

Return of Organization Exempt from Income Tax

OMB No 1545-0047

2001

Open to Public Inspection

Department of the Treasury
Internal Revenue ServiceUnder Section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code
(except black lung benefit trust or private foundation)

The organization may have to use a copy of this return to satisfy state reporting requirements

A For the 2001 calendar year, or tax year beginning 10/01, 2001, and ending 9/30, 20 02

B Check if applicable

- ☐ Address change
☐ Name change
☐ Initial return
☐ Final return
☐ Amended return
☐ Application pending

Please use
IRS label
or print
or type
See
specific
instruc-
tions.CALIFORNIA ALLIANCE FOR ARTS EDUCATION
800 EAST COLORADO BLVD #500
PASADENA, CA 91101

D Employer identification number

94-2733935

E Telephone number

626 817 6300

F Accounting method

☐ Cash ☒ Accrual
☐ Other (specify) ▶

Section 501(c)(3) organizations and 4947(a)(1) nonexempt
charitable trusts must attach a completed Schedule A
(Form 990 or 990-EZ)

H and I are not applicable to Section 527 organizations

H (a) Is this a group return for affiliates? ☐ Yes ☒ No

H (b) If 'yes' enter number of affiliates ▶

H (c) Are all affiliates included? ☐ Yes ☐ No

(If 'no' attach a list. See instructions.)

H (d) Is this a separate return filed by an
organization covered by a group ruling? ☐ Yes ☒ No

I Enter 4-digit group GEN ▶

M Check ☐ if the organization is not required
to attach Schedule B (Form 990, 990-EZ, or 990-PF)

G Web site: ▶ N/A

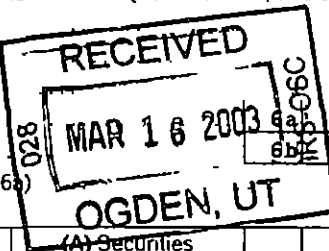
J Organization type
(check only one)
☒ 501(c) 3 (insert no) ☐ 4947(a)(1) or ☐ 527

K Check here ☐ if the organization's gross receipts are normally not more than
\$25,000. The organization need not file a return with the IRS, but if the organization
received a Form 990 Package in the mail, it should file a return without financial data.
Some states require a complete return.

L Gross receipts. Add lines 6b, 8b, 9b and 10b to line 12 ▶ 730,505

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (see instructions)

1	Contributions, gifts, grants, and similar amounts received		
a	Direct public support	1a	55,415
b	Indirect public support	1b	
c	Government contributions (grants)	1c	128,000
d	Total (add lines 1a through 1c) (cash \$ 183,415 noncash \$)	1d	183,415
2	Program service revenue including government fees and contracts (from Part VII, line 93)	2	496,442
3	Membership dues and assessments	3	26,736
4	Interest on savings and temporary cash investments	4	1,560
5	Dividends and interest from securities	5	
6a	Gross rents		
b	Less rental expenses		
c	Net rental income or (loss) (subtract line 6b from line 6a)	6c	
7	Other investment income (describe) ▶	7	
8a	Gross amount from sales of assets other than inventory		
b	Less cost or other basis and sales expenses		
c	Gain or (loss) (attach schedule)		
d	Net gain or (loss) (combine line 8c, columns (A) and (B))	8d	
9	Special events and activities (attach schedule)		
a	Gross revenue (not including \$ of contributions reported on line 1a)	9a	
b	Less direct expenses other than fundraising expenses	9b	
c	Net income or (loss) from special events (subtract line 9b from line 9a)	9c	
10a	Gross sales of inventory, less returns and allowances	10a	
b	Less cost of goods sold	10b	
c	Gross profit or (loss) from sales of inventory (attach schedule) (subtract line 10b from line 10a)	10c	
11	Other revenue (from Part VII, line 103)	11	22,352
12	Total revenue (add lines 1d, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c and 11)	12	730,505
13	Program services (from line 44, column (B))	13	494,027
14	Management and general (from line 44, column (C))	14	123,498
15	Fundraising (from line 44, column (D))	15	20,562
16	Payments to affiliates (attach schedule)	16	
17	Total expenses (add lines 16 and 44, column (A))	17	638,087
18	Excess or (deficit) for the year (subtract line 17 from line 12)	18	92,418
19	Net assets or fund balances at beginning of year (from line 73, column (A))	19	177,587
20	Other changes in net assets or fund balances (attach explanation)	20	
21	Net assets or fund balances at end of year (combine lines 18, 19, and 20)	21	270,005



SCANNED APR 01 2003

EXEMPT FROM PAYMENT OF TAX

Part II Statement of Functional Expenses All organizations must complete column (A). Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others.

Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I		(A) Total	(B) Program services	(C) Management and general	(D) Fundraising
22 Grants and allocations (att sch) (cash \$ _____ non-cash \$ _____)	22				
23 Specific assistance to individuals (att sch)	23				
24 Benefits paid to or for members (att sch)	24				
25 Compensation of officers, directors, etc	25	59,250	27,825	28,947	2,478
26 Other salaries and wages	26	39,646	21,014	16,760	1,872
27 Pension plan contributions	27				
28 Other employee benefits	28	3,811	2,063	1,567	181
29 Payroll taxes	29	7,730	4,098	3,267	365
30 Professional fundraising fees	30				
31 Accounting fees	31				
32 Legal fees	32				
33 Supplies	33	2,955	1,667	1,288	
34 Telephone	34	6,784	656	5,789	339
35 Postage and shipping	35	9,331	6,075	2,789	467
36 Occupancy	36	15,248	10,056	4,430	762
37 Equipment rental and maintenance	37	10,519	10,506	13	
38 Printing and publications	38	15,269	8,020	6,486	763
39 Travel	39	7,287	2,510	4,442	335
40 Conferences, conventions, and meetings	40				
41 Interest	41				
42 Depreciation, depletion, etc (attach schedule)	42				
43 Other expenses not covered above (itemize)					
a See Statement 1	43a	460,257	399,537	47,720	13,000
b	43b				
c	43c				
d	43d				
e	43e				
44 Total functional expenses (add lines 22-43) Organizations completing columns (B) - (D), carry these totals to lines 13-15	44	638,087	494,027	123,498	20,562

Joint Costs. Check ☐ if you are following SOP 98-2Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services? ☐ Yes ☒ No

If 'Yes,' enter (i) the aggregate amount of these joint costs \$ _____, (ii) the amount allocated to program services \$ _____, (iii) the amount allocated to management and general \$ _____, and (iv) the amount allocated to fundraising \$ _____

Part III Statement of Program Service AccomplishmentsWhat is the organization's primary exempt purpose? See Statement 2

All organizations must describe their exempt purpose achievements in a clear and concise manner. State the number of clients served, publications issued, etc. Discuss achievements that are not measurable. (Section 501(c)(3) & (4) organizations & section 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants & allocations to others.)

Program Service Expenses
(Required for 501(c)(3) and
(4) organizations and
4947(a)(1) trusts, but
optional for others.)

a See Statement 3				
(Grants and allocations \$ _____)				494,027
b				
(Grants and allocations \$ _____)				
c				
(Grants and allocations \$ _____)				
d				
(Grants and allocations \$ _____)				
e Other program services				
(Grants and allocations \$ _____)				
f Total of Program Service Expenses (should equal line 44, column (B), program services)				494,027

Part IV Balance Sheets (See instructions)**Note** Where required, attached schedules and amounts within the description column should be for end-of-year amounts only

		(A) Beginning of year		(B) End of year
ASSETS	45 Cash — non interest-bearing	123,935	45	188,166
	46 Savings and temporary cash investments		46	
	47a Accounts receivable	13,561		
	b Less allowance for doubtful accounts		47c	13,561
	48a Pledges receivable	82,988		
	b Less allowance for doubtful accounts		48c	82,988
	49 Grants receivable		49	
	50 Receivables from officers, directors, trustees, and key employees (attach schedule)		50	
	51a Other notes & loans receivable (attach sch)			
	b Less allowance for doubtful accounts		51c	
	52 Inventories for sale or use		52	
	53 Prepaid expenses and deferred charges	2,814	53	
	54 Investments — securities (attach schedule)	☐ Cost ☐ FMV	54	
	55a Investments — land, buildings & equipment basis			
	b Less accumulated depreciation (attach schedule)		55c	
56 Investments — other (attach schedule)		56		
57a Land, buildings, and equipment basis				
b Less accumulated depreciation (attach schedule)		57c		
58 Other assets (describe ▶)		58		
59 Total assets (add lines 45 through 58) (must equal line 74)	215,176	59	284,715	
LIABILITIES	60 Accounts payable and accrued expenses	10,589	60	14,710
	61 Grants payable		61	
	62 Deferred revenue	27,000	62	
	63 Loans from officers, directors, trustees, and key employees (attach schedule)		63	
	64a Tax-exempt bond liabilities (attach schedule)		64a	
	b Mortgages and other notes payable (attach schedule)		64b	
	65 Other liabilities (describe ▶)		65	
	66 Total liabilities (add lines 60 through 65)	37,589	66	14,710
NET ASSETS OR FUND BALANCES	Organizations that follow SFAS 117, check here ☒ and complete lines 67 through 69 and lines 73 and 74			
	67 Unrestricted	-19,195	67	21,064
	68 Temporarily restricted	196,782	68	248,941
	69 Permanently restricted		69	
	Organizations that do not follow SFAS 117, check here ☐ and complete lines 70 through 74			
	70 Capital stock, trust principal, or current funds		70	
	71 Paid-in or capital surplus or land, building, and equipment fund		71	
	72 Retained earnings, endowment, accumulated income, or other funds		72	
	73 Total net assets or fund balances (add lines 67 through 69 or lines 70 through 72, column (A) must equal line 19 and column (B) must equal line 21)	177,587	73	270,005
	74 Total liabilities and net assets/fund balances (add lines 66 and 73)	215,176	74	284,715

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.

BAA

Part IV-A	Reconciliation of Revenue per Audited Financial Statements with Revenue per Return (See instructions)
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Part IV-B	Reconciliation of Expenses per Audited Financial Statements with Expenses per Return
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Part I		Part II	
a Total revenue, gains, and other support per audited financial statements	a N/A	a Total expenses and losses per audited financial statements	a N/A
b Amounts included on line a but not on line 12, Form 990		b Amounts included on line a but not on line 17, Form 990	
(1) Net unrealized gains on investments \$		(1) Donated services and use of facilities \$	
(2) Donated services and use of facilities \$		(2) Prior year adjustments reported on line 20, Form 990 \$	
(3) Recoveries of prior year grants \$		(3) Losses reported on line 20, Form 990 \$	
(4) Other (specify)		(4) Other (specify)	
----- \$		----- \$	
Add amounts on lines (1) through (4)	b	Add amounts on lines (1) through (4)	b
c Line a minus line b	c	c Line a minus line b	c
d Amounts included on line 12, Form 990 but not on line a		d Amounts included on line 17, Form 990 but not on line a	
(1) Investment expenses not included on line 6b, Form 990 \$		(1) Investment expenses not included on line 6b, Form 990 \$	
(2) Other (specify)		(2) Other (specify)	
----- \$		----- \$	
Add amounts on lines (1) and (2)	d	Add amounts on lines (1) and (2)	d
e Total revenue per line 12, Form 990 (line c plus line d)	e	e Total expenses per line 17, Form 990 (line c plus line d)	e

Part V	List of Officers, Directors, Trustees, and Key Employees (List each one even if not compensated, see instructions)
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[illegible]

75 Did any officer, director, trustee, or key employee receive aggregate compensation of more than \$100,000 from your organization and all related organizations, of which more than \$10,000 was provided by the related organizations?

If 'Yes,' attach schedule — see instructions

► ☐ Yes

☒ No

Part VI Other Information (See specific instructions)

	Yes	No
76 Did the organization engage in any activity not previously reported to the IRS? If 'Yes,' attach a detailed description of each activity		X
77 Were any changes made in the organizing or governing documents but not reported to the IRS? If 'Yes,' attach a conformed copy of the changes		X
78a Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?		X
78b If 'Yes,' has it filed a tax return on Form 990-T for this year?	N/A	
79 Was there a liquidation, dissolution, termination, or substantial contraction during the year? If 'Yes,' attach a statement		X
80a Is the organization related (other than by association with a statewide or nationwide organization) through common membership, governing bodies, trustees, officers, etc., to any other exempt or nonexempt organization?		X
80b If 'Yes,' enter the name of the organization <u>N/A</u> and check whether it is ☐ exempt or ☐ nonexempt		
81a Enter direct or indirect political expenditures. See line 81 instructions	81a	0
81b Did the organization file Form 1120-POL for this year?		X
82a Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value?		X
82b If 'Yes,' you may indicate the value of these items here. Do not include this amount as revenue in Part I or as an expense in Part II. (See instructions in Part III.)	82b	N/A
83a Did the organization comply with the public inspection requirements for returns and exemption applications?	X	
83b Did the organization comply with the disclosure requirements relating to quid pro quo contributions?	X	
84a Did the organization solicit any contributions or gifts that were not tax deductible?		X
84b If 'Yes,' did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	N/A	
85 501(c)(4), (5), or (6) organizations a Were substantially all dues nondeductible by members?	85a	N/A
b Did the organization make only in-house lobbying expenditures of \$2,000 or less? If 'Yes' was answered to either 85a or 85b, do not complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year	85b	N/A
c Dues, assessments, and similar amounts from members	85c	N/A
d Section 162(e) lobbying and political expenditures	85d	N/A
e Aggregate nondeductible amount of Section 6033(e)(1)(A) dues notices	85e	N/A
f Taxable amount of lobbying and political expenditures (line 85d less 85e)	85f	N/A
g Does the organization elect to pay the Section 6033(e) tax on the amount on line 85f?	85g	N/A
h If Section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount on line 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year?	85h	N/A
86 501(c)(7) organizations Enter a Initiation fees and capital contributions included on line 12	86a	N/A
b Gross receipts, included on line 12, for public use of club facilities	86b	N/A
87 501(c)(12) organizations Enter a Gross income from members or shareholders	87a	N/A
b Gross income from other sources. (Do not net amounts due or paid to other sources against amounts due or received from them.)	87b	N/A
88 At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations Sections 301.7701-2 and 301.7701-3? If 'Yes,' complete Part IX		X
89a 501(c)(3) organizations Enter Amount of tax imposed on the organization during the year under Section 4911 <u>0</u> , Section 4912 <u>0</u> , Section 4955 <u>0</u>		
b 501(c)(3) and 501(c)(4) organizations Did the organization engage in any Section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If 'Yes,' attach a statement explaining each transaction	89b	X
c Enter Amount of tax imposed on the organization managers or disqualified persons during the year under Sections 4912, 4955, and 4958		0
d Enter Amount of tax on line 89c, above, reimbursed by the organization		0
90a List the states with which a copy of this return is filed <u>CALIFORNIA</u>		
b Number of employees employed in the pay period that includes March 12, 2001 (see instructions)	90b	2
91 The books are in care of <u>LAURIE SCHELL</u> Telephone number <u>626 817 6300</u> Located at <u>800 EAST COLORADO BLVD</u> ZIP + 4 <u>91101</u>		
92 Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of Form 1041 - Check here ☐ and enter the amount of tax exempt interest received or accrued during the tax year <u>92</u>		N/A

Part VII Analysis of Income-Producing Activities (See instructions)

Note: Enter gross amounts unless otherwise indicated

	Unrelated business income		Excluded by section 512, 513, or 514		(E) Related or exempt function income
	(A) Business code	(B) Amount	(C) Exclusion code	(D) Amount	
93 Program service revenue					
a CA ARTS ASSESSMENT					205,318
b EMERGING YOUNG ARTIST					152,961
c MODEL ARTS PROJECT					111,108
d OTHER PROGRAMS					27,055
e					
f Medicare/Medicaid payments					
g Fees & contracts from government agencies					
94 Membership dues and assessments					26,736
95 Interest on savings & temporary cash invmnts					1,560
96 Dividends & interest from securities					
97 Net rental income or (loss) from real estate					
a debt-financed property					
b not debt-financed property					
98 Net rental income or (loss) from pers prop					
99 Other investment income					
100 Gain or (loss) from sales of assets other than inventory					
101 Net income or (loss) from special events					
102 Gross profit or (loss) from sales of inventory					
103 Other revenue a					
b AGENCY FEES					22,352
c					
d					
e					
104 Subtotal (add columns (B), (D), and (E))					547,090
105 Total (add line 104, columns (B), (D), and (E))					547,090

Note: Line 105 plus line 1d, Part I, should equal the amount on line 12, Part I

Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes (See instructions)

Line No.	Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes)
1	See Statement 5
2	
3	
4	

Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities (See instructions)

(A) Name, address, and EIN of corporation, partnership, or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End-of-year assets
N/A	%			
	%			
	%			
	%			

Part X Information Regarding Transfers Associated with Personal Benefit Contracts (See instructions)

a Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

☐ Yes ☒ No

Note: If 'Yes' to (b), file Form 8870 and Form 4720 (see instructions)

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Date

3/13/03

**Organization Exempt Under
Section 501(c)(3)**

**(Except Private Foundation) and Section 501(e), 501(f), 501(k), 501(n), or Section 4947(a)(1)
Nonexempt Charitable Trust Supplementary Information – (See separate instructions)**

Supplementary Information — (see separate instructions)

► **Must be completed by the above organizations and attached to their Form 990 or 990-EZ.**

OMB No. 1545-0047

2001

Name of the Organization

CALIFORNIA ALLIANCE FOR ARTS EDUCATION

Employer Identification Number

94-2733935

Part I	Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees
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(See instructions List each one If there are none, enter 'None')

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
None				
Total number of other employees paid over \$50,000	0			

Part II	Compensation of the Five Highest Paid Independent Contractors for Professional Services
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(See instructions List each one (whether individuals or firms) If there are none, enter 'None')

(a) Name and address of each independent contractor paid more than \$50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over \$50,000 for professional services.	0	

Part III Statements About Activities (See instructions)

	Yes	No
1 During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If 'Yes,' enter the total expenses paid or incurred in connection with the lobbying activities \$ 18,000 (Must equal amounts on line 38, Part VI-A, or line 1 of Part VI-B.) Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A. Other organizations checking 'Yes,' must complete Part VI-B and attach a statement giving a detailed description of the lobbying activities.	1	X
2 During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any substantial contributors, trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary? (If the answer to any question is 'Yes,' attach a detailed statement explaining the transactions.)		
a Sale, exchange, or leasing of property?	2a	X
b Lending of money or other extension of credit?	2b	X
c Furnishing of goods, services, or facilities?	2c	X
d Payment of compensation (or payment or reimbursement of expenses if more than \$1,000)?	2d	X
e Transfer of any part of its income or assets?	2e	X
See Statement 6		
3 Does the organization make grants for scholarships, fellowships, student loans, etc? (See Note below)	3	X
4 Do you have a section 403(b) annuity plan for your employees?	4	X
Note Attach a statement to explain how the organization determines that individuals or organizations receiving grants or loans from it in furtherance of its charitable programs 'qualify' to receive payments.		

Part IV Reason for Non-Private Foundation Status (See instructions)The organization is not a private foundation because it is (please check only **One** applicable box)

- 5** ☐ A church, convention of churches, or association of churches Section 170(b)(1)(A)(i)
6 ☐ A school Section 170(b)(1)(A)(ii) (Also complete Part V)
7 ☐ A hospital or a cooperative hospital service organization Section 170(b)(1)(A)(iii)
8 ☐ A federal, state, or local government or governmental unit Section 170(b)(1)(A)(v)
9 ☐ A medical research organization operated in conjunction with a hospital Section 170(b)(1)(A)(iii) Enter the hospital's name, city, and state: _____
10 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit Section 170(b)(1)(A)(iv) (Also complete the **Support Schedule** in Part IV-A)
11a ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A)
11b ☐ A community trust Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A)
12 ☒ An organization that normally receives **(1) more than 33-1/3%** of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc., functions — subject to certain exceptions, and **(2) no more than 33-1/3%** of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See section 509(a)(2) (Also complete the **Support Schedule** in Part IV-A)
13 ☐ An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in **(1)** lines 5 through 12 above, or **(2)** section 501(c)(4), (5), or (6), if they meet the test of section 509(a)(2) (See section 509(a)(3))

Provide the following information about the supported organizations (See instructions)

(a) Name(s) of supported organization(s)	(b) Line number from above

- 14** ☐ An organization organized and operated to test for public safety Section 509(a)(4) (See instructions)

Part IV-A Support Schedule (Complete only if you checked a box on line 10, 11, or 12) Use cash method of accounting.

Note: You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting.

Calendar year (or fiscal year beginning in)	(a) 2000	(b) 1999	(c) 1998	(d) 1997	(e) Total
15 Gifts, grants, and contributions received (Do not include unusual grants. See line 28.)	87,768	155,646	140,410	86,350	470,174
16 Membership fees received	6,705	8,795	7,155	11,275	33,930
17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable, etc., purpose					
18 Gross income from interest, dividends, amounts received from payments on securities loans (Section 512(a)(5)), rents, royalties, and unrelated business taxable income (less Section 511 taxes) from businesses acquired by the organization after June 30, 1975	750	3,599		321	4,670
19 Net income from unrelated business activities not included in line 18					
20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf					
21 The value of services or facilities furnished to the organization by a governmental unit without charge. Do not include the value of services or facilities generally furnished to the public without charge.					
22 Other income. Attach a schedule. Do not include gain or (loss) from sale of capital assets.					
23 Total of lines 15 through 22	95,223	168,040	147,565	97,946	508,774
24 Line 23 minus line 17	95,223	168,040	147,565	97,946	508,774
25 Enter 1% of line 23	952	1,680	1,476	979	

26 Organizations described on lines 10 or 11. a Enter 2% of amount in column (e), line 24 N/A

b Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 1997 through 2000 exceeded the amount shown in line 26a. Do not file this list with your return. Enter the total of all these excess amounts.

c Total support for Section 509(a)(1) test. Enter line 24, column (e)

d Add Amounts from column (e) for lines 18 19 22 26b

e Public support (line 26c minus line 26d total)

f Public support percentage (line 26e (numerator) divided by line 26c (denominator))

27 Organizations described on line 12:

a For amounts included in lines 15, 16, and 17 that were received from a 'disqualified person,' prepare a list for your records to show the name of, and total amounts received in each year from, each 'disqualified person.' Do not file this list with your return. Enter the sum of such amounts for each year.

(2000) 0 (1999) 0 (1998) 0 (1997) 0

b For any amount included in line 17 that was received from each person (other than 'disqualified persons'), prepare a list for your records to show the name of, and amount received for each year, that was more than the larger of (1) the amount on line 25 for the year or (2) \$5,000. (Include in the list organizations described in lines 5 through 11 as well as individuals.) Do not file this list with your return. After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year.

(2000) 0 (1999) 0 (1998) 0 (1997) 0

c Add Amounts from column (e) for lines 15 16 17 20 21 27c 27d 27e

d Add Line 27a total 0 and line 27b total 0

e Public support (line 27c total minus line 27d total)

f Total support for section 509(a)(2) test. Enter amount from line 23, column (e) 27f 508,774

g Public support percentage (line 27e (numerator) divided by line 27f (denominator)) 27g 99.08 %

h Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator)) 27h 0.92 %

28 Unusual Grants. For an organization described in line 10, 11, or 12 that received any unusual grants during 1997 through 2000, prepare a list for your records to show, for each year, the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant. Do not file this list with your return. Do not include these grants in line 15.

Part V Private School Questionnaire (See instructions)
(To be completed Only by schools that checked the box on line 6 in Part IV)

		N/A	
		Yes	No
29	Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?		
30	Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?		
31	Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If 'Yes,' please describe, if 'No,' please explain (If you need more space, attach a separate statement)		

32	Does the organization maintain the following		
a	Records indicating the racial composition of the student body, faculty, and administrative staff?	32a	
b	Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?	32b	
c	Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs and scholarships?	32c	
d	Copies of all material used by the organization or on its behalf to solicit contributions?	32d	
If you answered 'No' to any of the above, please explain (If you need more space, attach a separate statement)			

33	Does the organization discriminate by race in any way with respect to		
a	Students' rights or privileges?	33a	
b	Admissions policies?	33b	
c	Employment of faculty or administrative staff?	33c	
d	Scholarships or other financial assistance?	33d	
e	Educational policies?	33e	
f	Use of facilities?	33f	
g	Athletic programs?	33g	
h	Other extracurricular activities?	33h	
If you answered 'Yes' to any of the above, please explain (If you need more space, attach a separate statement)			

34a	Does the organization receive any financial aid or assistance from a governmental agency?	34a	
b	Has the organization's right to such aid ever been revoked or suspended?	34b	
If you answered 'Yes' to either 34a or b, please explain using an attached statement			
35	Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975 2 C B 587, covering racial nondiscrimination? If 'No,' attach an explanation	35	

Part VI-A Lobbying Expenditures by Electing Public Charities (See instructions)
(To be completed **Only** by an eligible organization that filed Form 5768)Check ☐ **a** if the organization belongs to an affiliated group Check ☐ **b** if you checked 'a' and 'limited control' provisions apply**Limits on Lobbying Expenditures**

(The term 'expenditures' means amounts paid or incurred)

	(a) Affiliated group totals	(b) To be completed for all electing organizations
36 Total lobbying expenditures to influence public opinion (grassroots lobbying)	36	
37 Total lobbying expenditures to influence a legislative body (direct lobbying)	37	18,000
38 Total lobbying expenditures (add lines 36 and 37)	38	18,000
39 Other exempt purpose expenditures	39	620,087
40 Total exempt purpose expenditures (add lines 38 and 39)	40	638,087
41 Lobbying nontaxable amount Enter the amount from the following table — If the amount on line 40 is — Not over \$500,000 Over \$500,000 but not over \$1,000,000 Over \$1,000,000 but not over \$1,500,000 Over \$1,500,000 but not over \$17,000,000 Over \$17,000,000 The lobbying nontaxable amount is — 20% of the amount on line 40 \$100,000 plus 15% of the excess over \$500,000 \$175,000 plus 10% of the excess over \$1,000,000 \$225,000 plus 5% of the excess over \$1,500,000 \$1,000,000	41	120,713
42 Grassroots nontaxable amount (enter 25% of line 41)	42	30,178
43 Subtract line 42 from line 36 Enter -0- if line 42 is more than line 36	43	0
44 Subtract line 41 from line 38 Enter -0- if line 41 is more than line 38	44	0

Caution: If there is an amount on either line 43 or line 44, you must file Form 4720**4-Year Averaging Period Under Section 501(h)**(Some organizations that made a section 501(h) election do not have to complete all of the five columns below
See the instructions for lines 45 through 50)

	Lobbying Expenditures During 4-Year Averaging Period				
Calendar year (or fiscal year beginning in) ▶	(a) 2001	(b) 2000	(c) 1999	(d) 1998	(e) Total
45 Lobbying nontaxable amount	120,713				120,713
46 Lobbying ceiling amount (150% of line 45(e))					181,070
47 Total lobbying expenditures	18,000				18,000
48 Grassroots non taxable amount	30,178				30,178
49 Grassroots ceiling amount (150% of line 48(e))					45,267
50 Grassroots lobbying expenditures					

Part VI-B Lobbying Activity by Nonelecting Public Charities

(For reporting only by organizations that did not complete Part VI-A) (See instructions)

N/A

During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of

Yes	No	Amount

a Volunteers**b** Paid staff or management (include compensation in expenses reported on lines c through h)**c** Media advertisements**d** Mailings to members, legislators, or the public**e** Publications, or published or broadcast statements**f** Grants to other organizations for lobbying purposes**g** Direct contact with legislators, their staffs, government officials, or a legislative body**h** Rallies, demonstrations, seminars conventions, speeches, lectures, or any other means**i** Total lobbying expenditures (add lines c through h)

If 'Yes' to any of the above also attach a statement giving a detailed description of the lobbying activities

Part VII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations (See instructions)

CALIFORNIA ALLIANCE FOR ARTS EDUCATION

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Statement 1
Form 990, Part II, Line 43
Other Expenses

	(A) <u>Total</u>	(B) <u>Program Services</u>	(C) <u>Management & General</u>	(D) <u>Fundraising</u>
BANK CHARGES	20		20.	
BOARD COSTS	2,768		2,768.	
CATERING	73,083	72,208	875.	
COMPUTER	32		32	
CONTRACTED SERVICES	174,590	135,023	29,567	10,000
DUES AND SUBSCRIPTIONS	287		287	
FACILITIES RENTAL	2,058	2,023	35	
FEES AND PERMITS	475	265	210	
FISCAL AGENCY FEES	21,482	21,482		
FUNDRAISING	7,942	4,942		3,000
HONORARIUM	14,720	14,720		
HOTEL	34,180	33,080	1,100.	
INSURANCE	4,682		4,682.	
INTERNET	818		818.	
MISCELLANEOUS	1,622	592	1,030.	
PROFESSIONAL DEVELOPMENT	210		210	
PROFESSIONAL FEES	6,086		6,086	
SCHOLARSHIPS	115,202	115,202		
Total	\$ 460,257	\$ 399,537	\$ 47,720	\$ 13,000

Statement 2
Form 990, Part III
Organization's Primary Exempt Purpose

THE ORGANIZATION'S MISSION IS TO PROMOTE, SUPPORT AND ADVOCATE VISUAL AND PERFORMING ARTS EDUCATION FOR PRESCHOOL THROUGH POST-SECONDARY STUDENTS IN CALIFORNIA SCHOOLS

Statement 3
Form 990, Part III, Line a
Statement of Program Service Accomplishments

<u>Description</u>	<u>Grants and Allocations</u>	<u>Program Service Expenses</u>
THE ORGANIZATION AS FISCAL AGENT, PARTICIPATES IN THE CALIFORNIA MODEL ARTS PROGRAM THE PROGRAM WAS IMPLEMENTED THROUGHT THE DEPARTMENT OF EDUCATION'S ARTS WORK VISUAL AND PERFORMING ARTS EDUCATION GRANTS PROGRAM, AND PERFORMING ARTS EDUCATION GRANT PROGRAM, AND IT REPRESENTS A COLLABORATION WITH THE ORGANIZATION, THE CALIFORNIA ARTS COUNCIL AND THE CALIFORNIA ARTS PROJECTS		192,013
THE ORGANIZATION AS FISCAL AGENT, PARTICIPATES IN THE CALIFORNIA ARTS ASSESSMENT NETWORK ACTIVITIES, AS STATED IN THE CALIFORNIA DEPARTMENT OF EDUCATION ARTS WORK VISUAL AND PERFORMING ARTS EDUCATION GRANT PROGRAM III, CATEGORY 2, INCLUDES AN ON-LINE STUDENT WORK PROFILE PROJECT, THE		

CALIFORNIA ALLIANCE FOR ARTS EDUCATION

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Statement 3 (continued)
Form 990, Part III, Line a
Statement of Program Service Accomplishments

<u>Description</u>	<u>Grants and Allocations</u>	<u>Program Service Expenses</u>
PRODUCTION OF NETWORK PRODUCTS AND MATERIALS, AND AN ON-LINE ARTS EDUCATION ASSESSMENT ITEM POOL DEVELOPMENT PROJECT		69,489
THE ORGANIZATION ADMINISTERS THE EMERGING YOUNG ARTIST AWARDS WHICH SEEKS TO IDENTIFY AND SUPPORT TALENTED YOUNG ARTISTS WHO INTEND TO PURSUE A CAREER IN THE ARTS		169,333
THE ORGANIZATION IS REQUESTED AT TIMES TO CREATE ONE TIME PROGRAMS THAT PROMOTES, SUPPORTS AND ADVOCATES VISUAL AND PERFORMING ARTS EDUCATION		63,192
	<u>\$ 0</u>	<u>\$ 494,027</u>

Statement 4
Form 990, Part V
List of Officers, Directors, Trustees, and Key Employees

<u>Name and Address</u>	<u>Title and Average Hours Per Week Devoted</u>	<u>Compensation</u>	<u>Contribution to EBP & DC</u>	<u>Expense Account/ Other</u>
LAURIE SCHELL 800 EAST COLORADO BLVD PASADENA, CA 91101	Executive Direc 40 - 60	\$ 59,250	\$ 0	\$ 0
ELIZABETH LINDSLEY 800 EAST COLORADO BLVD PASADENA, CA 91101	Chair AS NEEDED	0	0	0
TERRY GIVENS 800 EAST COLORADO BLVD PASADENA, CA 91101	Past Chair AS NEEDED	0	0	0
KRISTINE ALEXANDER 800 EAST COLORADO BLVD PASADENA, CA 91101	Secretary AS NEEDED	0	0	0
JIM SPALDING 800 EAST COLORADO BLVD PASADENA, CA 91101	Treasurer AS NEEDED	0	0	0
LAURIE HELLER 800 EAST COLORADO BLVD PASADENA, CA 91101	Ex-Officio Rep AS NEEDED	0	0.	0

CALIFORNIA ALLIANCE FOR ARTS EDUCATION

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Statement 4 (continued)
Form 990, Part V
List of Officers, Directors, Trustees, and Key Employees

Name and Address	Title and Average Hours Per Week Devoted	Compen- sation	Contri- bution to EBP & DC	Expense Account/ Other
NANCY CARR 800 EAST COLORADO BLVD PASADENA, CA 91101	Org Rep AS NEEDED	\$ 0	\$ 0	\$ 0
Total		\$ 59,250	\$ 0.	\$ 0

Statement 5
Form 990, Part VIII
Relationship of Activities to the Accomplishment of Exempt Purposes

Line #	Explanation of Activities
93	THE ORGANIZATION USES PROGRAMS TO PROMOTE, SUPPORT AND ADVOCATE VISUAL PERFORMING ARTS EDUCATION FOR PRESCHOOL THROUGH POST SECONDARY STUDENTS IN CALIFORNIA SCHOOLS
94	THE ORGANIZATION USES DUES ASSESSED TO ITS MEMBERS IN CARRYING OUT ITS PROGRAM OF ARTS EDUCATION TO CALIFORNIA STUDENTS
95	EXCESS FUNDS ARE INVESTED TEMPORARILY TO EARN INTEREST. THE INTEREST RECEIVED IS USED TOGETHER WITH OTHER FUNDS TO FURTHER THE EDUCATIONAL PURPOSE OF THE ORGANIZATION
103	AGENCY FEES ARE PAID BY PARTICIPANTS ATTENDING THE PROGRAM SERVICE EVENTS THESE FEES ARE USED TOGETHER WITH OTHER FUNDS TO FURTHER THE EDUCATIONAL PURPOSE OF THE ORGANIZATION

Statement 6
Schedule A, Part III, Line 3
Qualifications of Recipients Receiving Grants or Loans

THE ORGANIZATION ADMINISTERS THE EMERGING YOUNG ARTISTS AWARDS (THE E Y.A.A)
 THE E Y A A SEEKS TO IDENTIFY AND SUPPORT TALENTED YOUNG ARTISTS WHO INTEND TO PURSUE A CAREER IN THE ARTS THE AWARDS FUNDED BY THE HERB ALPERT FOUNDATION ARE UP TO \$20,000 OVER FOUR YEARS IN SUPPORT OF FULL-TIME ENROLLMENT IN A DEGREE OR PROFESSIONAL TRAINING PROGRAM IN THE ARTS