 10128 Country	Title Senior VP-Communications Avg hr/wk 40	136,657	5,936	None
Name Lee Murray Str 424 E 92nd St City New York ST NY Zip 10128 Country	Title Senior VP- Human Resources Avg hr/wk 40	118,080	426	None
Name Ellen Hirshberg Str 424 E 92nd St City New York ST NY Zip 10128 Country	Title Veterinarian Avg hr/wk 40	111,186	5,748	None
Name Lisa Weisberg Str 424 E 92nd St City New York ST NY Zip 10128 Country	Title Senior VP-Government Affairs Avg hr/wk 40	110,303	5,741	None
Total number of other employees paid over \$50,000	85			

**Part II Compensation of the Five Highest Paid Independent Contractors for Professional Services**

(See page 2 of the instructions. List each one (whether individuals or firms) If there are none, enter "None.")

(a) Name and address of each independent contractor paid more than \$50,000	(b) Type of service	(c) Compensation
Name O'Brien, McConnel & Pearson Str 1726 M Street NW City Washington DC ST ZIP 20036 Country Check here if a business <input checked="" type="checkbox"/>	Fund Raising Consultant	615,910
Name Telefund Str 1129 State Street, Suite 3E City Santa Barbara, CA ST ZIP 93101 Country Check here if a business <input checked="" type="checkbox"/>	Fund Raiser	599,221
Name Rosen, Weinhaus LLP Str 40 Wall Street City New York, NY ST ZIP 10005 Country Check here if a business <input type="checkbox"/>	Legal	173,490
Name Facter Direct Str 11500 Olympic Blvd, Suite 540 City Los Angeles, CA ST ZIP 90064 Country Check here if a business <input checked="" type="checkbox"/>	Fund Raiser	167,745
Name Share Group Str 90 Dover Street City Somerville, MA ST ZIP 02144 Country Check here if a business <input checked="" type="checkbox"/>	Fund Raiser	136,374
Total number of others receiving over \$50,000 for professional services	6	

For Paperwork Reduction Act Notice, see the Instructions for Form 990 and Form 990-EZ.

Schedule A (Form 990 or 990-EZ) 2003

(HTA)

Part III Statements About Activities (See page 2 of the instructions.)

Table with 4 columns: Question, Yes, No. Rows include: 1. During the year, has the organization attempted to influence national, state, or local legislation... 2. During the year, has the organization, either directly or indirectly, engaged in any of the following acts... 3a. Do you make grants for scholarships, fellowships, student loans, etc.? 3b. Do you have a section 403(b) annuity plan for your employees? 4. Did you maintain any separate account for participating donors...

Part IV Reason for Non-Private Foundation Status (See pages 3 through 6 of the instructions.)

The organization is not a private foundation because it is: (Please check only ONE applicable box.)

- 5 [ ] A church, convention of churches, or association of churches. Section 170(b)(1)(A)(i).
6 [ ] A school Section 170(b)(1)(A)(ii). (Also complete Part V.)
7 [ ] A hospital or a cooperative hospital service organization. Section 170(b)(1)(A)(iii).
8 [ ] A Federal, state, or local government or governmental unit. Section 170(b)(1)(A)(v).
9 [ ] A medical research organization operated in conjunction with a hospital. Section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state
10 [ ] An organization operated for the benefit of a college or university owned or operated by a governmental unit. Section 170(b)(1)(A)(iv). (Also complete the Support Schedule in Part IV-A.)
11 a [X] An organization that normally receives a substantial part of its support from a governmental unit or from the general public. Section 170(b)(1)(A)(vi). (Also complete the Support Schedule in Part IV-A.)
11 b [ ] A community trust. Section 170(b)(1)(A)(vi). (Also complete the Support Schedule in Part IV-A.)
12 [ ] An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc., functions...
13 [ ] An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in: (1) lines 5 through 12 above; or (2) section 501(c)(4), (5), or (6), if they meet the test of section 509(a)(2). (See section 509(a)(3).)

Provide the following information about the supported organizations (See page 5 of the instructions.)

Table with 2 columns: (a) Name(s) of supported organization(s), (b) Line number from above. Multiple rows for listing organizations.

- 14 [ ] An organization organized and operated to test for public safety. Section 509(a)(4). (See page 6 of the instructions.)

**Part IV-A Support Schedule** (Complete only if you checked a box on line 10, 11, or 12) **Use cash method of accounting.**

**Note:** You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting

Calendar year (or fiscal year beginning in)	(a) 2002	(b) 2001	(c) 2000	(d) 1999	(e) Total
15 Gifts, grants, and contributions received. (Do not include unusual grants. See line 28.)	32,746,227	29,903,340	22,957,235	26,861,798	112,468,600
16 Membership fees received					0
17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable, etc., purpose	6,334,207	5,536,454	4,967,839	4,788,315	21,626,815
18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975	2,501,046	3,076,501	3,584,764	2,829,226	11,991,537
19 Net income from unrelated business activities not included in line 18					0
20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf					0
21 The value of services or facilities furnished to the organization by a governmental unit without charge. Do not include the value of services or facilities generally furnished to the public without charge					0
22 Other income. Attach a schedule. Do not include gain or (loss) from sale of capital assets					0
23 Total of lines 15 through 22	41,581,480	38,516,295	31,509,838	34,479,339	146,086,952
24 Line 23 minus line 17	35,247,273	32,979,841	26,541,999	29,691,024	124,460,137
25 Enter 1% of line 23	415,815	385,163	315,098	344,793	
26 Organizations described on lines 10 or 11: a Enter 2% of amount in column (e), line 24					26a 2,489,203
b Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 1999 through 2002 exceeded the amount shown in line 26a. Do not file this list with your return. Enter the total of all these excess amounts					26b
c Total support for section 509(a)(1) test: Enter line 24, column (e)					26c 124,460,137
d Add: Amounts from column (e) for lines: 18 11,991,537 19 0					26d 11,991,537
22 0 26b 0					26e 112,468,600
e Public support (line 26c minus line 26d total)					26f 90 37%
f Public support percentage (line 26e (numerator) divided by line 26c (denominator))					
27 Organizations described on line 12: a For amounts included in lines 15, 16, and 17 that were received from a "disqualified person," prepare a list for your records to show the name of, and total amounts received in each year from, each "disqualified person." Do not file this list with your return. Enter the sum of such amounts for each year:					
(2002) (2001) (2000) (1999)					
b For any amount included in line 17 that was received from each person (other than "disqualified persons"), prepare a list for your records to show the name of, and amount received for each year, that was more than the larger of (1) the amount on line 25 for the year or (2) \$5,000. (Include in the list organizations described in lines 5 through 11, as well as individuals.) Do not file this list with your return. After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year:					
(2002) (2001) (2000) (1999)					
c Add: Amounts from column (e) for lines: 15 0 16 0					27c 0
17 0 20 0 21 0					27d 0
d Add Line 27a total 0 and line 27b total 0					27e 0
e Public support (line 27c total minus line 27d total)					27f 0
f Total support for section 509(a)(2) test: Enter amount from line 23, column (e)					27g 0 00%
g Public support percentage (line 27e (numerator) divided by line 27f (denominator))					27h 0 00%
h Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator))					

28 Unusual Grants: For an organization described in line 10, 11, or 12 that received any unusual grants during 1999 through 2002, prepare a list for your records to show, for each year, the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant. Do not file this list with your return. Do not include these grants in line 15

**Part V Private School Questionnaire** (See page 7 of the instructions )  
**(To be completed ONLY by schools that checked the box on line 6 in Part IV)**

	Yes	No
29 Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?		
30 Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?	✓	✓
31 Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe, if "No," please explain. (If you need more space, attach a separate statement.)	✓	✓
32 Does the organization maintain the following:		
a Records indicating the racial composition of the student body, faculty, and administrative staff?		
b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?		
c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?		
d Copies of all material used by the organization or on its behalf to solicit contributions?		
If you answered "No" to any of the above, please explain. (If you need more space, attach a separate statement )		
33 Does the organization discriminate by race in any way with respect to:		
a Students' rights or privileges?		
b Admissions policies?		
c Employment of faculty or administrative staff?		
d Scholarships or other financial assistance?		
e Educational policies?		
f Use of facilities?		
g Athletic programs?		
h Other extracurricular activities?		
If you answered "Yes" to any of the above, please explain. (If you need more space, attach a separate statement )		
34 a Does the organization receive any financial aid or assistance from a governmental agency?		
b Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either 34a or b, please explain using an attached statement.		
35 Does the organization certify that it has complied with the applicable requirements of sections 4.01 through 4.05 of Rev Proc 75-50, 1975-2 C B. 587, covering racial nondiscrimination? If "No," attach an explanation		

**Part VI-A Lobbying Expenditures by Electing Public Charities** (See page 9 of the instructions.)  
 (To be completed **ONLY** by an eligible organization that filed Form 5768)

Check **a**  if the organization belongs to an affiliated group. Check **b**  if you checked "a" and "limited control" provisions apply

**Limits on Lobbying Expenditures**

(The term "expenditures" means amounts paid or incurred.)

		(a) Affiliated group totals	(b) To be completed for ALL electing organizations
36	Total lobbying expenditures to influence public opinion (grassroots lobbying)	36	102,770
37	Total lobbying expenditures to influence a legislative body (direct lobbying)	37	367,598
38	Total lobbying expenditures (add lines 36 and 37)	38 0	470,368
39	Other exempt purpose expenditures	39	33,043,529
40	Total exempt purpose expenditures (add lines 38 and 39)	40 0	33,513,897
41	Lobbying nontaxable amount. Enter the amount from the following table—		
	<b>If the amount on line 40 is—</b>	<b>The lobbying nontaxable amount is—</b>	
	Not over \$500,000	20% of the amount on line 40	
	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000	
	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000	
	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000	
	Over \$17,000,000	\$1,000,000	
42	Grassroots nontaxable amount (enter 25% of line 41)	42 0	250,000
43	Subtract line 42 from line 36. Enter -0- if line 42 is more than line 36	43 0	0
44	Subtract line 41 from line 38. Enter -0- if line 41 is more than line 38	44 0	0

**Caution:** If there is an amount on either line 43 or line 44, you must file Form 4720

**4-Year Averaging Period Under Section 501(h)**

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below  
 See the instructions for lines 45 through 50 on page 11 of the instructions )

Calendar year (or fiscal year beginning in) ▶	Lobbying Expenditures During 4-Year Averaging Period					
	(a) 2003	(b) 2002	(c) 2001	(d) 2000	(e) Total	
45	Lobbying nontaxable amount	1,000,000	1,000,000	1,000,000	1,000,000	4,000,000
46	Lobbying ceiling amount (150% of line 45(e))					6,000,000
47	Total lobbying expenditures	470,368	532,384	653,149	697,087	2,352,988
48	Grassroots nontaxable amount	250,000	250,000	250,000	250,000	1,000,000
49	Grassroots ceiling amount (150% of line 48(e))					1,500,000
50	Grassroots lobbying expenditures	102,770	114,414	182,021	151,376	550,581

**Part VI-B Lobbying Activity by Nonelecting Public Charities**  
 (For reporting only by organizations that did not complete Part VI-A) (See page 12 of the instructions.)

During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:	Yes	No	Amount
a Volunteers			
b Paid staff or management (Include compensation in expenses reported on lines c through h.)			
c Media advertisements			
d Mailings to members, legislators, or the public			
e Publications, or published or broadcast statements			
f Grants to other organizations for lobbying purposes			
g Direct contact with legislators, their staffs, government officials, or a legislative body			
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means			
i Total lobbying expenditures (Add lines c through h.)			0

If "Yes" to any of the above, also attach a statement giving a detailed description of the lobbying activities.



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**Part III, Statement 1 - Program Service Accomplishments**

**a - Animal Hospital and Mobile Veterinary Clinics (\$6,605,399)**

The Bergh Memorial Animal Hospital continues to provide some of the most efficient, comprehensive and state-of-the-art primary veterinary care in the nation. It's here that our drive to end euthanasia encounters the often grim reality of an animal's condition, but amazingly enough, we're winning the battle. During 2003, over 30,000 animals were treated. These included many of the animals seen on the "Animal Precinct" TV program that are taken from inhumane conditions to be given a second chance at a healthy life in a loving home. The hospital continues to focus on animal abuse cases in order to recognize, document and help prosecute cruelty cases with increased proficiency.

"ASPCA Cares" was created in 2001 as a community outreach program that provides resources, education, and veterinary services to needy animals and pet owners in New York City's five boroughs. The mission of ASPCA Cares is to put an end to the euthanasia of adoptable animals within New York City's five boroughs by addressing the animal crisis at its source - in the heart of the city's local communities. Recognizing that many of the most serious overpopulation and animal health crises arise in neighborhoods with limited access to veterinary care and education on animal issues, ASPCA Cares brings these services directly to needy communities.

The ASPCA Cares fleet now includes two fully equipped mobile veterinary clinics, along with the original truck which now serves as a vaccination van. ASPCA Cares provides free and low-cost spay/neuter surgeries, vaccination clinics, medical wellness exams and disaster relief services to needy animals. In 2003, ASPCA Cares performed more than 6,900 spay/neuter surgeries, an increase of 67% over 2002. That number was reached because ASPCA Cares was able to operate the clinics five days a week in all five boroughs, as well as provide vaccination clinics once a week, also in the five boroughs which resulted in the vaccination of nearly 3,000 animals, twice the prior year amount. Spay/neuter surgery and treatment was performed on 1,600 feral cats as part of organized Trap-Neuter-Release (TNR) projects. This represents a 300% increase over 2002, when 400 feral cats were spayed or neutered.

Additionally, educational seminars are now offered on topics such as proper animal care, bite prevention, population control and the humane treatment of animals. Grants are awarded to qualified animal rescue groups in New York City through the Partners in Caring program, which is run in conjunction with the ASPCA's National Shelter Outreach Department.

**b - ASPCA Animal Poison Control Center (\$4,139,159)**

The ASPCA Animal Poison Control Center (APCC) is the largest, most technologically advanced non-human poison control center in the world. Based in Champaign-Urbana, Illinois, the center is open 24 hours a day, seven days a week. In 2003, public usage of the APCC increased 20% to about 88,000 individual cases. The hotline staff consists of 25 licensed veterinarians, ten certified veterinarian technicians and one Doctor of Pharmacy

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**Part III, Statement 1 - Program Service Accomplishments**

**b - ASPCA Animal Poison Control Center (cont'd)**

Several initiatives in 2002 and 2003 have helped the Poison Control Center save the lives of more animals, including:

- An awareness campaign included direct mail to practicing veterinarians, advertising in veterinary journals, and a media blitz to targeted radio, print and TV interviews and publications.
- The Veterinary Lifeline Partner Program increased its membership to more than 2,200 veterinary clinics. This program allows veterinarians to establish an account with automated billing, and also provides a copy of the patient's record, including poison center recommendations, by e-mail or fax.
- The Illinois Animal Terrorism Information Hotline was launched in July 2003. A joint venture between the APCC, the Illinois Department of Public Health and the Illinois Department of Agriculture, the hotline provides veterinarians and government and health agencies with the round-the-clock ability to report any suspicious nuclear, biological or chemical incidents involving food animals, wildlife or pets in Illinois.
- APCC participated in the observance of National Poison Prevention Week in March 2003, which included the launch of an interactive educational feature on the APCC website entitled, "Make Your Pet's Home Poison Safe". The interactive web experience enabled users to walk through an animated model of a house and learn about potentially poisonous items and how to prevent accidental exposures.

**c - Humane Education and Shelter Outreach (\$8,494,094)**

The ASPCA continues to be one of the premier organizations in the world for instilling the desire in young people to appreciate the world of animals and our relationship to them. From workshops to educational materials, the ASPCA Humane Education department continues to touch the lives of elementary and secondary school children throughout the U.S. In 2003, the Humane Education Department distributed some 115,000 copies of *AnimaLessons*, books, lessons packets, videos, etc., reaching an estimated 50,000 teachers and parents and two million children. The department's Learning to Care catalog was redesigned, and several new selections were added.

The Humane Education department continued the ASPCA's long tradition of attacking the problem of animal cruelty by continuing to increase our understanding of the human-animal bond and to pass that understanding on to future generations. They completed the first phase of testing in a study of our New York City outreach programs. Several classes of first- through third-graders were tested immediately before and after participating in our Pet Care program, which uses student-centered strategies to teach students that pets not only need food, water, shelter, ID tags, etc., but that they also have feeling and need love. The results revealed nearly a 20% increase in tested knowledge of pet care.

Humane Education staffers reached nearly 10,000 children throughout New York City with outreach programs such as the proven effective Pet Care program.

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**c – Humane Education and Shelter Outreach(cont'd)**

The Henry Bergh Book Award continued to grow in 2003. Award-winning authors signed books and spoke at the first annual humane education conference, held at Green Chimneys in upstate New York. Additionally, the 15<sup>th</sup> annual ASPCA's Children's Essay contest drew many submissions, as did Humane Ed's poster contest.

In partnership with local shelters and the Department of Education, the department distributed humane education materials to all of the elementary classes in Puerto Rico. The staff also made a significant impact on another Caribbean Island when they worked hand-in-hand with the residents of St. Eustatius, a small island off the coast of Venezuela, to help create and run an entirely new humane education department.

The Humane Education Department also headed up a team to consult and create lessons for the Arthur J. Gallagher Neighborhood School, located outside Orlando, which is the first humane education charter school in the country.

The National Shelter Outreach (NSO) department is comprised of a team of seasoned professionals who are highly respected in the animal welfare community for their expertise in a variety of areas, including: animal control, cruelty investigations, sheltering issues and management. In 2003, NSO corresponded with more than 5,000 individuals and animal shelters in all 50 states and 29 countries and territories. NSO managers visited 141 animal shelters in 33 states, and the staff presented almost 100 training seminars and workshops.

In 2003, NSO continued to provide needed resources for free or minimal cost to animal shelters and rescue organizations across the United States. A major part of this effort was aided by the ASPCA Partners in Caring grant program, a unique partnership between the ASPCA, corporations, and the sheltering community. While the ASPCA has historically awarded a number of grants, this program enables our various departments to more effectively administer funds to organizations of merit and make significantly larger grants for programs that promote animal well being across the country.

A major new initiative in 2003 was the inception of the Imagine Humane program, designed to advance the most promising practices and an inspired vision for companion animals through idea transfer, skill building and collaborative planning. In 2003, NSO also provided more than 850 written information packets to shelters across the country, presented 106 training seminars and workshops on 47 different topics, and provided Humane Education materials and/or the Teacher Newsletter to 440 shelters in all 50 states and five foreign countries. NSO's work with Petfinder.com – its online partner and the nation's largest Internet adoption service – continues to grow. The department continually helps to develop the Petfinder.com shelter membership in conjunction with NSO's membership support services; responds to questions posted on the Petfinder.com message board; and creates and maintains an extensive reference library ([www.library.petfinder.com](http://www.library.petfinder.com)) that has grown to more than 1,000 articles.

Through our Counseling Services, we provided grief counseling to more than 700 people in 2003 nationwide via the ASPCA Pet Loss Hotline and the Internet. Our counselor also collaborated with other animal welfare organizations to produce a national animal-hoarding protocol, continued to provide the NYC criminal justice system with a mandatory psychoeducation rehabilitation program, which includes the use of a unique database of 100 cruelty cases to assist the courts with proper sentencing, and offered cross-training sessions on the violence link to police officers, animal control personnel, veterinary students and lawyers nationwide.

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**d - Communications (\$6,647,970)**

The Media Relations and Advertising, Public Information and Communications departments give the ASPCA the vital exposure via television, radio, print media and the Internet, that it needs to provide valuable information to the public.

In 2003, donated media resources were received for a nationwide television PSA and free outdoor advertising. These donated media resources were valued at over \$3.1 million.

The Public Information department handled some 65,000 requests for information in 2003 from people across the United States, while the Media Relations and Advertising staff worked to keep the organization in the news, day after day. Media relations press coverage included.

- 2,397 articles in daily and weekly newspapers
- 348 articles in consumer and trade magazines
- 17 reports on national network, syndicated and cable TV programs
- 150 reports on local television and cable programs
- 532 reports on *NY1 Living Pets*
- 62 websites using ASPCA information and/or quotes

The ASPCA's website, [aspc.org](http://aspc.org), was redesigned and upgraded in 2002. The site is now an electronic hub of up-to-date information on every conceivable animal-welfare subject, from pet nutrition to animal legislation, and reaches millions of web surfers around the world. The highly creative Animaland website continues to educate an ever increasing number of children about animals.

The Communications department continued to receive awards and recognition for its "Animal Watch" magazine which has 340,000 readers.

**e - Animal Placement and Behavior Training (\$3,677,182)**

The ASPCA's local adoption services continue to make the New York metro area a better place for companion animals, adopting out close to 1,800 animals in 2003. Through the ASPCA's partnership with Petfinder.com, the nation's leading online adoption service, hundreds of thousands of cats, dogs and other companion animals nationwide found new and caring homes

The ASPCA Behavioral Center continued the difficult but rewarding job of doing everything possible to give every animal that comes through the ASPCA's doors the best chance possible for a permanent and safe adoption. In 2003, the department, evaluated some 659 shelter animals, and conducted behavior modification programs with 23 cats and 77 dogs. At the close of 2003, 59 of the dogs had been adopted, one returned, and 12 were euthanized for behavioral reasons. The department taught 208 training classes for the dog-owning community and, through the behavior clinic, the applied behaviorists helped 145 people with serious behavior problems in their own dogs and cats. The staff answered approximately 7,300 behavior related calls and e-mails, and also worked with outside trainers as part of a Behavioral Foster program designed to help our special-need dogs.

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**Part III, Statement 1 - Program Service Accomplishments**

**f - Humane Law Enforcement (\$2,676,022)**

In 2002, the ASPCA's Humane Law Enforcement (HLE) department continued to be one of the most visible animal enforcement units in the world Through its participation in *Animal Precinct*, one of the most popular shows on cable television. Audiences have grown dramatically, as the show completed its third season. *Animal Precinct* highlights the day-to-day experiences of the men and women "behind the badge" and their heroic response to the mistreatment of animals. In 2003, ASPCA Humane Law Enforcement agents made 277 arrests, initiated over 4,000 cruelty investigations, seized 407 animals pursuant to cruelty investigations, answered some 45,000 phone inquires, responded to approximately 15,000 e-mails and conducted training seminars which instructed 1700 NYPD Police Academy recruits in the investigation of animal cruelty.

**g - Government Affairs and Public Policy (\$1,273,771)**

Much of the ASPCA's ability to bring the perpetrators of animal cruelty to justice depends on the success that the Government Affairs department has in its efforts to influence legislators in New York State and around the country to toughen animal cruelty laws.

On the federal level, Government Affairs is suing Ringling Brothers under the Federal Endangered Species Act for its mistreatment of Asian elephants It defeated attempts by Ringling Brothers' attorneys to dismiss the lawsuit on procedural grounds in 2003. The case was remanded back to federal district court, where it will go forward.

Government Affairs successes in 2003 with respect to passage of animal friendly state legislation include:

- California: AB 202 prohibits the possession and sale of unweaned birds in pet shops. SB 732 increases the penalties for a second cockfighting offense to a mandatory six months imprisonment and a maximum \$20,000 fine
- Connecticut: HB 5530 allows courts to mandate that adults and juveniles convicted of animal abuse undergo psychological counseling or receive anti-cruelty education as a condition of probation. HB 6038 criminalizes the unreasonable confinement of a dog. HB 6066 authorizes municipal animal control officers to bring animals to veterinarians for sterilization.
- Illinois: HB 184 requires the microchipping and spaying or neutering of any dog deemed "dangerous" or "vicious". Under SB 386 veterinarians who fail to report suspected violations of cruelty or animal fighting can have their license to practice veterinary medicine revoked. HB 1089 allows law enforcement officers to go after the proceeds of animal fighting through the civil procedure laws.
- New Jersey: A2064/S592 authorizes the establishment of a list of animal control officers convicted of animal cruelty.
- New York: A.8679 requires the owner or caretaker of a dog left outdoors in inclement weather to provide the dog with shelter appropriate to its breed, physical condition and the climate. S.3274-b ensures that veterinarians report suspected animal cruelty that they discover while treating their companion animal patients.
- Texas: SB 572 limits the acceptable methods of euthanizing dogs and cats to sodium pentobarbital and commercially compressed (bottled) carbon monoxide gas.
- West Virginia: SB 205 amended West Virginia's animal anti-cruelty law so that the most severe acts of animal cruelty would be treated as a felony.

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**Statement 2: Schedule - Gain on Sale of Publicly Traded Securities - Line 8**

Gross Sales Proceeds		10,759,282	Line 8a
Original Cost	10,727,657		
Sales Expenses	<u>91,387</u>		
Total Cost and Sales Expenses		<u>10,819,044</u>	Line 8b
<b>Net Loss</b>		<u><u>(59,762)</u></u>	Lines 8c & d

**Statement 3: Special Fundraising Events - Line 9**

<u>Description</u>	<u>Gross Receipts</u>	<u>Direct Expenses</u>	<u>Gross Income</u>
Bergh Ball	508,275	159,169	349,106
Young Friends Event	68,850	41,564	27,286
Humane Awards Luncheon	63,296	54,954	8,342
Other Events	<u>13,680</u>	<u>7,033</u>	<u>6,647</u>
Totals	654,101	262,720	391,381
Less contributions included in Line 1a	<u>(166,086)</u>		<u>(166,086)</u>
	<u><u>488,015</u></u>	<u><u>262,720</u></u>	<u><u>225,295</u></u>
	<b>Gross Revenue to Line 9a</b>	<b>Direct Expenses to Line 9b</b>	<b>Net Income to Line 9c</b>

**Statement 4: Other Changes in Net Assets - Line 20**

Unrealized gains on investment securities	5,963,825
Net increase in value of trust interests	615,860
Adjustment to minimum accrued pension liability	<u>275,597</u>
<b>Total Other Changes in Net Assets</b>	<u><u>6,855,282</u></u>

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**Statement 5: Schedule of Cash and Non-Cash Grants - Line 22**

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**NON-CASH DONATIONS**

**Humane Education- Special Projects**

*donated to various schools*

In 2003, over 5,500 copies of "Sharing the World with Animals" (English Version) and "Compartiendo el Mundo con los Animales" (Spanish Version) were printed for distribution to grades K-8 at a cost of \$10,000. Each curriculum promotes the development of humane attitudes among children K-8.

\$10,000

**Donation of Digital Cameras**

The ASPCA purchased and donated approximately 206 digital cameras to various animal shelters across the country that chose to participate in The Petfinder.com internet "Cyber Shelter". This website enables people to view animals available for adoption at shelters nationwide. The cost and fair value of these donated cameras was \$36,048.

36,048

**Total non-cash donations**

**\$46,048**

**CASH GRANTS**

Cash grants were awarded to the following organizations to assist the ASPCA with its mission of alleviating fear, pain, cruelty and suffering in animals through scientific research, development and maintenance of shelters and animal care facilities, and the promotion of measures for developing the general appreciation and practice of humanity to and kind treatment of animals

<b><u>Name</u></b>	<b><u>Address</u></b>	<b><u>Amount</u></b>
Humane Farm Animal Care	Herndon, VA	\$215,000
The Good Dog Foundation	Brooklyn, NY	50,000
Delta Society	Renton, WA	40,000
Animal Haven	Flushing, NY	26,000
Mayor's Alliance for New York City's Animals	New York, NY	25,802
Chimp Haven, Inc.	San Antonio, TX	25,000
New York City Animal Care and Control	New York, NY	25,000
John Hopkins University	Baltimore, MD	21,500
The San Francisco SPCA	San Francisco, CA	20,000
World Society for the Protection of Animals (WSPA)	Framingham, MA	20,000
University of California Davis	Davis, CA	19,960
Animal Balance	San Francisco, CA	18,500
University of Illinois-College of Veterinary Medicine	Urbana, IL	15,500
Alternatives Congress Trust	Washington, DC	15,000
GREY2K USA	Somerville, MA	15,000
North Shore Animal League USA	Port Washington, NY	15,000
Mainline Rescue Inc.	Wayne, PA	12,000
Animal People	Clinton, WA	10,000
Animal Protection of New Mexico	Albuquerque, NM	10,000
Georgia Legal Professionals for Animals	Atlanta, GA	10,000
Humane Society of Ventura County	Ojai, CA	10,000
SPAC LA	Los Angeles, CA	10,000
The Global Campaigns Funds	London, U K	10,000
The Humane Society of San Bernardino Valley	San Bernardino, CA	10,000
Puerto Rico Animal Welfare Society	Aguadilla, PR	9,250
New York Horse Rescue	Manorville, NY	8,000
The Humane Society at Lollypop Farm	Fairport, NY	8,000
Dogs Deserve Better	Tipton, PA	7,500
National Council on Pet Population Study and Policy	Coleraine, MN	7,500
Nevada Legends	Fallon, NV	7,000

**American Society for the Prevention of Cruelty to Animals**  
**Federal Employer Identification Number 13-1623829**  
**Form 990 - Return of Organization Exempt From Income Tax - 2003**  
**Statement 5: Schedule of Cash and Non-Cash Grants - Line 22**

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<u>Name</u>	<u>Address</u>	<u>Amount</u>
United Pegasus Foundation	Arcadia, CA	7,000
Friends of the Sound Horse Society	Lone Jack, MO	6,550
National Greyhound Adoption Program	Philadelphia, PA	6,228
Animal Care Conference	San Clemente, CA	6,000
Association of Professional Humane Educators	Pasadena, CA	6,000
Humane Society of the U.S.	Washington, DC	6,000
South East Area Animal Control Authority	Downey, CA	6,000
American Humane Association	Denver, CO	5,000
Animal Welfare Federation of New Jersey	Glassboro, NJ	5,000
Arkansas For Animals	Sheridan, AR	5,000
City of Daingerfield	Daingerfield, TX	5,000
County of Los Angeles - Department of Animal Care and Control	Long Beach, CA	5,000
Dubuque Humane Society	Dubuque, IA	5,000
Humane Society of Yates County	Keuka Park, NY	5,000
Maine Greyhound Placement Services	Augusta, ME	5,000
Missoula Humane Society	Missoula, MT	5,000
North Country Animal League	Morrisville, VT	5,000
Oklahoma City Animal Welfare Division	Oklahoma City, OK	5,000
Rondout Valley Animals for Adoption	Accord, NY	5,000
Society of Animal Welfare Administrator	Denver, CO	5,000
St Hubert's Animal Welfare Center	Madison, NJ	5,000
Tails in Need	New York, NY	5,000
Tri-County Humane Society	Saint Cloud, MN	5,000
University of Pennsylvania	Philadelphia, PA	5,000
Upper Cumberland Humane Society	Hendersonville, TN	5,000
The Elmer & Mamdouha Bobst Hospital of The Animal Medical Center	New York, NY	4,901
Illinois Federation of Human Societies	Peoria, IL	4,900
The Noah's Ark Animal Welfare	Ledgewood, NJ	4,787
Animal Ark	Hastings, MN	4,500
Concourse Animal Hospital	Bronx, NY	4,372
Chenango County SPCA	Norwich, NY	4,000
Days End Farm Horse Rescue	Lisbon, MD	4,000
Randolph Township Pound	Randolph, NJ	4,000
Sheltering Tree Animal Rescue Society	Lawton, OK	4,000
Primarily Primates, Inc	San Antonio, TX	3,885
Fauna Vision	New York, NY	3,500
Kitty Kind	New York, NY	3,500
Liberty Humane Society	Bloomfield, NJ	3,500
Open Your Heart	Hillsborough, NJ	3,500
Roxy's Sanctuary	Maspeth, NY	3,500
Wayside Waifs	Kansas City, MO	3,500
Animal Kind Veterinary Hospital	Brooklyn, NY	3,364
Greyhound Pets of America/Greater Orlando	Longwood, FL	3,150
Angel's Gate Hospice	Fort Salongo, NY	3,000
Animal Protective League	Cleveland, OH	3,000
Asociacion Pro Albergue Y Proteccion De Animales, Inc	Mayaguez, PR	3,000
Association of Shelter Veterinarians	Stratham, NH	3,000
Capital Area Humane Society	Lansing, MI	3,000
Champaign County Humane Society	Urbana, IL	3,000
Emergency Animal Rescue	Ramona, CA	3,000
H.O R S E of Connecticut	Washington, CT	3,000
Humane Ohio	Perrysburg, OH	3,000
Humane Society of Central Delaware County	Dehli, NY	3,000
Kittco Cat Rescue	Dallas, TX	3,000
Monroe County Volunteer Humane Society	Madisonville, TN	3,000
North Central Texas Animal Shelter Coalition	Fort Worth, TX	3,000

**American Society for the Prevention of Cruelty to Animals**  
**Federal Employer Identification Number 13-1623829**  
**Form 990 - Return of Organization Exempt From Income Tax - 2003**  
**Statement 5: Schedule of Cash and Non-Cash Grants - Line 22**

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<u>Name</u>	<u>Address</u>	<u>Amount</u>
Pet Savers Foundation	Port Washington, NY	3,000
Rocket Dog Rescue	San Francisco, CA	3,000
San Diego Humane Society SPCA	San Diego, CA	3,000
Texas Agriculture Experiment Station - Texas A & M University	College Station, TX	3,000
The Pegasus Foundation	Concord, NH	3,000
USA D O G , Inc	Carmel, IN	3,000
Wisconsin Humane Society	Milwaukee, WI	3,000
Animal Welfare League	Chicago Ridge, IL	2,500
Augusta SPCA	Staunton, VA	2,500
Caring for Creatures	Palmyra, VA	2,500
Charlotte-Mechlenburg Animal Control	Charlotte, NC	2,500
Green Chimneys	Brewster, NY	2,500
Jacksonville Humane Society	Jacksonville, FL	2,500
Kitty Care Takers	Woodside, NY	2,500
Louis Animal Foundation, Inc	Mountain Home, AR	2,500
Save A Sato Villas de Caparra	Guaynabo, PR	2,500
Riverdale Animal Hospital, PC	Bronx, NY	2,295
Caroline County Humane Society	Ridgely, MD	2,000
Charleston Humane Society	Charleston, WV	2,000
Federation of Humane Organization of West Virginia	Mineral Wells, WV	2,000
Florence Area Humane Society	Florence, SC	2,000
Florence-Lauderdale Animal Control City of Florence	Florence, AL	2,000
Glynn County Animal Services Advisory	Brunswick, GA	2,000
Greyhound Pets of America - Central Florida Chapter	Melbourne, FL	2,000
Greyhound Rescue League of Texas, Inc	Addison, TX	2,000
Heber Springs Animal Control	Heber Springs, AR	2,000
Homeward Bound Greyhounds, Inc.	Naples, FL	2,000
Humane Society of Puerto Rico	Guaynabo, PR	2,000
Knoxville Animal Control Services	Knoxville, TN	2,000
Louisa Humane Society	Louisa, VA	2,000
National Animal Control Association	Kansas City, MO	2,000
New England Federation of Humane Societies	Whitinsville, MA	2,000
Pequannock Township Health Department	Pompton Plains, NJ	2,000
Perry Link Memorial Humane Society	Whitwell, TN	2,000
Rikki's Refuge	Orange, VA	2,000
SPAY USA	Stratford, CT	2,000
Spaymart, Inc.	Metairie, LA	2,000
SPCA Serving Erie County	Tonawanda, NY	2,000
The Humane Society of the United States-Animal Care Expo 2004	Williston, ND	2,000
Ulster County SPCA	Kingston, NY	2,000
Virginia Federation of Humane Societies	Edinburg, VA	2,000
West Feliciana Humane Society	Jackson, VA	2,000
Strays From The Heart	New York, NY	1,976
University of Missouri	Colombia, MO	1,750
Cascades Humane Society	Jackson, MI	1,600
Greyhound Pets of America	Springfield, MO	1,600
Animal Welfare Association	Voorhees, NJ	1,500
Cobb County Animal Control	Marietta, GA	1,500
Hearts of Hancock Humane Society	Carthage, IL	1,500
Louisiana Animal Control	Lake Charles, LA	1,500
Mighty Mutts	Brooklyn, NY	1,500
PawPals, Inc	Quincy, IL	1,500
Place A Pet Foundation	Cleveland, OH	1,500
Second Chance Animal Rescue	Payette, ID	1,500
Texas Animal Control Association	Forth Worth, TX	1,500
Texas Federation of Humane Societies	Manchaca, TX	1,500

**American Society for the Prevention of Cruelty to Animals**  
**Federal Employer Identification Number 13-1623829**  
**Form 990 - Return of Organization Exempt From Income Tax - 2003**  
**Statement 5: Schedule of Cash and Non-Cash Grants - Line 22**

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<u>Name</u>	<u>Address</u>	<u>Amount</u>
Volunteers for Animal Welfare	Oklahoma City, OK	1,500
Washington Animal Control Association	Edmonds, WA	1,500
All Creatures Vet Hospital	Long Beach, NY	1,465
Illinois Animal Welfare Federation	Peoria, IL	1,425
National Animal Control Association	Kansas City, MO	1,425
For Our Friends, Inc.	Oakland Gardens, NY	1,250
Greyhound Rescue of New England, Inc.	Mendon, MA	1,250
Armory Dog & Cat Hospital	Bronx, NY	1,231
Gateway Animal Hospital	Staten Island, NY	1,148
New York Pet-I-Care, Inc	New York, NY	1,068
Alabama Animal Control Association	Gadsden, AL	1,000
Alaska Animal Control Association	Valdez, AK	1,000
Animal Advocates	Los Angeles, CA	1,000
Animal Control Association of Tennessee	Chattanooga, TN	1,000
Animal Rescue Foundation of Rincon	Rincon, PR	1,000
Arkansas State Animal Control Association	Jonesboro, AR	1,000
Bannock County Humane Society	Pocatello, ID	1,000
Canadian Association of Professional Pet Dog Trainers	Lantzville, British Columbia, Canada	1,000
Chautauqua County Humane Society	Jamestown, NY	1,000
Chenango County SPCA	Norwich, NY	1,000
Colorado Federation Animal Welfare Agencies	Boulder, CO	1,000
Departamento de Control y Proteccion de Animales	Ponce, PR	1,000
Equine Protection on North America	Epping, NH	1,000
Farm Sanctuary	Watkins Glen, NY	1,000
Florida Animal Control Association	Tampa, FL	1,000
Friends of Chattanooga Animal Shelter	Chattanooga, TN	1,000
Greater New Haven Cat Project	New Haven, CT	1,000
Greyhound Friends-NJ	Skillman, NJ	1,000
Hooved Animal Rescue Placement	Loranger, LA	1,000
Humane Society of Lebanon County	Myerstown, PA	1,000
Humane Society of Louisiana	New Orleans, LA	1,000
Humane Society SPCA of Bexar County	San Antonio, TX	1,000
Interdisciplinary Forum for Applied Animal Behavior	Westwood, KS	1,000
Loudon County Humane Society	Greenback, TN	1,000
Michigan Purebred Dog Rescue Alliance	Dexter, MI	1,000
Minnesota Animal Shelters Training Group	Burnsville, MN	1,000
Minnesota Hooved Rescue Foundation	Zimmerman, MN	1,000
Nebraska Humane Society	Omaha, NE	1,000
New Hampshire Federation of Humane Organizations	Enfield, NH	1,000
North Carolina Animal Rabies Control Association	Haw River, NC	1,000
Oswego County Humane Society	Mexico, NY	1,000
South Carolina Animal Care and Control Association	Colombia, SC	1,000
Spartanburg Humane Society	Spartanburg, SC	1,000
SPCA Brazoria County	Lake Jackson, TX	1,000
Spokane County Animal Control	Spokane, WA	1,000
Texas Humane Legislation Network	Austin, TX	1,000
The Humane Society of the U.S (Animal Sheltering)	Washington, DC	1,000
Virginia Animal Control Association	Chesapeake, VA	1,000
Warren County Humane Society	Warren, PA	1,000
Animal Sciences Educational Stipends (14 Individuals)		14,000
Misc Grants under \$1,000 each		27,725
	<b>Total cash grants</b>	<b><u>\$1,151,857</u></b>
	<b>Total cash and non-cash grants</b>	<b><u>\$1,197,905</u></b>

**American Society for the Prevention of Cruelty to Animals**

Federal Employer Identification Number 13-1623829

Form 990 - Return of Organization Exempt From Income Tax - 2003

**Statement 6: Schedule of Land, Building, Equipment and Accumulated Depreciation (Line 57), and Depreciation Expense (Line 42)**

<u>Description</u>	<u>Cost</u>	<u>Depreciation Expense</u>	<u>Accumulated Depreciation</u>	<u>Book Value</u>
Land	5,850,500			5,850,500
Building	14,761,877	410,435	3,869,812	10,892,065
Fixtures	1,834,256	112,347	656,908	1,177,348
Furniture & Equipment	3,909,906	519,928	2,874,610	1,035,296
Automobiles	796,693	90,954	693,565	103,128
<b>Sub-Totals</b>	<b><u>27,153,232</u></b>	<b><u>1,133,664</u></b>	<b><u>8,094,895</u></b>	<b><u>19,058,337</u></b>
Included in rental expenses (Line 6b)		(72,777)		
Depreciation (Line 42)		<b><u>1,060,887</u></b>		

**Statement 7: Schedule of Investments in Securities - Line 54**

<u>Description</u>	<u>Market Value at December 31</u>	
	<u>2002</u>	<u>2003</u>
Equity Investments	6,668,084	8,444,711
U. S. Gov't & Corporate Bonds	1,214,882	1,018,032
Mutual Funds	11,963,505	21,280,560
<b>Total</b>	<b><u>19,846,471</u></b>	<b><u>30,743,303</u></b>

American Society for the Prevention of Cruelty to Animals  
Federal Employer Identification Number 13-1623829  
Form 990 – Return of Organization Exempt From Income Tax – 2003

Statement 7: Other Liabilities – Line 65

<u>Description</u>	<u>Amount</u>
Pension obligations	\$3,953,302
Annuity obligations	<u>\$1,627,975</u>
<b>Total</b>	<b>\$5,581,277</b>

# American Society for the Prevention of Cruelty to Animals

Federal Employer Identification Number 13-1623829

2003 Form 990 - Return of Organization Exempt From Income Tax - Part V

## Statement 8: Members of Board of Directors

<u>Name and Address</u>	<u>Title &amp; Time Devoted</u>	<u>Total 2003 Compensation</u>	<u>Contributions to Employee Benefit Plans</u>	<u>Expense Acct and other Allowances</u>
Hoyle C. Jones	Chairman	None	None	None
James W. Gerard	Treasurer	None	None	None
Linda Lloyd Lambert	Vice Chairman	None	None	None
William Secord	Secretary	None	None	None
Penelope Ayers	Director	None	None	None
Alexandra G. Bishop	Director	None	None	None
J. Elizabeth Bradham	Director	None	None	None
Reenie Brown	Director	None	None	None
Wendy H. Carhart	Director	None	None	None
Patricia J. Crawford	Director	None	None	None
Jonathan D. Farkas	Director	None	None	None
Franklin Maisano	Director	None	None	None
William Morrison Matthews	Director	None	None	None
Gurdon H. Metz	Director	None	None	None
Michael F.X. Murdoch	Director *	None	None	None
James L. Nederlander	Director	None	None	None
John M. B. O'Connor	Director	None	None	None
Marsha Reines Perelman	Director	None	None	None
George Stuart Perry	Director *	None	None	None
Helen S.C. Pilkington	Director *	None	None	None
Richard Silverman	Director	None	None	None
Richard C. Thompson	Director *	None	None	None
Cathy Wallach	Director	None	None	None
Steven M. Elkman	Chairman **	None	None	None
Fred Drasner	Director **	None	None	None
Urling I. Searle	Director **	None	None	None

*The above unpaid directors provided guidance to the Society in various ways to the extent necessary.*

*Their address is: 424 East 92nd Street  
New York, NY 10126*

*\* New in 2003*

*\*\* Resigned in 2003*

**Statement 9 : 2003 FORM 990 - Part VIII**

**Relationship of Activities to the Accomplishment of Exempt Purposes**

The program fees reported in Column (E), Part VII, Page 6 of Form 990 contribute importantly to the accomplishment of the ASPCA's exempt purpose in fulfilling its mission to prevent cruelty and alleviate fear, pain, and suffering in animals as follows:

**Part VII**

**Line No.**

- 93a Our animal hospital and mobile clinics provide veterinary care for sick, injured and abused animals and perform spay and neuter operations to prevent pet overpopulation which leads to animal suffering
- 93b The ASPCA Animal Poison Control Center is a 24 hour, 365 day a year emergency telephone hotline continuously staffed by veterinarians trained in animal toxicology to alleviate suffering and save animals lives. The fees charged partially cover a substantial technological investment in this program
- 93c Fees charged for our animal placement program cover a small portion of the costs of housing, feeding, medical care and humane supervision of companion animals until they are adopted into good homes.
- 93d Fees cover a portion of the costs of hundreds of companion animal behavior training classes in support of responsible pet ownership, and specialized behavior therapy for troubled animals to make them more adoptable .
- 93e Fees for educational materials cover a small part of the cost of developing and producing a wide variety of humane educational materials for use by educators, animal shelters, children and parents.

**American Society for the Prevention of Cruelty to Animals**

**Federal Employer Identification Number 13-1623829**

**Form 990 - Return of Organization Exempt From Income Tax - 2003**

**Statement 10: List of States Receiving Form 990 - Line 90**

Alabama	Indiana	New Hampshire	Rhode Island
Alaska	Kansas	New Jersey	South Carolina
Arizona	Kentucky	New Mexico	Tennessee
Arkansas	Louisiana	New York	Utah
California	Maine	North Carolina	Virginia
Colorado	Maryland	North Dakota	Washington
Connecticut	Massachusetts	Ohio	West Virginia
Florida	Michigan	Oklahoma	Wisconsin
Georgia	Minnesota	Oregon	
Illinois	Mississippi	Pennsylvania	

## Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

▶ File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
  - If you are filing for an **Additional (not automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)
- Note: Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.**

**Part I Automatic 3-Month Extension of Time**—Only submit original (no copies needed)

**Note: Form 990-T corporations requesting an automatic 6-month extension—check this box and complete Part I only**

All other corporations (including Form 990-C filers) must use Form 7004 to request an extension of time to file income tax returns. Partnerships, REMICs and trusts must use Form 8736 to request an extension of time to file Form 1065, 1066, or 1041.

<b>Type or print</b>	Name of Exempt Organization <b>American Society for the Prevention of Cruelty to Animals</b>	Employer identification number <b>13 1623829</b>
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P.O. box, see instructions <b>424 East 92nd Street</b>	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. <b>New York, NY 10128</b>	

**Check type of return to be filed** (file a separate application for each return).

- |  |   |                                    |
|--|---|------------------------------------|
| <input checked="" type="checkbox"/> Form 990 | <input type="checkbox"/> Form 990-T (corporation)                 | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL         | <input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ         | <input type="checkbox"/> Form 990-T (trust other than above)      | <input type="checkbox"/> Form 6069 |
| <input type="checkbox"/> Form 990-PF         | <input type="checkbox"/> Form 1041-A                              | <input type="checkbox"/> Form 8870 |

- If the organization does **not** have an office or place of business in the United States, check this box
- If this is for a **Group Return**, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ . If this is for the **whole** group, check this box  . If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension will cover.

1 I request an automatic 3-month (6-month, for **990-T corporation**) extension of time until August 16, 2004, to file the exempt organization return for the organization named above. The extension is for the organization's return for:  
 ▶  calendar year 2003 or  
 ▶  tax year beginning \_\_\_\_\_, 20\_\_\_\_, and ending \_\_\_\_\_, 20\_\_\_\_.

2 If this tax year is for less than 12 months, check reason:  Initial return  Final return  Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions \$ \_\_\_\_\_

b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit \$ \_\_\_\_\_

c **Balance Due.** Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions \$ \_\_\_\_\_

### Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ *Joseph S. [unclear]* Title ▶ **SrVP and CFO** Date ▶ 4/30/04