Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

2002

Open to Public Inspection

A For the 2002 calendar year, or tax year period beginning and ending

B Check if applicable:
- ☐ Address change
- ☐ Name change
- ☐ Initial return
- ☐ Final return
- ☐ Amended return
- ☐ Application pending

Please use IRS label or print or type See Specific Instructions

C Name of organization: ANESTHESIA PATIENT SAFETY FOUNDATION

Number and street (or P O box if mail is not delivered to street address): 520 NORTH NORTHWEST HIGHWAY

Room/suite:

City or town, state or country, and ZIP + 4: PARK RIDGE, IL 60068-2573

D Employer identification number: 51-0287258

E Telephone number: (847) 825-5586

F Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) ▶

- Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ)

H and I are not applicable to section 527 organizations

H(a) Is this a group return for affiliates? ☐ Yes ☒ No

H(b) If "Yes," enter number of affiliates ▶ N/A

H(c) Are all affiliates included? N/A ☐ Yes ☐ No (If "No," attach a list.)

H(d) Is this a separate return filed by an organization covered by a group ruling? ☐ Yes ☒ No

G Web site ▶ WWW.APSF.ORG

J Organization type (check only one) ▶ ☒ 501(c) (3) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

K Check here ▶ ☐ if the organization's gross receipts are normally not more than $25,000 The organization need not file a return with the IRS, but if the organization received a Form 990 Package in the mail, it should file a return without financial data Some states require a complete return

I Enter 4-digit GEN ▶

M Check ▶ ☐ if the organization is not required to attach Sch B (Form 990, 990-EZ, or 990-PF)

L Gross receipts Add lines 6b, 8b, 9b, and 10b to line 12 ▶ 1,474,575.

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances

Revenue	1	Contributions, gifts, grants, and similar amounts received			
	a	Direct public support	1a 985,722.		
	b	Indirect public support	1b		
	c	Government contributions (grants)	1c		
	d	**Total** (add lines 1a through 1c) (cash $ 985,722. noncash $)		1d	985,722.
	2	Program service revenue including government fees and contracts (from Part VII, line 93)		2	1,136.
	3	Membership dues and assessments		3	
	4	Interest on savings and temporary cash investments		4	10,140.
	5	Dividends and interest from securities		5	111,251.
	6 a	Gross rents	6a		
	b	Less rental expenses	6b		
	c	Net rental income or (loss) (subtract line 6b from line 6a)		6c	
	7	Other investment income (describe ▶)		7	
	8 a	Gross amount from sale of assets other than inventory — (A) Securities 366,326. — (B) Other	8a		
	b	Less cost or other basis and sales expenses — (A) Securities 443,834.	8b		
	c	Gain or (loss) (attach schedule) — (A) Securities <77,508.>	8c		
	d	Net gain or (loss) (combine line 8c, columns (A) and (B)) STMT 1		8d	<77,508.>
	9	Special events and activities (attach schedule)			
	a	Gross revenue (not including $ of contributions reported on line 1a)	9a		
	b	Less direct expenses other than fundraising expenses	9b		
	c	Net income or (loss) from special events (subtract line 9b from line 9a)		9c	
	10 a	Gross sales of inventory, less returns and allowances	10a		
	b	Less cost of goods sold	10b		
	c	Gross profit or (loss) from sales of inventory (attach schedule) (subtract line 10b from line 10a)		10c	
	11	Other revenue (from Part VII, line 103)		11	
	12	**Total revenue** (add lines 1d, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11)		12	1,030,741.
Expenses	13	Program services (from line 44, column (B))		13	790,319.
	14	Management and general (from line 44, column (C))		14	10,448.
	15	Fundraising (from line 44, column (D))		15	
	16	Payments to affiliates (attach schedule)		16	
	17	**Total expenses** (add lines 16 and 44, column (A))		17	800,767.
Net Assets	18	Excess or (deficit) for the year (subtract line 17 from line 12)		18	229,974.
	19	Net assets or fund balances at beginning of year (from line 73, column (A))		19	4,202,465.
	20	Other changes in net assets or fund balances (attach explanation) SEE STATEMENT 2		20	<220,069.>
	21	Net assets or fund balances at end of year (combine lines 18, 19, and 20)		21	4,212,370.

Part II Statement of Functional Expenses All organizations must complete column (A) Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others

Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I		(A) Total	(B) Program services	(C) Management and general	(D) Fundraising
22 Grants and allocations (attach schedule) cash $ 130000. noncash $	22	130,000.	130,000.	STATEMENT 3	
23 Specific assistance to individuals (attach schedule)	23				
24 Benefits paid to or for members (attach schedule)	24				
25 Compensation of officers, directors, etc	25	0.	0.	0.	0.
26 Other salaries and wages	26				
27 Pension plan contributions	27				
28 Other employee benefits	28				
29 Payroll taxes	29				
30 Professional fundraising fees	30				
31 Accounting fees	31	4,000.		4,000.	
32 Legal fees	32	364.		364.	
33 Supplies	33				
34 Telephone	34				
35 Postage and shipping	35				
36 Occupancy	36				
37 Equipment rental and maintenance	37				
38 Printing and publications	38	191,105.	191,105.		
39 Travel	39	59,383.	59,383.		
40 Conferences, conventions, and meetings	40	8,723.	8,723.		
41 Interest	41				
42 Depreciation, depletion, etc (attach schedule)	42				
43 Other expenses not covered above (itemize)					
a SEE STATEMENT 3	43a	407,192.	401,108.	6,084.	
b	43b				
c	43c				
d	43d				
e	43e				
44 Total functional expenses (add lines 22 through 43) Organizations completing columns (B)-(D) carry these totals to lines 13 15	44	800,767.	790,319.	10,448.	0.

Joint Costs Check ▶ ☐ if you are following SOP 98-2

Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services? ▶ ☐ Yes ☒ No

If "Yes," enter (i) the aggregate amount of these joint costs $ N/A , (ii) the amount allocated to Program services $ N/A , (iii) the amount allocated to Management and general $ N/A , and (iv) the amount allocated to Fundraising $ N/A

Part III Statement of Program Service Accomplishments

What is the organization's primary exempt purpose? ▶ ANESTHESIA PATIENT SAFETY EDUCATION

All organizations must describe their exempt purpose achievements in a clear and concise manner State the number of clients served publications issued etc Discuss achievements that are not measurable (Section 501(c)(3) and (4) organizations and 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants and allocations to others)

Program Service Expenses (Required for 501(c)(3) and (4) orgs and 4947(a)(1) trusts, but optional for others)

a SEE STATEMENT 5

(Grants and allocations $ 130,000.) 790,319.

b (Grants and allocations $)

c (Grants and allocations $)

d (Grants and allocations $)

e Other program services (attach schedule) (Grants and allocations $)

f **Total of Program Service Expenses** (should equal line 44, column (B), Program services) ▶ 790,319.

Part IV Balance Sheets

Note: *Where required, attached schedules and amounts within the description column should be for end-of-year amounts only*			(A) Beginning of year		(B) End of year
Assets	45 Cash - non-interest-bearing			45	
	46 Savings and temporary cash investments		821,469.	46	675,478.
	47 a Accounts receivable	47a			
	b Less allowance for doubtful accounts	47b		47c	
	48 a Pledges receivable	48a			
	b Less allowance for doubtful accounts	48b		48c	
	49 Grants receivable			49	
	50 Receivables from officers, directors, trustees, and key employees			50	
	51 a Other notes and loans receivable	51a			
	b Less allowance for doubtful accounts	51b		51c	
	52 Inventories for sale or use			52	
	53 Prepaid expenses and deferred charges		255.	53	2,050.
	54 Investments - securities STMT 6 ▶ ☐ Cost ☒ FMV		3,407,101.	54	3,559,751.
	55 a Investments - land, buildings, and equipment: basis	55a			
	b Less accumulated depreciation	55b		55c	
	56 Investments - other		0.	56	0.
	57 a Land, buildings, and equipment: basis	57a			
	b Less accumulated depreciation	57b		57c	
	58 Other assets (describe ▶)			58	
	59 **Total assets** (add lines 45 through 58) (must equal line 74)		4,228,825.	59	4,237,279.
Liabilities	60 Accounts payable and accrued expenses		2,274.	60	24,909.
	61 Grants payable		24,086.	61	
	62 Deferred revenue			62	
	63 Loans from officers, directors, trustees, and key employees			63	
	64 a Tax-exempt bond liabilities			64a	
	b Mortgages and other notes payable			64b	
	65 Other liabilities (describe ▶)			65	
	66 **Total liabilities** (add lines 60 through 65)		26,360.	66	24,909.
Net Assets or Fund Balances	**Organizations that follow SFAS 117, check here** ▶ ☒ and complete lines 67 through 69 and lines 73 and 74				
	67 Unrestricted		4,202,465.	67	4,132,605.
	68 Temporarily restricted			68	79,765.
	69 Permanently restricted			69	
	Organizations that do not follow SFAS 117, check here ▶ ☐ and complete lines 70 through 74				
	70 Capital stock, trust principal, or current funds			70	
	71 Paid-in or capital surplus, or land, building, and equipment fund			71	
	72 Retained earnings, endowment, accumulated income, or other funds			72	
	73 **Total net assets or fund balances** (add lines 67 through 69 or lines 70 through 72, column (A) **must** equal line 19; column (B) **must** equal line 21)		4,202,465.	73	4,212,370.
	74 **Total liabilities and net assets / fund balances** (add lines 66 and 73)		4,228,825.	74	4,237,279.

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.

Part IV-A Reconciliation of Revenue per Audited Financial Statements with Revenue per Return

a Total revenue, gains, and other support per audited financial statements	a	749,649.
b Amounts included on line a but not on line 12, Form 990		
(1) Net unrealized gains on investments $ <244,155.>		
(2) Donated services and use of facilities $		
(3) Recoveries of prior year grants $		
(4) Other (specify) $		
Add amounts on lines (1) through (4)	b	<244,155.>
c Line a minus line b	c	993,804.
d Amounts included on line 12, Form 990 but not on line a		
(1) Investment expenses not included on line 6b, Form 990 $ 36,937.		
(2) Other (specify) $		
Add amounts on lines (1) and (2)	d	36,937.
e Total revenue per line 12, Form 990 (line c plus line d)	e	1,030,741.

Part IV-B Reconciliation of Expenses per Audited Financial Statements with Expenses per Return

a Total expenses and losses per audited financial statements	a	763,830.
b Amounts included on line a but not on line 17, Form 990		
(1) Donated services and use of facilities $		
(2) Prior year adjustments reported on line 20, Form 990 $		
(3) Losses reported on line 20, Form 990 $		
(4) Other (specify) $		
Add amounts on lines (1) through (4)	b	0.
c Line a minus line b	c	763,830.
d Amounts included on line 17, Form 990 but not on line a		
(1) Investment expenses not included on line 6b, Form 990 $ 36,937.		
(2) Other (specify) $		
Add amounts on lines (1) and (2)	d	36,937.
e Total expenses per line 17, Form 990 (line c plus line d)	e	800,767.

Part V List of Officers, Directors, Trustees, and Key Employees (List each one even if not compensated)

(A) Name and address	(B) Title and average hours per week devoted to position	(C) Compensation (If not paid, enter -0-)	(D) Contributions to employee benefit plans & deferred compensation	(E) Expense account and other allowances
SEE STATEMENT 7		115,000.	0.	25,946.

75 Did any officer, director, trustee, or key employee receive aggregate compensation of more than $100,000 from your organization and all related organizations, of which more than $10,000 was provided by the related organizations? If "Yes," attach schedule ▶ ☐ Yes ☒ No

Part VI Other Information

			Yes	No
76	Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity	76		X
77	Were any changes made in the organizing or governing documents but not reported to the IRS? If "Yes," attach a conformed copy of the changes	77		X
78 a	Did the organization have unrelated business gross income of $1,000 or more during the year covered by this return?	78a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	78b		
79	Was there a liquidation, dissolution, termination, or substantial contraction during the year? If "Yes," attach a statement	79		X
80 a	Is the organization related (other than by association with a statewide or nationwide organization) through common membership, governing bodies, trustees, officers, etc , to any other exempt or nonexempt organization?	80a	X	
b	If "Yes," enter the name of the organization ▶ AMERICAN SOCIETY OF ANESTHESIOLOGISTS and check whether it is [X] exempt or [ ] nonexempt.			
81 a	Enter direct or indirect political expenditures See line 81 instructions 81a 0.			
b	Did the organization file **Form 1120-POL** for this year?	81b		X
82 a	Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value? VOLUNTEER BOARD	82a	X	
b	If "Yes," you may indicate the value of these items here Do not include this amount as revenue in Part I or as an expense in Part II (See instructions in Part III) 82b			
83 a	Did the organization comply with the public inspection requirements for returns and exemption applications?	83a	X	
b	Did the organization comply with the disclosure requirements relating to quid pro quo contributions?	83b	X	
84 a	Did the organization solicit any contributions or gifts that were not tax deductible?	84a		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? N/A	84b		
85	*501(c)(4), (5), or (6) organizations* a Were substantially all dues nondeductible by members? N/A	85a		
b	Did the organization make only in-house lobbying expenditures of $2,000 or less? N/A	85b		
	If "Yes" was answered to either 85a or 85b, **do not** complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year			
c	Dues, assessments, and similar amounts from members 85c N/A			
d	Section 162(e) lobbying and political expenditures 85d N/A			
e	Aggregate nondeductible amount of section 6033(e)(1)(A) dues notices 85e N/A			
f	Taxable amount of lobbying and political expenditures (line 85d less 85e) 85f N/A			
g	Does the organization elect to pay the section 6033(e) tax on the amount on line 85f? N/A	85g		
h	If section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount on line 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year? N/A	85h		
86	*501(c)(7) organizations* Enter a Initiation fees and capital contributions included on line 12 86a N/A			
b	Gross receipts, included on line 12, for public use of club facilities 86b N/A			
87	*501(c)(12) organizations* Enter a Gross income from members or shareholders 87a N/A			
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them) 87b N/A			
88	At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? If "Yes," complete Part IX	88		X
89 a	*501(c)(3) organizations* Enter Amount of tax imposed on the organization during the year under section 4911 ▶ 0. , section 4912 ▶ 0. , section 4955 ▶ 0.			
b	*501(c)(3) and 501(c)(4) organizations* Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach a statement explaining each transaction	89b		X
c	Enter Amount of tax imposed on the organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 ▶ 0.			
d	Enter Amount of tax on line 89c, above, reimbursed by the organization ▶ 0.			
90 a	List the states with which a copy of this return is filed ▶ ILLINOIS			
b	Number of employees employed in the pay period that includes March 12, 2002 90b 0			
91	The books are in care of ▶ SUSAN M. ROGOWSKI Telephone no ▶ (847) 825-5586			
	Located at ▶ 520 NORTH NORTHWEST HIGHWAY, PARK RIDGE, IL ZIP + 4 ▶ 60068-2573			
92	*Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of* **Form 1041**- Check here ▶ [ ] and enter the amount of tax-exempt interest received or accrued during the tax year ▶ 92 N/A			

Part VII Analysis of Income-Producing Activities (See page 31 of the instructions)

Note *Enter gross amounts unless otherwise indicated*	Unrelated business income		Excluded by section 512, 513, or 514		(E) Related or exempt function income
	(A) Business code	(B) Amount	(C) Exclusion code	(D) Amount	
93 Program service revenue					
a PUBLICATIONS					1,136.
b					
c					
d					
e					
f Medicare/Medicaid payments					
g Fees and contracts from government agencies					
94 Membership dues and assessments					
95 Interest on savings and temporary cash investments			14	10,140.	
96 Dividends and interest from securities			14	111,251.	
97 Net rental income or (loss) from real estate					
a debt-financed property					
b not debt-financed property					
98 Net rental income or (loss) from personal property					
99 Other investment income					
100 Gain or (loss) from sales of assets other than inventory			18	<77,508.>	
101 Net income or (loss) from special events					
102 Gross profit or (loss) from sales of inventory					
103 Other revenue					
a					
b					
c					
d					
e					
104 Subtotal (add columns (B), (D), and (E))		0.		43,883.	1,136.
105 Total (add line 104, columns (B), (D), and (E))				▶	45,019.

Note *Line 105 plus line 1d, Part I, should equal the amount on line 12, Part I*

Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes (See page 32 of the instructions)

Line No ▼	Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes)
	SEE STATEMENT 8

Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities (See page 32 of the instructions)

(A) Name, address, and EIN of corporation, partnership, or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End-of-year assets
	%			
N/A	%			
	%			
	%			

Part X Information Regarding Transfers Associated with Personal Benefit Contracts (See page 33 of the instructions)

(a) Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

(b) Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

[redacted]

...mpanying schedules and statements, and to the best of my knowledge and belief it is true, ...ation of which preparer has any knowledge

...29/03 ▶ Robert K. Stoelting, M.D.

Type or print name and title President

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Organization Exempt Under Section 501(c)(3)

(Except Private Foundation) and Section 501(e), 501(f), 501(k), 501(n), or Section 4947(a)(1) Nonexempt Charitable Trust

Supplementary Information-(See separate instructions.)

▶ MUST be completed by the above organizations and attached to their Form 990 or 990-EZ

OMB No 1545-0047

2002

Name of the organization

ANESTHESIA PATIENT SAFETY FOUNDATION

Employer identification number

51 0287258

Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees

(See page 1 of the instructions List each one If there are none, enter "None ")

(a) Name and address of each employee paid more than $50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
NONE				
Total number of other employees paid over $50,000 ▶	0			

Part II Compensation of the Five Highest Paid Independent Contractors for Professional Services

(See page 2 of the instructions List each one (whether individuals or firms) If there are none, enter "None ")

(a) Name and address of each independent contractor paid more than $50,000	(b) Type of service	(c) Compensation
ELLISON C. PIERCE, M.D. 770 BOYLESTON ST #10C, BOSTON, MA 02199	EXECUTIVE DIRECTOR	103,785.
Total number of others receiving over $50,000 for professional services ▶	0	

Part III Statements About Activities (See page 2 of the instructions)

		Yes	No
1	During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If "Yes," enter the total expenses paid or incurred in connection with the lobbying activities ▶ $ _____ $ _____ **(Must equal amounts on line 38,** Part VI-A, or line i of Part VI-B)	1		X
	Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A. Other organizations checking "Yes," must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities			
2	During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any substantial contributors, trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary? *(If the answer to any question is "Yes," attach a detailed statement explaining the transactions)*			
a	Sale, exchange, or leasing of property?	2a		X
b	Lending of money or other extension of credit?	2b		X
c	Furnishing of goods, services, or facilities?	2c		X
d	Payment of compensation (or payment or reimbursement of expenses if more than $1,000)?	2d	X	
e	Transfer of any part of its income or assets?	2e		X
3	Does the organization make grants for scholarships, fellowships, student loans, etc ? (See **Note** below)	3	X	
4	Do you have a section 403(b) annuity plan for your employees?	4		X

Note *Attach a statement to explain how the organization determines that individuals or organizations receiving grants or loans from it in furtherance of its charitable programs "qualify" to receive payments* SEE STATEMENT 9

Part IV Reason for Non-Private Foundation Status (See pages 3 through 5 of the instructions)

The organization is not a private foundation because it is (Please check only **ONE** applicable box.)

- 5 ☐ A church, convention of churches, or association of churches Section 170(b)(1)(A)(i)
- 6 ☐ A school Section 170(b)(1)(A)(ii) (Also complete Part V)
- 7 ☐ A hospital or a cooperative hospital service organization Section 170(b)(1)(A)(iii)
- 8 ☐ A Federal, state, or local government or governmental unit Section 170(b)(1)(A)(v)
- 9 ☐ A medical research organization operated in conjunction with a hospital Section 170(b)(1)(A)(iii) **Enter the hospital's name, city, and state** ▶ _____
- 10 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit Section 170(b)(1)(A)(iv) (Also complete the **Support Schedule** in Part IV-A.)
- 11a ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A.)
- 11b ☐ A community trust Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A.)
- 12 ☐ An organization that normally receives **(1) more than 33 1/3%** of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc , functions - subject to certain exceptions, and **(2) no more than 33 1/3%** of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See section 509(a)(2) (Also complete the **Support Schedule** in Part IV-A.)
- 13 ☐ An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in (1) lines 5 through 12 above, or (2) section 501(c)(4), (5), or (6), if they meet the test of section 509(a)(2) (See section 509(a)(3))

Provide the following information about the supported organizations (See page 5 of the instructions)

(a) Name(s) of supported organization(s)	(b) Line number from above

- 14 ☐ An organization organized and operated to test for public safety Section 509(a)(4) (See page 5 of the instructions)

Part IV-A **Support Schedule** (Complete only if you checked a box on line 10, 11, or 12.) **Use cash method of accounting**
Note: *You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting*

Calendar year (or fiscal year beginning in) ▶	(a) 2001	(b) 2000	(c) 1999	(d) 1998	(e) Total
15 Gifts, grants, and contributions received (Do not include unusual grants See line 28.)	809,671.	670,425.	716,201.	729,550.	2,925,847.
16 Membership fees received					
17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable, etc., purpose	1,136.	1,014.	1,202.	1,669.	5,021.
18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975	143,814.	169,788.	114,737.	102,502.	530,841.
19 Net income from unrelated business activities not included in line 18					
20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf					
21 The value of services or facilities furnished to the organization by a governmental unit without charge. Do not include the value of services or facilities generally furnished to the public without charge					
22 Other income. Attach a schedule. Do not include gain or (loss) from sale of capital assets	26,204.	2,416.	SEE STATEMENT 10 2,911.	9,916.	41,447.
23 Total of lines 15 through 22	980,825.	843,643.	835,051.	843,637.	3,503,156.
24 Line 23 minus line 17	979,689.	842,629.	833,849.	841,968.	3,498,135.
25 Enter 1% of line 23	9,808.	8,436.	8,351.	8,436.	

26 **Organizations described on lines 10 or 11** a Enter 2% of amount in column (e), line 24	▶	26a	69,963.
b Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 1998 through 2001 exceeded the amount shown in line 26a. **Do not file this list with your return** Enter the sum of all these excess amounts	▶	26b	1,710,259.
c Total support for section 509(a)(1) test. Enter line 24, column (e)	▶	26c	3,498,135.
d Add Amounts from column (e) for lines 18 530,841. 19 ____ 22 41,447. 26b 1,710,259.	▶	26d	2,282,547.
e Public support (line 26c minus line 26d total)	▶	26e	1,215,588.
f **Public support percentage (line 26e (numerator) divided by line 26c (denominator))**	▶	26f	34.7496%

27 **Organizations described on line 12** a For amounts included in lines 15, 16, and 17 that were received from a "disqualified person," prepare a list for your records to show the name of, and total amounts received in each year from, each "disqualified person." **Do not file this list with your return** Enter the sum of such amounts for each year. N/A

(2001) (2000) (1999) (1998)

b For any amount included in line 17 that was received from each person (other than "disqualified persons"), prepare a list for your records to show the name of, and amount received for each year, that was more than the larger of **(1)** the amount on line 25 for the year or **(2)** $5,000. (Include in the list organizations described in lines 5 through 11, as well as individuals.) **Do not file this list with your return** After computing the difference between the amount received and the larger amount described in **(1)** or **(2)**, enter the sum of these differences (the excess amounts) for each year. N/A

(2001) (2000) (1999) (1998)

c Add Amounts from column (e) for lines 15 ____ 16 ____ 17 ____ 20 ____ 21 ____	▶	27c	N/A
d Add Line 27a total ____ and line 27b total ____	▶	27d	N/A
e Public support (line 27c total minus line 27d total)	▶	27e	N/A
f Total support for section 509(a)(2) test. Enter amount on line 23, column (e) ▶ 27f N/A			
g **Public support percentage (line 27e (numerator) divided by line 27f (denominator))**	▶	27g	N/A %
h **Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator))**	▶	27h	N/A %

28 **Unusual Grants:** For an organization described in line 10, 11, or 12 that received any unusual grants during 1998 through 2001, prepare a list for your records to show, for each year, the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant. **Do not file this list with your return** Do not include these grants in line 15.

NONE

Part V **Private School Questionnaire** (See page 7 of the instructions) N/A

(To be completed ONLY by schools that checked the box on line 6 in Part IV)

			Yes	No
29	Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?	29		
30	Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?	30		
31	Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves?	31		
	If "Yes," please describe, if "No," please explain (If you need more space, attach a separate statement.)			
32	Does the organization maintain the following			
a	Records indicating the racial composition of the student body, faculty, and administrative staff?	32a		
b	Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?	32b		
c	Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?	32c		
d	Copies of all material used by the organization or on its behalf to solicit contributions?	32d		
	If you answered "No" to any of the above, please explain (If you need more space, attach a separate statement.)			
33	Does the organization discriminate by race in any way with respect to			
a	Students' rights or privileges?	33a		
b	Admissions policies?	33b		
c	Employment of faculty or administrative staff?	33c		
d	Scholarships or other financial assistance?	33d		
e	Educational policies?	33e		
f	Use of facilities?	33f		
g	Athletic programs?	33g		
h	Other extracurricular activities?	33h		
	If you answered "Yes" to any of the above, please explain (If you need more space, attach a separate statement.)			
34 a	Does the organization receive any financial aid or assistance from a governmental agency?	34a		
b	Has the organization s right to such aid ever been revoked or suspended?	34b		
	If you answered "Yes" to either 34a or b, please explain using an attached statement.			
35	Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," attach an explanation	35		

Part VI-A Lobbying Expenditures by Electing Public Charities (See page 9 of the instructions)

N/A

(To be completed ONLY by an eligible organization that filed Form 5768)

Check ▶ a ☐ if the organization belongs to an affiliated group Check ▶ b ☐ if you checked "a" and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred)		(a) Affiliated group totals	(b) To be completed for ALL electing organizations
		N/A	
36 Total lobbying expenditures to influence public opinion (grassroots lobbying)	36		
37 Total lobbying expenditures to influence a legislative body (direct lobbying)	37		
38 Total lobbying expenditures (add lines 36 and 37)	38		
39 Other exempt purpose expenditures	39		
40 Total exempt purpose expenditures (add lines 38 and 39)	40		
41 Lobbying nontaxable amount. Enter the amount from the following table -			
If the amount on line 40 is - / The lobbying nontaxable amount is -			
Not over $500 000 — 20% of the amount on line 40			
Over $500 000 but not over $1 000,000 — $100 000 plus 15% of the excess over $500,000			
Over $1,000,000 but not over $1 500 000 — $175,000 plus 10% of the excess over $1,000 000	41		
Over $1 500 000 but not over $17,000 000 — $225,000 plus 5% of the excess over $1 500 000			
Over $17 000 000 — $1,000 000			
42 Grassroots nontaxable amount (enter 25% of line 41)	42		
43 Subtract line 42 from line 36 Enter -0- if line 42 is more than line 36	43		
44 Subtract line 41 from line 38 Enter -0- if line 41 is more than line 38	44		
Caution *If there is an amount on either line 43 or line 44, you must file Form 4720*			

4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below See the instructions for lines 45 through 50 on page 11 of the instructions)

Lobbying Expenditures During 4-Year Averaging Period

N/A

Calendar year (or fiscal year beginning in) ▶	(a) 2002	(b) 2001	(c) 2000	(d) 1999	(e) Total
45 Lobbying nontaxable amount					0.
46 Lobbying ceiling amount (150% of line 45(e))					0.
47 Total lobbying expenditures					0.
48 Grassroots nontaxable amount					0.
49 Grassroots ceiling amount (150% of line 48(e))					0.
50 Grassroots lobbying expenditures					0.

Part VI-B Lobbying Activity by Nonelecting Public Charities

(For reporting only by organizations that did not complete Part VI-A) (See page 11 of the instructions)

During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of	Yes	No	Amount
a Volunteers		X	
b Paid staff or management (Include compensation in expenses reported on lines c through h)		X	
c Media advertisements		X	
d Mailings to members, legislators, or the public		X	
e Publications, or published or broadcast statements		X	
f Grants to other organizations for lobbying purposes		X	
g Direct contact with legislators, their staffs, government officials, or a legislative body		X	
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means		X	
i Total lobbying expenditures (Add lines c through h)			0.

If "Yes" to any of the above, also attach a statement giving a detailed description of the lobbying activities

Part VII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations (See page 12 of the instructions)

51 Did the reporting organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

		Yes	No
a	Transfers from the reporting organization to a noncharitable exempt organization of:		
	(i) Cash	51a(i)	X
	(ii) Other assets	a(ii)	X
b	Other transactions		
	(i) Sales or exchanges of assets with a noncharitable exempt organization	b(i)	X
	(ii) Purchases of assets from a noncharitable exempt organization	b(ii)	X
	(iii) Rental of facilities, equipment, or other assets	b(iii)	X
	(iv) Reimbursement arrangements	b(iv)	X
	(v) Loans or loan guarantees	b(v)	X
	(vi) Performance of services or membership or fundraising solicitations	b(vi)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	c	X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting organization If the organization received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
51b(vi)	66,404	AMERICAN SOCIETY OF ANESTHESIOLOGISTS (ASA)	APSF PURCHASES SECRETARIAL, ACCOUNTING, MANAGERIAL & TECHNOLOGY SUPPORT SERVICES FROM ASA.

52 a Is the organization directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ▶ [X] Yes [ ] No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
AMERICAN SOCIETY OF ANESTHESIOLOGISTS (ASA)	501(c)(6)	ASA APPOINTS 50% OF THE APSF BOARD MEMBERS IN A HISTORIC & ONGOING RELATIONSHIP.

Supplementary Statements
For the Year Ended 12/31/2002

Anesthesia Patient Safety Foundation **51-0287258**

Statement 1
Form 990, Part I, Line 8 - Net Gain/(Loss) from Sale of Assets other than Inventory

Description	Sales Price	Basis	Gain/(Loss)
Publicly Traded Securities	$ 366,326	$ 443,834	$ (77,508)

Supplementary Statements
For the Year Ended 12/31/2002

Anesthesia Patient Safety Foundation **51-0287258**

Statement 2
Form 990, Part I, Line 20 - Other Changes in Net Assets or Fund Balances

Description	Amount
Unrealized Gains/(Losses)	$ (244,155)
Prior Period Adjustment	24,086
Total	$ (220,069)

Supplementary Statements
For the Year Ended 12/31/2002

Anesthesia Patient Safety Foundation **51-0287258**

Statement 3
Form 990, Part II, Line 43 - Other Expenses

Description	Total Other Expenses	Program Services	Management and General	Fundraising
Professional & Administrative	$ 6,404	$ 320	$ 6,084	-
President's Office	20,220	20,220		
Executive Office	171,078	171,078		
Insurance	3,968	3,968		
Patient Safety Exhibit	3,687	3,687		
Technology	25,000	25,000		
Miscellaneous	9,442	9,442		
Investment Expense	36,937	36,937		
Expenses Funded by Assets Released	130,456	130,456		
Total	$ 407,192	$ 401,108	$ 6,084	-

Supplementary Statements
For the Year Ended 12/31/2002

Anesthesia Patient Safety Foundation **51-0287258**

Statement 4
Form 990, Part II, Line 22 - Grants and Allocations

Donee/Address	Relationship to Organization	Amount
University of Utah Health Sciences Center 1471 Federal Way Salt Lake City, UT 84102	None	$ 65,000
Barrow Neurological Institute St Joseph's Hospital and Medical Center 350 West Thomas Road Phoenix, AZ 83013	None	65,000
Total Grants		$ 130,000

Supplementary Statements
For the Year Ended 12/31/2002

Anesthesia Patient Safety Foundation **51-0287258**

Statement 5
Form 990, Part III, a - Statement of Program Service Accomplishments

The specific purposes of the Foundation are to foster investigations that will provide a better understanding of preventable anesthetic injuries, to encourage programs that will reduce the number of anesthetic injuries, and to promote national and international communication of information and ideas about the causes and prevention of anesthetic injuries The APSF is made up of individuals and corporations involved in all aspects of anesthesia, and is a major source of grants for research The Foundation's newsletter is published quarterly and is distributed without charge to anesthesiologists and nurse anesthetists and to thousands of hospital administrators and government officials in the U S and Canada The APSF has also initiated and sponsored many other activities in fulfillment of its mission, including the supporting of meetings on anesthesia safety and the facilitating of the development of simulators for training of anesthesiologists in crisis management as well as other anesthesia management problems A significant measure of the foundation's success is evidenced by the reduction in malpractice insurance premiums paid by anesthesiologists in several states during the past few years These have been accompanied in some instances by a fall in award cost and a consequent reduction in risk relativity factors

Supplementary Statements
For the Year Ended 12/31/2002

Anesthesia Patient Safety Foundation 51-0287258

Statement 6
Form 990, Part IV, Line 54 - Investments-Securities

Description	End of Year
Cash and Equivalents	$ 18,463
Marketable Equity Securities	1,400,980
Corporate Bonds	568,004
U S Government Bonds	1,123,249
Short Term Investments	449,055
Total Investments	$ 3,559,751

Supplementary Statements
For the Year Ended 12/31/2002

Anesthesia Patient Safety Foundation **51-0287258**

Statement 7
Form 990, Part V - List of Officers, Directors, Trustees, and Key Employees

NAME AND ADDRESS	TITLE	TIME DEVOTED	COMPEN-SATION	CONTRIB TO BENE PLAN	EXPENSE ACCOUNT
ROBERT K STOELTING, M D INDIANA UNIVERSITY, ANESTH DEPT 1120 SOUTH DRIVE INDIANAPOLIS, IN 46202-5115	PRES/ EXEC COM/ BOARD MEM	P/T	15,000	NONE	4,160
BURTON A DOLE, JR P O BOX 208 PAUMA VALLEY, CA 92061	V/P EXEC COM/ BOARD MEM	P/T	NONE	NONE	2,391
DAVID M GABA, M D STANFORD UNIVERSITY ANESTHESIA SERVICE 112A DEPT OF ANESTHESIA 3801 MIRANDA AVE PALO ALTO, CA 94304	SECRTRY/ EXEC COM/ BOARD MEM	P/T	NONE	NONE	1,630
CASEY D BLITT, M D OLD PUEBLO ANESTHESIA 2351 E CALLE SIN CONTROVERSIA TUCSON, AZ 85718	TREAS EXEC COM/ BOARD MEM	P/T	NONE	NONE	2,533
ELLISON C PIERCE, M D 770 BOYLSTON ST #10-C BOSTON, MA 02199-7709	EXEC DIR BOARD MEM	P/T	100,000	NONE	3,785
FREDERICK W CHENEY, M D UNIV OF WASHINGTON SCHL OF MED DEPT OF ANESTHESIOLOGY BOX 35640 SEATTLE, WA 98195-6540	BOARD MEM	P/T	NONE	NONE	NONE
JOAN CHRISTIE 10388 BARRY DR LARGO, FL 34644	BOARD MEM	P/T	NONE	NONE	NONE
NORIG ELLISON, M D 332 ARDMORE AVE ARDMORE, PA 19003	BOARD MEM	P/T	NONE	NONE	NONE
REBECCA TWERSKY, M D 3 REGENT DR LAWRENCE, NY 11559	BOARD MEM	P/T	NONE	NONE	NONE

Supplementary Statements
For the Year Ended 12/31/2002

Anesthesia Patient Safety Foundation **51-0287258**

Statement 7
Form 990, Part V - List of Officers, Directors, Trustees, and Key Employees

NAME AND ADDRESS	TITLE	TIME DEVOTED	COMPEN-SATION	CONTRIB TO BENE PLAN	EXPENSE ACCOUNT
MATTHEW WEINGER 3068 CURIE ST SAN DIEGO, CA 92122	BOARD MEM	P/T	NONE	NONE	NONE
STEVE BEBB AGILENT TECH DEUTSCHLAND GMBH HERRENBERGER STRASSE 130 D-71034 BOEBLINGEN GERMANY	BOARD MEM	P/T	NONE	NONE	NONE
PETER B CARSTENSEN, PH D CENTER FOR DEVICES & RAD HLTH FDA 5600 FISHERS LANE (HFZ-200) ROCKVILLE, MD 20857	BOARD MEM	P/T	NONE	NONE	NONE
JEFFREY B COOPER, PH D DEPT OF ANESTHESIA MASSACHUSETTS GENERAL HOSPITAL 55 FRUIT ST BOSTON, MA 02114	EXEC COM/ BOARD MEM	P/T	NONE	NONE	1,669
FREDERICK ROBERTSON, M D 108 W IRONWOOD LN 106N MEQUON, WI 53092	BOARD MEM	P/T	NONE	NONE	NONE
GEORGE SCHAPIRO 3880 RALSTON AVENUE HILLSBOROUGH, CA 94010	BOARD MEM	P/T	NONE	NONE	NONE
ROBERT VIRAG MALLINCKRODT ANESTHESIOLOGY 675 MCDONNEL BLVD , P O 5840 ST LOUIS, MO 63134	BOARD MEM	P/T	NONE	NONE	NONE
FORREST WHITTAKER TYCO NELLCOR PURITAN BENNETT 4280 HACIENDA DR PLEASANTON, CA 94588	BOARD MEM	P/T	NONE	NONE	NONE
JAMES ARENS, M D UTMD ANDERSON CANCER CTR 1515 HOLCOMBE BLVD, BOX 42 HOUSTON, TX 77030	BOARD MEM	P/T	NONE	NONE	NONE
ROBERT CAPLAN, M D 1006 SPRING ST #210 SEATTLE, WA 98104	BOARD MEM	P/T	NONE	NONE	2,657

Supplementary Statements
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Anesthesia Patient Safety Foundation **51-0287258**

Statement 7
Form 990, Part V - List of Officers, Directors, Trustees, and Key Employees

NAME AND ADDRESS	TITLE	TIME DEVOTED	COMPEN-SATION	CONTRIB TO BENE PLAN	EXPENSE ACCOUNT
JOHN EICHHORN, M D 150 BURNHAM RD BRANDON, MS 39042	BOARD MEM	P/T	NONE	NONE	2,090
TERRI MONK, M D 4711 SW 97TH TERR GAINESVILLE, FL 32608	BOARD MEM	P/T	NONE	NONE	669
ROBERT MORELL, M D 5196 HUNTCLIFF TRAIL WINSTON SALEM, NC 27104	BOARD MEM	P/T	NONE	NONE	2,857
ERVIN MOSS, M D 11 ROBERT CT VERONA, NJ 07044	BOARD MEM	P/T	NONE	NONE	NONE
KEITH RUSKIN, M D 6 TOMAHAWK LN WESTPORT, CT 06880	BOARD MEM	P/T	NONE	NONE	1,505
JAMES BENSON ANESTHESIA PATIENT SAFETY FOUND 4246 COLONIAL PARK DR PITTSBURGH, PA 15227	BOARD MEM	P/T	NONE	NONE	NONE
NASSIB CHAMOUN ASPECT MEDICAL SYSTEM 141 NEEDHAM ST NEWTON, MA 02464	BOARD MEM	P/T	NONE	NONE	NONE
DOUGLAS LAWRENCE BD MEDICAL SYSTEMS 9450 S STATE ST SANDY, UT 84070	BOARD MEM	P/T	NONE	NONE	NONE
ANTHONY MEYER, M D ANESTHESIA PATIENT SAFETY FOUND 4246 COLONIAL PARK DR PITTSBURGH, PA 15227	BOARD MEM	P/T	NONE	NONE	NONE
ED MILLS PREFERRED PHYS MEDICAL 7000 SQUIBB RD MISSION, KS 66202	BOARD MEM	P/T	NONE	NONE	NONE
BEVERLY NICHOLS, CRNA ANESTH PATIENT SAFETY FOUND 4246 COLONIAL PARK DR PITTSBURGH, PA 15227	BOARD MEM	P/T	NONE	NONE	NONE

Supplementary Statements
For the Year Ended 12/31/2002

Anesthesia Patient Safety Foundation **51-0287258**

Statement 7
Form 990, Part V - List of Officers, Directors, Trustees, and Key Employees

NAME AND ADDRESS	TITLE	TIME DEVOTED	COMPEN-SATION	CONTRIB TO BENE PLAN	EXPENSE ACCOUNT
SALLY TROMBLY, ESQ RISK MANAGEMENT FOUNDATION 840 MEMORIAL DRIVE CAMBRIDGE, MA 02139	BOARD MEM	P/T	NONE	NONE	NONE
BETTE WILDGUST, CRNA ANESTH PATIENT SAFETY FOUND 4246 COLONIAL PARK DR PITTSBURGH, PA 15227	BOARD MEM	P/T	NONE	NONE	NONE
ROBERT WISE, M D ANESTH PATIENT SAFETY FOUND 4246 COLONIAL PARK DR PITTSBURGH, PA 15227	BOARD MEM	P/T	NONE	NONE	NONE

Supplementary Statements
For the Year Ended 12/31/2002

Anesthesia Patient Safety Foundation **51-0287258**

Statement 8
Form 990, Part VIII - Relationship of Activities to the Accomplishment of Exempt Purpose

Line No	Explanation
93a	Promoting activities that prevent patients from being harmed by the effects of anesthesia

Supplementary Statements
For the Year Ended 12/31/2002

Anesthesia Patient Safety Foundation **51-0287258**

Statement 9
Form 990, Schedule A, Part III, Lines 2 and 3

Grants and contributions are made to various organizations in the field of medicine All disbursements are approved by the Board of Directors

Supplementary Statements
For the Year Ended 12/31/2002

Anesthesia Patient Safety Foundation **51-0287258**

Statement 10
Form 990, Schedule A, Part IV-A, Line 22 - Other Income

	2001	2000	1999	1998
Miscellaneous Income	$ 26,204	$ 2,416	$ 2,911	$ 9,916
Total	$ 26,204	$ 2,416	$ 2,911	$ 9,916