

Form 990

Return of Organization Exempt From Income Tax

OMB No 1545 0047

2001

Open to Public Inspection

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

The organization may have to use a copy of this return to satisfy state reporting requirements

Department of the Treasury Internal Revenue Service

A For the 2001 calendar year or tax year beginning JULY 1, 2001, and ending JUNE 30, 2002

- B Check if applicable: Address change, Name change, Initial return, Final return, Amended return, Application pending

C Name of organization: UNITED WAY OF NEW YORK CITY, 2 PARK AVENUE, NEW YORK, NY 10016

D Employer identification number: 13-2617681, E Telephone number: 212-251-2500, F Accounting method: Accrual

G Web site: www.uwnyc.org

J Organization type: 501(c)(3)

K Check here if the organization's gross receipts are normally not more than \$25,000

H and I are not applicable to section 527 organizations. H(a) Is this a group return for affiliates? H(b) If "Yes" enter number of affiliates. H(c) Are all affiliates included? H(d) Is this a separate return filed by an organization covered by a group ruling? M Check if the organization is not required to attach Sch B

L Gross receipts: Add lines 6b, 8b, 9b and 10b to line 12

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances

SCANNED JUN 25 '03

Table with columns for Revenue, Expenses, and Net Assets. Rows include Contributions, Program service revenue, Special events, and Total revenue/expenses.

Handwritten marks: 917, 20

Part II Statement of Functional Expenses All organizations must complete column (A). Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others. (See Specific Instructions on page 21.)

Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I		(A) Total	(B) Program services	(C) Management and general	(D) Fundraising	
22	Grants and allocations (attach schedule) (cash \$ <u>341,021,055</u> noncash \$ <u>1,225,342</u>)	342,246,397	342,246,397			
23	Specific assistance to individuals (attach schedule)					
24	Benefits paid to or for members (attach schedule)					
25	Compensation of officers, directors, etc	440,000		440,000		
26	Other salaries and wages	9,956,943	3,251,897	2,179,742	4,525,304	
27	Pension plan contributions	2,361,371	743,932	431,582	1,185,857	
28	Other employee benefits	2,634,831	643,270	1,021,899	969,662	
29	Payroll taxes	732,319	224,024	147,607	360,688	
30	Professional fundraising fees	144,216			144,216	
31	Accounting fees	198,616		175,400	23,216	
32	Legal fees	6,568		6,568		
33	Supplies	171,736	47,864	63,030	60,842	
34	Telephone	282,584	69,192	91,568	121,824	
35	Postage and shipping	290,732	49,505	146,819	94,408	
36	Occupancy	2,139,877	613,810	424,297	1,101,770	
37	Equipment rental and maintenance	367,144	72,792	183,254	111,098	
38	Printing and publications	689,810	87,892	48,441	553,477	
39	Travel	183,741	37,950	66,277	79,514	
40	Conferences, conventions, and meetings	355,770	192,984	43,311	119,475	
41	XXXXXX GENERAL CAMPAIGN EVENTS	231,428	174		231,254	
42	Depreciation, depletion, etc (attach schedule)	564,452	132,669	165,474	266,309	
43	Other expenses not covered above (itemize) a _____	43a				
	b _____	43b				
	c <u>SEE ATTACHED SCHEDULE</u>	43c	5,679,621	258,508	947,015	4,474,098
	d _____	43d				
	e _____	43e				
44	Total functional expenses (add lines 22 through 43). Organizations completing columns (B) - (D), carry these totals to lines 13 - 15.	44	369,678,156	348,672,860	6,582,284	14,423,012

Joint Costs Check if you are following SOP 98-2. Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services? Yes No. If "Yes," enter (i) the aggregate amount of these joint costs \$ _____, (ii) the amount allocated to Program services \$ _____, (iii) the amount allocated to Management and general \$ _____, and (iv) the amount allocated to Fundraising \$ _____.

Part III Statement of Program Service Accomplishments (See Specific Instructions on page 24.)

What is the organization's primary exempt purpose? <input checked="" type="checkbox"/> FUNDRAISING FOR DISTRIBUTION TO NOT-FOR-PROFIT ORGANIZATIONS	Program Service Expenses (Required for 501(c)(3) and (4) orgs and 4947(a)(1) trusts but optional for others)
a <u>SEPTEMBER 11TH FUND GRANTS</u> ----- (Grants and allocations \$ _____)	306,465,322
b <u>DISCRETIONARY AND OTHER GRANTS TO PROVIDE ANNUAL SUPPORT TO FUND SPECIFIC HUMAN SERVICES PROGRAMS AND TO IMPROVE AGENCIES' MANAGEMENT CAPABILITIES THROUGH TECHNICAL ASSISTANCE AND TRAINING</u> ----- (Grants and allocations \$ _____)	9,512,133
c <u>CONTRACT SERVICES AND OTHER GRANTS TO SUPPORT HUMAN SERVICES PROGRAMS</u> ----- (Grants and allocations \$ _____)	25,043,600
d <u>DONATED MATERIALS AND EQUIPMENT</u> ----- <u>AGENCY RELATIONS AND SERVICES SUPPORT</u> (Grants and allocations \$ _____)	1,225,342 6,426,463
e Other program services (attach schedule) (Grants and allocations \$ _____)	
f Total of Program Service Expenses (should equal line 44, column (B) Program services)	348,672,860

Part IV Balance Sheets (See Specific Instructions on page 24)

Note		(A)		(B)	
Where required, attached schedules and amounts within the description column should be for end-of-year amounts only		Beginning of year		End of year	
Assets	45	Cash — non-interest-bearing	409,182	45	1,548,170
	46	Savings and temporary cash investments	9,477,706	46	161,671,587
	47a	Accounts receivable			
	b	Less allowance for doubtful accounts		47c	
	48a	Pledges receivable	37,610,466		
	b	Less allowance for doubtful accounts	6,783,814	48c	30,826,652
	49	Grants receivable	3,556,081	49	5,059,714
	50	Receivables from officers, directors, trustees, and key employees (attach schedule)		50	
	51a	Other notes and loans receivable (attach schedule)			
	b	Less allowance for doubtful accounts	178,840	51c	181,755
	52	Inventories for sale or use	291,086	52	390,429
	53	Prepaid expenses and deferred charges	177,545	53	583,383
	54	Investments — securities (attach schedule) <input type="checkbox"/> Cost <input checked="" type="checkbox"/> FMV	4,061,852	54	3,682,651
	55a	Investments — land, buildings, and equipment basis			
	b	Less accumulated depreciation (attach schedule)		55c	
56	Investments — other (attach schedule)		56		
57a	Land, buildings, and equipment basis	5,189,371			
b	Less accumulated depreciation (attach schedule)	1,507,819	57c	3,681,552	
58	Other assets (describe MISCELLANEOUS RECEIVABLES)	274,365	58	170,269	
59	Total assets (add lines 45 through 58) (must equal line 74)	59,236,618	59	207,796,162	
Liabilities	60	Accounts payable and accrued expenses	5,732,836	60	9,935,144
	61	Grants payable	4,413,110	61	16,668,530
	62	Deferred revenue		62	
	63	Loans from officers, directors, trustees, and key employees (attach schedule)		63	
	64a	Tax-exempt bond liabilities (attach schedule)		64a	
	b	Mortgages and other notes payable (attach schedule)		64b	
	65	Other liabilities (describe ALLOCATIONS & DESIGNATIONS PAYABLE)	34,490,338	65	13,910,416
66	Total liabilities (add lines 60 through 65)	44,636,284	66	40,514,090	
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 67 through 69 and lines 73 and 74				
	67	Unrestricted	13,068,931	67	22,339,753
	68	Temporarily restricted	428,648	68	143,839,450
	69	Permanently restricted	1,102,755	69	1,102,869
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 70 through 74				
	70	Capital stock, trust principal, or current funds		70	
	71	Paid-in or capital surplus, or land, building, and equipment fund		71	
	72	Retained earnings, endowment, accumulated income, or other funds		72	
	73	Total net assets or fund balances (add lines 67 through 69 OR lines 70 through 72, column (A) must equal line 19, column (B) must equal line 21)	14,600,334	73	167,282,072
	74	Total liabilities and net assets/fund balances (add lines 66 and 73)	59,236,618	74	207,796,162

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.

Part VI Other Information (See Specific Instructions on page 27)		Yes	No
76	Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity	76	X
77	Were any changes made in the organizing or governing documents but not reported to the IRS? If "Yes," attach a conformed copy of the changes	77	X
78a	Did the organization have unrelated business gross income of \$1 000 or more during the year covered by this return?	78a	X
b	If "Yes," has it filed a tax return on Form 990-T for this year?	78b	N/A
79	Was there a liquidation, dissolution, termination, or substantial contraction during the year? If "Yes," attach a statement	79	X
80a	Is the organization related (other than by association with a statewide or nationwide organization) through common membership, governing bodies, trustees, officers, etc., to any other exempt or nonexempt organization?	80a	X
b	If "Yes," enter the name of the organization <u>UNITED WAY OF TRI-STATE</u> and check whether it is <input checked="" type="checkbox"/> exempt OR <input type="checkbox"/> nonexempt		
81a	Enter direct or indirect political expenditures. See line 81 instructions	81a	NONE
b	Did the organization file Form 1120-POL for this year?	81b	X
82a	Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value?	82a	X
b	If "Yes," you may indicate the value of these items here. Do not include this amount as revenue in Part I or as an expense in Part II. (See instructions in Part III.)	82b	\$6,243,523
83a	Did the organization comply with the public inspection requirements for returns and exemption applications?	83a	X
b	Did the organization comply with the disclosure requirements relating to quid pro quo contributions?	83b	X
84a	Did the organization solicit any contributions or gifts that were not tax deductible?	84a	X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	84b	X
85	501(c)(4), (5), or (6) organizations a Were substantially all dues nondeductible by members?	85a	N/A
b	Did the organization make only in-house lobbying expenditures of \$2,000 or less? If "Yes" was answered to either 85a or 85b, do not complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year	85b	N/A
c	Dues, assessments, and similar amounts from members	85c	N/A
d	Section 162(e) lobbying and political expenditures	85d	N/A
e	Aggregate nondeductible amount of section 6033(e)(1)(A) dues notices	85e	N/A
f	Taxable amount of lobbying and political expenditures (line 85d less 85e)	85f	N/A
g	Does the organization elect to pay the section 6033(e) tax on the amount on line 85f?	85g	N/A
h	If section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount on line 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year?	85h	N/A
86	501(c)(7) orgs Enter a Initiation fees and capital contributions included on line 12	86a	N/A
b	Gross receipts, included on line 12, for public use of club facilities	86b	N/A
87	501(c)(12) orgs Enter a Gross income from members or shareholders	87a	N/A
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	87b	N/A
88	At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Part IX	88	X
89a	501(c)(3) organizations Enter Amount of tax imposed on the organization during the year under section 4911 <u>NONE</u> , section 4912 <u>NONE</u> , section 4955 <u>NONE</u>		
b	501(c)(3) and 501(c)(4) orgs Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach a statement explaining each transaction	89b	X
c	Enter Amount of tax imposed on the organization managers or disqualified persons during the year under sections 4912, 4955, and 4958		NONE
d	Enter Amount of tax on line 89c, above, reimbursed by the organization		NONE
90a	List the states with which a copy of this return is filed <u>NEW YORK</u>		
b	Number of employees employed in the pay period that includes March 12, 2001 (See instructions.)	90b	168
91	The books are in care of <u>UNITED WAY OF NEW YORK CITY</u> Telephone no <u>212-251-2500</u> Located at <u>2 PARK AVENUE, NEW YORK, NEW YORK</u> ZIP + 4 <u>10016</u>		
92	Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of Form 1041 — Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the tax year	92	N/A

Part VII Analysis of Income-Producing Activities (See Specific Instructions on page 32)

Note Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512 513 or 514		(E) Related or exempt function income
	(A) Business code	(B) Amount	(C) Exclusion code	(D) Amount	
93 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f Medicare/Medicaid payments					
g Fees and contracts from government agencies					
94 Membership dues and assessments					
95 Interest on savings and temporary cash investments			14	\$2,943,216	
96 Dividends and interest from securities					
97 Net rental income or (loss) from real estate					
a debt-financed property					
b not debt-financed property					
98 Net rental income or (loss) from personal property					
99 Other investment income					
100 Gain or (loss) from sales of assets other than inventory			18	(253,854)	
101 Net income or (loss) from special events					
102 Gross profit or (loss) from sales of inventory					
103 Other revenue a <u>MISCELLANEOUS</u>			01	8,862	
b _____					
c _____					
d _____					
e _____					
104 Subtotal (add columns (B), (D), and (E))				\$2,698,224	
105 Total (add line 104, columns (B), (D), and (E))				\$2,698,224	

Note Line 105 plus line 1d, Part I, should equal the amount on line 12, Part I

Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes (See Specific Instructions on page 32)

Line No	Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes)
▼	NOT APPLICABLE

Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities (See Specific Instructions on page 33)

(A) Name address and EIN of corporation partnership or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End-of-year assets
NOT APPLICABLE	%			
	%			
	%			
	%			

Part X Information Regarding Transfers Associated with Personal Benefit Contracts (See Specific Instructions on page 33)

- (a) Did the organization, during the year, receive any funds, directly or indirectly to pay premiums on a personal benefit contract? Yes No
- (b) Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

Note If "Yes" to (b), file Form 8870 and Form 4720 (see instructions)

including accompanying schedules and statements and to the best of my knowledge and (other than officer) is based on all information of which preparer has any knowledge

Date

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Organization Exempt Under Section 501(c)(3)

(Except Private Foundation) and Section 501(e), 501(f), 501(k),
501(n), or Section 4947(a)(1) Nonexempt Charitable Trust

Supplementary Information — (See separate instructions.)

▶ **MUST be completed by the above organizations and attached to their Form 990 or 990-EZ**

OMB No 1545-0047

2001

Name of the organization

UNITED WAY OF NEW YORK CITY

Employer identification number

13-2617681

Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees
(See page 1 of the instructions List each one If there are none, enter "None")

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
LAWRENCE MANDELL ----- 114 PIERREPONT STREET, #3 BROOKLYN, NY 11201	EXECUTIVE VICE PRESIDENT/CHIEF OPERATING OFFICER 35 HOURS	\$341,000	\$48,035 *	\$4,350
LILLIAM BARRIOS-PAOLI ----- 245 EAST 25TH STREET, 7C NEW YORK, NY 10010	SENIOR VICE PRESIDENT 35 HOURS	\$213,000	\$31,233 *	\$1,800
BERTINA CECCARELLI ----- 29 TIFFANY PLACE, #6F BROOKLYN, NY 11231	SENIOR VICE PRESIDENT 35 HOURS	\$204,933	\$26,577 *	NONE
CHANDRA ANDERSON ----- 343 EAST 51ST STREET, #2C NEW YORK, NY 10022	SENIOR VICE PRESIDENT 35 HOURS	\$170,000	\$26,783	\$1,045
FRAN CHARLES ----- 136 MINIVALE ROAD STAMFORD, CT 06907	SENIOR VICE PRESIDENT 35 HOURS	\$169,500	\$25,118	\$4,710
Total number of other employees paid over \$50,000 ▶	48			

Part II Compensation of the Five Highest Paid Independent Contractors for Professional Services
(See page 2 of the instructions List each one (whether individuals or firms) If there are none, enter "None")

(a) Name and address of each independent contractor paid more than \$50,000	(b) Type of service	(c) Compensation
PRICEWATERHOUSECOOPERS LLP ----- 1301 AVENUE OF THE AMERICAS, NY, NY 10019	AUDIT/CONSULTANT	\$322,237
THE VANTAGE CONSULTING GROUP ----- 111 WEST 57TH STREET, NEW YORK, NY 10019	CONSULTANT	307,690
BURSON-MARSTELLER ----- 230 PARK AVENUE SOUTH, NY, NY 10003	PUBLIC RELATIONS	227,306
INTER-AMERICAN CONSULTING ----- 67 WALL STREET, NEW YORK, NY 10005	CONSULTANT	179,825
WORKS-IN-PROGRESS ASSOCIATES ----- 214 SULLIVAN STREET, SUITE 6C, NY, NY 10012	CONSULTANT	117,000
Total number of others receiving over \$50,000 for professional services ▶	6	

For Paperwork Reduction Act Notice, see the Instructions for Form 990 and Form 990-EZ

Schedule A (Form 990 or 990-EZ) 2001

Part III Statements About Activities (See page 2 of the instructions)	Yes	No
1 During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If "Yes," enter the total expenses paid or incurred in connection with the lobbying activities ► \$ _____ (Must equal amounts on line 38, Part VI-A, or line 1 of Part VI-B) Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A. Other organizations checking "Yes," must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities	1	X
2 During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any substantial contributors, trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary? (If the answer to any question is "Yes," attach a detailed statement explaining the transactions)		
a Sale, exchange, or leasing of property?	2a	X
b Lending of money or other extension of credit?	2b	X
c Furnishing of goods, services, or facilities?	2c	X
d Payment of compensation (or payment or reimbursement of expenses if more than \$1,000)?	2d	X
e Transfer of any part of its income or assets?	2e	X
3 Does the organization make grants for scholarships, fellowships, student loans, etc ? (See Note below)	3	X
4 Do you have a section 403(b) annuity plan for your employees?	4	X
Note. Attach a statement to explain how the organization determines that individuals or organizations receiving grants or loans from it in furtherance of its charitable programs "qualify" to receive payments		

Part IV Reason for Non-Private Foundation Status (See pages 3 through 6 of the instructions)						
The organization is not a private foundation because it is (Please check only ONE applicable box)						
5 <input type="checkbox"/> A church, convention of churches, or association of churches Section 170(b)(1)(A)(i)						
6 <input type="checkbox"/> A school Section 170(b)(1)(A)(ii) (Also complete Part V)						
7 <input type="checkbox"/> A hospital or a cooperative hospital service organization Section 170(b)(1)(A)(iii)						
8 <input type="checkbox"/> A Federal, state, or local government or governmental unit Section 170(b)(1)(A)(v)						
9 <input type="checkbox"/> A medical research organization operated in conjunction with a hospital Section 170(b)(1)(A)(iii) Enter the hospital's name, city, and state ► _____						
10 <input type="checkbox"/> An organization operated for the benefit of a college or university owned or operated by a governmental unit Section 170(b)(1)(A)(iv) (Also complete the Support Schedule in Part IV-A)						
11a <input checked="" type="checkbox"/> An organization that normally receives a substantial part of its support from a governmental unit or from the general public Section 170(b)(1)(A)(vi) (Also complete the Support Schedule in Part IV-A)						
11b <input type="checkbox"/> A community trust Section 170(b)(1)(A)(vi) (Also complete the Support Schedule in Part IV-A)						
12 <input type="checkbox"/> An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc , functions — subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See section 509(a)(2) (Also complete the Support Schedule in Part IV-A)						
13 <input type="checkbox"/> An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in (1) lines 5 through 12 above, or (2) section 501(c)(4), (5), or (6), if they meet the test of section 509(a)(2) (See section 509(a)(3))						
Provide the following information about the supported organizations (See page 5 of the instructions)						
<table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:75%; border-bottom: none;">(a) Name(s) of supported organization(s)</th> <th style="width:25%; border-bottom: none;">(b) Line number from above</th> </tr> </thead> <tbody> <tr> <td style="border-bottom: none;"> </td> <td style="border-bottom: none;"> </td> </tr> <tr> <td style="border-bottom: none;"> </td> <td style="border-bottom: none;"> </td> </tr> </tbody> </table>	(a) Name(s) of supported organization(s)	(b) Line number from above				
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14 <input type="checkbox"/> An organization organized and operated to test for public safety Section 509(a)(4) (See page 6 of the instructions)						

Part IV-A Support Schedule (Complete only if you checked a box on line 10, 11, or 12) *Use cash method of accounting*

Note You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting

Calendar year (or fiscal year beginning in)	(a) 2000	(b) 1999	(c) 1998	(d) 1997	(e) Total
15 Gifts, grants, and contributions received (Do not include unusual grants See line 28)	132,541,499	123,538,488	113,376,352	89,946,650	459,402,989
16 Membership fees received					
17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable, etc., purpose	680,503	708,951	459,618	272,355	2,121,427
18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975	466,359	544,477	616,850	641,691	2,269,377
19 Net income from unrelated business activities not included in line 18					
20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf					
21 The value of services or facilities furnished to the organization by a governmental unit without charge Do not include the value of services or facilities generally furnished to the public without charge					
22 Other income Attach a schedule Do not include gain or (loss) from sale of capital assets					
23 Total of lines 15 through 22	133,688,361	124,791,916	114,452,820	90,860,696	463,793,793
24 Line 23 minus line 17	133,007,858	124,082,965	113,993,202	90,588,341	461,672,366
25 Enter 1% of line 23	1,336,884	1,247,919	1,144,528	908,607	4,637,938
26 Organizations described on lines 10 or 11.	a Enter 2% of amount in column (e), line 24				▶ 26a 9,233,447
b Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 1997 through 2000 exceeded the amount shown in line 26a Do not file this list with your return. Enter the total of all these excess amounts					▶ 26b -0-
c Total support for section 509(a)(1) test Enter line 24, column (e)					▶ 26c 461,672,366
d Add Amounts from column (e) for lines	18 \$2,269,377	19 0			▶ 26d 2,269,377
	22 0	26b 0			
e Public support (line 26c minus line 26d total)					▶ 26e 459,402,989
f Public support percentage (line 26e (numerator) divided by line 26c (denominator))					▶ 26f 99%
27 Organizations described on line 12	a For amounts included in lines 15, 16, and 17 that were received from a "disqualified person," prepare a list for your records to show the name of, and total amounts received in each year from, each "disqualified person." Do not file this list with your return. Enter the sum of such amounts for each year				
	(2000) _____	(1999) _____	(1998) _____	(1997) _____	
b For any amount included in line 17 that was received from each person (other than "disqualified person"), prepare a list for your records to show the name of, and amount received for each year, that was more than the larger of (1) the amount on line 25 for the year or (2) \$5,000 (Include in the list organizations described in lines 5 through 11, as well as individuals.) Do not file this list with your return After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year					
	(2000) _____	(1999) _____	(1998) _____	(1997) _____	
c Add Amounts from column (e) for lines	15 _____	16 _____			▶ 27c _____
	17 _____	20 _____	21 _____		
d Add Line 27a total _____ and line 27b total _____					▶ 27d _____
e Public support (line 27c total minus line 27d total)					▶ 27e _____
f Total support for section 509(a)(2) test Enter amount from line 23, column (e)					▶ 27f _____
g Public support percentage (line 27e (numerator) divided by line 27f (denominator))					▶ 27g _____ %
h Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator))					▶ 27h _____ %
28 Unusual Grants For an organization described in line 10, 11, or 12 that received any unusual grants during 1997 through 2000, prepare a list for your records to show, for each year, the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant Do not file this list with your return Do not include these grants in line 15					

Part V Private School Questionnaire (See page 7 of the instructions)
(To be completed ONLY by schools that checked the box on line 6 in Part IV) N/A

		Yes	No
29	Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?		
30	Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?		
31	Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe, if "No," please explain (If you need more space, attach a separate statement)		

32	Does the organization maintain the following		
a	Records indicating the racial composition of the student body, faculty, and administrative staff?		
b	Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?		
c	Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?		
d	Copies of all material used by the organization or on its behalf to solicit contributions?		
	If you answered "No" to any of the above please explain (If you need more space, attach a separate statement)		

33	Does the organization discriminate by race in any way with respect to		
a	Students' rights or privileges?		
b	Admissions policies?		
c	Employment of faculty or administrative staff?		
d	Scholarships or other financial assistance?		
e	Educational policies?		
f	Use of facilities?		
g	Athletic programs?		
h	Other extracurricular activities?		
	If you answered "Yes" to any of the above, please explain (If you need more space, attach a separate statement)		

34a	Does the organization receive any financial aid or assistance from a governmental agency?		
b	Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either 34a or b, please explain using an attached statement		
35	Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," attach an explanation		

Part VI-A Lobbying Expenditures by Electing Public Charities (See page 9 of the instructions)
 (To be completed **ONLY** by an eligible organization that filed Form 5768)

N/A

Check **a** if the organization belongs to an affiliated group Check **b** if you checked "a" and "limited control" provisions apply

Limits on Lobbying Expenditures

(The term "expenditures" means amounts paid or incurred)

		(a) Affiliated group totals	(b) To be completed for ALL electing organizations												
36	Total lobbying expenditures to influence public opinion (grassroots lobbying)	36													
37	Total lobbying expenditures to influence a legislative body (direct lobbying)	37													
38	Total lobbying expenditures (add lines 36 and 37)	38													
39	Other exempt purpose expenditures	39													
40	Total exempt purpose expenditures (add lines 38 and 39)	40													
41	Lobbying nontaxable amount Enter the amount from the following table —														
	<table border="0"> <tr> <td>If the amount on line 40 is —</td> <td>The lobbying nontaxable amount is —</td> </tr> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 40</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000</td> </tr> </table>	If the amount on line 40 is —	The lobbying nontaxable amount is —	Not over \$500,000	20% of the amount on line 40	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000	Over \$17,000,000	\$1,000,000	41	
If the amount on line 40 is —	The lobbying nontaxable amount is —														
Not over \$500,000	20% of the amount on line 40														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000														
Over \$17,000,000	\$1,000,000														
42	Grassroots nontaxable amount (enter 25% of line 41)	42													
43	Subtract line 42 from line 36 Enter -0- if line 42 is more than line 36	43													
44	Subtract line 41 from line 38 Enter -0- if line 41 is more than line 38	44													

Caution If there is an amount on either line 43 or line 44, you must file Form 4720

4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below
 See the instructions for lines 45 through 50 on page 11 of the instructions)

Calendar year (or fiscal year beginning in) ▶	Lobbying Expenditures During 4-Year Averaging Period				
	(a) 2001	(b) 2000	(c) 1999	(d) 1998	(e) Total
45	Lobbying nontaxable amount				
46	Lobbying ceiling amount (150% of line 45(e))				
47	Total lobbying expenditures				
48	Grassroots nontaxable amount				
49	Grassroots ceiling amount (150% of line 48(e))				
50	Grassroots lobbying expenditures				

Part VI-B Lobbying Activity by Nonelecting Public Charities

(For reporting only by organizations that did not complete Part VI-A) (See page 12 of the instructions)

During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of	Yes	No	Amount
a Volunteers		X	
b Paid staff or management (include compensation in expenses reported on lines c through h.)		X	
c Media advertisements		X	
d Mailings to members, legislators, or the public		X	
e Publications, or published or broadcast statements		X	
f Grants to other organizations for lobbying purposes		X	
g Direct contact with legislators, their staffs, government officials, or a legislative body		X	
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means		X	
i Total lobbying expenditures (Add lines c through h)			0

If "Yes" to any of the above, also attach a statement giving a detailed description of the lobbying activities

UNITED WAY OF NEW YORK CITY
#13-2617681
JUNE 30, 2002

Form 990, SCHEDULE A, PART III, LINE 2 (c)

In accordance with the policy adopted by the Board of Directors, each director, officer and senior manager is asked to complete a conflict of interests disclosure questionnaire. This step is taken to assure that the actions of a director, officer or senior manager are not in conflict with the interests of the United Way of New York City.

All transactions between members of the Board of Directors and United Way of New York City, which are made at arms-length in the ordinary course of business and are either at or below market value, are reviewed annually by a Committee of the Board and the results are reported to the Board of Directors.

The following provided goods or services to United Way of New York City

Hemming & Gilman	\$131,387
D'Arcy Masius Benton & Bowles	9,900
Structuretone	28,190
Mutual of America	219,756
I B M Corporation	92,638
New York City Central Labor Council, AFL-CIO	750
The Bank of New York	93,209
Carib News	1,680

UNITED WAY OF NEW YORK CITY
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FORM 990, SCHEDULE A, PART III, LINE 2 (d)

The organization pays compensation to an employee who is an officer of the organization
See Form 990, Part V

UNITED WAY OF NEW YORK CITY
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JUNE 30, 2002

FORM 990, SCHEDULE A, PART III, LINE 3

All of the organization's charitable disbursements are payments to other United Way organizations in the New York Metropolitan area and other charitable organizations. All these organizations are exempt from income tax under Section 501 (c) (3) of the Internal Revenue Code.

UNITED WAY OF NEW YORK CITY
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FORM 990, SCHEDULE A, PART III, LINE 4

- Current Audit Report certified by an independent public accountant
- Copy of most current performance, financial or investigative reports by government agencies if exceptions were noted
- Copy of most current OMB Circular A-133 Audit Report if exceptions were noted
- Filed IRS Form 990
- Filed Annual Report to New York State Charities Registration (Form 497)
- 501 (c) (3) Determination Letter

UNITED WAY OF NEW YORK CITY
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June 30, 2002

PART I - LINE 1b

United Way of New York City is a Participant in the annual United Way of the Tri-State, fundraising campaign in the New York, New Jersey and Connecticut Tri-State region. The fund-raising activities are conducted by each of the 31 Participant Local United Way organizations in the Tri-State system, as well as by the Tri-State organization.

United Way of New York City's share of the Tri-State system's campaign, reflected as indirect public support in Form 990, Part 1b, is a result of both the Local United Way Participant and regional Tri-State system efforts.

UNITED WAY OF NEW YORK CITY
#13-2617681
June 30, 2002

PART 1 - LINE 4

Investment Income	\$ 314,619
Investment income on September 11th Fund Investments	<u>2,628,597</u>
	<u>\$ 2,943,216</u>

UNITED WAY OF NEW YORK CITY
#13-2617681
SCHEDULE OF GAINS/(LOSSES) ON SALES OF SECURITIES
TWELVE MONTHS ENDED JUNE 30, 2002

PART I, LINE 8D

PAR VALUE/ NUMBER OF SHARES	DESCRIPTION	BOOK VALUE	SALES PROCEEDS	GAIN/(LOSS)
800	AES CORPORATION	(40,286 95)	10 531 40	(29 755 55)
700	ALLEGIANCE TELECOM INC	(38,095 27)	2 837 85	(35 257 42)
350	AMERICAN INTERNATIONAL GROUP INC	(8 061 49)	24 952 66	16 891 17
500	AOL TIME WARNER INC	(25 809 39)	8 580 91	(17,228 48)
30 000	ASSOCIATES CORPORATION	(29 916 90)	30 885 60	968 70
9 000	AT&T CORPORATION	(7 652 25)	7,930 82	278 37
400	AUTOMATIC DATA PROCESSING INC	(19 746 90)	20,959 77	1 212 87
24 000	BANK AMERICA CORPORATION	(24 470 30)	26 443 52	1 973 22
6 000	BOEING CAPITAL CORPORATION	(5 987 94)	6 020 88	32 94
10 000	BRISTOL MYERS SQUIBB CO	(9 961 80)	9 742 30	(219 30)
400	BURLINGTON RES INC	(20 946 52)	15,142 33	(5 804 19)
200	CIENA CORPORATION	(12 225 00)	3,439 89	(8,785 11)
400	CISCO SYSTEMS INC	(2 427 78)	6,339 79	3 912 01
20 000	CITIGROUP INC	(20 062 60)	20,300 40	237 80
10,000	CLEAR CHANNEL COMMUNICATIONS INC	(9 988 10)	10 538 50	572 40
1 300	COMCAST CORPORATION	(43 744 22)	46 365 82	2 621 60
1 100	CORNING INC	(41 012 95)	15 614 19	(25 398 76)
400	COSTCO WHOLESALE CORPORATION	(12 358 46)	16 161 87	3 803 41
750	CVS CORPORATION	(42 323 37)	18 221 39	(24 101 98)
6 000	DUKE CAPITAL CORPORATION	(6 307 34)	6 487 14	179 80
750	EL PASO CORPORATION	(43 996 04)	17,981 53	(26 014 51)
12 000	EL PASO ENERGY CORPORATION	(12 482 64)	11 850 00	(632 64)
1 100	EMC CORPORATION	(12 578 58)	17 373 29	4 794 71
300	EMERSON ELECTRIC COMPANY	(18 018 00)	16 910 91	(1 107 09)
1 000	ENRON CORPORATION	(60 179 97)	20 994 49	(39 185 48)
218 000	FEDERAL HOME LOAN MORTGAGE CORPORATION	(199 325 31)	200 159 56	834 25
38,000	FEDERAL NATIONAL MORTGAGE ASSOCIATION	(38 154 24)	39 059 84	905 60
100	FEDERAL NATIONAL MORTGAGE ASSOCIATION	(7 865 60)	7,893 37	27 77
100	FIRST DATA CORPORATION	(6,051 59)	8,507 26	2 455 67
35,000	FORD MOTOR CREDIT CO	(34,879 05)	34,588 64	(290 41)
500	GENERAL ELECTRIC COMPANY	(4 086 25)	18 131 99	14 045 74
13 000	HERTZ CORPORATION	(12 941 11)	13 564 33	623 22
500	JOHNSON & JOHNSON	(18 425 17)	30 336 12	11 910 95
825	JP MORGAN CHASE & CO	(45,201 31)	28 602 54	(16 598 77)
14 000	KROGER COMPANY	(13 879 04)	14 343 00	463 96
7 000	LEHMAN BROTHERS INC	(6 988 14)	7 595 00	608 86
2 000	LIBERTY MEDIA CORPORATION	(47 810 79)	22 744 42	(25 066 37)
100	MEDTRONIC INC	(2 603 47)	4 745 62	2 142 15
20 000	MERRILL LYNCH & COMPANY	(18 070 48)	21,142 20	3 071 72
2 000	NOKIA CORPORATION	(67 703 91)	29 068 97	(38 634 94)
200	NORTHERN TRUST CORPORATION	(5,452 57)	11,974 47	6 521 90
7,000	QWEST CAPITAL FUNDING INC	(5 968 27)	5,486 18	(482 09)
31,000	RESIDENTIAL FUNDING MORTGAGE SECURITIES IN	(20 645 48)	20 415 39	(230 09)
300	SCHLUMBERGER LIMITED	(17 458 46)	16 954 04	(502 42)
1 700	SCHWAB CHARLES CORPORATION	(45,503 27)	23 893 67	(21 609 60)
1 550	SOLETRON CORPORATION	(39 821 03)	28 088 92	(11 734 11)
700	SPRINT CORPORATION PCS GROUP	(17,523 00)	10 768 43	(6 754 57)
2 100	SUN MICROSYSTEMS INC	(38 431 74)	21 308 37	(17 123 37)
300	TARGET CORPORATION	(10 725 78)	12,738 25	2 012 47
300	TIFFANY & COMPANY	(9 830 75)	11,820 51	1 989 76
100	TOTAL FINANCIAL ELF S.A ADR	(3 399 80)	7,593 84	4 194 04
500	TRANSOCEAN SEDCO FOREX INC	(20 094 79)	16 349 75	(3 745 04)
22 000	TYCO INTERNATIONAL GROUP	(21 900 10)	22 502 04	601 94
305 000	UNITED STATES TREASURY NOTES	(307 775 99)	314 778 10	7 002 11
112 900	UNITED STATES TREASURY BONDS	(126 165 62)	131 602 79	5 437 17
18 000	US TREASURY INFL IDX NOTE	(20 740 98)	20 974 11	233 13
10 000	VODAFONE AIRTOUCH PUBLIC LIMITED COMPANY	(10 761 40)	10 827 30	65 90
1 400	VODAFONE AIRTOUCH PUBLIC LIMITED COMPANY	(27 156 77)	23 873 34	(3 283 43)
17 000	WAL-MART STORES	(16 615 10)	18 589 27	1 954 17
13 000	WELLS FARGO & COMPANY	(11 996 04)	14,076 14	2 080 10
1,000	WILLIAMS COMPANIES INC	(33 453 01)	31,852 03	(1 600 98)
19,000	WORLDCOM INC	(18 958 99)	19,587 73	630 74
		(1 922 978 98)	1 669 122 58	(253 854 38)

UNITED WAY OF NEW YORK CITY
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June 30, 2002

PART I - LINE 12

\$6,243,523 in donated services, \$(233,176) of net unrealized losses on investments and \$19,473 of net unrealized gains on September 11th Fund investments have not been included.

UNITED WAY OF NEW YORK CITY
#13-2617681
June 30, 2002

PART I - LINE 12 ADMINISTRATIVE COST RATIO

Following is Footnote 16 of United Way's Audited Financial Statements regarding the calculation of Administrative Cost Ratio for the years ended June 30, 2002 and 2001

United Way calculates its Administrative Cost Ratio as total supporting services expenses divided by total public support and revenues plus certain additional funds raised on behalf of others and other funds managed, which is not in conformity with generally accepted accounting principles and which funds are not included in the accompanying financial statements. The following presents the United Way's Administrative Cost Ratio for the years ended June 30, 2002 and 2001, excluding the September 11th Fund. The fiscal 2002 presentation excludes funds and contributed services received by the September 11th Fund in order to be comparable with the preceding year.

NOTE 16 - ADMINISTRATIVE COST RATIO

	2,002	2001
Total Public Support and Revenue as reported in the accompanying statement of activities	\$ 529,168,726	\$ 79,587,987
Less		
September 11th Fund contributions	(444,551,051)	
Administration funds raised for United Way's costs of administering the September 11th Fund	(2,462,500)	
Contributed services in connection with United Way's role in administering the September 11th Fund	(6,024,773)	
Net appreciation on September 11th Fund investments	<u>(2,648,070)</u>	
	73,482,332	<u>79,587,987</u>
Plus		
Provision for uncollectible receivables	5,807,745	512,034
Amounts raised on behalf of others (non September 11th Fund)	32,397,225	28,880,000
Amounts raised on behalf of others including the United Way's Support New York Initiative as a result of the events of September 11, 2001	9,057,893	
Federal Emergency Management Agency funds managed by United Way	<u>4,651,282</u>	<u>5,488,183</u>
Total	<u>\$ 125,396,477</u>	<u>\$ 119,076,519</u>
Supporting Services Expenses	\$ 27,616,269	\$ 18,299,622
Less		
Contributed services related to the September 11th Fund	(6,024,773)	-
United Way's incremental costs, separately raised, to administer the September 11th Fund	<u>(1,822,089)</u>	<u>-</u>
Total	<u>\$ 19,769,407</u>	<u>\$ 18,299,622</u>
Administrative Cost Ratio	15.8%	15.4%

FOR INFORMATION PURPOSES ONLY

UNITED WAY OF NEW YORK CITY
#13-2617681
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PART I - LINE 16

Payments to National & State Organizations

United Way of America (Dues)	\$ 417,945
United Way of New York State (Dues)	<u>147,364</u>
	<u>\$ 565,309</u>

UNITED WAY OF NEW YORK CITY
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PART I - LINE 20

Net unrealized losses on investments

\$ (213,703)

UNITED WAY OF NEW YORK CITY
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PART II COLUMN (C)

On the statement of functional expenses for management and general (Column (c)) are included those incremental costs associated with administering the September 11th Fund as follows

LINE 26	Other salaries and wages	\$ 805,360
LINE 28	Other employee benefits	31,720
LINE 29	Payroll taxes	28,178
LINE 33	Supplies	27,901
LINE 34	Telephone	41,552
LINE 35	Postage and shipping	110,560
LINE 37	Equipment rental and maintenance	42,928
LINE 38	Printing and publications	47,287
LINE 39	Travel	3,014
LINE 40	Conferences, conventions and meetings	11,682
LINE 43	Other expenses not covered above	<u>671,907</u>
		<u>\$ 1,822,089</u>

UNITED WAY OF NEW YORK CITY
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PART II - LINE 43

OTHER EXPENSES

	<u>(A) TOTAL</u>	<u>(B) PROGRAM SERVICES</u>	<u>(C) MANAGEMENT AND GENERAL</u>		<u>(D) FUNDRAISING</u>
(a) Insurance	\$ 113,634	\$ 27,824	\$ 35,865		\$ 49,945
(b) General Promotion	436,680	23,940	23,476	(1)	389,264
(c) Dues & Subscriptions	143,767	21,252	17,655	(2)	104,860
(d) Other Professional Fees	1,077,471	167,143	777,524	(3)	132,804
(e) Office Maintenance	143,299	18,349	92,495	(4)	32,455
(f) Share of United Way of Tri-State Expenses	<u>3,764,770</u>				<u>3,764,770</u>
	<u>\$ 5,679,621</u>	<u>\$ 258,508</u>	<u>\$ 947,015</u>		<u>\$ 4,474,098</u>

(1) September 11th Fund -	\$ 21,742
(2) September 11th Fund -	300
(3) September 11th Fund -	592,780
(4) September 11th Fund -	57,085
	<u>\$ 671,907</u>

UNITED WAY OF NEW YORK CITY
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PART III STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS

	<u>GRANTS AND ALLOCATIONS</u>
(A) SEPTEMBER 11TH FUND GRANTS*	\$ 306,465,322
(B) DISCRETIONARY AND OTHER GRANTS*	9,512,133
(C) GOVERNMENT CONTRACTS & PRIVATE GRANTS	25,043,600
(D) DONATED MATERIALS & EQUIPMENT	1,225,342
(E) AGENCY RELATIONS AND SERVICES SUPPORT	6,426,463
TOTAL	<u>\$ 348,672,860</u>

*Schedule attached

UNITED WAY OF NEW YORK CITY
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JUNE 30, 2002

PART III STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS

SEPTEMBER 11TH FUND GRANTS

78TH PRECINCT COMMUNITY COUNCIL	\$ 1,500
911 UNITED SERVICES GROUP	725,000
ACCION NEW YORK, INC	775,000
AIRCRAFT CASUALTY EMOTIONAL SUPPORT SERVICES	20,000
ALIANZA DOMINICANA	20,000
ALLIANCE FOR DOWNTOWN NEW YORK	712,000
AMERICAN WOMEN'S ECONOMIC DEVELOPMENT CORP	45,500
AMERICA'S SECOND HARVEST	97,764
AON ASSISTANCE FUND	25,000
ART SCIENCE RESEARCH LABORATORY	421,770
ASIAN AMERICAN BUSINESS DEVELOPMENT CENTER, INC	240,000
ASIAN AMERICAN FEDERATION OF NEW YORK	828,287
ASIAN AMERICAN FOR EQUALITY	56,100
ASIAN AMERICAN LEGAL DEFENSE & EDUCATION FUND	30,000
ASOCIACION TEPEYAC DE NEW YORK	191,030
ASSOCIATION OF FLIGHT ATTENDANTS	25,000
ASSOCIATION OF THE BAR OF THE CITY OF NEW YORK FUND, INC	77,000
BABY BUGGY	50,000
BLACK VETERANS FOR SOCIAL JUSTICE, INC	10,000
CABRINI MEDICAL CENTER	87,495
CATHOLIC BIG SISTERS	3,250
CATHOLIC CHARITIES OF BROOKLYN AND QUEENS	326,162
CATHOLIC CHARITIES OF THE ARCHDIOCESE OF NEW YORK	431,389
CENTER FOR FAMILY SERVICES, INC	11,690
CENTER FOR INDEPENDENCE OF THE DISABLED IN NEW YORK	232,000
CENTRAL PARK MEDICAL UNIT	15,607
CHEVRA HATZALAH	200,000
CHILDREN'S DEFENSE FUND	31,000
CHILDREN'S HOPE FOUNDATION	15,500
CHINESE STAFF AND WORKERS ASSOCIATION	77,000
CITIZENS COMMITTEES FOR NEW YORK	96,500
CITY OF NEW YORK-FIRE DEPT	225,000
CITY OF NEW YORK-FIRE DEPT, BUREAU OF HEALTH SERVICES	31,609
CITYMEALS-ON-WHEELS	12,184
CIVIC CAPITAL CORP/NYC PARTNERSHIP FOUNDATION	5,000,000
COALITION OF VOLUNTARY MENTAL HEALTH AGENCIES	10,000
COLUMBIA UNIVERSITY	300,000
COMMISSION ON THE PUBLIC'S HEALTH SYSTEM	28,000
COMMUNITY FOOD BANK OF NEW JERSEY	700,918
COMMUNITY FUNDS INC/SUMMER IN THE CITY FUND	325,000
COMMUNITY HEALTHCARE NETWORK, INC	8,975

UNITED WAY OF NEW YORK CITY
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PART III STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS

SEPTEMBER 11TH FUND GRANTS

COMMUNITY RESOURCE EXCHANGE	68,088
COMMUNITY SERVICES SOCIETY OF NEW YORK	80,894
CONGRESS OF RACIAL EQUALITY (CORE)	230,480
CONSORTIUM FOR WORKER EDUCATION	2,037,578
COUNCIL OF MASSACHUSETTS UNITED WAY	905,177
CROSS CULTURAL SOLUTIONS	457,060
CUNY ECONOMIC DEVELOPMENT CORPORATION	253,069
DISASTER PSYCHIATRY OUTREACH	75,000
DOROT, INC	25,000
EDUCATIONAL ALLIANCE	41,721
EDUCATIONAL BROADCASTING CORPORATION-CHANNEL 13	457,813
EVAN B DONALDSON ADOPTION INSTITUTE	659,000
FAMILIES OF SEPTEMBER 11, INC	50,000
FAMILY AND CHILDREN'S ASSOCIATION	15,970
FAMILY CENTERS INC	59,735
FEDERATION EMPLOYMENT AND GUIDANCE SERVICES, INC	551,322
FEED THE CHILDREN	137,000
FOOD FOR SURVIVAL, INC	195,500
FOREST HILLS VOLUNTEER AMBULANCE CORPS	937,000
FOUNDATION FOR RELIGIOUS AND MENTAL HEALTH, INC	7,000
FRIENDS OF COMMUNITY BOARD #1	190,000
FUND FOR THE CITY OF NEW YORK	2,160,000
GARMENT INDUSTRY DEVELOPMENT CORPORATION	57,300
GAY MEN'S HEALTH CRISIS/NEW YORKERS FOR ACCESSIBLE HEALTH COVERAGE	18,500
GOD'S LOVE WE DELIVER	37,870
GRAND STREET SETTLEMENT	59,550
HAMILTON MADISON HOUSE	124,687
HEALING WORKS	15,000
HEALTH AND WELFARE COUNSEL OF LONG ISLAND	85,099
HELDRICH CENTER FOR WORKFORCE DEVELOPMENT	130,000
HELEN KELLER WORLDWIDE	123,000
HELP USA	18,809
HISPANIC FEDERATION	24,600
HOUSING AND SERVICES, INC	100,000
HUDSON GUILD	4,975
INCARNATION CHILDREN'S CENTER	6,000
INDEPENDENT PRESS ASSOCIATION	135,320
INSTITUTE FOR CONTEMPORARY PSYCHOTHERAPY	35,000
INTERFAITH NEIGHBORS, INC	100,000
IT'S TIME, INC	29,600
LATINO BEHAVIORAL CARE NETWORK	12,000

UNITED WAY OF NEW YORK CITY
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PART III STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS

SEPTEMBER 11TH FUND GRANTS

LAWYERS ALLIANCE FOR NEW YORK	69,000
LEGAL AID SOCIETY	171,000
LEGAL SERVICES FOR NEW YORK CITY	740,000
LIBERTY CENTER FOR IMMIGRANTS	10,000
LOWER MANHATTAN CULTURAL COUNCIL	35,000
LUTHERAN SOCIAL SERVICES OF METROPOLITAN NEW YORK	200,000
MAKING OPPORTUNITIES FOR UPGRADING SCHOOLS AND EDUCATION	5,716
MANHATTAN YOUTH RECREATION AND RESOURCES	114,960
MAYOR'S OFFICE OF EMERGENCY MANAGEMENT	52,180
MENTAL HEALTH ASSOCIATION OF NEW YORK CITY, INC	1,365,507
METROPOLITAN COUNCIL ON JEWISH POVERTY	43,000
MOUNT SINAI SCHOOL OF MEDICINE	292,000
MUSEUM OF JEWISH HERITAGE	84,677
NATIONAL CENTER FOR VICTIMS OF CRIME	474,333
NATIONAL FALLEN FIREFIGHTERS FOUNDATION	54,000
NATIONAL ORGANIZATION FOR VICTIM ASSISTANCE, INC (NOVA)	875,900
NATURAL RESOURCES DEFENSE COUNCIL	75,000
NEIGHBORHOOD ECONOMIC DEVELOPMENT ADVOCACY PROJECT	5,500
NEW JERSEY FAMILY ADVOCATE MANAGEMENT, INC	306,244
NEW JERSEY IMMIGRATION POLICY NETWORK, INC	243,595
NEW YORK ACADEMY OF MEDICINE	92,000
NEW YORK CARES	300,000
NEW YORK COLLEGE OF PODIATRIC MEDICINE	106,512
NEW YORK COMMITTEE FOR OCCUPATIONAL SAFETY AND HEALTH	164,515
NEW YORK COMMUNITY TRUST	130,000,000
NEW YORK IMMIGRATION COALITION	850,000
NEW YORK LAWYERS FOR THE PUBLIC INTEREST, INC	57,575
NEW YORK LEGAL ASSISTANCE GROUP	75,000
NEW YORK PUBLIC INTEREST RESEARCH GROUP FUND	23,750
NEW YORK PUBLIC LIBRARY ASTOR, LENOX AND TILDEN FOUNDATIONS	25,773
NONPROFIT FINANCE FUND	4,160,000
NPOWER NEW YORK	305,781
NYC PARTNERSHIP FOUNDATION FOR CIVIC CAPITAL CORPORATION	2 500,000
NYU DOWNTOWN HOSPITAL	2,425,387
PARTNERSHIP FOR AFTERSCHOOL EDUCATION	300 000
PRO BONO NET	30 000
QUALITY SERVICES FOR THE AUTISM COMMUNITY	4,900
RECONCILIATION AND CULTURE COOPERATIVE NETWORK, INC	6 000
RENAISSANCE ECONOMIC DEVELOPMENT CORP	1,500,000
RESEARCH FOUNDATION OF THE CITY OF NEW YORK/QUEENS COLLEGE/CENTER FOR THE BIOLOGY	200,407
SACRAMENTO WE CARE MINISTRIES	27,840

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PART III STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS

SEPTEMBER 11TH FUND GRANTS

SAFE HORIZON	101,530,082
SANCTUARY FOR FAMILIES	23,000
SEEDCO	14,237,600
SHANKSVILLE VOLUNTEER FIRE COMPANY	10,470
SOUTH NASSAU COMMUNITIES HOSPITAL	143,481
THE ACTOR'S FUND OF AMERICA	33,000
THE ARAB-AMERICAN FAMILY SUPPORT CENTER	60,000
THE BROOKLYN BAR ASSOCIATION VOLUNTEER LAWYERS PROJECT, INC	25,000
THE CHAPEL OF FOUR CHAPLINS	21,586
THE COMMITTEE FOR HISPANIC CHILDREN AND FAMILIES, INC	75,455
THE FRESH AIR FUND	49,192
THE LEGAL AID SOCIETY	838,000
THE MENTAL HEALTH ASSOCIATION OF NEW YORK CITY, INC	910,847
TRIAL LAWYERS CARE, INC	250,000
UNION SANATORIUM ASSOCIATION	280,000
UNITED WAY OF HUDSON COUNTY	300,000
UNITED WAY OF ALLEGHENY COUNTY	40,000
UNITED WAY OF BERGEN COUNTY, NJ	1,810,670
UNITED WAY OF BLOOMFIELD, NJ	81,155
UNITED WAY OF BUCKS COUNTY	205,000
UNITED WAY OF CENTRAL MARYLAND	45,020
UNITED WAY OF CENTRAL NEW JERSEY	900,679
UNITED WAY OF CONNECTICUT	865,000
UNITED WAY OF DUTCHESS COUNTY	144,134
UNITED WAY OF ESSEX-WEST HUDSON	300,000
UNITED WAY OF GREATER MANCHESTER, INC	21,800
UNITED WAY OF HUDSON COUNTY	680,000
UNITED WAY OF HUNTERDON COUNTY, NJ	144,000
UNITED WAY OF LONG ISLAND	925,008
UNITED WAY OF MERCER COUNTY	300,000
UNITED WAY OF MILLBURN-SHORT HILLS	80,000
UNITED WAY OF MONMOUTH COUNTY, NJ	1,858,082
UNITED WAY OF MORRIS COUNTY, NJ	800,419
UNITED WAY OF NATIONAL CAPITAL AREA	1,213,000
UNITED WAY OF NORTH ESSEX	300,000
UNITED WAY OF OCEAN COUNTY	135,000
UNITED WAY OF ORANGE COUNTY	300,000
UNITED WAY OF PASSAIC COUNTY, NJ	164,000
UNITED WAY OF ROCKLAND COUNTY	815,007
UNITED WAY OF SOMERSET COUNTY	387,684
UNITED WAY OF SOUTHEASTERN PENNSYLVANIA	324,800

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PART III STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS

SEPTEMBER 11TH FUND GRANTS

UNITED WAY OF SUSSEX COUNTY	154,000
UNITED WAY OF UNION COUNTY, NJ	677,937
UNITED WAY OF WARREN COUNTY, NJ	40,320
UNITED WAY OF WESTCHESTER AND PUTNAM	1,319,951
UNIVERSITY SETTLEMENT SOCIETY OF NEW YORK	6,900
VILLAGE CARE OF NEW YORK	91,694
VISIONS	37,150
WALL STREET RISING CORP	150,000
WASHINGTON UNIVERSITY	820
WNYC FOUNDATION	150,000
WOMEN'S HOUSING & ECONOMIC DEVELOPMENT FUND	8,600
WOMEN'S VENTURE FUND	30,000
WTC UNITED FAMILY GROUP	94,050
YMCA OF GREATER NEW YORK	470,730
	<u>\$306,465,322</u>

PART III STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS

DISCRETIONARY AND OTHER GRANTS

21ST CENTURY WOMEN'S LEADERSHIP CENTER	\$ 5,000
A BETTER BRONX FOR YOUTH	500
A PARTNERSHIP OF FAITH IN NEW YORK CITY	20,000
ABT ASSOCIATES, INC	99,797
ACCESS, AIRCRAFT CASUALTY EMOTIONAL SUPPORT SERVICES	5,000
ACHILLES TRACK CLUB	35,000
ADVERTISING COUNCIL, INC	5,000
AFRICAN VOICES, INC	1,500
AIDS FUNDERS PROJECT (C/O NEW YORK COMMUNITY TRUST)	25,000
AIDS INSTITUTE	100,000
ALAN D GOODMAN CONSULTING	20,000
ALEX P AMES	4,653
ALLIANCE FOR NONPROFIT MANAGEMENT	500
AMD&C-ACADEMIC MEDICINE DEVELOPMENT COMPANY	10,000
AMERICAN CANCER SOCIETY	30,000
AMERICAN HEART ASSOCIATION	20,000
AMERICAN RED CROSS	100,000
ANDREW GLOVER YOUTH PROGRAM	15,000
ANGEL GUARDIAN CHILDREN AND FAMILY SERVICES	240
ANN ORMSBY	4,500
ANONA JOSEPH	20,000
APPLESEED, INC	30,000
AQUINAS HOUSING CORPORATION	20,000
ARTS WITHOUT WALLS	1,500
ASIAN AMERICAN FEDERATION OF NEW YORK	35,000
ASIAN AMERICAN FOR EQUALITY	5,750
ASIAN AMERICAN LEGAL DEFENSE AND EDUCATION FUND	10,000
ASPHALT GREEN	10,000
ASPIRA OF NEW YORK, INC	750
ASSOCIATION FOR NEIGHBORHOOD AND HOUSING	5,000
AUDUBON PARTNERSHIP FOR ECONOMIC DEVELOPMENT	20,000
BAILEY HOUSE	500
BANK STREET COLLEGE OF EDUCATION	66,416
BARRIER FREE LIVING	25,000
BARUCH COLLEGE FOUNDATION	60,000
BEDFORD STUYVESANT FAMILY HEALTH CENTER	8,800
BETANCES HEALTH UNIT	650
BEVERLY POWELL	5,000
BLACK AGENCY EXECUTIVES	3,000
BLACK VETERANS FOR SOCIAL JUSTICE	500
BROADWAY COMMUNITY, INC	10,000
BRONX CHRISTIAN FELLOWSHIP CHURCH	2,500
BRONX COUNCIL FOR ECONOMIC DEVELOPMENT	5,000
BRONX INDEPENDENT LIVING SERVICES	16,060
BROOKLYN CENTER FOR INDEPENDENCE OF THE DISABLED	30,000
BROOKLYN LEGAL SERVICES CORPORATION	5,000
BROOKLYN CHILDREN'S MUSEUM	20,000
BROOKLYN UNIDOS	5,000
BROTHER/SISTER SOL	30,000
CAMBRIDGE CATERING	625

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CAPS	600,000
CARIBBEAN WOMEN'S HEALTH ASSOCIATION, INC	20,000
CASITA MARIA	45,000
CATHOLIC CHARITIES, ARCHDIOCESE OF NEW YORK	5,000
CATHOLIC YOUTH ORGANIZATION	6,000
CAUSE EFFECTIVE	64,250
CENTER FOR ALTERNATIVE SENTENCING AND EMPLOYMENT SERVICES (CASES)	75,000
CENTER FOR AN URBAN FUTURE	15,000
CENTER FOR FAMILY LIFE IN SUNSET PARK	10,510
CENTRAL FAMILY LIFE CENTER	7,800
CENTRAL HARLEM MEALS-ON-WHEELS	10,000
CHANCE FOR CHILDREN	20,000
CHILDCARE POOLED FUND	50,000
CHILDCARE, INC	66,666
CHILDREN'S DEFENSE FUND	1,000
CHINATOWN YMCA	5,000
CHINESE AMERICAN-PLANNING COUNCIL, INC	36,000
CITIZENS ADVICE BUREAU	45,000
CITIZENS COMMITTEE FOR CHILDREN	25,000
CITIZENS UNION	5,000
CITY HARVEST	1,000
CITY PROJECT	60,000
COALITION FOR ASIAN AMERICAN CHILDREN & FAMILIES	11,200
COALITION FOR HISPANIC FAMILY SERVICES	50,000
COALITION OF VOLUNTARY MENTAL HEALTH AGENCIES, INC	1,800
COMITE NOVIEMBRE	3,500
COMMITTEE FOR HISPANIC CHILDREN & FAMILIES	100,000
COMMON CENTS	20,000
COMMUNITY AGENCY FOR SENIOR CITIZENS, INC	5,000
COMMUNITY DIRECTED OWNERSHIP, INC	500
COMMUNITY FOOD RESOURCES CENTER, INC	5,000
COMMUNITY HEALTHCARE NETWORK	25,000
COMMUNITY RESOURCE EXCHANGE	252,163
COMMUNITY RESOURCES FOR THE DEVELOPMENTALLY DISABLED	8,400
COMMUNITY SERVICE SOCIETY OF NEW YORK	20,000
CONCERNED CITIZENS OF QUEENS	15,000
CONSORTIUM FOR WORKERS' EDUCATION	100,000
COUNCIL FOR SENIOR CENTERS AND SERVICES	20,500
CUBAN ARTISTS FUND	500
CUNY/COLLEGE NOW	122,704
DAVID WELLS	2,550
DAY CARE COUNCIL OF NEW YORK, INC	31,250
DOROT	25,000
DOWNTOWN COMMUNITY TELEVISION CENTER-DCTV	15,000
EARLY CHILDHOOD STRATEGIC GROUP	20,000
EAST HARLEM COUNCIL FOR COMMUNITY IMPROVEMENT	16,500
EDEN II SCHOOL FOR AUTISTIC CHILDREN	6,000
EDUCATIONAL EQUITY CONCEPTS	250
ELMCOR ALUMNI ASSOCIATION	300
ELMCOR YOUTH & ADULT ACTIVITIES, INC	1,000
EL MUSEO DEL BARRIO	20,000
ENCORE COMMUNITY SERVICES	26,000
FEDERATION OF PROTESTANT WELFARE AGENCIES	198,166
FORDHAM-TREMONT COMMUNITY HEALTH CENTER	30,000
FOUNTAIN HOUSE	500
FRATERNITE NORTE DAME	20,000
FRIENDS OF ISLAND ACADEMY	50,000
FUND FOR THE CITY OF NEW YORK	100,000

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GARY WARTELS	20,000
GAY MEN'S HEALTH CRISIS	700
GIRL SCOUTS COUNCIL OF GREATER NEW YORK, INC	2,500
GIRLS INC OF NEW YORK CITY	30,000
GLOBAL KIDS	10,000
GLOBAL LINKAGES	20,000
GODDARD RIVERSIDE COMMUNITY CENTER	10,000
GRAND STREET SETTLEMENT	25,000
H A C E R INC	10,000
HAITIAN CENTERS COUNCIL	350
HARLEM COMMUNITY JUSTICE CENTER	35,000
HARLEM DOWLING WEST SIDE CENTER	25,000
HARLEM FIGHTBACK	1,500
HEALING WORKS	12,500
HEAVEN ON EARTH, COGIC	500
HELEN HAYES PERFORMING ARTS CENTER	500
HISPANIC AIDS FORUM	40,000
HISPANIC COUNSELLING CENTER	20,000
HISPANIC FEDERATION	106,000
HIV LAW PROJECT	25,000
HOUSING AND SERVICES, INC	750
HUMAN SERVICES COUNCIL	5,000
HUNTER COLLEGE FOR THE STUDY OF FAMILY POLICY	15,000
HUNTER COLLEGE FOUNDATION PUBLIC SERVICE SCHOLAR PROGRAM	2,500
INSTITUTE FOR THE PUERTO RICAN/HISPANIC ELDERLY	4,500
INTERAGENCY COUNCIL OF M R D D AGENCIES	21,976
INTER-AMERICAN CONSULTING	179,825
INTERRELATIONS COLLABORATIVE	50,000
INWOOD HOUSE	1,000
JAN HUS CHURCH	250
JEWISH BOARD OF FAMILY & CHILDREN'S SERVICES	33,000
JEWISH COMMUNITY CENTER-STATEN ISLAND	8,400
JEWISH COMMUNITY COUNCIL OF GREATER CONEY ISLAND	20,000
JOHN COURTNEY	6,000
JUST ONE BREAK, INC	11,000
K.L EDMUNDSON	1,500
KINGS COUNTY TENANTS COALITION	20,000
KINGSBRIDGE HEIGHTS COMMUNITY CENTER	50,000
LATINO BEHAVIORAL CARE NETWORK	50,000
LATINO COMMISSION ON AIDS	38,900
LATINO GERONTOLOGICAL CENTER	15,000
LATINO PASTORAL ACTION CENTER	5,000
LAURENCE PAGNONI ASSOCIATES	36,900
LEAGUE FOR THE HARD OF HEARING	25,000
LEAKE AND WATTS	10,330
LEARNING LEADERS	40,000
LENOX HILL NEIGHBORHOOD HOUSES	25,000
LITTLE SISTERS OF ASSUMPTION	25,000
LIVINGSTON FRANCIS	7,500
LOISAIDA, INC	35,000
LOWER MANHATTAN TOGETHER	10,000
LUTZ AND CARR	18,507
MADISON RESIDENCE FOR EXCEPTIONAL PERSONS	2,500
MAP-DISCRETIONARY	11,576
MAP-PROGRAM OPERATIONS	10,575
MEALS-ON-WHEELS OF STATEN ISLAND	8,400
MERCY CENTER	15,000

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MEXICAN CULTURAL INSTITUTE	20,000
MICHAEL VANN	10,000
MILLER/ROLLINS	33,536
MIRACLE MAKERS	3,000
MO' BETTER JAGUARS FOOTBALL, INC	5,000
MUSEUM FOR AFRICAN ART	60,500
MUSIC OUTREACH-LEARNING THROUGH MUSIC	10,000
MUSICA AGAINST DRUGS	31,500
NAACP, CORONA-EAST ELMHURST BRANCH	250
NATIONAL BLACK THEATRE	195
NATIONAL PUERTO RICAN FORUM, INC	35,000
NEIGHBORHOOD HOUSING SERVICES OF NEW YORK CITY, INC	98,000
NEIGHBORHOOD INITIATIVES DEVELOPMENT, CORP	1,500
NEIGHBORHOOD TECHNICAL ASSISTANCE CLINIC	20,000
NETWORK FOR WOMEN'S SERVICES, INC	10,000
NEW DESTINY HOUSING CORP	30,000
NEW SCHOOL UNIVERSITY	2,000
NEW VISIONS FOR PUBLIC SCHOOLS	500
NEW WORLD CREATIONS RESOURCE CENTER, INC	500
NEW YORK ACORN	16,000
NEW YORK ASIAN WOMEN'S CENTER	10,000
NEW YORK CITIZEN'S COMMITTEE ON AGING, INC	20,000
NEW YORK CITY GAUCHOS-EXCEL	2,500
NEW YORK CITY MISSION SOCIETY	45,000
NEW YORK FOUNDLING HOSPITAL	600
NEW YORK SENIOR FOUNDATION	10,000
NEW YORK SERVICE PROGRAM FOR OLDER PEOPLE	30,000
NEW YORK SOCIETY FOR THE DEAF	5,055
NEW YORK URBAN LEAGUE	30,000
NEW YORK YOUTH AT RISK	30,000
NONPROFIT FINANCE FUND	36,750
NORTHERN MANHATTAN COALITION FOR IMMIGRANT RIGHTS	15,000
NYS INTERPRETER REFERRAL SERVICE	100
NYU WAGNER, PUBLIC SCHOOL OF PUBLIC SERVICE	5,000
ODYESSY HOUSE	25,000
ON YOUR MARK, INC	8,400
ONE HUNDRED BLACK MEN, INC	3,000
PEER INFLUENCE, INC	30,300
PETER HARDIE	22,000
PLANNED PARENTHOOD OF NEW YORK CITY	5,300
PRESBYTERIAN SENIOR HONOREES	500
PRESBYTERIAN SENIOR SERVICES	23,000
PROJECT RETURN FOUNDATION	25,000
PROJECT STRIVE	200,000
PROMESA	5,000
PUERTO RICAN LEGAL DEFENSE & EDUCATIONAL FUND	32,500
QUEENSBORO COUNCIL FOR SOCIAL WELFARE	2,500
RICHARD HERSTEIN	10,000
RICHMOND SENIOR SERVICES/PROJECT SHARE	6,000
RIDGEWOOD BUSHWICK SENIOR CITIZENS COUNCIL	55,000
ROBERT GENTILE	5,000
ROMNEYCOM, LLC	30,000
SAFE HORIZON, INC	20,000
SAFE SPACE	53,750
SAINT JOHN'S PLACE	10,000
SAKHI FOR SOUTH ASIAN WOMEN	4,465
SAN JOSE DAY NURSERY	2,500
SCHOMBURG CENTER FOR RESEARCH IN BLACK CULTURE	500

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SEAMEN'S SOCIETY FOR CHILDREN	5,000
SEEDCO	200,000
SEEDCO, EARNFAIR	300,000
SENIOR ACTION IN A GAY ENVIRONMENT	20,000
SETTLEMENT HOUSING FUND, INC	500
SHELTERING ARMS CHILDRENS' SERVICES	56,250
SHOREFRONT YM-YWCA	15,000
SISTER OUTSIDER	7,500
SNUG HARBOR CULTURAL CENTER	8,800
SOCIETY OF THE EDUCATIONAL ARTS, INC	20,000
SOUTH BROOKLYN LEGAL SERVICES	35,000
SOUTH STREET SEAPORT	32,500
SOUTHERN QUEENS PARK ASSOCIATION	5,000
SPANISH SPEAKING ELDERLY COUNCIL-RAICES	25,000
ST FRANCES de SALES DEVELOPMENT CORPORATION	25,000
STANLEY M ISSACS NEIGHBORHOOD CENTER	60,000
STATEN ISLAND AIDS TASK FORCE	5,000
STATEN ISLAND CENTER FOR INDEPENDENT LIVING, INC	7,200
STATEN ISLAND MENTAL HEALTH SOCIETY, INC	8,400
SUNNYSIDE COMMUNITY SERVICES	27,500
SUPPORTIVE HOUSING NETWORK OF NEW YORK	25,000
TADA, THEATRE AND DANCE ALLIANCE, INC	32,600
THE AFTER SCHOOL CORPORATION (TASC)	50,000
THE ARAB-AMERICAN FAMILY SUPPORT CENTER	20,000
THE BURDEN CENTER FOR THE AGING, INC	20,000
THE CHILDREN'S AID SOCIETY - GOODHUE CENTER	7,800
THE CHILDREN'S VILLAGE	25,000
THE CITY UNIVERSITY OF NEW YORK	50,000
THE CONSERVATION COMPANY	84,125
THE CORRECTIONAL ASSOCIATION OF NEW YORK	1,000
THE DOMINICAN WOMEN'S DEVELOPMENT CENTER	100
THE DRUCKER FOUNDATION	5,000
THE FRIENDS OF GLORIA BENFIELD	300
THE INTERNATIONAL CENTER OF NEW YORK	10,000
THE MENTORING PARTNERSHIP OF NEW YORK	20,000
THE NATIONAL ACADEMY OF JUNIOR TENNIS & YOUTH DEVELOPMENT	1,000
THE NEW YORK CITY POLICE MUSEUM	10,000
THE NEW YORK COMMUNITY TRUST	125,000
THE NEW YORK IMMIGRATION COALITION	80,000
THE NONPROFIT CONNECTION	100,000
THE PUERTO RICAN FAMILY INSTITUTE, INC	26,000
THE SALVATION ARMY	704,570
THE SUPPORT CENTER FOR NONPROFIT MANAGEMENT	68,375
THOMPSON & COLUMBUS, INC	30,500
UJA FEDERATION OF NEW YORK	147,407
UNITAS THERAPEUTIC COMMUNITY	30,000
UNITED ACTIVITIES UNLIMITED	8,400
UNITED CEREBRAL PALSY	30,000
UNITED NEIGHBORHOOD HOUSES	47,000
UNITY IN THE COMMUNITY SPORTS FOUNDATION, INC	5,000
URBAN ISSUE GROUP	20,000
VANTAGE CONSULTING GROUP	307,690
VENTURE HOUSE	24,000
VIP COMMUNITY SERVICES, INC	750
VIRTUAL Y	50,000
VISIONS/SERVICES FOR THE BLIND & VISUALLY IMPAIRED	23,000
VISITING NEIGHBORS	30,000
VISITING NURSE ASSOCIATION HOME CARE	5,000
VISITING NURSE SERVICE OF NEW YORK	2,500

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VOLUNTEER OF LEGAL SERVICES, INC	20,000
WESTON UNITED	10,000
WOMEN IN NEED	1,000
WOMEN'S CITY CLUB OF NEW YORK	1,500
WOMEN'S PRISON ASSOCIATION AND HOME	1,000
WOMEN'S VENTURE FUND	35,000
WORKS-IN-PROGRESS ASSOCIATES	117,000
YMCA OF GREATER NEW YORK	75,000
YMCA OF GREATER NEW YORK-STATEN ISLAND	5,000
YOUTH EMPLOYMENT PROGRAM	243,325
YVONNE PRASHAD	27,530
YWCA OF BROOKLYN	35,000
YWCA OF THE CITY OF NEW YORK	50,000
YWCA-ALZHEIMER'S PROJECT	15,000
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	9,600,512
LESS PRIOR YEAR RESCINDED GRANTS	<hr/>
	(88,379)
	<hr/>
	<u>\$9,512,133</u>

UNITED WAY OF NEW YORK CITY
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PART IV, LINE 51A

OTHER NOTES AND LOANS RECEIVABLE

REVOLVING RESERVE LOANS RECEIVABLE

\$ 181,755

UNITED WAY OF NEW YORK CITY
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SCHEDULE OF INVESTMENTS-SECURITIES
June 30, 2002

PART IV - LINE 54

Par Value/ Number of Shares	BONDS	MARKET VALUE
12,000	Abbott Labs	12,435
8,000	AT & T Corp	5,520
29,000	General Electric Capital Corp	29,911
37,000	General Motors Acceptance Corp	38,433
5,000	Texas Eastern Transmission Corporation	5,353
12,000	Wells Fargo & Co New Global	12,141
9,000	Duke Capital Corporation	9,787
27,000	Morgan Stanley Dean Witter	29,188
20,000	Washington Mutual Financial Corporation	20,760
12,000	Bank America Corporation	13,142
17,000	Goldman Sachs Group Inc	17,625
6,000	Verizon Global Funding	5,877
41,000	Lehman Brothers, Inc	43,591
30,000	Salomon Smith Barney Holdings, Inc	31,353
9,000	Household Finance Corp	8,902

UNITED WAY OF NEW YORK CITY
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SCHEDULE OF INVESTMENTS-SECURITIES
June 30, 2002

PART IV - LINE 54

Par Value/ Number of Shares	BONDS	MARKET VALUE
10,000	Clear Channel Communications	10,521
3,000	Cardinal Health, Inc	3,063
9,000	Target Corporation	9,453
6,000	Alcoa, Inc	6,157
20,000	Citigroup, Inc	20,797
30,000	DuPont EIDE Nemours & Co	32,121
7,000	Colgate-Palmolive Company	7,065
5,000	Vulcan Materials Company	5,279
18,000	Ford Motor Credit Co	17,694
3,000	Coca Cola Enterprises, Inc	3,067
14,000	SBC Communications, Inc	13,979
3,000	Kraft Foods, Inc	3,091
35,000	Walt Disney Company	37,189
10,000	Mobil Corporation	12,433
70,000	IBM Corporation	70,501

UNITED WAY OF NEW YORK CITY
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SCHEDULE OF INVESTMENTS-SECURITIES
June 30, 2002

PART IV - LINE 54

Par Value/ Number of Shares	BONDS	MARKET VALUE
3,000	Eli Lilly & Company	3,235
9,000	Comcast Cable Communications	8,885
6,000	Citigroup, Inc	5,772
17,000	Cox Communications Inc	15,638
4,000	Progress Energy Inc	4,166
9,000	Worldcom, Inc GA	1,350
6,000	AT&T Wireless Services, Inc	4,669
24,000	Federal National Mortgage Assn 06/18/13	11,285
10,000	Federal Home Loan Banks 04/15/05	10,275
300,000	Federal Home Loan Mortgage Corp 07/01/05	2,513
10,000	Federal National Mortgage Assn 11/01/14	4,628
23,000	Federal National Mortgage Assn 02/25/16	23,754
29,000	Federal National Mortgage Assn 05/15/29	28,973
40,000	Federal National Mortgage Assn 01/25/24	32,696
21,000	Federal National Mortgage Assn 09/01/16	19,436
30,000	Federal National Mortgage Assn 05/25/23	24,104

UNITED WAY OF NEW YORK CITY
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SCHEDULE OF INVESTMENTS-SECURITIES
June 30, 2002

PART IV - LINE 54

Par Value/ Number of Shares	BONDS	MARKET VALUE
23,000	Federal National Mortgage Assn 05/01/17	22,932
30,000	Federal National Mortgage Assn 08/25/22	29,621
50,000	Federal Home Loan Mortgage Corp 09/01/11	9,638
75,000	Federal National Mortgage Assn 04/01/09	13,200
40,000	Federal Home Loan Mortgage Corp 10/15/23	40,452
17,000	Federal Home Loan Mortgage Corp 10/15/27	17,775
55,000	Government National Mortgage Assn 12/15/27	32,836
30,000	Government National Mortgage Assn 04/15/24	13,500
50,000	Government National Mortgage Assn 09/15/22	11,553
43,000	U S Treasury Bonds 02/15/23	50,619
16,000	U S Treasury Bonds 02/15/26	16,640
14,000	U S Treasury Bonds 05/15/16	16,498
18,000	U S Treasury Notes 05/31/04	18,141
35,000	U S Treasury Notes 05/15/05	37,997
18,000	U S Treasury Notes 05/15/06	18,540

UNITED WAY OF NEW YORK CITY
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SCHEDULE OF INVESTMENTS-SECURITIES
June 30, 2002

PART IV - LINE 54

Par Value/ Number of Shares	BONDS	MARKET VALUE
12,000	U S Treasury Notes 08/15/11	12,165
3,000	U S Treasury Notes 02/15/07	3,283
23,000	U S Treasury Bonds 08/15/29	24,430
35,000	Federal Home Loan Mortgage Corp 01/15/05	37,953
11,000	Federal Home Loan Mortgage Corp 06/01/14	6,885
20,000	Federal Home Loan Mortgage Corp 03/15/10	22,381
9,000	Federal National Mortgage Assn 03/15/12	9,523
19,000	Federal National Mortgage Assn 02/15/06	19,950
36,000	Federal Home Loan Mortgage Corp 03/15/07	36,799
25,828	Government National Mortgage Assn Pool #464686 07/15/28	17,429
12,000	Federal Home Loan Mortgage Corp 07/01/29	6,321
30,000	Federal Home Loan Mortgage Corp 12/01/29	11,766
35,000	Federal National Mortgage Assn 08/01/30	25,871
50,000	Federal National Mortgage Assn 09/01/30	11,228
23,000	Federal Home Loan Mortgage Corp 11/01/30	7,906

UNITED WAY OF NEW YORK CITY
#13-2617681
SCHEDULE OF INVESTMENTS-SECURITIES
June 30, 2002

PART IV - LINE 54

Par Value/ Number of Shares	BONDS	MARKET VALUE
14,000	Federal Home Loan Mortgage Corp 11/15/30	4,348
11,000	Federal Home Loan Mortgage Corp 01/01/31	3,698
9,000	Federal Home Loan Mortgage Corp 04/01/31	8,116
9,000	Government National Mortgage Assn 04/15/31	5,913
8,000	Federal Home Loan Mortgage Corp 05/01/31	7,565
7,000	Government National Mortgage Assn 05/15/31	5,137
27,000	Federal Home Loan Mortgage Corp 06/01/31	25,026
55,000	Federal Home Loan Mortgage Corp 07/01/31	50,609
53,000	Federal Home Loan Mortgage Corp 09/01/31	47,565
19,000	Government National Mortgage Assn 10/15/31	18,378
14,000	Federal Home Loan Mortgage Corp 11/01/31	13,116
95,000	Federal Home Loan Mortgage Corp 01/01/32	93,779
29,000	Federal Home Loan Mortgage Corp 03/01/32	29,293
7,000	Federal Home Loan Mortgage Corp 04/01/32	7,084
23,000	Federal Home Loan Mortgage Corp 06/01/32	23,467

UNITED WAY OF NEW YORK CITY
#13-2617681
SCHEDULE OF INVESTMENTS-SECURITIES
June 30, 2002

PART IV - LINE 54

Par Value/ Number of Shares	BONDS	MARKET VALUE
13,000	USA Networks, Inc	13,447
25,000	Chase Credit Card Master Trust	25,753
30,000	Discover Card Master Trust	31,773
29,000	Bear Stearns Commercial Mortgage Securities	27,916
20,000	Morgan Stanley Dean Witter	21,400
15,000	MBNA Master Credit Card Trust	16,653
21,000	Bank America Mortgage Securities, Inc	20,588
6,000	Residential Asset Securities Corp	6,141
18,000	Residential Accreditation Loans, Inc	<u>1,094</u>
	BONDS TOTAL	<u>1,849,498</u>

UNITED WAY OF NEW YORK CITY
#13-2617681
SCHEDULE OF INVESTMENTS-SECURITIES
June 30, 2002

PART IV - LINE 54 (cont'd)

Par Value/ Number of Shares	COMMON STOCK	MARKET VALUE
500	AOL Time Warner, Inc	7,355
700	Abbott Laboratories	26,355
450	Amgen, Inc	18,846
500	Cardinal Health, Inc	30,705
1,150	Clear Channel Communications, Inc	36,823
600	Colgate Palmolive Company	30,030
400	Costco Wholesale Corp	15,448
700	Genentech, Inc	23,450
950	Gillette Company	32,177
200	Laboratory Corp America Holdings	9,130
700	Johnson & Johnson	36,582
650	Medtronic, Inc	27,852
650	Pepsico, Inc	31,330
1,200	Pfizer, Inc	42,000
600	Sysco Corp	16,332

UNITED WAY OF NEW YORK CITY
#13-2617681
SCHEDULE OF INVESTMENTS-SECURITIES
June 30, 2002

PART IV - LINE 54 (cont'd)

Par Value/ Number of Shares	COMMON STOCK	MARKET VALUE
450	Target Corp	17,145
700	Tiffany & Co	24,640
300	Noble Corp	11,580
1,100	First Data Corp	40,920
1,000	Home Depot Inc	36,730
500	Liberty Media Corp	5,000
300	Monsanto Co	5,340
600	Prudential Financial, Inc	20,016
1,150	Waste Management, Inc	29,958
950	Cisco Systems Inc	13,252
650	Electronic Data Systems Corp	24,147
300	Emerson Electric Company	16,053
750	Exxon Mobil Corporation	30,690
500	Schlumberger Limited	23,250
700	Microsoft Corporation	38,290

UNITED WAY OF NEW YORK CITY
#13-2617681
SCHEDULE OF INVESTMENTS-SECURITIES
June 30, 2002

PART IV - LINE 54 (cont'd)

Par Value/ Number of Shares	COMMON STOCK	MARKET VALUE
550	Peoplesoft, Inc	8,184
100	Quest Diagnostics, Inc	8,605
1,100	Texas Instruments	26,070
400	Total Financial Elf S A ADR	32,360
200	United Technologies Corp	13,580
200	B J Services Co	6,776
450	SBC Communications, Inc	13,725
1,500	Intel Corporation	27,405
500	International Business Machines Corp	36,000
450	American International Group	30,704
500	BP P L C Spons ADR	25,245
500	United Parcel Service, Inc	30,875
1,300	General Electric Company	37,765
1,200	Citigroup, Inc	46,500
300	Fannie Mae	22,125

UNITED WAY OF NEW YORK CITY
#13-2617681
SCHEDULE OF INVESTMENTS-SECURITIES
June 30, 2002

PART IV - LINE 54 (cont'd)

Par Value/ Number of Shares	COMMON STOCK	MARKET VALUE
400	Fifth Third Bancorp	26,660
350	Morgan Stanley Dean Witter & Co	15,078
800	Northern Trust Corp	35,248
1,000	Washington Mutual, Inc	37,110
400	Wells Fargo & Company	20,024
9,723 375	BNY Hamilton International Equity Fund	86,246
10,476 126	BNY Hamilton Small Cap Growth Fund	146,980
	COMMON STOCK TOTAL	<u>1,601,172</u>

UNITED WAY OF NEW YORK CITY
#13-2617681
SCHEDULE OF INVESTMENTS-SECURITIES
June 30, 2002

PART IV - LINE 54 (cont'd)

PAR Value/ Number of Shares	OTHER ASSETS	MARKET VALUE
	The New York Community Trust	282,572
	Carver Federal Savings Bank C/D	95,890
	OTHER ASSETS TOTAL	<u>378,462</u>
	GRAND TOTAL	<u>\$ 3,682,651</u>

UNITED WAY OF NEW YORK CITY
#13-2617681
June 30, 2002

PART IV, LINE 57

Furniture and fixtures	\$ 368,840
Information system equipment	836,074
Leasehold improvements	3,868,599
Office equipment	115,858
Less: Accumulated depreciation & amortization	<u>(1,507,819)</u>
	<u><u>\$ 3,681,552</u></u>

Depreciation expense recorded for fiscal year 2001/02 amounted to \$564,452

UNITED WAY OF NEW YORK CITY

#13-2617681

June 30, 2002

PART V

LIST OF OFFICERS, DIRECTORS AND TRUSTEES

(A)	(B)	(C)	(D)	(E)
<u>Name and Address</u>	<u>Title</u>	<u>Compensation</u>	<u>Employee Benefit Plans</u>	<u>Expense Account Other Allowance</u>
C Lou Clemente Retired Pfizer, Inc New York, NY 10017	Chairman of the Board & Chief Volunteer Officer and Chairman of the Executive Committee	None	None	None
John H Biggs, Ph D Retired TIAA-CREF New York, NY 10017	Vice Chairperson	None	None	None
Wilma S Tisch Esq 980 Fifth Avenue New York, NY 10021	Vice Chairperson	None	None	None

UNITED WAY OF NEW YORK CITY

#13-2617681

June 30, 2002

PART V

LIST OF OFFICERS, DIRECTORS AND TRUSTEES

(A)	(B)	(C)	(D)	(E)
<u>Name and Address.</u>	<u>Title</u>	<u>Compensation</u>	<u>Employee Benefit Plans</u>	<u>Expense Account Other Allowance</u>
Rev Dr Calvin O Butts, III SUNY College of Old Westbury Old Westbury, NY 11568	Vice Chairperson	None	None	None
Thomas J Moran Mutual of America 320 Park Avenue New York, NY 10022	Vice Chairperson	None	None	None
Joseph D Sargent 90 Lancelot Drive Fairfield, CT 06823	Treasurer	None	None	None

UNITED WAY OF NEW YORK CITY

#13-2617681

June 30, 2002

PART V

LIST OF OFFICERS, DIRECTORS AND TRUSTEES

(A)	(B)	(C)	(D)	(E)
<u>Name and Address</u>	<u>Title</u>	<u>Compensation</u>	<u>Employee Benefit Plans</u>	<u>Expense Account Other Allowance</u>
Charles P Wang Matsuka America Co Ltd Empire State Building 350 Fifth Avenue, Room 1520 New York, NY 10018	Secretary	None	None	None
Ralph Dickerson, Jr United Way of New York City New York, NY 10016	President & Chief Professional Officer	\$440,000 <u>\$440,000</u>	\$46,394* <u>\$46,394</u>	\$19,471 <u>\$19,471</u>

*See attached

UNITED WAY OF NEW YORK CITY
2002 - 2003 BOARD OF DIRECTORS

CHAIRMAN OF THE BOARD AND
CHIEF VOLUNTEER OFFICER

*C. L. Clemente**

Information re Mr Clemente will be
submitted as soon as it becomes available

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UNITED WAY OF NEW YORK CITY
2002 - 2003 BOARD OF DIRECTORS

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2002 - 2003 BOARD OF DIRECTORS

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2002 - 2003 BOARD OF DIRECTORS

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UNITED WAY OF NEW YORK CITY
2002 - 2003 BOARD OF DIRECTORS

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2002 - 2003 BOARD OF DIRECTORS

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UNITED WAY OF NEW YORK CITY
2002 - 2003 BOARD OF DIRECTORS

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UNITED WAY OF NEW YORK CITY
2002 - 2003 BOARD OF DIRECTORS

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2002 - 2003 BOARD OF DIRECTORS

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2002 - 2003 BOARD OF DIRECTORS

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UNITED WAY OF NEW YORK CITY
2002 - 2003 BOARD OF DIRECTORS

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UNITED WAY OF NEW YORK CITY
2002 - 2003 BOARD OF DIRECTORS

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UNITED WAY OF NEW YORK CITY
2002 - 2003 BOARD OF DIRECTORS

BOARD MEMBERS

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UNITED WAY OF NEW YORK CITY
2002 - 2003 BOARD OF DIRECTORS

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(*) = MEMBERS OF THE UNITED WAY OF NEW YORK CITY EXECUTIVE COMMITTEE
TOTAL 51 DIRECTORS + PRESIDENT = 52

E \WINFRED\BOARD LIST 2000-2001

UNITED WAY OF NEW YORK CITY
#13-2617681
June 30, 2002

PART V - COLUMN D

During the year United Way of New York City allocated the estimated costs of a supplemental executive retirement plan for key employees. Costs allocated during the year reflect amounts earned and accumulated, partly in the current year but primarily in prior years. None of the amount accumulated has been paid as it is forfeitable, unvested and unfunded. The amount which may be payable in the future for Ralph Dickerson, Jr., based on certain conditions being met is \$480,000.

UNITED WAY OF NEW YORK CITY
#13-2617681
June 30, 2002

PART VI, LINE 82A

Pfizer Inc provides pro-bono legal services through their legal department at no cost to United Way of New York City Value for these services amounted to \$218,750 for fiscal year 2001-02