

Form **990****Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

OMB No 1545-0047

2001**Open to Public Inspection**Department of the Treasury
Internal Revenue Service

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

A For the 2001 calendar year, or tax year beginning , 2001, and ending , 20

B Check if applicable:
☐ Address change
☐ Name change
☐ Initial return
☐ Final return
☐ Amended return
☐ Application pending

Please use IF label print type See Spec Instru tions

67380 *****AUTO**5-DIGIT 98103
 TAPROOT THEATRE
 PO BOX 30946
 SEATTLE WA 98103-0946

P 93 I R S
 B 46 S

D Employer identification number
 91-0971237

E Telephone number
 (206) 781-9705

F Accounting method ☐ Cash ☒ Accrual
☐ Other (specify) ▶

G Web site ▶ WWW.TAPROOTTHEATRE.ORG

J Organization type (check only one) ▶ ☒ 501(c) () ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

K Check here ▶ ☐ if the organization's gross receipts are normally not more than \$25,000. The organization need not file a return with the IRS but if the organization received a Form 990 Package in the mail, it should file a return without financial data. Some states require a complete return.

L Gross receipts. Add lines 6b, 8b, 9b, and 10b to line 12 ▶ 1,116,134

H and **I** are not applicable to section 527 organizations
H(a) Is this a group return for affiliates? ☐ Yes ☒ No
H(b) If "Yes," enter number of affiliates ▶
H(c) Are all affiliates included? ☐ Yes ☐ No
 (If "No," attach a list. See instructions.)
H(d) Is this a separate return filed by an organization covered by a group ruling? ☐ Yes ☒ No
I Enter 4-digit GEN ▶
M Check ▶ ☐ if the organization is not required to attach Sch. B (Form 990, 990-EZ, or 990-PF)

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (See Specific Instructions on page 16)

Revenue	1	Contributions, gifts, grants, and similar amounts received					
	a	Direct public support	1a	386,680			
	b	Indirect public support	1b	12,074			
	c	Government contributions (grants)	1c	6,710			
	d	Total (add lines 1a through 1c) (cash \$ 387,008 noncash \$ 18,456)	1d	405,464			
	2	Program service revenue including government fees and contracts (from Part VII, line 93)	2	610,675			
	3	Membership dues and assessments	3				
	4	Interest on savings and temporary cash investments	4	479			
	5	Dividends and interest from securities	5				
	Revenue	6a	Gross rents	6a	72,572		
b		Less rental expenses	6b	63,693			
c		Net rental income or (loss) (subtract line 6b from line 6a)	6c	8,879			
7		Other investment income (describe ▶ LOSS ON ENDOWMENT PRINCIPAL)	7	(1,150)			
8a		Gross amount from sales of assets other than inventory	(A) Securities	7,146	8a		
		Less cost or other basis and sales expenses		9,357	8b		
		c	Gain or (loss) (attach schedule)	(211)	8c		
		d	Net gain or (loss) (combine line 8c, columns (A) and (B))		8d	(211)	
Revenue		9	Special events and activities (attach schedule)				
		a	Gross revenue (not including \$ 12,740 of contributions reported on line 1a)	9a	3,850		
	b	Less direct expenses other than fundraising expenses	9b	18,997			
	c	Net income or (loss) from special events (subtract line 9b from line 9a)	9c	(15,147)			
	10a	Gross sales of inventory, less returns and allowances	10a	15,098			
		b	Less cost of goods sold	10b	8,468		
		c	Gross profit or (loss) from sales of inventory (attach schedule) (subtract line 10b from line 10a)	10c	6,630		
	11	Other revenue (from Part VII, line 103)	11				
	12	Total revenue (add lines 1d, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11)	12	1,015,619			
	Expenses	13	Program services (from line 44, column (B))	13	720,823		
14		Management and general (from line 44, column (C))	14	178,536			
15		Fundraising (from line 44, column (D))	15	91,877			
16		Payments to affiliates (attach schedule)	16				
17		Total expenses (add lines 13 and 14, column (A))	17	991,235			
Net Assets	18	Excess or (deficit) for the year (subtract line 17 from line 12)	18	24,384			
	19	Net assets or fund balances at beginning of year (from line 73, column (A))	19	777,282			
	20	Other changes in net assets or fund balances (attach explanation)	20	580			
	21	Net assets or fund balances at end of year (combine lines 18, 19, and 20)	21	802,246			

For Paperwork Reduction Act Notice, see the separate instructions

Cat No 11282Y

Form 990 (2001)

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Part II Statement of Functional Expenses

All organizations must complete column (A). Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others. (See Specific Instructions on page 21.)

Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I		(A) Total	(B) Program services	(C) Management and general	(D) Fundraising	
22	Grants and allocations (attach schedule) (cash \$ _____ noncash \$ _____)	22				
23	Specific assistance to individuals (attach schedule)	23				
24	Benefits paid to or for members (attach schedule)	24				
25	Compensation of officers, directors, etc	25	102,194	45,157	39,255	
26	Other salaries and wages	26	353,739	301,429	52,310	
27	Pension plan contributions	27				
28	Other employee benefits	28	35,646	30,324	5,271	
29	Payroll taxes	29	47,532	36,136	7,307	
30	Professional fundraising fees	30	87		87	
31	Accounting fees	31				
32	Legal fees	32				
33	Supplies	33	4,049	314	3,719	
34	Telephone	34	14,473	13,417	352	
35	Postage and shipping	35	16,755	9,582	5,419	
36	Occupancy	36	56,627	35,395	20,494	
37	Equipment rental and maintenance	37	6,542	5,234	327	
38	Printing and publications	38	87,238	79,776	3,747	
39	Travel	39	5,746	5,137	609	
40	Conferences, conventions, and meetings	40	2,279	866	1,413	
41	Interest	41	24,162	21,433	2,729	
42	Depreciation, depletion, etc (attach schedule)	42	75,240		75,240	
43	Other expenses not covered above (itemize) a <u>RESIDENT THEATRE</u>	43a	94,404	94,404		
b	<u>TOURING THEATRE</u>	43b	20,027	20,027		
c	<u>ACTING STUDIO</u>	43c	13,531	13,531		
d	<u>OTHER THEATRICAL</u>	43d	8,615	8,615		
e	<u>OTHER MANAGEMENT & GENERAL</u>	43e	24,349		23,139	
44	Total functional expenses (add lines 22 through 43). Organizations completing columns (B)-(D), carry these totals to lines 13-15	44	991,235	720,823	178,536	91,877

Joint Costs. Check ☐ if you are following SOP 98-2Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services? ☐ Yes ☐ No

If "Yes," enter (i) the aggregate amount of these joint costs \$ _____, (ii) the amount allocated to Program services \$ _____,

(iii) the amount allocated to Management and general \$ _____, and (iv) the amount allocated to Fundraising \$ _____

Part III Statement of Program Service Accomplishments (See Specific Instructions on page 24)What is the organization's primary exempt purpose? ARTS PRESENTATION

All organizations must describe their exempt purpose achievements in a clear and concise manner. State the number of clients served, publications issued, etc. Discuss achievements that are not measurable (Section 501(c)(3) and (4) organizations and 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants and allocations to others)

Program Service Expenses	(Required for 501(c)(3) and (4) orgs. and 4947(a)(1) trusts but optional for others)
a <u>RESIDENT THEATRE - PRODUCED 6 PLAYS PLUS REGULAR IMPROVISATIONAL COMEDY PERFORMANCES AND READINGS OF NEW WORK FOR A TOTAL OF 177 PERFORMANCES SERVING 32,958 PATRONS RECEIVED CRITICAL & POPULAR ACCLAIM</u>	473,127
(Grants and allocations \$ _____)	
b <u>TOURING THEATRE - PRODUCED 10 PLAYS IN 187 PERFORMANCES SERVING 50,074 PATRONS IN SCHOOLS, CHURCHES & OTHER VENUES. RECEIVED CONSISTENT PRAISE FROM EDUCATORS FOR POSITIVE EFFECT ON STUDENTS</u>	160,261
(Grants and allocations \$ _____)	
c <u>ACTING STUDIO - PROVIDED CLASSES & WORKSHOPS THROUGHOUT THE YEAR FOR STUDENTS OF ALL AGES. SERVED 2979 STUDENTS</u>	78,820
(Grants and allocations \$ _____)	
d <u>OTHER THEATRICAL - PRODUCED SEVERAL SHORT PLAYS FOR AUDIO DISTRIBUTION ON INTERNET. PUBLISHED SCRIPTS DEVELOPED BY COMPANY & LICENSED FOR PRODUCTION PROVIDED CONSULTING SERVICES FOR OTHER NON-PROFITS ON THEATRICAL & EDUCATION ISSUES</u>	8,615
(Grants and allocations \$ _____)	
e Other program services (attach schedule) (Grants and allocations \$ _____)	
f Total of Program Service Expenses (should equal line 44, column (B), Program services)	720,823

Part IV Balance Sheets (See Specific Instructions on page 24)

Note		(A) Beginning of year		(B) End of year
45	Cash—non-interest-bearing	61,880	45	34,199
46	Savings and temporary cash investments	41,309	46	135,015
47a	Accounts receivable		47c	
b	Less allowance for doubtful accounts		47c	
48a	Pledges receivable		48c	
b	Less allowance for doubtful accounts		48c	
49	Grants receivable		49	
50	Receivables from officers, directors, trustees, and key employees (attach schedule)		50	
51a	Other notes and loans receivable (attach schedule)		51c	
b	Less allowance for doubtful accounts		51c	
52	Inventories for sale or use		52	
53	Prepaid expenses and deferred charges	5,342	53	4,796
54	Investments—securities (attach schedule) ☒ Cost ☐ FMV	67,610	54	78,137
55a	Investments—land, buildings, and equipment basis	600,000	55c	
b	Less accumulated depreciation (attach schedule)	8,858	55c	591,142
56	Investments—other (attach schedule)		56	
57a	Land, buildings, and equipment basis	1,152,133	57c	
b	Less accumulated depreciation (attach schedule)	301,437	57c	850,696
58	Other assets (describe ☐)		58	
59	Total assets (add lines 45 through 58) (must equal line 74)	1,669,375	59	1,693,985
60	Accounts payable and accrued expenses	28,266	60	64,521
61	Grants payable		61	
62	Deferred revenue	149,640	62	160,204
63	Loans from officers, directors, trustees, and key employees (attach schedule)		63	
64a	Tax-exempt bond liabilities (attach schedule)		64a	
b	Mortgages and other notes payable (attach schedule)	707,545	64b	662,263
65	Other liabilities (describe ☐ DEPOSITS ON RENTAL PROPERTIES)	6,350	65	4,750
66	Total liabilities (add lines 60 through 65)	893,761	66	891,738
Organizations that follow SFAS 117, check here ☒ and complete lines 67 through 69 and lines 73 and 74				
67	Unrestricted	715,614	67	783,521
68	Temporarily restricted	50,000	68	9,776
69	Permanently restricted	10,000	69	8,950
Organizations that do not follow SFAS 117, check here ☐ and complete lines 70 through 74				
70	Capital stock, trust principal, or current funds		70	
71	Paid-in or capital surplus, or land, building, and equipment fund		71	
72	Retained earnings, endowment, accumulated income, or other funds		72	
73	Total net assets or fund balances (add lines 67 through 69 OR lines 70 through 72, column (A) must equal line 19, column (B) must equal line 21)	777,282	73	802,247
74	Total liabilities and net assets / fund balances (add lines 66 and 73)	1,671,043	74	1,693,985

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.

Part IV-A Reconciliation of Revenue per Audited Financial Statements with Revenue per Return (See Specific Instructions, page 26)	Part IV-B Reconciliation of Expenses per Audited Financial Statements with Expenses per Return
a Total revenue, gains, and other support per audited financial statements ▶	a Total expenses and losses per audited financial statements ▶
b Amounts included on line a but not on line 12, Form 990	b Amounts included on line a but not on line 17, Form 990
(1) Net unrealized gains on investments \$ _____ (2) Donated services and use of facilities \$ _____ (3) Recoveries of prior year grants \$ _____ (4) Other (specify) _____ \$ _____	(1) Donated services and use of facilities \$ _____ (2) Prior year adjustments reported on line 20, Form 990 \$ _____ (3) Losses reported on line 20, Form 990 \$ _____ (4) Other (specify) _____ \$ _____
Add amounts on lines (1) through (4) ▶	Add amounts on lines (1) through (4) ▶
c Line a minus line b ▶	c Line a minus line b ▶
d Amounts included on line 12, Form 990 but not on line a.	d Amounts included on line 17, Form 990 but not on line a:
(1) Investment expenses not included on line 6b, Form 990 \$ _____ (2) Other (specify) _____ \$ _____	(1) Investment expenses not included on line 6b, Form 990 \$ _____ (2) Other (specify) _____ \$ _____
Add amounts on lines (1) and (2) ▶	Add amounts on lines (1) and (2) ▶
e Total revenue per line 12, Form 990 (line c plus line d) ▶	e Total expenses per line 17, Form 990 (line c plus line d) ▶

Part V **List of Officers, Directors, Trustees, and Key Employees** (List each one even if not compensated, see Specific Instructions on page 26)

(A) Name and address	(B) Title and average hours per week devoted to position	(C) Compensation (if not paid, enter -0-)	(D) Contributions to employee benefit plans & deferred compensation	(E) Expense account and other allowances
SCOTT L. VOLTE P.O. Box 30946, SEATTLE WA 98103	PRODUCING ARTISTIC DIRECTOR 40	46,323	6,803	
M. CHRISTOPHER BOYER P.O. Box 30946, SEATTLE WA 98103	MANAGING DIRECTOR 40	26,778	1,939	20,352
	SEE ATTACHED FOR LIST OF BOARD OF DIRECTORS (COMPENSATION: 0)			

75 Did any officer, director, trustee, or key employee receive aggregate compensation of more than \$100,000 from your organization and all related organizations, of which more than \$10,000 was provided by the related organizations? ☐ Yes ☒ No
 If "Yes," attach schedule—see Specific Instructions on page 27

Part VI Other Information (See Specific Instructions on page 27)

	Yes	No
76 Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity		X
77 Were any changes made in the organizing or governing documents but not reported to the IRS? If "Yes," attach a conformed copy of the changes		X
78a Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?		X
78b If "Yes," has it filed a tax return on Form 990-T for this year?		
79 Was there a liquidation, dissolution, termination, or substantial contraction during the year? If "Yes," attach a statement		X
80a Is the organization related (other than by association with a statewide or nationwide organization) through common membership, governing bodies, trustees, officers, etc., to any other exempt or nonexempt organization?		X
81a If "Yes," enter the name of the organization _____ and check whether it is ☐ exempt OR ☐ nonexempt		
81b Enter direct or indirect political expenditures. See line 81 instructions		X
82a Did the organization file Form 1120-POL for this year?		
82b Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value?	X	
83a If "Yes," you may indicate the value of these items here. Do not include this amount as revenue in Part I or as an expense in Part II (See instructions in Part III)		
83b Did the organization comply with the public inspection requirements for returns and exemption applications?	X	
83c Did the organization comply with the disclosure requirements relating to quid pro quo contributions?	X	
84a Did the organization solicit any contributions or gifts that were not tax deductible?		X
84b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		
85a 501(c)(4), (5), or (6) organizations Were substantially all dues nondeductible by members?		
85b Did the organization make only in-house lobbying expenditures of \$2,000 or less?		
If "Yes" was answered to either 85a or 85b, do not complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year		
85c Dues, assessments, and similar amounts from members		
85d Section 162(e) lobbying and political expenditures		
85e Aggregate nondeductible amount of section 6033(e)(1)(A) dues notices		
85f Taxable amount of lobbying and political expenditures (line 85d less 85e)		
85g Does the organization elect to pay the section 6033(e) tax on the amount on line 85f?		
85h If section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount on line 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year?		
86a 501(c)(7) orgs Enter a Initiation fees and capital contributions included on line 12		
86b Gross receipts, included on line 12, for public use of club facilities		
87a 501(c)(12) orgs Enter a Gross income from members or shareholders		
87b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)		
88 At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Part IX		
89a 501(c)(3) organizations Enter Amount of tax imposed on the organization during the year under section 4911 _____, section 4912 _____, section 4955 _____		
89b 501(c)(3) and 501(c)(4) orgs Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach a statement explaining each transaction		X
89c Enter Amount of tax imposed on the organization managers or disqualified persons during the year under sections 4912, 4955, and 4958		
89d Enter Amount of tax on line 89c, above, reimbursed by the organization		
90a List the states with which a copy of this return is filed		
90b Number of employees employed in the pay period that includes March 12, 2001 (See instructions)	24	
91 The books are in care of <u>TAPROOT THEATRE</u> Telephone no <u>(206) 781-9705</u> Located at <u>312 N 55th ST, STE 103, SEATTLE WA 98103</u> ZIP + 4 <u>98103-0946</u>		
92 Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of Form 1041—Check here ☐ and enter the amount of tax-exempt interest received or accrued during the tax year <u>92</u>		

Part VII Analysis of Income-Producing Activities (See Specific Instructions on page 32)

Note: Enter gross amounts unless otherwise indicated

	Unrelated business income		Excluded by section 512, 513, or 514		(E) Related or exempt function income
	(A) Business code	(B) Amount	(C) Exclusion code	(D) Amount	
93 Program service revenue					
a RESIDENT THEATRE					455,049
b TOURING THEATRE					79,077
c ACTING STUDIO					59,478
d MISCELLANEOUS					17,071
e					
f Medicare/Medicaid payments					
g Fees and contracts from government agencies					
94 Membership dues and assessments					
95 Interest on savings and temporary cash investments					479
96 Dividends and interest from securities					
97 Net rental income or (loss) from real estate					
a debt-financed property			33	2,514	
b not debt-financed property			16	6,565	
98 Net rental income or (loss) from personal property					
99 Other investment income					(1,150)
100 Gain or (loss) from sales of assets other than inventory			18	(211)	
101 Net income or (loss) from special events					(15,147)
102 Gross profit or (loss) from sales of inventory			03	6,630	
103 Other revenue a					
b					
c					
d					
e					
104 Subtotal (add columns (B), (D), and (E))				15,298	594,857
105 Total (add line 104, columns (B), (D), and (E))					610,155

Note: Line 105 plus line 1d, Part I, should equal the amount on line 12, Part I

Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes (See Specific Instructions on page 32)

Line No	Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes)
93a	PRODUCED PLAYS WITH POSITIVE VALUES
93b	PRODUCED & TOURED PLAYS TO REGION'S SCHOOLS, CHURCHES & OTHER VENUES
93c	TAUGHT CLASSES IN ACTING & RELATED THEATRE SKILLS TO YOUNG & ADULT ACTORS
93d	MISCELLANEOUS THEATRE-RELATED ACTIVITIES INCLUDING PRODUCTION OF SHORT PLAYS FOR AUDIO DISTRIBUTION

Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities (See Specific Instructions on page 33)

(A) Name, address, and EIN of corporation, partnership, or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End-of-year assets
	%			
	%			
	%			
	%			

Part X Information Regarding Transfers Associated with Personal Benefit Contracts (See Specific Instructions on page 33)(a) Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No(b) Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

Note: If "Yes" to (b), file Form 8870 and Form 4720 (see instructions)

Under penalties of perjury, I declare that I have examined this return including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Please

Seth D. Lee

Date

8/2/02

DIRECTOR / CEO

Date

Check if

Preparer's SSN or PTIN (See Gen. Inst. W)

SCHEDULE A
(Form 990 or 990-EZ)

Organization Exempt Under Section 501(c)(3)

(Except Private Foundation) and Section 501(e), 501(f), 501(k),
501(n), or Section 4947(a)(1) Nonexempt Charitable Trust

Supplementary Information—(See separate instructions.)

OMB No 1545-0047

2001

Department of the Treasury
Internal Revenue Service

▶ **MUST be completed by the above organizations and attached to their Form 990 or 990-EZ**

Name of the organization

THE TAPROOT THEATRE

Employer identification number

91 0971237

Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees
(See page 1 of the instructions List each one If there are none, enter "None")

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
<i>NONE</i>				
Total number of other employees paid over \$50,000 ▶				

Part II Compensation of the Five Highest Paid Independent Contractors for Professional Services
(See page 2 of the instructions List each one (whether individuals or firms) If there are none, enter "None")

(a) Name and address of each independent contractor paid more than \$50,000	(b) Type of service	(c) Compensation
<i>NONE</i>		
Total number of others receiving over \$50,000 for professional services ▶		

Part III Statements About Activities (See page 2 of the instructions)

Yes No

- 1** During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If "Yes," enter the total expenses paid or incurred in connection with the lobbying activities ▶ \$ _____ (Must equal amounts on line 38, Part VI-A, or line I of Part VI-B)

Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A. Other organizations checking "Yes," must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities

- 2** During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any substantial contributors, trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary? (If the answer to any question is "Yes," attach a detailed statement explaining the transactions)

a Sale, exchange, or leasing of property?

b Lending of money or other extension of credit?

c Furnishing of goods, services, or facilities?

d Payment of compensation (or payment or reimbursement of expenses if more than \$1,000)?

e Transfer of any part of its income or assets?

- 3** Does the organization make grants for scholarships, fellowships, student loans, etc.? (See Note below)

- 4** Do you have a section 403(b) annuity plan for your employees?

Note: Attach a statement to explain how the organization determines that individuals or organizations receiving grants or loans from it in furtherance of its charitable programs "qualify" to receive payments

Part IV Reason for Non-Private Foundation Status (See pages 3 through 6 of the instructions)

The organization is not a private foundation because it is (Please check only **ONE** applicable box)

- 5** ☐ A church, convention of churches, or association of churches Section 170(b)(1)(A)(i)
- 6** ☐ A school Section 170(b)(1)(A)(ii) (Also complete Part V)
- 7** ☐ A hospital or a cooperative hospital service organization Section 170(b)(1)(A)(iii)
- 8** ☐ A Federal, state, or local government or governmental unit Section 170(b)(1)(A)(v)
- 9** ☐ A medical research organization operated in conjunction with a hospital Section 170(b)(1)(A)(iii) Enter the hospital's name, city, and state ▶ _____
- 10** ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit Section 170(b)(1)(A)(iv) (Also complete the **Support Schedule** in Part IV-A)
- 11a** ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A)
- 11b** ☐ A community trust Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A)
- 12** ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc., functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See section 509(a)(2) (Also complete the **Support Schedule** in Part IV-A)
- 13** ☐ An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in (1) lines 5 through 12 above, or (2) section 501(c)(4), (5), or (6), if they meet the test of section 509(a)(2) (See section 509(a)(3))

Provide the following information about the supported organizations (See page 5 of the instructions)

(a) Name(s) of supported organization(s)	(b) Line number from above

- 14** ☐ An organization organized and operated to test for public safety Section 509(a)(4) (See page 6 of the instructions)

Part IV-A Support Schedule (Complete only if you checked a box on line 10, 11, or 12) **Use cash method of accounting.****Note** You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting.

Calendar year (or fiscal year beginning in) ▶	(a) 2000	(b) 1999	(c) 1998	(d) 1997	(e) Total
15 Gifts, grants, and contributions received (Do not include unusual grants. See line 28.)	257,696	344,551	352,415	375,866	1,330,528
16 Membership fees received					
17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable, etc., purpose	571,703	518,128	471,806	387,766	1,949,403
18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975	16,786	548	207	399	18,140
19 Net income from unrelated business activities not included in line 18	11,832	5,223	18,912	7,422	45,389
20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf					
21 The value of services or facilities furnished to the organization by a governmental unit without charge. Do not include the value of services or facilities generally furnished to the public without charge.					
22 Other income. Attach a schedule. Do not include gain or (loss) from sale of capital assets.					
23 Total of lines 15 through 22	858,217	868,450	843,340	773,453	3,343,460
24 Line 23 minus line 17	286,517	350,322	371,534	385,687	1,394,057
25 Enter 1% of line 23	8,582	8,684	8,433	7,735	
26 Organizations described on lines 10 or 11: a Enter 2% of amount in column (e), line 24 ▶				26a	27,881
b Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 1997 through 2000 exceeded the amount shown in line 26a. Do not file this list with your return. Enter the total of all these excess amounts ▶				26b	346,784
c Total support for section 509(a)(1) test. Enter line 24, column (e) ▶				26c	1,394,057
d Add Amounts from column (e) for lines 18 18 18,140 19 45,389 22 0 26b 346,784 ▶				26d	410,513
e Public support (line 26c minus line 26d total) ▶				26e	783,544
f Public support percentage (line 26e (numerator) divided by line 26c (denominator)) ▶				26f	71 %
27 Organizations described on line 12 a For amounts included in lines 15, 16, and 17 that were received from a "disqualified person," prepare a list for your records to show the name of, and total amounts received in each year from, each "disqualified person." Do not file this list with your return. Enter the sum of such amounts for each year (2000) (1999) (1998) (1997) b For any amount included in line 17 that was received from each person (other than "disqualified persons"), prepare a list for your records to show the name of, and amount received for each year, that was more than the larger of (1) the amount on line 25 for the year or (2) \$5,000 (Include in the list organizations described in lines 5 through 11, as well as individuals.) Do not file this list with your return. After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year (2000) (1999) (1998) (1997) c Add Amounts from column (e) for lines 15 _____ 16 _____ 17 _____ 20 _____ 21 _____ ▶ 27c _____ d Add Line 27a total _____ and line 27b total _____ ▶ 27d _____ e Public support (line 27c total minus line 27d total) ▶ 27e _____ f Total support for section 509(a)(2) test. Enter amount from line 23, column (e) ▶ 27f _____ g Public support percentage (line 27e (numerator) divided by line 27f (denominator)) ▶ 27g _____ % h Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator)) ▶ 27h _____ %					
28 Unusual Grants For an organization described in line 10, 11, or 12 that received any unusual grants during 1997 through 2000, prepare a list for your records to show, for each year, the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant. Do not file this list with your return. Do not include these grants in line 15.					

Part V Private School Questionnaire (See page 7 of the instructions)
(To be completed ONLY by schools that checked the box on line 6 in Part IV)

	Yes	No
29 Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?		
30 Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?		
31 Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe, if "No," please explain (If you need more space, attach a separate statement)		
32 Does the organization maintain the following		
a Records indicating the racial composition of the student body, faculty, and administrative staff?		
b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?		
c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?		
d Copies of all material used by the organization or on its behalf to solicit contributions?		
If you answered "No" to any of the above, please explain (If you need more space, attach a separate statement)		
33 Does the organization discriminate by race in any way with respect to		
a Students' rights or privileges?		
b Admissions policies?		
c Employment of faculty or administrative staff?		
d Scholarships or other financial assistance?		
e Educational policies?		
f Use of facilities?		
g Athletic programs?		
h Other extracurricular activities?		
If you answered "Yes" to any of the above, please explain (If you need more space, attach a separate statement)		
34a Does the organization receive any financial aid or assistance from a governmental agency?		
b Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either 34a or b, please explain using an attached statement		
35 Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev. Proc. 75/50, 1975-2 C B 587, covering racial nondiscrimination? If "No," attach an explanation		

Part VII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations (See page 12 of the instructions)

51 Did the reporting organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? _____

- a Transfers from the reporting organization to a noncharitable exempt organization of**

(i) Cash

(ii) Other assets

- b Other transactions**

(f) Sales or exchanges of assets with a noncharitable exempt organization

(ii) Purchases of assets from a noncharitable exempt organization

(iii) Rental of facilities, equipment, or other assets

(iv) Reimbursement arrangements

(v) Loans or loan guarantees

(vi) Performance of services or membership or fundraising solicitations

- c Sharing of facilities, equipment, mailing lists, other assets, or paid employees**

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting organization. If the organization received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

	Yes	No
51a(i)		X
a(ii)		X
b(i)		X
b(ii)		X
b(iii)		X
b(iv)		X
b(v)		X
b(vi)		X
c		X

[illegible]

52a Is the organization directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ▶

▶ ☐ Yes ☐ No

b If "Yes," complete the following schedule

[illegible]

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

Supplementary Information for
line 1 of Form 990, 990-EZ and 990-PF (see instructions)

OMB No 1545-0047

2001

Name of organization

THE TAPROOT THEATRE

Employer identification number

91 : 0971237

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☒ 501(c)(3) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☐ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General rule** or a **Special rule** (Note: Only a section 501(c)(7), (8), or (10) organization can check box(es) for both the General rule and a Special rule—see instructions)

General Rule—

- ☐ For organizations filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor (Complete Parts I and II)

Special Rules—

- ☒ For a section 501(c)(3) organization filing Form 990, or Form 990-EZ, that met the 33⅓% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of \$5,000 or 2% of the amount on line 1 of these forms (Complete Parts I and II)
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, aggregate contributions or bequests of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals (Complete Parts I, II, and III)
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, some contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not aggregate to more than \$1,000 (If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the Parts unless the General rule applies to this organization because it received nonexclusively religious, charitable, etc , contributions of \$5,000 or more during the year) ► \$ _____

Caution: Organizations that are not covered by the General rule and/or the Special rules do not file Schedule B (Form 990, 990-EZ, or 990-PF), but they **must** check the box in the heading of their Form 990, Form 990-EZ, or on line 1 of their Form 990-PF, to certify that they do not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization

THE TAPROOT THEATRE

Employer identification number

91-0971237**Part I** Contributors (See Specific Instructions)

(a) No	(b) Name, address and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
<u>1</u>		\$ <u>21,400.</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
<u>2</u>		\$ <u>25,000</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
<u>3</u>		\$ <u>10,000</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
<u>4</u>		\$ <u>10,000</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
<u>5</u>		\$ <u>10,000</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
<u>6</u>		\$ <u>9,175</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization

THE TAPROOT THEATRE

Employer identification number

91 0971237

Part I Contributors (See Specific Instructions)

(a) No.	(b) Name, address and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
<u>7</u>		\$ <u>13,750</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
<u>8</u>		\$ <u>27,850</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
<u>9</u>		\$ <u>10,000</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
<u>10</u>		\$ <u>17,000</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
<u>11</u>		\$ <u>10,000</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Taproot Theatre
Schedule for IRS Form 990 & Schedule A
For Year Ending December 31, 2001
EIN 91-0971237

Page 1 of 2

Form 990

Part I

Line 8c	<u>Security Sold</u>	<u>Gross Amt from Sale</u>	<u>Less Value at Donation</u>	<u>Net Gain</u>
	Boeing	\$ 6,823 29	\$ 6,879 00	\$ -55 71
	Microsoft (1)	\$ 997 23	\$ 1,039 05	\$ -41 82
	Microsoft (2)	\$ 1,325 82	\$ 1,345 40	\$ -19 58

Line 9	<u>Fundraising Event</u>	<u>Date</u>	<u>Ticket Rev</u>	<u>Expenses</u>	<u>Net</u>
	Silver to Gold Gala	March 17	\$3,850	\$18,997	-\$15,147

Line 10c	<u>Concession Sales Income</u>	<u>Less Cost of Cookies, etc</u>	<u>Net</u>
	\$15,098	\$8,468	\$6,630

Line 20 Accounting Error

Part II

Line 42	Depreciation on equipment & furniture	\$27,644 75
	Depreciation on vehicles	\$ 3,555 20
	Depreciation on buildings & improvements	<u>\$42,039 77</u>
	<i>Total Depreciation</i>	\$73,239 72

Part IV

Line 54	<u>Securities Owned</u>	<u>Value</u>
	Microsoft	\$ 9 783 64
	Intel	\$13,949 95
	Boeing	\$ 1,695 20
	C Sector Systems	\$16,891 70
	Mutual Shares	\$ 4,581 85
	Franklin Templeton Mutual	\$ 2,304 27
	Immunex	\$ 4,415 04
	Am Cntry Ultra Fund	\$ 3,755 80
	Seattle Foundation Fund	\$ 8,949 82
	Int'l EnRTech	<u>\$ 448 50</u>
	<i>Total Value</i>	\$68,256

Line 55b	Accumulated depreciation	
	Prior years	\$4,429
	2001 Depreciation	<u>\$4,429</u>
	<i>Total Depreciation</i>	\$8,858

Line 57b	Accumulated depreciation	
	Prior years	\$232,026
	2001 Depreciation	<u>\$ 69,411</u>
	<i>Total Depreciation</i>	\$301,437

Line 64b	Renovation Loan on Taproot Building	
	US Bank of Washington	
	Original Amount	\$450,000
	Amount Paid	<u>\$271,711</u>
	Remaining Liability	\$178,289

Taproot Theatre
Schedule for IRS Form 990 & Schedule A
For Year Ending December 31, 2001
EIN 91-0971237

Page 2 of 2

Acquisition Loans on Eleanor Roosevelt Building
 US Bank of Washington

Original Amount	\$450,000
Amount Paid	<u>\$ 62,639</u>
Remaining Liability	\$387,361

Private Loan – Mr & Mrs Jared Vogt

Original Amount	\$30,000
Amount Paid	<u>\$ 0</u>
Remaining Liability	\$30,000

Part V Key Employees

<u>Name and Address</u>	<u>Title & Avg Hrs/wk</u>	<u>Compensation</u>	<u>Benefits</u>	<u>Expense Acc</u>
Scott Nolte, 830 NE 84 th St, Seattle WA 98115	Producing Artistic Director (CEO), 40hrs	\$46,323/yr	\$6,803	-0-
M Christopher Boyer, 19821 32 nd Ave SE, Bothell WA 98012	Managing Dir , 40hrs	\$26,778/yr	\$22,291	-0-

Board of Directors

2001

Name	Address
Coghlan, Kay	2617 NW Esplanade Seattle WA 98117
Ferguson, Deborah	13608 NE 36 th Pl Bellevue WA 98005
Gilkison, Don	705 N 195 th Seattle WA 98133
Hardman, Scott - <i>President</i>	3700 Wells Fargo Bldg 999 3 rd Ave Seattle WA 98104
Ichinaga, Daniel	601 Union St, Ste 4900 Seattle WA 98101
Morrison, Sharon - <i>Treasurer</i>	6929 Sunrise Blvd Ste 109 Citrus Heights CA 95610
Neils, Patrick	PO Box 55339 Seattle WA 98155
Nolte, Scott (<i>ex officio</i>)	PO Box 30946 Seattle WA 98103
Rowland, Randy	425 – 1 st Ave W Seattle WA 98109
Schertzinger, Steve - <i>Secretary</i>	PO Box 1798 Seattle WA 98046
Vogt, Dennis	197 Parfitt Way Ste 220 Bainbridge Island WA 98110
Woodward, David	200 W Mercer Seattle WA 98119