

Return of Organization Exempt From Income Tax

2000

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Under section 501(c) of the Internal Revenue Code (except black lung benefit trust or private foundation), section 527, or section 4947(a)(1) nonexempt charitable trust

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

A For the 2000 calendar year, or tax year period beginning July 1, 2000, and ending June 30, 2001

- B** Check if applicable:
- Change of address
 - Change of name
 - Initial return
 - Final return
 - Amended return

Please use IRS label or print or type. See Specific Instructions.	C Name of organization Law Foundation of Silicon Valley		D Employer identification number 52-1014754
	Number and street (or P.O. box if mail is not delivered to street address) Room/suite 111 West St. John Street, Suite 315		E Telephone number (408) 293-4790
	City or town, state or country, and ZIP code San Jose, CA 95113		F Check <input type="checkbox"/> if application pending

G Organization type (check only one) ▶ 501(c) (3) ◀ (insert no) 527 or 4947(a)(1)

• Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ).

J Accounting method Cash Accrual Other (specify) ▶

K Check here if the organization's gross receipts are normally not more than \$25,000. The organization need not file a return with the IRS, but if the organization received a Form 990 Package in the mail, it should file a return without financial data. Some states require a complete return.

Note: H and I are not applicable to section 527 orgs.

H(a) Is this a group return for affiliates? Yes No

H(b) If "Yes," enter number of affiliates ▶

H(c) Are all affiliates included? Yes No (If "No," attach a list. See inst.)

H(d) Is this a separate return filed by an organization covered by a group ruling? Yes No

I Enter 4-digit group exemption no (GEN) ▶

L Check this box if the organization is not required to attach Schedule B (Form 990 or 990-EZ) ▶

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (See Specific Instructions on page 16)

Revenue	1	Contributions, gifts, grants, and similar amounts received				
	a	Direct public support	1a	167,061		
	b	Indirect public support	1b	28,749		
	c	Government contributions (grants)	1c	100,681		
	d	Total (add lines 1a through 1c) (cash \$ 296,491 noncash \$ 0)	1d		296,491	
	2	Program service revenue including government fees and contracts (from Part VII, line 93)	2		998,118	
	3	Membership dues and assessments	3		0	
	4	Interest on savings and temporary cash investments	4		25,176	
	5	Dividends and interest from securities	5		0	
	6a	Gross rents	6a			
	b	Less rental expenses	6b			
	c	Net rental income or (loss) (subtract line 6b from line 6a)	6c		0	
7	Other investment income (describe ▶)	7		0		
Revenue	8a	Gross amount from sales of assets other than inventory	(A) Securities	(B) Other	8d	0
		b Less cost or other basis and sales expenses	8a			
		c Gain or (loss) (attach schedule)	0	8c 0		
		d Net gain or (loss) (combine line 8c, columns (A) and (B))				
Revenue	9	Special events and activities (attach schedule)			9c	555,779
		a Gross revenue (not including \$ of contributions reported on line 1a)	9a	662,017		
		b Less direct expenses other than fundraising expenses	9b	106,238		
c Net income or (loss) from special events (subtract line 9b from line 9a)	9c					
Revenue	10a	Gross sales of inventory, less returns and allowances	10a		10c	0
		b Less cost of goods sold	10b			
		c Gross profit or (loss) from sales of inventory (attach schedule) (subtract line 10b from line 10a)				
11	Other revenue (from Part VII, line 103)	11		10,584		
12	Total revenue (add lines 1d, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11)	12		1,886,148		
Expenses	13	Program services (from line 44, column (B))	13		1,570,685	
	14	Management and general (from line 44, column (C))	14		261,060	
	15	Fundraising (from line 44, column (D))	15		28,603	
	16	Payments to affiliates (attach schedule)	16		0	
	17	Total expenses (add lines 16 and 44, column (A))	17		1,860,348	
Net Assets	18	Excess or (deficit) for the year (subtract line 17 from line 12)	18		25,800	
	19	Net assets or fund balances at beginning of year (from line 12, column (A))	19		821,769	
	20	Other changes in net assets or fund balances (attach explanation)	20		0	
	21	Net assets or fund balances at end of year (combine lines 18, 19, and 20)	21		847,569	

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Part IV Balance Sheets (See Specific Instructions on page 23.)

Note		(A)		(B)	
<i>Where required, attached schedules and amounts within the description column should be for end-of-year amounts only</i>		Beginning of year		End of year	
Assets	45 Cash—non-interest-bearing		20,232	45	20,544
	46 Savings and temporary cash investments		477,708	46	741,770
	47a Accounts receivable	47a 163,292			
	b Less allowance for doubtful accounts	47b 0	377,911	47c	163,292
	48a Pledges receivable	48a			
	b Less allowance for doubtful accounts	48b	0	48c	0
	49 Grants receivable		0	49	0
	50 Receivables from officers, directors, trustees, and key employees (attach schedule)		0	50	0
	51a Other notes and loans receivable (attach schedule)	51a			
	b Less allowance for doubtful accounts	51b	0	51c	0
	52 Inventories for sale or use		0	52	0
	53 Prepaid expenses and deferred charges		3,440	53	3,440
	54 Investments—securities (attach schedule) ▶ <input type="checkbox"/> Cost <input type="checkbox"/> FMV		0	54	0
	55a Investments—land, buildings, and equipment basis	55a			
	b Less accumulated depreciation (attach schedule)	55b	0	55c	0
56 Investments—other (attach schedule)		0	56	0	
57a Land, buildings, and equipment basis	57a 124,122				
b Less accumulated depreciation (attach schedule)	57b 82,758	35,863	57c	41,364	
58 Other assets (describe ▶ <u>Deposits</u>)		9,269	58	12,870	
59 Total assets (add lines 45 through 58) (must equal line 74)		924,423	59	983,280	
Liabilities	60 Accounts payable and accrued expenses		73,770	60	135,711
	61 Grants payable		0	61	0
	62 Deferred revenue		0	62	0
	63 Loans from officers, directors, trustees, and key employees (attach schedule)		0	63	0
	64a Tax-exempt bond liabilities (attach schedule)		0	64a	0
	b Mortgages and other notes payable (attach schedule)		28,884	64b	0
	65 Other liabilities (describe ▶ _____)		0	65	0
66 Total liabilities (add lines 60 through 65)		102,654	66	135,711	
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 67 through 69 and lines 73 and 74				
	67 Unrestricted		791,769	67	847,569
	68 Temporarily restricted		30,000	68	0
	69 Permanently restricted		0	69	0
	Organizations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 70 through 74				
	70 Capital stock, trust principal, or current funds			70	
	71 Paid-in or capital surplus, or land, building, and equipment fund			71	
	72 Retained earnings, endowment, accumulated income, or other funds			72	
	73 Total net assets or fund balances (add lines 67 through 69 OR lines 70 through 72, column (A) must equal line 19 and column (B) must equal line 21)		821,769	73	847,569
	74 Total liabilities and net assets / fund balances (add lines 66 and 73)		924,423	74	983,280

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.

Part IV-A Reconciliation of Revenue per Audited Financial Statements with Revenue per Return (See Specific Instructions, page 25)	Part IV-B Reconciliation of Expenses per Audited Financial Statements with Expenses per Return
<p>a Total revenue, gains, and other support per audited financial statements ▶ a 2,627,958</p> <p>b Amounts included on line a but not on line 12, Form 990</p> <p>(1) Net unrealized gains on investments \$ _____</p> <p>(2) Donated services and use of facilities \$ 635,572</p> <p>(3) Recoveries of prior year grants \$ _____</p> <p>(4) Other (specify) _____</p> <p style="padding-left: 20px;">\$ _____</p> <p>Add amounts on lines (1) through (4) ▶ b 635,572</p> <p>c Line a minus line b ▶ c 1,992,386</p> <p>d Amounts included on line 12, Form 990 but not on line a:</p> <p>(1) Investment expenses not included on line 6b, Form 990 \$ _____</p> <p>(2) Other (specify) Special events expenses \$ (106,238)</p> <p style="padding-left: 20px;">Add amounts on lines (1) and (2) ▶ d (106,238)</p> <p>e Total revenue per line 12, Form 990 (line c plus line d) e 1,886,148</p>	<p>a Total expenses and losses per audited financial statements ▶ a 2,602,158</p> <p>b Amounts included on line a but not on line 17, Form 990</p> <p>(1) Donated services and use of facilities \$ 635,572</p> <p>(2) Prior year adjustments reported on line 20, Form 990 \$ _____</p> <p>(3) Losses reported on line 20, Form 990 \$ _____</p> <p>(4) Other (specify) Special events expenses \$ 106,238</p> <p style="padding-left: 20px;">Add amounts on lines (1) through (4) ▶ b 741,810</p> <p>c Line a minus line b ▶ c 1,860,348</p> <p>d Amounts included on line 17, Form 990 but not on line a:</p> <p>(1) Investment expenses not included on line 6b, Form 990 \$ _____</p> <p>(2) Other (specify) _____</p> <p style="padding-left: 20px;">Add amounts on lines (1) and (2) ▶ d 0</p> <p>e Total expenses per line 17, Form 990 (line c plus line d) e 1,860,348</p>

Part V List of Officers, Directors, Trustees, and Key Employees (List each one even if not compensated, see Specific Instructions on page 25)

(A) Name and address	(B) Title and average hours per week devoted to position	(C) Compensation (If not paid, enter -0-)	(D) Contributions to employee benefit plans & deferred compensation	(E) Expense account and other allowances
Jim Bower 111 W St. John Street, San Jose, CA 95113	Executive Director 35 hours per week	102,704	6,089	0
Kyra Kazantzis 111 W St. John Street, San Jose, CA 95113	Assistant Exec Dir 35 hours per week	66,084	3,330	0
See attached statement #7 111 W St. John Street, San Jose, CA 95113	Board of Directors As needed	0	0	0

75 Did any officer, director, trustee, or key employee receive aggregate compensation of more than \$100,000 from your organization and all related organizations, of which more than \$10,000 was provided by the related organizations? ▶ Yes No
If "Yes," attach schedule—see Specific Instructions on page 26

Part VI Other Information (See Specific Instructions on page 26)		N/A	Yes	No
76	Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity	76		X
77	Were any changes made in the organizing or governing documents but not reported to the IRS? If "Yes," attach a conformed copy of the changes	77	X	
78a	Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?	78a		X
b	If "Yes," has it filed a tax return on Form 990-T for this year?	78b	N/A	
79	Was there a liquidation, dissolution, termination, or substantial contraction during the year? If "Yes," attach a statement	79		X
80a	Is the organization related (other than by association with a statewide or nationwide organization) through common membership, governing bodies, trustees, officers, etc., to any other exempt or nonexempt organization?	80a		X
b	If "Yes," enter the name of the organization Not applicable and check whether it is <input type="checkbox"/> exempt OR <input type="checkbox"/> nonexempt			
81a	Enter the amount of political expenditures, direct or indirect, as described in the instructions for line 81	81a		N/A
b	Did the organization file Form 1120-POL for this year?	81b		N/A
82a	Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value?	82a	X	
b	If "Yes," you may indicate the value of these items here. Do not include this amount as revenue in Part I or as an expense in Part II (See instructions for reporting in Part III)	82b		635,572
83a	Did the organization comply with the public inspection requirements for returns and exemption applications?	83a	X	
b	Did the organization comply with the disclosure requirements relating to quid pro quo contributions?	83b	X	
84a	Did the organization solicit any contributions or gifts that were not tax deductible?	84a		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	84b		N/A
85	501(c)(4), (5), or (6) organizations a Were substantially all dues nondeductible by members?	85a		N/A
b	Did the organization make only in-house lobbying expenditures of \$2,000 or less? If "Yes" was answered to either 85a or 85b, do not complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year	85b		N/A
c	Dues, assessments, and similar amounts from members	85c		N/A
d	Section 162(e) lobbying and political expenditures	85d		N/A
e	Aggregate nondeductible amount of section 6033(e)(1)(A) dues notices	85e		N/A
f	Taxable amount of lobbying and political expenditures (line 85d less 85e)	85f		N/A
g	Does the organization elect to pay the section 6033(e) tax on the amount in 85f?	85g		N/A
h	If section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount in 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year?	85h		N/A
86	501(c)(7) orgs Enter a Initiation fees and capital contributions included on line 12	86a		N/A
b	Gross receipts, included on line 12, for public use of club facilities	86b		N/A
87	501(c)(12) orgs Enter a Gross income from members or shareholders	87a		N/A
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	87b		N/A
88	At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Part IX	88		X
89a	501(c)(3) organizations Enter Amount of tax imposed on the organization during the year under section 4911 0 , section 4912 0 , section 4955 0			
b	501(c)(3) and 501(c)(4) orgs Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach a statement explaining each transaction	89b		X
c	Enter Amount of tax imposed on the organization managers or disqualified persons during the year under sections 4912, 4955, and 4958			0
d	Enter Amount of tax on line 89c, above, reimbursed by the organization			0
90a	List the states with which a copy of this return is filed California			
b	Number of employees employed in the pay period that includes March 12, 2000 (See inst)	90b		29
91	The books are in care of Law Foundation of Silicon Valley Telephone no (408) 293-4790 Located at 111 West St. John Street, Suite 315, San Jose, CA ZIP code 95113			
92	Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the tax year 92			N/A

Part VII Analysis of Income-Producing Activities (See Specific Instructions on page 30)

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512 513, or 514		(E) Related or exempt function income
	(A) Business code	(B) Amount	(C) Exclusion code	(D) Amount	
93 Program service revenue					
a Fee awards					78,862
b Legal services trust fund					60,732
c					
d					
e					
f Medicare/Medicaid payments					
g Fees and contracts from government agencies					858,524
94 Membership dues and assessments					
95 Interest on savings and temporary cash investments			14	25,176	
96 Dividends and interest from securities					
97 Net rental income or (loss) from real estate					
a debt-financed property					
b not debt-financed property					
98 Net rental income or (loss) from personal property					
99 Other investment income					
100 Gain or (loss) from sales of assets other than inventory					
101 Net income or (loss) from special events			01	555,779	
102 Gross profit or (loss) from sales of inventory					
103 Other revenue a Miscellaneous income			01	10,584	
b					
c					
d					
e					
104 Subtotal (add columns (B), (D), and (E))		0		591,539	998,118
105 Total (add line 104, columns (B), (D), and (E))					1,589,657

Note: Line 105 plus line 1d, Part I, should equal the amount on line 12, Part I

Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes (See Specific Instructions on page 31)

Line No.	Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes)
93a	Fee awards are collected to further several of the organization's primary exempt purposes as described in Part III.
93b	The legal services trust fund receives monies to carry out several of the organization's primary exempt purposes as described in Part III.
93g	All government fees are collected towards the organization's primary exempt purposes as described in Part III.

Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities (See Specific Instructions on page 31)

(A) Name, address, and EIN of corporation, partnership, or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End-of-year assets
Not applicable	%			
	%			
	%			
	%			

Part X Information Regarding Transfers Associated with Personal Benefit Contracts (See Specific Instructions on page 31)

- (a) Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No
- (b) Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

Note: If "Yes" to (b), file Form 8870 and Form 4720 (see instructions)

return including accompanying schedules and statements and to the best of my knowledge preparer (other than officer) is based on all information of which preparer has any knowledge

12/15/02 Executive Director

SCHEDULE A
(Form 990 or 990-EZ)

Organization Exempt Under Section 501(c)(3)

(Except Private Foundation) and Section 501(e), 501(f), 501(k),
501(n), or Section 4947(a)(1) Nonexempt Charitable Trust

OMB No 1545-0047

2000

Department of the Treasury
Internal Revenue Service

▶ MUST be completed by the above organizations and attached to their Form 990 or 990-EZ

Name of the organization

Law Foundation of Silicon Valley

Employer identification number

52 1014754

Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees
(See page 1 of the instructions List each one If there are none, enter "None ")

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
Shawna Schwarz 111 W St. John Street, San Jose, CA 95113	Directing Attorney 35 hours per week	61,591	4,867	0
John Doherty 111 W St. John Street, San Jose, CA 95113	Directing Attorney 35 hours per week	53,675	5,576	0
Kerstin Arusha 111 W St. John Street, San Jose, CA 95113	Directing Attorney 35 hours per week	52,571	3,548	0
Alison Brunner 111 W St. John Street, San Jose, CA 95113	Directing Attorney 35 hours per week	51,503	2,994	0
Total number of other employees paid over \$50,000 ▶	0			

Part II Compensation of the Five Highest Paid Independent Contractors for Professional Services
(See page 1 of the instructions List each one (whether individuals or firms) If there are none, enter "None.")

(a) Name and address of each independent contractor paid more than \$50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over \$50,000 for professional services ▶	0	

Part III Statements About Activities	Yes	No
<p>1 During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If "Yes," enter the total expenses paid or incurred in connection with the lobbying activities ► \$ _____ Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A Other organizations checking "Yes," must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities</p>	1	X
<p>2 During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any of its trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary</p>		
a Sale, exchange, or leasing of property?	2a	X
b Lending of money or other extension of credit?	2b	X
c Furnishing of goods, services, or facilities?	2c	X
d Payment of compensation (or payment or reimbursement of expenses if more than \$1,000)?	2d	X
e Transfer of any part of its income or assets? If the answer to any question is "Yes," attach a detailed statement explaining the transactions	2e	X
3 Does the organization make grants for scholarships, fellowships, student loans, etc.?	3	X
4a Do you have a section 403(b) annuity plan for your employees?	4a	X
b Attach a statement to explain how the organization determines that individuals or organizations receiving grants or loans from it in furtherance of its charitable programs qualify to receive payments (See page 2 of the instructions)		

Part IV Reason for Non-Private Foundation Status (See pages 2 through 5 of the instructions)

The organization is not a private foundation because it is (Please check only **ONE** applicable box)

5 A church, convention of churches, or association of churches Section 170(b)(1)(A)(i)

6 A school Section 170(b)(1)(A)(ii) (Also complete Part V, page 5)

7 A hospital or a cooperative hospital service organization Section 170(b)(1)(A)(iii)

8 A Federal, state, or local government or governmental unit Section 170(b)(1)(A)(v)

9 A medical research organization operated in conjunction with a hospital Section 170(b)(1)(A)(iii) Enter the hospital's name, city, and state ►

10 An organization operated for the benefit of a college or university owned or operated by a governmental unit Section 170(b)(1)(A)(iv) (Also complete the **Support Schedule** in Part IV-A)

11a An organization that normally receives a substantial part of its support from a governmental unit or from the general public Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A)

11b A community trust Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A)

12 An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc., functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See section 509(a)(2) (Also complete the **Support Schedule** in Part IV-A)

13 An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in (1) lines 5 through 12 above, or (2) section 501(c)(4), (5), or (6), if they meet the test of section 509(a)(2) (See section 509(a)(3))

Provide the following information about the supported organizations (See page 5 of the instructions)

(a) Name(s) of supported organization(s)	(b) Line number from above
Not applicable	

14 An organization organized and operated to test for public safety Section 509(a)(4) (See page 5 of the instructions)

Part IV-A Support Schedule (Complete only if you checked a box on line 10, 11, or 12) *Use cash method of accounting.*

Note: You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting

Calendar year (or fiscal year beginning in)	(a) 1999	(b) 1998	(c) 1997	(d) 1996	(e) Total
15 Gifts, grants, and contributions received (Do not include unusual grants See line 28)	731,827	949,052	932,629	925,805	3,539,313
16 Membership fees received					0
17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is not a business unrelated to the organization's charitable, etc., purpose	324,322	185,723	197,719	163,517	871,281
18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975	14,811	14,907	15,594	11,924	57,236
19 Net income from unrelated business activities not included in line 18					0
20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf					0
21 The value of services or facilities furnished to the organization by a governmental unit without charge Do not include the value of services or facilities generally furnished to the public without charge					0
22 Other income Attach a schedule Do not include gain or (loss) from sale of capital assets	229,855	176,868	60,431	134,927	602,081
23 Total of lines 15 through 22	1,300,815	1,326,550	1,206,373	1,236,173	5,069,911
24 Line 23 minus line 17	976,493	1,140,827	1,008,654	1,072,656	4,198,630
25 Enter 1% of line 23	13,008	13,266	12,064	12,362	
26 Organizations described on lines 10 or 11: a Enter 2% of amount in column (e), line 24					26a 83,973
b Attach a list (which is not open to public inspection) showing the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 1996 through 1999 exceeded the amount shown in line 26a Enter the sum of all these excess amounts					26b N/A
c Total support for section 509(a)(1) test Enter line 24, column (e)					26c 4,198,630
d Add Amounts from column (e) for lines 18 57,236 19 0					26d 659,317
22 602,081 26b N/A					
e Public support (line 26c minus line 26d total)					26e 3,539,313
f Public support percentage (line 26e (numerator) divided by line 26c (denominator))					26f 84.30 %
27 Organizations described on line 12: a For amounts included in lines 15, 16, and 17 that were received from a "disqualified person," attach a list (which is not open to public inspection) to show the name of, and total amounts received in each year from, each "disqualified person" Enter the sum of such amounts for each year	(1999) N/A	(1998) N/A	(1997) N/A	(1996) N/A	
b For any amount included in line 17 that was received from a nondisqualified person, attach a list to show the name of, and amount received for each year, that was more than the larger of (1) the amount on line 25 for the year or (2) \$5,000 (Include in the list organizations described in lines 5 through 11, as well as individuals) After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year	(1999) N/A	(1998) N/A	(1997) N/A	(1996) N/A	
c Add Amounts from column (e) for lines 15 _____ 16 _____					27c _____
17 _____ 20 _____ 21 _____					
d Add Line 27a total _____ and line 27b total _____					27d _____
e Public support (line 27c total minus line 27d total)					27e _____
f Total support for section 509(a)(2) test Enter amount on line 23, column (e)					27f 271
g Public support percentage (line 27e (numerator) divided by line 27f (denominator))					27g %
h Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator))					27h %
28 Unusual Grants For an organization described in line 10, 11, or 12 that received any unusual grants during 1996 through 1999, attach a list (which is not open to public inspection) for each year showing the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant Do not include these grants in line 15 (See page 5 of the instructions)					

Part V Private School Questionnaire (See page 5 of the instructions.)
 (To be completed ONLY by schools that checked the box on line 6 in Part IV)

		Yes	No
29	Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?	N/A	
30	Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?	N/A	
31	Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe, if "No," please explain (If you need more space, attach a separate statement) Not applicable	N/A	
32	Does the organization maintain the following		
a	Records indicating the racial composition of the student body, faculty, and administrative staff?	N/A	
b	Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?	N/A	
c	Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?	N/A	
d	Copies of all material used by the organization or on its behalf to solicit contributions?	N/A	
	If you answered "No" to any of the above, please explain (If you need more space, attach a separate statement) Not applicable		
33	Does the organization discriminate by race in any way with respect to		
a	Students' rights or privileges?	N/A	
b	Admissions policies?	N/A	
c	Employment of faculty or administrative staff?	N/A	
d	Scholarships or other financial assistance?	N/A	
e	Educational policies?	N/A	
f	Use of facilities?	N/A	
g	Athletic programs?	N/A	
h	Other extracurricular activities?	N/A	
	If you answered "Yes" to any of the above, please explain (If you need more space, attach a separate statement) Not applicable		
34a	Does the organization receive any financial aid or assistance from a governmental agency?	N/A	
b	Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either 34a or b, please explain using an attached statement	N/A	
35	Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," attach an explanation	N/A	

Part VI-A Lobbying Expenditures by Electing Public Charities (See page 7 of the instructions)
 (To be completed ONLY by an eligible organization that filed Form 5768)

Check here a if the organization belongs to an affiliated group
 Check here b if you checked "a" above and "limited control" provisions apply

Limits on Lobbying Expenditures		(a) Affiliated group totals	(b) To be completed for ALL electing organizations
(The term "expenditures" means amounts paid or incurred)			
36	Total lobbying expenditures to influence public opinion (grassroots lobbying)	N/A	N/A
37	Total lobbying expenditures to influence a legislative body (direct lobbying)		N/A
38	Total lobbying expenditures (add lines 36 and 37)		N/A
39	Other exempt purpose expenditures		N/A
40	Total exempt purpose expenditures (add lines 38 and 39)		N/A
41	Lobbying nontaxable amount Enter the amount from the following table— If the amount on line 40 is— The lobbying nontaxable amount is— Not over \$500,000 20% of the amount on line 40 Over \$500,000 but not over \$1,000,000 \$100,000 plus 15% of the excess over \$500,000 Over \$1,000,000 but not over \$1,500,000 \$175,000 plus 10% of the excess over \$1,000,000 Over \$1,500,000 but not over \$17,000,000 \$225,000 plus 5% of the excess over \$1,500,000 Over \$17,000,000 \$1,000,000	0	N/A
42	Grassroots nontaxable amount (enter 25% of line 41)		N/A
43	Subtract line 42 from line 36 Enter -0- if line 42 is more than line 36		N/A
44	Subtract line 41 from line 38 Enter -0- if line 41 is more than line 38		N/A

Caution: If there is an amount on either line 43 or line 44, you must file Form 4720

4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below
 See the instructions for lines 45 through 50 on page 9 of the instructions)

Calendar year (or fiscal year beginning in) ▶	Lobbying Expenditures During 4-Year Averaging Period				
	(a) 2000	(b) 1999	(c) 1998	(d) 1997	(e) Total
45	N/A	N/A	N/A	N/A	N/A
46					N/A
47					N/A
48					N/A
49					N/A
50					N/A

Part VI-B Lobbying Activity by Nonelecting Public Charities
 (For reporting only by organizations that did not complete Part VI-A) (See page 9 of the instructions)

During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of

- a Volunteers
- b Paid staff or management (Include compensation in expenses reported on lines c through h.)
- c Media advertisements
- d Mailings to members, legislators, or the public
- e Publications, or published or broadcast statements
- f Grants to other organizations for lobbying purposes
- g Direct contact with legislators, their staffs, government officials, or a legislative body
- h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means
- i Total lobbying expenditures (add lines c through h)

Yes	No	Amount
	X	
	X	
	X	
	X	
	X	
	X	
	X	
	X	
	X	
		0

If "Yes" to any of the above, also attach a statement giving a detailed description of the lobbying activities

Law Foundation of Silicon Valley
 Form 990 and Schedule A Attachments
 For the fiscal year ended June 30, 2001

EIN: 52-1014754

Statement 1 Form 990, Part I, line 9 Special events and activities

	Gross revenue	Direct expenses	Net revenue
Annual dinner	\$ 578,641	\$ 89,362	\$ 489,279
Lacy honors	42,550	10,098	32,452
Walk for AIDS	20,206	1,000	19,206
Other special events and activities	20,620	5,778	14,842
Total special events and activities	\$ 662,017	\$ 106,238	\$ 555,779

Statement 2 Form 990, Part II, line 42 Depreciation, depletion, etc and Form 990, Part IV, line 57 Land, buildings, and equipment

	Cost or basis	Depreciation		Accumulated Depreciation	Book value
		Method/Life	Expense		
Furniture and equipment	\$ 124,122	S/L - various	\$ 17,848	\$ 82,758	\$ 41,364

Statement 3 Form 990, Part III, line a. Mental Health Advocacy Project

The Mental Health Advocacy Project provides legal assistance to people identified as mentally or developmentally disabled. Services include counseling, representation, information and referral, mediation, monitoring institutions, and education and training for other providers. Areas of concentration include patients' rights, housing, and benefits. During the year covered, this project served over 4,000 individuals and received \$19,067 in donated services

\$ 674,896

Statement 4 Form 990, Part III, line b Legal Advocates for Children and Youth

Legal Advocacy for Children and Youth provides free legal representation and related social services to children in crisis. Children are represented in guardianships, emancipations, child abuse, school discipline, special education, and family court proceedings involving pregnant and parenting teens. During the year covered, this project helped over 1,200 children and youth and received \$101,692 in donated services

\$ 316,522

Statement 5 Form 990, Part III, line c Fair Housing Law Project

The Fair Housing Law Project provides legal enforcement of fair housing laws and education to the public. This project assists people to choose a place to live without regard to their race, color, religious, gender, age, national origin, ancestry, sexual orientation, marital status, source of income, disability, or whether they have children in their family. This project received \$6,355 in donated services

\$ 206,038

Statement 6 Form 990, Part III, line e. Other program services

AIDS Legal Services provides information and referrals to clients who have AIDS or who are HIV-positive. Pro-bono attorneys provide assistance in drafting wills and powers of attorney, employment discrimination, housing complaints, benefits, guardianships, and other issues. During the year covered, this project represented over 500 clients and received \$50,846 in donated services.

\$ 177,482

Statement 7 Form 990, Part V List of Officers, Directors, Trustees, and Key Employees

	Debra L. Zumwalt, Esquire - Bar President	
Norman J. Blears, Esquire - President		Rick Ostler - Treasurer
<u>Other Board Members</u>		
Edward V. Anderson, Esq.	Charles T. C. Compton, Esq.	Peter Detkin, Esq.
Patrick H. Dunkley, Esq.	John (Jay) W. Fowler, Esq.	Roberta S. Hayashi, Esq.
Montgomery (Monty) Kersten	Patricia Lucas, Esq.	James McManis, Esq.
Edward F. Muldowney, Esq.	Stuart Nichols	Elizabeth Roth, Esq.
Professor Edward Steunman	Patrick E. Tondreau, Esq.	Bernard J. Vogel, III, Esq.
Tom P. Wise, Esq.		Thomas (Tom) C. Wyatt

Statement 8 Form 990, Part VI, line 77 Changes made in the organizing or governing documents

The Law Foundation of Silicon Valley changed its name officially from Santa Clara County Bar Association Law Foundation, Inc. Related documents are included as attachment # 2.

Statement 9 Form 990 Schedule A, Part III, line 2d. Payment of compensation

See Form 990, Page 4, Part V for detail on compensation of officers, directors, trustees, and key employees.

Statement 10 Form 990 Schedule A, Part IV-A, line 22 Other income

	1999	1998	1997	1996	Total
Miscellaneous income	\$ 229,855	\$ 176,868	\$ 60,431	\$ 134,927	<u>\$ 602,081</u>

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

▶ File a separate application for each return

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box ▶
 - If you are filing for an Additional (not automatic) 3-Month Extension, complete only Part II (on page 2 of this form)
- Note: Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.**

Part I Automatic 3-Month Extension of Time—Only submit original (no copies needed)
Note: Form 990-T corporations requesting an automatic 6-month extension—check this box and complete Part I only ▶
 All other corporations (including Form 990-C filers) must use Form 7004 to request an extension of time to file income tax returns. Partnerships, REMICs and trusts must use Form 8736 to request an extension of time to file Form 1065, 1066, or 1041

Type or print	Name of Exempt Organization Law Foundation of Silicon Valley	Employer identification number 52 1014754
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P O box, see instructions. 111 West St. John Street, Suite 315	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. San Jose, CA 95113	

Check type of return to be filed (file a separate application for each return)

- | | | |
|--|--|------------------------------------|
| <input checked="" type="checkbox"/> Form 990 | <input type="checkbox"/> Form 990-T (corporation) | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL | <input type="checkbox"/> Form 990-T (sec 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above) | <input type="checkbox"/> Form 6069 |
| <input type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 8870 |

- If the organization does not have an office or place of business in the United States, check this box ▶
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6-month, for 990-T corporation) extension of time until **February 15**, 2002, to file the exempt organization return for the organization named above. The extension is for the organization's return for
 ▶ calendar year 20 or
 ▶ tax year beginning **July 1**, 2000, and ending **June 30**, 2002

2 If this tax year is for less than 12 months, check reason Initial return Final return Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. \$ _____

b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit. \$ _____

c **Balance Due.** Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. \$ _____

Signature and Verification

Under penalties of perjury I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ _____ Title ▶ _____ Date ▶ _____

Original Form 8868 on file with the Internal Revenue Service

State of California



SECRETARY OF STATE

I, *BILL JONES*, Secretary of State of the State of California, hereby certify:

That the attached transcript of 3 page(s) has been compared with the record on file in this office, of which it purports to be a copy, and that it is full, true and correct.

IN WITNESS WHEREOF I execute this certificate and affix the Great Seal of the State of California this day of



SEP - 6 2000

Secretary of State

AUG 30 2000

BILL JONES, Secretary of State

**AMENDED AND RESTATED
ARTICLES OF INCORPORATION
OF
SANTA CLARA COUNTY BAR ASSOCIATION LAW FOUNDATION**

NORMAN BLEARS and TONI WISE hereby certify that

ONE. The name of this corporation is Santa Clara County Bar Association Law Foundation

TWO: They are the duly elected and acting President and Secretary, respectively, of Santa Clara County Bar Association Law Foundation, a California corporation.

THREE: The Articles of Incorporation of this corporation are hereby amended and restated to read as follows

I.

The name of this corporation shall be LAW FOUNDATION OF SILICON VALLEY (hereafter, the "Corporation")

II.

A This Corporation is a nonprofit PUBLIC BENEFIT CORPORATION and is not organized for the private gain of any person. It is organized under the Nonprofit Public Benefit Corporation Law for public and charitable purposes

B The specific and primary purposes of this Corporation shall be

- 1 To secure for benevolent, educational, and charitable purposes justice and protection of the human rights of the poor and other persons who are in need of effective representation and advice, and to promote measures for their protection
- 2 To advance the knowledge of and appreciation for the law among the general public
- 3 To advance the knowledge of issues of concern to the general community and to instill an awareness among them of their human and civil rights under the law

III.

This Corporation is organized and operated exclusively for educational and charitable purposes within the meaning of Section 501(c)(3) of the Internal Revenue Code of 1986, as amended (the "Code"), or the corresponding provision of any future United States internal revenue law

IV.

Notwithstanding any other provision of these articles, this Corporation shall not, except to an insubstantial degree, engage in any activities or exercise any powers that are not in furtherance of the purposes of this Corporation, and the Corporation shall not carry on any other activities not permitted to be carried on (a) by a corporation exempt from federal income tax under Section 501(c)(3) of the Code or the corresponding provision of any future United States internal revenue law or (b) by a corporation, contributions to which are deductible under Sections 170(c)(2), 2055(a) or 2522(a) of the Code or the corresponding provision of any future United States internal revenue law

V.

No substantial part of the activities of this Corporation shall consist of lobbying or propaganda, or otherwise attempting to influence legislation, except as provided in Section 501(h) of the Code or the corresponding provision of any future United States internal revenue law and the Corporation shall not participate or intervene in any political campaign (including the publishing or distribution of statements) on behalf of or in opposition to any candidate for public office, except as provided in section 501(h) of the Code or the corresponding provision of any future United States internal revenue law

VI.

The property of this Corporation is irrevocably dedicated to educational and charitable purposes and no part of the net income or assets of this Corporation shall ever inure to the benefit of any director, officer or member thereof or to the benefit of any private person. Upon the dissolution or winding up of the Corporation, its assets remaining after payment, or provision for payment, of all debts and liabilities of this Corporation, shall be distributed to an organization (or organizations) which is organized and operated exclusively for charitable or educational purposes and which has established its tax-exempt status under Section 501(c)(3) of the Code or the corresponding provision of any future United States internal revenue law

VII.

This Corporation elects to be governed by all of the provisions of the Nonprofit Corporation Law of 1980 not otherwise applicable under Part 5 of the California Corporations Code

FOUR: The foregoing amendment and restatement of the Articles of Incorporation has been duly approved by the Board of Directors of this Corporation


FIVE: The Corporation has no members

The undersigned, Norman Blears and Toni Wise, the President and Secretary, respectively, declare under penalty of perjury under the laws of the State of California that the matters set out in the foregoing Certificate are true of their own knowledge

Executed at San Jose, California on July 25, 2000



Norman Blears, President



Toni Wise, Secretary

