Form **990** — **Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

Department of the Treasury Internal Revenue Service

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545 004

**2001**

**Open to Public Inspection**

A For the 2001 calendar year, or tax year beginning July 1, 2001, and ending June 30, 20 02

B Check if applicable: ☐ Address change ☐ Name change ☐ Initial return ☐ Final return ☐ Amended return ☐ Application pending

Please use IRS label or print or type. See Specific Instructions.

C Name of organization: Community Service Society of New York

Number and street (or P O box if mail is not delivered to street address): 105 East 22nd Street — Room/suite: 301

City or town, state or country, and ZIP + 4: New York, NY 10010

D Employer identification number: 13 5562202

E Telephone number: ( 212 ) 254-8900

F Accounting method: ☐ Cash ☑ Accrual ☐ Other (specify) ▶

• Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ)

H and I are not applicable to section 527 organizations

H(a) Is this a group return for affiliates? ☐ Yes ☑ No

H(b) If "Yes" enter number of affiliates ▶

H(c) Are all affiliates included? ☐ Yes ☑ No (If "No" attach a list. See instructions)

H(d) Is this a separate return filed by an organization covered by a group ruling? ☐ Yes ☑ No

G Web site ▶ www cssny org

J Organization type (check only one) ▶ ☑ 501(c) ( 3 ) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

K Check here ▶ ☐ if the organization's gross receipts are normally not more than $25 000 The organization need not file a return with the IRS but if the organization received a Form 990 Package in the mail it should file a return without financial data Some states require a complete return

I Enter 4 digit GEN ▶

M Check ▶ ☐ if the organization is **not** required to attach Sch B (Form 990 990 EZ or 990 PF)

L Gross receipts Add lines 6b 8b, 9b and 10b to line 12 ▶ $129,314,390

## Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (See Specific Instructions on page 16)

### Revenue

| | | | | | |
|---|---|---|---|---|---|
| 1 | Contributions, gifts, grants, and similar amounts received | | | | |
| a | Direct public support See statement 1 | 1a | 4,796,197 | | |
| b | Indirect public support | 1b | 5 334 768 | | |
| c | Government contributions (grants) | 1c | 3,573,611 | | |
| d | Total (add lines 1a through 1c) (cash $ 13 704 576 noncash $ -0- ) | | | 1d | 13,704,576 |
| 2 | Program service revenue including government fees and contracts (from Part VII, line 93) | | | 2 | 294,234 |
| 3 | Membership dues and assessments | | | 3 | 0- |
| 4 | Interest on savings and temporary cash investments | | | 4 | 20,971 |
| 5 | Dividends and interest from securities | | | 5 | 3,147,760 |
| 6a | Gross rents | 6a | | | |
| b | Less rental expenses | 6b | | | |
| c | Net rental income or (loss) (subtract line 6b from line 6a) | | | 6c | 0- |
| 7 | Other investment income (describe ▶ ) | | | 7 | 0- |

| | | (A) Securities | | (B) Other | | |
|---|---|---|---|---|---|---|
| 8a | Gross amount from sales of assets other than inventory | 107,747,706 | 8a | 4,353,300 | | |
| b | Less cost or other basis and sales expenses | 112,440,840 | 8b | 3,605,406 | | |
| c | Gain or (loss) (attach schedule) See Statement 17 | (4,693,134) | 8c | 747,894 | | |
| d | Net gain or (loss) (combine line 8c, columns (A) and (B)) | | | | 8d | (3,945,240) |

| | | | | | |
|---|---|---|---|---|---|
| 9 | Special events and activities (attach schedule) See Statement 2 | | | | |
| a | Gross revenue (not including $ 2,330 of contributions reported on line 1a) | 9a | 16,215 | | |
| b | Less direct expenses other than fundraising expenses | 9b | 11,733 | | |
| c | Net income or (loss) from special events (subtract line 9b from line 9a) | | | 9c | 4,482 |
| 10a | Gross sales of inventory, less returns and allowances | 10a | | | |
| b | Less cost of goods sold | 10b | | | |
| c | Gross profit or (loss) from sales of inventory (attach schedule) (subtract line 10b from line 10a) | | | 10c | |
| 11 | Other revenue (from Part VII, line 103) | | | 11 | 29,578 |
| 12 | Total revenue (add lines 1d 2, 3 4 5 6c 7 8d 9c 10c and 11) | | | 12 | 13,256,411 |

### Expenses

| | | | |
|---|---|---|---|
| 13 | Program services (from line 44 column (B)) | 13 | 14,479,393 |
| 14 | Management and general (from line 44, column (C)) | 14 | 3,803,636 |
| 15 | Fundraising (from line 44 column (D)) | 15 | 900,461 |
| 16 | Payments to affiliates (attach schedule) | 16 | -0- |
| 17 | Total expenses (add lines 16 and 44 column (A)) | 17 | 19,183,490 |

### Net Assets

| | | | |
|---|---|---|---|
| 18 | Excess or (deficit) for the year (subtract line 17 from line 12) | 18 | (5,927,079) |
| 19 | Net assets or fund balances at beginning of year (from line 73, column (A)) | 19 | 159,960,802 |
| 20 | Other changes in net assets or fund balances (attach explanation) See Statement 3 | 20 | (4,639,442) |
| 21 | Net assets or fund balances at end of year (combine lines 18 19, and 20) | 21 | 149,394,281 |

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## Part II Statement of Functional Expenses

All organizations must complete column (A) Columns (B) (C) and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others (See Specific Instructions on page 21)

| | *Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I* | | (A) Total | (B) Program services | (C) Management and general | (D) Fundraising |
|---|---|---|---|---|---|---|
| 22 | Grants and allocations (attach schedule) (cash $ -0- noncash $ -0- ) | 22 | -0- | -0- | | |
| 23 | Specific assistance to individuals (attach schedule) See Statement 4 | 23 | 4,601,782 | 4,601,782 | | |
| 24 | Benefits paid to or for members (attach schedule) | 24 | | | | |
| 25 | Compensation of officers, directors, etc | 25 | 802,183 | 217,450 | 553,216 | 31,517 |
| 26 | Other salaries and wages | 26 | 5,691,788 | 4,341,783 | 959,267 | 390,738 |
| 27 | Pension plan contributions | 27 | 63,000 | 35,042 | 24,376 | 3,582 |
| 28 | Other employee benefits | 28 | 1,189,924 | 665,136 | 436,162 | 61,626 |
| 29 | Payroll taxes | 29 | 438,749 | 328,576 | 79,742 | 30,431 |
| 30 | Professional fundraising fees | 30 | 77,022 | -0- | -0- | 77,022 |
| 31 | Accounting fees | 31 | 106,300 | 8,500 | 97,800 | -0- |
| 32 | Legal fees | 32 | 127,220 | -0- | 127,220 | -0- |
| 33 | Supplies | 33 | 286,455 | 271,981 | 4,765 | 9,709 |
| 34 | Telephone | 34 | 157,121 | 103,306 | 48,002 | 5,813 |
| 35 | Postage and shipping | 35 | 179,720 | 72,335 | 21,206 | 86,179 |
| 36 | Occupancy | 36 | 828,567 | 546,769 | 234,572 | 47,226 |
| 37 | Equipment rental and maintenance | 37 | 182,371 | 62,678 | 111,753 | 7,940 |
| 38 | Printing and publications | 38 | 208,072 | 141,169 | 21,497 | 45,406 |
| 39 | Travel | 39 | 434,825 | 331,592 | 99,546 | 3,637 |
| 40 | Conferences, conventions, and meetings | 40 | 303168 | 193,755 | 96,487 | 12,926 |
| 41 | Interest | 41 | 35,909, | 35,909 | -0- | 0- |
| 42 | Depreciation depletion, etc (attach schedule) | 42 | 254,161 | 196,866 | 45,033 | 12,262 |
| 43 | Other expenses not covered above (itemize) a | 43a | | | | |
| b | Insurance | 43b | 125,532 | 26,517 | 99,015 | 0- |
| c | Support Payment | 43c | 301,271 | 301,254 | -0- | 17 |
| d | Miscellaneous / Bad Debt | 43d | 1,403 | 757 | 646 | 0- |
| e | Professional Fees | 43e | 2,786,947 | 1,996,236 | 716,331 | 74,380 |
| 44 | Total functional expenses (add lines 22 through 43) *Organizations completing columns (B)-(D), carry these totals to lines 13—15* | 44 | 19,183,490 | 14,479,393 | 3,803,636 | 900,461 |

**Joint Costs** Check ▶ ☐ if you are following SOP 98-2

Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services? ▶ ☐ Yes ☑ No

If "Yes," enter (i) the aggregate amount of these joint costs $ ________, (ii) the amount allocated to Program services $ ________,
(iii) the amount allocated to Management and general $ ________ and (iv) the amount allocated to Fundraising $ ________

## Part III Statement of Program Service Accomplishments (See Specific Instructions on page 24)

What is the organization's primary exempt purpose? ▶ Social Services

All organizations must describe their exempt purpose achievements in a clear and concise manner State the number of clients served publications issued etc Discuss achievements that are not measurable (Section 501(c)(3) and (4) organizations and 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants and allocations to others )

| | | Program Service Expenses (Required for 501(c)(3) and (4) orgs and 4947(a)(1) trusts but optional for others) |
|---|---|---|
| a | Department of Social Services ( See Statement 6 ) (Grants and allocations $ None ) | 5,443,773 |
| b | Retired Senior Volunteer Program ( See Statement 6 ) (Grants and allocations $ None ) | 2,466,285 |
| c | Managed Care Consumer Assistance Program ( See Statement 6 ) (Grants and allocations $ None ) | 2,012,766 |
| d | Community Development Program ( See Statement 6 ) (Grants and allocations $ None ) | 701,108 |
| e | Other program services (attach schedule) (Grants and allocations $ None See Statement 7 ) ) | 3,855,461 |
| f | **Total of Program Service Expenses** (should equal line 44 column (B), Program services) ▶ | 14,479,393 |

## Part IV Balance Sheets (See Specific Instructions on page 24.)

| Note | Where required attached schedules and amounts within the description column should be for end-of-year amounts only | | | (A) Beginning of year | | (B) End of year |
|---|---|---|---|---|---|---|
| **Assets** | | | | | | |
| 45 | Cash—non-interest-bearing | | | 106,969 | 45 | 696,868 |
| 46 | Savings and temporary cash investments | | | 1,638,276 | 46 | 1,666,788 |
| 47a | Accounts receivable | 47a | 476,311 | | | |
| b | Less allowance for doubtful accounts | 47b | 3,141 | 393,517 | 47c | 473,170 |
| 48a | Pledges receivable | 48a | 7,500 | | | |
| b | Less allowance for doubtful accounts | 48b | -0- | 99,357 | 48c | 7,500 |
| 49 | Grants receivable | | | 1,017,033 | 49 | 2,587,872 |
| 50 | Receivables from officers, directors, trustees, and key employees (attach schedule) | | | -0- | 50 | -0- |
| 51a | Other notes and loans receivable (attach schedule) See Statement 8 | 51a | 588,996 | | | |
| b | Less allowance for doubtful accounts | 51b | 192,857 | 849,840 | 51c | 396,139 |
| 52 | Inventories for sale or use | | | 60,154 | 52 | 46,992 |
| 53 | Prepaid expenses and deferred charges | | | 2,613,480 | 53 | 2,467,667 |
| 54 | Investments—securities (attach schedule) ▶ ☐ Cost ☑ FMV See Statement 17 | | | 127,050,296 | 54 | 118,464,252 |
| 55a | Investments—land, buildings, and equipment basis | 55a | | | | |
| b | Less accumulated depreciation (attach schedule) | 55b | | | 55c | |
| 56 | Investments—other (attach schedule) | | | | 56 | |
| 57a | Land buildings, and equipment basis | 57a | 6,161,619 | | | |
| b | Less accumulated depreciation (attach schedule) See Statement 9 | 57b | 5,632,237 | 610,945 | 57c | 529,382 |
| 58 | Other assets (describe ▶ See Statement 10 ) | | | 32,016,208 | 58 | 28,455,382 |
| 59 | **Total assets** (add lines 45 through 58) (must equal line 74) | | | 166,456,075 | 59 | 155,792,012 |
| **Liabilities** | | | | | | |
| 60 | Accounts payable and accrued expenses | | | 1,963,616 | 60 | 2,700,066 |
| 61 | Grants payable | | | | 61 | |
| 62 | Deferred revenue | | | | 62 | |
| 63 | Loans from officers, directors, trustees, and key employees (attach schedule) | | | | 63 | |
| 64a | Tax-exempt bond liabilities (attach schedule) | | | | 64a | |
| b | Mortgages and other notes payable (attach schedule) See Statement 11 | | | 1,531,250 | 64b | 656,250 |
| 65 | Other liabilities (describe ▶ Post-Employ & Post-Retire Benefits ) | | | 3,000,407 | 65 | 3,041,415 |
| 66 | **Total liabilities** (add lines 60 through 65) | | | 6,495,273 | 66 | 6,397,731 |
| **Net Assets or Fund Balances** | | | | | | |
| | **Organizations that follow SFAS 117, check here ▶** ☑ and complete lines 67 through 69 and lines 73 and 74 | | | | | |
| 67 | Unrestricted | | | 78,618,659 | 67 | 72,756,543 |
| 68 | Temporarily restricted | | | 20,479,875 | 68 | 19,285,887 |
| 69 | Permanently restricted | | | 60,862,268 | 69 | 57,351,851 |
| | **Organizations that do not follow SFAS 117, check here ▶** ☐ and complete lines 70 through 74 | | | | | |
| 70 | Capital stock trust principal or current funds | | | | 70 | |
| 71 | Paid-in or capital surplus, or land, building, and equipment fund | | | | 71 | |
| 72 | Retained earnings endowment, accumulated income, or other funds | | | | 72 | |
| 73 | **Total net assets or fund balances** (add lines 67 through 69 OR lines 70 through 72, column (A) **must** equal line 19, column (B) **must** equal line 21) | | | 159,960,802 | 73 | 149,394,281 |
| 74 | **Total liabilities and net assets / fund balances** (add lines 66 and 73) | | | 166,456,075 | 74 | 155,792,012 |

Form 990 is available for public inspection and for some people, serves as the primary or sole source of information about a particular organization How the public perceives an organization in such cases may be determined by the information presented on its return Therefore, please make sure the return is complete and accurate and fully describes, in Part III the organization s programs and accomplishments

**Part IV-A Reconciliation of Revenue per Audited Financial Statements with Revenue per Return** (See Specific Instructions, page 26)

| | | | | |
|---|---|---|---|---|
| a | Total revenue, gains, and other support per audited financial statements ▶ | | a | 8,127,777 |
| b | Amounts included on line a but not on line 12 Form 990 | | | |
| (1) | Net unrealized gains on investments | $ (4,639,442) | | |
| (2) | Donated services and use of facilities | $ -0- | | |
| (3) | Recoveries of prior year grants | $ -0- | | |
| (4) | Other (specify) Friends of Rsvp ( CSS Affiliate ) | $ 44,320 | | |
| | Add amounts on lines (1) through (4) ▶ | | b | (4,595,122) |
| c | Line a minus line b ▶ | | c | 12,722,899 |
| d | Amounts included on line 12, Form 990 but not on line a | | | |
| (1) | Investment expenses not included on line 6b, Form 990 | $ 491,512 | | |
| (2) | Other (specify) Friends of Rsvp ( CSS Affiliate ) | $ 42,000 | | |
| | Add amounts on lines (1) and (2) ▶ | | d | 533,512 |
| e | Total revenue per line 12, Form 990 (line c plus line d) ▶ | | e | 13,256,411 |

**Part IV-B Reconciliation of Expenses per Audited Financial Statements with Expenses per Return**

| | | | | |
|---|---|---|---|---|
| a | Total expenses and losses per audited financial statements ▶ | | a | 18,693,415 |
| b | Amounts included on line a but not on line 17, Form 990 | | | |
| (1) | Donated services and use of facilities | $ -0- | | |
| (2) | Prior year adjustments reported on line 20, Form 990 | $ -0- | | |
| (3) | Losses reported on line 20 Form 990 | $ -0- | | |
| (4) | Other (specify) Friends of Rsvp ( CSS Affiliate ) | $ 1,437 | | |
| | Add amounts on lines (1) through (4) ▶ | | b | 1,437 |
| c | Line a minus line b ▶ | | c | 18,691,978 |
| d | Amounts included on line 17 Form 990 but not on line a | | | |
| (1) | Investment expenses not included on line 6b, Form 990 | $ 491,512 | | |
| (2) | Other (specify) | $ | | |
| | Add amounts on lines (1) and (2) ▶ | | d | 491,512 |
| e | Total expenses per line 17, Form 990 (line c plus line d) ▶ | | e | 19,183,490 |

**Part V List of Officers, Directors, Trustees, and Key Employees** (List each one even if not compensated, see Specific Instructions on page 26)

| (A) Name and address | (B) Title and average hours per week devoted to position | (C) Compensation (If not paid, enter -0-) | (D) Contributions to employee benefit plans & deferred compensation | (E) Expense account and other allowances |
|---|---|---|---|---|
| David R Jones<br>Community Service Society of New York<br>105 East 22nd Street, New York, NY 10010 | President/CEO 35hrs/wk | 391,512 | 45,157 | 0- |
| Steven L Krause<br>Community Service Society of New York<br>105 East 22nd Street, New York, NY 10010 | Executive V P 35hrs/wk | 289,331 | 41,657 | -0- |
| Martha M Ferry<br>Community Service Society of New York<br>105 East 22nd Street, New York, NY 10010 | CFO 35hrs/Wks | 99,705 | 2,375 | 0- |
| Bernice E Badger<br>Community Service Society of New York<br>105 East 22nd Street, New York, NY 10010 | CFO 35hrs/wks | 21,635 | 72 | 0- |
| See statement 12 & 13 | | | | |

75 Did any officer, director, trustee or key employee receive aggregate compensation of more than $100,000 from your organization and all related organizations of which more than $10 000 was provided by the related organizations? ▶ ☐ Yes ☑ No
If "Yes" attach schedule—see Specific Instructions on page 27

## Part VI Other Information (See Specific Instructions on page 27.)

| | | | Yes | No |
|---|---|---|---|---|
| 76 | Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity | 76 | | ✔ |
| 77 | Were any changes made in the organizing or governing documents but not reported to the IRS? If "Yes," attach a conformed copy of the changes | 77 | | ✔ |
| 78a | Did the organization have unrelated business gross income of $1,000 or more during the year covered by this return? | 78a | | ✔ |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 78b | N/A | |
| 79 | Was there a liquidation, dissolution, termination, or substantial contraction during the year? If "Yes," attach a statement | 79 | | ✔ |
| 80a | Is the organization related (other than by association with a statewide or nationwide organization) through common membership, governing bodies, trustees, officers, etc., to any other exempt or nonexempt organization? | 80a | ✔ | |
| b | If "Yes," enter the name of the organization ▶ **Institute for Community Empowerment & Friends of RSVP, Inc** and check whether it is ☑ exempt OR ☐ nonexempt | | | |
| 81a | Enter direct or indirect political expenditures. See line 81 instructions — 81a: -0- | | | |
| b | Did the organization file **Form 1120-POL** for this year? | 81b | | ✔ |
| 82a | Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value? | 82a | | ✔ |
| b | If "Yes," you may indicate the value of these items here. Do not include this amount as revenue in Part I or as an expense in Part II. (See instructions in Part III.) — 82b: N/A | | | |
| 83a | Did the organization comply with the public inspection requirements for returns and exemption applications? | 83a | ✔ | |
| b | Did the organization comply with the disclosure requirements relating to quid pro quo contributions? | 83b | ✔ | |
| 84a | Did the organization solicit any contributions or gifts that were not tax deductible? | 84a | | ✔ |
| b | If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? | 84b | N/A | |
| 85 | *501(c)(4), (5), or (6) organizations.* a Were substantially all dues nondeductible by members? | 85a | N/A | |
| b | Did the organization make only in-house lobbying expenditures of $2,000 or less? | 85b | N/A | |
| | If "Yes" was answered to either 85a or 85b, **do not** complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year. | | | |
| c | Dues, assessments, and similar amounts from members — 85c: N/A | | | |
| d | Section 162(e) lobbying and political expenditures — 85d: N/A | | | |
| e | Aggregate nondeductible amount of section 6033(e)(1)(A) dues notices — 85e: N/A | | | |
| f | Taxable amount of lobbying and political expenditures (line 85d less 85e) — 85f: N/A | | | |
| g | Does the organization elect to pay the section 6033(e) tax on the amount on line 85f? | 85g | N/A | |
| h | If section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount on line 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year? | 85h | N/A | |
| 86 | *501(c)(7) orgs.* Enter: a Initiation fees and capital contributions included on line 12 — 86a: N/A | | | |
| b | Gross receipts, included on line 12, for public use of club facilities — 86b: N/A | | | |
| 87 | *501(c)(12) orgs.* Enter: a Gross income from members or shareholders — 87a: N/A | | | |
| b | Gross income from other sources. (Do not net amounts due or paid to other sources against amounts due or received from them.) — 87b: N/A | | | |
| 88 | At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Part IX | 88 | | ✔ |
| 89a | *501(c)(3) organizations.* Enter: Amount of tax imposed on the organization during the year under section 4911 ▶ -0- ; section 4912 ▶ -0- ; section 4955 ▶ -0- | | | |
| b | *501(c)(3) and 501(c)(4) orgs.* Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach a statement explaining each transaction | 89b | | ✔ |
| c | Enter: Amount of tax imposed on the organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 ▶ -0- | | | |
| d | Enter: Amount of tax on line 89c, above, reimbursed by the organization ▶ -0- | | | |
| 90a | List the states with which a copy of this return is filed ▶ **New York, New Jersey, Connecticut & Florida** | | | |
| b | Number of employees employed in the pay period that includes March 12, 2001 (See instructions.) — 90b: 107 | | | |
| 91 | The books are in care of ▶ **CFO, Community Service Society of New York** Telephone no. ▶ (212) 254-8900 Located at ▶ **105 East 22nd Street, New York, N.Y.** ZIP + 4 ▶ 10010 | | | |
| 92 | *Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of Form 1041*—Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the tax year ▶ 92: N/A | | | |

## Part VII Analysis of Income-Producing Activities (See Specific Instructions on page 32.)

| Note Enter gross amounts unless otherwise indicated | | Unrelated business income | | Excluded by section 512, 513, or 514 | | (E) Related or exempt function income |
|---|---|---|---|---|---|---|
| | | (A) Business code | (B) Amount | (C) Exclusion code | (D) Amount | |
| 93 | Program service revenue | | | | | |
| a | Loan Interest Income | | | | | 76,420 |
| b | Program Service Fees | | | | | 217,864 |
| c | | | | | | |
| d | | | | | | |
| e | | | | | | |
| f | Medicare/Medicaid payments | | | | | |
| g | Fees and contracts from government agencies | | | | | |
| 94 | Membership dues and assessments | | | | | |
| 95 | Interest on savings and temporary cash investments | | | 14 | 20,971 | |
| 96 | Dividends and interest from securities | | | 14 | 3,147,760 | |
| 97 | Net rental income or (loss) from real estate | | | | | |
| a | debt-financed property | | | | | |
| b | not debt-financed property | | | | | |
| 98 | Net rental income or (loss) from personal property | | | | | |
| 99 | Other investment income | | | | | |
| 100 | Gain or (loss) from sales of assets other than inventory | | | 18 | (3,945,240) | |
| 101 | Net income or (loss) from special events | | | 01 | 4,482 | |
| 102 | Gross profit or (loss) from sales of inventory | | | | | |
| 103 | Other revenue a Miscellaneous | | | 01 | 29,578 | |
| b | | | | | | |
| c | | | | | | |
| d | | | | | | |
| e | | | | | | |
| 104 | Subtotal (add columns (B), (D), and (E)) | | | | (742,449) | 294,284 |

105 **Total** (add line 104, columns (B), (D), and (E)) ▶ (448,165)

**Note** *Line 105 plus line 1d, Part I, should equal the amount on line 12, Part I.*

## Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes (See Specific Instructions on page 32.)

| Line No. ▼ | Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes). |
|---|---|
| | See Statement 14 |

## Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities (See Specific Instructions on page 33.)

| (A) Name, address, and EIN of corporation, partnership, or disregarded entity | (B) Percentage of ownership interest | (C) Nature of activities | (D) Total income | (E) End-of-year assets |
|---|---|---|---|---|
| N/A | % | | | |
| | % | | | |
| | % | | | |
| | % | | | |

## Part X Information Regarding Transfers Associated with Personal Benefit Contracts (See Specific Instructions on page 33.)

(a) Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

(b) Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☑ No

**Note** *If "Yes" to (b), file Form 8870 and Form 4720 (see instructions).*

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Please [redacted] 2/14/03

Date

Date | Check if | Preparer's SSN or PTIN

**SCHEDULE A** (Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service

# Organization Exempt Under Section 501(c)(3)

(Except Private Foundation) and Section 501(e), 501(f), 501(k), 501(n), or Section 4947(a)(1) Nonexempt Charitable Trust

Supplementary Information—(See separate instructions)

▶ MUST be completed by the above organizations and attached to their Form 990 or 990-EZ

OMB No 1545 0047

2001

Name of the organization: Community Service Society of New York

Employer identification number: 13 5562202

**Part I** Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees (See page 1 of the instructions List each one If there are none, enter "None ")

| (a) Name and address of each employee paid more than $50 000 | (b) Title and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans & deferred compensation | (e) Expense account and other allowances |
|---|---|---|---|---|
| David Campbell 105 East 22nd Street, New York NY 10010 | V P Program 35hrs/wks | 143,700 | 7,091 | -0- |
| Donna E Fishman 105 East 22nd Street, New York NY 10010 | V P External affair 35hrs/wks | 143,700 | 9,640 | -0- |
| Juan Cartagena 105 East 22nd Street, New York NY 10010 | Genaral Counsel 35hrs/wks | 124,688 | 8,561 | -0- |
| Richard Perez 105 East 22nd Street, New York NY 10010 | Director of Pol Devlop 35hrs/wks | 100,938 | 4,156 | -0- |
| Alina Molina 105 East 22nd Street, New York NY 10010 | Dir of Vol Initiatives 35hrs/wks | 88,200 | 8,342 | -0- |
| Total number of other employees paid over $50 000 ▶ | 19 | | | |

**Part II** Compensation of the Five Highest Paid Independent Contractors for Professional Services (See page 2 of the instructions List each one (whether individuals or firms) If there are none, enter "None ")

| (a) Name and address of each independent contractor paid more than $50 000 | (b) Type of service | (c) Compensation |
|---|---|---|
| First Mahanttan Capital Management, Inc 427 Madison Ave, New York NY 10022 | Investment Advisor | 286,317 |
| Wilson, Elsa, Moskowitz, Edelman & Dicker 1 Steuven Place, Albany New York 12207 | Lobbyist | 138,638 |
| J P Morgan Investment Management 522 5th Ave 6 Floor, New York NY 10281 | Investment Advisor | 135,766 |
| Deloitte & Touche LLP 2 World Financial Center, New York NY 10281-1414 | Auditor/Consultant | 125,151 |
| Sanky Perlowin Associates 1501 Broadway, Suite 610, New York, NY 10036 | Fund Raiser/ Consultant | 108,671 |
| Total number of others receiving over $50 000 for professional services ▶ | None | |

**Part III** **Statements About Activities** (See page 2 of the instructions)

| | | | Yes | No |
|---|---|---|---|---|
| 1 | During the year has the organization attempted to influence national, state or local legislation including any attempt to influence public opinion on a legislative matter or referendum? If "Yes enter the total expenses paid or incurred in connection with the lobbying activities ▶ $ 156,144 **(Must equal amounts on line 38, Part VI-A or line i of Part VI-B)** | 1 | ✔ | |
| | Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI A Other organizations checking "Yes" must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities | | | |
| 2 | During the year has the organization, either directly or indirectly, engaged in any of the following acts with any substantial contributors, trustees, directors officers creators key employees or members of their families or with any taxable organization with which any such person is affiliated as an officer director trustee majority owner or principal beneficiary? *(If the answer to any question is Yes," attach a detailed statement explaining the transactions)* | | | |
| a | Sale exchange, or leasing of property? | 2a | | ✔ |
| b | Lending of money or other extension of credit? | 2b | | ✔ |
| c | Furnishing of goods, services, or facilities? | 2c | | ✔ |
| d | Payment of compensation (or payment or reimbursement of expenses if more than $1,000)? See Form 990, Part V | 2d | ✔ | |
| e | Transfer of any part of its income or assets? | 2e | | ✔ |
| 3 | Does the organization make grants for scholarships fellowships student loans etc? (See **Note** below) | 3 | | ✔ |
| 4 | Do you have a section 403(b) annuity plan for your employees? | 4 | ✔ | |

**Note** *Attach a statement to explain how the organization determines that individuals or organizations receiving grants or loans from it in furtherance of its charitable programs qualify" to receive payments*

**Part IV** **Reason for Non-Private Foundation Status** (See pages 3 through 6 of the instructions)

The organization is not a private foundation because it is (Please check only **ONE** applicable box)

5 ☐ A church, convention of churches or association of churches Section 170(b)(1)(A)(i)

6 ☐ A school Section 170(b)(1)(A)(ii) (Also complete Part V)

7 ☐ A hospital or a cooperative hospital service organization Section 170(b)(1)(A)(iii)

8 ☐ A Federal state, or local government or governmental unit Section 170(b)(1)(A)(v)

9 ☐ A medical research organization operated in conjunction with a hospital Section 170(b)(1)(A)(iii) **Enter the hospital's name, city, and state** ▶

10 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit Section 170(b)(1)(A)(iv) (Also complete the **Support Schedule** in Part IV A)

11a ☑ An organization that normally receives a substantial part of its support from a governmental unit or from the general public Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A)

11b ☐ A community trust Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV A)

12 ☐ An organization that normally receives **(1) more than 33⅓%** of its support from contributions membership fees and gross receipts from activities related to its charitable etc, functions—subject to certain exceptions and **(2) no more than 33⅓%** of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See section 509(a)(2) (Also complete the **Support Schedule** in Part IV-A)

13 ☐ An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in **(1)** lines 5 through 12 above, or **(2)** section 501(c)(4) (5) or (6) if they meet the test of section 509(a)(2) (See section 509(a)(3))

Provide the following information about the supported organizations (See page 5 of the instructions)

| **(a)** Name(s) of supported organization(s) | **(b)** Line number from above |
|---|---|
| | |
| | |

14 ☐ An organization organized and operated to test for public safety Section 509(a)(4) (See page 6 of the instructions)

## Part IV-A Support Schedule (Complete only if you checked a box on line 10, 11 or 12) *Use cash method of accounting*

**Note** *You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting*

| | Calendar year (or fiscal year beginning in) ▶ | (a) 2000 | (b) 1999 | (c) 1998 | (d) 1997 | (e) Total |
|---|---|---|---|---|---|---|
| 15 | Gifts, grants and contributions received (Do not include unusual grants See line 28) | 5,815,223 | 5,729,578 | 5,337,815 | 4,917,806 | 21,800,422 |
| 16 | Membership fees received | -0- | -0- | -0- | -0- | -0- |
| 17 | Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization s charitable etc purpose | 335,876 | 414,844 | 353,343 | 393,939 | 1,498,002 |
| 18 | Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)) rents royalties and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30 1975 | 3,474,095 | 3,027,733 | 3,054,251 | 3,443,680 | 12,999,759 |
| 19 | Net income from unrelated business activities not included in line 18 | -0- | -0- | -0- | -0- | -0- |
| 20 | Tax revenues levied for the organization s benefit and either paid to it or expended on its behalf | -0- | -0- | -0- | -0- | -0- |
| 21 | The value of services or facilities furnished to the organization by a governmental unit without charge Do not include the value of services or facilities generally furnished to the public without charge | -0- | -0- | -0- | -0- | 0- |
| 22 | Other income Attach a schedule Do not include gain or (loss) from sale of capital assets | -0- | 0 | 0 | -0- | 0- |
| 23 | Total of lines 15 through 22 | 9,625,194 | 9,172,155 | 8,745,409 | 8,755,425 | 36,298,183 |
| 24 | Line 23 minus line 17 | 9,289,318 | 8,757,311 | 8,392,066 | 8,361,486 | 34,800,181 |
| 25 | Enter 1% of line 23 | 96,251 | 91,721 | 87,454 | 87,554 | |

**26 Organizations described on lines 10 or 11** a Enter 2% of amount in column (e) line 24 ▶ **26a** 696,004

b Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 1997 through 2000 exceeded the amount shown in line 26a **Do not file this list with your return** Enter the total of all these excess amounts ▶ **26b** 776,886

c Total support for section 509(a)(1) test Enter line 24, column (e) See Statement 16 ▶ **26c** 34,800,181

d Add Amounts from column (e) for lines 18 12,999,759 19 -0- 22 -0- 26b 776,886 ▶ **26d** 13,776,645

e Public support (line 26c minus line 26d total) ▶ **26e** 21,023,536

**f Public support percentage (line 26e (numerator) divided by line 26c (denominator))** ▶ **26f** 61 4' %

**27 Organizations described on line 12** a For amounts included in lines 15 16 and 17 that were received from a disqualified person ' prepare a list for your records to show the name of and total amounts received in each year from each disqualified person **Do not file this list with your return** Enter the sum of such amounts for each year

(2000) (1999) (1998) (1997)

b For any amount included in line 17 that was received from each person (other than "disqualified persons'), prepare a list for your records to show the name of and amount received for each year that was more than the **larger** of **(1)** the amount on line 25 for the year or **(2)** $5 000 (Include in the list organizations described in lines 5 through 11 as well as individuals) **Do not file this list with your return** After computing the difference between the amount received and the larger amount described in **(1)** or **(2)**, enter the sum of these differences (the excess amounts) for each year

(2000) (1999) (1998) (1997)

c Add Amounts from column (e) for lines 15 ______ 16 ______ 17 ______ 20 ______ 21 ______ ▶ **27c**

d Add Line 27a total ______ and line 27b total ______ ▶ **27d**

e Public support (line 27c total minus line 27d total) ▶ **27e**

f Total support for section 509(a)(2) test Enter amount from line 23, column (e) ▶ **27f**

**g Public support percentage (line 27e (numerator) divided by line 27f (denominator))** ▶ **27g** %

**h Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator))** ▶ **27h** %

**28 Unusual Grants** For an organization described in line 10 11 or 12 that received any unusual grants during 1997 through 2000, prepare a list for your records to show for each year the name of the contributor the date and amount of the grant and a brief description of the nature of the grant **Do not file this list with your return** Do not include these grants in line 15

**Part V** **Private School Questionnaire** (See page 7 of the instructions )
**(To be completed ONLY by schools that checked the box on line 6 in Part IV)** N/A

| | | | Yes | No |
|---|---|---|---|---|
| 29 | Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws other governing instrument, or in a resolution of its governing body? | 29 | | |
| 30 | Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships? | 30 | | |
| 31 | Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program in a way that makes the policy known to all parts of the general community it serves? | 31 | | |
| | If "Yes," please describe, if 'No," please explain (If you need more space attach a separate statement ) | | | |
| 32 | Does the organization maintain the following | | | |
| a | Records indicating the racial composition of the student body, faculty, and administrative staff? | 32a | | |
| b | Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis? | 32b | | |
| c | Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships? | 32c | | |
| d | Copies of all material used by the organization or on its behalf to solicit contributions? | 32d | | |
| | If you answered No" to any of the above please explain (If you need more space, attach a separate statement ) | | | |
| 33 | Does the organization discriminate by race in any way with respect to | | | |
| a | Students' rights or privileges? | 33a | | |
| b | Admissions policies? | 33b | | |
| c | Employment of faculty or administrative staff? | 33c | | |
| d | Scholarships or other financial assistance? | 33d | | |
| e | Educational policies? | 33e | | |
| f | Use of facilities? | 33f | | |
| g | Athletic programs? | 33g | | |
| h | Other extracurricular activities? | 33h | | |
| | If you answered "Yes to any of the above please explain (If you need more space attach a separate statement ) | | | |
| 34a | Does the organization receive any financial aid or assistance from a governmental agency? | 34a | | |
| b | Has the organization s right to such aid ever been revoked or suspended? If you answered Yes to either 34a or b please explain using an attached statement | 34b | | |
| 35 | Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50 1975 2 C B 587 covering racial nondiscrimination? If No attach an explanation | 35 | | |

**Part VI-A** **Lobbying Expenditures by Electing Public Charities** (See page 9 of the instructions ) N/A
(To be completed **ONLY** by an eligible organization that filed Form 5768)

Check ▶ a ☐ if the organization belongs to an affiliated group Check ▶ b ☐ if you checked "a" and "limited control" provisions apply

| **Limits on Lobbying Expenditures** (The term expenditures" means amounts paid or incurred ) | | (a) Affiliated group totals | (b) To be completed for ALL electing organizations |
|---|---|---|---|
| 36 Total lobbying expenditures to influence public opinion (grassroots lobbying) | 36 | | |
| 37 Total lobbying expenditures to influence a legislative body (direct lobbying) | 37 | | |
| 38 Total lobbying expenditures (add lines 36 and 37) | 38 | | |
| 39 Other exempt purpose expenditures | 39 | | |
| 40 Total exempt purpose expenditures (add lines 38 and 39) | 40 | | |
| 41 Lobbying nontaxable amount Enter the amount from the following table— | 41 | | |
| 42 Grassroots nontaxable amount (enter 25% of line 41) | 42 | | |
| 43 Subtract line 42 from line 36 Enter -0- if line 42 is more than line 36 | 43 | | |
| 44 Subtract line 41 from line 38 Enter -0- if line 41 is more than line 38 | 44 | | |

| **If the amount on line 40 is—** | **The lobbying nontaxable amount is—** |
|---|---|
| Not over $500 000 | 20% of the amount on line 40 |
| Over $500,000 but not over $1 000 000 | $100 000 plus 15% of the excess over $500 000 |
| Over $1 000 000 but not over $1 500 000 | $175 000 plus 10% of the excess over $1 000 000 |
| Over $1 500 000 but not over $17,000,000 | $225,000 plus 5% of the excess over $1 500 000 |
| Over $17 000 000 | $1 000 000 |

**Caution** *If there is an amount on either line 43 or line 44 you must file Form 4720*

## 4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below See the instructions for lines 45 through 50 on page 11 of the instructions )

| | Lobbying Expenditures During 4-Year Averaging Period | | | | |
|---|---|---|---|---|---|
| **Calendar year (or fiscal year beginning in)** ▶ | (a) 2001 | (b) 2000 | (c) 1999 | (d) 1998 | (e) Total |
| 45 Lobbying nontaxable amount | | | | | |
| 46 Lobbying ceiling amount (150% of line 45(e)) | | | | | |
| 47 Total lobbying expenditures | | | | | |
| 48 Grassroots nontaxable amount | | | | | |
| 49 Grassroots ceiling amount (150% of line 48(e)) | | | | | |
| 50 Grassroots lobbying expenditures | | | | | |

**Part VI-B** **Lobbying Activity by Nonelecting Public Charities**
(For reporting only by organizations that did not complete Part VI-A) (See page 12 of the instructions )

| During the year did the organization attempt to influence national state or local legislation including any attempt to influence public opinion on a legislative matter or referendum, through the use of | Yes | No | Amount |
|---|---|---|---|
| a Volunteers | ✔ | | |
| b Paid staff or management (Include compensation in expenses reported on lines c through h ) | ✔ | | |
| c Media advertisements | | ✔ | 0- |
| d Mailings to members legislators or the public | | ✔ | 0- |
| e Publications, or published or broadcast statements | | ✔ | 0- |
| f Grants to other organizations for lobbying purposes | | ✔ | 0- |
| g Direct contact with legislators, their staffs government officials or a legislative body | ✔ | | 139,116 |
| h Rallies, demonstrations, seminars conventions speeches, lectures, or any other means | ✔ | | 17,028 |
| i Total lobbying expenditures (Add lines c through h ) | | | 156,144 |

If Yes to any of the above also attach a statement giving a detailed description of the lobbying activities See Statement 15

**Part VII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations** (See page 12 of the instructions )

51 Did the reporting organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

| | | Yes | No |
|---|---|---|---|
| a Transfers from the reporting organization to a noncharitable exempt organization of | | | |
| (i) Cash | 51a(i) | | ✔ |
| (ii) Other assets | a(ii) | | ✔ |
| b Other transactions | | | |
| (i) Sales or exchanges of assets with a noncharitable exempt organization | b(i) | | ✔ |
| (ii) Purchases of assets from a noncharitable exempt organization | b(ii) | | ✔ |
| (iii) Rental of facilities, equipment, or other assets | b(iii) | | ✔ |
| (iv) Reimbursement arrangements | b(iv) | | ✔ |
| (v) Loans or loan guarantees | b(v) | | ✔ |
| (vi) Performance of services or membership or fundraising solicitations | b(vi) | | ✔ |
| c Sharing of facilities equipment, mailing lists, other assets, or paid employees | c | | ✔ |

d If the answer to any of the above is Yes " complete the following schedule Column (b) should always show the fair market value of the goods other assets or services given by the reporting organization If the organization received less than fair market value in any transaction or sharing arrangement show in column (d) the value of the goods, other assets, or services received

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers transactions and sharing arrangements |
|---|---|---|---|
| N/A | | | |

52a Is the organization directly or indirectly affiliated with or related to one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ▶ ☑ Yes ☐ No

b If 'Yes " complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| Institute for Community Empowerment | 501 (c) (4) | The Institute is a legal independent affiliate of Community Service Society of New York, which, according to institute by-laws has the right to appoint a minority of the directors of the Institute Board |

Statement 2
E.I.N. 13-5562202

**COMMUNITY SERVICE SOCIETY OF NEW YORK**
**FORM 990 PART I LINE 9a-c**
**FOR THE YEAR ENDED JUNE 30, 2002**

**STATEMENT OF SPECIAL EVENTS**
CSS ASSOCIATES RECEPTION

| | |
|---|---|
| Gross Receipts | 18,545 |
| Less: Contributions | 2,330 |
| Gross Revenue | 16,215 |
| Less: Direct Expenses | 11,733 |
| Net Income of (Loss) | 4,482 |
| | ====== |

Statement 3
E I N 13-5562202

**COMMUNITY SERVICE SOCIETY OF NEW YORK**
**FORM 990 PART I LINE 20**
**(Other changes in net asset or fund balance)**
**FOR THE YEAR ENDED JUNE 30, 2002**

| | |
|---|---|
| Unrealized Loss on Investment for the Year ended June 30, 2002 | **(1,129,025)** |
| Change in Fair Value of Beneficial Interest in Perpetual Trusts for the Year ended June 30, 2002 | **(3,510,417)** |
| Form 990 Part I Line 20 Other changes in net asset or fund balance | **(4,639,442)** |
| | ========== |

***COMMUNITY SERVICE SOCIETY OF NEW YORK***
***FORM 990 PART II LINE 23***
***FOR THE YEAR ENDED JUNE 30, 2002***

| SPECIFIC ASSISTANCE TO INDIVIDUALS | $ AMOUNT |
|---|---|
| Individual Support | 866,228 |
| Homemaker Service | 974 |
| Transportation | 30,710 |
| Education - Training | 90,840 |
| Vacation - Camping | 38,822 |
| Dental & Medical Fees | 116,474 |
| Food - Individual | 416,594 |
| Moving & Storage Expenses | 7,419 |
| Group Travel | 1,029 |
| Utilities/Utilities in Arrears | 397,130 |
| Security Deposit | 16,340 |
| Rent or Rent in Arrears | 2,544,459 |
| Rent - | 37,759 |
| Excess Rent Above P.A. Maximum | 750 |
| Rent Subsidy - Other | 1,176 |
| Rent in advance | 14,235 |
| Christmas Party Gifts | 1,200 |
| Emergency Expenses for Elderly | 6,322 |
| Program Participants Stipend | 13,320 |
| **TOTAL ..........** | **$ 4,601,781** |
| | ======= |

Individual assistance grants are provided to needy families and individuals who reside in New York City as part of the Social Services Department's efforts to meet vital needs of the city's low-income residents, particularly in the areas of actual or impending homelessness, camping services for children who are homeless, developmentally disabled, or have other special needs, and those who need assistance in accessing public services or other private voluntary programs. Applicants approach CSS as a result of having heard about the programs through word of mouth, from another agency, and from CSS' own outreach efforts. In all cases, Social Services Department staff members interview each applicant to determine their needs and how they might best be addressed; where these needs can be wholly or partially met through established CSS programs, staff works with the applicant on an ongoing basis until the underlying problems are stabilized.

STATEMENT 5
E I N 13-5562202

**COMMUNITY SERVICE SOCIETY OF NEW YORK**
**FORM 990 PART II LINE 42**
**FOR THE YEAR ENDED JUNE 30, 2002**

| **DEPRECIATION.** | **DESCRIPTION** |
|---|---|
| **PROGRAM SERVICE** | **FURNITURE, EQUIPMENT AND RENOVATIONS** |
| DIRECT SERVICE | $ 167,617 |
| POLICY AND ADVOCACY | 21,645 |
| PUBLIC INTEREST | 7,604 |
| ***TOTAL PROGRAM SERVICES*** | $ 196,866 |
| MANAGEMENT & GENERAL | 45,033 |
| FUNDRAISING | 12,262 |
| ***TOTAL DEPRECIATION*** | $ 254,161 |

990s5 02

# COMMUNITY SERVICE SOCIETY OF NEW YORK
# FORM 990 PART III
# FOR THE YEAR ENDED JUNE 30, 2002

a) **DEPARTMENT OF SOCIAL SERVICES** - This department provides direct services and emergency financial assistance to poor families and individuals and those facing a temporary crisis Ongoing support services are provided where needed, to resolve the underlying problems faced by our clients The department provides assistance through six programs *1)* Service Program for Individuals *2)* Eviction Prevention Program *3)* Family Service Program *4)* Information and Referral Service *5)* Camping Service, and **6)** Holiday Project, and this year, we provided services for 3,200 clients

b) **R.S.V.P. (Retired Senior Volunteer Program)** - This program recruits, trains, places, monitors and recognizes about 9,000 older volunteers throughout the five boroughs who serve some 600 non-profit and government agencies Accomplishments this year include expanded entitlement counseling, Experience Corps program, tax counseling, the development of intergenerational programs, and placement of volunteers in the area of, prejudice reduction for children in grade schools RSVP also sponsored several recognition events honoring volunteer achievements

c) **MANAGED CARE CONSUMER ASSISTANCE PROGRAM (MCCAP)** - MCCAP provides information, education and advice to consumers on all aspects of managed care The program serves managed care consumers in all payer groups Medicaid, Medicare, Child Health Plus (CHP) and the commercially insured The program is designed as a decentralized network of service providers throughout New York City targeting the most vulnerable consumers Towards these ends, the program has established, trained and provided technical assistance to 25 community-based organizations (CBOs') These organizations serve multi-ethnic, multi-lingual communities in all five boroughs of New York City

d) **COMMUNITY DEVELOPMENT** - **The Comprehensive Community Initiative (C.C.I.)** has organized residents in privately-owned, publicly-assisted housing projects with 475 units working with tenants to form an effective resident organization to deal with issues that confront low-income minority populations The C C I provides youth programs during the summer and after-school programs to children and teenagers, social activities for senior citizens, organizational and leadership training programs, cultural and social functions **The Ownership Transfer Project** preserves and rehabilitates low-income housing that the private market is unable to sustain as affordable housing for low-income people, and place such housing under tenant and/or community control

***COMMUNITY SERVICE SOCIETY OF NEW YORK***
***FORM 990 PART III LINE e***
***FOR THE YEAR ENDED JUNE 30, 2002***

| e) OTHER PROGRAM SERVICES | AMOUNT | TOTAL |
|---|---|---|
| I) **LEGAL COUNSEL/NATIONAL VOTER REG.** | | **363,431** |
| II) **PUBLIC POLICY** | | **634,550** |
| III) **INCOME SECURITY POLICY** | | **124,174** |
| IV) **PUBLIC INTEREST** | | **990,178** |
| d) V) *AMERICORPS PROGRAM* | **428,518** | |
| Communications | **512,843** | |
| CEO Office | **38,817** | |
| VI) **COMMUNITY DEVELOPMENT** | | **321,205** |
| VII) **MEDICADE MANAGED CARE** | | **14,030** |
| VIII) **BENEFIT ACCES** | | **115,475** |
| IX) **HEALTH POLICY** | | **52,172** |
| X) **HOUSING RESEARCH & DEVELOPMENT ASSIST.PROG./PLF** | | **502,919** |
| XI) **PUBLIC BENEFITS RESOURCE CENTER** | | **292,414** |
| XII) **PRIMARY CARE** | | **81,881** |
| XII) **MISCELLANEOUS** | | |
| Print Shop | - | **11,888** |
| Depreciation Expense for Prog. Serv. | - | **196,866** |
| CEO's Office for Direct Services | - | **163,114** |
| COO'S Office | | **686** |
| Government Affairs | | **478** |
| | **Total.......** | **3,855,461** |
| | | ========= |

COMMUNITY SERVICE SOCIETY OF NEW YORK
FORM 990 PART IV LINE 51a - b
FOR THE YEAR ENDED JUNE 30, 2002

LOANS RECEIVABLE:

i) THE UNITED CHARITIES $ 27,465

ii) THE LOANS RECEIVABLE FROM OTHER

NOT-FOR-PROFIT ORGANIZATIONS CONSIST OF·

***PROGRAM LOAN FUND:***

| | | | |
|---|---|---|---|
| 1580 Amsterdam Avenue HDFC | 26,733 | | |
| Washington Heights M.H. Assn. HDFC | 75,719 | | |
| 1109 Manhattan Avenue HDFC | 24,374 | | |
| 783 Hancock Street HDFC | 608 | | |
| CATCH - 201 West 144th Street | 21,889 | | |
| 216 East Tremont Avenue HDFC | 72,221 | | |
| 116-120 Grove Street HDFC | 56,640 | | |
| 1870 Morris Avenue HDFC | 55,263 | | |
| Fuerza Latina HDFC | 174,884 | | |
| 1989 Walton Avenue HDFC | 29,606 | | |
| 271 Woodbine Road HDFC | 23,594 | | |
| ***TOTAL PROGROAM LOAN FUND*** | | | 561,531 |
| ***Less: Allowance for uncollectible loans*** | | | (192,857) |
| ***TOTAL PROGRAM LOAN FUND LOANS RECEIVABLE*** | | | 368,674 |
| ***Net Loans Receivable*** | | $ | 396,139 |
| | | | ========== |

Statement 9
E I N 13-5562202

***COMMUNITY SERVICE SOCIETY OF NEW YORK***
***FORM 990 PART IV LINE 57 b***
***FOR THE YEAR ENDED JUNE 30, 2002***

**ACCUMULATED DEPRECIATION.**

| | | |
|---|---|---|
| ACCUMULATED DEPRECIATION Reported up to 06/30/01 | | $5,378,076 |
| ADD DEPRECIATION 07/01/01- 06/30/02 | | |
| Program Services | $196,866 | |
| Management & General | 45,033 | |
| Fund Raising | 12,262 | |
| | | 254,161 |
| **TOTAL ACCUMULATED DEPRECIATION (As of 06/30/02)** | | **$ 5,632,237** |

# COMMUNITY SERVICE SOCIETY OF NEW YORK
## FORM 990 PART IV LINE 58
## FOR THE YEAR ENDED JUNE 30, 2002

**Part IV Line 58: Other Assets**

| | |
|---|---|
| Accrued Interest - Investment | 154,972 |
| Accrued Dividends - Investment | 42,294 |
| Accrued Interest - Short Term Investment | 800 |
| **Sub Total....$** | **198,066** |

**Beneficial Interest in Perpetual Trusts**

| | |
|---|---|
| Dekay Trust | 8,960,021 |
| Townsend Trust | 1,061,693 |
| Gaunt Trust | 2,977,326 |
| Doherty Trust | 4,331 |
| Dunham Trust | 910,429 |
| Farrington Trust | 3,526,440 |
| Hamilton Trust | 8,278,375 |
| Keene Trust | 1,049,887 |
| Lamberton Trust | 32,169 |
| C. Pope Trust | 1,120,195 |
| M. Pope Trust | 336,450 |
| **Sub Total.$** | **28,257,316** |
| **Total.....$** | **28,455,382** |

Statement 11
E.I.N. 13-5562202

**COMMUNITY SERVICE SOCIETY OF NEW YORK**
**FORM 990 PART IV LINE 64b**
**FOR THE YEAR ENDED JUNE 30, 2002**

**MORTGAGE AND OTHER NOTES PAYABLES**

| | |
|---|---|
| FUJI BANK | 656,250 |
| **TOTAL..........** | 656,250 |
| | ========= |

Statement 12
E.I.N. 13-5562202

**COMMUNITY SERVICE SOCIETY OF NEW YORK**
**FORM 990 PART V**
**FOR THE YEAR ENDED JUNE 30, 2002**

**OFFICERS**
2002 - 2003

**BOARD OFFICERS**

| | (C) Compensation | (D) Contribution | (E) Expenses |
|---|---|---|---|
| Bruce A. Hubbard, Esq. Chairperson | None | None | None |
| Harvey W. Schultz Vice Chairperson | None | None | None |
| Karen K. Gifford Treasurer | None | None | None |
| Ralph Da Costa Nunez, Ph.D. Secretary | None | None | None |

**CORPORATE OFFICERS**

| | |
|---|---|
| David R. Jones, Esq. President & Chief Executive Officer | See Part V of Form 990 |
| Steven L. Krause Executive Vice President & Chief Operating Officer | See Part V of Form 990 |

Elected for a two-year term at the Organizational Meeting
June 21, 2002

STATEMENT 13
E.I.N. 13-5562202

# *COMMUNITY SERVICE SOCIETY OF NEW YORK*
## *FORM 990 PART V*
## *FOR THE YEAR ENDED JUNE 30, 2002*

### *BOARD OF TRUSTEES (2002 - 2003)*

| | (C) COMPENSATION | (D) CONTRIBUTION | (E) EXPENSES |
|---|---|---|---|
| Kofi Appenteng | NONE | NONE | NONE |
| John F. Beatty, Esq. | " | " | " |
| Carroll R. Bogert | " | " | " |
| Eric B. Broder | " | " | " |
| Lawrence D. Brown, Ph D. | " | " | " |
| Bill Chong | " | " | " |
| Steven M. Cohen, Esq | " | " | " |
| Ralph da Costa Nunez, Ph.D. | " | " | " |
| Sydney de Jongh | " | " | " |
| Anne Diedrick | " | " | " |
| Mark M. Edmiston | " | " | " |
| Barbara J. Fife | " | " | " |
| Karen K. Gifford | " | " | " |
| Bret M. Halverson, Ph.D. | " | " | " |
| Joseph R. Harbert, Ph.D. | " | " | " |
| G. Penn Holsenbeck, Esq. | " | " | " |
| Michael Horodniceanu, Ph.D. P.E. | " | " | " |
| Bruce A. Hubbard, Esq | " | " | " |
| Peter F. Hurst, Jr. | " | " | " |
| Nancy J. Lasher | " | " | " |
| William P. Maloney, Esq. | " | " | " |
| Steven Brown | " | " | " |
| David J. Pollak | " | " | " |
| Alvin N. Puryear, Ph.D. | " | " | " |
| Deborah M. Sale | " | " | " |
| Datt W. Forsythe | " | " | " |
| Donald W. Savelson, Esq | " | " | " |
| Cara L. Schnaper | " | " | " |
| David Schneider, Esq. | " | " | " |
| Harvey W. Schultz | " | " | " |
| Ken Sunshine | " | " | " |
| Janet W. Thompson | " | " | " |
| Gerri Warren-Merrick | " | " | " |
| Jeffery J. Weaver | " | " | " |
| Carolyn L. Wright-Lewis | " | " | " |
| *HONORARY LIFE TRUSTEES* | | | |
| Stephen R. Aiello, Ph.D. | " | " | " |
| David N. Dinkins | " | " | " |
| Elinor C. Guggenheimer | " | " | " |
| Marian S. Heiskell | " | " | " |
| Douglas Williams | " | " | " |

990S13 02

COMMUNITY SERVICE SOCIETY OF NEW YORK
FORM 990 PART VII, LINES 93 - 103
FOR THE YEAR ENDED JUNE 30, 2002

93(a) *Loan Interest Income*

Interest income on loans made by the Program Loan Fund, mostly to low-income housing cooperatives, in order to help meet demand for low-cost financing of housing for New York City's poor.

93(b) *Program Service Fees*

For many years CSS has provided a variety of technical services and support to other not-for-profit organizations. They currently include distribution of research into issues impacting New York City's poor communities and individuals, working with tenants and tenant-owners to develop low-cost housing units, working with coalitions to pursue legal remedies for situations adversely impacting poor communities, training social service providers in accessing services for their clients, and training managed care consumer education, consumer assistance providers.

## COMMUNITY SERVICE SOCIETY OF NEW YORK
## FORM 990 SCHEDULE "A" PART VI-B
## FOR THE YEAR ENDED JUNE 30, 2002

### PART III STATEMENT ABOUT ACTIVITIES

1. Schedule of Expenses Incurred for Legislative Activities:

| | |
|---|---|
| Compensation | 17,028 |
| Transportation | 478 |
| Professional Fees | 138,638 |
| **TOTAL.............** | **$156,144** |
| | ======= |

The Community Service Society works at the Federal, State and City levels of government to bring about systematic change needed to eliminate poverty and enable low-income families and individuals to develop their full social, economic and political potential At the Federal level, CSS has monitored the passage of health care, childcare, welfare reform, low-income housing, and voter registration legislation At the State and City levels, priorities are eliminating barriers to voter registration and ballot access, increasing the welfare grant, expanding and preserving low-income housing, providing fair and equitable allocation of state education funds, increasing Medicaid eligibility and increasing school-age child care funding

COMMUNITY SERVICE SOCIETY OF NEW YORK
FORM 990 PART IV LINE 54
FOR THE YEAR ENDED JUNE 30,2002

Part IV Line 54

INVESTMENTS - SECURITIES (SCHEDULE ATTACHED) **$118,464,252**

Statement 17

COMMUNITY SERVICE SOCIETY OF NEW YORK
SCHEDULE OF SALES & PURCHASES
FOR THE PERIOD ENDING JUNE 30, 2002

CSS N Y
UNREALISED GAIS OR LOSS
FY END 6/30/02

(FILE NAME GTSC 13)

| DESCRIPTION | MANAGERS NAME | ACCT # | CLASSIFIED INVESTMENT GROUPS | BOOK VALUE FOR FY END 6/30/02 | MARKET VALUE FOR FY END 6/30/02 | UNREALISE GAIN OR LOSS TO 6/30/02 | UNREALISE GAIN OR LOSS TO 6/30/01 | UNREALISE GAINS OR LOSS FOR FY END 6/30/02 | ACCT # UNREL GNS OR LOSS |
|---|---|---|---|---|---|---|---|---|---|
| COMMON STOCK | | | | | | | | | |
| | PEACHTREE | 1514 | COMMON STOCK | 0 00 | 0 00 | 0 00 | (9,726,023 18) | 9,726 023 18 | |
| | VANGUARD | 1512 | COMMINGL FUN | 36,460,279 03 | 30,335,638 31 | (6 114,640 72) | 0 00 | (6 114,640 72) | |
| | FIRST MANHATTAN | 1513 | COMMON STOCK | 33,589,279 61 | 44,532,919 17 | 10,933,639 56 | 16,094,480 72 | (5 160,841 16) | |
| | COMMITTEE ACCT | 1511 | COMMON STOCK | 0 00 | 0 00 | 0 00 | 0 00 | 0 00 | |
| | TOTAL | | | 70,049,558.64 | 74,868,567 48 | 4,818,998 84 | 6,368,457 54 | (1,549 458 70) | ACCT # 1527 |
| CONVERTIBLE INVESTMENT | | | | | | | | | |
| | J P MORGAN | | | | | | | | |
| | FIRST MANHATTAN | 1513 | CONVERTIBLE PREFERRED | 3,048 06 | 1,226 56 | (1,821 50) | (2,420 06) | 598 56 | |
| | COMMITTEE ACCT | | | | | | | | |
| | TOTAL | | | 3,048 06 | 1,226 56 | (1,821 50) | (2,420 06) | 598 56 | ACCT #1528 |
| CORPORATE BOND | | | | | | | | | |
| | J P MORGAN | 1523 | CORPORATE BOND | | - | 0 00 | 0 00 | 0 00 | |
| | FIRST MANHATTAN | | | | | | | | |
| | COMMITTEE ACCT | | | | | | | | |
| | TOTAL | | | 0 00 | 0 00 | 0.00 | 0 00 | 0.00 | ACCT # 1529 |
| U S GOVERMENT & AGENCY OBLIGATIONS | | | | | | | | | |
| | J P MORGAN | 1523 | GOVERN BONDS | 152,250 79 | 152,082.30 | (168 49) | 817 57 | (986.06) | |
| | FIRST MANHATTAN | | | | | | | | |
| | COMMITTEE ACCT | | | | | | | | |
| | TOTAL | | | 152,250 79 | 152,082 30 | (168.49) | 817 57 | (986 06) | ACCT # 1530 |
| SHORT TERM INVESTMENT | | | | | | | | | |
| | PEACHTREE | 1522 | MMS | 0.00 | 0 00 | | | | |
| | J P MORGAN | 1523 | MMS | 269,675 80 | 269,675 80 | | | - | |
| | FIRST MANHATTAN | 1526 | MMS | 135,791 58 | 135,791 58 | | | | |
| | COMMITTEE ACCT | 1524 | MMS | 60,368.90 | 60,368.90 | | • | | |
| | TOTAL | | | 465,836 28 | 465,836 28 | | | • | |
| OTHER INVESTMENT | | | | | | | | | |
| | J P MORGAN | 1523 | OTHER BONDS | 41,895,848 90 | 42,975,429 18 | 1,079,580 28 | 658,758.71 | 420,821 57 | |
| | TOTAL | | | 41,895,848.90 | 42,975,429 18 | 1,079,580 28 | 658 758 71 | 420,821 57 | ACCT # 1531 |
| | TOTAL INVESTMENT | | | 112,567,542.67 | 118,454 131 80 | 5,896,589 13 | 7,025,613 76 | (1 129,024 63) | ACCT # A40-4600/4607 |

COMMUNITY SERVICE SOCIETY OF NY
INVESTMENT SUMMARY BY MANAGERS
JUNE 30 2002

FILE NAME-(UTSL 12)

| MANAGERS | BEGINING BALANCE 7/1/01 | PURCHASES COST | SALES COST | SALES PROCEEDS | SALES GAIN(LOSS) | ENDING BALANCE 6/30/02 | MARKET VALUE 6/30/02 | ACCRUED INCOME 6/30/01 | INCOME 7/1/01-6/30/02 | ACCRUED INCOME 6/30/02 | EARNED INCOME 6/30/02 |
|---|---|---|---|---|---|---|---|---|---|---|---|
| PEACHTREE ACCT. | | | | | | | | | | | |
| STOCKS | 47 288,573 68 | 2,985,315 74 | 50,273,889 42 | 38,963,976 50 | (11,309,912.92) | 0.00 | | | | | |
| BONDS-MM | 621,011 62 | 36,248,570 76 | 36,869,582.36 | 36,869,582.36 | 0 00 | 0 00 | | | | | |
| INCOME (INT +DIV) | | | | | | | | 7,217 63 | 28,305 76 | 0 00 | 21,088 13 |
| TOTAL | 47,909,585 30 | 39,233,886 50 | 87 143 471 80 | 75,833,558.86 | (11,309,912.92) | 0 00 | 0 00 | 7,217 63 | 28,305 76 | 0 00 | 21 088 13 |
| VANGUARD ACCOUNT | | | | | | | | | | | |
| EQUITIES | | 36,450,279 03 | | 24,482 52 | 24,482 52 | 36 450 279 03 | 30,335,538 31 | | | | |
| INCOME (INT +DIV) | | | | | | | | | 425,796 51 | | 425 796 51 |
| | | 36,450,279 03 | | 24 482.52 | 24 482 52 | 36,450,279 03 | 30 335,538 31 | | 425 796 51 | | 425 796 51 |
| J P MORGAN | | | | | | | | | | | |
| FIXED INCOME | 70,319 93 | 189,397 80 | 70,915 58 | (110,759 66) | (181675.46) ~~(182,644 41)~~ | 188 802 15 | 152,082 30 | | | | |
| BONDS-MM | 175,540 99 | 2 460,549 73 | 2,367,414.92 | 2,362 164.92 | (5,250 00) | 269 675 80 | 269,575 80 | | | | |
| INCOME(INT +DIV) | | | | | | | | 1,969 35 | 8,266 84 | 1 042 85 | 7,340 34 |
| TOTAL | 245,860 92 | 2,649,947 53 | 2,438,330 50 | 2,251,405 04 | (186,925 46) | 458 477 95 | 421,758 10 | 1,969 35 | 8,266 84 | 1,042 85 | 7,340 34 |
| COMMITTEE ACCOUNT | | | | | | | | | | | |
| BONDS-MM | 74,276 48 | 612 126 68 | 626,034 26 | 634,856 14 | 8,821 88 | 60,368 90 | 60,368 90 | | | | |
| STOCKS | | | | | | 0.00 | 0 00 | | | | |
| INCOME(INT +DIV) | | | | | | | | 225 50 | 1,832.19 | 70 20 | 1,676 89 |
| TOTAL | 74,276 48 | 612 126 68 | 626,034 26 | 634,856.14 | 8,821 88 | 60,368 90 | 60,368.90 | 225 50 | 1,832 19 | 70 20 | 1,676 89 |
| FIRST MANHATTAN | | | | | | | | | | | |
| STOCKS | 31,449,234 76 | 10,889,934 58 | 8,736,841 67 | 15,320,316 53 | 6 583,474 86 | 33,602,327 67 | 44,534 145 73 | | | | |
| BONDS | 1,145,292 71 | 14,925,991 27 | 15,934,492.40 | 15,934,492.40 | 0 00 | 136,791 58 | 136,791 58 | | | | |
| INCOME(INT +DIV) | | | | | | | | 34,346.40 | 495,052 32 | 41 160 58 | 501,896 50 |
| TOTAL | 32,594,527 47 | 25,815,925 86 | 24,671,334 07 | 31,254,808 93 | 6,583,474 86 | 33,739 119.25 | 44,670,937 31 | 34,346.40 | 495,062.32 | 41 160 58 | 501,896 50 |
| SUBTOTAL INVESTMENTS | 80,825,250 17 | 104,762 165 59 | 114,879 170 63 | 109,999 111 61 | (4,880,059 12) | 70,708,245.13 | 75,486 702 62 | 43 758 88 | 959,263 62 | 42,293 63 | 957 798 37 |
| J.P. MORGAN | | | | | | | | | | | |
| PARTNERSHIPS | 39 199,432 51 | 3,863,492 15 | 1 167,075 76 | 2 101,895 19 | 934,819 43 | 41,895,848 90 | 42,975,429 18 | | 2 189,962 56 | | 2 189,962 56 |
| TOTAL PARTNERSHIPS | 39 199 432 51 | 3,863 492 15 | 1 167,076 76 | 2 101,895 19 | 934,819 43 | 41,895,848.90 | 42,975,429 18 | | 2 189,962 56 | | 2 189,962.56 |
| TOTAL INVESTMENTS | 120,024,682 68 | 108,625,657 74 | 116,046,246 39 | 112 101,006 70 | (3,945,239 69) | 112,604,094 03 | 118,464 131 80 | 43,758 88 | 3 149,226 18 | 42,293 63 | 3 147,750 93 |
| BANK TOTAL | 120,024,682 68 | 108,588,510 73 | 116,046,246 39 | 112 101,006 70 | (3,945 239 69) | 112,556,947 02 | 118,464 131 80 | 43,758 88 | 3 149,226 18 | 42,293 63 | 3 147 750 93 |
| | | | | | | S/B 112,567,542 67 | | | 0 00 | | 0 00 |
| DIFF | | 37,147 01 | | 0.00 | (0.00) | -37,147 01 | | | | | |
| | | 36,551 36 | | | | -595 65 | | | | | |
| | DIFF | 595 65 | | | | | | | | | |

CSS-NY-PEACHTREE (ACCT #1514)
STOCK SALES & PURCHASES
FOR YEAR ENDED JUNE 30,2001

FILE NAME (PEACH-6)

| DESCRIPTION | OPENING BALANCE SHARES 7/1/2000 | OPENING BALANCE COST | PURCHASES SHARES | PURCHASES COST | SALES SHARES | SALES COST | PROCEEDS | GAINS OR LOSS | BALANCE 6/30/01 SHARES | BALANCE COST | MARKET VALUE 06/30/01 |
|---|---|---|---|---|---|---|---|---|---|---|---|
| ADVANCED MICRO DEVICES INC | 25,000 00 | 755,271 40 | | | 25,000 00 | 755,271 40 | 489,823 67 | (265 447 73) | 0 00 | 0 00 | |
| AMERICAN EXPRESS CO | 17 000 00 | 722,823 00 | | | 17,000.00 | 722,823 00 | 704,286 52 | (18,536 48) | 0 00 | 0 00 | |
| ANHEUSER-BUSCH COMPANIES INC | 18,000 00 | 778,440.98 | | | 18,000 00 | 778,440 98 | 784,233 85 | 5 792 87 | 0 00 | 0 00 | |
| AOL TIME WARNER INC | 21,400 00 | 1,333,396 54 | | | 21,400 00 | 1,333,396 54 | 984,795 17 | (348,601 37) | 0 00 | 0 00 | |
| APPLE COMPUTER INC | 32,000 00 | 800,528 37 | | | 32,000 00 | 800,528 37 | 607,979 73 | (192,548 64) | 0 00 | 0 00 | |
| APPLIED MICRO CIRCUITS CORP | 14,000 00 | 906,038 71 | | | 14,000 00 | 906,038 71 | 260,811 30 | (645,227 41) | 0 00 | 0 00 | |
| ARIBA INC | 7,400 00 | 984 157 70 | | | 7 400 00 | 984 157 70 | 30,264.99 | (953,892 71) | 0 00 | 0 00 | |
| ATMEL CORP | 63,000 00 | 1,002,376 08 | | | 63,000 00 | 1,002,376.08 | 660,217 99 | (342 158 09) | 0 00 | 0.00 | |
| AUTODESK INC | 23,000 00 | 850,330 00 | | | 23,000 00 | 850,330 00 | 876,270 79 | 25,940 79 | 0 00 | 0 00 | |
| AVON PRODUCTS INC | 20,000 00 | 852,061 98 | | | 20 000 00 | 852,061 98 | 911 169.62 | 59 107 64 | 0 00 | 0 00 | |
| BANK AMERICA CORP | | | 12,000 00 | 756,587 29 | 12,000 00 | 756,587 29 | 755,254 82 | (1,332.47) | 0 00 | 0 00 | |
| BMC SOFTWARE INC | 31,000.00 | 970,077 66 | | | 31,000 00 | 970,077 66 | 624,319 18 | (345,758 48) | 0 00 | 0 00 | |
| BOEING COMPANY | 15,000 00 | 895,051 74 | | | 15,000 00 | 895,051 74 | 884,070 53 | (10,981 21) | 0 00 | 0 00 | |
| CAPITAL ONE FINL CORP | 13,000 00 | 863,807 05 | | | 13,000 00 | 863,807 05 | 852,901 56 | (10,905 49) | 0 00 | 0 00 | |
| CATERPILLAR INC | | | 14,000 00 | 758 412 90 | 14,000.00 | 758,412.90 | 754,434 85 | (3,978 05) | 0 00 | 0 00 | |
| CELESTICA INC | 17,000 00 | 1,039,627 34 | | | 17,000.00 | 1,039,627 34 | 837,562.06 | (202,065 28) | 0 00 | 0 00 | |
| CEPHALON INC | 17,000 00 | 994,958 72 | | | 17,000.00 | 994,958 72 | 1,088,473 71 | 93,514 99 | 0 00 | 0.00 | |
| CIRRUS LOGIC INC | 16,000 00 | 309,940 13 | | | 16,000 00 | 309,940 13 | 312 789 57 | 2,849 44 | 0 00 | 0 00 | |
| CISCO SYS INC | 20,000 00 | 939,958 44 | | | 20,000 00 | 939,958 44 | 404,786 50 | (535 171 94) | 0 00 | 0.00 | |
| COMMERCE ONE INC | 30,000 00 | 1,541,402 75 | | | 30,000 00 | 1,541,402 75 | 116,696 11 | (1,424,706 64) | 0 00 | 0 00 | |
| EARTHLINK INC | 50,610.00 | 2,228 129 31 | | | 50 610 00 | 2,228 129 31 | 824,409 41 | (1,403,719 90) | 0 00 | 0 00 | |
| EMC CORP (MASS) USD 0 01 | 12,000 00 | 915,724 61 | | | 12,000.00 | 915,724 61 | 250,071 66 | (665,652 95) | 0 00 | 0 00 | |
| ENRON CORP | 12,000 00 | 737 130 33 | | | 12,000.00 | 737 130 33 | 546,581 78 | (190,548 55) | 0 00 | 0 00 | |
| FEDERATED DEPT STORES INC | 16,000 00 | 663 408 14 | | | 16,000 00 | 663 408 14 | 616 107 74 | (47,300 40) | 0 00 | 0 00 | |
| FREDDIE MAC | | | 11,000 00 | 740,435 30 | 11,000 00 | 740,435 30 | 755,344 82 | 14,909 52 | 0 00 | 0 00 | |
| GENERAL MOTORS CORP | 13,000 00 | 776,495 44 | | | 13,000 00 | 776,495 44 | 813,902 86 | 37,405 42 | 0 00 | 0 00 | |
| HARRIS CORP | 27,000 00 | 820,935 86 | | | 27,000.00 | 820,935 86 | 833 192.22 | 12,256 36 | 0 00 | 0 00 | |
| INTUIT INC | 21,000 00 | 896 102 56 | | | 21,000 00 | 896 102 56 | 718,386 05 | (177 716 51) | 0 00 | 0 00 | |
| IVAX CORP | 27,500 00 | 988,591 37 | | | 27,500 00 | 988,591 37 | 912 694 57 | (75,896 80) | 0 00 | 0 00 | |
| JDS UNIPHASE CORP | 11,600.00 | 1,036,377 64 | | | 11,600.00 | 1,036,377 64 | 111 124 29 | (925,253 35) | 0 00 | 0 00 | |

CSS-NY--PEACHTREE (ACCT # 1514)
STOCK SALES & PURCHASES
FOR YEAR ENDED JUNE 30,2001

FILE NAME (PEACH-6)

| DESCRIPTION | OPENING BALANCE SHARES 7/1/2000 | OPENING BALANCE COST | PURCHASES SHARES | PURCHASES COST | SALES SHARES | SALES COST | PROCEEDS | GAINS OR LOSS | BALANCE 6/30/01 SHARES | COST | MARKET VALUE 06/30/01 |
|---|---|---|---|---|---|---|---|---|---|---|---|
| JUNIPER NETWORKS INC | 9,300 00 | 998 135 73 | | | 9,300.00 | 998 135 73 | 255 183 49 | (742,952 24) | 0 00 | 0 00 | |
| KOHLS CORP | 13,000 00 | 775,887 84 | | | 13,000 00 | 775,887 84 | 740,975 30 | (34,912 54) | 0 00 | 0 00 | |
| LEHMAN BROTHERS HLDGS INC | 12,000 00 | 890,488 70 | | | 12,000 00 | 890,488 70 | 881,490 61 | (8,998 09) | 0 00 | 0 00 | |
| LINEAR TECHNOLOGY CORP | 15,000 00 | 872,741 66 | | | 15,000 00 | 872,741 66 | 699,426 68 | (173,314 98) | 0 00 | 0 00 | |
| MERRILL LYNCH & CO INC | 17,000 00 | 976,554 70 | | | 17,000 00 | 976,554 70 | 954,518 18 | (22,036 52) | 0 00 | 0 00 | |
| MBNA CORP | 27 400 00 | 949 184 26 | | | 27,400 00 | 949 184 26 | 999,792 67 | 50,608 41 | 0 00 | 0 00 | |
| MICRON TECHNOLOGY | 17,000 00 | 779 178 24 | | | 17,000 00 | 779 178.24 | 741,855 27 | (37,322 97) | 0 00 | 0.00 | |
| MORGAN STANLEY DEAN WATTER « | 12,000 00 | 933,781 62 | | | 12,000.00 | 933,781 62 | 755 714 39 | (178,067 23) | 0 00 | 0 00 | |
| NORFOLK SOUTHERN CORP | 42,000 00 | 804,749 46 | | | 42,000.00 | 804,749 46 | 833,252.22 | 28,502.76 | 0 00 | 0 00 | |
| NVIDIA CORP | 15,000 00 | 903,788 81 | | | 15,000.00 | 903,788 81 | 1,286,657 11 | 382,868 30 | 0 00 | 0 00 | |
| OMNICOM GROUP INC | 11,000 00 | 955,802 16 | | | 11,000 00 | 955,802 16 | 956,308 12 | 505 96 | 0 00 | 0 00 | |
| ORACLE CORP | 33 100 00 | 962,075 40 | | | 33 100.00 | 962,075 40 | 604,385 85 | (357,689 55) | 0 00 | 0 00 | |
| OXFORD HEALTH PLANS INC | 27,900 00 | 969,879 53 | | | 27,900 00 | 969,879 53 | 756,643 75 | (213,235 78) | 0 00 | 0 00 | |
| PACCAR INC | | | 12 000 00 | 729,880 25 | 12,000.00 | 729,880 25 | 707,736 40 | (22 143 85) | 0 00 | 0 00 | |
| PFIZER INC | 18,000 00 | 673,184 97 | | | 18,000 00 | 673,184 97 | 739,415 35 | 66,230 38 | 0 00 | 0.00 | |
| PHARMACIA CORP | 22,610 00 | 1,035,246 30 | | | 22,610 00 | 1,035,246 30 | 1,005,885 36 | (29,360 94) | 0 00 | 0 00 | |
| POLYCOM INC | 28,000.00 | 964,835 44 | | | 28,000 00 | 964,835 44 | 729,095 69 | (235,739 75) | 0 00 | 0 00 | |
| SANMINA CORP | 23,000 00 | 1 159,538 02 | | | 23,000 0 | 1 159,538 02 | 507,823 07 | (651,814 96) | 0 00 | 0 00 | |
| SIEBEL SYS INC | 14,000.00 | 935,506.29 | | | 14,000 00 | 935,506 29 | 504 123 19 | (431,383 10) | 0 00 | 0 00 | |
| SOUTHTRUST CORP | 30,000 00 | 741,809 30 | | | 30,000 00 | 741,809 30 | 767,674 41 | 25,865 11 | 0 00 | 0 00 | |
| SUN MICROSYSTEMS INC | 20,000 00 | 122,725 00 | | | 20,000 00 | 122,725 00 | 345,788 47 | 223,063 47 | 0 00 | 0 00 | |
| TEKTRONIX INC | 26 400 00 | 871,855 11 | | | 26,400 00 | 871,855 11 | 601 107 96 | (270,747 15) | 0 00 | 0 00 | |
| TYCO INTL LTD NEW | 31,000 00 | 1,063,707 46 | | | 31,000 00 | 1,063 707 46 | 1,624,517 87 | 560,810 41 | 0 00 | 0 00 | |
| UNIVERSAL HEALTH SVCS INC CL | 11,800 00 | 487 191 88 | | | 11,800.00 | 487 191.88 | 611 181 51 | 123,989 63 | 0 00 | 0 00 | |
| VITESSE SEMICONDUCTOR CORP | 15,000 00 | 955,092.27 | | | 15,000 00 | 955,092.27 | 325,939 13 | (629,153 14) | 0 00 | 0 00 | |
| WILLIAMS CO INC | 21,000 00 | 902,288 68 | | | 21,000 00 | 902,288 68 | 704,526 51 | (197,762 17) | 0 00 | 0 00 | |
| | | 47,288,573.58 | | 2,985,315.74 | | 50,273,889 42 | 38,963,976 50 | (11,309,912 92) | | 0 00 | |

CSS-NY--PEACHTREE
BOND PURCHASES & SALES (ACCT #1522) FILE NAME-(PEACH-9)
FOR 12 MONTHS ENDED 6 30 02

| DESCRIPTION | BEGINING BALANCE 7 1 01 COST | PURCHASES PAR VALUE | PURCHASES COST | SALES PAR VALUE | SALES COST | PROCEEDS | PROFIT (LOSS) | ENDING BALANCE 6 30 02 PAR VALUE | ENDING BALANCE COST | MARKET VALUE 06/30/02 |
|---|---|---|---|---|---|---|---|---|---|---|
| MONEY MARKET INSTRUMENT | | | | | | | | | | |
| FEDERATED PRIME CASH OBLIGATIO | 621,011 62 | | 36,248,570 76 | | 36,869,582 38 | 36,869,582 38 | | | 0 00 | 0 00 |
| TOTAL MMI (ACCT #1522) | 621,011 62 | | 36,248,570.76 | | 36,869,582 38 | 36,869,582 38 | 0 00 | | 0 00 | 0 00 |

CSS-NY-VANGUARD ACCOUNT (ACCT # 1512)
STOCK SALES & PURCHASES
FOR YEAR ENDED JUNE 30,2002

FILE NAME (VANGRD-8)

| DESCRIPTION | OPENING BALANCE SHARES 7/1/2001 | OPENING BALANCE COST | PURCHASES SHARES | PURCHASES COST | SALES SHARES | SALES COST | PROCEEDS | GAINS OR LOSS | BALANCE 6/30/02 SHARES | COST | MARKET VALUE 6/30/02 |
|---|---|---|---|---|---|---|---|---|---|---|---|
| VANGUARD 500INDEX FD ADMIRL SHS | | | 303,977.98 | 33 780,365 02 | | | | | 303,977 98 | 33,780,365 02 | 27 762,315 76 |
| VANGUARD INDEX TR MID CAP STK PORT | | | 226,724.45 | 2,669,914 01 | | | 24,482.52 | 24,482 52 | 226,724 45 | 2,669,914.01 | 2,573,322 55 |
| TOTAL STOCK INVESTMENTS | 0 00 | 0 00 | | 36,450,279 03 | | | 24,482 52 | 24,482 52 | | 36,450,279.03 | 30,335,638.31 |

CSS-NY--J P MORGAN INVEST MGMT INC (ACCT #1523)
FIXED INCOME SALES & PURCHASES FILE NAME (JPM-8)
FOR YEAR ENDED JUNE 30,2002

| RATE | DESCRIPTION | MATURE DATE | OPENING BALANCE PAR 7/1/2001 | OPENING BALANCE COST | PURCHASES PAR | PURCHASES COST | SALES PAR | SALES COST | PROCEEDS | GAINS OR LOSS | BALANCE 6/30/2002 PAR | BALANCE 6/30/2002 COST | MARKET VALUE 6/30/02 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | FIXED INCOME INVESTMENT | | | | | | | | | | | | |
| 6.250% | U S TREASURY NOTES | 7/31/02 | | | 30,000 00 | 18,694 92 | | | | | 30,000 00 | 18,694 92 | 30 119 10 |
| 6.250% | U S TREASURY NOTES | 2/28/02 | | | 70,000 00 | 70,915 58 | 70,000 00 | 70,915 58 | 70 120 31 | (795 27) | 0 00 | 0 00 | |
| 6.25% | U S TREASURY NOTES- AS COLLATER | 2/28/02 | 70,000 00 | 70,319 93 | (70,000 00) | (70,319 93) | | | | | 0 00 | 0 00 | |
| 3 63% | U S TREASURY NOTES- AS COLLATER | 8/31/03 | | | 120,000 00 | 133,555 67 | | | | | 120,000 00 | 133,555 67 | 121,963 20 |
| | U S DOLLARS | | | | | 36,551 36 | | | (2,362 50) | (2362 50) | | 36,551 36 ? | |
| | JPM PUBLIC BOND FUND | | 100 00 | 28,450 124 40 | | 2,907,318 11 | | 676,317 28 | 1,621,082 50 | 944765 22 | 0 00 | 30,681 125 23 | 32,516,326 44 |
| | JPM STRUCTURED DEBT FUND LLC | | 100 00 | 10,749,308 11 | | 956 174 04 | | 490,758 48 | 480,812 69 | (9945 79) | | 11,214,723 67 | 10 459 102 74 |
| | FUTURE T NOTE 5YR 100,000 USD/CBC | 09/20/01 | 0 00 | 0 00 | | | | | (54,878 00) | (54878.00) | 0 00 | 0 00 | |
| | FUTURE UST-BOND 100 000 USD/CBOT | 09/19/01 | 0 00 | 0 00 | | | | | 138,509 75 | 138509 75 | 0 00 | 0.00 | |
| | FUTURE T-NOTE 10YR 100,000 USD/CB | 09/22/01 | 0 00 | 0 00 | | | | | 110 874 47 | 110874 47 | 0 00 | 0 00 | |
| | FUTURE RUSSELL 2000 500 INDEX/IOA | 06/22/02 | | | | | | | 612 50 | 612 50 | 0 00 | 0 00 | |
| | FUTURE UST BOND 100 000 USD/CBOT | 12/19/01 | | | | | | | (67,685 16) | (67685 16) | | | |
| | FUTURE T-NOTE 5YR 100,000 USD/CBC | 12/19/01 | | | | | | | (28,017 93) | (28017 93) | | | |
| | FUTURE T-NOTE 10YR 100,000 USD/CB | 12/19/01 | | | | | | | (40,003 50) | (40003 50) | | | |
| | FUTURE UST-BOND 100 000 USD/CBOT | 03/10/02 | | | | | | | 31,652 53 | 31652 53 | | | |
| | FUTURE T-NOTE 10YR 100,000 USD/CB | 06/22/02 | | | | | | | 55,526 35 | 55526 35 | | | |
| | FUTURE T-NOTE 2YR 200,000 USD/CB( | 09/27/01 | | | | | | | (45 718 75) | (45718 75) | | | |
| | FUTURE T-NOTE 2YR 200,000 USD/CBK | 12/27/01 | | | | | | | (21,231.00) | (21231 00) | | | |
| | FUTURE T NOTE 2YR 200,000 USD/CBK | 09/20/01 | | | | | | | (14,892 02) | (14892 02) | | | |
| | FUTURE T-NOTE 2YR 200,000 USD/CBK | 09/22/02 | | | | | | | 36,937 83 | 36937 83 | | | |
| | FUTURE T-NOTE 5YR 100,000 USD/CBC | 03/22/02 | | | | | | | (65,592 81) | (65592 81) | | | |
| | FUTURE 10YR AGENCY 100,000 USD/CI | 03/13/02 | | | | | | | 22,828 78 | 22828 78 | | | |
| | FUTURE T NOTE 2YR 200,000 USD/CBC | 06/22/02 | | | | | | | 10,540 93 | 10540 93 | | | |
| | FUTURE T NOTE 2YR 200,000 USD/CBC | 03/22/02 | | | | | | | 670 63 | 670 63 | | | |
| | FUTURE UST-BOND 100 000 USD/CBOT | 06/22/02 | | | | | | | (57,030.90) | (57030 90) | | | |
| | FUTURE UST-BOND 100 000 USD/CBOT | 09/20/02 | | | | | | | 73,705 54 | 73705 54 | | | |
| | FUTURE T-NOTE 5YR 100,000 USD/CBC | 06/22/02 | | | | | | | (127,035 90) | (127035 90) | | | |
| | FUTURE T NOTE 5YR 100,000 USD/CBC | 07/31/95 | | | | | | | 6,458.84 | 6458 84 | | | |
| | FUTURE SILVER 5,000 OZ/COMEX | 12/22/01 | | | | | | | 7,812 40 | 7812.40 | | | |

CSS-NY-J P MORGAN INVEST MGMT INC (ACCT #1523)
FIXED INCOME SALES & PURCHASES FILE NAME (JPM-6)
FOR YEAR ENDED JUNE 30,2002

| RATE | DESCRIPTION | MATURE DATE | OPENING BALANCE PAR 7/1/2001 | OPENING BALANCE COST | PURCHASES PAR | PURCHASES COST | SALES PAR | SALES COST | PROCEEDS | GAINS OR LOSS | BALANCE 6/30/2002 PAR | BALANCE 6/30/2002 COST | MARKET VALUE 6/30/02 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | FUTURE T-NOTE 5YR 100,000 USD/CBC | 09/19/02 | | | | | | | (52,356 66) | (52356 66) | | | |
| | FUTURE T-NOTE 10YR 100,000 USD/CB | 09/19/02 | | | | | | | 45,824 88 | 45824 88 | | | |
| | FUTURE EURODOL 3MO 1,000,000 EUD CUSIP #EDCH03470 | 03/15/04 | | | | | | | (11,445 00) | (11445 00) | | | |
| | FUTURE EURODOL 3MO 1,000,000 EUD CUSIP #EDCH12390 | 12/15/03 | | | | | | | (13 120 00) | (13120 00) | | | |
| | FUTURE EURODOL 3MO 1,000,000 EUD CUSIP #EDCH03260 | 09/16/02 | | | | | | | (12,445 00) | (12445 00) | | | |
| | FUTURE EURODOL 3MO 1,000,000 EUD CUSIP #EDCH03380 | 03/17/03 | | | | | | | (17,695 00) | (17695 00) | | | |
| | FUTURE EURODOL 3MO 1,000,000 EUD | 06/14/04 | | | | | | | (272 00) | (272 00) | | | |
| | FUTURE EURODOL 3MO 1,000,000 EUD CUSIP #EDCH06310 | 06/17/03 | | | | | | | (15,870 00) | (15870 00) | | | |
| | FUTURE EURODOL 3MO 1,000,000 EUD CUSIP #EDCH06220 | 06/17/02 | | | | | | | (5,406 50) | (5406 50) | | | |
| | FUTURE EURODOL 3MO 1,000,000 EUD CUSIP #EDCH12200 | 12/16/02 | | | | | | | (15,782 50) | (15782 50) | | | |
| | FUTURE EURODOL 3MO 1,000,000 EUD CUSIP #EDCH09350 | 09/15/03 | | | | | | | (16,357 50) | (16357 50) | | | |
| | DO NOT USE | 12/19/01 | | | | | | | 1,350 00 | 1350 00 | | | |
| | TOTAL FIXED INCOME INVESTMENT | | | 39,269,752.44 | | 4,052,789.95 | | 1,237,991.34 | 1,991,135.31 | 753143.97 | | 42,084,551.05 | 43,127,511.48 |

CSS-NY--J P MORGAN INV MGMT INC
BOND PURCHASES & SALES (ACCT #1523) FILE NAME-(JPM-9)
FOR 12 MONTHS ENDED 6 30 02

| DESCRIPTION | BEGINING BALANCE 7 1 01 COST | PURCHASES PAR VALUE | PURCHASES COST | SALES PAR VALUE | SALES COST | SALES PROCEEDS | PROFIT (LOSS) | ENDING BALANCE 6 30 02 PAR VALUE | ENDING BALANCE 6 30 02 COST | MARKET VALUE 06/30/02 |
|---|---|---|---|---|---|---|---|---|---|---|
| ACM INSTITUTIONAL RESERVE TRUST PORTFOLIO | 176,540 99 | | 2,460,549 73 | | 2,367,414 92 | 2,362 164 92 | (5,250 00) | | 269,675 80 | 269,675 80 |
| | 176,540 99 | | 2 460,549 73 | | 2,367,414 92 | 2,362 164 92 | (5,250 00) | | 269,675 80 | 269,675 80 |

CSS-NY--COMMITTEE ACCOUNT
BOND PURCHASES & SALES (ACCT #RS24) FILE NAME-(COMMT-9)
FOR 12 MONTHS ENDED 6 30 02

| DESCRIPTION | BEGINING BALANCE 7 1 01 COST | PURCHASES COST | S A L E S COST | S A L E S PROCEEDS | S A L E S GAINS OR LOSS | ENDING BALANCE 6 30 02 COST | MARKET VALUE 06/30/02 |
|---|---|---|---|---|---|---|---|
| ALLIANCE INSTITUTIONAL TRUST #60 | 74,276 48 | 512 126 68 | 626,034 26 | 634,856 14 | 8,821 88 | 60,368 90 | 60,368.90 |
| | 74,276 48 | 512 126.68 | 626,034 26 | 634,856 14 | 8,821 88 | 60,368 90 | 60,368 90 |

CSS-NY-FIRST MANHATTAN (ACCT # 1513)
STOCK SALES & PURCHASES
FOR YEAR ENDED JUNE 30,2002

FILE NAME (FM-8)

| DESCRIPTION | OPENING BALANCE SHARES 7/1/2001 | OPENING BALANCE COST | PURCHASES SHARES | PURCHASES COST | SALES SHARES | SALES COST | PROCEEDS | GAINS OR LOSS | BALANCE 6/30/02 SHARES | BALANCE 6/30/02 COST | MARKET VALUE 06/30/02 |
|---|---|---|---|---|---|---|---|---|---|---|---|
| ABBOTT LABORATORIES | 42,000.00 | 1,247 198 40 | | | | | | | 42,000.00 | 1,247 198 40 | 1,581,300 00 |
| AUTOZONE INC | 59,300 00 | 1,803,946 36 | | | 59,300 00 | 1,803,946 36 | 3,388,469 55 | 1,584,523 19 | 0 00 | 0 00 | 0 00 |
| AXIOM CORP | 66,000 00 | 1,289,441 73 | | | | | | | 66,000 00 | 1,289,441 73 | 1 154,340 00 |
| AMDOCS LIMITED | | | 17,500 00 | 306,888 36 | | | | | 17,500 00 | 306,888 36 | 132 125 00 |
| BANK AMER CORP | 25 175 00 | 403,532 82 | | | | | | | 25 175 00 | 403,532 82 | 1 771,313 00 |
| BECKITT BENCKISER PLC(FROM RECKITT BENCK) | | | 137,900 00 | 958,011 93 | | | | | 137,900 00 | 958 011 93 | 2 474,211 67 |
| BERKSHIRE HATHAWAY INC COM CL | 22 00 | 1,434,913 06 | | | | | | | 22 00 | 1,434,913 06 | 1,469,600 00 |
| BERKSHIRE HATHAWAY INC DEL | 597 00 | 1,064,946 02 | | | | | | | 597 00 | 1,064,946 02 | 1 333,698 00 |
| COMPUTER SCIENCES CORP | 26,300 00 | 1 430,017 79 | | | | | | | 26,300 00 | 1,430,017 79 | 1,257 140 00 |
| CVS CORP | 63 100 00 | 2,279,277 46 | 13,200 00 | 387,077 00 | | | | | 76,300 00 | 2,666,354 46 | 2,334,780 00 |
| DIEBOLD INC | 69,200 00 | 1,670,656 52 | | | | | | | 69,200 00 | 1 670,656 52 | 2,577,008 00 |
| DOLLAR GEN CORP | 88,987 00 | 418,693 94 | | | | | | | 88,987 00 | 418,693 94 | 1,693,422 61 |
| FIRST DATA CORP | 46,800 00 | 1,416,396 76 | 29,300 00 | 0 00 | 19,500 00 | 565,777 40 | 1,656,109 35 | 1,091,331.95 | 56,600 00 | 851,619 36 | 2 179,920 00 |
| FIRST UNION CORP | 20,600 00 | 371,822 00 | (20,600 00) | (371,822.00) | | | | | 0 00 | 0 00 | |
| FRESENIUS NATL MED CARE INC | 15,700 00 | 3,046 06 | | | | | | | 15 700 00 | 3 046 06 | 1,226 55 |
| GANNET COMPANY INC | 34,200 00 | 1,242,980 51 | | | | | | | 34,200 00 | 1,242,980 51 | 2,595 760 00 |
| GARTNER GROUP INC NEW | 124,269 00 | 1,548,522 98 | | | 7,500 00 | 93,457 92 | 86,297 63 | (7 160 29) | 116 769 00 | 1,455,065 06 | 1,097,628 60 |
| HARTE-HANKS COMMUNICATIONS INC | 84,400 00 | 1 196,437 83 | 42,200 00 | 0 00 | | | | | 126 600 00 | 1 196,437 83 | 2,601,630 00 |
| HOUSEHOLD INTL COM | 60,300 00 | 2,019,398 17 | | | | | | | 60 300 00 | 2,019,398 17 | 2,996,910 00 |
| IMAGISTICS INTL INC (FR PITNEY BOWES INC ) | | | 2,800 00 | 29,027 80 | 2,800 00 | 29,027 80 | 41,551 37 | 12,523 57 | 0.00 | 0 00 | |
| IMS HEALTH INC | 75,800 00 | 1,070,363 22 | | | | | | | 75,800 00 | 1,070,363 22 | 1,360,610 00 |
| ITT EDL SVCS INC | 69,400 00 | 1,053,621 96 | 50,200 00 | 0 00 | 19,200.00 | 291,491.96 | 864,861 86 | 573,369 90 | 100,400 00 | 762 130 00 | 2 188 720 00 |
| KIMBERLY-CLARK CORP | 18,600 00 | 652,324 64 | | | | | | | 18,600 00 | 652,324 64 | 1 153,200 00 |
| LEGGETT & PLATT INC | 73,000 00 | 1,259,034 40 | | | 73,000 00 | 1,259,034 40 | 1,661,663 75 | 402,629 35 | 0 00 | 0 00 | |
| LIBERTY MEDIA CORP | | | 144,300 00 | 1,502,909 49 | | | | | 144,300 00 | 1,502,909 49 | 1 443,000 00 |
| MERCK & CO INC | | | 14,600 00 | 837,280 60 | | | | | 14,600 00 | 837,280 60 | 739,344 00 |
| NABORS INDS INC | | | 42 100 00 | 950 191 72 | 42 100 00 | 950 191 72 | 1,983 100.20 | 1,032,908 48 | 0 00 | 0 00 | |
| NABORS INDUSTRIES LTD | | | 21,700 00 | 617,005 00 | 0 00 | 0 00 | 327,236 72 | 327,236 72 | 21,700 00 | 617,005 00 | 803 783.09 |
| PHARMACIA CORP | | | 47,800 00 | 1,965,475 11 | | | | | 47,800 00 | 1,965,475 11 | 1 790 110 00 |
| PHILIP MORRIS COMPANIES INC | | | | | | | 11,892.81 | 11,892 81 | 0 00 | 0 00 | |
| PHOENIX COS INC | | | 6,000 00 | 80 105 40 | | | | | 6,000 00 | 80 105 40 | 110 100 00 |

CSS-NY–FIRST MANHATTAN (ACCT # 1513)
STOCK SALES & PURCHASES
FOR YEAR ENDED JUNE 30,2002

FILE NAME (FM-8)

| DESCRIPTION | OPENING BALANCE SHARES 7/1/2001 | OPENING BALANCE COST | PURCHASES SHARES | PURCHASES COST | SALES SHARES | SALES COST | PROCEEDS | GAINS OR LOSS | BALANCE 6/30/02 SHARES | COST | MARKET VALUE 06/30/02 |
|---|---|---|---|---|---|---|---|---|---|---|---|
| PITNEY BOWES INCORPORATED | 35,000 00 | 1 290 124 50 | | (29,027.80) | | | | | 35,000 00 | 1,261,096 70 | 1,390,200 00 |
| PRIZER INC | | | 65,200 00 | 2,566 471 22 | | | | | 65,200 00 | 2,566 471 22 | 2 262,000 00 |
| RECKIT BANCKISER CLB NLG (NEW NAME | 161,500.00 | 1 121,964 66 | (137,900 00) | (958,011 93) | 23,600 00 | 163,952 73 | 353,256 01 | 189,303 28 | 0 00 | 0 00 | |
| ROBERT HALF INTL INC | 68,200 00 | 880,089 44 | | | 36,800.00 | 480,282 87 | 889,949 43 | 409,666 56 | 31,400 00 | 409,806 57 | 731,620 00 |
| SABRE HOLDINGS CORP CL | 49 700 00 | 1 501,487 06 | | | 49,700.00 | 1,501 457 06 | 2,219,510 96 | 718 053 90 | 0 00 | 0 00 | |
| SARA LEE CORP | 15,000 00 | 321,525 00 | | | | | | | 15,000 00 | 321,526 00 | 309,600 00 |
| SCRIPPS EW INC NEW CL | 10,800.00 | 216,398 76 | | | 10,800 00 | 216,398 76 | 753,340.97 | 536,942 21 | 0 00 | 0 00 | |
| SPRINT CORP | 19,000 00 | 1,009,000 70 | | | 19,000 00 | 1,009,000 70 | 291,615 70 | (717,385 00) | 0 00 | 0 00 | |
| UNITED STATES CELLULAR CORP | | | 38,500 00 | 1,486,530.48 | | | | | 38,500 00 | 1 486,530 48 | 979,825 00 |
| WACHOVIA CORP 2ND | | | 20,600 00 | 371,822.00 | 20,600 00 | 371,822 00 | 789,438 23 | 417,616 23 | 0 00 | 0 00 | |
| TOTAL STOCK INVESTMENTS | | 31,449,234 76 | | 10,869,934 58 | | 6,736,841 67 | 15,320,316 53 | 6,583,474 86 | | 33,602,327 67 | 44,534 145 73 |

CSS-NY–FIRST MANHATTAN
BOND PURCHASES & SALES
FOR 12 MONTHS ENDED 6 30 02

(ACCT #1526) FILE NAME-(FM-9)

| DESCRIPTION | BEGINING BALANCE 7 1 01 COST | PURCHASES COST | SALES COST | ENDING BALANCE 6 30 02 COST | MARKET VALUE 06/30/01 |
|---|---|---|---|---|---|
| ALLIANCE INSTITUTIONAL TRUST #60 | 1 145,292.71 | 14,925,991 27 | 15,934,492 40 | 136,791 58 | 136,791 58 |
| TOTAL MMI | 1 145,292.71 | 14,925,991.27 | 15,934,492 40 | 136 791 58 | 136 791 58 |

COMMUNITY SERVICE SOCIETY OF NEW YORK
SCHEDULE OF INVESTMENT INCOME
FOR THE PERIOD ENDING JUNE 30, 2002

DIVIDEND INCOME
FOR 12 MONTHS FYE 6/30/2002

FILE NAME-(PEACH-7)

| DISCRIPTION | REVERSAL ACCRUALS 06/30/01 | JULY 2,001 | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER 2,001 | Peach-7 JANUARY 2,002 | FEBRUARY | MARCH | APRIL | MAY | JUNE 2,002 | TOTAL |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| MONEY MARKET INSTRUMENTS | | | | | | | | | | | | | | |
| FEDERATED PRIME CASH OBLIGATIONS FI | (2,164.13) | 2,164.13 | 1,340.37 | 3,442.93 | | | | | | | | | | 6,947.43 |
| ALLIANCE INSTITUTIONAL TRUST 850 | | | | 7.05 | | | | | | | | | | 7.05 |
| MISC SHORT TERM INVESTMENTS | | | | -544.58 | | | | | | | | | | -544.58 |
| TOTAL MMI DIVIDEND MONTHLY | | 2,164.13 | 1,340.37 | 2,905.41 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 6,409.91 |
| TOTAL MMI DIVIDEND UP TO DATE | (2,164.13) | 2,164.13 | 3,504.50 | 6,409.91 | 6,409.91 | 6,409.91 | 6,409.91 | 6,409.91 | 6,409.91 | 6,409.91 | 6,409.91 | 6,409.91 | 6,409.91 | |
| STOCK DIVIDEND | | | | | | | | | | | | | | |
| AMERICAN EXPRESS CO | | | 1,360.00 | | | | | | | | | | | 1,360.00 |
| AUTODESK INC | | 1,380.00 | | | | | | | | | | | | 1,380.00 |
| CATERPILLAR INC | | | 2,450.00 | | | | | | | | | | | 2,450.00 |
| LINER TECHNOLOGY CORP | | | 600.00 | | | | | | | | | | | 600.00 |
| MBNA CORP | (2,465.00) | 2,465.00 | | | | | | | | | | | | 2,465.00 |
| MERRILL LYNCH & CO INC | | | 2,720.00 | | | | | | | | | | | 2,720.00 |
| MORGAN STANLEY DEAN WITTER & CO | | 2,760.00 | | | | | | | | | | | | 2,760.00 |
| NOKIA CORP ADR-A SHS | | | | | | | | | | | | | | 0.00 |
| NORFOLK SOUTHERN CORP | | | | 2,520.00 | | | | | | | | | | 2,520.00 |
| OMNICOM GROUP INC | (2,200.00) | 2,200.00 | | | | | | | | | | | | 2,200.00 |
| PHARMACIA CORP | | | 3,052.35 | | | | | | | | | | | 3,052.35 |
| TYCO INTL LTD NEW | (367.50) | | 367.50 | | | | | | | | | | | 367.50 |
| STOCK DIVIDEND MONTHLY TOTAL | | 8,805.00 | 10,569.85 | 2,520.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 21,895.85 |
| STOCK DIVIDEND TOTAL UP TO DATE | (5,053.50) | 8,805.00 | 19,375.85 | 21,895.85 | 21,895.85 | 21,895.85 | 21,895.85 | 21,895.85 | 21,895.85 | 21,895.85 | 21,895.85 | 21,895.85 | 21,895.85 | 0.00 |
| DIVIDEND MONTHLY TOTAL | | 10,970.13 | 11,910.22 | 5,425.41 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 28,305.76 |
| DIVIDEND TOTAL UP TO DATE | (7,217.63) | 10,970.13 | 22,880.35 | 28,305.76 | 28,305.76 | 28,305.76 | 28,305.76 | 28,305.76 | 28,305.76 | 28,305.76 | 28,305.76 | 28,305.76 | 28,305.76 | |

CSS-NY COMMITTY ACCOUNT
DIVIDENED INCOME
FOR 12 MONTHS FYE 6/30/2002

FILE NAME (VANGRD 7)

| DESCRIPTION | REVERST ACCRUALS 06/30/01 | JULY 2001 | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER 2001 | JUNUARY 2002 | FEBRUARY | MARCH | APRIL | MAY | JUNE 2002 | TOTAL |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| EQUITIES | | | | | | | | | | | | | | |
| VANGUARD 500 INDEX FD ADMIRAL SHS | | | | 100,436.80 | | | 120,676.47 | | | 92,130.07 | | | 95,121.68 | 408,365.02 |
| VANGUARD INDEX TR MID CAP | | | | | | | 15,629.57 | | | 1,801.92 | | | | 17,431.49 |
| U S DOLLARS | | | | | | | 15,629.57 | | (15,629.57) | | | | | 0.00 |
| MONTHLY TOTAL | | 0.00 | 0.00 | 100,436.80 | 0.00 | 0.00 | 151,935.61 | 0.00 | (15,629.57) | 93,931.99 | 0.00 | 0.00 | 95,121.68 | 425,796.51 |
| FY UP TO DATE | 0.00 | 0.00 | 0.00 | 100,436.80 | 100,436.80 | 100,436.80 | 252,372.41 | 252,372.41 | 236,742.84 | 330,674.83 | 330,674.83 | 330,674.83 | 425,796.51 | |

CSS-NY
J P MORGAN INV MGMT INC
INTEREST INCOME
FOR 12 MONTHS FYE 6/30/2002

FILE NAME (JPM-6)

| DATE DUE | DISCRIPTION | REVERSAL ACCRUALS 06/30/01 | JULY Jul-01 | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER Dec-01 | JANUARY Jan-02 | FEBRUARY | MARCH | APRIL | MAY | JUNE Jun-02 | TOTAL |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | FIXED INCOME INVESTMENTS: | | | | | | | | | | | | | | |
| 02/28/02 | US TREASURY NOTE-HELD AS COI | (1,462.30) | | | | | | | | 2,016 30 | | | | | 2,016 30 |
| 07/31/02 | US TREASURY NOTE | | | (5 10) | | | | | | (93 23) | | | | | -98 33 |
| 04/30/02 | US TREASURY NOTE-HELD AS COLLAT | | | 2 187 50 | | | | | | 312.50 | | | | | 2,500 00 |
| 08/31/03 | US TREASURY NOTE | | | | (105 15) | | | | | (801 10) | | | | | (906 25) |
| 08/31/03 | US TREASURY NOTE-HELD AS COLLAT | | | | | | | | | 1,812 50 | | | | | 1,812 50 |
| | U S DOLLAR | | | | | (140.72) | | | | | | | | | (140 72) |
| | MONTHLY TOTAL FIX INC INTEREST | (1,462 30) | 0 00 | 2 182.40 | (105 15) | (140.72) | 0 00 | 0 00 | 0 00 | 3,246.97 | 0 00 | 0 00 | 0 00 | 0 00 | 5 185 50 |
| | FY UP TO DATE INTEREST FIX INCOME | | 0 00 | 2 182.40 | 2,077.25 | 1,936.53 | 1,936 53 | 1,936 53 | 1,936.53 | 5,185.50 | 5,185 50 | 5 185.50 | 5 185 50 | 5 185 50 | |

CSS-NY
J P MORGAN INV MGMT INC
DIVIDEND INCOME
FOR 12 MONTHS FYE 6/30/2002

FILE NAME (JPM-7)

| DISCRIPTION | REVERSAL ACCRUALS 06/30/01 | JULY Jul-01 | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER Dec-01 | JANUARY Jan-02 | FEBRUARY | MARCH | APRIL | MAY | JUNE Jun-02 | TOTAL |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| MONEY MARKET INSTRUMENTS | | | | | | | | | | | | | | |
| ALLIANCE INSTITUTIONAL TRUST #60 | (507 05) | 507 05 | 544.91 | 574 16 | 230 68 | 516 25 | 146 36 | 49 58 | 13 13 | 76.69 | 165 33 | 275 89 | 234 68 | 3,334 71 |
| U S DOLLARS | | | | | | (79 17) | (38 87) | | | (11 21) | | | | (129.25 |
| MONTHLY TOTAL MMI DIVIDEND INCOMI | (507 05) | 507 05 | 544.91 | 574 16 | 230 68 | 437 08 | 107 49 | 49 58 | 13.13 | 65 48 | 165 33 | 275 89 | 234 68 | |
| TOTAL MMI DIVIDEND UP TO DATE | | 507 05 | 1,061.96 | 1,636 12 | 1,866 80 | 2,293 88 | 2,401 37 | 2 450 95 | 2 464 08 | 2,529.56 | 2,694 89 | 2,970 78 | 3,205 46 | 3,205 46 |
| OTHER ASSETS | | | | | | | | | | | | | | |
| JPM PUBLIC BOND FUND | | 147 158 66 | 154,333 06 | | 256,652 33 | 127,798 16 | 131,749 66 | 117,417 01 | 109,785 39 | 121,221 71 | 112,399 18 | 119 107 68 | 103,280 29 | 1,500,900 13 |
| JPM STRUCTURED DEBT FUND,LLC | | 58,001.23 | 61,687 29 | | 110,286 06 | 54,406.28 | 57,880 36 | 60,230.94 | 54,478 22 | 64,497.04 | 54,046 21 | 61,856 17 | 51,692.63 | 689,062 43 |
| U S.TREASURY NOTES | | | | | | | | | | | | (124 12) | | (124 12 |
| TOTAL MONTH DIVIDEND OTHER ASSET | | 205 156.89 | 216,020.36 | 0.00 | 366,938.39 | 182,204.44 | 189,630 02 | 177,647 95 | 164,263.61 | 185,718 75 | 166 445 39 | 180,839 73 | 154,972 92 | |
| TOTAL DIVID OTHER ASSET UP TO DATE | | 205 156.89 | 421 177.24 | 421 177.24 | 788 115 63 | 970,320.07 | 1 159,950 09 | 1,337,598.04 | 1,501,861 65 | 1,687,580.40 | 1,854,025 79 | 2,034,865 52 | 2 189,838 44 | 2 189,838.44 |
| DIVIDEND MONTHLY TOTAL | | 205,663 94 | 216,565.26 | 574 16 | 367 169.07 | 182,641.52 | 189 737 51 | 177,697.53 | 164,276 74 | 185,784 23 | 166,610 72 | 181 115 62 | 155,207 60 | |
| DIVIDEND UP TO DATE TOTAL | | 205,663 94 | 422,229.20 | 422,803.36 | 789,972.43 | 972,613.95 | 1 162,351 45 | 1,340,048.99 | 1,504,325 73 | 1,690 109 96 | 1,856 720 68 | 2,037,836 30 | 2 193,043.90 | 2 193,043.90 |

CSB-NY FIRST MANHATTAN
DIVIDEND INCOME
FROM 7/1/01-6/30/2002

FILE NAME (FM-7)

| DESCRIPTION | REVERST ACCRUAL 6/30/01 | JULY 7/31/01 | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER 12/31/01 | JANUARY 1/31/02 | FEBRUARY | MARCH | APRIL | MAY | JUNE 6/30/02 | TOTAL |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| MONEY MARKET INSTRUMENTS | | | | | | | | | | | | | | |
| ALLIENCE INSTITUTION TRUST #60 U S DOLLARU S DOLLAR | (5197 40) | 5 197 40 | 6,389 87 | 12,155 48 | 4 150 28 | 4,504 43 (86.66) | 5,415 66 | 2,789 79 | 771 34 | 1,262 32 | 1,278.83 | 107 52 | 465 12 | 44 478 84 (86 66) |
| MONTHLY TOTAL INTEREST MMI | (5197 40) | 5 197 40 | 6,389.87 | 12 155 48 | 4 150.28 | 4,417 77 | 5,415 66 | 2,789 79 | 771 34 | 1,252.32 | 1,278 83 | 107 52 | 465 12 | 44,392 18 |
| S T O C K S | | | | | | | | | | | | | | |
| ABBOTT LABORATORIES | | | 6,820.00 | | | 6,820.00 | | | 6,820 00 | | | 9,870 00 | | 36,330 00 |
| BANK AMERICA CORP | | | | 14,098 00 | | | 15 105 00 | | | 15 105 00 | | | 15 105 00 | 59,413 00 |
| CVS CORP | | | 3,628 25 | | | 3,984 75 | | | 4,387 25 | | | 4,387 25 | | 16 387 50 |
| DIEBOLD INC | | | | 11,072 00 | | | 11,072 00 | | | 11,418 00 | | | 11 418 00 | 44,980 00 |
| DOLLAR GEN CORP | | | | 2,847 58 | | | 2,847 58 | | | | 2,847 58 | | | 8,542 74 |
| FIRST DATA CORP | (976 00) | 976 00 | | | 976.00 | | | 976.00 | | | 828 00 | | | 3 758 00 |
| FIRST UNION CORP | | | | 4,944 00 | | | | | | | | | | 4,944 00 |
| GANNETT CO INC | (7524 00) | 7,524 00 | | | 7,866 00 | | | 7,868 00 | | | 7,866 00 | | | 31 122 00 |
| HARTE-HANKS INC COMM.STOCK | | | | 2,532.00 | | | 2,532 00 | | | 2,954 00 | | | 3 165 00 | 11 183 00 |
| IMS HEALTH INC | | | | 1,516.00 | | | 1,516 00 | | | 1,516 00 | | | 1,516 00 | 6,064 00 |
| HOUSEHOLD INTL | (13266 00) | 13,266.00 | | | 13,266.00 | | | 13,266 00 | | | 13,266 00 | | | 53,064 00 |
| KIMBERLY-CLARK CORP | (5208 00) | 5,208 00 | | | 5,208.00 | | | 5,208 00 | | | 5,590 00 | | | 21,204 00 |
| LEGGETT & PLATT INC | | | | | | | | | | | | | | 0 00 |
| MERCK & CO INC | | | | | | | | | | | 5 110 00 | | | 5 110 00 |
| PFIZER INC | | | | | | | | | | | | | 8,476 00 | 8 476 00 |
| PHARMACIA CORP | | | | | | 4,806.00 | | | 6,453 00 | | | 6,453 00 | | 17 712 00 |
| PITNEY BOWES INC | | | | 10 150.00 | | | 10 150 00 | | | 10,325 00 | | | 10,325 00 | 40,950 00 |
| RECKITT BENCKISER ORD GBP | | | | 29,965.84 | | | | | | | | 25,603 06 | | 55,568 90 |
| SPRINT CORP | | | | 2,376.00 | | | 2,375.00 | | | 2,375 00 | | | | 7 125 00 |
| SARA LEE CORP | (2175 00) | 2 175 00 | | | 2 175 00 | | | 2,250 00 | | | 2,250 00 | | | 8,850 00 |
| SCRIPPS EW INC NEW CL A | | | | | | | | | | | | | | 0 00 |
| WACHOVIA CORP 2ND NEW | | | | | | | 4,944.00 | | | 4,944 00 | | | | 9,888 00 |
| TOTAL STOCKS | (29149.00) | 29 149 00 | 12,448.25 | 79,500 42 | 29,491.00 | 17,610 75 | 50,541 58 | 29,568 00 | 19,660 25 | 48,637 00 | 37 747 58 | 46,313 31 | 50,005 00 | |
| MONTHLY TOTAL | (34346 40) | 34,346 40 | 18,838 12 | 91,656 90 | 33,641.28 | 22,028.52 | 55,957 24 | 32,355 79 | 20,431 59 | 49,889.32 | 39,026 21 | 46 420 83 | 50,470 12 | 495,052.32 |
| TOTAL UP TO DATE | | 34,346 40 | 53,184 52 | 144,841 42 | 178,482.70 | 200,511.22 | 256,468.46 | 288,824.25 | 309,255 84 | 359 145 16 | 398 171 37 | 444,592 20 | 495,052.32 | |

CSS-NY COMMITTY ACCOUNT
DIVIDENED INCOME
FOR 12 MONTHS FYE 6/30/2002

FILE NAME (COMMTT-7)

| DESCRIPTION | REVERST ACCRUALS 06/30/01 | JULY 2001 | AUGUST | SEPTEMBE | OCTOBER | NOVEMBER | DECEMBER 2001 | JUNUARY 2002 | FEBRUARY | MARCH | APRIL | MAY | JUNE 2002 | TOTAL |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| SHORT TERM INVESTMENTS | | | | | | | | | | | | | | |
| ALLIANCE INSTITUTIONAL TRUST 660 | (225.50) | 225.50 | 217.16 | 309.56 | 264.97 | 210.01 | 150.97 | 87.77 | 78.54 | 66.73 | 74.49 | 73.03 | 73.48 | 1,832.19 |
| MONTHLY TOTAL | | 225.50 | 217.16 | 309.56 | 264.97 | 210.01 | 150.97 | 87.77 | 78.54 | 66.73 | 74.49 | 73.03 | 73.48 | 1,832.19 |
| FY UP TO DATE | (225.50) | 225.50 | 442.66 | 752.20 | 1,017.17 | 1,227.18 | 1,378.15 | 1,465.92 | 1,544.46 | 1,611.19 | 1,685.68 | 1,758.71 | 1,832.19 | |

Form **8868** (December 2000) Department of the Treasury Internal Revenue Service

# Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . . . . . . ▶ ☒
- If you are filing for an **Additional (not automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

**Note:** *Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.*

## Part I Automatic 3-Month Extension of Time—Only submit original (no copies needed)

**Note:** *Form 990-T corporations requesting an automatic 6-month extension—check this box and complete Part I only* . . . ▶ ☐

*All other corporations (including Form 990-C filers) must use Form 7004 to request an extension of time to file income tax returns. Partnerships, REMICs and trusts must use Form 8736 to request an extension of time to file Form 1065, 1066, or 1041.*

| Type or print | Name of Exempt Organization | Employer identification number |
|---|---|---|
| | COMMUNITY SERVICE SOCIETY OF NEW YORK | 13 : 5562202 |
| File by the due date for filing your return. See instructions. | Number, street, and room or suite no. If a P O box, see instructions<br>105 East 22nd Street | |
| | City, town or post office, state, and ZIP code. For a foreign address, see instructions.<br>NEW YORK, NEW YORK 10010 | |

**Check type of return to be filed** (file a separate application for each return):

| | | |
|---|---|---|
| ☒ Form 990 | ☐ Form 990-T (corporation) | ☐ Form 4720 |
| ☐ Form 990-BL | ☐ Form 990-T (sec. 401(a) or 408(a) trust) | ☐ Form 5227 |
| ☐ Form 990-EZ | ☐ Form 990-T (trust other than above) | ☐ Form 6069 |
| ☐ Form 990-PF | ☐ Form 1041-A | ☐ Form 8870 |

- If the organization does **not** have an office or place of business in the United States, check this box . . . . . ▶ ☐
- If this is for a **Group Return**, enter the organization's four digit Group Exemption Number (GEN) ________ . If this is for the **whole** group, check this box ▶ ☐ . *If it is for part of the group, check this box* ▶ ☐ *and attach a list with the names and EINs of all members the extension will cover*

**1** I request an automatic 3-month (6-month, for **990-T corporation**) extension of time until 2/15 , 20 03 to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ ☐ calendar year 20 ... or

▶ ☒ tax year beginning July 1 , 20 01 and ending June 30 , 20 02

**2** If this tax year is for less than 12 months, check reason. ☐ Initial return ☐ Final return ☐ Change in accounting period

**3a** If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions . . . . . . . . . $

**b** If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit . . . . . . . . . $

**c Balance Due.** Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions . . . . . . . . . $

## Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ David R. Jones Title ▶ President Date ▶ 11/12/02

For Paperwork Reduction Act Notice, see Instruction. Cat. No. 27916D Form **8868** (12-2000)

- If you are filing for an **Additional (not automatic) 3-Month Extension, complete only Part II** and check this box . . ▶ ☐

**Note:** ***Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.***

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

**Part II Additional (not automatic) 3-Month Extension of Time—Must File Original and One Copy.**

| | | |
|---|---|---|
| **Type or print**<br>File by the extended due date for filing the return See instructions | Name of Exempt Organization | Employer identification number |
| | Number, street, and room or suite no. If a P O box, see instructions | For IRS use only |
| | City, town or post office, state, and ZIP code For a foreign address, see instructions. | |

**Check type of return to be filed** (File a separate application for each return):

☐ Form 990 ☐ Form 990-EZ ☐ Form 990-T (sec 401(a) or 408(a) trust) ☐ Form 1041-A ☐ Form 5227 ☐ Form 8870
☐ Form 990-BL ☐ Form 990-PF ☐ Form 990-T (trust other than above) ☐ Form 4720 ☐ Form 6069

**STOP: Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- If the organization does not have an office or place of business in the United States, check this box . . . . . . . ▶ ☐
- If this is for a **Group Return**, enter the organization's four digit Group Exemption Number (GEN) ____________ . If this is for the **whole** group, check this box ▶ ☐ If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until .................................................. , 20....

5 For calendar year ........, or other tax year beginning ........................., 20.... and ending ........................., 20....

6 If this tax year is for less than 12 months, check reason. ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension ...................................................................................

.......................................................................................................................................................

.......................................................................................................................................................

| | | |
|---|---|---|
| **8a** | If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions . . . . . . . . . . . . . . . . . . . . . . | $ |
| **b** | If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868 . . . . . . . . . . . . . . . . . . . . . . | $ |
| **c** | **Balance Due.** Subtract line 8b from line 8a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions . . . . . . . . . . . . . . . . . . . . . . | $ |

## Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ Title ▶ Date ▶

## Notice to Applicant—To Be Completed by the IRS

☐ We have approved this application Please attach this form to the organization's return

☐ We have not approved this application However, we have granted a 10-day grace period from the later of the date shown below or the due date of the organization's return (including any prior extensions) This grace period is considered to be a valid extension of time for elections otherwise required to be made on a timely return. Please attach this form to the organization's return

☐ We have not approved this application After considering the reasons stated in item 7, we cannot grant your request for an extension of time to file. We are not granting a 10-day grace period

☐ We cannot consider this application because it was filed after the due date of the return for which an extension was requested

☐ Other ......................................................................................................................

________________________ By ________________________ ______________

Director Date

**Alternate Mailing Address** — Enter the address if you want the copy of this application for an additional 3-month extension returned to an address different than the one entered above

| | |
|---|---|
| **Type or print** | Name |
| | Number and street (include suite, room, or apt. no.) Or a P.O. box number |
| | City or town, province or state, and country (including postal or ZIP code) |