

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

2001

Open to Public Inspection

A For the 2001 calendar year, or tax year period beginning **JUL 1, 2001** and ending **JUN 30, 2002**

B Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	C Name of organization SANTA ROSA SYMPHONY ASSOCIATION	D Employer identification number 94-6134075	
	Number and street (or P O box if mail is not delivered to street address) 50 MARK WEST SPRINGS ROAD	Room/suite # # 304	E Telephone number 707-546-8742
	City or town, state or country, and ZIP + 4 SANTA ROSA, CA 95403		F Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) ▶

• Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ)

H and **I** are not applicable to section 527 organizations

H(a) Is this a group return for affiliates? Yes No

H(b) If "Yes," enter number of affiliates ▶

H(c) Are all affiliates included? **N/A** Yes No (If "No," attach a list)

H(d) Is this a separate return filed by an organization covered by a group ruling? Yes No

G Web site ▶ **WWW.SANTAROSASYMPHONY.COM**

J Organization type (check only one) ▶ 501(c) (03) ◀ (insert no) 4947(a)(1) or 527

K Check here if the organization's gross receipts are normally not more than \$25,000. The organization need not file a return with the IRS, but if the organization received a Form 990 Package in the mail, it should file a return without financial data. Some states require a complete return

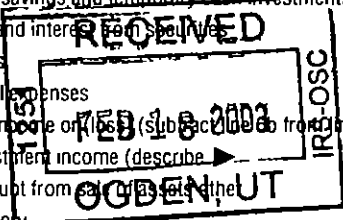
I Enter 4-digit GEN ▶

L Gross receipts. Add lines 6b, 8b, 9b, and 10b to line 12 ▶ **2,707,014.**

M Check if the organization is not required to attach Sch B (Form 990, 990-EZ, or 990-PF)

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances

Revenue					
1	Contributions, gifts, grants, and similar amounts received				
a	Direct public support	1a	1,197,024.		
b	Indirect public support	1b			
c	Government contributions (grants)	1c			
d	Total (add lines 1a through 1c) (cash \$ 985,606. noncash \$ 211,418.)	1d	1,197,024.		
2	Program service revenue including government fees and contracts (from Part VII, line 93)	2	1,275,925.		
3	Membership dues and assessments	3			
4	Interest on savings and temporary cash investments	4	11,351.		
5	Dividends and interest from securities	5	35,170.		
6 a	Gross rents	6a			
b	Less rental expenses	6b			
c	Net rental income or (loss) (subtract line 6b from line 6a)	6c			
7	Other investment income (describe ▶)	7			
8 a	Gross amount from sale of assets other than inventory	(A) Securities	187,544.	8a	
b	Less cost or other basis and sales expenses		282,387.	8b	
c	Gain or (loss) (attach schedule)		-94,843.	8c	
d	Net gain or (loss) (combine line 8c, columns (A) and (B))		STMT 1	8d	-94,843.
9	Special events and activities (attach schedule)				
a	Gross revenue (not including \$ _____ of contributions reported on line 1a)	9a			
b	Less direct expenses other than fundraising expenses	9b			
c	Net income or (loss) from special events (subtract line 9b from line 9a)	9c			
10 a	Gross sales of inventory, less returns and allowances	10a			
b	Less cost of goods sold	10b			
c	Gross profit or (loss) from sales of inventory (attach schedule) (subtract line 10b from line 10a)	10c			
11	Other revenue (from Part VII, line 103)	11			
12	Total revenue (add lines 1d, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11)	12	2,424,627.		
Expenses					
13	Program services (from line 44, column (B))	13	1,939,911.		
14	Management and general (from line 44, column (C))	14	516,021.		
15	Fundraising (from line 44, column (D))	15	163,599.		
16	Payments to affiliates (attach schedule)	16			
17	Total expenses (add lines 16 and 44, column (A))	17	2,619,531.		
Net Assets					
18	Excess or (deficit) for the year (subtract line 17 from line 12)	18	-194,904.		
19	Net assets or fund balances at beginning of year (from line 73, column (A))	19	3,897,142.		
20	Other changes in net assets or fund balances (attach explanation)	20	SEE STATEMENT 2		
21	Net assets or fund balances at end of year (combine lines 18, 19, and 20)	21	3,352,242.		



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Part II Statement of Functional Expenses

All organizations must complete column (A). Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others

Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I		(A) Total	(B) Program services	(C) Management and general	(D) Fundraising
22	Grants and allocations (attach schedule)				
	cash \$ _____ noncash \$ _____	22			
23	Specific assistance to individuals (attach schedule)	23			
24	Benefits paid to or for members (attach schedule)	24			
25	Compensation of officers, directors, etc	25	85,000.	85,000.	0.
26	Other salaries and wages	26	1,050,363.	126,749.	80,390.
27	Pension plan contributions	27	28,686.	28,686.	
28	Other employee benefits	28	28,766.	12,440.	5,026.
29	Payroll taxes	29	84,717.	16,074.	5,656.
30	Professional fundraising fees	30			
31	Accounting fees	31	19,280.	19,280.	
32	Legal fees	32	7,788.	7,788.	
33	Supplies	33	21,145.	21,145.	
34	Telephone	34	6,687.	6,687.	
35	Postage and shipping	35	33,952.	6,652.	4,750.
36	Occupancy	36	128,732.	43,353.	
37	Equipment rental and maintenance	37	19,643.	11,426.	
38	Printing and publications	38	49,275.	49,275.	
39	Travel	39	4,147.	3,452.	695.
40	Conferences, conventions, and meetings	40	8,036.	8,036.	
41	Interest	41	1,515.	1,515.	
42	Depreciation, depletion, etc (attach schedule)	42	41,336.	41,336.	
43	Other expenses not covered above (itemize)				
a	_____	43a			
b	_____	43b			
c	_____	43c			
d	_____	43d			
e	SEE STATEMENT 3	43e	1,000,463.	105,088.	67,082.
44	Total functional expenses (add lines 22 through 43) Organizations completing columns (B)-(D) carry these totals to lines 13-15	44	2,619,531.	516,021.	163,599.

Joint Costs Check if you are following SOP 98-2

Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services? Yes No

If 'Yes,' enter (i) the aggregate amount of these joint costs \$ _____, (ii) the amount allocated to Program services \$ _____, (iii) the amount allocated to Management and general \$ _____, and (iv) the amount allocated to Fundraising \$ _____

Part III Statement of Program Service Accomplishments

What is the organization's primary exempt purpose?

PROMOTION OF THE ART OF SYMPHONY MUSIC

All organizations must describe their exempt purpose achievements in a clear and concise manner. State the number of clients served, publications issued, etc. Discuss achievements that are not measurable. (Section 501(c)(3) and (4) organizations and 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants and allocations to others.)

Program Service Expenses
(Required for 501(c)(3) and (4) orgs and 4947(a)(1) trusts but optional for others)

a	THE PURPOSE OF THE SANTA ROSA SYMPHONY ASSO. IS TO DEVELOP AND PROMOTE THE ART OF SYMPHONY MUSIC.				
	(Grants and allocations \$ _____)				1,939,911.
b	_____				
	(Grants and allocations \$ _____)				
c	_____				
	(Grants and allocations \$ _____)				
d	_____				
	(Grants and allocations \$ _____)				
e	Other program services (attach schedule)				
f	Total of Program Service Expenses (should equal line 44, column (B), Program services)				1,939,911.

Part IV Balance Sheets

Note Where required, attached schedules and amounts within the description column should be for end-of-year amounts only

		(A) Beginning of year		(B) End of year
Assets	45 Cash - non-interest-bearing	1,027.	45	1,027.
	46 Savings and temporary cash investments	1,374,357.	46	1,288,348.
	47 a Accounts receivable	219,941.		
	47 b Less allowance for doubtful accounts		47c	219,941.
	48 a Pledges receivable			
	48 b Less allowance for doubtful accounts		48c	
	49 Grants receivable		49	
	50 Receivables from officers, directors, trustees, and key employees		50	
	51 a Other notes and loans receivable			
	51 b Less allowance for doubtful accounts		51c	
	52 Inventories for sale or use		52	
	53 Prepaid expenses and deferred charges	94,985.	53	142,243.
	54 Investments - securities STMT 4 <input type="checkbox"/> Cost <input checked="" type="checkbox"/> FMV	1,593,287.	54	1,276,268.
	55 a Investments land, buildings, and equipment basis			
55 b Less accumulated depreciation		55c		
56 Investments - other SEE STATEMENT 5	1,200,000.	56	1,200,000.	
57 a Land, buildings, and equipment basis	442,101.			
57 b Less accumulated depreciation	343,448.	57c	98,653.	
58 Other assets (describe ▶ OTHER ASSETS)	204,271.	58	189,337.	
59 Total assets (add lines 45 through 58) (must equal line 74)	4,741,123.	59	4,415,817.	
Liabilities	60 Accounts payable and accrued expenses	68,638.	60	265,828.
	61 Grants payable		61	
	62 Deferred revenue	760,896.	62	788,046.
	63 Loans from officers, directors, trustees, and key employees		63	
	64 a Tax-exempt bond liabilities		64a	
	64 b Mortgages and other notes payable		64b	
65 Other liabilities (describe ▶ SEE STATEMENT 6)	14,447.	65	9,701.	
66 Total liabilities (add lines 60 through 65)	843,981.	66	1,063,575.	
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 67 through 69 and lines 73 and 74			
	67 Unrestricted	567,667.	67	-50,102.
	68 Temporarily restricted	1,932,883.	68	1,886,129.
	69 Permanently restricted	1,396,592.	69	1,516,215.
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 70 through 74			
	70 Capital stock, trust principal, or current funds		70	
	71 Paid-in or capital surplus, or land, building, and equipment fund		71	
	72 Retained earnings, endowment, accumulated income, or other funds		72	
73 Total net assets or fund balances (add lines 67 through 69 OR lines 70 through 72, column (A) must equal line 19, column (B) must equal line 21)	3,897,142.	73	3,352,242.	
74 Total liabilities and net assets / fund balances (add lines 66 and 73)	4,741,123.	74	4,415,817.	

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.

Part VI Other Information		Yes	No
76	Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity	76	X
77	Were any changes made in the organizing or governing documents but not reported to the IRS? If "Yes," attach a conformed copy of the changes	77	X
78 a	Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?	78a	X
b	If "Yes," has it filed a tax return on Form 990-T for this year? N/A	78b	
79	Was there a liquidation, dissolution, termination, or substantial contraction during the year? If "Yes," attach a statement	79	X
80 a	Is the organization related (other than by association with a statewide or nationwide organization) through common membership, governing bodies, trustees, officers, etc., to any other exempt or nonexempt organization?	80a	X
b	If "Yes," enter the name of the organization <input type="checkbox"/> exempt OR <input type="checkbox"/> nonexempt		
81 a	Enter direct or indirect political expenditures See line 81 instructions	81a	0.
b	Did the organization file Form 1120-POL for this year?	81b	X
82 a	Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value?	82a	X
b	If "Yes," you may indicate the value of these items here Do not include this amount as revenue in Part I or as an expense in Part II (See instructions in Part III)	82b	N/A
83 a	Did the organization comply with the public inspection requirements for returns and exemption applications?	83a	X
b	Did the organization comply with the disclosure requirements relating to quid pro quo contributions?	83b	X
84 a	Did the organization solicit any contributions or gifts that were not tax deductible?	84a	X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? N/A	84b	
85	501(c)(4), (5), or (6) organizations a Were substantially all dues nondeductible by members? N/A	85a	
b	Did the organization make only in house lobbying expenditures of \$2,000 or less? N/A	85b	
	If "Yes" was answered to either 85a or 85b, do not complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year		
c	Dues, assessments, and similar amounts from members	85c	N/A
d	Section 162(e) lobbying and political expenditures	85d	N/A
e	Aggregate nondeductible amount of section 6033(e)(1)(A) dues notices	85e	N/A
f	Taxable amount of lobbying and political expenditures (line 85d less 85e)	85f	N/A
g	Does the organization elect to pay the section 6033(e) tax on the amount in 85f? N/A	85g	
h	If section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount in 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year? N/A	85h	
86	501(c)(7) organizations Enter a Initiation fees and capital contributions included on line 12	86a	N/A
b	Gross receipts, included on line 12, for public use of club facilities	86b	N/A
87	501(c)(12) organizations Enter a Gross income from members or shareholders	87a	N/A
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	87b	N/A
88	At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Part IX	88	X
89 a	501(c)(3) organizations Enter Amount of tax imposed on the organization during the year under section 4911 <input type="checkbox"/> 0., section 4912 <input type="checkbox"/> 0., section 4955 <input type="checkbox"/> 0.		
b	501(c)(3) and 501(c)(4) organizations Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach a statement explaining each transaction	89b	X
c	Enter Amount of tax imposed on the organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 <input type="checkbox"/> 0.		
d	Enter Amount of tax on line 89c, above, reimbursed by the organization <input type="checkbox"/> 0.		
90 a	List the states with which a copy of this return is filed <input type="checkbox"/> CALIFORNIA		
b	Number of employees employed in the pay period that includes March 12, 2001	90b	22

91 The books are in care of JED COFFIN Telephone no 707-546-8742
 Located at 50 MARK WEST SPRINGS ROAD, SANTA ROSA, CA ZIP +4 95403

92 Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of Form 1041- Check here
 and enter the amount of tax-exempt interest received or accrued during the tax year 92 N/A

Part VII Analysis of Income-Producing Activities (See Specific Instructions on page 32)

	Unrelated business income		Excluded by section 512, 513, or 514		(E) Related or exempt function income
	(A) Business code	(B) Amount	(C) Exclu- sion code	(D) Amount	
93 Program service revenue					
a <u>TICKET SALES</u>					1,032,473.
b <u>PROGRAM ADVERTISING</u>					42,223.
c <u>EDUCATION & TUITION</u>					63,990.
d <u>SYMPHONY LEAGUE/OTHER</u>					137,239.
e _____					
f Medicare/Medicaid payments					
g Fees and contracts from government agencies					
94 Membership dues and assessments					
95 Interest on savings and temporary cash investments			14	11,351.	
96 Dividends and interest from securities			14	35,170.	
97 Net rental income or (loss) from real estate					
a debt-financed property					
b not debt-financed property					
98 Net rental income or (loss) from personal property					
99 Other investment income					
100 Gain or (loss) from sales of assets other than inventory					-94,843.
101 Net income or (loss) from special events					
102 Gross profit or (loss) from sales of inventory					
103 Other revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
104 Subtotal (add columns (B), (D), and (E))		0.		46,521.	1,181,082.
105 Total (add line 104, columns (B), (D), and (E))					1,227,603.

Note Line 105 plus line 1d, Part I, should equal the amount on line 12, Part I

Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes (See Specific Instructions on page 32)

Line No	Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes)
▼	SEE STATEMENT 9

Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities (See Specific Instructions on page 33)

(A) Name, address, and EIN of corporation, partnership, or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End of year assets
N/A	%			
	%			
	%			
	%			

Part X Information Regarding Transfers Associated with Personal Benefit Contracts (See Specific Instructions on page 33)

- (a) Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No
- (b) Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

Accompanying schedules and statements, and to the best of my knowledge and belief, it is true information of which preparer has any knowledge

1/3/03 Alan Silow, Executive Director

Organization Exempt Under Section 501(c)(3)

(Except Private Foundation) and Section 501(e), 501(f), 501(k),
501(n), or Section 4947(a)(1) Nonexempt Charitable Trust

2001

Department of the Treasury
Internal Revenue Service

Supplementary Information-(See separate instructions)

▶ MUST be completed by the above organizations and attached to their Form 990 or 990-EZ

Name of the organization

SANTA ROSA SYMPHONY ASSOCIATION

Employer identification number

94 6134075

Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees

(See page 1 of the instructions List each one If there are none, enter "None ")

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
NONE				

Total number of other employees paid over \$50,000 ▶

0

Part II Compensation of the Five Highest Paid Independent Contractors for Professional Services

(See page 2 of the instructions List each one (whether individuals or firms) If there are none, enter "None ")

(a) Name and address of each independent contractor paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

0

Part III Statements About Activities (See page 2 of the instructions) **Yes No**

<p>1 During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If "Yes," enter the total expenses paid or incurred in connection with the lobbying activities ▶ \$ _____ \$ _____ (Must equal amounts on line 38, Part VI-A, or line 1 of Part VI-B)</p> <p>Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A. Other organizations checking "Yes," must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities</p>	1		X
<p>2 During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any substantial contributors, trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary? (If the answer to any question is "Yes," attach a detailed statement explaining the transactions) SEE STATEMENT 10</p> <p>a Sale, exchange, or leasing of property?</p> <p>b Lending of money or other extension of credit?</p> <p>c Furnishing of goods, services, or facilities?</p> <p>d Payment of compensation (or payment or reimbursement of expenses if more than \$1,000)?</p> <p>e Transfer of any part of its income or assets?</p>	2a		X
	2b		X
	2c		X
	2d	X	
	2e		X
<p>3 Does the organization make grants for scholarships, fellowships, student loans, etc ? (See Note below)</p>	3	X	
<p>4 Do you have a section 403(b) annuity plan for your employees?</p>	4		X
<p>Note Attach a statement to explain how the organization determines that individuals or organizations receiving grants or loans from it in furtherance of its charitable programs "qualify" to receive payments SEE STATEMENT 11</p>			

Part IV Reason for Non-Private Foundation Status (See pages 3 through 6 of the instructions)

- The organization is not a private foundation because it is (Please check only **ONE** applicable box.)
- 5** A church, convention of churches, or association of churches Section 170(b)(1)(A)(i)
 - 6** A school Section 170(b)(1)(A)(ii) (Also complete Part V)
 - 7** A hospital or a cooperative hospital service organization Section 170(b)(1)(A)(iii)
 - 8** A Federal, state, or local government or governmental unit Section 170(b)(1)(A)(v)
 - 9** A medical research organization operated in conjunction with a hospital Section 170(b)(1)(A)(iii) Enter the hospital's name, city, and state ▶ _____
 - 10** An organization operated for the benefit of a college or university owned or operated by a governmental unit. Section 170(b)(1)(A)(iv) (Also complete the **Support Schedule** in Part IV-A.)
 - 11a** An organization that normally receives a substantial part of its support from a governmental unit or from the general public Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A.)
 - 11b** A community trust. Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A.)
 - 12** An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc., functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See section 509(a)(2) (Also complete the **Support Schedule** in Part IV A.)
 - 13** An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in (1) lines 5 through 12 above, or (2) section 501(c)(4), (5), or (6), if they meet the test of section 509(a)(2) (See section 509(a)(3))

Provide the following information about the supported organizations (See page 5 of the instructions)

(a) Name(s) of supported organization(s)	(b) Line number from above

- 14** An organization organized and operated to test for public safety Section 509(a)(4) (See page 6 of the instructions)

Part IV-A Support Schedule (Complete only if you checked a box on line 10, 11, or 12) Use cash method of accounting
 Note You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting

Calendar year (or fiscal year beginning in)	(a) 2000	(b) 1999	(c) 1998	(d) 1997	(e) Total
15 Gifts, grants, and contributions received (Do not include unusual grants. See line 28.)	1,068,610.	1,362,668.	2,199,707.	618,199.	5,249,184.
16 Membership fees received					
17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable, etc., purpose	1,100,606.	886,990.	961,959.	1,028,347.	3,977,902.
18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975	91,658.	109,207.	32,258.	44,190.	277,313.
19 Net income from unrelated business activities not included in line 18					
20 Tax revenues levied for the organization a benefit and either paid to it or expended on its behalf					
21 The value of services or facilities furnished to the organization by a governmental unit without charge. Do not include the value of services or facilities generally furnished to the public without charge.					
22 Other income. Attach a schedule. Do not include gain or (loss) from sale of capital assets.					
23 Total of lines 15 through 22	2,260,874.	2,358,865.	3,193,924.	1,690,736.	9,504,399.
24 Line 23 minus line 17	1,160,268.	1,471,875.	2,231,965.	662,389.	5,526,497.
25 Enter 1% of line 23	22,609.	23,589.	31,939.	16,907.	
26 Organizations described on lines 10 or 11	<p>a Enter 2% of amount in column (e), line 24</p> <p>b Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 1997 through 2000 exceeded the amount shown in line 26a. Do not file this list with your return. Enter the total of all these excess amounts.</p> <p>c Total support for section 509(a)(1) test. Enter line 24, column (e).</p> <p>d Add: Amounts from column (e) for lines 18 <u>277,313.</u> 19 <u> </u> 22 <u> </u> 26b <u>1,287,045.</u></p> <p>e Public support (line 26c minus line 26d total)</p> <p>f Public support percentage (line 26e (numerator) divided by line 26c (denominator))</p>				<p>26a 110,530.</p> <p>26b 1,287,045.</p> <p>26c 5,526,497.</p> <p>26d 1,564,358.</p> <p>26e 3,962,139.</p> <p>26f 71.6935%</p>
27 Organizations described on line 12	<p>a For amounts included in lines 15, 16, and 17 that were received from a "disqualified person," prepare a list for your records to show the name of, and total amounts received in each year from, each "disqualified person." Do not file this list with your return. Enter the sum of such amounts for each year: (2000) <u>N/A</u> (1999) <u> </u> (1998) <u> </u> (1997) <u> </u></p> <p>b For any amount included in line 17 that was received from each person (other than "disqualified persons"), prepare a list for your records to show the name of, and amount received for each year, that was more than the larger of (1) the amount on line 25 for the year or (2) \$5,000. (Include in the list organizations described in lines 5 through 11, as well as individuals.) Do not file this list with your return. After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year: (2000) <u> </u> (1999) <u> </u> (1998) <u> </u> (1997) <u> </u></p> <p>c Add: Amounts from column (e) for lines 15 <u> </u> 16 <u> </u> 17 <u> </u> 20 <u> </u> 21 <u> </u></p> <p>d Add: Line 27a total <u> </u> and line 27b total <u> </u></p> <p>e Public support (line 27c total minus line 27d total)</p> <p>f Total support for section 509(a)(2) test: Enter amount on line 23, column (e) <u>27f</u> <u>N/A</u></p> <p>g Public support percentage (line 27e (numerator) divided by line 27f (denominator))</p> <p>h Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator))</p>				<p>27c <u>N/A</u></p> <p>27d <u>N/A</u></p> <p>27e <u>N/A</u></p> <p>27g <u>N/A</u> %</p> <p>27h <u>N/A</u> %</p>
28 Unusual Grants	<p>For an organization described in line 10, 11, or 12, that received any unusual grants during 1997 through 2000, prepare a list for your records to show, for each year, the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant. Do not file this list with your return. Do not include these grants in line 15.</p> <p style="text-align: center;">NONE</p>				

Part V Private School Questionnaire (See page 7 of the instructions)

N/A

(To be completed ONLY by schools that checked the box on line 6 in Part IV)

		Yes	No
29	Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?		
30	Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?		
31	Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe, if "No," please explain (If you need more space, attach a separate statement.)		
<hr/> <hr/> <hr/>			
32	Does the organization maintain the following		
a	Records indicating the racial composition of the student body, faculty, and administrative staff?	32a	
b	Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?	32b	
c	Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?	32c	
d	Copies of all material used by the organization or on its behalf to solicit contributions? If you answered "No" to any of the above, please explain (If you need more space, attach a separate statement)	32d	
<hr/> <hr/> <hr/>			
33	Does the organization discriminate by race in any way with respect to		
a	Students' rights or privileges?	33a	
b	Admissions policies?	33b	
c	Employment of faculty or administrative staff?	33c	
d	Scholarships or other financial assistance?	33d	
e	Educational policies?	33e	
f	Use of facilities?	33f	
g	Athletic programs?	33g	
h	Other extracurricular activities? If you answered "Yes" to any of the above, please explain (If you need more space, attach a separate statement)	33h	
<hr/> <hr/> <hr/>			
34 a	Does the organization receive any financial aid or assistance from a governmental agency?	34a	
b	Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either 34a or b, please explain using an attached statement	34b	
35	Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," attach an explanation	35	

Part VI-A Lobbying Expenditures by Electing Public Charities (See page 9 of the instructions)

N/A

(To be completed ONLY by an eligible organization that filed Form 5768)

Check **a** if the organization belongs to an affiliated group Check **b** if you checked "a" and "limited control" provisions apply

Limits on Lobbying Expenditures		(a) Affiliated group totals	(b) To be completed for ALL electing organizations												
(The term "expenditures" means amounts paid or incurred)															
36	Total lobbying expenditures to influence public opinion (grassroots lobbying)	N/A													
37	Total lobbying expenditures to influence a legislative body (direct lobbying)														
38	Total lobbying expenditures (add lines 36 and 37)														
39	Other exempt purpose expenditures														
40	Total exempt purpose expenditures (add lines 38 and 39)														
41	Lobbying nontaxable amount. Enter the amount from the following table -														
	<table border="0" style="width: 100%;"> <tr> <td style="width: 50%;">If the amount on line 40 is -</td> <td style="width: 50%;">The lobbying nontaxable amount is -</td> </tr> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 40</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000</td> </tr> </table>	If the amount on line 40 is -	The lobbying nontaxable amount is -	Not over \$500,000	20% of the amount on line 40	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000	Over \$17,000,000	\$1,000,000		
If the amount on line 40 is -	The lobbying nontaxable amount is -														
Not over \$500,000	20% of the amount on line 40														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000														
Over \$17,000,000	\$1,000,000														
42	Grassroots nontaxable amount (enter 25% of line 41)														
43	Subtract line 42 from line 36. Enter -0- if line 42 is more than line 36														
44	Subtract line 41 from line 38. Enter -0- if line 41 is more than line 38														

Caution If there is an amount on either line 43 or line 44, you must file Form 4720

4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 45 through 50 on page 11 of the instructions.)

Calendar year (or fiscal year beginning in)	Lobbying Expenditures During 4-Year Averaging Period				N/A
	(a) 2001	(b) 2000	(c) 1999	(d) 1998	(e) Total
45 Lobbying nontaxable amount					0.
46 Lobbying ceiling amount (150% of line 45(e))					0.
47 Total lobbying expenditures					0.
48 Grassroots nontaxable amount					0.
49 Grassroots ceiling amount (150% of line 48(e))					0.
50 Grassroots lobbying expenditures					0.

Part VI-B Lobbying Activity by Nonelecting Public Charities

(For reporting only by organizations that did not complete Part VI-A) (See page 12 of the instructions)

N/A

During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of	Yes	No	Amount
a Volunteers			
b Paid staff or management (Include compensation in expenses reported on lines c through h)			
c Media advertisements			
d Mailings to members, legislators, or the public			
e Publications, or published or broadcast statements			
f Grants to other organizations for lobbying purposes			
g Direct contact with legislators, their staffs, government officials, or a legislative body			
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means			
i Total lobbying expenditures (Add lines c through h)			0.

If "Yes" to any of the above, also attach a statement giving a detailed description of the lobbying activities

FORM 990	GAIN (LOSS) FROM PUBLICLY TRADED SECURITIES			STATEMENT	1
DESCRIPTION	GROSS SALES PRICE	COST OR OTHER BASIS	EXPENSE OF SALE	NET GAIN OR (LOSS)	
SECURITIES	187,544.	282,387.	0.	-94,843.	
TO FORM 990, PART I, LINE 8	187,544.	282,387.	0.	-94,843.	

FORM 990	OTHER CHANGES IN NET ASSETS OR FUND BALANCES		STATEMENT	2
DESCRIPTION				AMOUNT
UNREALIZED LOSSES ON MARKETABLE SECURITIES				-349,996.
TOTAL TO FORM 990, PART I, LINE 20				-349,996.

FORM 990	OTHER EXPENSES				STATEMENT	3
DESCRIPTION	(A) TOTAL	(B) PROGRAM SERVICES	(C) MANAGEMENT AND GENERAL	(D) FUNDRAISING		
BANK CHARGES	41,604.		41,604.			
OFFICE EXPENSES	4,875.		4,875.			
DUES & SUBSCRIPTIONS	7,577.		7,577.			
INSURANCE	22,501.	3,420.	18,420.	661.		
TAXES & LICENSES	9,558.		9,558.			
STATE UNEMPLOYMENT INSURANCE	8,307.	8,307.				
OTHER	173,034.	157,231.	15,803.			
ADVERTISING & PROMO	116,805.	116,805.				
FUNDRAISING COSTS	66,421.			66,421.		
ARTISTIC DIRECTORS/CONDUCTORS GUEST	197,940.	197,940.				
ARTISTS/CONDUCTOR WORKER'S	136,284.	136,284.				
COMP/MUSICIANS	7,075.	7,075.				
OTHER PRODUCTION COSTS	198,464.	198,464.				
OTHER ARTISTIC EXPENSES	2,767.	2,767.				
DATA PROCESSING COSTS	7,251.		7,251.			
TOTAL TO FM 990, LN 43	1,000,463.	828,293.	105,088.	67,082.		

FORM 990		NON-GOVERNMENT SECURITIES			STATEMENT	4
SECURITY DESCRIPTION	CORPORATE STOCKS	CORPORATE BONDS	OTHER PUBLICLY TRADED SECURITIES	OTHER SECURITIES	TOTAL NON-GOV'T SECURITIES	
MARKETABLE SECURITIES	1,276,268.				1,276,268.	
TO 990, LN 54 COL B	1,276,268.				1,276,268.	

FORM 990		OTHER INVESTMENTS		STATEMENT	5
DESCRIPTION		VALUATION METHOD		AMOUNT	
DEPOSIT - LEASEHOLD INTEREST		COST		1,200,000.	
TOTAL TO FORM 990, PART IV, LINE 56, COLUMN B				1,200,000.	

FORM 990		OTHER LIABILITIES		STATEMENT	6
DESCRIPTION				AMOUNT	
OBLIGATION UNDER CAPITAL LEASE				9,701.	
TOTAL TO FORM 990, PART IV, LINE 65, COLUMN B				9,701.	

FORM 990		OTHER REVENUE INCLUDED ON FORM 990		STATEMENT	7
DESCRIPTION				AMOUNT	
CONTRIBUTIONS PASSED THROUGH TO SONOMA STATE UNIVERSITY					
TOTAL TO FORM 990, PART IV-A					

FORM 990 PART V - LIST OF OFFICERS, DIRECTORS, STATEMENT 8
 TRUSTEES AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
PAM CHANTNER 3776 CROWN HILL DRIVE SANTA ROSA, CA	VICE-PRESIDENT 1	0.	0.	0.
PAT CLOTHIER 4660 KATIE LEE WAY SANTA ROSA, CA	DIRECTOR 1	0.	0.	0.
DIDI DE GOLIA 2202 GAINSBOROUGH SANTA ROSA, CA	EMERITUS BOARD 1	0.	0.	0.
JOHN FRIEDEMAN 433 TEE CT. HEALDSBURG, CA	SECRETARY 1	0.	0.	0.
MAUREEN GREEN 950 SHILOH VISTA SANTA ROSA, CA	DIRECTOR 1	0.	0.	0.
ROBERT HAYDEN 444 CRESTRIDGE PLACE SANTA ROSA, CA	DIRECTOR 1	0.	0.	0.
HENRY HERSCH 711 ALTA VISTA DR. HEALDSBURG, CA	DIRECTOR 1	0.	0.	0.
DICK KOEHLER 4558 FAIRWAY DR. ROHNERT PARK, CA	DIRECTOR 1	0.	0.	0.
JACK MC CARLEY 1363 JACK PINE ROAD HEALDSBURG, CA	DIRECTOR 1	0.	0.	0.
STEVE CARROLL 3145 MUELLER RD. SEBASTOPOL, CA	DIRECTOR 1	0.	0.	0.
DOUG MORTON 145 WILD HORSE VALLEY NOVATO, CA	DIRECTOR 1	0.	0.	0.

SANTA ROSA SYMPHONY ASSOCIATION

94-6134075

MARNE OLSON 1058 GADDIS CR. SANTA ROSA, CA	PRESIDENT 1	0.	0.	0.
DENNIS VERITY 6449 MEADOWRIDGE DR. SANTA ROSA, CA	DIRECTOR 1	0.	0.	0.
DAVID MARSTEN 105 FRANZ VALLEY SCHOOL RD. CALISTOGA, CA	DIRECTOR 1	0.	0.	0.
JACQUES SCHLUMBERGER 10177 WESTSIDE RD. HEALDSBURG, CA	DIRECTOR 1	0.	0.	0.
JACK ATKINS 444 TENTH ST., STE. 204 SANTA ROSA, CA	TREASURER 1	0.	0.	0.
RHOANN PONSETI 2433 GRAHN DR. SANTA ROSA, CA	DIRECTOR 1	0.	0.	0.
SUSAN VICK 1727 PROCTOR DR. SANTA ROSA, CA	DIRECTOR 1	0.	0.	0.
TIM ZAINER 4792 ANNADEL HEIGHTS DR. SANTA ROSA, CA	DIRECTOR 1	0.	0.	0.
KEVEN BROWN 1906 HEXEM AVE. SANTA ROSA, CA	DIRECTOR 1	0.	0.	0.
GRANT MILLER 5584 CARRIAGE LANE SANTA ROSA, CA	DIRECTOR 1	0.	0.	0.
CREIGHTON WHITE 2649 KNOB HILL DR. SANTA ROSA, CA	DIRECTOR 1	0.	0.	0.
LINDA EASTERBROOK 1788 BANCROFT DR. SANTA ROSA, CA	DIRECTOR 1	0.	0.	0.
KAREN FURUKAWA-SCHLERETH 1638 DEER RUN SANTA ROSA, CA	DIRECTOR 1	0.	0.	0.

SANTA ROSA SYMPHONY ASSOCIATION

94-6134075

SHARON ROBISON 1701 MONTE CARLO CT. SANTA ROSA, CA	DIRECTOR 1	0.	0.	0.
JANE DROLL 4739 WOODVIEW DR. SANTA ROSA, CA	DIRECTOR 1	0.	0.	0.
JEFF LANGLEY 1801 E. COTATI AVE. ROHNERT PARK, CA	DIRECTOR 1	0.	0.	0.
RICHARD LEGER 6497 TIMBER SPRINGS DR. SANTA ROSA, CA	DIRECTOR 1	0.	0.	0.
STEPHANIE LEONG 2513 SADDLEBACK CT. SANTA ROSA, CA	DIRECTOR 1	0.	0.	0.
CORRICK BROWN 2988 SUNRIDGE DR. SANTA ROSA, CA	CONDUCTOR LAUREATE 1	0.	0.	0.
C. CONVERS GODDARD P O BOX 1008 CALISTOGA, CA	EMERITUS BOARD 0.	0.	0.	0.
YALE ABRAMS P O BOX A SANTA ROSA, CA	DIRECTOR 1	0.	0.	0.
PHYLLIS APFEL 1501 PARK ST. SANTA ROSA, CA	DIRECTOR 1	0.	0.	0.
MIKE BEIGLER 7350 BENNETT VALLEY RD. SANTA ROSA, CA	DIRECTOR 1	0.	0.	0.
ANNE BENEDETTI 151 SHELINA VISTA WAY PETALUMA, CA	DIRECTOR 1	0.	0.	0.
NANCY BERTO 1705 PARK WAY SANTA ROSA, CA	DIRECTOR 1	0.	0.	0.
ALLEN BIGGS 65 SUMMIT AVE. #1 MILL VALLEY, CA	DIRECTOR 1	0.	0.	0.

SANTA ROSA SYMPHONY ASSOCIATION

94-6134075

MARILYN CHIOTTI 305 CALISTOGA ROAD SANTA ROSA, CA	DIRECTOR 1	0.	0.	0.
JACK DUPRE 3515 RIDGEVIEW DR. SANTA ROSA, CA	DIRECTOR 1	0.	0.	0.
JANE DWIGHT 36575 LOWER RIDGE RD. SANTA ROSA, CA	DIRECTOR 1	0.	0.	0.
SANDRA JORDAN 9882 EASTSIDE RD. HEALDSBURG, CA	DIRECTOR 1	0.	0.	0.
BO KIRSCHEN 6279 MEILTA RD. SANTA ROSA, CA	DIRECTOR 1	0.	0.	0.
ART MATNEY 3740 SKYFARM DR. SANTA ROSA, CA	DIRECTOR 1	0.	0.	0.
KATHLEEN MAYHEW 3160 HICKS RD. SEBASTOPOL, CA	DIRECTOR 1	0.	0.	0.
DIANE SCHOENROCK 1285 WHITE OAK DR. SANTA ROSA, CA	DIRECTOR 1	0.	0.	0.
TOTALS INCLUDED ON FORM 990, PART V		0.	0.	0.

FORM 990 PART VIII - RELATIONSHIP OF ACTIVITIES TO ACCOMPLISHMENT OF EXEMPT PURPOSES STATEMENT 9

LINE	EXPLANATION OF RELATIONSHIP OF ACTIVITIES
93-A	REVENUE FROM SYMPHONY PRODUCTIONS WHICH PROMOTE THE SYMPHONY ORCHESTRA AND THE CULTURAL WELFARE OF THE COMMUNITY.
93-B	ADVERTISING REVENUES ARE USED FOR PRODUCTION OF CONCERT BROCHURES WHICH ARE SUBSTANTIALLY RELATED TO THEIR EXEMPT PURPOSE
93-C	REVENUE FROM MUSIC EDUCATION PROGRAMS.
93-D	REVENUE FROM THE SYMPHONY LEAGUE, A 501(C)(3) ORGANIZATION WITH THE SAME EXEMPT FUNCTION AS THE SANTA ROSA SYMPHONY ASSOCIATION AND REVENUE FROM MISCELLANEOUS ADVERTISING AND PROGRAM EVENTS.

SCHEDULE A	STATEMENT REGARDING ACTIVITIES WITH SUBSTANTIAL CONTRIBUTORS, TRUSTEES, DIRECTORS, CREATORS, KEY EMPLOYEES, ETC., PART III, LINE 2	STATEMENT 10
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SEE 990, PART V, FOR COMPENSATION PAID TO KEY EMPLOYEE. PER THE ASSOCIATION'S BY-LAWS, PAID EMPLOYEES THAT ARE ALSO DIRECTORS ARE NOT ELIGIBLE TO VOTE ON ANY MATTER BEFORE THE BOARD OF DIRECTORS.

SCHEDULE A	EXPLANATION OF QUALIFICATIONS TO RECEIVE PAYMENTS PART III, LINE 3	STATEMENT 11
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SCHOLARSHIPS ARE AWARDED TO INSTRUMENTAL MUSIC STUDENTS AT THE COLLEGE OR BELOW COLLEGE LEVEL.