

Form **990**Department of the Treasury
Internal Revenue Service**Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

2001Open to Public
Inspection**A** For the 2001 calendar year, or tax year period beginning and ending**B** Check if applicable

- ☐ Address change
☐ Name change
☐ Initial return
☐ Final return
☐ Amended return
☐ Application pending

Please use IRS label or print or type See Specific Instructions

C Name of organization
INSTITUTE FOR AGRICULTURE AND TRADE POLICY

Number and street (or P O box if mail is not delivered to street address)

2105 FIRST AVENUE SOUTH

City or town, state or country, and ZIP + 4

MINNEAPOLIS, MN 55404**D** Employer identification number**36-3501938****E** Telephone number**612-870-3451****F** Accounting method: ☐ Cash ☒ Accrual
☐ Other (specify) ▶

• Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ)

H and I are not applicable to section 527 organizations

H(a) Is this a group return for affiliates? ☐ Yes ☒ No**H(b)** If "Yes," enter number of affiliates ▶**H(c)** Are all affiliates included? **N/A** ☐ Yes ☐ No
(If "No," attach a list)**H(d)** Is this a separate return filed by an organization covered by a group ruling? ☐ Yes ☒ No**I** Enter 4-digit GEN ▶**M** Check ☐ if the organization is not required to attach Sch. B (Form 990, 990-EZ, or 990-PF)**G** Web site ▶ **WWW.IATP.ORG****J** Organization type (check only one) ▶ ☒ 501(c) (3) ◀ (insert no.) ☐ 4947(a)(1) or ☐ 527**K** Check here ☐ if the organization's gross receipts are normally not more than \$25,000. The organization need not file a return with the IRS, but if the organization received a Form 990 Package in the mail, it should file a return without financial data. Some states require a complete return.**L** Gross receipts. Add lines 6b, 8b, 9b, and 10b to line 12 ▶ **3,694,305.****Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances**

Revenue	1	Contributions, gifts, grants, and similar amounts received			
	a	Direct public support	1a	2,649,840.	
	b	Indirect public support	1b	1,000.	
	c	Government contributions (grants)	1c	137,686.	
	d	Total (add lines 1a through 1c) (cash \$ 2,788,526. noncash \$)	1d	2,788,526.	
	2	Program service revenue including government fees and contracts (from Part VII, line 93)	2	832,418.	
	3	Membership dues and assessments	3		
	4	Interest on savings and temporary cash investments	4	7,964.	
	5	Dividends and interest from securities	5		
	6a	Gross rents	6a	56,435.	
	b	Less: rental expenses	6b	70,728.	
	c	Net rental income or (loss) (subtract line 6b from line 6a)	6c	-14,293.	
7	Other investment income (describe ▶)	7			
Expenses	8a	Gross amount from sale of assets other than inventory	(A) Securities	(B) Other	
	b	Less: cost or other basis and sales expenses	8a		
	c	Gain or (loss) (attach schedule)	8b		
	d	Net gain or (loss) (combine line 8c, columns (A) and (B))	8c		
	9	Special events and activities (attach schedule)			
	a	Gross revenue (not including \$ of contributions reported on line 1a)	9a		
	b	Less: direct expenses other than fundraising expenses	9b		
	c	Net income or (loss) from special events (subtract line 9b from line 9a)	9c		
	10a	Gross sales of inventory, less returns and allowances	10a		
	b	Less: cost of goods sold	10b		
Net Assets	c	Gross profit or (loss) from sales of inventory (attach schedule) (subtract line 10b from line 10a)	10c		
	11	Other revenue (from Part VII, line 103)	11	8,962.	
	12	Total revenue (add lines 1d, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11)	12	3,623,577.	
	13	Program services (from line 44, column (B))	13	2,632,228.	
	14	Management and general (from line 44, column (C))	14	254,375.	
	15	Fundraising (from line 44, column (D))	15	212,327.	
	16	Payments to affiliates (attach schedule)	16		
	17	Total expenses (add lines 16 and 44, column (A))	17	3,098,930.	
	18	Excess or (deficit) for the year (subtract line 17 from line 12)	18	524,647.	
	19	Net assets or fund balances at beginning of year (from line 73, column (A))	19	916,413.	
20	Other changes in net assets or fund balances (attach explanation)	20	23,827.		
21	Net assets or fund balances at end of year (combine lines 18, 19, and 20)	21	1,464,887.		

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OCT 27 2002

OGDEN, UT

SEE STATEMENT 1

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**INSTITUTE FOR AGRICULTURE AND
TRADE POLICY**

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**Part II Statement of
Functional Expenses**

All organizations must complete column (A) Columns (B) (C) and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others

Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I	(A) Total	(B) Program services	(C) Management and general	(D) Fundraising
22 Grants and allocations (attach schedule) cash \$ 57,042 - noncash \$	22 57,042.	57,042.	STATEMENT 4	
23 Specific assistance to individuals (attach schedule)	23			
24 Benefits paid to or for members (attach schedule)	24			
25 Compensation of officers, directors, etc	25 135,000.	59,500.		30,250.
26 Other salaries and wages	26 1,323,909.	1,072,606.	152,483.	98,820.
27 Pension plan contributions	27 31,200.	24,204.	4,233.	2,763.
28 Other employee benefits	28 78,694.	61,066.	10,666.	6,962.
29 Payroll taxes	29 111,283.	86,355.	15,083.	9,845.
30 Professional fundraising fees	30 9,900.			9,900.
31 Accounting fees	31 12,553.	9,738.	1,703.	1,112.
32 Legal fees	32 6,642.	845.	5,797.	
33 Supplies	33 17,282.	13,406.	2,345.	1,531.
34 Telephone	34 44,595.	38,488.	3,608.	2,499.
35 Postage and shipping	35 17,919.	6,555.	6,816.	4,548.
36 Occupancy	36 60,009.	50,130.	4,880.	4,999.
37 Equipment rental and maintenance	37 47,454.	37,540.	4,904.	5,010.
38 Printing and publications	38 68,018.	58,702.	2,870.	6,446.
39 Travel	39 258,096.	245,019.	4,110.	8,967.
40 Conferences, conventions, and meetings	40 165,330.	161,428.	2,002.	1,900.
41 Interest	41 60,259.	30,577.	26,191.	3,491.
42 Depreciation, depletion, etc (attach schedule) STAT 18	42 49,819.	33,897.	12,051.	3,871.
43 Other expenses not covered above (itemize)				
a	43a			
b	43b			
c	43c			
d	43d			
e SEE STATEMENT 2	43e 543,926.	585,130.	-50,617.	9,413.
44 Total functional expenses (add lines 22 through 43) Organizations completing columns (B)-(D) carry these totals to lines 13-15	44 3,098,930.	2,632,228.	254,375.	212,327.

 Joint Costs Check ☐ if you are following SOP 98-2

Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services?

☐ Yes ☒ No

If "Yes" enter (i) the aggregate amount of these joint costs \$ _____, (ii) the amount allocated to Program services \$ _____.

(iii) the amount allocated to Management and general \$ _____, and (iv) the amount allocated to Fundraising \$ _____.

Part III Statement of Program Service Accomplishments

 What is the organization's primary exempt purpose? **SEE STATEMENT 3**

All organizations must describe their exempt purpose achievements in a clear and concise manner. State the number of clients served, publications issued, etc. Discuss achievements that are not measurable. (Section 501(c)(3) and (4) organizations and 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants and allocations to others.)

**Program Service
Expenses**
(Required for 501(c)(3) and (4) orgs. and 4947(a)(1) trusts but optional for others)

a ENVIRONMENT, AGRICULTURE, AND FORESTRY - SEE STATEMENT 19				
	(Grants and allocations \$			1,107,128.
b FOOD AND AGRICULTURE - SEE STATEMENT 19				
	(Grants and allocations \$	57,043.)		757,817.
c GLOBALISM AND GLOBAL GOVERNANCE - SEE STATEMENT 19				
	(Grants and allocations \$			340,918.
d TRADE AND AGRICULTURE - SEE STATEMENT 19				
	(Grants and allocations \$			332,740.
e Other program services (attach schedule) STATEMENT 5		(Grants and allocations \$		93,625.
f Total of Program Service Expenses (should equal line 44 column (B), Program services)				2,632,228.

Part IV Balance Sheets

Note Where required, attached schedules and amounts within the description column should be for end-of-year amounts only

		(A) Beginning of year		(B) End of year
Assets	45 Cash - non-interest-bearing	167,397.	45	270,588.
	46 Savings and temporary cash investments	149,754.	46	315,176.
	47 a Accounts receivable	47a 166,852.		
	b Less allowance for doubtful accounts	47b	47c	166,852.
	48 a Pledges receivable	48a		
	b Less allowance for doubtful accounts	48b	48c	
	49 Grants receivable	506,052.	49	634,655.
	50 Receivables from officers, directors, trustees, and key employees		50	
	51 a Other notes and loans receivable	51a		
	b Less allowance for doubtful accounts	51b	51c	
	52 Inventories for sale or use		52	39,501.
	53 Prepaid expenses and deferred charges	33,655.	53	23,825.
	54 Investments - securities STMT 6 <input type="checkbox"/> Cost <input checked="" type="checkbox"/> FMV	141,067.	54	172,568.
	55 a Investments - land, buildings, and equipment basis	55a		
	b Less accumulated depreciation	55b	55c	
56 Investments - other		56		
57 a Land, buildings, and equipment basis STAT 18	57a 970,360.			
b Less accumulated depreciation STAT 18	57b 257,063.	57c	713,297.	
58 Other assets (describe ▶ SEE STATEMENT 7)	5,535.	58	4,349.	
59 Total assets (add lines 45 through 58) (must equal line 74)	1,759,732.	59	2,340,811.	
Liabilities	60 Accounts payable and accrued expenses	147,352.	60	184,096.
	61 Grants payable		61	
	62 Deferred revenue	4,425.	62	43,277.
	63 Loans from officers, directors, trustees, and key employees		63	
	64 a Tax-exempt bond liabilities		64a	
	b Mortgages and other notes payable STMT 8 STMT 9	690,083.	64b	648,551.
	65 Other liabilities (describe ▶)	1,459.	65	0.
66 Total liabilities (add lines 60 through 65)	843,319.	66	875,924.	
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 67 through 69 and lines 73 and 74			
	67 Unrestricted	-44,454.	67	485,984.
	68 Temporarily restricted	960,867.	68	978,903.
	69 Permanently restricted		69	
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 70 through 74			
	70 Capital stock, trust principal, or current funds		70	
	71 Paid-in or capital surplus, or land, building and equipment fund		71	
	72 Retained earnings, endowment, accumulated income, or other funds		72	
	73 Total net assets or fund balances (add lines 67 through 69 OR lines 70 through 72, column (A) must equal line 19, column (B) must equal line 21)	916,413.	73	1,464,887.
74 Total liabilities and net assets / fund balances (add lines 66 and 73)	1,759,732.	74	2,340,811.	

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.

Part IV-B	Reconciliation of Expenses per Audited Financial Statements With Expenses per Return
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a	Total expenses and losses per audited financial statements	▶	a	3,278,069.
b	Amounts included on line a but not on line 17, Form 990			
(1)	Donated services and use of facilities	\$ _____		
(2)	Prior year adjustments reported on line 20, Form 990	\$ _____		
(3)	Losses reported on line 20, Form 990	\$ _____		
(4)	Other (specify)			
	STMT 11	\$ 179,139.		
	Add amounts on lines (1) through (4)	▶	b	179,139.
c	Line a minus line b	▶	c	3,098,930.
d	Amounts included on line 17, Form 990 but not on line a			
(1)	Investment expenses not included on line 6b, Form 990	\$ _____		
(2)	Other (specify)	\$ _____		
	Add amounts on lines (1) and (2)	▶	d	0.
e	Total expenses per line 17 Form 990 (line c plus line d)	▶	e	3,098,930.

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**INSTITUTE FOR AGRICULTURE AND
TRADE POLICY**

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Part VI Other Information

	Yes	No
76 Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity	76	X
77 Were any changes made in the organizing or governing documents but not reported to the IRS? If "Yes," attach a conformed copy of the changes	77	X
78 a Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?	78a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	78b	X
79 Was there a liquidation, dissolution, termination, or substantial contraction during the year? If "Yes," attach a statement	79	X
80 a Is the organization related (other than by association with a statewide or nationwide organization) through common membership, governing bodies, trustees, officers, etc., to any other exempt or nonexempt organization?	80a	X
b If "Yes," enter the name of the organization HEADWATERS INTERNATIONAL, INC. and check whether it is <input type="checkbox"/> exempt OR <input checked="" type="checkbox"/> nonexempt		
81 a Enter direct or indirect political expenditures See line 81 instructions	81a	0.
b Did the organization file Form 1120-POL for this year?	81b	X
82 a Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value?	82a	X
b If "Yes," you may indicate the value of these items here Do not include this amount as revenue in Part I or as an expense in Part II (See instructions in Part III)	82b	
83 a Did the organization comply with the public inspection requirements for returns and exemption applications?	83a	X
b Did the organization comply with the disclosure requirements relating to quid pro quo contributions?	83b	X
84 a Did the organization solicit any contributions or gifts that were not tax deductible?	84a	X
b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	84b	N/A
85 501(c)(4), (5), or (6) organizations a Were substantially all dues nondeductible by members?	85a	N/A
b Did the organization make only in-house lobbying expenditures of \$2,000 or less?	85b	N/A
If "Yes" was answered to either 85a or 85b, do not complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year		
c Dues, assessments, and similar amounts from members	85c	N/A
d Section 162(e) lobbying and political expenditures	85d	N/A
e Aggregate nondeductible amount of section 6033(e)(1)(A) dues notices	85e	N/A
f Taxable amount of lobbying and political expenditures (line 85d less 85e)	85f	N/A
g Does the organization elect to pay the section 6033(e) tax on the amount in 85f?	85g	N/A
h If section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount in 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year?	85h	N/A
86 501(c)(7) organizations Enter a Initiation fees and capital contributions included on line 12	86a	N/A
b Gross receipts, included on line 12, for public use of club facilities	86b	N/A
87 501(c)(12) organizations Enter a Gross income from members or shareholders	87a	N/A
b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	87b	N/A
88 At any time during the year did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Part IX	88	X
89 a 501(c)(3) organizations Enter Amount of tax imposed on the organization during the year under section 4911 <u>0.</u> , section 4912 <u>0.</u> , section 4955 <u>0.</u>		
b 501(c)(3) and 501(c)(4) organizations Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach a statement explaining each transaction	89b	X
c Enter Amount of tax imposed on the organization managers or disqualified persons during the year under sections 4912, 4955, and 4958		0.
d Enter Amount of tax on line 89c above, reimbursed by the organization		0.
90 a List the states with which a copy of this return is filed MINNESOTA		
b Number of employees employed in the pay period that includes March 12, 2001	90b	36

91 The books are in care of **RICKI MCMILLAN** Telephone no **612-870-3451**

Located at **2105 FIRST AVENUE SOUTH, MINNEAPOLIS, MN** ZIP + 4 **55404**

92 Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of Form 1041- Check here ☐
and enter the amount of tax-exempt interest received or accrued during the tax year **92** **N/A**

Part VII Analysis of Income-Producing Activities (See Specific Instructions on page 32.)

Note Enter gross amounts unless otherwise indicated

	Unrelated business income		Excluded by section 512, 513 or 514		(E) Related or exempt function income
	(A) Business code	(B) Amount	(C) Exclu- sion code	(D) Amount	
93 Program service revenue					
a PUBLICATION SALES					8,467.
b CONTRACT SERVICE FEES					799,397.
c CONFERENCE INCOME					14,429.
d HONORARIUM FEES					2,925.
e FEES FROM SUBSIDIARY					7,200.
f Medicare/Medicaid payments					
g Fees and contracts from government agencies					
94 Membership dues and assessments					
95 Interest on savings and temporary cash investments			14	7,964.	
96 Dividends and interest from securities					
97 Net rental income or (loss) from real estate					
a debt-financed property	531120	-21,815.	30	7,522.	
b not debt-financed property					
98 Net rental income or (loss) from personal property					
99 Other investment income					
100 Gain or (loss) from sales of assets other than inventory					
101 Net income or (loss) from special events					
102 Gross profit or (loss) from sales of inventory					
103 Other revenue					
a MISCELLANEOUS			01	5,932.	
b ADMINISTRATIVE FEES			01	3,030.	
c					
d					
e					
104 Subtotal (add columns (B), (D) and (E))		-21,815.		24,448.	832,418.
105 Total (add line 104, columns (B), (D), and (E))					835,051.

Note Line 105 plus line 1d, Part I, should equal the amount on line 12, Part I.

Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes (See Specific Instructions on page 32.)

Line No ▼	Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes)
	SEE STATEMENT 15

Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities (See Specific Instructions on page 33.)

(A) Name, address, and EIN of corporation, partnership, or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End-of-year assets
SEE STATEMENT 14	%			
	%			
	%			
	%			

Part X Information Regarding Transfers Associated with Personal Benefit Contracts (See Specific Instructions on page 33.)

(a) Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes☒ No

(b) Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

☐ Yes☒ No

I am preparing this return on the basis of the accompanying schedules and statements, and to the best of my knowledge and belief, it is true and correct. Information of which preparer has any knowledge.

1/23/02 Thomas J. Vellenga

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Organization Exempt Under Section 501(c)(3)

(Except Private Foundation) and Section 501(e), 501(f), 501(k),
501(n), or Section 4947(a)(1) Nonexempt Charitable Trust

Supplementary Information-(See separate instructions.)

► **MUST be completed by the above organizations and attached to their Form 990 or 990-EZ**

OMB No 1545-0047

2001

Name of the organization **INSTITUTE FOR AGRICULTURE AND
TRADE POLICY**

Employer identification number
36 3501938

Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees

(See page 1 of the instructions. List each one. If there are none, enter "None.")

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
DALE WIEHOFF 2105 1ST AVE S, MINNEAPOLIS, MN 55404	VP COMMUNICATIONS 40 HOURS	54,904.	4,842.	0.
KRISTIN DAWKINS 2105 1ST AVE S, MINNEAPOLIS, MN 55404	VP-INT'L PROGRAMS 40 HOURS	54,904.	4,198.	0.
DAVID WALLINGA 2105 1ST AVE S, MINNEAPOLIS, MN 55404	PROGRAM DIRECTOR 40 HOURS	51,729.	3,515.	0.
STEVE LIGHT 2105 1ST AVE S, MINNEAPOLIS, MN 55404	ENVIR/AG DIRECTOR 40 HOURS	51,716.	5,132.	0.
RICKI MCMILLAN 2105 1ST AVE S, MINNEAPOLIS, MN 55404	FINANCE DIRECTOR 40 HOURS	49,904.	4,181.	0.
Total number of other employees paid over \$50,000	5			

Part II Compensation of the Five Highest Paid Independent Contractors for Professional Services

(See page 2 of the instructions. List each one (whether individuals or firms). If there are none, enter "None.")

(a) Name and address of each independent contractor paid more than \$50,000	(b) Type of service	(c) Compensation
LISA DANIELS 2104 IRVING AVE. S., MINNEAPOLIS, MN 55405	PROGRAM WORK	112,281.
THE GREEN TEAM, INC. 1504 SOUTH NORFOLK AVE., TULSA, OK 74120	PROGRAM WORK	80,423.
Total number of others receiving over \$50,000 for professional services	0	

Part III Statements About Activities (See page 2 of the instructions)

	Yes	No
1 During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If "Yes," enter the total expenses paid or incurred in connection with the lobbying activities: \$ <u>41,983</u> . (Must equal amounts on line 38, Part VI-A, or line 1 of Part VI-B.) Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A. Other organizations checking "Yes" must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities.	X	
2 During the year, has the organization either directly or indirectly, engaged in any of the following acts with any substantial contributors, trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary? (If the answer to any question is "Yes," attach a detailed statement explaining the transactions.) SEE STATEMENT 16		
a Sale, exchange, or leasing of property?		X
b Lending of money or other extension of credit?		X
c Furnishing of goods, services, or facilities?		X
d Payment of compensation (or payment or reimbursement of expenses if more than \$1,000)? SEE STMT 13	X	
e Transfer of any part of its income or assets?		X
3 Does the organization make grants for scholarships, fellowships, student loans, etc.? (See Note below.)		X
4 Do you have a section 403(b) annuity plan for your employees?		X
Note: Attach a statement to explain how the organization determines that individuals or organizations receiving grants or loans from it in furtherance of its charitable programs "qualify" to receive payments.		

Part IV Reason for Non-Private Foundation Status (See pages 3 through 6 of the instructions)

The organization is not a private foundation because it is: (Please check only ONE applicable box.)

- 5 ☐ A church, convention of churches, or association of churches. Section 170(b)(1)(A)(i).
- 6 ☐ A school. Section 170(b)(1)(A)(ii). (Also complete Part V.)
- 7 ☐ A hospital or a cooperative hospital service organization. Section 170(b)(1)(A)(iii).
- 8 ☐ A Federal, state, or local government or governmental unit. Section 170(b)(1)(A)(v).
- 9 ☐ A medical research organization operated in conjunction with a hospital. Section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: _____
- 10 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit. Section 170(b)(1)(A)(iv). (Also complete the Support Schedule in Part IV-A.)
- 11a ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public. Section 170(b)(1)(A)(vi). (Also complete the Support Schedule in Part IV-A.)
- 11b ☐ A community trust. Section 170(b)(1)(A)(vi). (Also complete the Support Schedule in Part IV-A.)
- 12 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc., functions - subject to certain exceptions - and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Also complete the Support Schedule in Part IV-A.)
- 13 ☐ An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in (1) lines 5 through 12 above, or (2) section 501(c)(4), (5), or (6), if they meet the test of section 509(a)(2). (See section 509(a)(3).)

Provide the following information about the supported organizations. (See page 5 of the instructions.)

(a) Name(s) of supported organization(s)	(b) Line number from above

- 14 ☐ An organization organized and operated to test for public safety. Section 509(a)(4). (See page 6 of the instructions.)

INSTITUTE FOR AGRICULTURE AND

Schedule A (Form 990 or 990-EZ) 2001 **TRADE POLICY**

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Part IV-A Support Schedule (Complete only if you checked a box on line 10, 11, or 12) Use cash method of accounting

Note You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting

Calendar year (or fiscal year beginning in)	(a) 2000	(b) 1999	(c) 1998	(d) 1997	(e) Total
15 Gifts, grants, and contributions received (Do not include unusual grants. See line 28.)	2,328,061.	2,347,998.	1,619,178.	1,245,278.	7,540,515.
16 Membership fees received					
17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable, etc. purpose	395,691.	319,366.	348,635.	145,405.	1,209,097.
18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975	-2,143.	6,630.	9,208.	4,194.	17,889.
19 Net income from unrelated business activities not included in line 18		9,250.	8,231.		17,481.
20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf					
21 The value of services or facilities furnished to the organization by a governmental unit without charge. Do not include the value of services or facilities generally furnished to the public without charge.					
22 Other income. Attach a schedule. Do not include gain or (loss) from sale of capital assets	11,072.	15,143.	SEE STATEMENT 17	4,693.	42,379.
23 Total of lines 15 through 22	2,732,681.	2,698,387.	1,996,723.	1,399,570.	8,827,361.
24 Line 23 minus line 17	2,336,990.	2,379,021.	1,648,088.	1,254,165.	7,618,264.
25 Enter 1% of line 23	27,327.	26,984.	19,967.	13,996.	
26 Organizations described on lines 10 or 11: a Enter 2% of amount in column (e), line 24					26a 152,365.
b Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 1997 through 2000 exceeded the amount shown in line 26a. Do not file this list with your return. Enter the total of all these excess amounts					26b 2,079,703.
c Total support for section 509(a)(1) test. Enter line 24, column (e)					26c 7,618,264.
d Add: Amounts from column (e) for lines 18 17,889. 19 17,481. 22 42,379. 26b 2,079,703.					26d 2,157,452.
e Public support (line 26c minus line 26d total)					26e 5,460,812.
f Public support percentage (line 26e (numerator) divided by line 26c (denominator))					26f 71.6805%
27 Organizations described on line 12: a For amounts included in lines 15, 16, and 17 that were received from a "disqualified person," prepare a list for your records to show the name of, and total amounts received in each year from, each "disqualified person." Do not file this list with your return. Enter the sum of such amounts for each year: N/A	(2000)	(1999)	(1998)	(1997)	
b For any amount included in line 17 that was received from each person (other than "disqualified persons"), prepare a list for your records to show the name of, and amount received for each year, that was more than the larger of (1) the amount on line 25 for the year or (2) \$5,000. (Include in the list organizations described in lines 5 through 11, as well as individuals.) Do not file this list with your return. After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year: N/A	(2000)	(1999)	(1998)	(1997)	
c Add: Amounts from column (e) for lines 15 17 17 and line 27b total					27c N/A
d Add: Line 27a total and line 27b total					27d N/A
e Public support (line 27c total minus line 27d total)					27e N/A
f Total support for section 509(a)(2) test. Enter amount on line 23, column (e)					27f N/A
g Public support percentage (line 27e (numerator) divided by line 27f (denominator))					27g N/A %
h Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator))					27h N/A %

28 Unusual Grants For an organization described in line 10, 11, or 12, that received any unusual grants during 1997 through 2000, prepare a list for your records to show, for each year, the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant. Do not file this list with your return. Do not include these grants in line 15

NONE

INSTITUTE FOR AGRICULTURE AND

Schedule A (Form 990 or 990-EZ) 2001 **TRADE POLICY**

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Part V Private School Questionnaire (See page 7 of the instructions)

N/A

(To be completed ONLY by schools that checked the box on line 6 in Part IV)

	Yes	No
29 Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?		
30 Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs and scholarships?		
31 Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe, if "No," please explain (If you need more space, attach a separate statement)		
32 Does the organization maintain the following		
a Records indicating the racial composition of the student body, faculty and administrative staff?		
b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?		
c Copies of all catalogues brochures announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?		
d Copies of all material used by the organization or on its behalf to solicit contributions? If you answered "No" to any of the above, please explain (If you need more space, attach a separate statement)		
33 Does the organization discriminate by race in any way with respect to		
a Students' rights or privileges?		
b Admissions policies?		
c Employment of faculty or administrative staff?		
d Scholarships or other financial assistance?		
e Educational policies?		
f Use of facilities?		
g Athletic programs?		
h Other extracurricular activities? If you answered "Yes" to any of the above, please explain (If you need more space, attach a separate statement)		
34 a Does the organization receive any financial aid or assistance from a governmental agency?		
b Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either 34a or b please explain using an attached statement		
35 Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50 1975-2 C B 587, covering racial nondiscrimination? If "No," attach an explanation		

Schedule A (Form 990 or 990-EZ) 2001

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(To be completed **ONLY** by an eligible organization that filed Form 5768)

(The term "expenditures" means amounts paid or incurred)

(b)
To be completed for ALL
electing organizations

23,611.

18,372.

41,983.

3,056,947.

3,098,930.

304,947.

76,237.

0.

0.

(e)
Total

304,947.

457,421.

41,983.

76.237.

114,356.

23,611.

N/A

Amount

Q.

Part VII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations (See page 12 of the instructions)

51 Did the reporting organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting organization to a noncharitable exempt organization of

(i) Cash

(ii) Other assets

b Other transactions

(l) Sales or exchanges of assets with a noncharitable exempt organization

(ii) **Purchases of assets from a noncharitable exempt organization**

(iii) Rental of facilities, equipment, or other assets

(iv) Reimbursement arrangements

(v) Loans or loan guarantees

(vi) Performance of services or membership or fundraising solicitations

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting organization. If the organization received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

	Yes	No
51a(i)		X
a(ii)		X
b(i)		X
b(ii)		X
b(iii)		X
b(iv)		X
b(v)		X
b(vi)	X	
c		X

[illegible]

52 a Is the organization directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

► ☐ Yes ☒ No

b If "Yes" complete the following schedule

N/A

[illegible]

Schedule B
(Form 990, 990-EZ, or
990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

Supplementary Information for
line 1 of Form 990, 990-EZ and 990-PF (see instructions)

OMB No 1545-0047

2001

Name of organization

INSTITUTE FOR AGRICULTURE AND
TRADE POLICY

Employer identification number

36-3501938

Organization type (check one)

Filers of

Section

Form 990 or 990-EZ

☒ 501(c)(3) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation

☐ 527 political organization

Form 990-PF

☐ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General rule** or a **Special rule** (Note. Only a section 501(c)(7), (8), or (10) organization can check box(es) for both the General rule and a Special rule-see instructions)

General Rule-

☐ For organizations filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor (Complete Parts I and II)

Special Rules-

☒ For a section 501(c)(3) organization filing Form 990, or Form 990-EZ, that met the 33 1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of \$5,000 or 2% of the amount on line 1 of these forms (Complete Parts I and II)

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, aggregate contributions or bequests of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals (Complete Parts I, II, and III)

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, some contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000 (If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the Parts unless the General rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year) ▶ \$ _____

Caution: Organizations that are not covered by the General rule and/or the Special rules do not file Schedule B (Form 990, 990-EZ, or 990-PF), but they must check the box in the heading of their Form 990, Form 990-EZ, or on line 1 of their Form 990-PF, to certify that they do not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Schedule B (Form 990, 990-EZ, or 990-PF) (2001)

Name of organization

INSTITUTE FOR AGRICULTURE AND
TRADE POLICY

Employer identification number

36-3501938

Part I Contributors (See Specific Instructions)

(a) No	(b) Name, address and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1		\$ 75,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
2		\$ 200,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
3		\$ 355,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
4		\$ 100,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
5		\$ 131,600.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
6		\$ 100,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Name of organization
**INSTITUTE FOR AGRICULTURE AND
 TRADE POLICY**

Employer identification number

36-3501938

Part I Contributors (See Specific Instructions)

(a) No	(b) Name, address and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
7		\$ 98,386.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
8		\$ 60,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
9		\$ 200,000. K,	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
10		\$ 154,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
11		\$ 185,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
12		\$ 57,400.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Name of organization
**INSTITUTE FOR AGRICULTURE AND
 TRADE POLICY**

Employer identification number

36-3501938

Part I Contributors (See Specific Instructions)

(a) No.	(b) Name, address and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
13		\$ 74,412.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
14		\$ 70,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
15		\$ 60,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
16		\$ 121,259.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
17		\$ 100,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
18		\$ 60,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

FORM 990	OTHER CHANGES IN NET ASSETS OR FUND BALANCES	STATEMENT	1
DESCRIPTION		AMOUNT	
UNREALIZED GAIN ON MARKETABLE SECURITIES		11,240.	
PRIOR YEARS' NET LOSSES FROM SUBSIDIARY		-49,604.	
CURRENT YEAR NET INCOME FROM SUBSIDIARY		62,191.	
TOTAL TO FORM 990, PART I, LINE 20		23,827.	

FORM 990	OTHER EXPENSES			STATEMENT	2
DESCRIPTION	(A) TOTAL	(B) PROGRAM SERVICES	(C) MANAGEMENT AND GENERAL	(D) FUNDRAISING	
CONTRACTED SERVICES	262,982.	259,777.	146.	3,059.	
COMPUTER SERVICE	23,142.	17,952.	3,140.	2,050.	
MEMBERSHIPS AND SUBSCRIPTIONS	17,706.	14,893.	1,377.	1,436.	
MISCELLANEOUS	6,306.	6,227.	79.		
OTHER PROFESSIONAL FEES	16,389.	3,285.	12,729.	375.	
ADVERTISING AND PROMOTIONS	14,420.	10,473.	1,454.	2,493.	
LEGISLATIVE COMMISSION ON MINNESOTA RESOURCES	46,500.	46,500.			
MONITORING INTERNATIONAL STANDARDS	100,423.	100,423.			
AFFORDABLE HOUSING AND TRANSPORTATION	36,069.	36,069.			
WIND ENERGY PROJECT	89,531.	89,531.			
LESS: EXPENSES RELATED TO RENTAL ACTIVITY	-70,728.		-70,728.		
AMORTIZATION	1,186.		1,186.		
TOTAL TO FM 990, LN 43	543,926.	585,130.	-50,617.	9,413.	

FORM 990	STATEMENT OF ORGANIZATION'S PRIMARY EXEMPT PURPOSE	STATEMENT	3
	PART III		

EXPLANATION

THE PRIMARY PURPOSE OF THE INSTITUTE FOR AGRICULTURE AND TRADE POLICY (IATP) IS TO RESEARCH AND REPORT ON ISSUES RELATED TO TRADE AND AGRICULTURE POLICIES IN AN EFFORT TO EDUCATE AND INVOLVE CITIZENS UPON SUCH ISSUES.

FORM 990	CASH GRANTS AND ALLOCATIONS	STATEMENT	4
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CLASSIFICATION	DONEE'S NAME	DONEE'S ADDRESS	DONEE'S RELATIONSHIP	AMOUNT
FELLOWS PROGRAM	NEW MEXICO STATE UNIVERSITY	BOX 30003, DEPT. 3EA, LAS CRUCES, NM 88003	NONE	7,560.
FELLOWS PROGRAM	CLEMSON UNIVERSITY	2865 SAVANNAH HWY, CHARLESTON, SC 29414	NONE	9,183.
FELLOWS PROGRAM	UNIVERSITY OF MINNESOTA	1994 BUFORD AVE., 315 CROB, ST. PAUL, MN 55108	NONE	25,000.
FELLOWS PROGRAM	NE ORGANIC FARMING ASSOC OF NJ	60 S. MAIN ST., PENNINGTON, NJ 08434	NONE	8,633.
FELLOWS PROGRAM	SUSTAINABILITY INSTITUTE	3 LINDEN ROAD, HARTLAND, VT 05048	NONE	6,666.
TOTAL INCLUDED ON FORM 990, PART II, LINE 22				57,042.

FORM 990	OTHER PROGRAM SERVICES	STATEMENT	5
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DESCRIPTION	GRANTS AND ALLOCATIONS	EXPENSES
INFORMATION TECHNOLOGY - SEE STATEMENT 19		57,556.
SPECIAL PROJECTS		36,069.
TOTAL TO FORM 990, PART III, LINE E		93,625.

FORM 990	NON-GOVERNMENT SECURITIES	STATEMENT	6
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SECURITY DESCRIPTION	CORPORATE STOCKS	CORPORATE BONDS	OTHER PUBLICLY TRADED SECURITIES	OTHER SECURITIES	TOTAL NON-GOV'T SECURITIES
INVESTMENT IN PRESIDENTIAL LIFE CORP.	46,260.				46,260.
INVESTMENT IN SUBSIDIARY	123,775.				123,775.
OTHER SHORT-TERM INVESTMENTS			2,533.		2,533.
TO 990, LN 54 COL B	170,035.		2,533.		172,568.

FORM 990	OTHER ASSETS	STATEMENT	7
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DESCRIPTION	AMOUNT
MORTGAGE COSTS - NET OF AMORTIZATION	4,349.
TOTAL TO FORM 990, PART IV, LINE 58, COLUMN B	4,349.

FORM 990	MORTGAGES PAYABLE	STATEMENT	8
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DESCRIPTION	BALANCE DUE
WESTERN BANK	517,483.
TOTAL INCLUDED ON FORM 990, PART IV, LINE 64B, COLUMN B	517,483.

FORM 990

OTHER NOTES AND LOANS PAYABLE

STATEMENT 9

LENDER'S NAME TERMS OF REPAYMENT

HKH FOUNDATION BALLOON

DATE OF NOTE	MATURITY DATE	ORIGINAL LOAN AMOUNT	INTEREST RATE
04/15/99	11/01/02	25,000.	2.00%

SECURITY PROVIDED BY BORROWER PURPOSE OF LOAN

UNSECURED PROGRAM SUPPORT

RELATIONSHIP OF LENDER

DONOR

DESCRIPTION OF CONSIDERATION	FMV OF CONSIDERATION	BALANCE DUE
	0.	25,000.

LENDER'S NAME TERMS OF REPAYMENT

ENVIRONMENTAL SUPPORT CENTER BALLOON

DATE OF NOTE	MATURITY DATE	ORIGINAL LOAN AMOUNT	INTEREST RATE
12/15/00	11/15/02	50,000.	7.00%

SECURITY PROVIDED BY BORROWER PURPOSE OF LOAN

UNSECURED PROGRAM SUPPORT

RELATIONSHIP OF LENDER

NONE

DESCRIPTION OF CONSIDERATION	FMV OF CONSIDERATION	BALANCE DUE
	0.	29,317.

LENDER'S NAME	TERMS OF REPAYMENT
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WESTERN BANK	MONTHLY
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DATE OF NOTE	MATURITY DATE	ORIGINAL LOAN AMOUNT	INTEREST RATE
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09/06/00	09/06/05	80,000.	9.35%
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SECURITY PROVIDED BY BORROWER	PURPOSE OF LOAN
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REAL PROPERTY	PROGRAM SUPPORT
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RELATIONSHIP OF LENDER

NONE

DESCRIPTION OF CONSIDERATION	FMV OF CONSIDERATION	BALANCE DUE
	0.	76,751.

TOTAL INCLUDED ON FORM 990, PART IV, LINE 64, COLUMN B	131,068.
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FORM 990	OTHER REVENUE NOT INCLUDED ON FORM 990	STATEMENT	10
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DESCRIPTION	AMOUNT
INCOME ASSIGNED TO WHOLLY-OWNED SUBSIDIARY	248,529.
TOTAL TO FORM 990, PART IV-A	248,529.

FORM 990	OTHER EXPENSES NOT INCLUDED ON FORM 990	STATEMENT	11
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DESCRIPTION	AMOUNT
EXPENSES ASSIGNED TO WHOLLY-OWNED SUBSIDIARY	179,139.
TOTAL TO FORM 990, PART IV-B	179,139.

FORM 990	OTHER REVENUE INCLUDED ON FORM 990	STATEMENT 12
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DESCRIPTION	AMOUNT
RENT INCOME FROM WHOLLY-OWNED SUBSIDIARY	4,800.
ADMINISTRATIVE REIMBURSEMENT FROM WHOLLY-OWNED SUBSIDIARY	2,400.
TOTAL TO FORM 990, PART IV-A	7,200.

FORM 990	PART V - LIST OF OFFICERS, DIRECTORS, TRUSTEES AND KEY EMPLOYEES	STATEMENT 13
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MARK RITCHIE 2105 FIRST AVENUE SOUTH MINNEAPOLIS, MN 55404	PRESIDENT 40 HOURS	65,000.	4,214.	0.
TOM VELLENGA 2105 FIRST AVENUE SOUTH MINNEAPOLIS, MN 55404	EXECUTIVE DIRECTOR 40 HOURS	70,000.	997.	0.
DR. ARIE VAN DEN BRAND FONTEINLAAN 5 2003 RP HAARLEM, NETHERLANDS	BOARD CHAIR 5 HOURS	0.	0.	0.
ROD LEONARD 41156 45TH AVENUE WAHKON, MN 56386	TREASURER 2 HOURS	0.	0.	0.
BECKY GLASS PO BOX 104, 592 7 1/2 STREET PRAIRIE FARM, WI 54762	DIRECTOR 2 HOURS	0.	0.	0.
DR. RUDOLPH BUNTZEL HOHEBUCH D-74638 WALDENBURG, GERMANY	DIRECTOR 1 HOUR	0.	0.	0.
MIKA IBA 3-23-15 MAATSUBARA, SETEGAYAKU TOKYO 156-0043, JAPAN	DIRECTOR 1 HOUR	0.	0.	0.
DR. CANDIDO GRZYBOWSKI RUE VINCONDE DE OURO PRETO, 5/7 ANDAR 22250-180 RIO DE JANIERO, BRAZIL	DIRECTOR 1 HOUR	0.	0.	0.

INSTITUTE FOR AGRICULTURE AND TRADE POLI

36-3501938

DR. JOSEPH ROCHER 14 RUE ANTOINE DUMONT 69372 LYON CEDEX 8, FRANCE	DIRECTOR 1 HOUR	0.	0.	0.
STEPHEN SHRYMAN, ESQ. 1001-207 WEST HASTINGS STREET VANCOUVER, BRITISH COLUMBIA V6B1H7	DIRECTOR 1 HOUR	0.	0.	0.
MARY ELLEN LLOYD 37 MORGAN CIRCLE SWARTHMORE, PA 19081	DIRECTOR 1 HOUR	0.	0.	0.

TOTALS INCLUDED ON FORM 990, PART V

135,000.

5,211.

0.

FORM 990

PART IX
INFORMATION REGARDING TAXABLE SUBSIDIARIES

STATEMENT 14

NAME, ADDRESS & ID NUMBER OF CORP OR PARTNERSHIP	PCT OWN	NATURE OF BUSINESS	TOTAL INCOME	END-OF-YEAR ASSETS
HEADWATERS INTERNATIONAL, INC., 2105 FIRST AVENUE S., MINNEAPOLIS, MN 55404 EIN# 41-1827780	100.00%	COFFEE SALES	62,191.	155,290.

FORM 990

PART VIII - RELATIONSHIP OF ACTIVITIES TO
ACCOMPLISHMENT OF EXEMPT PURPOSES

STATEMENT 15

LINE	EXPLANATION OF RELATIONSHIP OF ACTIVITIES
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93A	PUBLICATION SALES REVENUE IS GENERATED FROM VIDEO AND PRINT MEDIA,
93A	MADE AVAILABLE TO EDUCATE THE PUBLIC IN SMALL GROUPS AND IN REMOTE
93A	LOCATIONS ON TOPICS WITHIN THE ORGANIZATION'S EXEMPT DOMAIN.
93A	THE ORGANIZATION HAS ALSO PRODUCED A PUBLICATION "RENEWING THE COUNTRY
93A	SIDE".
93B	CONTRACT SERVICE FEES ARE EARNED FROM RESEARCH AND WRITING UNDERTAKEN
93B	AND PERFORMING SERVICES (I.E., ON "REGRANTED" DOLLARS) ON BEHALF OF
93B	PRIVATE FOUNDATIONS AND OTHER NOT-FOR-PROFIT ENTITIES; SAME CONTRIBUTE
93B	TO THE GROWTH OF THE INFORMATION POOL RELATING TO TAXPAYER'S EXEMPT
93B	PURPOSES AND FURTHER GOALS OF EDUCATING AND INFORMING CITIZEN
93B	PARTICIPATION IN POLICY ARENAS.
93C	FEES FROM CONFERENCES REPRESENT FORUM ADMISSIONS TO EVENTS HIGHLIGHT-
93C	ING DISCUSSION RELATING TO THE ORGANIZATION'S EXEMPT PURPOSE.
93D	PRESENTATIONS BY IATP OFFICERS AND STAFF ON TOPICS RELATED TO THE
93D	EXEMPT PURPOSE OF THE ORGANIZATION ARE RECOGNIZED THROUGH HONORARIA.
93E	FEES RECEIVED RELATED TO OCCUPANCY AND ADMINISTRATIVE SERVICES
93E	PROVIDED TO THE ORGANIZATION'S WHOLLY-OWNED SUBSIDIARY, WHICH WERE NOT
93E	AN UNRELATED TRADE OR BUSINESS ACTIVITY.

SCHEDULE A	STATEMENT REGARDING ACTIVITIES WITH SUBSTANTIAL CONTRIBUTORS, TRUSTEES, DIRECTORS, CREATORS, KEY EMPLOYEES, ETC, . PART III, LINE 2	STATEMENT 16
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IN ADDITION TO COMPENSATION PAID TO THE EXECUTIVE DIRECTOR AND PRESIDENT OF THE CORPORATION, AS DISCLOSED AT FORM 990, PART V, NIEL RITCHIE, BROTHER OF THE PRESIDENT OF THE CORPORATION WAS EMPLOYED BY THE ORGANIZATION IN PROVIDING SERVICES AS ITS NATIONAL ORGANIZER, WHICH INCLUDED MAINTAINING THE ORGANIZATION'S RELATIONSHIPS WITH FARM ORGANIZATIONS. ALSO, THE BOARD PRESIDENT WAS REIMBURSED FOR EXPENSES INCURRED ON BEHALF OF THE ORGANIZATION IN EXCESS OF \$1,000.

SCHEDULE A	OTHER INCOME				STATEMENT 17
DESCRIPTION	2000 AMOUNT	1999 AMOUNT	1998 AMOUNT	1997 AMOUNT	
MISCELLANEOUS	1,587.	6,743.	4,171.	3,493.	
RENTAL INCOME	9,485.	8,400.	7,300.	1,200.	
TOTAL TO SCHEDULE A, LINE 22	11,072.	15,143.	11,471.	4,693.	

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US Form 990 - Supplemental Schedules

Depreciation - Part II, Line 42

Building	\$	15,009
Building Improvements		9,766
Equipment		<u>25,044</u>
Total	\$	<u>49,819</u>

Buildings and Equipment, Part IV, Line 57a

	<u>12/31/2000</u>	<u>Additions</u>	<u>Disposal</u>	<u>12/31/2001</u>
Building	\$ 600,366		\$ -	\$ 600,366
Building Improvements	136,290	8,003	-	144,293
Equipment	<u>177,098</u>	<u>48,603</u>	<u>-</u>	<u>225,701</u>
Totals	<u>\$ 913,754</u>	<u>\$ 56,606</u>	<u>\$ -</u>	<u>\$ 970,360</u>

Accumulated Depreciation - Buildings and Equipment, Part IV, Line 57b

	<u>12/31/2000</u>	<u>Additions</u>	<u>Disposal</u>	<u>12/31/2001</u>
Building	\$ 31,137	\$ 15,009	\$ -	\$ 46,146
Building Improvements	33,355	9,766	-	43,121
Equipment	<u>142,752</u>	<u>25,044</u>	<u>-</u>	<u>167,796</u>
Totals	<u>\$ 207,244</u>	<u>\$ 49,819</u>	<u>\$ -</u>	<u>\$ 257,063</u>

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Part III-Statement of Program Service Accomplishments line a-e

a) Environment, Agriculture and Forestry Program

IATP's Environment, Agriculture and Forestry Program works to maximize the productivity of the rural landscape by linking economic opportunity, environmental integrity, and community vitality. Following are the projects under this program.

Mississippi River Navigation

For the past eight years, the United States Army Corps of Engineers has studied the feasibility of expanding the navigation infrastructure on the Mississippi River to facilitate the export of Midwest grains. IATP has worked in coalition with several environmental and taxpayer organizations to raise questions about the environmental and socioeconomic impacts of increased Mississippi River navigation. In 2001, IATP initiated a series of articles, editorials, fact sheets and other media that provides factual material to the public and policymakers. Highlights of the past year include:

- IATP contracted with Dr. Phil Baumel of Iowa State University to produce a paper on agricultural export projections commonly used to facilitate navigation and infrastructure investments. Dr. Baumel's paper provided a devastating critique that demonstrates the over-optimistic tendencies of these projections. The paper resulted in several newspaper articles and radio interviews throughout the Midwest. Building on the success of the paper, IATP organized a forum in Washington D.C. on modeling and the farm bill, where Dr. Baumel gave a presentation on the results.
- Mark Muller was asked to present testimony to the United States House of Representatives Mississippi River Caucus. The topic was the Upper Mississippi River-Illinois Waterway System Navigation Study. Four Congressional members were present as well as several staff people. Muller presented IATP's findings on the lack of farm income benefits from expanded river navigation.
- IATP contracted with Dr. Willard Cochrane, Professor Emeritus at the University of Minnesota, to write an op-ed on the relationship between exports and the farm economy. Dr. Cochrane's op-ed appeared in several prominent Midwest newspapers and initiated discussion on several list-serves.

Soy Project

As in the United States, the increasing industrialization of soybean production in South America threatens ecosystems, farmers and communities. Expanding on its research comparing the relationship between United States Midwest and South American soybean production and transportation, IATP initiated a new research project focusing specifically on Brazil to examine the multiple impacts of industrialized soybean production in South America. This research will be used in conjunction with IATP's work on agricultural production and transportation issues in the Upper Mississippi River Basin to highlight the economic and environmental impacts of the global soy trade. In 2001,

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IATP worked to build relationships with other North and South American organizations researching this topic and produced a draft paper

Energy

United States agriculture is increasingly seen as an important source of renewable energy. From concerns about climate change to efforts to reduce dependence on foreign oil, agriculture can provide a clean, inexpensive, and local source of energy. Furthermore, energy production can provide a new source of income for cash-strapped farmers.

IATP has done extensive work educating farmers and policymakers on the opportunities that farm-based renewable energy production provide. This work included opinion-editorials, an active list-serve, and the initiation of a monthly newsletter, ***The Third Crop***, that informs landowners about alternative crops and enterprises. Through the Windustry Project, IATP has provided advice and technical information to farmers and other landowners interested in wind energy production. Additionally, IATP, working in collaboration with several other organizations, produced ***An Agenda for Renewing Rural America***, a document that emphasizes the benefits of farm-based renewable energy. IATP distributed the ***Agenda*** to policymakers during the farm bill debates. IATP's Mark Ritchie, Lisa Daniels and Mark Muller have presented information on farm-based renewable energy production to several forums throughout the year. One highlight was Mark Muller's presentation to United States Senator Paul Wellstone on the relationship between renewable energy, agriculture and the farm bill.

Green Benefits Marketing

IATP is researching new markets for the ecological services that landowners produce, as well as mechanisms for buyers and sellers to transact these services. Several of the ecological services that landowners provide benefit other parties, such as clean water for downstream water utilities, wildlife habitat for conservation organizations, and carbon sequestration to offset utility emissions. Yet often, landowners are not compensated for these and other services. With the proper institutional framework and a combination of public and private investment, these services would be much more apt to be produced and compensated, benefiting all parties.

In 2001, IATP collaborated with several watershed groups and other organizations on the development of a framework that allows these transactions to occur. This has resulted in a concept called the Working Landscape Development Authority (WLDA), an institution that would utilize public and private investments to further conservation and biodiversity protection efforts. It would operate similar to affordable housing efforts or industrial development authorities, where public money is used to create incentives for private investment. A WLDA would provide an opportunity for conservation-based investment that otherwise would not exist. It also would provide a vehicle for collaboration between diverse constituents that benefit from environmental protection.

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Resilience in the Red River Valley of the North

In September 2001, IATP received a grant from the National Science Foundation (NSF) to study the cultural, social, biological and economic forces affecting the Red River Valley of the North. The valley, which forms the Minnesota/North Dakota border and extends north into Manitoba to Lake Winnipeg, has long been considered one of the most fertile farming regions in the world and is currently an area of intensive agriculture. It now faces a number of difficult challenges including crop disease, low commodity prices, extreme weather patterns and rural depopulation.

The project seeks to build understanding of recurring agricultural and rural economic crisis in the region through an interdisciplinary stakeholder workshop and modeling process that focuses on linkages among social, environmental, and economic systems. The goal of the project is to help identify sustainable and resilient policy alternatives while building an understanding of dynamics of and linkages between systems. Through a series of workshops, conceptual models of how crop disease, cropping systems, agricultural policy, climate change, and flooding interact to affect farm income and regional indicators will be developed. These models will be developed into a suite of computer dynamic simulation models that can be integrated into a single model and used to explore possible outcomes and desired futures resulting from different policy, economic, and land use scenarios. The model, while not designed to be precise or predictive, is instead a tool for stakeholders and decision-makers to explore the patterns, outcomes, and possibilities generated by alternative policies and assumptions over many years. In developing the model, the project also hopes to build on and complement existing research efforts in specific areas, and help to identify research priorities for managing agriculture, land use, and water.

Adaptive Management Network

IATP is involved in and helps manage the Adaptive Management Network (AMNet), a collaboration among managers, scientists, scholars, and advocates engaged in ecosystem management. The goals of the networks are to a) evolve a new adaptive philosophy or resource management, b) build capacity for effective implementation of adaptive management within ecosystems, c) reform resource management institutions, and d) re-couple human systems with natural systems in ways that maintain or increase, rather than erode, resilience and sustainable options for the future. AMNet works to connect practitioners who are or will be implementing adaptive management, and seeks to nurture a sense of community among scientists and practitioners working independently on topics of common interest. It creates opportunities to probe comparisons across multiple scales to optimize learning and facilitate the continued iterative evolution of practice and theory, promoting collective inquiry that draws on science, social values, and experiential knowledge.

In July 2001, the Adaptive Management Network and Cal-Fed (a collaboration of California state and Federal agencies) worked together to host a 3-day workshop in Sacramento, California focusing on Cal-Fed Bay-Delta Ecosystem Restoration. The workshop provided opportunities for practitioners to share stories and experiences.

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implementing adaptive management in different regional settings. It featured a day-long public symposium profiling adaptive management efforts in large scale ecosystems from the Everglades and Kissimmee River to the streams and watersheds of British Columbia. This was followed by a facilitated workshop that brought Network and Cal-Fed program managers and staff together to explore lessons learned, practical approaches to adaptive management, and challenges and opportunities for restoring the Sacramento River and Bay-Delta ecosystem.

Working Landscapes

IATP is a leader in the development of the "working landscapes" concept -- the precept that private lands need to do more than just produce food and fiber, but can provide biodiversity protection, improved soil and water quality, wildlife habitat, carbon sequestration, viable rural economies, and a host of other benefits. Working Landscapes looks at ways to couple voluntary, incentive-based policies with landowner innovation and private enterprise.

In conjunction with over 20 organizations (including governmental agencies, not-for-profits and private companies), IATP organized a conference on this topic in November 2001 in Delavan, Wisconsin. *Working Landscapes in the Midwest: Creating Sustainable Futures for Agriculture, Forestry & Communities* explored practices and policies that promote land-based economic activity to sustain families, communities and ecosystems, while also providing multiple benefits to society. Throughout two days of presentations, workshops and breakout sessions, participants sought to address the three goals of the conference: 1) to nurture a new integrative mindset for addressing economic, social and environmental issues, 2) to create a groundswell for action around these issues, and, 3) to establish a foundation from which local, regional and national dialogues about working landscapes could be catalyzed.

In August 2001, IATP sponsored the Agroecology Summit at Tony Thompson's Willow Lake Farm near Windom in southwest Minnesota. This locally focused event brought together approximately 100 farmers, ecologists, crop consultants, agency representatives, academics, and other area residents to discuss the merits and implementation of agroecological practices and concepts. Through presentations, panels, and both moderated and informal discussions, participants learned about agriculture's role in wildlife conservation, climate change, water quality and alternative energy production.

Multiple Benefits of Agriculture

IATP is involved in the Multiple Benefits of Agriculture Project, which is organized and run by the Land Stewardship Project (LSP). In 2001, the project involved a 15-member working group, which analyzed the environmental and social benefits of certain changes in agricultural land use practices in two Minnesota watersheds. The results of this project are being used to lend insight into how land use changes should be structured, and are presented in a report produced by LSP. IATP provided general support and

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input as well as specific information about the effects of agricultural land use on flooding and flood risk

Water Quantity in the Great Lakes Basin

IATP launched a new project to increase understanding of the relationship of agriculture, the environment and trade to surface and ground water management in the Great Lakes Basin. The initial objectives of this project are to 1) assess the current state of research, 2) identify knowledge "gaps" on this topic, 3) begin filling in the gaps by commissioning new research and then to 4) disseminate the accumulated information through briefings and publications

In 2001, IATP produced a paper addressing these issues and undertook planning for the first workshop, which brought together an interdisciplinary group of researchers, policy analysts and specialists in February 2002 to address the first two project objectives

The Missouri River Ecosystem

Dr. Stephen Light of IATP was involved in the Committee on Missouri River Ecosystem Science through the National Research Council of the National Academy of Sciences. This committee was convened to provide a general history, report of current status, and depiction of significant ecological trends in the Missouri River ecosystem. The committee's work is detailed in a report, *The Missouri River Ecosystem: Exploring the Prospects for Recovery*, produced by the National Academy Press, which provides this information as well as recommending policies and institutional arrangements for monitoring, improving, and introducing an adaptive management approach to the Missouri River ecosystem. Dr. Light had the opportunity to present two keynote speeches and attend one town hall meeting on his work as part of the Committee.

Hypoxia and Nutrient Management

IATP continues to be involved in nutrient management and to promote policies to address the zone of hypoxia ("dead zone") in the Gulf of Mexico. Highlights of 2001 include

- In January, in collaboration with the Mississippi River Basin Alliance, IATP organized a strategy session on hypoxia and farm bill with about 25 environmental and agricultural NGO representatives
- Working in collaboration with the Clean Water Network, Dennis Keeney and Mark Muller provided formal comments regarding EPA's draft nutrient criteria.
- In September, IATP Senior Fellow Dennis Keeney provided testimony on nutrient pollution by feedlots for a pre-trial deposition in Northeast Iowa. Dr. Keeney also presented on these topics at the Environmental Grantmakers' Association Conference and the Pew Oceans Commission hearing.
- In October, IATP organized and moderated a workshop at the Mississippi River Basin Alliance's Minnesota conference on "Bringing the Dead Zone Back to Life."

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Forestry Program

IATP's Forestry Program helps farmers and other woodland owners find ways to manage their forests more sustainably and profitably. These efforts, undertaken by IATP's Community Forestry Resource Center, include assisting landowners in forming associations or cooperatives, building forest management expertise, developing processing and marketing capacities, and accessing green certification. IATP works with public and private landowners as well as wood products companies to expand the number of acres of Forest Stewardship Council (FSC)-certified forests. For the small woodlots typical of the farm landscape, it is important to make the certification process affordable. For this purpose, IATP has been working with FSC to develop an Umbrella Certification model to serve private landowners. IATP produces a newsletter, **Community Forestry Connections**, and maintains two Web sites: www.forestrycenter.org and www.mnforestcertification.org.

In 2001, the Forestry Program established a Sustainable Forestry Improvement Collaborative for forest owner groups to share ideas and learn through peer-to-peer meetings and through listserves and conference calls. There have been two collaborative meetings involving practitioners from around the country. The Forestry Program also hosts weekly conference calls for the group, a listserve, and a web site forum.

Also in 2001, the CFRC presented its agenda at several national and international meetings, including a forest certification workshop in Montevideo, Uruguay, a series of landowner meetings in New Brunswick and Nova Scotia, Canada, and numerous regional meetings with wood product manufacturers, architects, landowner groups, forestry and environmental organizations, and public agencies. The Forestry Program also had several papers and articles published in conference proceedings and other organizations' publications.

In 2001, the CFRC continued to work with landowner groups on their efforts to form associations or cooperatives. These efforts have now expanded into both Iowa and Michigan, building on continued growth throughout Minnesota and Wisconsin. Also, CFRC has provided information and assistance to groups in the Southeastern U.S., Northeastern U.S., Western U.S., and Eastern Canada. In addition, the CFRC cooperates with many public landowners and the wood products industry to promote certification and help build the market incentives and linkages that will also encourage private landowners to responsibly and sustainably manage their forests.

b) Food and Agriculture Program

IATP continued its work with family farmer and consumer organizations around the country and world, supporting policies and practices that result in healthy, profitable farms, greater public benefits, a safer food supply and more resilient rural communities.

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2001 projects within this program area included

Working for a Better Farm Policy

IATP is actively involved in state and national farm policy educational programs that provide news, information, and analysis to policymakers, news reporters, opinion leaders and citizens through publications, newsletters, electronic listserves and web pages. IATP is working with a variety of coalitions interested in various aspects of national and state farm policy.

Renewing the Countryside

IATP co-published a groundbreaking book profiling farmers and small business owners in rural Minnesota who are revitalizing the rural economy and protecting the environment. The book, *Renewing the Countryside*, has a companion web page. IATP is continuing to collect profiles of innovative landowners and rural business in communities around the country.

Fish and Marine Conservation

Factory fish farms pose new challenges to the environment and fish communities. In order to crowd large numbers of fish into small pens or tanks, fish farming companies have to use extraordinary measures, including massive quantities of pesticides, herbicides, antibiotics and other drugs. Industrial fish farms also have problems with concentrated fish feces, diseases and parasites. The growth in the global fish-farm industry is outpacing regulatory and scientific oversight. IATP is working to raise awareness of the hazards of industrial-style fish farming, while promoting wild, sustainably harvested and organic fish.

Farm Policy Fellowship Program

IATP is staffing a national farm policy fellowship program, funded by the W K Kellogg Foundation, that will eventually enable 50 leaders in food and farm organizations to focus more of their attention on outreach to the media. This program is in conjunction with the Jefferson Institute for Agricultural Diversification, based at the University of Missouri.

Keeping Industrial Pollution Out of Food

This project addresses the ways in which large industrial agricultural practices can adversely affect human health, as well as the public health problems caused by contamination of the food supply by pollution from industrial sectors. This project analyzes and educates consumers and policymakers on the following substances:

- Fertilizers
- Sewage sludge
- Dioxin
- Mercury

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On matters of uncertainty that may harm human health or the environment, IATP supports the Precautionary Principle approach. IATP supports farmers' ability to protect their farms from contamination, and to protect themselves from personal liability. To this end, IATP's project, "Keeping Industrial Pollution Out of Food," fosters awareness about a variety of potential contaminants. These include toxic pollutants such as dioxin and mercury, which may fall on farmland after being dispersed by medical or municipal waste incinerators, disease-causing pathogens that may be contained in low-cost or free sewage sludge offered to farmers for its nutrient value, and toxic heavy metals such as cadmium, lead and arsenic that may be contained in fertilizer products derived from hazardous industrial waste. Information about these contaminants and others is available through the IATP Food and Health Web site, a closed electronic listserve that was created for individuals and groups working on sewage sludge and waste-derived fertilizer, and through articles, letters to the editor and IATP publications.

As part of IATP's efforts to gather and share information to benefit consumers and food producers, its staff served on the Minnesota Office of Environmental Assistance's "Prevention, Reduction and Recycling Advisory Committee," as well as a "Solid Waste Utilization Task Force" convened by the Minnesota Pollution Control Agency.

IATP continued its involvement as an active member of the Health Care Without Harm campaign. Medical waste incinerators are major sources of two very hazardous pollutants: dioxin and mercury. Human exposure to these pollutants comes primarily through food. Farmers have little recourse when these pollutants rain down from industrial sources miles away. IATP believes that the way to remove these toxins from food and to protect farmers' income is to shut down the sources of dioxin production and mercury emissions. IATP has provided comments on the dioxin issue to the national "Stop Dioxin Exposure" campaign and reached out to farmers to encourage their participation. IATP staff also participated in formal public comment processes on various dioxin efforts undertaken by the United States Environmental Protection Agency.

In order to advocate for monitoring of adverse environmental emissions from medical waste treatment facilities, IATP staff served on the Underwriters Laboratories "UL 2334 Technical Committee on Standards for Alternative Treatments for Disposal of Medical Waste."

IATP worked with health care facilities, schools, regulators and community groups in Minnesota to share information about dioxin and mercury emissions. IATP staffers reached out to a wide variety of constituencies, including Native American, Hmong and Hispanic residents, to share information about the health and environmental risks of mercury.

As part of its collaboration with Health Care Without Harm, IATP sponsored thermometer exchanges at the Science Museum of Minnesota and at the Paul Bunyan Mall in Bemidji, MN with the Indigenous Environmental Network and made

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presentations at state-sponsored conferences about the hazards of using mercury-containing products in institutional or home health care

Health Impacts of Industrial Agriculture

Recent estimates are that more than 85% of all antibiotics used in the United States are used in agriculture to raise animals or fish for food. The vast majority of these antibiotics, around 29 million pounds a year, are used on cattle, poultry and swine -- all but 2 million pounds of which is used to make animals put on weight faster, and to avert illness in conditions that often are crowded, dirty and inhumane. These conditions describe the factory farms in which most food animals are now raised. There is an emerging consensus in the medical and public health communities that antibiotic overuse in healthy food animals is a significant contributor to growing problems with infections caused by antibiotic-resistant bacteria in people. While human antibiotic use also contributes to this problem, it now appears that around eight times more antibiotics are put in the feed and water of non-sick cattle, swine and poultry than are used to treat all sick people, both in and out of hospitals.

In coalition with a variety of public health, consumer, sustainable agriculture and environmental groups, IATP is working to reduce antibiotic use in agriculture and to ban the use of the most medically important drugs for this purpose. IATP also has developed an online consumer guide that tells the public, on a state-by-state basis, where it can buy meats not raised in factory farms -- directly from producers, in supermarkets and co-ops and at restaurants.

Factory farms contribute to other public health risks apart from antibiotic resistance. These include the health impacts of ammonia, hydrogen sulfide and other air emissions, water pollutants such as nitrates and phosphorus as well as pathogenic bacteria, and risks to workers in these facilities. IATP is working to raise awareness of the public health impacts of industrial livestock and poultry facilities, both among health professionals and among the public.

Children's Environmental Health

As a natural extension of its work on reducing adverse health effects of environmental toxins in food, IATP initiated a Children's Environmental Health project in December 2001 by hiring a Food and Children's Environmental Health Scientist. IATP is concerned about food toxins such as mercury, PCBs, pesticides, dioxin, chemicals, lead and other heavy metals, which are found in food and water, subjecting developing children and fetuses to ongoing toxic exposures. IATP is working to reduce exposures by educating health practitioners, parents, public officials and others about actions and public policies, which reduce both the sources of environmental toxins and children's exposures to these toxins in food. IATP accomplished initial strategic planning and identification of collaborating organizations in 2001. Implementation of strategies, including development of educational materials, health practitioner and parent education and public policy recommendations will be implemented in 2002.

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c) Globalism and Global Governance Program

Practically all aspects of food, agriculture, and environmental protection are affected by global forces. The Globalism and Global Governance Program is designed to strengthen civil society's voice in major global conferences, negotiations and other decision-making processes. IATP dedicated itself to two issues that exemplify the issue of global governance: the management of water and the management of plant and animal genes.

IATP emphasizes public education by speaking at community forums, high schools and colleges and on the radio, full utilization of electronic communications, including IATP's web site, list-serves, and news bulletins, and the distribution of fact sheets and analytic essays.

Major events during the year 2001 included

- Participation in the Preparatory Committee meetings of the United Nations Commission on Sustainable Development for the World Summit on Sustainable Development, New York
- Participation in the final negotiations of the Treaty on Plant Genetic Resources for Food and Agriculture at the Food and Agriculture Organization of the United Nations in Rome
- Participation in the Hemispheric Trade and Sustainability Symposium, sponsored by the International Centre on Trade and Sustainable Development, in Quebec City, Canada
- Participation in the "International Dialogue On Water For Food And Water For Environment," sponsored by International Water Management Institute
- Presentation to the Multi-Stakeholder Process as a non-governmental organization representative during the International Conference on Fresh Water, Bonn, Germany
- Presentation of a paper, "Transnational-Led Privatization of Water," at a conference on Water, Human Rights and Governance in Katmandu, Nepal
- Participation in a meeting on Liability and Redress in the Cartagena Protocol on Biosafety, sponsored by the Meridian Institute, in Grottoferrata, Italy

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d) Trade and Agriculture Program

The Trade and Agriculture Program seeks to advance trade rules that respect and promote the fundamental human rights to food, water and development while ensuring a fair economic return for producers around the world

In 2001, there were two principal areas of program work. The first was around preparations for the fourth World Trade Organization (WTO) Ministerial Conference, held in Doha, Qatar, in November. The second was preparation for the fifth anniversary of the World Food Summit, scheduled for November in Rome, but subsequently postponed to June of 2002. To this end, programmatic staffers wrote papers and journal articles (listed below), worked with coalitions of Non-Governmental Organizations (NGOs) in the United States and internationally and with decision-makers to present testimony on proposed negotiating positions and policy stands.

The program continues to provide a number of Internet-based and electronic services on trade issues to facilitate information dissemination around the globe. IATP's Web site received many visitors during the Doha Ministerial and continues to occupy a leadership position as a source of news for many trade-related matters. All the information, including thousands of documents, hotlinks, multimedia files, Fast Facts, calendar events, news headlines and more, is fully "text-searchable."

The Trade Program also maintains an active presence in the media. Programmatic staffers provide background information to journalists, appear in radio and television interviews and write their own contributions to the many debates surrounding trade, food and agriculture policy, including the use of genetic engineering.

Below are some of the papers written under this program in 2001:

- Doha Ministerial: Measuring Success
- Impacts of the Doha on Global Investment Policy and Regulation
- Agriculture and Food Impacts of the Doha Declaration
- Thoughts on Doha: Looking at the Developing Country Delegations
- Fighting to a Draw in Doha
- Food Security and the WTO
- Eroding Commitments to End Poverty

e) Information Technology

The world is entering an age of instant information exchange. With the advancements of wireless technology and the Internet itself, the variety of ways to reach large numbers of people is growing exponentially. Using an effective strategic plan, the Information Technology Program divides its resources into two areas:

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- 1) The Information Technology Program uses existing and newly developed technology to assist IATP staff in the performance of their jobs. It is IATP's goal to ensure that the technology is used effectively and that new technologies are researched for effectiveness and implemented with the least amount of disruption.
- 2) The Information Technology Program is responsible for the upkeep and development of several mission-oriented web pages and Internet applications, developed in-house, that IATP and several strategic partners use. The goal of using these technologies is to allow the collection of information from around the world and to pass it on in an attractive and easy format. IATP hopes to continue to provide the world with useful information and to find ways to partner with other organizations to share and combine IATP's databases to provide an even more exhaustive collection of agricultural and international documents and resources.

Additional 2001 achievements for Information Technology include

- Created over 20 new web pages,
- Re-organized the information IATP collects and displays for the public into much more accessible web pages called Observatories,
- Created 15 new and maintained a total of 140 bulletins and list serves,
- Developed several cold fusion applications to improve existing and new job functions,
- Developed a funder tracking database,
- Expanded the use of multimedia within IATP's web pages and libraries,
- Provided web coverage of many breaking events including the WTO meeting in Doha in November 2001.

- If you are filing for an **Additional (not automatic) 3-Month Extension**, complete only **Part II** and check this box ☒

Note Only complete **Part II** if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II Additional (not automatic) 3-Month Extension of Time - Must file Original and One Copy

Type or print File by the extended due date for filing the return. See instructions	Name of Exempt Organization INSTITUTE FOR AGRICULTURE AND TRADE POLICY	Employer identification number 36-3501938
	Number, street, and room or suite no. If a P.O. box, see instructions 2105 FIRST AVENUE SOUTH	For IRS use only
	City, town or post office, state, and ZIP code. For a foreign address, see instructions MINNEAPOLIS, MN 55404	

Check type of return to be filed (File a separate application for each return)

- ☒ Form 990
 ☐ Form 990-EZ
 ☐ Form 990-T (sec 401(a) or 408(a) trust)
 ☐ Form 1041 A
 ☐ Form 5227
 ☐ Form 8870
☐ Form 990-BL
☐ Form 990-PF
☐ Form 990-T (trust other than above)
☐ Form 4720
☐ Form 6069

STOP Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- If the organization does not have an office or place of business in the United States, check this box ☐
 • If this is for a **Group Return**, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the **whole group**, check this box ☐ If it is for **part of the group**, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 4 I request an additional 3 month extension of time until NOVEMBER 15, 2002
 5 For calendar year 2001, or other tax year beginning _____ and ending _____
 6 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period
 7 State in detail why you need the extension
MORE TIME IS NEEDED TO ACCUMULATE THE INFORMATION NECESSARY TO PREPARE A COMPLETE AND ACCURATE RETURN.

- 8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions \$ _____
 b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868 \$ _____
 c **Balance Due** Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions \$ N/A

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete, and that I am authorized to prepare this form.

Signature *Paul J. Kroll* Title CPA Date 8/15/02

Notice to Applicant - To Be Completed by the IRS

- ☒ We have approved this application. Please attach this form to the organization's return.
☐ We have not approved this application. However, we have granted a 10-day grace period from the later of the date shown on the return or the date of the organization's return (including any prior extensions). This grace period is considered to be a valid extension of time for elections otherwise required to be made on a timely return. Please attach this form to the organization's return.
☐ We have not approved this application. After considering the reasons stated in item 7, we cannot grant your request for an extension of time to file. We are not granting the 10-day grace period.
☐ We cannot consider this application because it was filed after the due date of the return for which an extension was requested.
☐ Other _____

EXTENSION APPROVED

SEP 05 2002

LINDA WEISKOPF, FIELD DIRECTOR,
SUBSISTENCE PROCESSING, OGDEN

Director _____ By _____ Date _____

Alternate Mailing Address - Enter the address if you want the copy of this application for an additional 3-month extension returned to an address different than the one entered above.

Type or print 123832 07-16-01	Name WILKERSON, GUTHMANN + JOHNSON, LTD
	Number and street (include suite, room, or apt. no.) Or a P.O. box number 55 EAST FIFTH STREET, SUITE 1300
	City or town, province or state, and country (including postal or ZIP code) ST. PAUL, MN 55101-1790

Application for Extension of Time To File an
Exempt Organization Return

OMB No 1545 1709

► File a separate application for each return

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box ☒ **X**
- If you are filing for an Additional (not automatic) 3-Month Extension, complete only Part II (on page 2 of this form)

Note Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Part I Automatic 3-Month Extension of Time - Only submit original (no copies needed)

Note Form 990-T corporations requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including Form 990-C filers) must use Form 7004 to request an extension of time to file income tax returns. Partnerships, REMICs and trusts must use Form 8736 to request an extension of time to file Form 1065, 1066, or 1041

Type or print	Name of Exempt Organization INSTITUTE FOR AGRICULTURE AND TRADE POLICY	Employer identification number 36-3501938
	Number, street, and room or suite no. If a P O box, see instructions 2105 FIRST AVENUE SOUTH	
File by the due date for filing your return. See instructions.	City, town or post office, state, and ZIP code. For a foreign address, see instructions MINNEAPOLIS, MN 55404	

Check type of return to be filed (file a separate application for each return)

- | | | |
|--|--|------------------------------------|
| <input checked="" type="checkbox"/> Form 990 | <input type="checkbox"/> Form 990-T (corporation) | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL | <input type="checkbox"/> Form 990-T (sec 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above) | <input type="checkbox"/> Form 6069 |
| <input type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041 A | <input type="checkbox"/> Form 8870 |

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6-month, for 990-T corporation) extension of time until AUGUST 15, 2002

to file the exempt organization return for the organization named above. The extension is for the organization's return for

► ☒ calendar year 2001 or

► ☐ tax year beginning _____, and ending _____

2 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990 PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions \$ _____

b If this application is for Form 990-PF or 990 T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit \$ _____

c **Balance Due** Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions \$ N/A

Signature and Verification

Under penalties of perjury, I declare that I have examined this form including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct and complete, and that I am authorized to prepare this form.

Signature Donald J. Knoll Title CPA

Date 5/7/02

LHA For Paperwork Reduction Act Notice, see instruction

Form 8868 (12-2000)