

Form **990**Department of the Treasury
Internal Revenue Service**Return of Organization Exempt From Income Tax**

Under section 501(c) of the Internal Revenue Code (except black lung benefit trust or private foundation), section 527, or section 4947(a)(1) nonexempt charitable trust

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

2000Open to Public
Inspection**A** For the 2000 calendar year, OR tax year period beginning **JUL 1, 2000** and ending **JUN 30, 2001****B** Check if applicable:

- ☐ Change of address
☐ Change of name
☐ Initial return
☐ Final return
☐ Amended return (use also for state reporting)

Please use IRS label or print or type See Specific Instructions

C Name of organization**RIVERKEEPER, INC.**

Number and street (or P.O. box if mail is not delivered to street address)

25 WING & WING

Room/suite

City or town, state or country, and ZIP

GARRISON, NY 10524**D** Employer identification number**13-3204621****E** Telephone number**845-424-4149****F** Check ☐ if application pending**G** Organization type (check only one) ▶ ☒ 501(c) (3) ◀ (insert no) ☐ 527
OR ☐ 4947(a)(1)

• Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ)

J Accounting method☐ Cash ☒ Accrual ☐ Other (specify) ▶**K** Check here ☐ if the organization's gross receipts are normally not more than \$25,000. The organization need not file a return with the IRS, but if the organization received a Form 990 Package in the mail, it should file a return without financial data. Some states require a complete return.

(H and I are not applicable to section 527 orgs.)

H(a) Is this a group return for affiliates? ☐ Yes ☒ No**H(b)** If "Yes," enter number of affiliates ▶**H(c)** Are all affiliates included? **N/A** ☐ Yes ☐ No (If "No," attach a list)**H(d)** Is this a separate return filed by an organization covered by a group ruling? ☐ Yes ☒ No**I** Enter 4-digit group exemption no. (GEN) ▶**L** Check this box if the organization is not required to attach Schedule B (Form 990 or 990-EZ) ▶ ☐**Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances**

1	Contributions, gifts, grants, and similar amounts received	1a	364,040.	1d	476,052.
a	Direct public support	1b		2	210,000.
b	Indirect public support	1c	112,012.	3	
c	Government contributions (grants)			4	32,350.
d	Total (add lines 1a through 1c) (cash \$ 476,052. noncash \$)			5	
2	Program service revenue including government fees and contracts (from Part VII, line 93)	6a		6c	
3	Membership dues and assessments	6b		7	
4	Interest on savings and temporary cash investments			8d	
5	Dividends and interest from securities			9c	2,008,002.
6a	Gross rents			10c	
b	Less: rental expenses			11	5,304.
c	Net rental income or (loss) (subtract line 6b from line 6a)			12	2,731,708.
7	Other investment income (describe ▶)			13	1,327,285.
8a	Gross amount from sale of assets other than inventory	(A) Securities	(B) Other	14	56,019.
b	Less: cost or other basis and sales expenses	8a		15	293,934.
c	Gain or (loss) (attach schedule)	8b		16	
d	Net gain or (loss) (combine line 8c, columns (A) and (B))	8c		17	1,677,238.
9	Special events and activities (attach schedule)			18	1,054,470.
a	Gross revenue (not including \$ 0. of contributions reported on line 1a)	9a	2,267,746.	19	1,345,682.
b	Less: direct expenses other than fundraising expenses	9b	259,744.	20	0.
c	Net income or (loss) from special events (subtract line 9b from line 9a)			21	2,400,152.
10a	Gross sales of inventory, less returns and allowances	10a			
b	Less: cost of goods sold	10b			
c	Gross profit or (loss) from sales of inventory (attach schedule) (subtract line 10b from line 10a)				
11	Other revenue (from Part VII, line 103)				
12	Total revenue (add lines 1d, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11)				
13	Other services (from line 44, column (B))				
14	Management and general (from line 44, column (C))				
15	Fundraising (from line 44, column (D))				
16	Payments to affiliates (attach schedule)				
17	Total expenses (add lines 16 and 44, column (A))				
18	Excess or (deficit) for the year (subtract line 17 from line 12)				
19	Net assets or fund balances at beginning of year (from line 73, column (A))				
20	Other changes in net assets or fund balances (attach explanation)				
21	Net assets or fund balances at end of year (combine lines 18, 19, and 20)				

023001

12-19-00

LHA For Paperwork Reduction Act Notice, see page 1 of the separate instructions

Form 990 (2000)

13220211 793097 27651

2000.08000 RIVERKEEPER, INC.

27651 1

MAR 09 '02

FILMED

Net Assets

527

RECEIVED

FEB 28 2001

CODE 1

17

Part II Statement of Functional Expenses

All organizations must complete column (A). Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others.

Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I	(A) Total	(B) Program services	(C) Management and general	(D) Fundraising
22 Grants and allocations (attach schedule)				
cash \$ _____ noncash \$ _____	22			
23 Specific assistance to individuals (attach schedule)	23			
24 Benefits paid to or for members (attach schedule)	24			
25 Compensation of officers, directors, etc	25			
26 Other salaries and wages	26			
27 Pension plan contributions	27			
28 Other employee benefits	28			
29 Payroll taxes	29			
30 Professional fundraising fees	30			
31 Accounting fees	31			
32 Legal fees	32			
33 Supplies	33			
34 Telephone	34			
35 Postage and shipping	35			
36 Occupancy	36			
37 Equipment rental and maintenance	37			
38 Printing and publications	38			
39 Travel	39			
40 Conferences, conventions, and meetings	40			
41 Interest	41			
42 Depreciation, depletion, etc (attach schedule)	42			
43 Other expenses (itemize)				
a SEE STATEMENT OF	43a			
b FUNCTIONAL EXPENSES	43b			
c ATTACHED	43c	1,677,238.	1,327,285.	56,019.
d	43d			
e	43e			
44 Total functional expenses (add lines 22 through 43). Organizations completing columns (B)-(D), carry these totals to lines 13-15.	44	1,677,238.	1,327,285.	56,019.

Reporting of Joint Costs Did you report in column (B) (Program services) any joint costs from a combined educational campaign and fundraising solicitation? ▶ ☐ Yes ☒ No

If "Yes," enter (i) the aggregate amount of these joint costs \$ _____, (ii) the amount allocated to Program services \$ _____, (iii) the amount allocated to Management and general \$ _____, and (iv) the amount allocated to Fundraising \$ _____.

Part III Statement of Program Service Accomplishments

What is the organization's primary exempt purpose? ▶

ENVIRONMENTAL PROTECTION

All organizations must describe their exempt purpose achievements in a clear and concise manner. State the number of clients served, publications issued, etc. Discuss achievements that are not measurable. (Section 501(c)(3) and (4) organizations and 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants and allocations to others.)

Program Service Expenses
(Required for 501(c)(3) and (4) orgs., and 4947(a)(1) trusts, but optional for others.)

a HUDSON RIVER STEWARDSHIP; NYC RESERVOIR PROTECTION; PREVENTION OF FISH KILLS BY POWER PLANTS; HUDSON RIVER ACCESS IMPROVEMENT; CULTIVATION OF SIMILAR RIVERKEEPER PROGRAMS THROUGHOUT THE COUNTRY. (Grants and allocations \$ _____)	1,327,285.
b _____ (Grants and allocations \$ _____)	
c _____ (Grants and allocations \$ _____)	
d _____ (Grants and allocations \$ _____)	
e Other program services (attach schedule) (Grants and allocations \$ _____)	
f Total of Program Service Expenses (should equal line 44, column (B), Program services)	1,327,285.

Part IV Balance Sheets

Note Where required, attached schedules and amounts within the description column should be for end-of-year amounts only

		(A) Beginning of year		(B) End of year	
Assets	45 Cash - non-interest-bearing	19,819.	45	88,619.	
	46 Savings and temporary cash investments	488,290.	46	1,631,000.	
	47 a Accounts receivable	47a			
	b Less allowance for doubtful accounts	47b	47c		
	48 a Pledges receivable	48a	608,416.		
	b Less allowance for doubtful accounts	48b			
	49 Grants receivable		49		
	50 Receivables from officers, directors, trustees, and key employees		50		
	51 a Other notes and loans receivable	51a			
	b Less allowance for doubtful accounts	51b	51c		
	52 Inventories for sale or use		52		
	53 Prepaid expenses and deferred charges	5,103.	53	6,690.	
	54 Investments - securities		54		
	55 a Investments - land, buildings, and equipment basis	55a			
	b Less accumulated depreciation	55b	55c		
56 Investments - other		167,965.	56		
57 a Land, buildings, and equipment basis	57a	249,531.			
b Less accumulated depreciation	57b	120,644.	57c	128,887.	
58 Other assets (describe)			58		
59 Total assets (add lines 45 through 58) (must equal line 74)		1,396,412.	59	2,463,612.	
Liabilities	60 Accounts payable and accrued expenses	50,730.	60	63,460.	
	61 Grants payable		61		
	62 Deferred revenue		62		
	63 Loans from officers, directors, trustees, and key employees		63		
	64 a Tax-exempt bond liabilities		64a		
	b Mortgages and other notes payable		64b		
	65 Other liabilities (describe)		65		
66 Total liabilities (add lines 60 through 65)		50,730.	66	63,460.	
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 67 through 69 and lines 73 and 74				
	67 Unrestricted	475,716.	67	1,624,334.	
	68 Temporarily restricted	869,966.	68	775,818.	
	69 Permanently restricted		69		
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 70 through 74				
	70 Capital stock, trust principal, or current funds		70		
	71 Paid-in or capital surplus, or land, building, and equipment fund		71		
	72 Retained earnings, endowment, accumulated income, or other funds		72		
	73 Total net assets or fund balances (add lines 67 through 69 OR lines 70 through 72 column (A) must equal line 19 and column (B) must equal line 21)		1,345,682.	73	2,400,152.
	74 Total liabilities and net assets / fund balances (add lines 66 and 73)		1,396,412.	74	2,463,612.

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.

Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

a	Total expenses and losses per audited financial statements	a	1,677,238.
b	Amounts included on line a but not on line 17, Form 990		
(1)	Donated services and use of facilities \$ _____		
(2)	Prior year adjustments reported on line 20, Form 990 \$ _____		
(3)	Losses reported on line 20, Form 990 \$ _____		
(4)	Other (specify) \$ _____		
	Add amounts on lines (1) through (4)	b	
c	Line a minus line b	c	1,677,238.
d	Amounts included on line 17, Form 990 but not on line a		
(1)	Investment expenses not included on line 6b, Form 990 \$ _____		
(2)	Other (specify) \$ _____		
	Add amounts on lines (1) and (2)	d	
e	Total expenses per line 17, Form 990 (line c plus line d)	e	1,677,238.

[illegible]

	N/A	Yes	No
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92 Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of Form 1041- Check here ☐ and enter the amount of tax-exempt interest received or accrued during the tax year **92** N/A

Part VII Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

	Unrelated business income		Excluded by section 512 513 or 514		(E) Related or exempt function income
	(A) Business code	(B) Amount	(C) Exclu- sion code	(D) Amount	
93 Program service revenue					
a LITIGATION SETTLEMENT					210,000.
b					
c					
d					
e					
f Medicare/Medicaid payments					
g Fees and contracts from government agencies					
94 Membership dues and assessments					
95 Interest on savings and temporary cash investments			14	32,350.	
96 Dividends and interest from securities					
97 Net rental income or (loss) from real estate					
a debt-financed property					
b not debt-financed property					
98 Net rental income or (loss) from personal property					
99 Other investment income					
100 Gain or (loss) from sales of assets other than inventory					
101 Net income or (loss) from special events			18	2,008,002.	
102 Gross profit or (loss) from sales of inventory					
103 Other revenue					
a OTHER					5,304.
b					
c					
d					
e					
104 Subtotal (add columns (B), (D), and (E))		0.		2,040,352.	215,304.
105 Total (add line 104, columns (B), (D), and (E))					2,255,656.

Note Line 105 plus line 1d, Part I, should equal the amount on line 12, Part I

Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes

Line No	Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes)
93A	MONIES USED TO FURTHER THE ORGANIZATIONS TAX EXEMPT PURPOSE BY PROVIDING REPRESENTATION TO ENVIRONMENTAL ORGANIZATIONS.
103A	PROMOTES THE ORGANIZATION, EDUCATES THE PUBLIC ON THE GOALS OF THE ORGANIZATION, PROVIDES MINIMAL FUNDS FOR OPERATIONS.

Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities

(A) Name, address, and EIN of corporation, partnership, or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End-of-year assets
N/A	%			
	%			
	%			
	%			

Part X Information Regarding Transfers Associated with Personal Benefit Contracts

- (a) Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- (b) Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

completing schedules and statements and to the best of my knowledge and belief, it is true information of which preparer has any knowledge. (Important: See General Instruction W)

Richard R. Knabel President

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Organization Exempt Under Section 501(c)(3)

(Except Private Foundation) and Section 501(e), 501(f), 501(k),
501(n), or Section 4947(a)(1) Nonexempt Charitable Trust

Supplementary Information

▶ **MUST be completed by the above organizations and attached to their Form 990 or 990-EZ**

OMB No 1545-0047

2000

Name of the organization

RIVERKEEPER, INC.

Employer identification number

13 3204621

Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees

(See instructions. List each one. If there are none, enter "None.")

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
SEE ATTACHED SCHEDULE				

Total number of other employees paid over \$50,000 ▶

0

Part II Compensation of the Five Highest Paid Independent Contractors for Professional Services

(See instructions. List each one (whether individuals or firms). If there are none, enter "None.")

(a) Name and address of each independent contractor paid more than \$50,000	(b) Type of service	(c) Compensation
SEE ATTACHED SCHEDULE		

Total number of others receiving over \$50,000 for professional services ▶

0

Part III Statements About Activities

	Yes	No
1 During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If "Yes," enter the total expenses paid or incurred in connection with the lobbying activities ► \$ _____ Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A. Other organizations checking "Yes," must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities.	1	X
2 During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any of its trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary?		
a Sale, exchange, or leasing of property?	2a	X
b Lending of money or other extension of credit?	2b	X
c Furnishing of goods, services, or facilities?	2c	X
d Payment of compensation (or payment or reimbursement of expenses if more than \$1,000)?	2d	X
e Transfer of any part of its income or assets? If the answer to any question is "Yes," attach a detailed statement explaining the transactions.	2e	X
3 Does the organization make grants for scholarships, fellowships, student loans, etc.?	3	X
4 a Do you have a section 403(b) annuity plan for your employees? b Attach a statement to explain how the organization determines that individuals or organizations receiving grants or loans from it in furtherance of its charitable programs qualify to receive payments. (See page 2 of the instructions.)	4a	X

Part IV Reason for Non-Private Foundation Status (See pages 2 through 5 of the instructions.)

The organization is not a private foundation because it is: (Please check only ONE applicable box.)

- 5 ☐ A church, convention of churches, or association of churches. Section 170(b)(1)(A)(i).
- 6 ☐ A school. Section 170(b)(1)(A)(ii). (Also complete Part V, page 5.)
- 7 ☐ A hospital or a cooperative hospital service organization. Section 170(b)(1)(A)(iii).
- 8 ☐ A Federal, state, or local government or governmental unit. Section 170(b)(1)(A)(v).
- 9 ☐ A medical research organization operated in conjunction with a hospital. Section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state ► _____
- 10 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit. Section 170(b)(1)(A)(iv). (Also complete the **Support Schedule** in Part IV-A.)
- 11a ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public. Section 170(b)(1)(A)(vi). (Also complete the **Support Schedule** in Part IV-A.)
- 11b ☐ A community trust. Section 170(b)(1)(A)(vi). (Also complete the **Support Schedule** in Part IV-A.)
- 12 ☒ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc., functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Also complete the **Support Schedule** in Part IV-A.)
- 13 ☐ An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in (1) lines 5 through 12 above, or (2) section 501(c)(4), (5), or (6), if they meet the test of section 509(a)(2). (See section 509(a)(3).)

Provide the following information about the supported organizations. (See page 5 of the instructions.)

(a) Name(s) of supported organization(s)	(b) Line number from above

- 14 ☐ An organization organized and operated to test for public safety. Section 509(a)(4). (See page 5 of the instructions.)

Part IV-A Support Schedule (Complete only if you checked a box on line 10, 11, or 12) Use cash method of accounting
Note You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting

Calendar year (or fiscal year beginning in)	(a) 1999	(b) 1998	(c) 1997	(d) 1996	(e) Total
15 Gifts, grants, and contributions received (Do not include unusual grants. See line 28.)	1,132,669.	375,844.	429,458.	610,973.	2,548,944.
16 Membership fees received					
17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is not a business unrelated to the organization's charitable, etc., purpose	790,155.	990,875.	613,785.	410,136.	2,804,951.
18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975	11,592.	8,838.	7,927.	3,800.	32,157.
19 Net income from unrelated business activities not included in line 18					
20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf					
21 The value of services or facilities furnished to the organization by a governmental unit without charge. Do not include the value of services or facilities generally furnished to the public without charge.					
22 Other income. Attach a schedule. Do not include gain or (loss) from sale of capital assets.					
23 Total of lines 15 through 22	1,934,416.	1,375,557.	1,051,170.	1,024,909.	5,386,052.
24 Line 23 minus line 17	1,144,261.	384,682.	437,385.	614,773.	2,581,101.
25 Enter 1% of line 23	19,344.	13,756.	10,512.	10,249.	
26 Organizations described on lines 10 or 11	a Enter 2% of amount in column (e), line 24				
					26a N/A
b Attach a list (which is not open to public inspection) showing the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 1996 through 1999 exceeded the amount shown in line 26a. Enter the sum of all these excess amounts.					26b N/A
c Total support for section 509(a)(1) test. Enter line 24, column (e).					26c N/A
d Add: Amounts from column (e) for lines 18 _____ 19 _____ 22 _____ 26b _____					26d N/A
e Public support (line 26c minus line 26d total)					26e N/A
f Public support percentage (line 26e (numerator) divided by line 26c (denominator))					26f N/A %
27 Organizations described on line 12	a For amounts included in lines 15, 16, and 17 that were received from a "disqualified person," attach a list (which is not open to public inspection) to show the name of, and total amounts received in each year from each "disqualified person." Enter the sum of such amounts for each year.				
	(1999) 0.	(1998) 0.	(1997) 0.	(1996) 0.	0.
b For any amount included in line 17 that was received from a nondisqualified person, attach a list to show the name of, and amount received for each year, that was more than the larger of (1) the amount on line 25 for the year or (2) \$5,000. (Include in the list organizations described in lines 5 through 11, as well as individuals.) After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year.	(1999) 0.	(1998) 0.	(1997) 0.	(1996) 0.	0.
c Add: Amounts from column (e) for lines 15 <u>2,548,944.</u> 16 _____ 17 <u>2,804,951.</u> 20 _____ 21 _____					27c 5,353,895.
d Add: Line 27a total <u>0.</u> and line 27b total <u>0.</u>					27d 0.
e Public support (line 27c total minus line 27d total)					27e 5,353,895.
f Total support for section 509(a)(2) test. Enter amount on line 23, column (e).					27f 5,386,052.
g Public support percentage (line 27e (numerator) divided by line 27f (denominator))					27g 99.4030%
h Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator))					27h .5970%

28 Unusual Grants For an organization described in line 10, 11, or 12, that received any unusual grants during 1996 through 1999, attach a list (which is not open to public inspection) for each year showing the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant. Do not include these grants in line 15. (See page 5 of the instructions.)

NONE

Part V Private School Questionnaire
(To be completed ONLY by schools that checked the box on line 6 in Part IV)

N/A

		Yes	No
29	Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?		
30	Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?		
31	Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe, if "No," please explain (If you need more space, attach a separate statement)		
32	Does the organization maintain the following		
a	Records indicating the racial composition of the student body, faculty, and administrative staff?		
b	Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?		
c	Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?		
d	Copies of all material used by the organization or on its behalf to solicit contributions? If you answered "No" to any of the above, please explain (If you need more space, attach a separate statement)		
33	Does the organization discriminate by race in any way with respect to		
a	Students' rights or privileges?		
b	Admissions policies?		
c	Employment of faculty or administrative staff?		
d	Scholarships or other financial assistance?		
e	Educational policies?		
f	Use of facilities?		
g	Athletic programs?		
h	Other extracurricular activities? If you answered "Yes" to any of the above, please explain (If you need more space, attach a separate statement)		
34 a	Does the organization receive any financial aid or assistance from a governmental agency?		
b	Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either 34a or b, please explain using an attached statement		
35	Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," attach an explanation		

Schedule A (Form 990 or 990-EZ) 2000

Part VI-A Lobbying Expenditures by Electing Public Charities

(To be completed ONLY by an eligible organization that filed Form 5768)

N/A

- Check here ☐ If the organization belongs to an affiliated group
- Check here ☐ If you checked "a" above and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred)		(a) Affiliated group totals	(b) To be completed for ALL electing organizations												
		N/A													
36	Total lobbying expenditures to influence public opinion (grassroots lobbying)	36													
37	Total lobbying expenditures to influence a legislative body (direct lobbying)	37													
38	Total lobbying expenditures (add lines 36 and 37)	38													
39	Other exempt purpose expenditures	39													
40	Total exempt purpose expenditures (add lines 38 and 39)	40													
41	Lobbying nontaxable amount. Enter the amount from the following table -														
<table border="0"> <tr> <td>If the amount on line 40 is -</td> <td>The lobbying nontaxable amount is -</td> </tr> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 40</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000</td> </tr> </table>		If the amount on line 40 is -	The lobbying nontaxable amount is -	Not over \$500,000	20% of the amount on line 40	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000	Over \$17,000,000	\$1,000,000	41	
If the amount on line 40 is -	The lobbying nontaxable amount is -														
Not over \$500,000	20% of the amount on line 40														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000														
Over \$17,000,000	\$1,000,000														
42	Grassroots nontaxable amount (enter 25% of line 41)	42													
43	Subtract line 42 from line 36. Enter -0- if line 42 is more than line 36	43													
44	Subtract line 41 from line 38. Enter -0- if line 41 is more than line 38	44													

Caution If there is an amount on either line 43 or line 44, you must file Form 4720

4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 45 through 50 on page 9 of the instructions.)

Calendar year (or fiscal year beginning in)	Lobbying Expenditures During 4-Year Averaging Period				N/A
	(a) 2000	(b) 1999	(c) 1998	(d) 1997	(e) Total
45	Lobbying nontaxable amount				0.
46	Lobbying ceiling amount (150% of line 45(e))				0.
47	Total lobbying expenditures				0.
48	Grassroots nontaxable amount				0.
49	Grassroots ceiling amount (150% of line 48(e))				0.
50	Grassroots lobbying expenditures				0.

Part VI-B Lobbying Activity by Nonelecting Public Charities

(For reporting only by organizations that did not complete Part VI-A)

N/A

During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of

- a Volunteers
- b Paid staff or management (include compensation in expenses reported on lines c through h)
- c Media advertisements
- d Mailings to members, legislators, or the public
- e Publications, or published or broadcast statements
- f Grants to other organizations for lobbying purposes
- g Direct contact with legislators, their staffs, government officials, or a legislative body
- h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means
- i Total lobbying expenditures (add lines c through h)

Yes	No	Amount
		0.

If "Yes" to any of the above, also attach a statement giving a detailed description of the lobbying activities

Riverkeeper, Inc
Donor Report

\$26,400

\$8,500

\$10,000

\$5,000

\$10,000

\$10,000

\$10,000

\$20,000

\$50,000

\$10,000

\$10,000

\$20,000

\$10,000

\$5,000

\$25,000

\$25,000

\$5,000

\$6,000

Riverkeeper, Inc
Donor Report

	\$7,600	\$25,000
	\$10,000	\$5,000
	\$10,000	\$5,000
	\$10,000	\$10,000
	\$5,000	\$10,000
	\$20,000	\$12,000
	\$5,000	\$25,000
	\$10,000	\$10,000
	\$15,000	\$25,000

NEW YORK, NY 10011

Riverkeeper, Inc
Donor Report

\$10,000	\$15,000
\$15,000	\$10,000
\$10,000	\$10,000
\$7,500	\$15,000
\$10,000	\$25,000
\$30,000	\$10,000
\$5,000	\$11,200
\$5,000	\$10,000
\$10,000	\$10,000

Riverkeeper, Inc
Donor Report

\$90,000

\$5,000

\$5,000

\$8,000

\$10,000

\$10,000

\$5,000

\$25,000

\$10,000

\$50,000

\$10,000

\$25,000

\$10,000

\$10,000

\$5,000

\$10,000

\$10,000

\$10,000

Riverkeeper, Inc
Donor Report

\$10,000	\$10,000
\$50,000	\$5,000
\$5,000	\$10,000
\$10,000	\$25,000
\$20,000	\$5,000
\$6,500	\$23,500
\$10,000	\$25,000
\$6,126	\$10,000
\$23,500	\$30,000

Riverkeeper, Inc
Donor Report

\$25,000	\$25,000
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\$15,000	\$5,000
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\$25,000	\$5,000
----------	---------

\$25,000	\$235,002
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\$25,000	\$10,000
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\$10,000	\$10,000
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\$25,000	\$10,000
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\$100,000	\$10,000
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\$5,000	\$10,000
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Riverkeeper, Inc
Donor Report

\$5,000

\$10,000

\$10,000

\$10,000

\$10,000

\$25,000

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	Reduction In Basis - ITC, 179 Salvage	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Amount Of Depreciation
1	SEE ATTACHED SCHEDULE	VARIABLE		.000	19	249,531.			249,531.	120,644.		0.
	* TOTAL 990 PAGE 2 DEPR.					249,531.		0.	249,531.	120,644.	0.	0.

FORM 990	SPECIAL EVENTS AND ACTIVITIES	STATEMENT	1
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DESCRIPTION OF EVENT	GROSS RECEIPTS	CONTRIBUT. INCLUDED	GROSS REVENUE	DIRECT EXPENSES	NET INCOME
VARIOUS FUND RAISING EVENTS	2,267,746.		2,267,746.	259,744.	2,008,002.
TO FM 990, PART I, LINE 9	2,267,746.		2,267,746.	259,744.	2,008,002.

FORM 990	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	2
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
SEE ATTACHED SCHEDULE	249,531.	120,644.	128,887.
TOTAL TO FORM 990, PART IV, LN 57	249,531.	120,644.	128,887.

RIVERKEEPER, INC
STATEMENT OF FUNCTIONAL EXPENSES
YEAR ENDED JUNE 30, 2001
ID# 13-3204621

	<u>2001 Total</u>	<u>Program Services</u>	<u>Administrative and General</u>	<u>Fund Raising</u>
Officers salaries and related payroll taxes	169,359	135,487	8,468	25,404
Salaries and related payroll taxes	598,250	509,368	28,564	60,318
Health insurance and employee benefits	58,542	48,768	3,759	6,015
Accounting	35,278	25,942	4,258	5,078
Conferences and meetings	4,695	4,469	14	212
Depreciation	20,601	16,481	1,030	3,090
Dues and subscriptions	9,258	6,090	71	3,097
Equipmental rental and maintenance	51,927	46,120	2,077	3,730
Food and gratuities	12,859	7,197	434	5,228
Insurance	12,525	11,193	666	666
Legal	212,417	212,167	125	125
Miscellaneous	3,219	2,806	28	385
Occupancy	12,480	12,457	23	0
Postage and shipping	20,583	9,093	398	11,092
Printing & publications	67,555	47,237	213	20,105
Professional fees and consulting	195,218	83,776		111,442
Program outreach	39,115	39,047	68	
Supplies	79,410	46,156	2,168	31,086
Telephone and connectivity	32,889	27,833	2,338	2,718
Travel	35,649	30,803	1,010	3,836
Utilities	5,409	4,795	307	307
Total	<u>1,677,238</u>	<u>1,327,285</u>	<u>56,019</u>	<u>293,934</u>

Riverkeeper, Inc
Depreciation Schedule

Run date 11-Feb-2002
Run time 06 34 AM

Description	Year placed in service	Cost	Method	06/30/00 Accum Depr	06/30/01 Depr Exp	06/30/01 Accum Depr
<hr/>						
Boat Improvements						
Julius Peterson, Inc	06/30/1999	72,502 00	SL 15 years	7,250 00	4,833 47	12,083 47
Julius Peterson, Inc	Fiscal 2000	30,467 00	SL 15 years	1,016 00	2,031 13	3,047 13
See detail	Fiscal 2001	8,856 84	SL 15 years	0	590 46	590 46
Total		111,825 84		8,266 00	7,455 06	15,721 06
Boat (sold fiscal 06/30/00)		0 00	SL 15 years	0 00	0 00	0 00
Boat Equipment	Prior 06/30/98	10,236 00	SL 7 years	10,236 00	0 00	10,236 00
Equipment						
Fully depreciated	Prior 06/30/98	35,735 00	SL 5 years	35,735 00	0 00	35,735 00
Fully depreciated	Prior 06/30/98	25,913 00	SL 5 years	25,913 00	0 00	25,913 00
See detail	Prior 06/30/98	1,100 00	SL 5 years	898 00	202 00	1,100 00
See detail	Prior 06/30/98	340 00	SL 5 years	272 00	68 00	340 00
See detail	Prior 06/30/98	200 00	SL 5 years	150 00	40 00	190 00
See detail	Prior 06/30/98	5,243 00	SL 5 years	3,495 00	1,048 60	4,543 60
See detail	Prior 06/30/98	797 00	SL 5 years	518 00	159 40	677 40
See detail	Prior 06/30/98	2,638 00	SL 5 years	1,715 00	527 60	2,242 60
See detail	Prior 06/30/98	3,788 00	SL 5 years	2,210 00	757 60	2,967 60
See detail	Prior 06/30/98	1,408 00	SL 5 years	798 00	281 60	1,079 60
See detail	Prior 06/30/98	201 00	SL 5 years	114 00	40 20	154 20
See detail	Prior 06/30/98	335 00	SL 5 years	184 00	67 00	251 00
See detail	Prior 06/30/98	5,609 00	SL 5 years	2,898 00	1,121 80	4,019 80
See detail	Prior 06/30/98	209 00	SL 5 years	77 00	41 80	118 80
See detail	Prior 06/30/98	550 00	SL 5 years	196 00	110 00	306 00
See detail	Prior 06/30/98	188 00	SL 5 years	67 00	37 60	104 60
See detail	Prior 06/30/98	298 00	SL 5 years	96 00	59 60	155 60
See detail	Prior 06/30/98	1,577 00	SL 5 years	632 00	315 40	947 40
Various see detail	Fiscal 06/30/99	15,877 00	SL 5 years	4,763 00	3,175 40	7,938 40
Various see detail	Fiscal 06/30/00	8,103 54	SL 5 years	810 00	1,620 71	2,430 71
Various see detail	Fiscal 06/30/01	17,360 26	SL 5 years	0 00	3,472 05	3,472 05
		127,469 80		81,541 00	13,146 36	94,687 36
Total Depreciation		<u>249,531 64</u>		<u>100,043 00</u>	<u>20,601 42</u>	<u>120,644 42</u>

Vendors paid in excess of \$50,000

Natural Resources Defense Council 40 West 20 th Street New York, NY 10011	\$55,327 65
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Events Associates 162 West 56 th Street New York, NY 10019	\$54,069 74
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CEA Engineers, P C 70 Hilltop Road Ramsey, New Jersey 07446	\$54,577 59
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Staff paid in excess of \$50,000

Alex Matthiessen Executive Director	\$88,846 16
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Brain Lindquist Director of Operations	\$80,512 74
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David Gordon Senior Attorney	\$57,210 78
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Reed Super Senior Attorney	\$55,769 25
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Marc Yaggi Senior Attorney	\$52,923 16
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John Lipscomb Boat Captain	\$52,499 63
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RIVERKEEPER BOARD OF DIRECTORS -2001

John P. Abplanalp
Riverkeeper, Inc.
25 Wing & Wing
Garrison, NY 10524

Elizabeth Barbanes
Riverkeeper, Inc.
25 Wing & Wing
Garrison, NY 10524

Brenda L. Boozer
Riverkeeper, Inc.
25 Wing & Wing
Garrison, NY 10524

Lorraine Bracco
Riverkeeper, Inc.
25 Wing & Wing
Garrison, NY 10524

Ann Colley
Executive Committee
Riverkeeper, Inc.
25 Wing & Wing
Garrison, NY 10524

Peggy Cullen
Secretary
Riverkeeper, Inc.
25 Wing & Wing
Garrison, NY 10524

Ronald A. DeSilva
Riverkeeper, Inc.
25 Wing & Wing
Garrison, NY 10524

Hamilton Fish
Riverkeeper, Inc.
25 Wing & Wing
Garrison, NY 10524

Robert Gabrielson
Riverkeeper, Inc.
25 Wing & Wing
Garrison, NY 10524

Arthur Glowka
Riverkeeper, Inc.
25 Wing & Wing
Garrison, NY 10524

Anne Hearst
Riverkeeper, Inc.
25 Wing & Wing
Garrison, NY 10524

George Hornig
Treasurer
Riverkeeper, Inc.
25 Wing & Wing
Garrison, NY 10524

Robert F. Kennedy, Jr.
Vice President
Riverkeeper, Inc.
25 Wing & Wing
Garrison, NY 10524

Henry Lewis Kingsley
Riverkeeper, Inc.
25 Wing & Wing
Garrison, NY 10524

Karen Kelly Klopp
Executive Committee
Riverkeeper, Inc.
25 Wing & Wing
Garrison, NY 10524

Richard R. Knabel
President
Riverkeeper, Inc.
25 Wing & Wing
Garrison, NY 10524

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545 1709

► File a separate application for each return

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (not automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Note Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868**Part I Automatic 3-Month Extension of Time** - Only submit original (no copies needed)

Note Form 990-T corporations requesting an automatic 6-month extension - check this box and complete Part I only ☐
All other corporations (including Form 990-C filers) must use Form 7004 to request an extension of time to file income tax returns. Partnerships, REMICs and trusts must use Form 8736 to request an extension of time to file Form 1065, 1066, or 1041

Type or print	Name of Exempt Organization RIVERKEEPER, INC.	Employer identification number 13-3204621
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P O box, see instructions 25 WING & WING	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions GARRISON, NY 10524	

Check type of return to be filed (file a separate application for each return)

- | | | |
|--|--|------------------------------------|
| <input checked="" type="checkbox"/> Form 990 | <input type="checkbox"/> Form 990 T (corporation) | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990 BL | <input type="checkbox"/> Form 990 T (sec 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990 EZ | <input type="checkbox"/> Form 990-T (trust other than above) | <input type="checkbox"/> Form 6069 |
| <input type="checkbox"/> Form 990 PF | <input type="checkbox"/> Form 1041 A | <input type="checkbox"/> Form 8870 |

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a **Group Return** enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the **whole group**, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover

- 1 I request an automatic 3-month (6 month, for 990-T corporation) extension of time until **FEBRUARY 15, 2002**
to file the exempt organization return for the organization named above. The extension is for the organization's return for
► ☐ calendar year _____ or
► ☒ tax year beginning **JUL 1, 2000**, and ending **JUN 30, 2001**

- 2 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

- 3a If this application is for Form 990 BL, 990 PF, 990 T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions \$ _____

- b If this application is for Form 990 PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit \$ _____

- c **Balance Due** Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions \$ **N/A**

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct and complete, and that I am authorized to prepare this form.

Signature ►



Title ►

CPA

Date ►

11-15-01

LHA For Paperwork Reduction Act Notice, see instruction

Form 8868 (12-2000)