

990-PF

Form  
Department of the Treasury  
Internal Revenue Service

## Return of Private Foundation

OMB No 1545-0052

2002

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation  
Note: The organization may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2002, or tax year beginning , 2002, and ending

G Check all that apply. ☐ Initial return ☐ Final return ☒ Amended return ☐ Address change ☐ Name changeUse the IRS  
label.  
Otherwise,  
print  
or type.  
See Specific  
Instructions.

Name of organization

THE TINKER FOUNDATION, INC.

Number and street (or P O box number if mail is not delivered to street address)

55 EAST 59TH STREET - 21ST FLOOR

City or town, state, and ZIP code

NEW YORK, NY 10022

A Employer identification number

51-0175449

B Telephone number (see page 10 of the instructions)

(212) 421-6858

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year (from Part II, col. (c), line 16) **65,515,377.**  
J Accounting method: ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_ (Part I, column (d) must be on cash basis)C If exemption application is pending, check here ☐  
D 1 Foreign organizations check here ☐  
2 Foreign organizations meeting the 85% test, check here and attach computation ☐  
E If private foundation status was terminated under section 507(b)(1)(A), check here ☐  
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

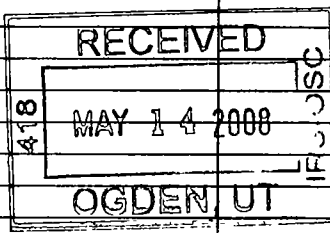
## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 10 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule). Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
2 Distributions from split-interest trusts				
3 Interest on savings and temporary cash investments	859.	859.		
4 Dividends and interest from securities	2,023,515.	2,269,303.		STMT 1
5a Gross rents				
b (Net rental income or (loss))				
6a Net gain or (loss) from sale of assets not on line 10	-2,499,601.			
b Gross sales price for all assets on line 6a				
7 Capital gain net income (from Part III)				
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances			15,091.	
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	28,604.	337,348.		STMT 2
12 Total. Add lines 1 through 11	-446,623.	2,607,510.	15,091.	
13 Compensation of officers, directors, trustees, etc.	191,083.	44,517.		146,566.
14 Other employee salaries and wages	305,232.	56,339.		248,893.
15 Pension plans, employee benefits	141,263.	24,793.		116,470.
16a Legal fees (attach schedule) STMT 3	1,991.	NONE	NONE	1,991.
b Accounting fees (attach schedule) STMT 4	20,000.	10,000.	NONE	10,000.
c Other professional fees (attach schedule) STMT 5	332,732.	232,732.		100,000.
17 Interest				
18 Taxes (attach schedule) (see page 13 of the instructions) STMT 6	40,796.	33,675.		24,941.
19 Depreciation (attach schedule) and depletion	77,982.	20,665.		
20 Occupancy	49,688.	13,167.		36,521.
21 Travel, conferences, and meetings	62,280.	16,504.		45,776.
22 Printing and publications	8,442.	2,237.		6,205.
23 Other expenses (attach schedule) STMT 7	118,843.	31,494.		87,349.
24 Total operating and administrative expenses. Add lines 13 through 23	1,350,332.	486,123.	NONE	824,712.
25 Contributions, gifts, grants paid	2,741,690.			2,741,690.
26 Total expenses and disbursements. Add lines 24 and 25	4,092,022.	486,123.	NONE	3,566,402.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	-4,538,645.			
b Net investment income (if negative, enter -0-)		2,121,387.		
c Adjusted net income (if negative, enter -0-)			15,091.	

ENVELOPE POSTMARK DATE MAY 09 2008

SCANNED MAY 30 2008



**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing	22,633.	567.	567.
	2 Savings and temporary cash investments	457,008.	248,836.	248,836.
	3 Accounts receivable	166,867.		
	Less allowance for doubtful accounts	72,380.	166,867.	166,867.
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7 Other notes and loans receivable (attach schedule)			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	18,694.	25,857.	25,857.
	10 a Investments - U.S. and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule)	15,011,689.	10,965,695.	10,965,695.
	c Investments - corporate bonds (attach schedule)			
	11 Investments - land, buildings, and equipment basis			
Less accumulated depreciation (attach schedule)				
12 Investments - mortgage loans				
13 Investments - other (attach schedule)	57,723,221.	52,891,290.	52,891,290.	
14 Land, buildings, and equipment basis	2,304,161.			
Less accumulated depreciation (attach schedule)	1,087,896.	1,216,265.	1,216,265.	
15 Other assets (describe)				
16 <b>Total assets</b> (to be completed by all filers - see page 16 of the instructions. Also, see page 1, item I)	74,589,872.	65,515,377.	65,515,377.	
<b>Liabilities</b>	17 Accounts payable and accrued expenses	199,011.	198,109.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)	NONE	253.	
23 <b>Total liabilities</b> (add lines 17 through 22)	199,011.	198,362.		
<b>Net Assets or Fund Balances</b>	<b>Organizations that follow SFAS 117, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	24 Unrestricted	74,390,861.	65,317,015.	
	25 Temporarily restricted			
	26 Permanently restricted			
	<b>Organizations that do not follow SFAS 117, check here and complete lines 27 through 31.</b> <input type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 <b>Total net assets or fund balances</b> (see page 16 of the instructions)	74,390,861.	65,317,015.		
31 <b>Total liabilities and net assets/fund balances</b> (see page 16 of the instructions)	74,589,872.	65,515,377.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	74,390,861.
2 Enter amount from Part I, line 27a	2	-4,538,645.
3 Other increases not included in line 2 (itemize) <b>SEE STATEMENT 8</b>	3	837,995.
4 Add lines 1, 2, and 3	4	70,690,211.
5 Decreases not included in line 2 (itemize) <b>SEE STATEMENT 9</b>	5	5,373,196.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	65,317,015.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P Purchase D Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a SEE PART IV SCHEDULE</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
2 Capital gain net income or (net capital loss) . . . . .			2	-2,346,277.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see pages 12 and 17 of the instructions). If (loss), enter -0- in Part I, line 8 . . . . .			3		

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the organization liable for the section 4942 tax on the distributable amount of any year in the base period? . . . ☐ Yes ☒ No  
If "Yes," the organization does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 17 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))	
2001	4,230,252.	74,042,321.	0.05713289296	
2000	3,988,441.	77,318,831.	0.05158434172	
1999	3,764,053.	75,640,828.	0.04976218663	
1998	3,487,619.	74,125,786.	0.04705001037	
1997	3,327,553.	69,075,734.	0.04817253191	
2 Total of line 1, column (d) . . . . .			2	0.25370196359
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .			3	0.05074039272
4 Enter the net value of noncharitable-use assets for 2002 from Part X, line 5 . . . . .			4	68,463,173.
5 Multiply line 4 by line 3 . . . . .			5	3,473,848.
6 Enter 1% of net investment income (1% of Part I, line 27b) . . . . .			6	21,214.
7 Add lines 5 and 6 . . . . .			7	3,495,062.
8 Enter qualifying distributions from Part XII, line 4 . . . . .			8	3,566,402.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 17.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 17 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling letter _____ (attach copy of ruling letter if necessary - see instructions)		
b	Domestic organizations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	21,214.
c	All other domestic organizations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	21,214.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	NONE
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	21,214.
6	Credits/Payments		
a	2002 estimated tax payments and 2001 overpayment credited to 2002	6a	15,796.
b	Exempt foreign organizations - tax withheld at source	6b	NONE
c	Tax paid with application for extension of time to file (Form 8868)	6c	NONE
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	15,796.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	15,796-00
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	7,425
11	Enter the amount of line 10 to be Credited to 2003 estimated tax <input type="checkbox"/> NONE Refunded <input type="checkbox"/>	11	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the organization attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 18 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the organization in connection with the activities		X
1c Did the organization file Form 1120-POL for this year?	N/A	
2 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the organization \$ NONE (2) On organization managers \$ NONE		
3 Enter the reimbursement (if any) paid by the organization during the year for political expenditure tax imposed on organization managers \$ NONE		
2 Has the organization engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the organization made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the organization have unrelated business gross income of \$1,000 or more during the year?		X
4b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the organization have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <u>NEW YORK</u>		
8b If the answer is "Yes" to line 7, has the organization furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the organization claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2002 or the taxable year beginning in 2002 (see instructions for Part XIV on page 25)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		X
11 Did the organization comply with the public inspection requirements for its annual returns and exemption application? Web site address <u>HTTP://FDNCENTER.ORG/GRANTMAKER/TINKER/</u>	X	
12 The books are in care of <u>INGEBORG NANAU</u> Telephone no <u>212-421-6858</u> Located at <u>55 EAST 59TH STREET, NEW YORK, NY</u> ZIP+4 <u>10022</u>		
13 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year		

**\* INCLUDES INTEREST**

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
<b>1 a</b> During the year did the organization (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the organization agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b> If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 19 of the instructions)? . . . . .	<b>1b</b>	<b>X</b>
Organizations relying on a current notice regarding disaster assistance check here . . . . .	<input type="checkbox"/>	
<b>c</b> Did the organization engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2002? . . . . .	<b>1c</b>	<b>X</b>
<b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the organization was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
<b>a</b> At the end of tax year 2002, did the organization have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2002? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If "Yes," list the years . . . . .		
<b>b</b> Are there any years listed in 2a for which the organization is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 19 of the instructions) . . . . .	<b>2b</b>	<b>N/A</b>
<b>c</b> If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here . . . . .		
<b>3 a</b> Did the organization hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b> If "Yes," did it have excess business holdings in 2002 as a result of (1) any purchase by the organization or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the organization had excess business holdings in 2002) . . . . .	<b>3b</b>	<b>N/A</b>
<b>4 a</b> Did the organization invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	<b>4a</b>	<b>X</b>
<b>b</b> Did the organization make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2002? . . . . .	<b>4b</b>	<b>X</b>
<b>5 a</b> During the year did the organization pay or incur any amount to		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b> If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 20 of the instructions)? . . . . .	<b>5b</b>	<b>N/A</b>
Organizations relying on a current notice regarding disaster assistance check here . . . . .	<input type="checkbox"/>	
<b>c</b> If the answer is "Yes" to question 5a(4), does the organization claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . .	<b>N/A</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No
If "Yes," attach the statement required by Regulations section 53.4945-5(d).		
<b>6 a</b> Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b> Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . .	<b>6b</b>	<b>X</b>
If you answered "Yes" to 6b, also file Form 8870		

Form 990-PF (2002)

**1 List all officers, directors, trustees, foundation managers and their compensation (see page 20 of the instructions):**

**2 Compensation of five highest-paid employees (other than those included on line 1 - see page 20 of the instructions). If none, enter "NONE."**

<b>Total number of other employees paid over \$50,000</b> . . . . .	<b>NONE</b>
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<b>Total number of others receiving over \$50,000 for professional services</b>	<b>NONE</b>
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List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

JSA  
2E1460 1 000

**Part IX-B Summary of Program-Related Investments** (see page 21 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 <u>NONE</u>	
2	
All other program-related investments See page 21 of the instructions	
3 <u>NONE</u>	
<b>Total.</b> Add lines 1 through 3	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 21 of the instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1a	69,496,637.
b Average of monthly cash balances	1b	9,122.
c Fair market value of all other assets (see page 22 of the instructions)	1c	NONE
d Total (add lines 1a, b, and c)	1d	69,505,759.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2 Acquisition indebtedness applicable to line 1 assets	2	NONE
3 Subtract line 2 from line 1d	3	69,505,759.
4 Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 22 of the instructions)	4	1,042,586.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	68,463,173.
6 Minimum investment return. Enter 5% of line 5	6	3,423,159.

**Part XI Distributable Amount** (see page 23 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	3,423,159.
2a Tax on investment income for 2002 from Part VI, line 5	2a	21,214.
b Income tax for 2002. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	21,214.
3 Distributable amount before adjustments Subtract line 2c from line 1	3	3,401,945.
4a Recoveries of amounts treated as qualifying distributions	4a	15,091.
b Income distributions from section 4947(a)(2) trusts	4b	
c Add lines 4a and 4b	4c	15,091.
5 Add lines 3 and 4c	5	3,417,036.
6 Deduction from distributable amount (see page 23 of the instructions)	6	NONE
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	3,417,036.

**Part XII Qualifying Distributions** (see page 23 of the instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	3,566,402.
b Program-related investments - Total from Part IX-B	1b	NONE
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	NONE
b Cash distribution test (attach the required schedule)	3b	NONE
4 Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	3,566,402.
5 Organizations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 24 of the instructions)	5	21,214.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	3,545,188.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see page 24 of the instructions)

	(a) Corpus	(b) Years prior to 2001	(c) 2001	(d) 2002
<b>1</b> Distributable amount for 2002 from Part XI, line 7 . . . . .				<b>3,417,036.</b>
<b>2</b> Undistributed income, if any, as of the end of 2001				
<b>a</b> Enter amount for 2001 only . . . . .			<b>NONE</b>	
<b>b</b> Total for prior years . . . . .		<b>NONE</b>		
<b>3</b> Excess distributions carryover, if any, to 2002				
<b>a</b> From 1997 . . . . .	<b>28,440.</b>			
<b>b</b> From 1998 . . . . .	<b>6,981.</b>			
<b>c</b> From 1999 . . . . .	<b>92,450.</b>			
<b>d</b> From 2000 . . . . .	<b>79,012.</b>			
<b>e</b> From 2001 . . . . .	<b>553,997.</b>			
<b>f</b> Total of lines 3a through e . . . . .	<b>760,880.</b>			
<b>4</b> Qualifying distributions for 2002 from Part XII, line 4 ▶ \$ <b>3,566,402.</b>				
<b>a</b> Applied to 2001, but not more than line 2a . . . . .			<b>NONE</b>	
<b>b</b> Applied to undistributed income of prior years (Election required - see page 24 of the instructions) . . . . .		<b>NONE</b>		
<b>c</b> Treated as distributions out of corpus (Election required - see page 24 of the instructions) . . . . .	<b>NONE</b>			
<b>d</b> Applied to 2002 distributable amount . . . . .				<b>3,417,036.</b>
<b>e</b> Remaining amount distributed out of corpus . . . . .	<b>149,366.</b>			
<b>5</b> Excess distributions carryover applied to 2002 (If an amount appears in column (d), the same amount must be shown in column (a) )	<b>NONE</b>			<b>NONE</b>
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5 . . . . .	<b>910,246.</b>			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		<b>NONE</b>		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .		<b>NONE</b>		
<b>d</b> Subtract line 6c from line 6b Taxable amount - see page 24 of the instructions . . . . .		<b>NONE</b>		
<b>e</b> Undistributed income for 2001 Subtract line 4a from line 2a Taxable amount - see page 24 of the instructions . . . . .			<b>NONE</b>	
<b>f</b> Undistributed income for 2002 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2003 . . . . .				<b>NONE</b>
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(E) or 4942(g)(3) (see page 24 of the instructions) . . . . .	<b>NONE</b>			
<b>8</b> Excess distributions carryover from 1997 not applied on line 5 or line 7 (see page 25 of the instructions) . . . . .	<b>28,440.</b>			
<b>9</b> Excess distributions carryover to 2003. Subtract lines 7 and 8 from line 6a . . . . .	<b>881,806.</b>			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 1998 . . . . .	<b>6,981.</b>			
<b>b</b> Excess from 1999 . . . . .	<b>92,450.</b>			
<b>c</b> Excess from 2000 . . . . .	<b>79,012.</b>			
<b>d</b> Excess from 2001 . . . . .	<b>553,997.</b>			
<b>e</b> Excess from 2002 . . . . .	<b>149,366.</b>			

Form **990-PF** (2002)



**Part XIV Private Operating Foundations** (see page 25 of the instructions and Part VII-A, question 9) **NOT APPLICABLE**

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2002, enter the date of the ruling . . . . . **▶**

**b** Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2002	(b) 2001	(c) 2000	(d) 1999	
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test - enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> "Endowment" alternative test - Enter 2/3 of minimum investment return shown in Part X line 6 for each year listed . . . . .					
<b>c</b> "Support" alternative test - enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year - see page 25 of the instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

**NONE**

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the organization only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds

If the organization makes gifts, grants, etc. (see page 25 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number of the person to whom applications should be addressed

**SEE STATEMENT 13**

**b** The form in which applications should be submitted and information and materials they should include.

**SEE STATEMENT 13**

**c** Any submission deadlines

**SEE STATEMENT 13**

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**SEE STATEMENT 13**

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b> <b>SEE STATEMENT 14</b>				<b>2,741,690.</b>
<b>Total . . . . .</b>			<b>► 3a</b>	<b>2,741,690.</b>
<b>b Approved for future payment</b> <b>SEE STATEMENT 14</b>				<b>833,000.</b>
<b>Total . . . . .</b>			<b>► 3b</b>	<b>833,000.</b>



## Part XVII

**Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- |   |  |       |     |    |
|---|--|-------|-----|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?  |       | Yes | No |
| a | Transfers from the reporting organization to a noncharitable exempt organization of  |       |     |    |
|   | (1) Cash   | 1a(1) |     | X  |
|   | (2) Other assets   | 1a(2) |     | X  |
| b | Other Transactions   |       |     |    |
|   | (1) Sales of assets to a noncharitable exempt organization   | 1b(1) |     | X  |
|   | (2) Purchases of assets from a noncharitable exempt organization   | 1b(2) |     | X  |
|   | (3) Rental of facilities, equipment, or other assets   | 1b(3) |     | X  |
|   | (4) Reimbursement arrangements   | 1b(4) |     | X  |
|   | (5) Loans or loan guarantees   | 1b(5) |     | X  |
|   | (6) Performance of services or membership or fundraising solicitations   | 1b(6) |     | X  |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees   | 1c    |     | X  |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting organization. If the organization received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |       |     |    |

[illegible]

- 2 a** Is the organization directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer or trustee <i>Ernest J. Jerni</i>		Date 5-7-08		Title President	
	Preparer's signature <i>Susan K. Jerni</i>		Date 5/6/08		Check if self-employed <input type="checkbox"/>	
Paid Preparer's Use Only	Firm's name (or yours if self-employed), address, and ZIP code <b>ERNST &amp; YOUNG U.S. LLP</b> <b>5 TIMES SQUARE</b> <b>NEW YORK, NY 10036</b>				Preparer's SSN or PTIN (See Signature on page 28 of the instructions) <b>EIN 34-6565596</b> Phone no 212-773-3000	

THE TINKER FOUNDATION, INC.  
EIN: 51-0175449  
DECEMBER 31, 2002

REASON FOR AMENDMENT:

THE RETURN IS BEING AMENDED BY THE TAXPAYER TO REPORT TAXABLE INCOME FROM PARTNERSHIP INVESTMENT ACTIVITY WHICH WAS INADVERTENTLY OMITTED FROM THE ORIGINAL FILING.

THE ADDITIONAL INCOME AND EXPENSE ITEMS, WITH BASIS ADJUSTMENTS TO PARTNERSHIP SALES, RESULTED IN AN ADDITIONAL TAX LIABILITY OF \$5,418. INTEREST CALCULATED AT THE IRS INTEREST RATES HAS BEEN INCLUDED WITH THE PAYMENT OF \$7,425.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
INT AND DIV FROM SECURITIES	2,023,515.	2,023,515.
- SILCHESTER INT'L INVESTORS		245,788.
	-----	-----
TOTAL	2,023,515.	2,269,303.
	=====	=====

THE TINKER FOUNDATION, INC  
EIN: 51-0175449  
DECEMBER 31, 2002

FORM 990-PF PART IV SCHEDULE: CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

PUBLICLY TRADED SECURITIES	(2,499,601)
NET SHORT-TERM CAP LOSS - DAVIDSON KEMPNER	(156,941)
NET LONG-TERM CAP GAIN - DAVIDSON KEMPNER	37,865
NET SHORT-TERM CAP GAIN - SILCHESTER INTL INVESTORS	39,806
NET LONG-TERM CAP GAIN - SILCHESTER INTL INVESTORS	256,972
BOOK TO TAX ADJ ON SALE OF UNITS - SILCHESTER INTL INVESTORS	<u>(24,378)</u>
FORM 990-PF PART IV LINE 2	<u><u>(2,346,277)</u></u>

FORM 990PF, PART I - OTHER INCOME  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
PROCEEDS FROM LITIGATION SETTLEMENTS	2,824.	2,824.
TRADING COMMISSION REBATES	10,689.	10,689.
GRANT REFUNDS	15,091.	
ORDINARY INCOME - DAVIDSON KEMPNER INST		238,915.
SEC 1256 LOSS - DAVIDSON KEMPNER INST		-398.
SEC 988 GAIN - SILCHESTER INT'L INV		85,318.
	-----	-----
TOTALS	28,604.	337,348.
	=====	=====



FORM 990PF, PART I - LEGAL FEES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
-----	-----	-----	-----	-----
LEGAL FEES	1,991.			1,991.
	-----	-----	-----	-----
TOTALS	1,991.	NONE	NONE	1,991.
	=====	=====	=====	=====

FORM 990PF, PART I - ACCOUNTING FEES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
ACCOUNTING FEES	20,000.	10,000.		10,000.
	-----	-----	-----	-----
TOTALS	20,000.	10,000.	NONE	10,000.
	=====	=====	=====	=====

## FORM 990PF, PART I - OTHER PROFESSIONAL FEES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
-----	-----	-----	-----
INVESTMENT MANAGEMENT FEES	178,213.	178,213.	
CUSTODIAN FEES	20,637.	20,637.	
OTHER INVESTMENT FEES	33,882.	33,882.	
CONSULTANT FEES	100,000.		100,000.
TOTALS	332,732.	232,732.	100,000.

## FORM 990PF, PART I - TAXES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
-----	-----	-----	-----
EXCISE TAX	10,000.		
FICA	30,796.	5,856.	24,941.
FOREIGN TAXES PAID-SILCHESTER		27,819.	
	-----	-----	-----
TOTALS	40,796.	33,675.	24,941.
	=====	=====	=====

FORM 990PF, PART I - OTHER EXPENSES  
 =====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
MEMBERSHIPS	5,645.	1,496.	4,149.
REPORTS	21,527.	5,705.	15,822.
TELEPHONE	9,596.	2,543.	7,053.
POSTAGE AND MESSENGER	6,806.	1,804.	5,002.
SUPPLIES	5,840.	1,548.	4,292.
FURNITURE AND EQUIPMENT	10,000.	2,650.	7,350.
HARDWARE/SOFTWARE MAINT	15,819.	4,192.	11,627.
INSURANCE	22,168.	5,875.	16,293.
EVALUATIONS	2,200.	583.	1,617.
MISCELLANEOUS	17,742.	4,700.	13,042.
FILING FEES	1,500.	398.	1,102.
TOTALS	118,843.	31,494.	87,349.
	=====	=====	=====

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES  
=====

DESCRIPTION -----	AMOUNT -----
REVERSAL OF ACCRUED INTEREST	93,939.
CAPITALIZATION OF FIXED ASSETS	10,000.
REVERSAL OF ACCRUED EXPENSES	14,026.
CURRENT YEAR EXCHANGE AND ACCT REC	524.
BOOK TO TAX ADJ ON PARTNERSHIP INC/EXP	719,506.
	-----
TOTAL	837,995.
	=====

2

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES  
=====

DESCRIPTION -----	AMOUNT -----
UNREALIZED LOSS ON INVESTMENTS	5,351,608.
AMORTIZATION OF PREPAID EXPENSES	4,167.
REVERSAL PRIOR YEAR EXCHANGE & ACCT REC	1,258.
ADJUSTMENT OF EST FEDERAL EXCISE TAX	16,161.
ROUNDING ADJUSTMENT	2.
	-----
TOTAL	5,373,196.
	=====

## FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

=====

DESCRIPTION -----	BUSINESS CODE ----	AMOUNT -----	EXCLUSION CODE ----	AMOUNT -----	RELATED OR EXEMPT FUNCTION INCOME -----
PROCEEDS-LITIGATION					
SETTLEMENTS			01	2,824.	
TRADING COMMISSION					
REBATES			01	10,689.	
GRANT REFUNDS			01	15,091.	



# The Tinker Foundation Incorporated

## Schedule of Investments

December 31, 2002

Number of Shares	Name	Fair Value
<b>Short-Term Investments</b>		<b>\$ 248,836</b>
<b>Commingled Funds</b>		
224,710	McStay (John) Investment Counsel	2,889,767
2,170,980	PIMCO Funds: Total Return Fund	23,164,352
116,706	PIMCO Funds: Emerging Markets Bond Fund	1,085,136
328,456	Silchester International Investors: International Value Equity Trust	7,941,501
93,712	The Vanguard Group: Institutional Index Fund	7,539,137
<b>Total commingled funds</b>		<b>42,619,893</b>
<b>Common Stocks</b>		
5,520	Abbott Laboratories	220,800
4,300	Accenture Ltd. (Class A)	77,357
6,265	ACE Ltd.	183,815
8,030	Alcoa Inc.	182,923
2,850	American Express Company	100,747
1,010	American International Group Inc.	58,429
1,450	Amerisourcebergen Corp.	78,750
2,120	Amgen Inc.	102,481
6,540	Applied Materials Inc.	85,216
920	Autozone Inc.	64,998
2,815	Bank America Corp.	195,839
9,055	Baxter International Inc.	253,540
4,420	Bristol Myers Squibb Co.	102,323
4,100	Charter One Financial Inc.	117,793
1,210	Chevrontexaco Corp.	80,441
2,495	Chubb Corp.	130,239
6,020	Cisco Systems Inc.	78,862
8,972	Citigroup Inc.	315,725
2,540	Clear Channel Communications Inc.	94,716
2,870	Comerica Inc.	124,099
5,380	Concord EFS Inc.	84,681
4,335	Conocophillips	209,770

The Tinker Foundation Incorporated

Schedule of Investments (continued)

December 31, 2002

Number of Shares	Name	Fair Value
<b>Common Stocks (continued)</b>		
5,820	Cooper Industries Ltd.	\$ 212,139
1,730	Deere & Company	79,321
326	Del Monte Foods Co.	2,510
22,075	Delphi Corporation	177,704
3,100	Diamond Offshore Drilling Inc.	67,735
4,900	Disney (Walt) Company Holding	79,919
1,220	Ebay Inc.	82,740
1,240	Eli Lilly & Co.	78,740
3,535	Emerson Electric Company	179,755
6,170	Exxon Mobil Corp.	215,580
2,445	Fannie Mae	157,287
11,585	Flextronics International Ltd.	94,881
790	Forest Laboratories Inc.	77,594
4,310	General Mills Inc.	202,354
1,230	Goldman Sachs Group Inc.	83,763
805	Halliburton Company	15,061
5,231	Hartford Financial Services Group	237,644
3,630	Heinz (H.J.) Company	119,318
3,320	Honeywell International Inc.	79,680
4,470	International Business Machines Corp.	346,425
5,220	Intel Corp.	81,275
1,250	International Paper Company	43,712
1,250	Intuit Inc.	58,650
2,410	Johnson & Johnson	129,441
2,100	Kerr-McGee Corp.	93,030
4,485	Kimberly-Clark Corporation	212,903
1,400	Kohls Corp.	78,330
16,040	Limited Brands	223,437
3,620	Lincoln National Corp.	114,320

The Tinker Foundation Incorporated

Schedule of Investments (continued)

December 31, 2002

Number of Shares	Name	Fair Value
<b>Common Stocks (continued)</b>		
3,405	Marsh & McLennan Companies Inc.	\$ 157,345
11,115	Masco Corporation	233,971
5,135	Maytag Corporation	146,348
2,501	Meadwestvaco Corp.	61,800
1,770	Medtronic Inc.	80,712
2,710	Microsoft Corp.	140,107
6,080	Monsanto Company	117,040
5,010	Morgan Stanley	199,999
2,085	Northrop Grumman Corp.	202,245
2,240	Pepsico Inc.	94,573
4,070	Pfizer Inc.	124,420
6,865	Pitney Bowes Inc.	224,211
1,895	PNC Financial Services Group Inc.	79,401
3,205	PPG Industries Inc.	160,731
980	Procter & Gamble Co.	84,221
2,905	Progress Energy Inc.	125,932
2,120	Qualcomm Inc.	77,147
4,150	Raytheon Co.	127,613
4,565	SBC Communications Inc.	123,757
1,590	Schlumberger Limited	66,923
4,285	Sears Roebuck & Co.	102,626
6,035	Stanley Works	208,690
6,475	Synovus Financial Corp.	125,615
5,010	Texas Instruments Inc.	75,200
1,720	Tribune Co.	78,191
1,300	United Parcel Service Inc. (Class B)	82,004
9,770	US Bancorp	207,319
2,300	Wal-Mart Stores Inc.	116,173
1,130	Wellpoint Health Networks Inc.	80,410

The Tinker Foundation Incorporated

Schedule of Investments (continued)

December 31, 2002

<b>Number of Shares</b>	<b>Name</b>	<b>Fair Value</b>
<b>Common Stocks (continued)</b>		
4,703	Wells Fargo & Co.	\$ 220,430
3,155	Wyeth	117,997
3,690	Xilinx Inc.	76,014
1,540	XL Capital Ltd. (Class A)	118,965
4,035	Zions Bancorp	158,773
	Total common stocks	<u>10,965,695</u>
<b>Limited Partnerships</b>		
	Davidson Kempner Institutional Partners, L.P.	6,366,841
	Shroeder Capital Management Inc.	3,904,556
	Total limited partnerships	<u>10,271,397</u>
	Total investments	<u>\$64,105,821</u>

**The Tinker Foundation, Incorporated - 51-0175449**  
**990 PF Part VIII, Line 1, Officers, Directors and Trustees**  
**12/31/02**

Name and Social Security Number	Address	Title	Time Devoted to Position	Compensation	Contribution to Employee Benefit Plan	Exp. Account and Other Allowances
William R. Chaney	Tinker Foundation, Inc. 55 East 59th Street New York, NY 10022	Director	Part Time	\$3,000	none	none
Sally Grooms Cowal	Tinker Foundation, Inc. 55 East 59th Street New York, NY 10022	Director	Part Time	\$3,000	none	none
John A. Luke, Jr.	Tinker Foundation, Inc. 55 East 59th Street New York, NY 10022	Treasurer & Director	Part Time	\$3,000	none	none
Charles McC. Mathias	Tinker Foundation, Inc. 55 East 59th Street New York, NY 10022	Director	Part Time	\$3,000	none	none
Martha T. Muse	Tinker Foundation, Inc. 55 East 59th Street New York, NY 10022	Chairman	Part Time	\$0	none	none
Richard de J. Osborne	Tinker Foundation, Inc. 55 East 59th Street New York, NY 10022	Director	Part Time	\$3,000	none	none
Renate Rennie	Tinker Foundation, Inc. 55 East 59th Street New York, NY 10022	President & Director	Full Time	\$170,083	\$25,500	none
Susan Segal	Tinker Foundation, Inc. 55 East 59th Street New York, NY 10022	Director	Part Time	\$3,000	none	none
Alan Stoga	Tinker Foundation, Inc. 55 East 59th Street New York, NY 10022	Director	Part Time	\$3,000	none	none
				<hr/> \$191,083	<hr/> \$25,500	<hr/> \$0

# THE TINKER FOUNDATION INCORPORATED

51-0175449

55 East 59th Street, New York, NY 10022 Phone: 212-421-6858 Fax: 212-223-3326

Web Site: <http://fdncenter.org/grantmaker/tinker>

Martha T. Muse, Chairman

Renate Rennie, President

## HISTORY

The Tinker Foundation was created in 1959 by Dr. Edward Larocque Tinker. His lifelong devotion to the Iberian tradition in the Old and New Worlds gave definition to the Foundation's overall purpose. Both in its early days and since

its incorporation in 1975, the Foundation's agenda has reflected a linguistic and geographical focus on Latin America, Spain and Portugal. More recently, the Foundation has included within its mandate the support of activities concerning

Antarctica, a region of growing interest to so many nations of the Western Hemisphere. This focus is unique within the United States philanthropic community.

## APPLICANT INFORMATION INSTITUTIONAL GRANTS

## FUNDING PRIORITIES

Tinker Foundation grants are awarded to organizations and institutions that promote the interchange and exchange of information within the community of those concerned with the affairs of Spain, Portugal, Ibero-America and Antarctica (Ibero-America is defined here as the Spanish- and Portuguese-speaking countries of the Western Hemisphere.) Emphasis is placed on those activities that have strong public policy implications, offer innovative solutions to many of the problems facing these regions today, and incorporate new mechanisms for addressing environmental, economic and governance issues. Such activities may include, but are not limited to, research projects, conferences and short-term training workshops related to the Foundation's areas of interest. The Foundation encourages collaboration between organizations in the United States and Iberia or Latin America and among institutions in those regions.

It should be noted that as a small foundation with limited resources that serve to restrict the number of projects we are able to support, only a small portion of all eligible requests can be met. In addition, the Foundation will not consider requests for 1) annual fund-raising appeals for such organizations as the Community Chest or the United Way; 2) support for the construction of buildings or major equipment purchases; 3) individual research; 4) funding related to health or medical issues; 5) production costs for films, television and radio projects; 6) funding for arts and humanities projects, including art museum collections and exhibits; 7) endowments, and 8) general operating support.

## APPLICATION DEADLINES AND PRIORITIES

Applications for institutional grants are considered biannually by the Board of Directors, in mid-June and mid-December. *The deadline for the receipt of proposals for the summer meeting is March 1st; for the winter meeting it is September 1st.* These dates represent final deadlines for consideration of proposals. However, we would urge and strongly advise those seeking support to submit proposals to the Foundation well in advance of these closing dates. Discussion and consultation may well be required prior to the acceptance of a proposal for serious consideration and recommendation to the Board of Directors.

Complete proposals should be prepared according to the instructions on the following pages. Please note that all *proposals must be submitted in English*, otherwise the proposal will not be accepted for processing.

## REPORTING REQUIREMENTS

During the term of the grant, the recipient is responsible for the submission of the appropriate written reports on activities and expenditures made. Upon completion of the grant, a full accounting – narrative as well as financial – will be required. It is also customary for Foundation representatives to make site visits for the evaluation of selected grant activities. At the time of the announcement of the award, the Foundation will provide specific instructions for the preparation of the reports.

## APPLICATION INSTRUCTIONS

The following information is designed to instruct you on the content and format of your proposal to the Tinker Foundation. *Two copies of the complete proposal, without binders and in the English language*, should be sent to the President of the Foundation and include the items listed in the following check-list. All institutions submitting proposals, even if they were funded previously, are required to include all of this information.

Please note, submission of incomplete proposals may cause a substantial delay in the Foundation's review. We, therefore, urge all applicants to comply with the procedures set forth below.

## PROPOSAL CHECKLIST

1. Proposal cover sheet
2. A separate one-page summary of the proposal, describing briefly the project's objectives, target audience or beneficiaries, proposed methodology and plan for dissemination of results
3. A full proposal providing more detail on the project's objectives and theoretical, practical and/or policy-related significance (both as a discrete endeavor and within the broader field)
4. A plan of work describing the activities to be undertaken and indicating any factors which could serve to delay this plan or change the amount of time required to complete the project as well as alter the proposed budgetary designations
5. Anticipated results of the project
6. A specific plan for the dissemination of those results, i.e., identification of the target audience and the means by which it will be reached
7. A description of the plan to evaluate the short- and long-term impact of the project's results upon its completion
8. Names and addresses of three individuals familiar with the proposed topic/field, but not directly involved in the project
9. Itemized budget for the costs of the entire project
10. Itemized budget for those expenses for which Tinker Foundation funding is sought (Please note that as a general rule the Foundation does not pay overhead or indirect costs. However, in those exceptional cases when it is considered absolutely necessary, a maximum of 10% of the project's direct costs may be included as overhead in the budget)
11. A copy of the letter from the Internal Revenue Service confirming the organization's status as tax exempt (U.S. organizations only)
12. A copy of the organization's latest Federal/State Form 990 (U.S. organizations only)
13. A copy of the organization's latest financial statement
14. Qualifications of the project director and personnel, with *curricula vitae* attached
15. A complete list of the organization's staff and Board of Directors
16. A brief narrative statement providing an historical overview of the institution

If there are any questions concerning these application procedures, please do not hesitate to contact the Foundation.

THE TINKER FOUNDATION INCORPORATED

(Please type or print, and be sure to complete both sides of the form.)

ORGANIZATIONAL INFORMATION

Organization's Legal Name and Address:

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.....  
.....  
.....

Organization's Telephone Number, Fax Number, E-mail and Web Addresses.

.....  
.....

Name and Title of the Director of Organization:

.....

Project Director Name and Title:

.....

Project Director Address: (If different from above)

.....  
.....

Project Director Phone and Fax Numbers:

T ..... F .....

Project Director E-mail Address:

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PROJECT INFORMATION

Project Submission Date:

.....

Project Title:

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.....  
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Please continue on next page

PROPOSAL  
COVER SHEET  
INSTITUTIONAL  
GRANTS



THE TINKER FOUNDATION INCORPORATED

Total Project Budget

Amount Requested from The Tinker Foundation:

*(If requesting a multi-year grant, please also specify amounts requested per year)*

Project Duration:

Starting Date:

Anticipated Completion Date:

Other Organizations Approached for Funding for This Project:

*(Please be sure to attach the one-page summary of your proposal to this application form.)*

THE TINKER FOUNDATION INCORPORATED - 51-0175449

Name & Address of Donee	Purpose of Grant	Authorized During the Year 2002	Payments During the Year 2002	Approved for Future Payment	I.R.S. Status
ACCION International 56 Roland St., Suite 300 Boston, MA 02129	Improvement of Regulation and Supervision of Microfinance in Latin America		\$100,000		Public Charity
Amencas Society 685 Park Ave New York, NY 10021	Brazil and the New Administration	\$140,000	\$70,000	\$70,000	Public Charity
The Antarctic Project P O Box 76920 Washington, DC 20013	Implementation Campaign for the Environmental Protocol		\$50,000		Public Charity
Asociacion para el Estudio y Promocion de la Seguridad en Democracia Bvd. Liberacion 15-86 Edif Obelisco, Zona 13, Of. 208 Guatemala 01013, Honduras	The Democratic Control of Intelligence Services in Guatemala		\$25,000		Foreign Institution (Affidavit)
Brookings Institution 1775 Massachusetts Avenue, N.W Washington, DC 20036	Safety Nets and the Social Contract in Latin America	\$130,000	\$65,000	\$65,000	Public Charity
Brown University Providence, RI 02912	Tinker Field Research Grant	\$10,000	\$10,000		Educational Institution
Carnegie Endowment for International Peace 1779 Massachusetts Ave., NW Washington, DC 20036	Distributional Impact of Privatization				Public Charity
Center for Clean Air Policy 750 1st St., NE Suite 1140 Washington, DC 20002	Assisting Mexico in Assessing the Environmental Implications of Energy Policy Options		\$50,000		Public Charity
Center for Strategic and International Studies 1800 K Street, N.W Washington, DC 20006	Brazil 2005 - National Ambitions, Regional Expectations and Global Realities		\$25,000		Public Charity
Center for Strategic and International Studies 1800 K Street, N.W Washington, DC 20006	Governance and Democratization in Mexico	\$40,000	\$40,000		Public Charity
Centro de Analisis y Difusion de Economia Paraguaya Pinbebuy 1058 Asucion, Paraguay	Exchange and Interest Rate Effects in Light of Currency Mismatches	\$55,000	\$55,000		Foreign Institution (Affidavit)

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Name & Address of Donee	Purpose of Grant	Authorized During the Year 2002	Payments During the Year 2002	Approved for Future Payment	IRS Status
Centro de Estudios Cientificos Casilla 1469 Valdivia, Chile	For the Laboratory of Glaciology, Environment and Climate Change Studies		\$150,000	\$150,000	Foreign Institution (Affidavit)
Centro de Implementacion de Politicas Publicas para la Equidad y el Crecimiento Avenida Callao 25, #1 Buenos Aires, Argentina	Fiscal Accountability and Fiscal Oversight in Argentina	\$15,000	\$15,000		Foreign Institution (Affidavit)
Centro de Investigacion para el Desarrollo, A C Jaime Balmes No 11, Edif. D, 2 piso Mexico, D F, 11510, Mexico	Mexico as an Incipient Democracy	\$84,000	\$84,000		Foreign Institution (Affidavit)
Centro de Investigacion y Docencia Economicas, A C Apartado Postal 10-883 01210 Mexico, D F., Mexico	Legal Education as a Means Toward Rule of Law in Mexico and Latin America	\$97,000	\$65,000	\$32,000	Foreign Institution (Affidavit)
Columbia University New York, NY 10027	Structuring Land Use to Preserve Habitat During Development: Social Drivers of Brazilian Amazon Forest Fragmentation and Loss of Habitat		\$60,000		Educational Institution
Committee to Protect Journalists, Inc 330 Seventh Ave., 12th Floor New York, NY 10001	Eliminating criminal defamation in Latin America		\$25,000		Public Charity
Council on Foreign Relations, Inc 58 E 68th St. New York, NY 10021	A forum for the Americas	\$160,000	\$80,000	\$80,000	Public Charity
Editora Instituto Fernand Braudel de Economia Mundial Rua Ceara 02, CEP 01243-010 Sao Paulo, Brazil	Violence and Public Policy		\$54,000		Foreign Institution (Affidavit)
Environmental Defense 257 Park Avenue South New York, NY 10010	Advancing the Antarctic Site Inventory Information Dissemination and Directed Research		\$50,000		Public Charity
The Environmental Law Institute 1616 P Street, N.W. Suite 200 Washington, DC 20036	Strengthening Private Lands Conservation in the Americas. An Initiative to Improve Legal Tools for Protecting Private Lands		\$65,000		Public Charity

Name & Address of Donee	Purpose of Grant	Authorized During the Year 2002	Payments During the Year 2002	Approved for Future Payment	I.R.S. Status
Fundacion Grupo Innova Junin 234 Buenos Aires, Argentina	Local Government Performance Ranking	\$48,000	\$48,000		Foreign Institution (Affidavit)
Fundacion Internacional para la Promocion del Desarrollo Sustentable Futuro Latinoamericano Casilla 17-17-558 Quito, Ecuador	Fostering a Proactive Agenda on Trade and Environment in South America: A Contribution to the FTAA Process		\$107,000		Foreign Institution (Affidavit)
Fundación de Investigaciones Economicas Latinoamericanas Av Cordoba 637, Piso 4 Buenos Aires, Argentina	Investment and Growth in the Argentine Provinces	\$45,000	\$45,000		Foreign Institution (Affidavit)
Grupo de Analisis para el Desarrollo Apartado 18-0572 Lima 18, Peru	Privatization and Layoffs. The Pending Story	\$65,000	\$65,000		Foreign Institution (Affidavit)
Harvard University Cambridge, MA 02138	Project on Philanthropy in Latin America		\$52,000		Educational Institution
Indiana University-University of Notre Dame Consortium Bloomington, IN	Tinker Field Research Grant	\$5,000	\$5,000		Educational Institution
Institute for International Economics* 1750 Massachusetts Ave., NW Washington, DC 20036	Distributional Impact of Privatization		\$50,000		Public Charity
Instituto APOYO Casilla Postal 180974 Lima, Peru	Institutional Aspects in the Design of Crime and Violence Prevention and Control Policies	\$136,000	\$91,000	\$45,000	Foreign Institution (Affidavit)
Instituto Centroamericano de Administracion de Empresas Apartado 960-4050 Alajuela, Costa Rica	The Sustainable Markets Intelligence Center	\$180,000	\$90,000	\$90,000	Public Charity
Instituto de Ciencias Sociais da Universidade de Lisboa Av Forcas Armadas, Edif. ISCTE Ala Sul, 1o 1600 Lisbon, Portugal	Portuguese Electoral Behavior and Political Attitudes in a Comparative Perspective		\$70,000		Foreign Institution (Affidavit)

Name & Address of Donee	Purpose of Grant	Authorized During the Year 2002	Payments During the Year 2002	Approved for Future Payment	IRS Status
Instituto Internacional de Medio Ambiente y Desarrollo-América Latina Av. General Paz 1180 Buenos Aires, Argentina	Decentralization and Socio-Productive Policies Aimed at Combating Social Emergency	\$110,000	\$55,000	\$55,000	Foreign Institution (Affidavit)
Inter-American Association of Sanitary and Environmental Engineering Alexandria, VA 22314-1994	Policy and Institutional Development for the Water and Sanitation Sector in Latin America		\$50,000		Public Charity
Inter-American Dialogue 1211 Connecticut Avenue, NW Washington, DC 20036	Integration in the Western Hemisphere	\$34,000	\$34,000		Public Charity
International Centre for Research in Agroforestry Washington, DC	Agroforestry and Small-Scale Farmers in the Peruvian Amazon	\$120,000	\$60,000	\$60,000	Public Charity
Michigan State University East Lansing, MI 48824	Tinker Field Research Grant	\$10,000	\$10,000		Educational Institution
The Natural Heritage Institute 2140 Shattuck Ave., Fifth Floor Berkeley, CA 94704	Opportunities for Improved Management of the Rio Conchos Basin	\$100,000	\$100,000		Public Charity
New York Regional Association of Grantmakers, Inc. 505 Eighth Avenue, Suite 1805 New York, NY 10018	General operational support for services to New York area grant makers	\$4,650	\$4,650		Public Charity
Organization for Tropical Studies, Inc P O Box 90630 Durham, NC 27708-0630	Strengthening the institution	\$50,000	\$25,000	\$25,000	Public Charity
Rainforest Alliance, Inc 655 Broadway, 5th Floor New York, NY 10012	Reducing the Negative Environmental and Social Impacts of the Flowers and Foliage Industry in Latin America through Best Management Practices		\$60,000		Public Charity
Resources for the Future 1616 P Street, NW Washington, DC 20036	Policies for Preserving Mexican Shade-Coffee	\$50,000	\$50,000		Public Charity
State University of New York at Stony Brook Stony Brook, NY 11794	Tinker Field Research Grant	\$10,000	\$10,000		Educational Institution
Trilateral Commission North America 345 E 46th St New York, NY 10017	Inauguration of Annual Regional Meetings to Include Mexican Participation		\$20,000	\$20,000	Public Charity

Name & Address of Donee	Purpose of Grant	Authorized During the Year 2002	Payments During the Year 2002	Approved for Future Payment	IRS Status
Tulane University New Orleans, LA 70118	Tinker Field Research Grant	\$15,000	\$15,000		Educational Institution
Universidad Nacional de La Plata Calle 7 No. 776 1900 La Plata, Argentina	Fiscal Federalism and Regional Disparities in Argentina	\$70,000	\$70,000		Foreign Institution (Affidavit)
University of Alberta Edmonton, Alberta T6G 2M7, Canada	Tools Toward Better Decision Making on Tropical Dry Forest Ecosystems	\$88,000	\$50,000	\$38,000	Educational Institution
University of Arizona Tucson, AZ	Tinker Field Research Grant	\$15,000	\$15,000		Educational Institution
University of California, The Regents of the Berkeley, CA	Scripps Institution of Oceanography Marine Reserves in the Gulf of California	\$100,000	\$40,000	\$60,000	Educational Institution
University of Chicago Chicago, IL	Tinker Field Research Grant	\$15,000	\$15,000		Educational Institution
University of Florida Gainesville, FL	Tinker Field Research Grant	\$15,000	\$15,000		Educational Institution
University of Georgia Gainesville, FL	Tinker Field Research Grant	\$10,000	\$10,000		Educational Institution
University of Illinois at Urbana-Champaign Champaign, IL	Tinker Field Research Grant	\$15,000	\$15,000		Educational Institution
University of Kansas Lawrence, KS	Tinker Field Research Grant	\$15,000	\$15,000		Educational Institution
University of New Mexico Albuquerque, NM	Tinker Field Research Grant	\$15,000	\$15,000		Educational Institution
University of Pittsburgh Pittsburgh, PA	Tinker Field Research Grant	\$10,000	\$10,000		Educational Institution
University of Wisconsin - Madison Madison, WI 53706	Tinker Field Research Grant	\$15,000	\$15,000		Educational Institution
Vera Institute of Justice, Inc 233 Broadway, 12th Floor New York, NY 10279	Evaluation of the Chilean Prosecution Service	\$90,000	\$90,000		Public Charity

Name & Address of Donee	Purpose of Grant	Authorized During the Year 2002	Payments During the Year 2002	Approved for Future Payment	IRS Status
Woods Hole Research Center Inc PO Box 296 Woods Hole, MA 02543-0296	Environmental Planning along Highway Corridors in Amazonia	\$91,000	\$48,000	\$43,000	Public Charity
Yale University New Haven, CT 06511	Tinker Field Research Grant	\$10,000	\$10,000		Educational Institution
Miscellaneous Grants	SUBTOTAL:	62,287,650 59,040	62,287,650 59,040	4833,000	
	TOTAL:	62,341,690	62,741,690	\$833,000	

\*A two-year grant was awarded to the Carnegie Endowment for International Peace in 2001. The second installment was released to the Institute for International Economics

**The Tinker Foundation, Incorporated - 51-0175449**  
**990 PF Part II, Line 14, Fixed Assets**  
**990 PF Part I, Line 19, Depreciation**  
**12/31/02**

<b>Fixed Assets</b>					
<u>Year Acquired:</u>		<u>Basis:</u>	<u>Accumulated Depreciation at 1/1/02</u>	<u>2002 Depreciation Expense</u>	<u>Accumulated Depreciation at 12/31/02</u>
1986	Condominium	\$2,015,188	(96,078)	(\$50,350)	(\$46,458)
1986	Furniture & Fixtures	\$90,390	(90,390)	\$0	(\$90,390)
1987	Condominium	\$29,099	(9,972)	(\$727)	(\$10,699)
1987	Furniture & Fixtures	\$1,691	(\$1,691)	\$0	(\$1,691)
1994	Computer Equipment (a)	\$0	\$0	\$0	\$0
1995	Furniture & Fixtures	\$10,632	(\$4,608)	(\$709)	(\$5,317)
1995	Computer Equipment	\$3,773	(\$3,773)	\$0	(\$3,773)
1996	Computer Equipment	\$27,392	(\$1,391)	(\$1)	(\$27,922)
1997	Furniture & Fixtures	\$11,000	(\$3,174)	(\$733)	(\$3,907)
1997	Computer Equipment	\$25,778	(\$23,202)	(\$2,576)	(\$25,778)
1998	Computer Equipment	\$25,581	(\$17,905)	(\$5,116)	(\$23,021)
1999	Computer Equipment	\$11,769	(\$885)	(\$2,354)	(\$3,239)
2000	Computer Equipment	\$35,648	(\$2,830)	(\$11,883)	(\$24,713)
2001	Computer Equipment	\$6,220	(2,074)	(\$2,074)	(\$4,148)
2002	Furniture and fixtures	\$10,000	\$0	(\$1,429)	(\$1,429)
		<b>\$2,304,161</b>	<b>(99,914)</b>	<b>(\$77,982)</b>	<b>(\$1,687,896)</b>
Accumulated depreciation		<u>(\$1,087,896)</u>			
Net fixed assets		<u><b>\$1,216,265</b></u>			

(a) Equipment was donated in 1997.

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**STATEMENT 15**