

Return of Organization Exempt From Income Tax

OMB No 1545-0047

2000

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Under section 501(c) of the Internal Revenue Code (except black lung benefit trust or private foundation) or section 4947(a)(1) nonexempt charitable trust

The organization may have to use a copy of this return to satisfy state reporting requirements

A For the 2000 calendar year, OR tax year period beginning 07/01 2000, and ending 06/30/2001

B Check if applicable

Change of address
Change of name

Initial return

Final return

Amend return

Please use IRS label or print or type See Specific Instructions

C Name of organization

GREATER LOUISVILLE FUND FOR THE ARTS

Number and street (or P O box if mail is not delivered to street address)

Room/suite

623 WEST MAIN STREET

City or town, state or country, and ZIP code

LOUISVILLE, KY 40202

D Employer identification number

61-0479626

E Telephone number

(502) 582-0100

F Check ☐ if application pendingG Organization type (check only one) ☒ 501(c) (3) (insert no) 527 OR 4947 (a)(1)

Note (H and I are not applicable to section 527 orgs)

Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must

attach a completed Schedule A (Form 990 or 990-EZ)

H(a) Is this a group return for affiliates? ☐ Yes ☒ No

H(b) If Yes, enter number of affiliates

H(c) Are all affiliates included? (If No, attach a list. See inst.) ☐ Yes ☒ NoH(d) Is this a separate return filed by an organization covered by a group ruling? ☐ Yes ☒ NoI Enter 4-digit group exemption no. (GEN) ☐

L Check this box if the organization is not required

to attach Schedule B (Form 990 or 990-EZ) ☐J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) ☐K Check here ☐ if the organization's gross receipts are normally not more than \$25,000. The organization need not file a return with the IRS, but if the organization received a Form 990 Package in the mail, it should file a return without financial data. Some states require a complete return.

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (See Specific Instructions on page 16)

1	Contributions, gifts, grants, and similar amounts received	STMT 1	1a	6,818,086.	1d	7,498,686.
a	Direct public support		1b	160,600.	2	
b	Indirect public support		1c	520,000.	3	
c	Government contributions (grants)				4	103,294.
d	Total (add lines 1a through 1c) (cash \$ 7,498,686. noncash \$)				5	
2	Program service revenue including government fees and contracts (from Part VII, line 93)				6a	58,775.
3	Membership dues and assessments				6b	
4	Interest on savings and temporary cash investments				6c	58,775.
5	Dividends and interest from securities				7	8,397.
6a	Gross rents				8	
b	Less rental expenses				9	
c	Net rental income or (loss) (subtract line 6b from line 6a)				10a	
7	Other investment income (describe SEE STATEMENT 3)				10b	
8a	Gross amount from sales of assets other than inventory	(A) Securities	8a		10c	
b	Less cost or other basis and sales expenses	(B) Other	8b		11	-459,360.
c	Gain or (loss) (attach schedule)		8c	591.	12	7,210,383
d	Net gain or (loss) (combine line 8c columns (A) and (B))				13	5,630,194.
9	Special events and activities (attach schedule)				14	588,711.
a	Gross revenue (not including \$ of contributions reported on line 1a)		9a		15	1,008,710.
b	Less direct expenses other than fundraising expenses		9b		16	
c	Net income or (loss) from special events (subtract line 9b from line 9a)				17	7,227,615.
10a	Gross sales of inventory less returns and allowances		10a		18	-17,232.
b	Less cost of goods sold		10b		19	3,235,217.
c	Gross profit or (loss) from sales of inventory (attach schedule) (subtract line 10b from line 10a)				20	
11	Other revenue (from Part VII line 103)				21	3,217,985.
12	Total revenue (add lines 1d, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11)					
13	Program services (from line 44, column (B))					
14	Management and general (from line 44, column (C))					
15	Fundraising (from line 44, column (D))					
16	Payments to affiliates (attach schedule)					
17	Total expenses (add lines 16 and 44, column (A))					
18	Excess or (deficit) for the year (subtract line 17 from line 12)					
19	Net assets or fund balances at beginning of year (from line 73, column (A))					
20	Other changes in net assets or fund balances (attach explanation)					
21	Net assets or fund balances at end of year (combine lines 18, 19, and 20)					

For Paperwork Reduction Act Notice, see page 1 of the separate instructions

Form 990 (2000)

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Part II Statement of Functional Expenses

All organizations must complete column (A). Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others. (See Specific Instructions on page 20.)

Do not include amounts reported on line 6b, 8b, 9b, 10b or 16 of Part I		(A) Total	(B) Program services	(C) Management and general	(D) Fundraising
22	Grants and allocations (attach schedule) (cash \$ <u>5,398,300</u> noncash \$ _____)	5,398,300	5,398,300	STMT 4	
23	Specific assistance to individuals (attach schedule)				
24	Benefits paid to or for members (attach schedule)				
25	Compensation of officers, directors, etc.	303,416	79,262	101,302	122,852
26	Other salaries and wages	241,507	3,730	98,125	139,652
27	Pension plan contributions	49,358	5,629	22,579	21,150
28	Other employee benefits	89,817	7,978	32,007	49,832
29	Payroll taxes	33,151	4,358	11,155	17,638
30	Professional fundraising fees				
31	Accounting fees	22,000		22,000	
32	Legal fees	6,570		6,570	
33	Supplies	15,814	1,265	4,562	9,987
34	Telephone	31,443	2,201	9,433	19,809
35	Postage and shipping	54,119	1,034	4,834	48,251
36	Occupancy	62,231	1,640	45,811	14,780
37	Equipment rental and maintenance				
38	Printing and publications	24,954	1,776	6,874	16,304
39	Travel	42,449	5,631	14,017	22,801
40	Conferences, conventions, and meetings	23,728	320	19,293	4,115
41	Interest				
42	Depreciation, depletion, etc. (attach schedule)	47,033	1,317	33,864	11,852
43	Other expenses (itemize) a <u>STMT 7</u>	781,725	115,753	156,285	509,687
b					
c					
d					
e					
44	Total functional expenses (add lines 22 through 43). Organizations completing columns (B)-(D), carry these totals to lines 13-15.	7,227,615	5,630,194	588,711	1,008,710

Reporting of Joint Costs Did you report in column (B) (Program services) any joint costs from a combined educational campaign and fundraising solicitation?

Yes ☐ No ☒

If "Yes," enter (i) the aggregate amount of these joint costs \$ _____, (ii) the amount allocated to Program services \$ _____, (iii) the amount allocated to Management and general \$ _____, and (iv) the amount allocated to Fundraising \$ _____.

Part III Statement of Program Service Accomplishments (See Specific Instructions on page 23.)What is the organization's primary exempt purpose? FOSTERING EXCELLENCE IN THE ARTS

All organizations must describe their exempt purpose achievements in a clear and concise manner. State the number of clients served, publications issued, etc. Discuss achievements that are not measurable. (Section 501(c)(3) and (4) organizations and 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants and allocations to others.)

Program Service Expenses
(Required for 501(c)(3) and (4) orgs. and 4947(a)(1) trusts but optional for others.)

a	SEE STATEMENT 8				
	(Grants and allocations \$ _____)				
b	SEE STATEMENT 8				
	(Grants and allocations \$ <u>5,398,300</u>)		5,398,300		5,630,194
c					
	(Grants and allocations \$ _____)				
d					
	(Grants and allocations \$ _____)				
e	Other program services (attach schedule)				
f	Total of Program Service Expenses (should equal line 44, column (B), Program services)		5,630,194		

Part IV Balance Sheets (See Specific Instructions on page 23)

Note	Where required attached schedules and amounts within the description column should be for end-of-year amounts only	(A) Beginning of year	(B) End of year
45	Cash - non-interest-bearing		45
46	Savings and temporary cash investments	2,006,473.	46 1,812,065.
47a	Accounts receivable	47a	
b	Less allowance for doubtful accounts	47b	47c
48a	Pledges receivable	48a 4,774,823.	
b	Less allowance for doubtful accounts	48b 471,801.	48c 4,303,022.
49	Grants receivable		49
50	Receivables from officers, directors, trustees, and key employees (attach schedule)		50 3,039.
51a	Other notes and loans receivable (attach schedule) SEE STATEMENT 9	51a 20,431.	
b	Less allowance for doubtful accounts	51b	51c 20,431.
52	Inventories for sale or use		52
53	Prepaid expenses and deferred charges	57,983.	53 66,647.
54	Investments - securities (attach schedule) <input type="checkbox"/> Cost <input type="checkbox"/> FMV		54
55a	Investments - land, buildings, and equipment basis	55a	
b	Less accumulated depreciation (attach schedule)	55b	55c
56	Investments - other (attach schedule) SEE STATEMENT 10	497,659.	56 760,501.
57a	Land, buildings, and equipment basis	57a 1,540,997.	
b	Less accumulated depreciation (attach schedule)	57b 635,922.	57c 905,075.
58	Other assets (describe <input type="checkbox"/> SEE STATEMENT 11)	285,062.	58 63,183.
59	Total assets (add lines 45 through 58) (must equal line 74)	7,721,429.	59 7,933,963.
60	Accounts payable and accrued expenses	40,558.	60 82,036.
61	Grants payable	4,036,625.	61 4,141,225.
62	Deferred revenue		62
63	Loans from officers, directors, trustees, and key employees (attach schedule)		63
64a	Tax-exempt bond liabilities (attach schedule)		64a
b	Mortgages and other notes payable (attach schedule)		64b
65	Other liabilities (describe <input type="checkbox"/> SEE STATEMENT 12)	409,029.	65 492,717.
66	Total liabilities (add lines 60 through 65)	4,486,212.	66 4,715,978.
	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 67 through 69 and lines 73 and 74		
67	Unrestricted	3,075,735.	67 3,114,723.
68	Temporarily restricted	109,482.	68 53,262.
69	Permanently restricted	50,000.	69 50,000.
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 70 through 74		
70	Capital stock, trust principal, or current funds		70
71	Paid-in or capital surplus, or land, building and equipment fund		71
72	Retained earnings, endowment, accumulated income or other funds		72
73	Total net assets or fund balances (add lines 67 through 69 OR lines 70 through 72, column (A) must equal line 19 and column (B) must equal line 21)	3,235,217.	73 3,217,985.
74	Total liabilities and net assets/fund balances (add lines 66 and 73)	7,721,429.	74 7,933,963.

Form 990 is available for public inspection and, for some people serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III the organization's programs and accomplishments.

Part IV-B Reconciliation of Expenses per Audited Financial Statements with Expenses per Return

a Total revenue gains and other support per audited financial statements ▶	a 6,377,916	a Total expenses and losses per audited financial statements ▶	a 6,395,148
b Amounts included on line a but not on line 12, Form 990 (1) Net unrealized gains on investments \$ _____ (2) Donated services and use of facilities \$ _____ (3) Recoveries of prior year grants \$ _____ (4) Other (specify) _____ \$ _____ Add amounts on lines (1) through (4) ▶	b	b Amounts included on line a but not on line 17 Form 990 (1) Donated services and use of facilities \$ _____ (2) Prior year adjustments reported on line 20 Form 990 \$ _____ (3) Losses reported on line 20 Form 990 \$ _____ (4) Other (specify) _____ \$ _____ Add amounts on lines (1) through (4) ▶	b
c Line a minus line b ▶	c 6,377,916	c Line a minus line b ▶	c 6,395,148
d Amounts included on line 12, Form 990 but not on line a (1) Investment expenses not included on line 6b, Form 990 \$ _____ (2) Other (specify) _____ <u>STMT 13</u> \$ 832,467 Add amounts on lines (1) and (2) ▶	d 832,467	d Amounts included on line 17, Form 990 but not on line a (1) Investment expenses not included on line 6b Form 990 \$ _____ (2) Other (specify) _____ <u>STMT 14</u> \$ 832,467 Add amounts on lines (1) and (2) ▶	d 832,467
e Total revenue per line 12, Form 990 (line c plus line d) ▶	e 7,210,383	e Total expenses per line 17, Form 990 (line c plus line d) ▶	e 7,227,615

Part V List of Officers, Directors, Trustees, and Key Employees (List each one even if not compensated, see Specific Instructions on page 25)

[illegible]

75 Did any officer, director, trustee or key employee receive aggregate compensation of more than \$100,000 from your organization and all related organizations of which more than \$10,000 was provided by the related organizations? ☐ Yes ☒ No
If 'Yes,' attach schedule - see Specific Instructions on page 26

Part VI Other Information (See Specific Instructions on page 26)

	Yes	No
76 Did the organization engage in any activity not previously reported to the IRS? If "Yes" attach a detailed description of each activity	76	X
77 Were there any changes made in the organizing or governing documents but not reported to the IRS? If "Yes," attach a conformed copy of the changes	77	X
78a Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?	78a	N/A
b If "Yes," has it filed a tax return on Form 990-T for this year?	78b	N/A
79 Was there a liquidation, dissolution, termination, or substantial contraction during the year? If "Yes," attach a statement	79	X
80a Is the organization related (other than by association with a statewide or nationwide organization) through common membership, governing bodies, trustees, officers, etc. to any other exempt or nonexempt organization?	80a	X
b If "Yes," enter the name of the organization FUND FOR THE ARTS PROPERTIES FOUNDATION, INC. and check whether it is <input checked="" type="checkbox"/> exempt OR <input type="checkbox"/> nonexempt		
81a Enter the amount of political expenditures, direct or indirect, as described in the instructions for line 81	81a	
b Did the organization file Form 1120-POL for this year?	81b	N/A
82a Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value?	82a	X
b If "Yes," you may indicate the value of these items here. Do not include this amount as revenue in Part I or as an expense in Part II. (See instructions for reporting in Part III.)	82b	N/A
83a Did the organization comply with the public inspection requirements for returns and exemption applications?	83a	X
b Did the organization comply with the disclosure requirements relating to quid pro quo contributions?	83b	X
84a Did the organization solicit any contributions or gifts that were not tax deductible?	84a	X
b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	84b	N/A
85 501(c)(4), (5), or (6) organizations a Were substantially all dues nondeductible by members?	85a	N/A
b Did the organization make only in-house lobbying expenditures of \$2,000 or less? If "Yes," was answered to either 85a or 85b, do not complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year	85b	N/A
c Dues, assessments, and similar amounts from members	85c	N/A
d Section 162(e) lobbying and political expenditures	85d	N/A
e Aggregate nondeductible amount of section 6033(e)(1)(A) dues notices	85e	N/A
f Taxable amount of lobbying and political expenditures (line 85d less 85e)	85f	N/A
g Does the organization elect to pay the section 6033(e) tax on the amount in 85f?	85g	N/A
h If section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount in 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year?	85h	N/A
86 501(c)(7) orgs Enter a Initiation fees and capital contributions included on line 12	86a	N/A
b Gross receipts, included on line 12, for public use of club facilities	86b	N/A
87 501(c)(12) orgs Enter a Gross income from members or shareholders	87a	N/A
b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	87b	N/A
88 At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Part IX	88	X
89a 501(c)(3) organizations Enter Amount of tax imposed on the organization during the year under section 4911 N/A section 4912 N/A section 4955 N/A		
b 501(c)(3) and 501(c)(4) orgs Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach a statement explaining each transaction	89b	X
c Enter Amount of tax imposed on the organization managers or disqualified persons during the year under sections 4912, 4955, and 4958		NONE
d Enter Amount of tax on line 89c, above, reimbursed by the organization		NONE
90a List the states with which a copy of this return is filed KENTUCKY		
b Number of employees employed in the pay period that includes March 12, 2000 (See inst.)	90b	13
91 The books are in care of MONICA BECKMANN Telephone no 502-582-0100 Located at 623 WEST MAIN ST, LOUISVILLE, KENTUCKY ZIP code 40202		
92 Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the tax year	92	NONE

Part VII Analysis of Income-Producing Activities (See Specific Instructions on page 30)

Enter gross amounts unless otherwise indicated

	Unrelated business income		Excluded by section 512, 513, or 514		(E) Related or exempt function income
	(A) Business code	(B) Amount	(C) Exclusion code	(D) Amount	
93 Program service revenue					
a					
b					
c					
d					
e					
f Medicare/Medicaid payments					
g Fees and contracts from government agencies					
94 Membership dues and assessments					
95 Interest on savings and temporary cash investments			14	103,294.	
96 Dividends and interest from securities					
97 Net rental income or (loss) from real estate					
a debt-financed property					
b not debt-financed property					
98 Net rental income or (loss) from personal property			16	58,775.	
99 Other investment income			14	8,397.	
100 Gain or (loss) from sales of assets other than inventory			18	591.	
101 Net income or (loss) from special events					
102 Gross profit or (loss) from sales of inventory					
103 Other revenue a					
b SEE STATEMENT 16					-459,360.
c					
d					
e					
104 Subtotal (add columns (B), (D), and (E))				171,057.	-459,360.
105 Total (add line 104 columns (B), (D), and (E))					-288,303.

Note Line 105 plus line 1d Part I should equal the amount on line 12 Part I

Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes (See Specific Instructions on page 31)

Line No	Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes)
103B	DETAILS SEE ATTACHED

Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities (See Specific Instructions on page 31)

(A) Name, address, and EIN of corporation, partnership, or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End-of-year assets
	%			
	%			
	%			
	%			

Part X Information Regarding Transfers Associated with Personal Benefit Contracts (See Specific Instructions on page 31)

(a) Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No
☐ Yes ☒ No

(b) Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

Note If "Yes" to (b), file Form 8870 and Form 4720 (see instructions)

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, the information is true and correct. I am a preparer (other than officer) is based on all information of which preparer has any knowledge.

5-14-02

Date

ALLAN COWAN
President & CEO

Type or print name and title

Date

Check if

Preparer's SSN or PTIN

SCHEDULE A
(Form 990 or 990-EZ)

Organization Exempt Under Section 501(c)(3)

(Except Private Foundation) and Section 501(e) 501(f) 501(k)
501(n), or Section 4947(a)(1) Nonexempt Charitable Trust

Supplementary Information - (See separate instructions)

OMB No 1545 0047

2000

Department of the Treasury
Internal Revenue Service

▶ **MUST** be completed by the above organizations and attached to their Form 990 or 990-EZ

Name of the organization

GREATER LOUISVILLE FUND FOR THE ARTS

Employer identification number

61-0479626

Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees
(See page 1 of the instructions List each one If there are none, enter "None ")

(a) Name and address of each employee paid more than \$50 000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
MONICA BECKMANN 623 WEST MAIN STREET LOUISVILLE, KY 40202	CONTROLLER 40	51,700.	8,440.	
Total number of other employees paid over \$50,000	▶ NONE			

Part II Compensation of the Five Highest Paid Independent Contractors for Professional Services
(See page 1 of the instructions List each one (whether individuals or firms) If there are none, enter "None ")

(a) Name and address of each independent contractor paid more than \$50 000	(b) Type of service	(c) Compensation
BARBARA SEXTON SMITH 623 WEST MAIN ST., LOUISVILLE, KY40202	FUNDRAISING	107,500.
Total number of others receiving over \$50 000 for professional services	▶ NONE	

For Paperwork Reduction Act Notice see page 1 of the Instructions for Form 990 and Form 990-EZ

Schedule A (Form 990 or 990-EZ) 2000

Part III Statements About Activities

Yes No

1	During the year, has the organization attempted to influence national state or local legislation including any attempt to influence public opinion on a legislative matter or referendum? If 'Yes' enter the total expenses paid or incurred in connection with the lobbying activities ► \$ <u>NONE</u> Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A. Other organizations checking 'Yes' must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities.	1		X
2	During the year, has the organization either directly or indirectly engaged in any of the following acts with any of its trustees, directors, officers, creators, key employees, or members of their families or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary?			
a	Sale, exchange, or leasing of property?	2a		X
b	Lending of money or other extension of credit?	2b		X
c	Furnishing of goods, services, or facilities?	2c		X
d	Payment of compensation (or payment or reimbursement of expenses if more than \$1,000)? <u>SEE STATEMENT 17</u>	2d	X	
e	Transfer of any part of its income or assets? If the answer to any question is 'Yes,' attach a detailed statement explaining the transactions.	2e		X
3	Does the organization make grants for scholarships, fellowships, student loans, etc.?	3	X	
4a	Do you have a section 403(b) annuity plan for your employees?	4a		X
b	Attach a statement to explain how the organization determines that individuals or organizations receiving grants or loans from it in furtherance of its charitable programs qualify to receive payments. (See page 2 of the instructions.)	STMT 18		

Part IV Reason for Non-Private Foundation Status (See pages 2 through 5 of the instructions)

The organization is not a private foundation because it is. (Please check only ONE applicable box.)

- 5 ☐ A church, convention of churches, or association of churches. Section 170(b)(1)(A)(i).
- 6 ☐ A school. Section 170(b)(1)(A)(ii). (Also complete Part V, page 5.)
- 7 ☐ A hospital or a cooperative hospital service organization. Section 170(b)(1)(A)(iii).
- 8 ☐ A Federal, state, or local government or governmental unit. Section 170(b)(1)(A)(v).
- 9 ☐ A medical research organization operated in conjunction with a hospital. Section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: _____
- 10 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit. Section 170(b)(1)(A)(iv). (Also complete the Support Schedule in Part IV-A.)
- 11a ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public. Section 170(b)(1)(A)(vi). (Also complete the Support Schedule in Part IV-A.)
- 11b ☐ A community trust. Section 170(b)(1)(A)(vi). (Also complete the Support Schedule in Part IV-A.)
- 12 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc., functions - subject to certain exceptions - and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Also complete the Support Schedule in Part IV-A.)
- 13 ☐ An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in (1) lines 5 through 12 above, or (2) section 501(c)(4), (5), or (6) if they meet the test of section 509(a)(2). (See section 509(a)(3).)

Provide the following information about the supported organizations. (See page 5 of the instructions.)

(a) Name(s) of supported organization(s)	(b) Line number from above

- 14 ☐ An organization organized and operated to test for public safety. Section 509(a)(4). (See page 5 of the instructions.)

Part IV-A Support Schedule (Complete only if you checked a box on line 10 11 or 12) Use cash method of accounting

* Note You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting

Calendar year (or fiscal year beginning in)	(a) 1999	(b) 1998	(c) 1997	(d) 1996	(e) Total
15 Gifts, grants and contributions received (Do not include unusual grants. See line 28.)	7,034,780.	6,484,237.	6,802,847.	5,606,179.	25,928,043.
16 Membership fees received					
17 Gross receipts from admissions, merchandise sold or services performed or furnishing of facilities in any activity that is not a business unrelated to the organization's charitable, etc., purpose					
18 Gross income from interest dividends, amounts received from payments on securities loans (section 512(a)(5)) rents, royalties, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975	85,772.	143,774.	143,521.	117,994.	491,061.
19 Net income from unrelated business activities not included in line 18					
20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf					
21 The value of services or facilities furnished to the organization by a governmental unit without charge. Do not include the value of services or facilities generally furnished to the public without charge.					
22 Other income. Attach a schedule. Do not include gain or (loss) from sale of capital assets	STMT 19 -168,300.	148,228.	161,110.	130,761.	271,799.
23 Total of lines 15 through 22	6,952,252.	6,776,239.	7,107,478.	5,854,934.	26,690,903.
24 Line 23 minus line 17	6,952,252.	6,776,239.	7,107,478.	5,854,934.	26,690,903.
25 Enter 1% of line 23	69,523.	67,762.	71,075.	58,549.	
26 Organizations described in lines 10 or 11	a Enter 2% of amount in column (e) line 24				▶ 26a 533,818.
b Attach a list (which is not open to public inspection) showing the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 1996 through 1999 exceeded the amount shown in line 26a. Enter the sum of all these excess amounts	STMT 20				▶ 26b 1,711,102.
c Total support for section 509(a)(1) test. Enter line 24 column (e)					▶ 26c 26,690,903.
d Add Amounts from column (e) for lines 18 491,061. 19 22 271,799.	26b 1,711,102.				▶ 26d 2,473,962.
e Public support (line 26c minus line 26d total)					▶ 26e 24,216,941.
f Public support percentage (line 26e (numerator) divided by line 26c (denominator))					▶ 26f 90.7311 %
27 Organizations described on line 12	a For amounts included in lines 15, 16 and 17 that were received from a disqualified person, attach a list (which is not open to public inspection) to show the name of and total amounts received in each year from each 'disqualified person'. Enter the sum of such amounts for each year				NOT APPLICABLE
(1999) (1998) (1997) (1996)					
b For any amount included in line 17 that was received from a nondisqualified person, attach a list to show the name of and amount received for each year, that was more than the larger of (1) the amount on line 25 for the year or (2) \$5,000. (Include in the list organizations described in lines 5 through 11, as well as individuals.) After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year	(1999) (1998) (1997) (1996)				
c Add Amounts from column (e) for lines 15 16 17 20 21					▶ 27c
d Add Line 27a total and line 27b total					▶ 27d
e Public support (line 27c total minus line 27d total)					▶ 27e
f Total support for section 509(a)(2) test. Enter amount on line 23 column (e)	▶ 27f				
g Public support percentage (line 27e (numerator) divided by line 27f (denominator))					▶ 27g %
h Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator))					▶ 27h %
28 Unusual Grants. For an organization described in line 10 11 or 12 that received any unusual grants during 1996 through 1999, attach a list (which is not open to public inspection) for each year showing the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant. Do not include these grants in line 15. (See page 5 of the instructions.)					

Part V**Private School Questionnaire** (See page 5 of the instructions.)(To be completed **ONLY** by schools that checked the box on line 6 in Part IV)**NOT APPLICABLE**

	Yes	No
29 Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?	29	
30 Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?	30	
31 Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If 'Yes,' please describe. If 'No,' please explain. (If you need more space, attach a separate statement.)	31	

32 Does the organization maintain the following:		
a Records indicating the racial composition of the student body, faculty, and administrative staff?	32a	
b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?	32b	
c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?	32c	
d Copies of all material used by the organization or on its behalf to solicit contributions?	32d	
If you answered "No" to any of the above, please explain. (If you need more space, attach a separate statement.)		

33 Does the organization discriminate by race in any way with respect to:		
a Students' rights or privileges?	33a	
b Admissions policies?	33b	
c Employment of faculty or administrative staff?	33c	
d Scholarships or other financial assistance?	33d	
e Educational policies?	33e	
f Use of facilities?	33f	
g Athletic programs?	33g	
h Other extracurricular activities?	33h	
If you answered "Yes" to any of the above, please explain. (If you need more space, attach a separate statement.)		

34a Does the organization receive any financial aid or assistance from a governmental agency?	34a	
b Has the organization's right to such aid ever been revoked or suspended?	34b	
If you answered "Yes" to either 34a or b, please explain using an attached statement.		
35 Does the organization certify that it has complied with the applicable requirements of sections 401 through 405 of Rev. Proc. 75-50, 1975-2 C.B. 587, covering racial nondiscrimination? If "No," attach an explanation.	35	

Part VI-A Lobbying Expenditures by Electing Public Charities (See page 7 of the instructions)(To be completed **ONLY** by an eligible organization that filed Form 5768)**NOT APPLICABLE**

Check here ☐ **a** if the organization belongs to an affiliated group
 Check here ☐ **b** if you checked "a" above and 'limited control' provisions apply

Limits on Lobbying Expenditures

(The term 'expenditures' means amounts paid or incurred)

		(a) Affiliated group totals	(b) To be completed for ALL electing organizations
36 Total lobbying expenditures to influence public opinion (grassroots lobbying)	36		
37 Total lobbying expenditures to influence a legislative body (direct lobbying)	37		
38 Total lobbying expenditures (add lines 36 and 37)	38		
39 Other exempt purpose expenditures	39		
40 Total exempt purpose expenditures (add lines 38 and 39)	40		
41 Lobbying nontaxable amount Enter the amount from the following table - If the amount on line 40 is - The lobbying nontaxable amount is - Not over \$500 000 20% of the amount on line 40 Over \$500 000 but not over \$1 000 000 \$100 000 plus 15% of the excess over \$500 000 Over \$1 000 000 but not over \$1 500 000 \$175 000 plus 10% of the excess over \$1 000 000 Over \$1 500 000 but not over \$17 000 000 \$225 000 plus 5% of the excess over \$1 500 000 Over \$17 000 000 \$1 000 000	41		
42 Grassroots nontaxable amount (enter 25% of line 41)	42		
43 Subtract line 42 from line 36 Enter -0- if line 42 is more than line 36	43		
44 Subtract line 41 from line 38 Enter -0- if line 41 is more than line 38	44		

Caution If there is an amount on either line 43 or line 44 you must file Form 4720**4-Year Averaging Period Under Section 501(h)**

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below)

See the instructions for lines 45 through 50 on page 9 of the instructions)

	Lobbying Expenditures During 4-Year Averaging Period				
Calendar year (or fiscal year beginning in) ▶	(a) 2000	(b) 1999	(c) 1998	(d) 1997	(e) Total
45 Lobbying nontaxable amount					
46 Lobbying ceiling amount (150% of line 45(e))					
47 Total lobbying expenditures					
48 Grassroots nontaxable amount					
49 Grassroots ceiling amount (150% of line 48(e))					
50 Grassroots lobbying expenditures					

Part VI-B Lobbying Activity by Nonelecting Public Charities**NOT APPLICABLE**

(For reporting only by organizations that did not complete Part VI-A) (See page 9 of the instructions)

During the year did the organization attempt to influence national state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of

- a** Volunteers
b Paid staff or management (Include compensation in expenses reported on lines c through h)
c Media advertisements
d Mailings to members, legislators, or the public
e Publications or published or broadcast statements
f Grants to other organizations for lobbying purposes
g Direct contact with legislators their staffs, government officials, or a legislative body
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means
i Total lobbying expenditures (add lines c through h)

Yes	No	Amount
	X	
	X	
	X	
	X	
	X	
	X	
	X	
	X	

If 'Yes' to any of the above, also attach a statement giving a detailed description of the lobbying activities

FORM 990, PART I - OTHER INVESTMENT INCOME
=====DESCRIPTION
-----AMOUNT

UNREALIZED INVESTMENT INCOME - MARKET VALUE ADJ.

8,397.

TOTAL

8,397.
=====

FORM 990, PART II - GRANTS AND ALLOCATIONS PAID DURING THE YEAR

RECIPIENT NAME AND ADDRESS -----	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT -----	PURPOSE OF GRANT OR CONTRIBUTION -----	AMOUNT -----
GRANTS PAID =====			
ACTORS THEATRE OF LOUISVILLE			1,031,348
MUSIC THEATRE LOUISVILLE			122,586
KENTUCKY OPERA ASSOCIATION			613,985
KENTUCKY SHAKESPEARE FESTIVAL			134,420
LOUISVILLE VISUAL ART ASSOCIATION			239,200
LOUISVILLE BACH SOCIETY			45,920
LOUISVILLE BALLET			624,926
LOUISVILLE ORCHESTRA			1,485,774
LOUISVILLE THEATRICAL ASSOCIATION			63,659

FORM 990, PART II - GRANTS AND ALLOCATIONS PAID DURING THE YEAR

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
LOUISVILLE-JEFFERSON COUNTY YOUTH ORCHESTRA			57,792
LOUISVILLE YOUTH CHOIR			49,896
STAGE ONE - LOUISVILLE CHILDREN'S THEATRE			355,471
WALDEN THEATRE			44,468
KENTUCKY ART & CRAFT FOUNDATION			88,442
MISCELLANEOUS GRANTS			230,414
FUND FOR THE ARTS PROPERTIES FOUNDATION, INC			210,000

FORM 990, PART II - GRANTS AND ALLOCATIONS PAID DURING THE YEAR

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

TOTAL CONTRIBUTIONS PAID

5,398,300

FORM 990, PART II - OTHER EXPENSES

DESCRIPTION	TOTAL	PROGRAM SERVICES	MANAGEMENT AND GENERAL	FUNDRAISING
-----	----	-----	-----	-----
CONTRACT LABOR	246,617.	21,410.	64,407.	160,800.
DATA PROCESSING	64,800.		64,800.	
DUES AND SUBSCRIPTIONS	9,381.		9,381.	
DEPRECIATION- OFFICE EQUIPMENT	6,562.	462.	1,940.	4,160
INSURANCE	18,160.	1,271.	5,448.	11,441.
MISCELLANEOUS	12,603.	923.	3,139.	8,541.
BANK FEES	7,170.		7,170	
NPC PROCESSING FEE	3,563.			3,563.
BROKER COMMISSION	1,668.			1,668.
NEWSLETTER	42,508.	42,508.		
EVENTS	31,961.			31,961.
PRINTING AND MARKETING	137,720.			137,720.
INCENTIVES	123,566.			123,566.
ENTERTAINMENT	17,060.	6,275.		10,785.
SERVICES & SUPPLIES	14,367.			14,367.
TELEMARKETING	1,400.			1,400
SHELBY COUNTY CAMPAIGN	333.			333.
S. INDIANA CAMPAIGN	-618.			-618
ARTS INSTITUTE	40,151.	40,151.		
WHITTENBERG SCHOLARSHIP	1,200.	1,200.		
COMMUNITY FOUNDATION FEES	1,553.	1,553.		
TOTALS	781,725.	115,753	156,285.	509,687.
	=====	=====	=====	=====

FORM 990, PART III - STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS

DESCRIPTION	GRANTS AND	
	ALLOCATIONS	EXPENSES

CONTRIBUTIONS TO MEMBER ART ORGANIZATIONS FOR THE PURPOSE OF FOSTERING EXCELLANCE IN THE ARTS AS A PRIMARY MEANS OF IMPROVING THE QUALITY OF LIFE AND FURTHERING ECONOMIC DEVELOPMENT OF METROPOLITAN LOUISVILLE AREA. THIS MISSION IS FULFILLED BY INCREASING SUPPORT FOR THE ARTS. ASSURING OPTIMUM UTILIZATION OF THE ARTS SUPPORT ENTRUSTED TO OUR

CARE AND ENSURING ASSESS TO THE BROAD METROLITAN COMMUNITY. SEE STATEMENT "A" FOR A LIST OF THE ORGANIZATIONS AND PROGRAMS WHICH BENEFIT FROM THE GREATER LOUISVILLE FUND FOR THE ARTS ASSISTANCE. MEMBER ORGANIZATIONS ARE LISTED AS WELL AS NON-MEMBER ORGANIZATIONS BOTH OF WHICH BENEFITED FROM ASSISTANCE DURING THE YEAR.

TOTAL	5,398,300.	5,630,194.
-------	------------	------------

FORM 990, PART IV - OTHER NOTES AND LOANS RECEIVABLE

Borrower: TRIART GALLERY

ORIGINAL AMOUNT: 50,000.

BEGINNING BALANCE DUE	49,125.
ENDING BALANCE DUE	NONE

Borrower: ALLAN COWAN-HURSTBOURNE

ORIGINAL AMOUNT: 22,000.

BEGINNING BALANCE DUE	22,000.
ENDING BALANCE DUE	19,800.

Borrower: K - COOPER

ORIGINAL AMOUNT: 631.

BEGINNING BALANCE DUE	NONE
ENDING BALANCE DUE	631.

TOTAL BEGINNING OTHER NOTES AND LOANS RECEIVABLE	71,125
--	--------

TOTAL ENDING OTHER NOTES AND LOANS RECEIVABLES	20,431.
--	---------

FORM 990, PART IV - INVESTMENTS - OTHER
=====DESCRIPTION
-----ENDING
BOOK VALUE
-----COMMUNITY FNDTN (WHITTENBERG)
BONDS

308,527.

451,974.

TOTALS

760,501.
=====

FORM 990, PART IV - OTHER ASSETS

=====

DESCRIPTION

ENDING
BOOK VALUE

INSURANCE CASH SURRENDER VALUE

NONE

OTHER ASSETS

63,183.

TOTALS

63,183
=====

FORM 990, PART IV - OTHER LIABILITIES
=====DESCRIPTION
-----ENDING
BOOK VALUE

COMMITTED GRANTS

492,717.

TOTALS

492,717
=====

FORM 990, PART IV-A - OTHER REVENUE ON RETURN BUT NOT ON BOOKS

DESCRIPTION

AMOUNT

DESIGNATED GIFTS
LOSS - UNPAID PLEDGES

1,022,467.

-190,000.

TOTAL

832,467.
=====

FORM 990, PART IV-B - OTHER EXPENSES ON RETURN BUT NOT ON BOOKS
=====

DESCRIPTION -----	AMOUNT -----
DESIGNATED GIFTS	1,022,467.
LOSS - UNPAID PLEDGES	-190,000.

TOTAL	832,467.
	=====

FORM 990, PART V - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

NAME AND ADDRESS	TITLE AND TIME DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
ALLAN COWEN 623 WEST MAIN STREET LOUISVILLE, KENTUCKY	PRESIDENT 40	233,404.	50,810.	24,846
PATRICIA JONES 623 WEST MAIN STREET LOUISVILLE, KENTUCKY	VICE-PRESIDENT 40	57,223.	11,930.	NONE
BOARD OF DIRECTORS SEE STATEMENT "B"		NONE	NONE	NONE
CHRISTEN J. MCDONOUGH 623 WEST MAIN STREET LOUISVILLE, KENTUCKY	VP, GENERAL MGR. 40	12,789.	461.	NONE
	GRAND TOTALS	303,416.	63,201.	24,846.

GREATER LOUISVILLE FUND FOR THE ARTS
FORM 990, PART VII - OTHER REVENUE
=====

DESCRIPTION -----	BUSINESS CODE ----	AMOUNT -----	EXCLUSION CODE ----	AMOUNT -----	RELATED OR EXEMPT FUNCTION INCOME -----
REIMBURSEMENT OF CAMPAIGN INCENTIVE					40,640.
LOSS-UNPAID PLEDGE ALLOWANCE FOR					-190,000.
UNCOLL. PLEDGES					-310,000
TOTALS		-----		-----	-----
		=====		=====	=====

SCHEDULE A, PART III - EXPLANATION FOR LINE 2D

=====

SEE FORM 990, PART V

SCHEDULE A, PART III - EXPLANATION FOR LINE 4

=====

SEE STATEMENT "C".

SCHEDULE A, PART IV-A - OTHER INCOME
=====

DESCRIPTION -----	1999 ----	1998 ----	1997 ----	1996 ----	TOTAL -----
COST REIMBURSEMENT BY DONORS	NONE	NONE	103,847.	64,946.	168,793.
LIFE INSURANCE PROCEEDS	NONE	NONE	57,263	65,815.	123,078.
ARTS LEADERSHIP	765.	55,250.	NONE	NONE	56,015.
MISCELLANEOUS INCOME	36,138.	92,978.	NONE	NONE	129,116.
ALLOWANCE FOR UNCOLLECTIBLE PLEDGE	-205,203.	NONE	NONE	NONE	-205,203.
TOTALS	-168,300.	148,228.	161,110.	130,761.	271,799.
	=====	=====	=====	=====	=====

GREATER LOUISVILLE FUND FOR THE ARTS

61-0479626

SCH. A, PART IV-A - ORGANIZATIONS DESCRIBED IN PART IV, BOX 10 OR 11

THIS LIST OF MAJOR DONORS HAS BEEN PREPARED AND WILL BE PROVIDED TO THE IRS UPON REQUEST

GREATER LOUISVILLE FUND FOR THE ARTS

61-0479626

PART IV, LINE 57a & 57b DETAILS

LAND, BUILDING AND/ EQUIPMENT BASIS

DESCRIPTION	BEG YEAR	ADDITIONS	RETIREMENTS	TRANSFER	END YEAR
BUILDING	600,000				600,000
BUILDING RENOVATION	346,160				346,160
BUILDING ADDITIONS	26,172				26,172
BUILDING IMPROVEMENTS	208,545	15,958			224,503
EQUIPMENT	16,197				16,197
AUTO	0				0
FURNITURE & EQUIP	300,867	27,098			327,965
	1,497,941	43,056	0	0	1,540,997

LAND, BUILDING AND/ EQUIPMENT ACCUMULATED DEPRECIATION

DESCRIPTION	BEG YEAR	ADDITIONS	RETIREMENTS	TRANSFER	END YEAR
BUILDING	249,450	17,865			267,315
BUILDING RENOVATION	0				0
BUILDING ADDITIONS	6,139	135			6,274
BUILDING IMPROVEMENTS	85,613	26,493			112,106
EQUIPMENT	5,290	3,070			8,360
AUTO	0				0
FURNITURE & EQUIP	204,785	37,082			241,867
	551,277	84,645	0	0	635,922

GREATER LOUISVILLE FUND FOR THE ARTS

61-0479626

PART II, LINE 42 DETAILS

DEPRECIATION DETAILS

ACCOUNT DESCRIPTION	TOTAL AMOUNT	ALLOCATED TO RENTAL INCOME	FUNCTIONAL EXPENSES
DEPRECIATION - BUILDING	17,864	6,253	11,611
DEPRECIATION - BUILDING OTHER	29,169	10,209	18,960
	47,033	16,462	30,571

FUNCTIONAL EXPENSES ALLOCATION DETAILS

PROGRAM SERVICE EXPENSES	%age	MANAGEMENT AND GENERAL	%age	FUNDRAISING EXPENSES	%age	TOTAL AMOUNT
856	2 80%	22,011	72 00%	7,704	25 20%	30,571

Taxpayer's Name GREATER LOUISVILLE FUND FOR THE ARTS		Identifying Number 61-0479626	
DESCRIPTION OF PROPERTY GROSS RENT INCOME			
<input type="checkbox"/>	Yes	<input type="checkbox"/>	No Did you actively participate in the operation of the activity during the tax year?
RENTAL INCOME			
OTHER INCOME			
		58,775	
TOTAL GROSS INCOME			58,775
OTHER EXPENSES			
DEPRECIATION (SHOWN BELOW)			
LESS Beneficiary's Portion			
AMORTIZATION			
LESS Beneficiary's Portion			
DEPLETION			
LESS Beneficiary's Portion			
TOTAL EXPENSES			
TOTAL RENT OR ROYALTY INCOME (LOSS)			58,775.
Less Amount to			
Rent or Royalty			
Depreciation			
Depletion			
Investment Interest Expense			
Other Expenses			
Net Income (Loss) to Others			
Net Rent or Royalty Income (Loss)			58,775.
Deductible Rental Loss (if Applicable)			

[illegible]

SUPPLEMENT TO RENT AND ROYALTY SCHEDULE
=====

OTHER INCOME

58,775.

58,775.
=====

RENT AND ROYALTY SUMMARY

=====

PROPERTY -----	TOTAL INCOME -----	DEPLETION/ DEPRECIATION -----	OTHER EXPENSES -----	ALLOWABLE NET INCOME -----
GROSS RENT INCOME	58,775.			58,775.
	-----	-----	-----	-----
TOTALS	58,775.			58,775.
	=====	=====	=====	=====

Members

Actors Theatre of Louisville
Kentucky Art & Craft Foundation
Kentucky Opera Association
Kentucky Shakespeare Festival
Louisville Bach Society
Louisville Ballet
The Louisville Orchestra
Louisville Theatrical Association
Louisville Visual Art Association
Louisville Youth Choir
Louisville Youth Orchestra
Music Theatre Louisville
Stage One
Walden Theatre

Non-Member

Regional Arts
Other Designated Gifts
Black Achievers
School Partnership Grants and Youth Arts
Special Projects
Community Grants
Brown Theatre
Kentucky Center for the Arts
West Louisville Boys Choir

**FUND FOR THE ARTS
2001 BOARD OF DIRECTORS**

Mr. Robert P. Adelberg
94 Valley Road
Louisville, KY 40204-1516

Mrs. Alberta Wood Allen
4020 Glenview Avenue
Glenview, KY 40025

Mr. P Richard Anderson
1301 Turtle Creek Court
Louisville, KY 40245

Ms. Pamela G. Atherton
1020 Garden Creek Circle
Louisville, KY 40223

Mr. Steven B. Bing
2120 Cave Spring Place
Louisville, KY 40223-1562

Mr. G. Barry Bingham, Jr
P O Box 64
Glenview, KY 40025

Mr. Jonathon D. Blum
702 Shady Lane
Anchorage, KY 40205

Mr. Morton Boyd, Jr.
13415 U S Highway 42
Prospect, KY 40059

Mr. Nicholas G. Brookes
3116 Arden Road
Louisville, KY 40222

Mr. David H. Brooks
4010 Fox Meadow Way
Prospect, KY 40059

Mr. Owsley Brown II
6501 Longview Lane
Louisville, KY 40222

Mr. Thomas F. Buetow
7507 Pine Knoll Circle
Prospect, KY 40059

Mr. Van G. Carlise
2409 Cherokee Parkway
Louisville, KY 40204

Mr. Karl R. Cheuvront, Jr.
190 Bow Lane
Louisville, KY 40207

Dr. Stephan Daeschner
7012 Wooded Meadow Road
Louisville, KY 40241

Mr Stanley S. Dickson
519 Tiffany Lane
Louisville, KY 40207

Mr. Richard Foster Duncan
69 Warrior Road
Louisville, KY 40207

Mr C. Hayden Edwards
3104 Woodside Road
Glenview, KY 40025

Dr. Susan Galandiuk
5609 River Knolls Drive
Louisville, KY 40222

Mr. George E. Gans III
410 Mockingbird Valley Road
Unit 27
Louisville, KY 40207

Mr James R. Gaunt
4017 Woodstone Way
Louisville, KY 40241

Mr. William R. Gernert
3515 Winterberry Circle
Louisville, KY 40207

Mr. C. Edward Glasscock
128 Council Road
Louisville, KY 40207

Mrs. Tracy Goff-Herman
3525 Westwood Farms Drive
Louisville, KY 40220

**FUND FOR THE ARTS
2001 BOARD OF DIRECTORS**

Mr. Jonathan D. Goldberg
2566 Woodbourne Avenue
Louisville, KY 40205

Dr. Richard Green
3205 Wynbrooke Circle
Louisville, KY 40241

Mrs. Marlene M. Grissom
6130 Longview Lane
Louisville, KY 40222

Mr. R.K. Guillaume
415 Rolling Lane
Louisville, KY 40207

Mr. Michael C. Hagan
535 Primrose Way
Louisville, KY 40206

Mr. Daniel Hall
10706 Taylor Farm Court
Prospect, KY 40059

Mrs. Martha Dunbar Hall
203 Loganberry Court
Louisville, KY 40207

Mrs. Margaret Handmaker
6306 Shadow Wood Drive
Prospect, KY 40059

Mr. Leonard V. Hardin
6300 Glen Hill Road
Louisville, KY 40222

Mr. James E. Hardy
3919 Ormond Road
Louisville, KY 40207

Mr. Ronald B. Harsh
3401 Sycamore Road
La Grange, KY 40031

Mrs. Bonnie C. Hatcock
12025 Hunting Crest
Prospect, KY 40059

Mr. John H. Hawkins, Jr.
1802 Stonegate Road
Anchorage, KY 40223

Mrs. Carol W. Hebel
5806 Orion Road
Louisville, KY 40222

Mr. David S. Jordan
7213 Heatherly Square
Louisville, KY 40243

Mr. David K. Karem
2439 Randsell Avenue
Louisville, KY 40204

Mr. Bertram W. Klein
6403 Shrader Lane
La Grange, KY 40031

Mr. David Klein
1400 Willow #1003
Louisville, KY 40204

Ms. Nancy Lampton
3915 Tirbracken Lane
P O Box 220
Goshen, KY 40026

Mr. Philip M. Lanier
5901 Cabin Way
Louisville, KY 40222

Mr. Edward E. Manassah
5002 Long Knife Run
Louisville, KY 40207

Mr. Michael B. McCallister
2101 Club Vista Place
Louisville, KY 40245

Mr. Thomas H. Meeker
1110 Red Fox Road
Louisville, KY 40205

Mr. A. Steven Miles
1611 Parkins Mill road
Greenville, SC 29607-3642

**FUND FOR THE ARTS
2001 BOARD OF DIRECTORS**

Mr. Thomas P. Manahan
3008 Shoreline Turn
Georgetown, IN 47122

Mr. Ronald J. Murphy
548 Barberry Lane
Louisville, KY 40206

Mrs. Nancy Lou Nothern
4514 Southern Parkway
Louisville, KY 40214

Dr. Thomas R. Oates
3315 Brownsboro Road
Louisville, KY 40207

Mr. Orson Oliver
141 North Fourth Avenue, Apt 643
Louisville, KY 40202

Ms. Lynn Pendergrass
7368 Wolf Spring Trace
Louisville, KY 40241

Dr. F. C. Richardson
2003 Fox Landing
New Albany, IN 47150

Mr. Benjamin K. Richmond
407 Northwestern Parkway
Louisville, KY 40212

Mr. Eddy C. Roberts, Jr.
4510 Wolf Creek Parkway
Louisville, KY 40214

Mr. David E. Rogers
605 Blankenbaker Lane
Louisville, KY 40207

Mr. Robert W. Rounsavall III
501 Covered Bridge Road
Prospect, KY 40059

Mr. David E. Sams, Jr.
9 River Edge Road
Old Saybrook, CT 06475

Mr. Frederick W. Schantz
169 Westwind Road
Louisville, KY 40207

Mrs. Mary N. Shands
8915 Highway 329
Crestwood, KY 40014

Mr. Wayne T. Smith
530 Primrose Way
Louisville, KY 40206

Mr. James C. Stone
40 River Hill Road
Louisville, KY 40207-1191

Mr. Carl M. Thomas
148 Westwind Road
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Mr. James Thompson
#6 Zorn Place
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Mr. Steven E. Trager
7413 Cedar Bluff Court
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Mr. C. Bruce Traugher
11405 Whitney Hill Road
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Mrs. Patricia R. Updegraff
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Mr. Henry C. Wagner
4013 Woodstone Way
Louisville, KY 40241

Mr. James S. Welch, Sr.
2001 North Rose Island Road
Prospect, KY 40059

Mrs. Joan Whittenberg
425 Twinbrook Road
Louisville, KY 40207

**FUND FOR THE ARTS
2001 BOARD OF DIRECTORS**

Mr. Stephen A. Williams
1017 Essex Court
Goshen, KY 40026-9763

Mr. Stephen R. Wood
7107 Cove Pionte Place
Prospect, KY 40059

SCHEDULE A, PART III - EXPLANATION FOR LINE 4B

THE GREATER LOUISVILLE FUND FOR THE ARTS (THE FUND) PROVIDES FINANCIAL SUPPORT PREDOMINATELY TO MEMBER ORGANIZATIONS AND OCCASIONALLY TO NON-MEMBER ORGANIZATIONS. IN ORDER TO BECOME A MEMBER OF THE FUND AN ORGANIZATION MUST BE APPROVED BY THE FUND'S ADMISSIONS AND ENDORSEMENTS COMMITTEE, WHICH USES THE FOLLOWING CRITERIA TO DETERMINE PROSPECTIVE APPLICANTS' ELIGIBILITY:

- 1 THE PROJECT SEEKING MEMBERSHIP MUST DEMONSTRATE THAT IT FILLS A NEED NOT ALREADY WELL-FILLED OR CAPABLE OF BEING FILLED BY AN EXISTING AGENCY
- 2 THE NEED SHALL BE RELATIVELY GREAT ENOUGH TO WARRANT THE SUPPORT OF A SEPARATE AGENCY
- 3 IN THE CASE OF LOCAL INSTITUTIONS INTENDED TO BE PERMANENT, THE ORGANIZATION SHALL BE ESTABLISHED IN SUCH MANNER AS TO ASSURE PERMANENT ORGANIZATION AND SUPPORT. IT SHALL HAVE A BOARD OF RESPONSIBLE DIRECTORS
- 4 THE APPLYING AGENCY SHALL AGREE TO COOPERATE WITH OTHER AGENCIES IN PREVENTING DUPLICATION OF EFFORT AND IN PROMOTING ECONOMY AND EFFICIENCY
- 5 THE ACCOUNTS OF ALL FINANCIALLY PARTICIPATING AGENCIES, WHETHER THEY RECEIVE FUNDS FROM THE FUND FOR ANY CURRENT YEAR OR NOT, SHALL BE AUDITED ANNUALLY BY A CERTIFIED AUDITOR, AND A COPY OF THE AUDIT REPORT AND OTHER REPORTS, BOTH FINANCIAL AND SOCIAL, WHICH MAY BE REQUESTED BY THE EXECUTIVE COMMITTEE, SHALL BE FILED WITH THE FUND. YEARLY BUDGETS SHALL BE SUBMITTED TO THE BUDGET COMMITTEE BY FINANCIALLY PARTICIPATING AGENCIES WHETHER REQUESTING FUNDS OR NOT
- 6 THE OPERATIONS OF THE FINANCIALLY PARTICIPATING ORGANIZATION AND THEIR RECORDS SHALL ALWAYS BE OPEN TO AN INVESTIGATION OF AN ACCREDITED REPRESENTATIVE OF THE FUND. THE SYSTEM OF BOOKKEEPING MAINTAINED BY SUCH MEMBER AGENCIES SHALL BE ONE APPROVED BY THE FUND