

Short Form

OMB No 1545 1150

Form 990-EZ

Return of Organization Exempt From Income Tax
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)
For organizations with gross receipts less than \$100,000 and total assets less than \$250,000 at the end of the year
The organization may have to use a copy of this return to satisfy state reporting requirements

2001

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Form 990-EZ header section containing fields A through K: A For the 2001 calendar year, or tax year beginning 2001, and ending 20; B Check if applicable; C Name of organization INDIANA NATIONAL ROAD ASSN; D Employer identification number 35-1948700; E Telephone number (317) 478-3172; F Enter 4-digit (GEN) 20; G Accounting method Cash; H Check if organization is not required to attach Schedule B; I Web site; J Organization type 501(c)(3); K Check if gross receipts are normally not more than \$25,000.

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (See Specific Instructions on page 35)

Table with 21 rows for Revenue, Expenses, and Net Assets. Revenue items include contributions, program service revenue, membership dues, investment income, and other revenue. Expenses include grants, salaries, professional fees, and other expenses. Net Assets include beginning and ending balances and changes. Total revenue is 86,202. Total expenses is 44,345. Net assets at end of year is 43,018.

Part II Balance Sheets—If total assets on line 25, column (B) are \$250,000 or more, file Form 990 instead of Form 990-EZ

Table with 7 rows for Balance Sheets. Columns (A) Beginning of year and (B) End of year. Assets include cash, land, and other assets. Total assets at end of year is 43,018. Liabilities include cash advance from Dept. of Tourism. Net assets at end of year is 43,018.

JEP 03 2002

SCANNED

RECEIVED
ADD 3 & 4 FOR
OGDEN, UT

24

Part III Statement of Program Service Accomplishments (See Specific Instructions on page 40)

What is the organization's primary exempt purpose? STATEMENT 4

Describe what was achieved in carrying out the organization's exempt purposes. In a clear and concise manner, describe the services provided, the number of persons benefited, or other relevant information for each program title

		Expenses (Required for 501(c)(3) and (4) organizations and 4947(a)(1) trusts optional for others)
28	NATIONAL SCENIC BYWAY GRANT TO DEVELOP AN INTERPRETIVE MASTER PLAN TO GUIDE US IN OUR EFFORTS TO PROMOTE PRESERVE & INTERPRET THE NATIONAL ROAD IN IND. (Grants \$ 48,309.00)	28a 36,937.00
29	DEVELOP THE POSITION OF EXECUTIVE DIRECTOR FOR IMPLEMENTATION OF THE ABOVE-MENTIONED PLAN, AS WELL AS OTHER DUTIES OF THE ASSOCIATION (Grants \$ 25,000.00)	29a -
30		30a -
31	Other program services (attach schedule) (Grants \$)	31a -
32	Total program service expenses (add lines 28a through 31a)	32 36,937.00

Part IV List of Officers, Directors, Trustees, and Key Employees (List each one even if not compensated. See Specific Instructions on page 40)

(A) Name and address	(B) Title and average hours per week devoted to position	(C) Compensation (if not paid, enter -0-)	(D) Contributions to employee benefit plans & deferred compensation	(E) Expense account and other allowances
SEE STATEMENT 5		-0-	-0-	-0-

Part V Other Information (Note the attachment requirement in General Instruction V, page 14)

	Yes	No
33 Did the organization engage in any activity not previously reported to the IRS? If "Yes" attach a detailed description of each activity		X
34 Were any changes made to the organizing or governing documents but not reported to the IRS? If "Yes," attach a conformed copy of the changes		X
35 If the organization had income from business activities, such as those reported on lines 2, 6, and 7 (among others), but NOT reported on Form 990-T, attach a statement explaining your reason for not reporting the income on Form 990-T		X
a Did the organization have unrelated business gross income of \$1,000 or more or 6033(e) notice reporting, and proxy tax requirements?		X
b If "Yes" has it filed a tax return on Form 990-T for this year?		X
36 Was there a liquidation, dissolution, termination, or substantial contraction during the year? (If "Yes," attach a statement)		X
37a Enter amount of political expenditures, direct or indirect, as described in the instructions ▶ 37a NONE		X
b Did the organization file Form 1120-POL for this year?		X
38a Did the organization borrow from, or make any loans to, any officer, director, trustee, or key employee OR were any such loans made in a prior year and still unpaid at the start of the period covered by this return?		X
b If "Yes," attach the schedule specified in the line 38 instructions and enter the amount involved		X
39 501(c)(7) organizations Enter a Initiation fees and capital contributions included on line 9		X
b Gross receipts, included on line 9, for public use of club facilities		X
40a 501(c)(3) organizations Enter Amount of tax imposed on the organization during the year under section 4911 ▶ N/A, section 4912 ▶ N/A, section 4955 ▶ N/A		X
b 501(c)(3) and (4) organizations Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach an explanation		X
c Amount of tax imposed on organization managers or disqualified persons during the year under 4912 4955 and 4958 ▶ =		
d Enter Amount of tax on line 40c, above, reimbursed by the organization ▶ =		
41 List the states with which a copy of this return is filed ▶ STATE OF INDIANA		
42 The books are in care of ▶ THOMAS F DUFFY JR, TREASURER Telephone no ▶ (317) 861-6079 Located at ▶ 6238 W US 52 NEW PALESTINE, IN. 46163 ZIP + 4 ▶ 46163		
43 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-EZ in lieu of Form 1041—Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the tax year ▶ 43		

including accompanying schedules and statements and to the best of my knowledge other than officer) is based on all information of which preparer has any knowledge

Treasurer Thomas F Duffy Jr Date July 30, 2002

SCHEDULE A
(Form 990 or 990-EZ)

Organization Exempt Under Section 501(c)(3)

OMB No 1545-0047

(Except Private Foundation) and Section 501(e), 501(f), 501(k),
501(n), or Section 4947(a)(1) Nonexempt Charitable Trust

2001

Department of the Treasury
Internal Revenue Service

Supplementary Information—(See separate instructions)

▶ **MUST be completed by the above organizations and attached to their Form 990 or 990-EZ**

Name of the organization

INDIANA NATIONAL ROAD ASSOCIATION

Employer identification number

35 1948700

Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees
(See page 1 of the instructions. List each one. If there are none, enter "None.")

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
- NONE -	-	-	-	-
Total number of other employees paid over \$50,000 ▶	NONE			

Part II Compensation of the Five Highest Paid Independent Contractors for Professional Services
(See page 2 of the instructions. List each one (whether individuals or firms). If there are none, enter "None.")

(a) Name and address of each independent contractor paid more than \$50,000	(b) Type of service	(c) Compensation
- NONE -	-	-
Total number of others receiving over \$50,000 for professional services ▶		

Part III Statements About Activities (See page 2 of the instructions)

	Yes	No
1 During the year has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If "Yes" enter the total expenses paid or incurred in connection with the lobbying activities ▶ \$ _____ (Must equal amounts on line 38, Part VI A, or line 1 of Part VI-B) Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI A Other organizations checking "Yes" must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities		X
2 During the year has the organization, either directly or indirectly engaged in any of the following acts with any substantial contributors, trustees directors officers creators, key employees or members of their families, or with any taxable organization with which any person is affiliated as an officer, director trustee majority owner, or principal beneficiary? (If the answer to any question is "Yes," attach a detailed statement explaining the transactions)		
a Sale exchange, or leasing of property?		X
b Lending of money or other extension of credit?		X
c Furnishing of goods, services, or facilities?		X
d Payment of compensation (or payment or reimbursement of expenses if more than \$1 000)?		X
e Transfer of any part of its income or assets?		X
3 Does the organization make grants for scholarships, fellowships student loans, etc? (See Note below)		X
4 Do you have a section 403(b) annuity plan for your employees?		X
Note Attach a statement to explain how the organization determines that individuals or organizations receiving grants or loans from it in furtherance of its charitable programs qualify to receive payments		

Part IV Reason for Non-Private Foundation Status (See pages 3 through 6 of the instructions)

The organization is not a private foundation because it is (Please check only ONE applicable box)

- 5 A church, convention of churches, or association of churches Section 170(b)(1)(A)(i)
- 6 A school Section 170(b)(1)(A)(ii) (Also complete Part V)
- 7 A hospital or a cooperative hospital service organization Section 170(b)(1)(A)(iii)
- 8 A Federal state, or local government or governmental unit Section 170(b)(1)(A)(v)
- 9 A medical research organization operated in conjunction with a hospital Section 170(b)(1)(A)(iii) Enter the hospital's name, city, and state ▶ _____
- 10 An organization operated for the benefit of a college or university owned or operated by a governmental unit Section 170(b)(1)(A)(iv) (Also complete the **Support Schedule** in Part IV-A)
- 11a An organization that normally receives a substantial part of its support from a governmental unit or from the general public Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A)
- 11b A community trust Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A)
- 12 An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30 1975 See section 509(a)(2) (Also complete the **Support Schedule** in Part IV-A)
- 13 An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in (1) lines 5 through 12 above or (2) section 501(c)(4), (5) or (6) if they meet the test of section 509(a)(2) (See section 509(a)(3))

Provide the following information about the supported organizations (See page 5 of the instructions)

(a) Name(s) of supported organization(s)	(b) Line number from above

- 14 An organization organized and operated to test for public safety Section 509(a)(4) (See page 6 of the instructions)

Part IV-A Support Schedule (Complete only if you checked a box on line 10, 11, or 12) *Use cash method of accounting*

Note You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting

Calendar year (or fiscal year beginning in)	(a) 2000	(b) 1999	(c) 1998	(d) 1997	(e) Total
15 Gifts, grants, and contributions received (Do not include unusual grants. See line 28.)	73,309 ⁶⁸				
16 Membership fees received	4,277 ⁶⁸				
17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable etc. purpose	8,218 ⁵⁰				
18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975	397 ⁴²				
19 Net income from unrelated business activities not included in line 18	-				
20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf	-				
21 The value of services or facilities furnished to the organization by a governmental unit without charge. Do not include the value of services or facilities generally furnished to the public without charge.	-				
22 Other income. Attach a schedule. Do not include gain or (loss) from sale of capital assets.	-				
23 Total of lines 15 through 22	85,202 ⁶¹				
24 Line 23 minus line 17	77,984 ⁴				
25 Enter 1% of line 23	862 ⁰³				
26 Organizations described on lines 10 or 11	a Enter 2% of amount in column (e), line 24				26a
b Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 1997 through 2000 exceeded the amount shown in line 26a. Do not file this list with your return. Enter the total of all these excess amounts					26b -
c Total support for section 509(a)(1) test. Enter line 24, column (e)					26c
d Add Amounts from column (e) for lines 18 _____ 19 _____ 22 _____ 26b _____					26d
e Public support (line 26c minus line 26d total)					26e
f Public support percentage (line 26e (numerator) divided by line 26c (denominator))					26f %
27 Organizations described on line 12	a For amounts included in lines 15, 16, and 17 that were received from a "disqualified person," prepare a list for your records to show the name of and total amounts received in each year from each "disqualified person." Do not file this list with your return. Enter the sum of such amounts for each year.				
(2000) N/A (1999) N/A (1998) N/A (1997) N/A					
b For any amount included in line 17 that was received from each person (other than "disqualified persons"), prepare a list for your records to show the name of and amount received for each year that was more than the larger of (1) the amount on line 25 for the year or (2) \$5,000 (include in the list organizations described in lines 5 through 11, as well as individuals). Do not file this list with your return. After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year.					
(2000) N/A (1999) N/A (1998) N/A (1997) N/A					
c Add Amounts from column (e) for lines 15 _____ 16 _____ 17 _____ 20 _____ 21 _____					27c -
d Add Line 27a total _____ and line 27b total _____					27d -
e Public support (line 27c total minus line 27d total)					27e -
f Total support for section 509(a)(2) test. Enter amount from line 23, column (e)	27f -				
g Public support percentage (line 27e (numerator) divided by line 27f (denominator))					27g ~ %
h Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator))					27h ~ %
28 Unusual Grants. For an organization described in line 10, 11, or 12 that received any unusual grants during 1997 through 2000, prepare a list for your records to show, for each year, the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant. Do not file this list with your return. Do not include these grants in line 15.					

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

Supplementary Information for
line 1 of Form 990, 990-EZ and 990-PF (see instructions)

OMB No 1545-0047

2001

Name of organization

INDIANA NATIONAL ROAD ASSOCIATION

Employer identification number

35 1948700

Organization type (check one)

Filers of

Section

Form 990 or 990-EZ

- 501(c)(**3**) (enter number) organization
- 4947(a)(1) nonexempt charitable trust not treated as a private foundation
- 527 political organization

Form 990-PF

- 501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General rule** or a **Special rule** (Note Only a section 501(c)(7), (8), or (10) organization can check box(es) for both the General rule and a Special rule—see instructions)

General Rule—

- For organizations filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor (Complete Parts I and II)

Special Rules—

- For a section 501(c)(3) organization filing Form 990, or Form 990-EZ, that met the 33⅓% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of \$5,000 or 2% of the amount on line 1 of these forms (Complete Parts I and II)
- For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, aggregate contributions or bequests of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary or educational purposes, or the prevention of cruelty to children or animals (Complete Parts I, II, and III)
- For a section 501(c)(7) (8) or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, some contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000 (If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the Parts unless the General rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year) ▶ \$ _____

Caution Organizations that are not covered by the General rule and/or the Special rules do not file Schedule B (Form 990, 990-EZ or 990-PF), but they must check the box in the heading of their Form 990, Form 990-EZ, or on line 1 of their Form 990-PF, to certify that they do not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization

INDIANA NATIONAL ROAD ASSOCIATION

Employer identification number

35 1948700

Part I Contributors (See Specific Instructions)

(a) No	(b) Name, address and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
<u>1</u>		\$ <u>48,309⁶⁸</u>	Person <input checked="" type="checkbox"/> GRANT Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
<u>2</u>		\$ <u>25,000⁰⁰</u>	Person <input checked="" type="checkbox"/> GRANT Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
---	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
---	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
---	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
---	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

STATEMENT No. 1.

The Indiana National Road Association produced, for resale, commemorative lapel pins that were patterned on the U.S. 40 Highway sign commonly seen along the National Road from Maryland to Illinois.

STATEMENT No.2.

These are expenses connected with our two INRA special events--an antique auto tour along the National Road in September; and a historic preservation workshop conducted in Cumberland, Indiana. Also, the total includes participation in the Main Street USA conference (\$250); costs of producing the pins mentioned in statement No. 1 (\$97.72), gifts and awards totalling (\$15.99); state filing fees (\$20.00), expenses connected with conducting our annual meeting (\$150.00).

STATEMENT No. 3

This was a loan by Indiana Tourism to the Indiana National Road Association to assist with the matching portion of the National Scenic Byways grant to fund the interpretive master plan. It was repaid in full o May 26, 2001.

THE INDIANA NATIONAL ROAD ASSOCIATION

P.O. Box 284
Cambridge City IN 47327
office 765-478-3172
fax 765-478-3410

The Indiana National Road Association

- was organized in 1994 as a community-based, not-for-profit organization representing members who live near or own businesses along the National Road (U S 40) in Indiana and others who value the historic corridor as a cultural and economic resource
- has received significant organizational support and leadership from Historic Landmarks Foundation of Indiana and Fred Holycross, Director, Eastern Regional Office, Historic Landmarks Foundation of Indiana.
- is headquartered at the Historic Landmarks Foundation of Indiana Eastern Regional Office in the Huddleston Farmhouse Inn Museum on US 40 in Cambridge City.

Purpose

- Identify, preserve, interpret, promote and improve access by the general public to the length of the National Road in Indiana and associated sites and be concerned with the entire history of the road from its survey to the present.
- Pursue whatever measures are necessary or advisable to prevent the further deterioration, demolition or alteration of the extant remains of the road and the historic resources along its length
- Publicize and seek public exposure of its goals and activities, in order to create popular awareness and concern for the preservation of the National Road in Indiana and the historic resources along it.
- Facilitate scholarly and popular research about the National Road in Indiana and publish a periodical as a forum for scholarly and/or general interest articles and news of activity relevant to the Indiana National Road Association
- Create and implement various educational and promotional programs and projects along the National Road.
- Work with tourism and economic development programs and agencies in coordinating and developing the economic potential of communities along and near the National Road
- Be exclusively charitable and educational in nature, within the meaning of section 501-C-3 of the Internal Revenue Code.

Goals

- Promote and enhance cultural and natural resources along the National Road corridor.
- Protect and improve the quality of life for residents along the National Road
- Promote economic development in National Road communities through heritage tourism and related businesses
- Educate the public about the National Road's historic and cultural significance through interpretive activities and programs

Board of Directors (updated 4-12-01)

Margaret Banning <i>Marion</i>	Dept of Metropolitan Development Room 202 City County Building 200 East Washington Street Indianapolis, IN 46204 Office 317-327-5444 Fax 317-327-5858 mbannun@indygov.org	Pat Martin <i>Clay, Putnam, Vigo</i>	West Central Indiana Economic and Development District P O Box 359 Terre Haute, IN 47808 office 812-238-1561 ext 36 fax 812-238-1564 home 812-443-2532 pmartin@abcf.com
James Brower <i>Wayne</i>	Brower's Gaar Nursery 8095 East US 40 Cambridge City, IN 47327 office 765-478-3191 fax 765-478-3149	Anne Phipps <i>Putnam</i>	Deer Valley Farm 4344 East US 40 Greencastle, IN 46135 home 765-526-2297
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Paul Diebold <i>Marion</i>	IDNR Historic Preservation and Archaeology 402 W Washington St, W274 Indianapolis, IN 46204 office 317-232-1646 fax 317-232-0693 home 317-353-8874 diebold@tcon.net or pdiebold@dnr.state.in.us	Mark Reynold <i>Marion</i>	101 South Heflin Street Cumberland, IN 46229 office 317-894-0257 fax 317-894-5557 mgarden@iquest.net
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J Higbee <i>Hendricks</i>	Director of Planning and Zoning Municipal Building 206 West Main Street P O Box 65 Plainfield, IN 46168 office 317-839-2561 fax 317-838-5236 home 317-837-8307 planning@town.plainfield.in.us	Donna Tauber <i>Henry</i>	Spiceland Township Trustee 230 E Broad Street P O Box 106 Spiceland, IN 47385 Home 765-987-7565 Dtauber@kva.net
Marcia Hoyt <i>Wayne</i>	Lantz House Inn B&B 214 West Main Street Centerville, IN 47330 home 765-855-2936 fax 765-855-3192	Marianna Weinzapfel <i>Marion</i>	Indiana Department of Commerce One North Capitol, Suite 700 Indianapolis, IN 46204-2288 office 317-232-8897 fax 317-233-6887 mweinzapfel@commerce.state.in.us
		Scott Zimmerman <i>Wayne</i>	Director Eastern Regional Office Historic Landmarks of Indiana P O Box 284 Cambridge City, IN 47327 office 765-478-3172 fax 765-478-3410 home: 765-287-0594 zimmerman@historiclandmarks.org

2001 Ex-Officio Members of the Indiana National Road Association Board of Directors

Others who offer us their assistance and expertise

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Marion
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Executive Director of the Indiana National Road Association

Joseph Jarzen
Historic Landmarks Foundation of Indiana
P O Box 284
Cambridge City, IN 47374
Office 765-478-3172
Fax 765-478-3410
Home 765-965-9677
hlfiro@infocom.com

Web sites of interest

INRA Homepage
www.state.in.us/dnr/historic/inra/index.htm

Wayne County CVB <http://www.visitrichmond.org/>

National Road of Illinois www.nationalroad.org

National Road Alliance www.nationalroadalliance.org