

# Return of Organization Exempt From Income Tax

OMB No. 1545-0047  
**2002**  
 Open to Public Inspection

Department of the Treasury  
 Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

**A** For the 2002 calendar year, or tax year beginning **2002**, and ending **2002**

- B** Check if applicable:
- Address change
  - Name change
  - Initial return
  - Final return
  - Amended return
  - Application pending

**C** Name of organization  
**MONTEREY BAY AQUARIUM FOUNDATION**

Number and street (or P O box if mail is not delivered to street address) Room/suite  
**886 CANNERY ROW**

City or town, state or country, and ZIP + 4  
**MONTEREY, CA 93940**

**D** Employer identification number  
**94-2487469**

**E** Telephone number  
**(831) 648-4800**

**F** Accounting method:  Cash  Accrual  
 Other (specify) ▶

• Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ)

**G** Web site ▶ **HTTP://WWW.MBAYAQ.ORG**

**J** Organization type (check only one) ▶  501(c) ( 3 ) ◀ (insert no)  4947(a)(1) or  527

**K** Check here  if the organization's gross receipts are normally not more than \$25,000. The organization need not file a return with the IRS but if the organization received a Form 990 Package in the mail it should file a return without financial data. **Some states require a complete return.**

**L** Gross receipts. Add lines 6b, 8b, 9b, and 10b to line 12 ▶ **44,456,340.**

**H and I are not applicable to section 527 organizations**

**H(a)** Is this a group return for affiliates?  Yes  No

**H(b)** If "Yes" enter number of affiliates ▶

**H(c)** Are all affiliates included?  Yes  No (If "No" attach a list. See instructions.)

**H(d)** Is this a separate return filed by an organization covered by a group ruling?  Yes  No

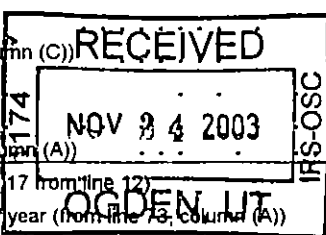
**I** Enter 4-digit GEN ▶

**M** Check  if the organization is not required to attach Sch. B (Form 990, 990-EZ, or 990-PF)

**Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances** (See page 17 of the instructions)

	<b>1</b>	Contributions, gifts, grants, and similar amounts received					
	<b>a</b>	Direct public support	<b>1a</b>		<b>8,054,290</b>		
	<b>b</b>	Indirect public support	<b>1b</b>				
	<b>c</b>	Government contributions (grants)	<b>1c</b>				
	<b>d</b>	Total (add lines 1a through 1c) (cash \$ <b>3,776,008</b> noncash \$ <b>4,278,282</b> )				<b>8,054,290</b>	
	<b>2</b>	Program service revenue including government fees and contracts (from Part VII, line 93)				<b>19,416,516</b>	
	<b>3</b>	Membership dues and assessments				<b>4,694,468</b>	
	<b>4</b>	Interest on savings and temporary cash investments				<b>12,178</b>	
	<b>5</b>	Dividends and interest from securities				<b>1,760,026</b>	
	<b>6a</b>	Gross rents	<b>6a</b>		<b>111,698</b>		
	<b>b</b>	Less rental expenses	<b>6b</b>		<b>89,414</b>		
	<b>6c</b>	Net rental income or (loss) (subtract line 6b from line 6a)				<b>22,284</b>	
<b>7</b>	Other investment income (describe ▶)						
	<b>8a</b>	Gross amount from sales of assets other than inventory <b>STATEMENT 18</b>	(A) Securities		(B) Other		
	<b>b</b>	Less cost or other basis and sales expenses	<b>8a</b>				
	<b>c</b>	Gain or (loss) (attach schedule)	<b>8b</b>				
	<b>d</b>	Net gain or (loss) (combine line 8c, columns (A) and (B))	<b>1,163,981</b>	<b>8c</b>		<b>1,163,981</b>	
	<b>9</b>	Special events and activities (attach schedule)					
	<b>a</b>	Gross revenue (not including \$ _____ of contributions reported on line 1a) <b>STMT 1</b>	<b>9a</b>		<b>52,245</b>		
	<b>b</b>	Less direct expenses other than fundraising expenses	<b>9b</b>		<b>30,317</b>		
<b>9c</b>	Net income or (loss) from special events (subtract line 9b from line 9a)				<b>21,928</b>		
	<b>10a</b>	Gross sales of inventory, less returns and allowances	<b>10a</b>		<b>9,140,405</b>		
	<b>b</b>	Less cost of goods sold	<b>10b</b>		<b>5,465,231</b>		
	<b>c</b>	Gross profit or (loss) from sales of inventory (attach schedule) (subtract line 10b from line 10a)				<b>3,675,174</b>	
Expenses	<b>11</b>	Other revenue (from Part VII, line 103)				<b>50,533</b>	
	<b>12</b>	<b>Total revenue</b> (add lines 1d, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11)				<b>38,871,378</b>	
	<b>13</b>	Program services (from line 44, column (B))				<b>31,414,157</b>	
	<b>14</b>	Management and general (from line 44, column (C))				<b>3,756,323</b>	
	<b>15</b>	Fundraising (from line 44, column (D))				<b>1,324,619</b>	
	<b>16</b>	Payments to affiliates (attach schedule)					
	<b>17</b>	<b>Total expenses</b> (add lines 16 and 44, column (A))				<b>36,495,099</b>	
	Net Assets	<b>18</b>	Excess or (deficit) for the year (subtract line 17 from line 12)				<b>2,376,279</b>
		<b>19</b>	Net assets or fund balances at beginning of year (from line 13, column (A))				<b>182,337,014</b>
		<b>20</b>	Other changes in net assets or fund balances (attach explanation) <b>STMT 2</b>				<b>-10,470,208</b>
		<b>21</b>	Net assets or fund balances at end of year (combine lines 18, 19, and 20)				<b>174,243,085</b>

SCANNED BY IRS 12/10/2003



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Part II Statement of Functional Expenses

All organizations must complete column (A) Columns (B), (C) and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others (See page 21 of the instructions)

Table with 5 columns: (A) Total, (B) Program services, (C) Management and general, (D) Fundraising. Rows include 22 Grants and allocations, 23 Specific assistance, 24 Benefits paid, 25 Compensation of officers, 26 Other salaries and wages, 27 Pension plan contributions, 28 Other employee benefits, 29 Payroll taxes, 30 Professional fundraising fees, 31 Accounting fees, 32 Legal fees, 33 Supplies, 34 Telephone, 35 Postage and shipping, 36 Occupancy, 37 Equipment rental and maintenance, 38 Printing and publications, 39 Travel, 40 Conferences, conventions and meetings, 41 Interest, 42 Depreciation, depletion, etc, 43 Other expenses not covered above, 44 Total functional expenses.

Joint Costs Check [ ] if you are following SOP 98-2

Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services? [ ] Yes [X] No

If "Yes," enter (i) the aggregate amount of these joint costs \$ \_\_\_\_\_, (ii) the amount allocated to Program services \$ \_\_\_\_\_, (iii) the amount allocated to Management and general \$ \_\_\_\_\_, and (iv) the amount allocated to Fundraising \$ \_\_\_\_\_

Part III Statement of Program Service Accomplishments (See page 24 of the instructions)

What is the organization's primary exempt purpose? [ ] STMT 4

All organizations must describe their exempt purpose achievements in a clear and concise manner State the number of clients served, publications issued, etc Discuss achievements that are not measurable (Section 501(c)(3) and (4) organizations and 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants and allocations to others)

Program Service Expenses (Required for 501(c)(3) and (4) orgs and 4947(a)(1) trusts, but optional for others)

Table with 2 columns: Description of program service, Program Service Expenses. Rows include a SEE STATEMENT 4, b, c, d, e Other program services, f Total of Program Service Expenses.

**Part IV Balance Sheets** (See page 24 of the instructions)

				(A)		(B)
				Beginning of year		End of year
<b>Note.</b> Where required, attached schedules and amounts within the description column should be for end-of-year amounts only						
<b>Assets</b>	45	Cash - non-interest-bearing		89,240	45	489,953
	46	Savings and temporary cash investments		5,259,231	46	3,611,506
	47a	Accounts receivable	47a 553,662			
	b	Less allowance for doubtful accounts	47b 1,577	773,495	47c	552,085
	48a	Pledges receivable	48a 5,015,332			
	b	Less allowance for doubtful accounts	48b 49,663	7,454,455	48c	4,965,669
	49	Grants receivable			49	
	50	Receivables from officers, directors, trustees, and key employees (attach schedule)			50	
	51a	Other notes and loans receivable (attach schedule)	51a			
	b	Less allowance for doubtful accounts	51b		51c	
	52	Inventories for sale or use		1,826,804	52	1,889,165
	53	Prepaid expenses and deferred charges		380,615	53	279,309
	54	Investments - securities (attach schedule) <b>STMT 5</b> <input type="checkbox"/> Cost <input checked="" type="checkbox"/> FMV		70,134,536	54	67,105,248
	55a	Investments - land, buildings, and equipment basis	55a			
	b	Less accumulated depreciation (attach schedule)	55b		55c	
56	Investments - other (attach schedule)			56		
57a	Land, buildings, and equipment basis	57a 162,161,747				
b	Less accumulated depreciation (attach schedule) <b>STATEMENT 17</b>	57b 60,345,002	103,464,379	57c	101,816,745	
58	Other assets (describe <b>STMT 6</b> )		1,134,424	58	1,222,469	
59	<b>Total assets</b> (add lines 45 through 58) (must equal line 74)		190,517,179	59	181,932,149	
<b>Liabilities</b>	60	Accounts payable and accrued expenses		4,431,839	60	3,319,331
	61	Grants payable			61	
	62	Deferred revenue		3,748,326	62	3,973,875
	63	Loans from officers, directors, trustees, and key employees (attach schedule)			63	
	64a	Tax-exempt bond liabilities (attach schedule)			64a	
	b	Mortgages and other notes payable (attach schedule)			64b	
	65	Other liabilities (describe <b>GIFT ANNUITY LIABILITY</b> )			65	395,858
66	<b>Total liabilities</b> (add lines 60 through 65)		8,180,165	66	7,689,064	
<b>Net Assets or Fund Balances</b>	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 67 through 69 and lines 73 and 74					
	67	Unrestricted		174,506,891	67	164,791,085
	68	Temporarily restricted		3,481,312	68	4,809,000
	69	Permanently restricted		4,348,811	69	4,643,000
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 70 through 74					
	70	Capital stock, trust principal, or current funds			70	
	71	Paid-in or capital surplus, or land, building, and equipment fund			71	
	72	Retained earnings, endowment, accumulated income, or other funds			72	
73	<b>Total net assets or fund balances</b> (add lines 67 through 69 or lines 70 through 72, column (A) must equal line 19, column (B) must equal line 21)		182,337,014	73	174,243,085	
74	<b>Total liabilities and net assets / fund balances</b> (add lines 66 and 73)		190,517,179	74	181,932,149	

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.



Part VII Other Information (See page 27 of the instructions)

76 Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity
77 Were any changes made in the organizing or governing documents but not reported to the IRS?
78 a Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?
79 Was there a liquidation, dissolution, termination, or substantial contraction during the year?
80 a Is the organization related (other than by association with a statewide or nationwide organization) through common membership, governing bodies, trustees, officers, etc., to any other exempt or nonexempt organization?
81 a Enter direct or indirect political expenditures
82 a Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value?
83 a Did the organization comply with the public inspection requirements for returns and exemption applications?
84 a Did the organization solicit any contributions or gifts that were not tax deductible?
85 501(c)(4), (5) or (6) organizations a Were substantially all dues nondeductible by members?
86 501(c)(7) orgs Enter a Initiation fees and capital contributions included on line 12
87 501(c)(12) orgs Enter a Gross income from members or shareholders
88 At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3?
89 a 501(c)(3) organizations Enter Amount of tax imposed on the organization during the year under section 4911
89 b 501(c)(3) and 501(c)(4) orgs Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year?
90 a List the states with which a copy of this return is filed
91 The books are in care of
92 Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the tax year

**Part VII Analysis of Income-Producing Activities (See page 31 of the instructions)**

Note Enter gross amounts unless otherwise indicated

	Unrelated business income		Excluded by section 512, 513, or 514		(E) Related or exempt function income
	(A) Business code	(B) Amount	(C) Exclusion code	(D) Amount	
93 Program service revenue					
a <b>ADMISSION FEES</b>					18,952,093
b <b>GUIDED TOURS</b>					112,415
c <b>EARNED BUS SPONSOR</b>					188,663
d <b>MEMBER EVENTS</b>					48,240
e <b>OTHER PROG REVENUE</b>					115,105
f Medicare/Medicaid payments					
g Fees and contracts from government agencies					
94 Membership dues and assessments					4,694,468
95 Interest on savings and temporary cash investments			14	12,178	
96 Dividends and interest from securities			14	1,760,026	
97 Net rental income or (loss) from real estate					
a debt-financed property					
b not debt-financed property			16	22,284	
98 Net rental income or (loss) from personal property					
99 Other investment income					
100 Gain or (loss) from sales of assets other than inventory			18	1,163,981	
101 Net income or (loss) from special events			01	21,928	
102 Gross profit or (loss) from sales of inventory	453220	441,280			3,233,894
103 Other revenue					
a					
b <b>REIMBURSEMENTS</b>			01	50,533	
c					
d					
e					
104 Subtotal (add columns (B), (D), and (E))		441,280		3,030,930	27,344,878
105 Total (add line 104, columns (B), (D), and (E))					30,817,088

Note Line 105 plus line 1d, Part I, should equal the amount on line 12, Part I

**Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes (See page 32 of the instructions)**

Line No	Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes)
▼	STMT 14

**Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities (See page 32 of the instructions)**

(A) Name, address, and EIN of corporation, partnership, or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End-of-year assets
	%			
	%			
	%			
	%			

**Part X Information Regarding Transfers Associated with Personal Benefit Contracts (See page 33 of the instructions)**

- (a) Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No
- (b) Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No

Note If "Yes" to (b), file Form 8870 and Form 4720 (see instructions)

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Please Sign

*[Signature]*

11-14-03

Date

aska CFO

Date \_\_\_\_\_ Check if self-prepared  Preparer's SSN or PTIN (See Gen. Inst. W)

**SCHEDULE A**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Organization Exempt Under Section 501(c)(3)**

(Except Private Foundation) and Section 501(e), 501(f), 501(k),  
501(n), or Section 4947(a)(1) Nonexempt Charitable Trust

**Supplementary Information - (See separate instructions)**

▶ **MUST be completed by the above organizations and attached to their Form 990 or 990-EZ**

OMB No 1545 0047

**2002**

Name of the organization **MONTEREY BAY AQUARIUM FOUNDATION** Employer identification number **94-2487469**

**Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees**  
(See page 1 of the instructions List each one If there are none, enter "None")

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
<u>DON HUGHES</u> 886 CANNERY ROW MONTEREY, CA 93940	VP-VISITOR PRQMS 40 HOURS	108,319	14,267	NONE
<u>CLAIRE SHERWOOD</u> 886 CANNERY ROW MONTEREY, CA 93940	VP-SALES & MKTG 40 HOURS	89,607	9,681	NONE
<u>TERESA JEANINE MERRY</u> 886 CANNERY ROW MONTEREY, CA 93940	VP-HR 40 HOURS	86,828	14,501	NONE
<u>CYNTHIA VERNON</u> 886 CANNERY ROW MONTEREY, CA 93940	VP-EDU & CONSV PRQMS 40 HOURS	96,437	12,568	NONE
<u>CRISTINA FEKECI</u> 886 CANNERY ROW MONTEREY, CA 93940	CHIEF DVLP OFFICER 40 HOURS	99,190	15,607	NONE
Total number of other employees paid over \$50,000 . ▶	95			

**Part II Compensation of the Five Highest Paid Independent Contractors for Professional Services**  
(See page 2 of the instructions List each one (whether individuals or firms) If there are none, enter "None")

(a) Name and address of each independent contractor paid more than \$50,000	(b) Type of service	(c) Compensation
<u>STOCKER &amp; ALLAIRE, INC</u> P O BOX 51879, PACIFIC GROVE, CA 93950	GENERAL CONTRACTOR	1,142,338
<u>FRANK M BOOTH</u> 222 3RD ST, MARYSVILLE, CA 95901	GENERAL CONTRACTOR	324,040
<u>ESHERICK, HOMSEY, DODGE &amp; DAVIS</u> P O BOX 51982, LOS ANGELES, CA 90051	ARCHITECTS	443,081
<u>LEAGAS DELANEY, INC.</u> 840 BATTERY ST, 2ND FL, SF, CA 94111	ADVERTISING	697,946
<u>NPO DIRECT MARKETING, INC</u> 3212 NEBRASKA AVE, SANTA MONICA, CA90404	MAILHOUSE SVCS	326,497
Total number of others receiving over \$50,000 for professional services . ▶	21	

For Paperwork Reduction Act Notice, see the Instructions for Form 990 and Form 990-EZ

Schedule A (Form 990 or 990-EZ) 2002

JSA  
2E1210 1 000

<b>Part III Statements About Activities (See page 2 of the instructions)</b>		Yes	No
1	During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If "Yes" enter the total expenses paid or incurred in connection with the lobbying activities ► \$ <u>127,508</u> (Must equal amounts on line 38, Part VI-A, or line i or Part VI-B) Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A Other organizations checking "Yes," must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities	1	X
2	During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any substantial contributors, trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary? (If the answer to any question is "Yes," attach a detailed statement explaining the transactions )		
a	Sale, exchange, or leasing of property? . . . . .	2a	X
b	Lending of money or other extension of credit? . . . . .	2b	X
c	Furnishing of goods, services, or facilities? . . . . . <span style="float: right;">SEE STATEMENT 19</span>	2c	X
d	Payment of compensation (or payment or reimbursement of expenses if more than \$1,000)? . . . . . <span style="float: right;">SEE FORM 990, PART V</span>	2d	X
e	Transfer of any part of its income or assets? . . . . .	2e	X
3	Does the organization make grants for scholarships, fellowships, student loans, etc ? (See Note below ) . . . . .	3	X
4	Do you have a section 403(b) annuity plan for your employees? . . . . .	4	X

**Note** Attach a statement to explain how the organization determines that individuals or organizations receiving grants or loans from it in furtherance of its charitable programs "qualify" to receive payments

**Part IV Reason for Non-Private Foundation Status (See pages 3 through 5 of the instructions)**

The organization is not a private foundation because it is (Please check only ONE applicable box )

5  A church, convention of churches, or association of churches Section 170(b)(1)(A)(i)

6  A school Section 170(b)(1)(A)(ii) (Also complete Part V )

7  A hospital or a cooperative hospital service organization Section 170(b)(1)(A)(iii)

8  A Federal, state, or local government or governmental unit Section 170(b)(1)(A)(v)

9  A medical research organization operated in conjunction with a hospital Section 170(b)(1)(A)(iii) Enter the hospital's name, city, and state ► \_\_\_\_\_

10  An organization operated for the benefit of a college or university owned or operated by a governmental unit Section 170(b)(1)(A)(iv) (Also complete the Support Schedule in Part IV-A )

11a  An organization that normally receives a substantial part of its support from a governmental unit or from the general public Section 170(b)(1)(A)(vi) (Also complete the Support Schedule in Part IV-A )

11b  A community trust Section 170(b)(1)(A)(vi) (Also complete the Support Schedule in Part IV-A )

12  An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc , functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See section 509(a)(2) (Also complete the Support Schedule in Part IV-A )

13  An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in (1) lines 5 through 12 above, or (2) section 501(c)(4), (5), or (6), if they meet the test of section 509(a)(2) (See section 509(a)(3) )

Provide the following information about the supported organizations (See page 5 of the instructions )

(a) Name(s) of supported organization(s)	(b) Line number from above

**Part IV-A Support Schedule** (Complete only if you checked a box on line 10, 11, or 12) Use cash method of accounting

**Note** You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting

Calendar year (or fiscal year beginning in)	(a) 2001	(b) 2000	(c) 1999	(d) 1998	(e) Total
15 Gifts, grants, and contributions received (Do not include unusual grants. See line 28)	27,085,671	7,480,247	9,513,564	8,943,257	53,022,739
16 Membership fees received	4,811,825	4,413,131	4,612,528	3,700,249	17,537,733
17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable, etc., purpose	27,594,489	29,447,725	28,775,735	29,726,898	115,544,847
18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975	3,126,081	2,772,624	3,023,745	1,714,151	10,636,601
19 Net income from unrelated business activities not included in line 18					
20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf					
21 The value of services or facilities furnished to the organization by a governmental unit without charge. Do not include the value of services or facilities generally furnished to the public without charge.					
22 Other income. Attach a schedule. Do not include gain or (loss) from sale of capital assets.					
23 Total of lines 15 through 22	62,618,066	44,113,727	45,925,572	44,084,555	196,741,920
24 Line 23 minus line 17	35,023,577	14,666,002	17,149,837	14,357,657	81,197,073
25 Enter 1% of line 23	626,181	441,137	459,256	440,846	
26 Organizations described on lines 10 or 11	a Enter 2% of amount in column (e), line 24 <b>NOT APPLICABLE</b>				26a
b Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 1998 through 2001 exceeded the amount shown in line 26a. Do not file this list with your return. Enter the total of all these excess amounts					26b
c Total support for section 509(a)(1) test. Enter line 24, column (e)					26c
d Add Amounts from column (e) for lines 18 _____ 19 _____ 22 _____ 26b _____					26d
e Public support (line 26c minus line 26d total)					26e
f Public support percentage (line 26e (numerator) divided by line 26c (denominator))					26f %
27 Organizations described on line 12	a For amounts included in lines 15, 16, and 17 that were received from a "disqualified person," prepare a list for your records to show the name of, and total amounts received in each year from, each "disqualified person." Do not file this list with your return. Enter the sum of such amounts for each year.				
(2001) <u>21,457,952</u> (2000) <u>705,509</u> (1999) <u>4,068,049</u> (1998) <u>1,409,910</u>					
b For any amount included in line 17 that was received from each person (other than "disqualified persons"), prepare a list for your records to show the name of, and amount received for each year, that was more than the larger of (1) the amount on line 25 for the year or (2) \$5,000 (Include in the list organizations described in lines 5 through 11, as well as individuals). Do not file this list with your return. After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year.					
(2001) <u>NONE</u> (2000) <u>NONE</u> (1999) <u>NONE</u> (1998) <u>NONE</u>					
c Add Amounts from column (e) for lines 15 <u>53,022,739</u> 16 <u>17,537,733</u> 17 <u>115,544,847</u> 20 <u></u>					27c <u>186,105,319</u>
d Add Line 27a total <u>27,641,420</u> and line 27b total <u>NONE</u>					27d <u>27,641,420</u>
e Public support (line 27c total minus line 27d total)					27e <u>158,463,899</u>
f Total support for section 509(a)(2) test. Enter amount from line 23, column (e)					27f <u>196,741,920</u>
g Public support percentage (line 27e (numerator) divided by line 27f (denominator))					27g <u>80.5440 %</u>
h Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator))					27h <u>5.4064 %</u>
28 Unusual Grants For an organization described in line 10, 11, or 12 that received any unusual grants during 1998 through 2001, prepare a list for your records to show, for each year, the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant. Do not file this list with your return. Do not include these grants in line 15.					

**Part V Private School Questionnaire** (See page 7 of the instructions )  
(To be completed ONLY by schools that checked the box on line 6 in Part IV)

	Yes	No
<b>29</b> Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?		
<b>30</b> Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?		
<b>31</b> Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe, if "No," please explain (If you need more space, attach a separate statement )		
-----		
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-----		
<b>32</b> Does the organization maintain the following		
<b>a</b> Records indicating the racial composition of the student body, faculty, and administrative staff?		
<b>b</b> Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?		
<b>c</b> Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?		
<b>d</b> Copies of all material used by the organization or on its behalf to solicit contributions?		
If you answered "No" to any of the above, please explain (If you need more space, attach a separate statement )		
-----		
-----		
<b>33</b> Does the organization discriminate by race in any way with respect to		
<b>a</b> Students' rights or privileges?		
<b>b</b> Admissions policies?		
<b>c</b> Employment of faculty or administrative staff?		
<b>d</b> Scholarships or other financial assistance?		
<b>e</b> Educational policies?		
<b>f</b> Use of facilities?		
<b>g</b> Athletic programs?		
<b>h</b> Other extracurricular activities?		
If you answered "Yes" to any of the above, please explain (If you need more space, attach a separate statement )		
-----		
-----		
-----		
<b>34a</b> Does the organization receive any financial aid or assistance from a governmental agency?		
<b>b</b> Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either 34a or b, please explain using an attached statement		
<b>35</b> Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," attach an explanation		

**Part VI-A Lobbying Expenditures by Electing Public Charities** (See page 9 of the instructions )  
 (To be completed ONLY by an eligible organization that filed Form 5768) **NOT APPLICABLE**

Check  a if the organization belongs to an affiliated group  
 Check  b if you checked "a" and "limited control" provisions apply

<b>Limits on Lobbying Expenditures</b>		(a) Affiliated group totals	(b) To be completed for ALL electing organizations
(The term "expenditures" means amounts paid or incurred )			
36	Total lobbying expenditures to influence public opinion (grassroots lobbying)	36	
37	Total lobbying expenditures to influence a legislative body (direct lobbying)	37	
38	Total lobbying expenditures (add lines 36 and 37)	38	
39	Other exempt purpose expenditures	39	
40	Total exempt purpose expenditures (add lines 38 and 39)	40	
41	Lobbying nontaxable amount Enter the amount from the following table - <b>If the amount on line 40 is - The lobbying nontaxable amount is -</b> Not over \$500 000 . . . . . 20% of the amount on line 40 . . . . . Over \$500 000 but not over \$1 000 000 \$100 000 plus 15% of the excess over \$500 000 Over \$1 000 000 but not over \$1 500 000 \$175 000 plus 10% of the excess over \$1 000 000 Over \$1 500,000 but not over \$17 000,000 \$225 000 plus 5% of the excess over \$1 500,000 Over \$17 000 000 . . . . . \$1 000,000	41	
42	Grassroots nontaxable amount (enter 25% of line 41)	42	
43	Subtract line 42 from line 36 Enter -0- if line 42 is more than line 36	43	
44	Subtract line 41 from line 38 Enter -0- if line 41 is more than line 38	44	

**Caution** If there is an amount on either line 43 or line 44, you must file Form 4720

**4-Year Averaging Period Under Section 501(h)**

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below  
 See the instructions for lines 45 through 50 on page 11 of the instructions )

<b>Lobbying Expenditures During 4-Year Averaging Period</b>					
Calendar year (or fiscal year beginning in) ▶	(a) 2002	(b) 2001	(c) 2000	(d) 1999	(e) Total
45	Lobbying nontaxable amount				
46	Lobbying ceiling amount (150% of line 45(e))				
47	Total lobbying expenditures				
48	Grassroots nontaxable amount				
49	Grassroots ceiling amount (150% of line 48(e))				
50	Grassroots lobbying expenditures				

**Part VI-B Lobbying Activity by Nonelecting Public Charities**  
 (For reporting only by organizations that did not complete Part VI-A) (See page 11 of the instructions )

During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of	Yes	No	Amount
a Volunteers	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
b Paid staff or management (Include compensation in expenses reported on lines c through h )	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
c Media advertisements	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
d Mailings to members, legislators, or the public	<input checked="" type="checkbox"/>	<input type="checkbox"/>	1,110
e Publications, or published or broadcast statements	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
f Grants to other organizations for lobbying purposes	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
g Direct contact with legislators, their staffs, government officials, or a legislative body	<input checked="" type="checkbox"/>	<input type="checkbox"/>	126,398
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
i Total lobbying expenditures (Add lines c through h )	<b>STATEMENT 16</b>		<b>127,508</b>

If "Yes" to any of the above, also attach a statement giving a detailed description of the lobbying activities



FORM 990, PART I - SPECIAL FUNDRAISING EVENTS AND ACTIVITIES

DESCRIPTION	GROSS REVENUE	DIRECT EXPENSES	NET INCOME
JAZZ AT THE AQUARIUM	52,245.	30,317.	21,928.
TOTALS	52,245.	30,317.	21,928.

FORM 990, PART I - OTHER DECREASES IN FUND BALANCES

=====

DESCRIPTION	AMOUNT
-----	-----
UNREALIZED LOSS ON INVESTMENTS	10,470,266.
ROUNDING	-58.
TOTAL	----- 10,470,208. =====

FORM 990, PART II - OTHER EXPENSES

DESCRIPTION	TOTAL	PROGRAM SERVICES	MANAGEMENT AND GENERAL	FUNDRAISING
ADVERTISING	1,028,421.	1,020,615.	7,537.	269.
INSURANCE	416,477.	398,240.	17,670.	567.
LICENSES	29,373.	26,053.	3,314.	6.
OUTSIDE SERVICES	2,412,274.	2,122,937.	249,096.	40,241.
PROMOTIONS	296,172.	190,709.	77,420.	28,043.
INVESTMENT EXPENSE	188,388.		188,388.	
TOTALS	4,371,105.	3,758,554.	543,425.	69,126.



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# MONTEREY BAY AQUARIUM

2002 Form 990

Part III – Statement of Program Service Accomplishments

Monterey Bay Aquarium Foundation

## 1 The organization's primary exempt purpose

The mission of the Monterey Bay Aquarium is to inspire conservation of the oceans. First and foremost, we inspire our visitors with engaging exhibits and programs focused on encounters with living creatures and their habitats. In 2002, we attracted more than 17 million visitors with entertaining and educational experiences designed to establish the intellectual and emotional connections to the natural world that are essential to caring about the oceans and the life in them.

In the new millennium, our mission to inspire conservation of the oceans is more urgent than ever before. Worldwide, our oceans are in peril, their wildlife and habitats threatened by an ever-increasing pace of human activity. We risk losing vital natural systems that sustain us all. We believe humankind can turn this tide, arrest these damaging trends and eventually restore our fisheries and ocean habitats, but only if people everywhere adopt an ethic of value, stewardship and action on behalf of the oceans. We are committed to activities that will help build this constituency for ocean conservation.

## 2 Exempt purpose achievements

Our exhibits and husbandry programs are the main vehicle through which we reach the broad general public. With the debut in 2002 of our newest special exhibition, *Jellies: Living Art*, we once again raised the bar in exhibit husbandry and design. For the first time ever, we combined live animals – including several species that had never before been exhibited in North America – with artwork inspired by nature and the marine world. Visitors and media alike hailed the results as stunning and innovative. We made significant advances in the display, care and propagation of jellies and other animals. We cultured living corals and distributed colonies to colleagues in the United States and overseas. And we launched an ambitious three-year project to study great white sharks. Our exhibit, *Vanishing Wildlife*, was honored by the American Zoo and Aquarium Association (AZA) as aquatic conservation exhibit of the year, and our *Splash Zone* family gallery won another exhibit award from the AZA. Family-friendly exhibits helped us earn recognition from readers of *FamilyFun* magazine as one of the nation's top 12 travel destinations.

Our effectiveness in reaching – and motivating – visitors is dramatically increased thanks to the dedication and effort of a volunteer corps that now exceeds 1,000 individuals. Volunteers, work throughout the year with diverse audiences interpreting marine life and delivering education programs. They provided 133,000 hours of dedicated service during 2002.

Statement 4  
Page 1 of 3

Because the ability to pay an admission fee shouldn't limit people's ability to visit, we offered several free-admission programs for low-income residents of the community. Our "Free To Learn" program provided free admission for more than 6,100 young people and adults through local health and human service agencies, "Shelf to Shore" provided free admission for nearly 7,500 through selected area libraries in low-income neighborhoods, and our community "Free Week" in December attracted nearly 20,000 Monterey County residents.

We are committed to inspiring the next generation of ocean stewards through innovative science based programs for children, teachers and parents in schools and informally in our exhibit galleries and focus on instilling an ocean conservation ethic. In 2002, we brought nearly 80,000 schoolchildren, teachers and adult chaperones to the aquarium – at no charge to these groups. Using the *Splash Zone* exhibit as a classroom, we created new education programs that reached more than 4,500 low-income preschoolers and their families through *Head Start* centers. This program was honored by the AZA as the best of 2002 for their conservation-minded, innovative and successful approaches. We reach thousands of other students and educators each year through other classroom programs, guided and self-guided tours, and through outreach initiatives to young people and science educators. Each program is based on the premise that learning, especially for young children, is not so much about right or wrong answers, but about exploration and discovery. The cumulative effect is dedication to science and the beginning of a lifelong connection with the oceans and marine life.

In 2002, our web site ([www.montereybayaquarium.org](http://www.montereybayaquarium.org)) provided a significant educational resource for audiences beyond our walls with over 2.4 million online visitors. New features included a new section to highlight our conservation and research programs and green business practices, an expanded and dynamic section to support the *Seafood Watch* project for promoting sustainable seafood choices, and an online bilingual book, *Pup's Supper*, with family activities aimed at young readers and bilingual classrooms.

We provide information and motivation that empower our guests to move beyond caring about the oceans to caring for the oceans. We identify relevant and timely conservation issues, take positions, and communicate these positions to the public and, when appropriate, policy makers.

We support conservation and research efforts to benefit the wild relatives of the species in our care and their habitats. Our *Seafood Watch* program puts pocket guides to sustainable seafood in the hands of consumers, restaurateurs and seafood retailers – more than a million to date. We also launched an independent online Seafood Information Center as a clearinghouse for fisheries information. Our Sea Otter Research program expanded its scope in response to the emerging threats that infectious disease and other environmental hazards pose to the long-term survival of California's threatened sea otter population. And our Tuna Research and Conservation Center scientists continued their tagging efforts in the Atlantic and Pacific, shedding light on the life cycles of tunas, white sharks and other open-ocean species. We partnered with the Monterey Bay National Marine Sanctuary and the Monterey Bay Aquarium Research Institute for the first comprehensive survey of the Davidson Seamount off the Big Sur coast. And we raised and returned endangered snowy plover chicks to the wild after the parent birds abandoned nests and eggs on regional beaches.

Taken together, our exhibits, education programs and conservation research are essential to achieving the future expressed in our vision statement—*a world in which the oceans are healthy and people are committed to protecting the integrity of Earth's natural systems, which sustain us all*

FORM 990, PART IV - INVESTMENTS - SECURITIES

DESCRIPTION -----	ENDING BOOK VALUE -----
EQUITY FUNDS	19,543,188.
FIXED INCOME FUNDS	24,884,351.
CASH EQUIVALENTS	1,967,566.
CORPORATE STOCK	19,637,310.
PLANNED GIFTS	1,072,833.
	-----
TOTALS	67,105,248.
	=====

FORM 990, PART IV - OTHER ASSETS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE
LIQUOR LICENSE		91,370.
RECEIVABLE FROM SUBSIDIARIES		1,131,099.
TOTALS		1,222,469.

FORM 990, PART IV-A - OTHER REVENUE ON BOOKS BUT NOT ON RETURN

DESCRIPTION	AMOUNT
-----	-----
SPECIAL EVENTS EXPENSE RECLASS	30,317.
COST OF GOODS SOLD	5,021,453.
NET REVENUE OF SUBSIDIARIES	440,902.
TOTAL	5,492,672.
	=====

FORM 990, PART IV-A - OTHER REVENUE ON RETURN BUT NOT ON BOOKS

DESCRIPTION -----	AMOUNT -----
RENTAL EXPENSE NETTED IN FINANCIAL STATEMENTS	64,629.
ROUNDING	715.
	-----
TOTAL	65,344.
	=====

FORM 990, PART IV-B - OTHER EXPENSES ON BOOKS BUT NOT ON RETURN

DESCRIPTION -----	AMOUNT -----
SPECIAL EVENTS EXPENSE RECLASS	30,317.
COST OF GOODS SOLD	5,021,453.
ROUNDING	2,200.
TOTAL	----- 5,053,970. =====

FORM 990, PART IV-B - OTHER EXPENSES ON RETURN BUT NOT ON BOOKS

DESCRIPTION -----	AMOUNT -----
RENTAL EXPENSE NETTED IN FINANCIAL STATEMENTS	64,629.
TOTAL	----- 64,629. =====

FORM 990, PART V - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

NAME AND ADDRESS	TITLE AND TIME DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
JULIE PACKARD 886 CANNERY ROW MONTEREY, CA 93940	EXECUTIVE DIRECTOR 30 HOURS	158,966.	20,529.	NONE
PETER S. BING, MD 886 CANNERY ROW MONTEREY, CA 93940	CHAIRMAN 1 HOUR	NONE	NONE	NONE
SARAH BONNER 886 CANNERY ROW MONTEREY, CA 93940	TRUSTEE 1 HOUR	NONE	NONE	NONE
SUSAN FORD 886 CANNERY ROW MONTEREY, CA 93940	TRUSTEE 1 HOUR	NONE	NONE	NONE
JANE LUBCHENKO, PHD 886 CANNERY ROW MONTEREY, CA 93940	TRUSTEE 1 HOUR	NONE	NONE	NONE
MARCIA MCNUTT, PHD 886 CANNERY ROW MONTEREY, CA 93940	TRUSTEE 1 HOUR	NONE	NONE	NONE
BASIL MILLS 886 CANNERY ROW MONTEREY, CA 93940	TRUSTEE 1 HOUR	NONE	NONE	NONE

FORM 990, PART V - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

NAME AND ADDRESS	TITLE AND TIME DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
STEPHEN C. NEAL 886 CANNERY ROW MONTEREY, CA 93940	TRUSTEE 1 HOUR	NONE	NONE	NONE
SUSAN ORR 886 CANNERY ROW MONTEREY, CA 93940	TRUSTEE 1 HOUR	NONE	NONE	NONE
DAVID W. PACKARD, PHD 886 CANNERY ROW MONTEREY, CA 93940	TRUSTEE 1 HOUR	NONE	NONE	NONE
MARGARET ROGERS 886 CANNERY ROW MONTEREY, CA 93940	TRUSTEE 1 HOUR	NONE	NONE	NONE
GEORGE P. SCHULTZ 886 CANNERY ROW MONTEREY, CA 93940	TRUSTEE 1 HOUR	NONE	NONE	NONE
DIANE K. SIRI 886 CANNERY ROW MONTEREY, CA 93940	TRUSTEE 1 HOUR	NONE	NONE	NONE
GORDON R. SMITH 886 CANNERY ROW MONTEREY, CA 93940	TRUSTEE 1 HOUR	NONE	NONE	NONE
JANE STEEL 886 CANNERY ROW MONTEREY, CA 93940	TRUSTEE 1 HOUR	NONE	NONE	NONE

FORM 990, PART V - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

NAME AND ADDRESS	TITLE AND TIME DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
THE HONORABLE LYDIA M. VILLARREAL 886 CANNERY ROW MONTEREY, CA 93940	TRUSTEE 1 HOUR	NONE	NONE	NONE
NANCY BURNETT 886 CANNERY ROW MONTEREY, CA 93940	TRUSTEE 1 HOUR	NONE	NONE	NONE
THE HONORABLE LEON E. PANETTA 886 CANNERY ROW MONTEREY, CA 93940	TRUSTEE 1 HOUR	NONE	NONE	NONE
JAMES HEKERS 886 CANNERY ROW MONTEREY, CA 93940	EXEC VICE PRESIDENT 40 HOURS	191,804.	21,315.	NONE
BARBARA WRIGHT *	SECRETARY 1 HOUR	NONE	NONE	NONE
EDWARD E. PROHASKA 886 CANNERY ROW MONTEREY, CA 93940	CFO 40 HOURS	91,694.	1,610.	NONE
GRAND TOTALS		442,464.	43,454.	NONE

\* BARBARA WRIGHT IS AN OFFICER OF MONTEREY BAY AQUARIUM FOUNDATION (FOUNDATION). HER FIRM, FINCH, MONTGOMERY, WRIGHT & EMMER, PROVIDES LEGAL SERVICES TO THE FOUNDATION. FEES FOR THESE SERVICES ARE PAID TO THE FIRM AND ARE FOR LEGAL SERVICES, NOT FOR SERVICES IN HER CAPACITY AS AN OFFICER.

FORM 990, PART VIII - ACCOMPLISHMENT OF EXEMPT PURPOSES

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LINE NO.	EXPLANATION OF HOW EACH ACTIVITY FOR WHICH INCOME IS REPORTED IN COLUMN (E) OF PART VII CONTRIBUTED IMPORTANTLY TO THE ACCOMPLISHMENT OF EXEMPT PURPOSES
---	-----
93A	PAID ADMISSION CONSTITUTES THE SINGLE LARGEST SOURCE OF FINANCIAL SUPPORT FOR THE AQUARIUM. THIS REVENUE, TOGETHER WITH THE OTHER EARNED INCOME FROM MERCHANDISING SALES AND FOOD SERVICE OPERATIONS, IS EARMARKED TO COVER COST-EFFECTIVE AQUARIUM OPERATIONS AND MAINTENANCE OF THE PHYSICAL PLANT, INCLUDING THE LIVING EXHIBIT GALLERIES THAT ARE OUR PRIMARY VEHICLE FOR REACHING MILLIONS OF VISITORS AND CONNECTING THEM TO THE WONDERS OF OCEAN LIFE. SUPPORT FOR OUR NEW EXHIBITS, EDUCATION PROGRAMS, AND CONSERVATION RESEARCH PROGRAMS, COMES FROM OTHER SOURCES: SPECIFICALLY, THE SUPPORT OF MEMBERS AND DONORS, GRANT FUNDING, AND CAPITAL FUNDRAISING CAMPAIGNS.
93B	THESE GUIDED TOURS OF THE AQUARIUM HEIGHTEN THE VISITOR'S EDUCATIONAL EXPERIENCE BY PROVIDING INSTRUCTION ABOUT THE AQUARIUM'S EXHIBITS, SUPPORT FUNCTIONS, RESEARCH AND OTHER AREAS OF CONCERN. THIS RESULTS IN THE PROVISION OF EDUCATIONAL INFORMATION, WHICH IS NOT OTHERWISE AVAILABLE TO THE PUBLIC THROUGH THE AQUARIUM'S INTERPRETIVE EXHIBIT PROGRAMS.
93C	THE BUSINESS SPONSORSHIP PROGRAM ENABLES THE BUSINESS COMMUNITY TO FUND SPECIFIC EXHIBITS AND OTHER EDUCATIONAL ACTIVITIES OF MUTUAL INTEREST. THE EXHIBITS FUNDED THROUGH THIS PROGRAM ATTRACT NEW AS WELL AS REPEAT VISITORS TO THE AQUARIUM AND INCREASE THE VISITOR'S KNOWLEDGE OF THE AQUARIUM'S COLLECTIONS AND MARINE SCIENCE IN GENERAL.
93D	THESE EVENTS PROVIDE AQUARIUM MEMBERS THE OPPORTUNITY TO MEET AQUARIUM STAFF AND GUEST LECTURERS AND LEARN MORE ABOUT AQUARIUM PROJECTS AND MARINE SCIENCE TOPICS OF INTEREST. THE APPEAL, CONVENIENCE AND ACCESSIBILITY OF THESE EVENTS INCREASE VISITS TO THE AQUARIUM AND SUBSTANTIALLY ENHANCE THE EDUCATIONAL EXPERIENCE OF THE MEMBER VISITOR.
93E	SYMPOSIUM, WORKSHOP AND EDUCATION PROGRAMS
94	THROUGH A MODEST ANNUAL FEE, A MEMBER IS ENTITLED TO UNLIMITED VISITS TO THE AQUARIUM, RECEIPT OF PUBLICATIONS CONTAINING NEWS AND INFORMATION ABOUT THE AQUARIUM, ITS EXHIBITS, RESEARCH AND MARINE SCIENCE TOPICS OF CONCERN. MEMBERS ARE ALSO PROVIDED OTHER PRIVILEGES DESIGNED TO FURTHER THE EDUCATIONAL AND SCIENTIFIC OBJECTIVES OF THE AQUARIUM. THE MEMBERSHIP PROGRAM PROVIDES A MECHANISM FOR DISTRIBUTING EDUCATIONAL MATERIALS ABOUT THE AQUARIUM TO NONRESIDENTS THROUGH THE MAIL AS WELL AS ENRICHING THE EDUCATIONAL OPPORTUNITIES AVAILABLE FOR THE IMMEDIATE COMMUNITY.
102	THE BOOKSTORE OFFERS FOR SALE A WIDE RANGE OF ARTICLES, WHICH FURTHER THE AQUARIUM'S EXEMPT FUNCTION. FOR EXAMPLE,

FORM 990, PART VIII - ACCOMPLISHMENT OF EXEMPT PURPOSES

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LINE NO. ---	EXPLANATION OF HOW EACH ACTIVITY FOR WHICH INCOME IS REPORTED IN COLUMN (E) OF PART VII CONTRIBUTED IMPORTANTLY TO THE ACCOMPLISHMENT OF EXEMPT PURPOSES -----
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THE BOOKSTORE SELLS BOOKS, ARTISTIC RENDERINGS, INTERPRETIVE  
 DEPICTIONS AND REPRODUCTIONS RELATED TO AQUARIUM EXHIBITS;  
 AS WELL AS SCIENTIFIC EQUIPMENT AND INSTRUMENTS. THE ITEMS  
 SOLD RELATE TO THE AQUARIUM'S EXEMPT FUNCTION BY: (1) ENHANC-  
 ING VISITOR KNOWLEDGE OF AND INTEREST IN SCIENTIFIC,  
 TECHNOLOGICAL, HISTORICAL AND CULTURAL AREAS RELATED TO THE  
 AQUARIUM'S RESEARCH AND CONSERVATION EFFORTS AND  
 (2) ENCOURAGING LEARNING THROUGH DIRECT PARTICIPATION AND  
 REINFORCING AQUARIUM EDUCATIONAL ACTIVITIES.

Monterey Bay Aquarium  
Year ended December 31, 2002

Federal Form 990  
Schedule A, Part VI-B re "Lobbying Activity by Nonelecting Public Charities"

An insubstantial part of the Monterey Bay Aquarium's activities involves institutional efforts to influence legislative and regulatory matters of direct concern to the aquarium and its mission of promoting ocean conservation. The aquarium had no staff or office dedicated to this specific function in 2002. Staff members engaged in activities that could potentially be interpreted as lobbying included the executive director and other staff whose responsibilities include fundraising, conservation education and research, community relations and public relations.

During 2002, these activities fell into several categories

Local Commenting on Monterey County and the City of Monterey general plan updates in progress, and engagement in a planning process to establish one conservation district on Cannery Row in lieu of multiple historic districts

Federal The aquarium retained a Washington D C firm to explore the potential for federal funding of aquarium research and education programs.

The total amount of aquarium staff time and resources spent on lobbying represented an insubstantial part of the aquarium's total annual expenditures

FORM 990. PART IV - LAND, BUILDINGS, EQUIPMENT & DEPRECIATION

	<u>COST</u>	<u>ACCUMULATED DEPRECIATION</u>	<u>NET</u>
LAND	8,850,284	-	8,850,284
LAND IMPROVEMENTS	756,385	(327,757)	428,628
BUILDINGS	111,289,565	(33,652,452)	77,637,113
EXHIBITS	22,632,235	(13,269,893)	9,362,342
EQUIPMENT	17,249,299	(13,094,900)	4,154,399
CONSTRUCTION	1,383,979	-	1,383,979
	<u>162,161,747</u>	<u>(60,345,002)</u>	<u>101,816,745</u>

MONTEREY BAY AQUARIUM FOUNDATION  
12/31/2002

94-2487469

PROCEEDS FROM SALE OF PUBLICLY TRADED SECURITIES	14,675,790
BASIS OF PUBLIC TRADED SECURITIES SOLD	<u>(14,919,327)</u>
	(243,537)
CAPITAL GAIN DISTRIBUTIONS	<u>1,407,518</u>
TOTAL GAINS	<u>1,163,981</u>

FORM 990, SCHEDULE A, PART III - RELATED PARTY TRANSACTIONS

<u>RELATED PARTY</u>	<u>AMOUNT</u>	<u>TRANSACTION</u>
NANCY BURNETT, TRUSTEE SEA STUDIOS	14,011	INVENTORY PURCHASES and CATERED EVENT CHARGES, both at market rates
BARBARA P WRIGHT FINCH, MONTGOMERY AND WRIGHT	8,335	CORPORATE LEGAL SERVICES AT MARKET RATES
GORDON SMITH, CEO PACIFIC GAS & ELECTRIC	1,351,125	PURCHASE OF ELECTRIC AND GAS SERVICES AT MARKET RATES

• If you are filing for an Additional (not automatic) 3-Month Extension, complete only Part II and check this box



Note Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

• If you are filing for an Automatic 3-Month Extension, complete only Part I (on page 1)

Part II Additional (not automatic) 3-Month Extension of Time - Must File Original and One Copy.

Form fields for Name of Exempt Organization (MONTEREY BAY AQUARIUM FOUNDATION), Employer identification number (94-2487469), and address (886 CANNERY ROW, MONTEREY, CA 93940).

Check type of return to be filed (File a separate application for each return)

Form fields for selecting return type: Form 990 (checked), Form 990-EZ, Form 990-T, Form 1041-A, Form 5227, Form 8870, Form 990-BL, Form 990-PF, Form 990-T (trust other than above), Form 4720, Form 6069.

STOP Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

• If the organization does not have an office or place of business in the United States, check this box
• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until 11/17/2003
5 For calendar year 2002, or other tax year beginning and ending
6 If this tax year is for less than 12 months, check reason Initial return Final return Change in accounting period
7 State in detail why you need the extension ADDITIONAL TIME IS REQUIRED TO OBTAIN INFORMATION NEEDED TO FILE A COMPLETE AND ACCURATE TAX RETURN

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868
c Balance Due Subtract line 8b from line 8a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions

Signature and Verification

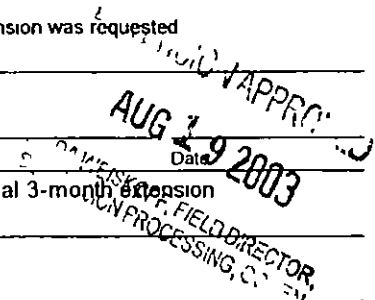
Under penalties of perjury I declare that I have examined this form including accompanying schedules and statements and to the best of my knowledge and belief it is true correct and complete and that I am authorized to prepare this form

A member of the staff of De'oitte & Touche LLP
50 Fremont Street, San Francisco, CA 94105

Signature: Sharon Ziback Title: Date: 8/6/03

Notice to Applicant - To Be Completed by the IRS

- We have approved this application Please attach this form to the organization's return
We have not approved this application However, we have granted a 10-day grace period from the later of the date shown below or the due date of the organization's return (including any prior extensions) This grace period is considered to be a valid extension of time for elections otherwise required to be made on a timely return Please attach this form to the organization's return
We have not approved this application After considering the reasons stated in item 7, we cannot grant your request for an extension of time to file We are not granting a 10-day grace period
We cannot consider this application because it was filed after the due date of the return for which an extension was requested
Other



Director By

Alternate Mailing Address - Enter the address if you want the copy of this application for an additional 3-month extension returned to an address different than the one entered above

Form fields for Name (DELOITTE & TOUCHE), Number and street (50 FREMONT STREET, SUITE 3100), City or town, province or state, and country (SAN FRANCISCO, CA 94105)

## Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

▶ File a separate application for each return

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
- If you are filing for an **Additional (not automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

**Note** Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

**Part I Automatic 3-Month Extension of Time - Only submit original (no copies needed)**

**Note** Form 990-T corporations requesting an automatic 6-month extension - check this box and complete Part I only   
 All other corporations (including Form 990-C filers) must use Form 7004 to request an extension of time to file income tax returns Partnerships REMICs and trusts must use Form 8736 to request an extension of time to file Form 1065, 1066 or 1041

<b>Type or print</b>  File by the due date for filing your return See instructions	Name of Exempt Organization <b>MONTEREY BAY AQUARIUM FOUNDATION</b>	Employer identification number <b>94-2487469</b>
	Number, street and room or suite no If a P O box, see instructions <b>886 CANNERY ROW</b>	
	City, town or post office, state, and ZIP code For a foreign address, see instructions <b>MONTEREY, CA 93940</b>	

**Check type of return to be filed (file a separate application for each return)**

<input checked="" type="checkbox"/> Form 990	<input type="checkbox"/> Form 990-T (corporation)	<input type="checkbox"/> Form 4720
<input type="checkbox"/> Form 990-BL	<input type="checkbox"/> Form 990-T(sec 401(a) or 408(a) trust)	<input type="checkbox"/> Form 5227
<input type="checkbox"/> Form 990-EZ	<input type="checkbox"/> Form 990-T (trust other than above)	<input type="checkbox"/> Form 6069
<input type="checkbox"/> Form 990-PF	<input type="checkbox"/> Form 1041-A	<input type="checkbox"/> Form 8870

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a **Group Return**, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ If this is for the **whole** group, check this box  If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension will cover

**1** I request an automatic 3-month (6-month, for 990-T corporation) extension of time until 08/15, 2003, to file the exempt organization return for the organization named above The extension is for the organization's return for  
 ▶  calendar year 2002 or  
 ▶  tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_

**2** If this tax year is for less than 12 months, check reason  Initial return  Final return  Change in accounting period

**3a** If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions \$ \_\_\_\_\_

**b** If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit \$ \_\_\_\_\_

**c Balance Due.** Subtract line 3b from line 3a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions \$ \_\_\_\_\_

### Signature and Verification

Under penalties of perjury I declare that I have examined this form including accompanying schedules and statements and to the best of my knowledge and belief it is true correct and complete and that I am authorized to prepare this form

Signature ▶ Marion Zorback Title ▶ DELOITTE & TOUCHE Date ▶ 5/13/03  
 A member of the staff of Deloitte & Touche LLP  
 50 Fremont Street, San Francisco, CA 94105

For Paperwork Reduction Act Notice, see instruction

Form 8868 (12 2000)