

Form **990****Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

OMB No. 1545-0047

2001

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

A For the 2001 calendar year, or tax year period beginning

and ending

B Check if applicable

- ☐ Address change
☐ Name change
☐ Initial return
☐ Final return
☐ Amended return
☐ Application pending

Please use IRS label or print or type. See Specific Instructions.

C Name of organization**ANESTHESIA PATIENT SAFETY FOUNDATION**

Number and street (or P.O. box if mail is not delivered to street address)

520 NORTH NORTHWEST HIGHWAY

City or town, state or country, and ZIP + 4

PARK RIDGE, IL 60068-2573**D** Employer identification number**51-0287258****E** Telephone number**847-825-5586****F** Accounting method☐ Cash☒ Accrual

Other (specify) ▶

• Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ)

H and I are not applicable to section 527 organizations

H(a) Is this a group return for affiliates? ☐ Yes ☒ No**H(b)** If "Yes," enter number of affiliates ▶ **N/A****H(c)** Are all affiliates included? **N/A** ☐ Yes ☐ No (If "No," attach a list.)**H(d)** Is this a separate return filed by an organization covered by a group ruling? ☐ Yes ☒ No**I** Enter 4-digit GEN ▶**M** Check ☐ if the organization is not required to attach Sch. B (Form 990, 990-EZ, or 990-PF)**G** Web site ▶ **N/A****J** Organization type (check only one) ☒ 501(c) (3) (insert no.) ☐ 4947(a)(1) or ☐ 527**K** Check here ☐ if the organization's gross receipts are normally not more than \$25,000. The organization need not file a return with the IRS, but if the organization received a Form 990 Package in the mail, it should file a return without financial data. Some states require a complete return.**L** Gross receipts. Add lines 6b, 8b, 9b, and 10b to line 12 ▶ **1,221,112.****Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances**

Revenue	1	Contributions, gifts, grants, and similar amounts received					
	a	Direct public support	1a	809,671.			
	b	Indirect public support	1b				
	c	Government contributions (grants)	1c				
	d	Total (add lines 1a through 1c) (cash \$ 809,671. noncash \$)	1d	809,671.			
	2	Program service revenue including government fees and contracts (from Part VII, line 93)	2	1,136.			
	3	Membership dues and assessments	3				
	4	Interest on savings and temporary cash investments	4	32,385.			
	5	Dividends and interest from securities	5	111,429.			
	6a	Gross rents	6a				
6b	Less: rental expenses	6b					
6c	Net rental income or (loss) (subtract line 6b from line 6a)	6c					
7	Other investment income (describe ▶)	7					
Expenses	8a	Gross amount from sale of assets other than inventory	(A) Securities	240,287.	8a		
	b	Less: cost or other basis and sales expenses	221,299.	8b			
	c	Gain or (loss) (attach schedule)	18,988.	8c			
	d	Net gain or (loss) (combine line 8c, columns (A) and (B))	Stmt 1	8d	18,988.		
	9	Special events and activities (attach schedule)					
	a	Gross revenue (not including \$ of contributions reported on line 1a)	9a				
	b	Less: direct expenses other than fundraising expenses	9b				
	c	Net income or (loss) from special events (subtract line 9b from line 9a)	9c				
	10a	Gross profit or (loss) from sales of inventory (attach schedule) (subtract line 10b from line 10a)	10a				
	10b	Less: cost of goods sold	10b				
10c	Net profit or (loss) from sales of inventory (attach schedule) (subtract line 10b from line 10a)	10c					
11	Under revenue (from Part VII, line 103)	11	26,204.				
12	Total revenue (add lines 1d, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11)	12	999,813.				
Net Assets	13	Program services (from line 44, column (B))	13	659,371.			
	14	Management and general (from line 44, column (C))	14	7,327.			
	15	Fundraising (from line 44, column (D))	15				
	16	Payments to affiliates (attach schedule)	16				
	17	Total expenses (add lines 16 and 44, column (A))	17	666,698.			
	18	Excess or (deficit) for the year (subtract line 17 from line 12)	18	333,115.			
19	Net assets or fund balances at beginning of year (from line 73, column (A))	19	3,985,250.				
20	Other changes in net assets or fund balances (attach explanation)	20	<115,900.>				
21	Net assets or fund balances at end of year (combine lines 18, 19, and 20)	21	4,202,465.				

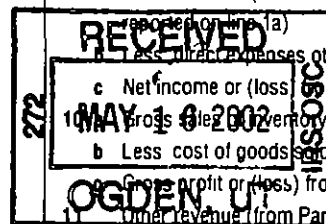
See Statement 2

123001
01-04-02

LHA For Paperwork Reduction Act Notice, see the separate instructions

Form 990 (2001) 17

SCANNED JUL 23 2002



Part II Statement of Functional Expenses

All organizations must complete column (A). Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others.

Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I	(A) Total	(B) Program services	(C) Management and general	(D) Fundraising
22 Grants and allocations (attach schedule) cash \$ 178,523. noncash \$	22 178,523.	178,523.	Statement 4	
23 Specific assistance to individuals (attach schedule)	23			
24 Benefits paid to or for members (attach schedule)	24			
25 Compensation of officers, directors, etc.	25 0.	0.	0.	0.
26 Other salaries and wages	26			
27 Pension plan contributions	27			
28 Other employee benefits	28			
29 Payroll taxes	29			
30 Professional fundraising fees	30			
31 Accounting fees	31 3,100.		3,100.	
32 Legal fees	32 155.		155.	
33 Supplies	33			
34 Telephone	34			
35 Postage and shipping	35			
36 Occupancy	36			
37 Equipment rental and maintenance	37			
38 Printing and publications	38 123,287.	123,287.		
39 Travel	39 53,749.	53,749.		
40 Conferences, conventions, and meetings	40			
41 Interest	41			
42 Depreciation, depletion, etc. (attach schedule)	42			
43 Other expenses not covered above (itemize)				
a	43a			
b	43b			
c	43c			
d	43d			
e See Statement 3	43e 307,884.	303,812.	4,072.	
44 Total functional expenses (add lines 22 through 43) Organizations completing columns (B)-(D), carry these totals to lines 13-15	44 666,698.	659,371.	7,327.	0.

Joint Costs Check ☐ if you are following SOP 98-2

Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services?

Yes ☐ No ☒

If "Yes," enter (i) the aggregate amount of these joint costs \$ N/A, (ii) the amount allocated to Program services \$ N/A,

(iii) the amount allocated to Management and general \$ N/A, and (iv) the amount allocated to Fundraising \$ N/A

Part III Statement of Program Service Accomplishments

What is the organization's primary exempt purpose? ▶

ANESTHESIA PATIENT SAFETY EDUCATION

All organizations must describe their exempt purpose achievements in a clear and concise manner. State the number of clients served, publications issued, etc. Discuss achievements that are not measurable. (Section 501(c)(3) and (4) organizations and 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants and allocations to others.)

Program Service Expenses
(Required for 501(c)(3) and (4) orgs. and 4947(a)(1) trusts, but optional for others.)

a SEE STATEMENT 5				
	(Grants and allocations \$ 178,523.)			659,371.
b				
	(Grants and allocations \$)			
c				
	(Grants and allocations \$)			
d				
	(Grants and allocations \$)			
e Other program services (attach schedule)	(Grants and allocations \$)			
f Total of Program Service Expenses (should equal line 44, column (B), Program services)				659,371.

Part IV Balance Sheets

Note Where required, attached schedules and amounts within the description column should be for end-of-year amounts only

		(A) Beginning of year		(B) End of year
Assets	45 Cash - non-interest-bearing		45	
	46 Savings and temporary cash investments	760,368.	46	821,469.
	47 a Accounts receivable	47a		
	b Less allowance for doubtful accounts	47b	47c	
	48 a Pledges receivable	48a		
	b Less allowance for doubtful accounts	48b	48c	
	49 Grants receivable		49	
	50 Receivables from officers, directors, trustees, and key employees		50	
	51 a Other notes and loans receivable	51a		
	b Less allowance for doubtful accounts	51b	51c	
	52 Inventories for sale or use		52	
	53 Prepaid expenses and deferred charges		53	
	54 Investments - securities Stmt 6 ☐ Cost ☒ FMV	3,229,990.	54	3,407,101.
	55 a Investments - land, buildings, and equipment basis	55a		
	b Less accumulated depreciation	55b	55c	
56 Investments - other	0.	56	0.	
57 a Land, buildings, and equipment basis	57a			
b Less accumulated depreciation	57b	57c		
58 Other assets (describe PREPAID EXPENSES)		58	255.	
59 Total assets (add lines 45 through 58) (must equal line 74)	3,990,358.	59	4,228,825.	
Liabilities	60 Accounts payable and accrued expenses	5,108.	60	2,274.
	61 Grants payable		61	24,086.
	62 Deferred revenue		62	
	63 Loans from officers, directors, trustees, and key employees		63	
	64 a Tax-exempt bond liabilities		64a	
	b Mortgages and other notes payable		64b	
	65 Other liabilities (describe)		65	
66 Total liabilities (add lines 60 through 65)	5,108.	66	26,360.	
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here ☒ and complete lines 67 through 69 and lines 73 and 74			
	67 Unrestricted	3,985,250.	67	4,202,465.
	68 Temporarily restricted		68	
	69 Permanently restricted		69	
	Organizations that do not follow SFAS 117, check here ☐ and complete lines 70 through 74			
	70 Capital stock, trust principal, or current funds		70	
	71 Paid-in or capital surplus, or land, building, and equipment fund		71	
	72 Retained earnings, endowment, accumulated income, or other funds		72	
	73 Total net assets or fund balances (add lines 67 through 69 OR lines 70 through 72, column (A) must equal line 19, column (B) must equal line 21)	3,985,250.	73	4,202,465.
74 Total liabilities and net assets / fund balances (add lines 66 and 73)	3,990,358.	74	4,228,825.	

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.

Part IV-A	Reconciliation of Revenue per Audited Financial Statements with Revenue per Return
------------------	---

a	Total revenue, gains, and other support per audited financial statements	a	846,505.
b	Amounts included on line a but not on line 12, Form 990		
(1)	Net unrealized gains on investments \$ <u><115,900.></u>		
(2)	Donated services and use of facilities \$ _____		
(3)	Recoveries of prior year grants \$ _____		
(4)	Other (specify) \$ _____		
	Add amounts on lines (1) through (4)	b	<115,900.
c	Line a minus line b	c	962,405.
d	Amounts included on line 12, Form 990 but not on line a		
(1)	Investment expenses not included on line 6b, Form 990 \$ <u>37,407.</u>		
(2)	Other (specify) <u>ROUNDING</u> \$ <u>1.</u>		
	Add amounts on lines (1) and (2)	d	37,408.
e	Total revenue per line 12, Form 990 (line c plus line d)	e	999,813.

Part IV-B	Reconciliation of Expenses per Audited Financial Statements With Expenses per Return
------------------	---

a	Total expenses and losses per audited financial statements	a	629,290.
b	Amounts included on line a but not on line 17, Form 990		
(1)	Donated services and use of facilities \$ _____		
(2)	Prior year adjustments reported on line 20, Form 990 \$ _____		
(3)	Losses reported on line 20, Form 990 \$ _____		
(4)	Other (specify) \$ _____		
c	Add amounts on lines (1) through (4) b	b	0.
c	Line a minus line b c	c	629,290.
d	Amounts included on line 17, Form 990 but not on line a		
(1)	Investment expenses not included on line 6b, Form 990 \$ 37,407.		
(2)	Other (specify)		
e	ROUNDING \$ 1.		
	Add amounts on lines (1) and (2) d	d	37,408.
e	Total expenses per line 17, Form 990 (line c plus line d) e	e	666,698.

Part V	List of Officers, Directors, Trustees, and Key Employees (List each one even if not compensated)
--------	---

[illegible]

75 Did any officer, director, trustee, or key employee receive aggregate compensation of more than \$100,000 from your organization and all related organizations, of which more than \$10,000 was provided by the related organizations? If "Yes," attach schedule ☐ Yes ☒ No

☐ Yes ☒ No

Form 990 (2001)

Part VI Other Information

		Yes	No
76	Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity		X
77	Were any changes made in the organizing or governing documents but not reported to the IRS? If "Yes," attach a conformed copy of the changes		X
78 a	Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?		X
b	If "Yes," has it filed a tax return on Form 990-T for this year?		
79	Was there a liquidation, dissolution, termination, or substantial contraction during the year? If "Yes," attach a statement		X
80 a	Is the organization related (other than by association with a statewide or nationwide organization) through common membership, governing bodies, trustees, officers, etc., to any other exempt or nonexempt organization?	X	
b	If "Yes," enter the name of the organization AMERICAN SOCIETY OF ANESTHESIOLOGISTS and check whether it is ☒ exempt OR ☐ nonexempt		
81 a	Enter direct or indirect political expenditures See line 81 instructions	81a	0.
b	Did the organization file Form 1120-POL for this year?	81b	X
82 a	Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value?	82a	X
b	If "Yes," you may indicate the value of these items here Do not include this amount as revenue in Part I or as an expense in Part II (See instructions in Part III) NON-COMPENSATED BOARD	82b	0.
83 a	Did the organization comply with the public inspection requirements for returns and exemption applications?	83a	X
b	Did the organization comply with the disclosure requirements relating to quid pro quo contributions?	83b	X
84 a	Did the organization solicit any contributions or gifts that were not tax deductible?	84a	X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	84b	
85	501(c)(4), (5), or (6) organizations a Were substantially all dues nondeductible by members?	85a	
b	Did the organization make only in-house lobbying expenditures of \$2,000 or less?	85b	
	If "Yes" was answered to either 85a or 85b, do not complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year		
c	Dues, assessments, and similar amounts from members	85c	N/A
d	Section 162(e) lobbying and political expenditures	85d	N/A
e	Aggregate nondeductible amount of section 6033(e)(1)(A) dues notices	85e	N/A
f	Taxable amount of lobbying and political expenditures (line 85d less 85e)	85f	N/A
g	Does the organization elect to pay the section 6033(e) tax on the amount in 85f?	85g	
h	If section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount in 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year?	85h	
86	501(c)(7) organizations Enter a Initiation fees and capital contributions included on line 12	86a	N/A
b	Gross receipts, included on line 12, for public use of club facilities	86b	N/A
87	501(c)(12) organizations Enter a Gross income from members or shareholders	87a	N/A
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	87b	N/A
88	At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Part IX	88	X
89 a	501(c)(3) organizations Enter Amount of tax imposed on the organization during the year under section 4911 <u>0.</u> , section 4912 <u>0.</u> , section 4955 <u>0.</u>		
b	501(c)(3) and 501(c)(4) organizations Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach a statement explaining each transaction	89b	X
c	Enter Amount of tax imposed on the organization managers or disqualified persons during the year under sections 4912, 4955, and 4958		0.
d	Enter Amount of tax on line 89c, above, reimbursed by the organization		0.
90 a	List the states with which a copy of this return is filed ILLINOIS		
b	Number of employees employed in the pay period that includes March 12, 2001	90b	0
91	The books are in care of SUSAN M. ROGOWSKI Telephone no 847-825-5586		
	Located at 520 NORTH NORTHWEST HIGHWAY, PARK RIDGE, IL ZIP + 4 60068-2573		
92	Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of Form 1041- Check here ☐ and enter the amount of tax-exempt interest received or accrued during the tax year	92	N/A

Part VII Analysis of Income-Producing Activities (See Specific Instructions on page 32.)

Note. Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(E) Related or exempt function income
	(A) Business code	(B) Amount	(C) Exclu- sion code	(D) Amount	
93 Program service revenue					
a PUBLICATIONS					1,136.
b					
c					
d					
e					
f Medicare/Medicaid payments					
g Fees and contracts from government agencies					
94 Membership dues and assessments					
95 Interest on savings and temporary cash investments			14	32,385.	
96 Dividends and interest from securities			14	111,429.	
97 Net rental income or (loss) from real estate					
a debt-financed property					
b not debt-financed property					
98 Net rental income or (loss) from personal property					
99 Other investment income					
100 Gain or (loss) from sales of assets other than inventory			18	18,988.	
101 Net income or (loss) from special events					
102 Gross profit or (loss) from sales of inventory					
103 Other revenue					
a MISCELLANEOUS					26,204.
b					
c					
d					
e					
104 Subtotal (add columns (B), (D), and (E))		0.		162,802.	27,340.
105 Total (add line 104, columns (B), (D), and (E))					190,142.

Note. Line 105 plus line 1d, Part I, should equal the amount on line 12, Part I.

Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes (See Specific Instructions on page 32.)

Line No Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes)

SEE STATEMENT 8

Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities (See Specific Instructions on page 33.)

(A) Name, address, and EIN of corporation, partnership, or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End-of-year assets
N/A	%			
	%			
	%			
	%			

Part X Information Regarding Transfers Associated with Personal Benefit Contracts (See Specific Instructions on page 33.)

- (a) Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- (b) Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

completing schedules and statements and to the best of my knowledge and belief, it is true information of which preparer has any knowledge

24/25/01 Robert K. Hoetting, President

Department of the Treasury
Internal Revenue Service

(Except Private Foundation) and Section 501(e), 501(f), 501(k),
501(n), or Section 4947(a)(1) Nonexempt Charitable Trust

Supplementary Information-(See separate instructions.)
▶ MUST be completed by the above organizations and attached to their Form 990 or 990-EZ

OMB No. 1545-0047

2001

Name of the organization

ANESTHESIA PATIENT SAFETY FOUNDATION

Employer identification number

51 0287258

Part I	Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees
--------	--

(See page 1 of the instructions List each one If there are none, enter "None")

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
NONE				
Total number of other employees paid over \$50,000	0			

Part II	Compensation of the Five Highest Paid Independent Contractors for Professional Services
----------------	--

(See page 2 of the instructions. List each one (whether individuals or firms). If there are none, enter "None".)

(a) Name and address of each independent contractor paid more than \$50,000	(b) Type of service	(c) Compensation
<u>NONE</u> -----		

Total number of others receiving over \$50,000 for professional services	0	

Part III Statements About Activities (See page 2 of the instructions.)

Yes No

- 1 During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If "Yes," enter the total expenses paid or incurred in connection with the lobbying activities **\$** _____ **\$** _____ (Must equal amounts on line 38, Part VI-A, or line 1 of Part VI-B)

1

X

Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A. Other organizations checking "Yes," must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities

- 2 During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any substantial contributors, trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary? (If the answer to any question is "Yes," attach a detailed statement explaining the transactions)

a Sale, exchange, or leasing of property?

2a

X

b Lending of money or other extension of credit?

2b

X

c Furnishing of goods, services, or facilities? **NON-COMPENSATED BOARD**

2c

X

d Payment of compensation (or payment or reimbursement of expenses if more than \$1,000)?

2d

X

e Transfer of any part of its income or assets?

2e

X

- 3 Does the organization make grants for scholarships, fellowships, student loans, etc.? (See Note below)

3

X

- 4 Do you have a section 403(b) annuity plan for your employees?

4

X

Note Attach a statement to explain how the organization determines that individuals or organizations receiving grants or loans from it in furtherance of its charitable programs "qualify" to receive payments

See Statement 9

Part IV Reason for Non-Private Foundation Status (See pages 3 through 6 of the instructions)

The organization is not a private foundation because it is (Please check only ONE applicable box.)

- 5 ☐ A church, convention of churches, or association of churches Section 170(b)(1)(A)(i)
- 6 ☐ A school Section 170(b)(1)(A)(ii) (Also complete Part V)
- 7 ☐ A hospital or a cooperative hospital service organization Section 170(b)(1)(A)(iii)
- 8 ☐ A Federal, state, or local government or governmental unit Section 170(b)(1)(A)(v)
- 9 ☐ A medical research organization operated in conjunction with a hospital Section 170(b)(1)(A)(iii) Enter the hospital's name, city, and state **▶** _____
- 10 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit Section 170(b)(1)(A)(iv) (Also complete the **Support Schedule** in Part IV-A.)
- 11a ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A.)
- 11b ☐ A community trust Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A.)
- 12 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc., functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See section 509(a)(2) (Also complete the **Support Schedule** in Part IV-A.)
- 13 ☐ An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in (1) lines 5 through 12 above, or (2) section 501(c)(4), (5), or (6), if they meet the test of section 509(a)(2) (See section 509(a)(3))

Provide the following information about the supported organizations (See page 5 of the instructions)

(a) Name(s) of supported organization(s)

(b) Line number from above

- 14 ☐ An organization organized and operated to test for public safety Section 509(a)(4) (See page 6 of the instructions.)

Part IV-A Support Schedule (Complete only if you checked a box on line 10, 11, or 12.) Use cash method of accounting
Note You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting

Calendar year (or fiscal year beginning in)	(a) 2000	(b) 1999	(c) 1998	(d) 1997	(e) Total
15 Gifts, grants, and contributions received (Do not include unusual grants. See line 28.)	670,425.	716,201.	729,550.	676,700.	2,792,876.
16 Membership fees received					
17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable, etc., purpose	1,014.	1,202.	1,669.	4,528.	8,413.
18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975	169,788.	114,737.	102,502.	85,591.	472,618.
19 Net income from unrelated business activities not included in line 18					
20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf					
21 The value of services or facilities furnished to the organization by a governmental unit without charge. Do not include the value of services or facilities generally furnished to the public without charge.					
22 Other income. Attach a schedule. Do not include gain or (loss) from sale of capital assets.	2,416.	2,911.	9,916.	4,599.	19,842.
23 Total of lines 15 through 22	843,643.	835,051.	843,637.	771,418.	3,293,749.
24 Line 23 minus line 17	842,629.	833,849.	841,968.	766,890.	3,285,336.
25 Enter 1% of line 23	8,436.	8,351.	8,436.	7,714.	
26 Organizations described on lines 10 or 11. a Enter 2% of amount in column (e), line 24					26a 65,707.
b Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 1997 through 2000 exceeded the amount shown in line 26a. Do not file this list with your return. Enter the total of all these excess amounts					26b 1,721,051.
c Total support for section 509(a)(1) test: Enter line 24, column (e)					26c 3,285,336.
d Add: Amounts from column (e) for lines 18 472,618. 19 22 19,842. 26b 1,721,051.					26d 2,213,511.
e Public support (line 26c minus line 26d total)					26e 1,071,825.
f Public support percentage (line 26e (numerator) divided by line 26c (denominator))					26f 32.6245%
27 Organizations described on line 12. a For amounts included in lines 15, 16, and 17 that were received from a "disqualified person," prepare a list for your records to show the name of, and total amounts received in each year from, each "disqualified person." Do not file this list with your return. Enter the sum of such amounts for each year: N/A					
(2000) (1999) (1998) (1997)					
b For any amount included in line 17 that was received from each person (other than "disqualified persons"), prepare a list for your records to show the name of, and amount received for each year, that was more than the larger of (1) the amount on line 25 for the year or (2) \$5,000. (Include in the list organizations described in lines 5 through 11, as well as individuals.) Do not file this list with your return. After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year: N/A					
(2000) (1999) (1998) (1997)					
c Add: Amounts from column (e) for lines 15 16 17 20 21					27c N/A
d Add: Line 27a total and line 27b total					27d N/A
e Public support (line 27c total minus line 27d total)					27e N/A
f Total support for section 509(a)(2) test: Enter amount on line 23, column (e)					27f N/A
g Public support percentage (line 27e (numerator) divided by line 27f (denominator))					27g N/A %
h Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator))					27h N/A %

28 Unusual Grants For an organization described in line 10, 11, or 12, that received any unusual grants during 1997 through 2000, prepare a list for your records to show, for each year, the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant. Do not file this list with your return. Do not include these grants in line 15

None

Part V Private School Questionnaire (See page 7 of the instructions)**N/A****(To be completed ONLY by schools that checked the box on line 6 in Part IV)**

	Yes	No
29 Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?	29	
30 Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?	30	
31 Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe, if "No," please explain (If you need more space, attach a separate statement.)	31	
32 Does the organization maintain the following		
a Records indicating the racial composition of the student body, faculty, and administrative staff?	32a	
b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?	32b	
c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?	32c	
d Copies of all material used by the organization or on its behalf to solicit contributions? If you answered "No" to any of the above, please explain (If you need more space, attach a separate statement.)	32d	
33 Does the organization discriminate by race in any way with respect to		
a Students' rights or privileges?	33a	
b Admissions policies?	33b	
c Employment of faculty or administrative staff?	33c	
d Scholarships or other financial assistance?	33d	
e Educational policies?	33e	
f Use of facilities?	33f	
g Athletic programs?	33g	
h Other extracurricular activities? If you answered "Yes" to any of the above, please explain (If you need more space, attach a separate statement.)	33h	
34 a Does the organization receive any financial aid or assistance from a governmental agency?	34a	
b Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either 34a or b, please explain using an attached statement.	34b	
35 Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev. Proc. 75-50, 1975-2 C.B. 587 covering racial nondiscrimination? If "No," attach an explanation	35	

Part VI-A Lobbying Expenditures by Electing Public Charities (See page 9 of the instructions)

N/A

(To be completed ONLY by an eligible organization that filed Form 5768)

Check ☐ a ☐ if the organization belongs to an affiliated group Check ☐ b ☐ if you checked "a" and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred)		(a) Affiliated group totals	(b) To be completed for ALL electing organizations
		N/A	
36 Total lobbying expenditures to influence public opinion (grassroots lobbying)	36		
37 Total lobbying expenditures to influence a legislative body (direct lobbying)	37		
38 Total lobbying expenditures (add lines 36 and 37)	38		
39 Other exempt purpose expenditures	39		
40 Total exempt purpose expenditures (add lines 38 and 39)	40		
41 Lobbying nontaxable amount. Enter the amount from the following table -			
If the amount on line 40 is -	The lobbying nontaxable amount is -		
Not over \$500,000	20% of the amount on line 40		
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000		
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000		
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000		
Over \$17,000,000	\$1,000,000		
42 Grassroots nontaxable amount (enter 25% of line 41)	42		
43 Subtract line 42 from line 36. Enter -0- if line 42 is more than line 36	43		
44 Subtract line 41 from line 38. Enter -0- if line 41 is more than line 38	44		

Caution If there is an amount on either line 43 or line 44, you must file Form 4720

4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 45 through 50 on page 11 of the instructions.)

Calendar year (or fiscal year beginning in) ▶	Lobbying Expenditures During 4-Year Averaging Period				N/A
	(a) 2001	(b) 2000	(c) 1999	(d) 1998	(e) Total
45 Lobbying nontaxable amount					0.
46 Lobbying ceiling amount (150% of line 45(e))					0.
47 Total lobbying expenditures					0.
48 Grassroots nontaxable amount					0.
49 Grassroots ceiling amount (150% of line 48(e))					0.
50 Grassroots lobbying expenditures					0.

Part VI-B Lobbying Activity by Nonelecting Public Charities

(For reporting only by organizations that did not complete Part VI-A) (See page 12 of the instructions.)

During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:

- a Volunteers
- b Paid staff or management (Include compensation in expenses reported on lines c through h.)
- c Media advertisements
- d Mailings to members, legislators, or the public
- e Publications, or published or broadcast statements
- f Grants to other organizations for lobbying purposes
- g Direct contact with legislators, their staffs, government officials, or a legislative body
- h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means
- i Total lobbying expenditures (Add lines c through h.)

Yes	No	Amount
	X	
	X	
	X	
	X	
	X	
	X	
	X	
		0.

If "Yes" to any of the above, also attach a statement giving a detailed description of the lobbying activities

Schedule B
(Form 990, 990-EZ, or
990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

Supplementary Information for
line 1 of Form 990, 990-EZ and 990-PF (see instructions)

OMB No 1545-0047

2001

Name of organization

ANESTHESIA PATIENT SAFETY FOUNDATION

Employer identification number

51-0287258

Organization type (check one)

Filers of

Section

Form 990 or 990-EZ

☒ 501(c)(3) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation

☐ 527 political organization

Form 990-PF

☐ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General rule** or a **Special rule** (Note Only a section 501(c)(7), (8), or (10) organization can check box(es) for both the General rule and a Special rule-see instructions)

General Rule-

☒ For organizations filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor (Complete Parts I and II)

Special Rules-

☐ For a section 501(c)(3) organization filing Form 990, or Form 990-EZ, that met the 33 1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year a contribution of the greater of \$5 000 or 2% of the amount on line 1 of these forms (Complete Parts I and II)

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, aggregate contributions or bequests of more than \$1,000 for use *exclusively* for religious, charitable, scientific literary or educational purposes, or the prevention of cruelty to children or animals (Complete Parts I, II, and III)

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, some contributions for use *exclusively* for religious charitable, etc , purposes, but these contributions did not aggregate to more than \$1 000 (If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc purpose Do not complete any of the Parts unless the General rule applies to this organization because it received nonexclusively religious, charitable, etc , contributions of \$5 000 or more during the year) ▶ \$ _____

Caution Organizations that are not covered by the General rule and/or the Special rules do not file Schedule B (Form 990, 990-EZ, or 990-PF), but they must check the box in the heading of their Form 990, Form 990-EZ or on line 1 of their Form 990-PF, to certify that they do not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Schedule B (Form 990, 990-EZ, or 990-PF) (2001)

Name of organization

Employer identification number

ANESTHESIA PATIENT SAFETY FOUNDATION**51-0287258****Part I Contributors** (See Specific Instructions)

(a) No	(b) Name, address and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
<u>1</u>		\$ <u>25,000.</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
<u>2</u>		\$ <u>15,000.</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
<u>3</u>		\$ <u>5,000.</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
<u>4</u>		\$ <u>400,000.</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
<u>5</u>		\$ <u>35,000.</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
<u>6</u>		\$ <u>110,000.</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization

Employer identification number

ANESTHESIA PATIENT SAFETY FOUNDATION**51-0287258****Part I Contributors** (See Specific Instructions)

(a) No	(b) Name, address and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
<u>7</u>		\$ <u>5,000.</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
<u>8</u>		\$ <u>15,000.</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
<u>9</u>		\$ <u>5,000.</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
<u>10</u>		\$ <u>20,000.</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
<u>11</u>		\$ <u>35,000.</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
<u>12</u>		\$ <u>5,000.</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization

Employer identification number

ANESTHESIA PATIENT SAFETY FOUNDATION

51-0287258

Part I Contributors (See Specific Instructions)

(a) No	(b) Name, address and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
13		\$ 8,500.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
14		\$ 5,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
15		\$ 5,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
16		\$ 5,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
17		\$ 5,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
18		\$ 5,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization

Employer identification number

ANESTHESIA PATIENT SAFETY FOUNDATION**51-0287258****Part I Contributors** (See Specific Instructions)

(a) No	(b) Name, address and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
19		\$ 5,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
20		\$ 15,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
21		\$ 5,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
22	CONTRIBUTIONS EACH LESS THAN \$5000	\$ 76,171.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Supplementary Statements
For Year Ended 12/31/2001

Anesthesia Patient Safety Foundation

51-0287258

STATEMENT 1

Form 990, Part I, Line 8 - Net Gain/(Loss) from Sale of Assets other than Inventory

<u>Description</u>	<u>Sales Price</u>	<u>Basis</u>	<u>Gain(Loss)</u>
Publicly Traded Securities	\$240,287	\$221,299	\$18,988

Supplementary Statements
For Year Ended 12/31/2001

Anesthesia Patient Safety Foundation

51-0287258

STATEMENT 2

Form 990, Part I, Line 20 - Other Changes in Net Assets or Fund Balances

<u>Description</u>	<u>Amount</u>
Unrealized Gain/(Loss)	<u><u>(\$115,900)</u></u>

Supplementary Statements
For Year Ended 12/31/2001

Anesthesia Patient Safety Foundation

51-0287258

STATEMENT 3

Form 990, Part II, Line 43 - Other Expenses

<u>Expense Description</u>	<u>Total Other Expenses</u>	<u>Program Services</u>	<u>Management and General</u>	<u>Fundraising</u>
Professional Fees	\$119,072	\$115,000	\$4,072	\$ -
Office Expense	89,331	89,331		
Insurance	3,450	3,450		
Patient Safety Exhibit	5,587	5,587		
Corporate Sponsor Dinner	9,618	9,618		
Educational Activities	13,602	13,602		
Miscellaneous	1,727	1,727		
Data Task Force	8,090	8,090		
Investment Expense	37,407	37,407		
ASPFNET	20,000	20,000	0	
Total	<u>\$307,884</u>	<u>\$303,812</u>	<u>\$4,072</u>	<u>\$ -</u>

Supplementary Statements
For Year Ended 12/31/2001

Anesthesia Patient Safety Foundation

51-0287258

STATEMENT 4

Form 990, Part II, Line 22 - Grants and Allocations

Donee/ Address	Relationship to Organization	Amount
Uniformed Services Univ of Health Services Department of Anesthesiology 4301 Jones Bridge Road Bethesda, MD 20814	None	\$49,400
University of Pennsylvania School of Medicine 133 S 36th Street, Mezzanine Level, MS 3246 Philadelphia, PA 19104	None	65,000
University of California, San Diego 9500 Gilman Drive La Jolla, CA 92093-0934	None	<u>64,123</u>
Total Grants		<u><u>\$178,523</u></u>

Research awards are granted to facilitate new developments in patient safety in anesthesia

Supplementary Statements
For Year Ended 12/31/2001

Anesthesia Patient Safety Foundation

51-0287258

STATEMENT 5

Form 990, Part III, a - Statement of Program Service Accomplishments

The specific purposes of the Foundation are to foster investigations that will provide a better understanding of preventable anesthetic injuries, to encourage programs that will reduce the number of anesthetic injuries, and to promote national and international communication of information and ideas about the causes and prevention of anesthetic injuries. The APSF is made up of individuals and corporations involved in all aspects of anesthesia, and is a major source of grants for research. The Foundation's newsletter is published quarterly and is distributed without charge to anesthesiologists and nurse anesthetists and to thousands of hospital administrators and government officials in the U S and Canada. The APSF has also initiated and sponsored many other activities in fulfillment of its mission, including the supporting of meetings on anesthesia safety and the facilitating of the development of simulators for training of anesthesiologists in crisis management as well as other anesthesia management problems. A significant measure of the Foundation's success is evidenced by the reduction in malpractice insurance premiums paid by anesthesiologists in several states during the past few years. These have been accompanied in some instances by a fall in award costs and a consequent reduction in risk relativity factors.

Supplementary Statements
For Year Ended 12/31/2001

Anesthesia Patient Safety Foundation

51-0287258

STATEMENT 6

Form 990, Part IV - Line 54 - Investments-Securities

	<u>End of Year</u>
Cash Equivalents	\$93,059
Marketable Equity Securities	1,529,910
Corporate Bonds	423,533
U S Government Bonds	1,261,279
Short-Term Investments	<u>99,320</u>
Total	<u><u>\$3,407,101</u></u>

Supplementary Statements
For Year Ended 12/31/2001

Anesthesia Patient Safety Foundation

51-0287258

STATEMENT 7

Form 990, Part V - List of Officers, Directors, Trustees, and Key Employees

NAME AND ADDRESS	TITLE	TIME DEVOTED	COMPEN- SATION	TO BENE PLAN	EXPENSE ACCOUNT
ROBERT K. STOELTING M.D. INDIANA UNIVERSITY, ANESTH DEPT 1120 SOUTH DRIVE INDIANAPOLIS, IN 46202-5115	PRES/ EXEC COM/ BOARD MEM	P/T	\$15,000.00	NONE	\$22,360.06
BURTON A. DOLE JR. P.O. BOX 208 PAUMA VALLEY CA 92061	V/P EXEC COM/ BOARD MEM	P/T	NONE	NONE	\$5,487.16
DAVID M. GABA M.D. STANFORD UNIVERSITY ANESTHESIA SERVICE 112A DEPT OF ANESTHESIA 3801 MIRANDA AVE PALO ALTO CA 94304	SECRTY/ EXEC COM/ BOARD MEM	P/T	NONE	NONE	\$3,590.71
CASEY D. BLITT M.D. OLD PUEBLO ANESTHESIA 2351 E CALLE SIN CONTROVERSIA TUCSON AZ 85718	TREAS EXEC COM/ BOARD MEM	P/T	NONE	NONE	\$5,021.28
ELLISON C. PIERCE M.D. 770 BOYLSTON ST #10-C BOSTON MA 02199-7709	EXEC DIR BOARD MEM	P/T	\$100,000.00	NONE	\$102,758.77
ROBERT C. BLACK ZENECA PHARMEUTICALS 1800 CONCORD PIKE WILMINGTON DE 19850-5437	EXEC COM/ BOARD MEM	P/T	NONE	NONE	NONE
JEFFREY B. COOPER PH.D. DEPT OF ANESTHESIA MASSACHUSETTS GENERAL HOSPITAL 55 FRUIT ST BOSTON MA 02114	EXEC COM/ BOARD MEM	P/T	NONE	NONE	\$3,191.41
MARY E. CANTRELL M.D. 3717 LAKEFRONT WATERFORD MI 48328	BOARD MEM	P/T	NONE	NONE	NONE

Supplementary Statements
For Year Ended 12/31/2001

Anesthesia Patient Safety Foundation

51-0287258

STATEMENT 7

Form 990, Part V - List of Officers, Directors, Trustees, and Key Employees

NAME AND ADDRESS	TITLE	TIME DEVOTED	COMPEN- SATION	TO BENE PLAN	EXPENSE ACCOUNT
PETER B CARSTENSEN PH D CENTER FOR DEVICES & RAD HLTH FDA 5600 FISHERS LANE (HFZ-200) ROCKVILLE MD 20857	BOARD MEM	P/T	NONE	NONE	NONE
FREDERICK W CHENEY M D UNIV OF WASHINGTON SCHL OF MED DEPT OF ANESTHESIOLOGY BOX 35640 SEATTLE WA 98195-6540	BOARD MEM	P/T	NONE	NONE	NONE
JAN EHRENWERTH M D YALE UNIVERSITY SCHOOL OF MEDICINE DEPT OF ANESTHESIOLOGY 333 CEDAR ST P O BOX 3333 NEW HAVEN CT 06510 JACKSON MS 39216-4505	BOARD MEM	P/T	NONE	NONE	NONE
JOY L HAWKINS M D 21 HYDE PARK CIRCLE DENVER, CO 80209	BOARD MEM	P/T	NONE	NONE	NONE
RUBEN DERDERIAN NORTH AMERICAN DRAGER 3135 QUARRY ROAD TELFORD, PA 18969	BOARD MEM	P/T	NONE	NONE	NONE
MARY SZELA ABBOTT LABORATORIES 200 ABBOTT PARK RD ABBOTT PARK IL 60064	BOARD MEM	P/T	NONE	NONE	NONE
SUSAN L POLK M D 820 SHERIDAN ROAD EVANSTON IL 60602	BOARD MEM	P/T	NONE	NONE	NONE

Supplementary Statements
For Year Ended 12/31/2001

Anesthesia Patient Safety Foundation

51-0287258

STATEMENT 7

Form 990, Part V - List of Officers, Directors, Trustees, and Key Employees

NAME AND ADDRESS	TITLE	TIME DEVOTED	COMPEN- SATION	TO BENE PLAN	EXPENSE ACCOUNT
LORI CROSS DATEX-OHMEDA P O BOX 7550 MADISON WI 53707-7550	BOARD MEM	P/T	NONE	NONE	NONE
DAVID BRENNAN ASTRAZNECA PHARMACEUTICALS 1800 CONCORD PIKE WILMINGTON, DE 19850-5436	BOARD MEM	P/T	NONE	NONE	NONE
JULIAN GOLDMAN M D 15 CROSSCREEK IRVINE CA 92604	BOARD MEM	P/T	NONE	NONE	NONE
FREDERICK ROBERTSON M D 108 W IRONWOOD LN 106N MEQUON WI 53092	BOARD MEM	P/T	NONE	NONE	NONE
NORIG ELLISON M D 332 ARDMORE AVE ARDMORE PA 19003	BOARD MEM	P/T	NONE	NONE	NONE
STEVE BEBB AGILENT TECH DEUTSCHLAND GMBH HERRENBERGER STRASSE 130 D-71034 BOEBLINGEN GERMANY	BOARD MEM	P/T	NONE	NONE	NONE
MARK A WARNER M D MAYO CLINIC DEPT OF ANESTHESIOLOGY 200 FIRST ST SW ROCHESTER MN 55905	BOARD MEM	P/T	NONE	NONE	NONE
ROBERT VIRAG MALLINCKRODT ANESTHESIOLOGY 675 MCDONNEL BLVD , P O 5840 ST LOUIS, MO 63134	BOARD MEM	P/T	NONE	NONE	NONE
DAVID WHARTON RN ROUTE 1 BOX 313 ADA OK 74820-9720	BOARD MEM	P/T	NONE	NONE	NONE

Supplementary Statements
For Year Ended 12/31/2001

Anesthesia Patient Safety Foundation

51-0287258

STATEMENT 7

Form 990, Part V - List of Officers, Directors, Trustees, and Key Employees

NAME AND ADDRESS	TITLE	TIME DEVOTED	COMPEN- SATION	TO BENE PLAN	EXPENSE ACCOUNT
GEORGE SCHAPIRO 3880 RALSTON AVENUE HILLSBOROUGH CA 94010	BOARD MEM	P/T	NONE	NONE	NONE
MATTHEW WEINGER 3068 CURIE ST SAN DIEGO CA 92122	BOARD MEM	P/T	NONE	NONE	NONE
JOAN CHRISTIE 10388 BARRY DR LARGO FL 34644	BOARD MEM	P/T	NONE	NONE	NONE
REBECCA TWERSKY M D 3 REGENT DR LAWERENCE NY 11559	BOARD MEM	P/T	NONE	NONE	NONE

Supplementary Statements
For Year Ended 12/31/2001

Anesthesia Patient Safety Foundation

51-0287258

STATEMENT 8

Form 990, Part VIII- Relationship of Activities to the Accomplishment of Exempt Purposes

<u>Line No</u>	<u>Explanation</u>
93a	Promoting activities that prevent patients from being harmed by the effects of anesthesia
103a	Miscellaneous income was derived from various tax-exempt activities All income was used to further the organization's charitable purposes

Supplementary Statements
For Year Ended 12/31/2001

Anesthesia Patient Safety Foundation

51-0287258

STATEMENT 9

Form 990, Schedule A, Part III, Lines 3 and 4b

Grants and contributions are made to various organizations in the field of medicine. All disbursements are approved by the Board of Directors.

Supplementary Statements
For Year Ended 12/31/2001

Anesthesia Patient Safety Foundation

51-0287258

STATEMENT 10

Form 990, Schedule A, Part IV-A, Line 22 - Other Income

	<u>2000</u>	<u>1999</u>	<u>1998</u>	<u>1997</u>
Miscellaneous income	\$2,416	\$2,911	\$9,916	\$4,599
Total	<u>\$2,416</u>	<u>\$2,911</u>	<u>\$9,916</u>	<u>\$4,599</u>