

Return of Organization Exempt From Income Tax

2000

Open to Public Inspection

Under section 501(c) of the Internal Revenue Code (except black lung benefit trust or private foundation), section 527, or section 4947(a)(1) nonexempt charitable trust

The organization may have to use a copy of this return to satisfy state reporting requirements

Department of the Treasury Internal Revenue Service

A For the 2000 calendar year, or tax year period beginning JULY 1, 2000, and ending JUNE 30, 2001

- B Check if applicable: Change of address, Change of name, Initial return, Final return, Amended return

C Name of organization: UNITED WAY OF NEW YORK CITY, 2 PARK AVENUE, NEW YORK, NY 10016

D Employer identification number: 13 2617681, E Telephone number: (212) 251-2500, F Check if application pending

G Organization type (check only one) 501(c)(3) Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ)

J Accounting method Accrual

K Check here if the organization's gross receipts are normally not more than \$25,000

Note H and I are not applicable to section 527 orgs. H(a) Is this a group return for affiliates? No. H(b) If Yes enter number of affiliates. H(c) Are all affiliates included? No. H(d) Is this a separate return filed by an organization covered by a group ruling? No. L Check this box if the organization is not required to attach Schedule B (Form 990 or 990-EZ) Yes

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (See Specific Instructions on page 16)

Table with columns for Revenue, Expenses, and Net Assets. Rows include: 1 Contributions, gifts, grants, and similar amounts received; 2 Program service revenue including government fees and contracts; 3 Membership dues and assessments; 4 Interest on savings and temporary cash investments; 5 Dividends and interest from securities; 6a Gross rents; 6b Less rental expenses; 6c Net rental income or (loss); 7 Other investment income; 8a Gross amount from sales of assets other than inventory; 8b Less cost or other basis and sales expenses; 8c Gain or (loss); 8d Net gain or (loss); 9 Special events and activities; 9a Gross revenue; 9b Less direct expenses other than fundraising expenses; 9c Net income or (loss) from special events; 10a Gross sales of inventory, less returns and allowances; 10b Less cost of goods sold; 10c Gross profit or (loss) from sales of inventory; 11 Other revenue; 12 Total revenue; 13 Program services; 14 Management and general; 15 Fundraising; 16 Payments to affiliates; 17 Total expenses; 18 Excess or (deficit) for the year; 19 Net assets or fund balances at beginning of year; 20 Other changes in net assets or fund balances; 21 Net assets or fund balances at end of year.

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Part II Statement of Functional Expenses

All organizations must complete column (A). Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others. (See Specific Instructions on page 20)

Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I		(A) Total	(B) Program services	(C) Management and general	(D) Fundraising
22	Grants and allocations (attach schedule) (cash \$107,915,571; noncash \$1,237,019)	109,152,590	109,152,590		
23	Specific assistance to individuals (attach schedule)				
24	Benefits paid to or for members (attach schedule)				
25	Compensation of officers, directors, etc	420,000		420,000	
26	Other salaries and wages	7,891,030	1,035,350	1,094,970	3,843,439
27	Pension plan contributions	2,069,130	649,208	384,135	1,035,787
28	Other employee benefits	1,154,992	386,142	261,096	507,754
29	Payroll taxes	643,982	229,324	110,481	304,177
30	Professional fundraising fees	239,581			239,581
31	Accounting fees	142,072		120,769	21,303
32	Legal fees	48,884		48,884	
33	Supplies	180,154	46,643	52,729	80,782
34	Telephone	265,062	77,479	51,227	136,356
35	Postage and shipping	172,044	58,736	23,343	89,965
36	Occupancy	1,912,958	554,169	368,590	990,199
37	Equipment rental and maintenance	281,522	56,895	115,388	109,239
38	Printing and publications	551,760	47,748	249	503,763
39	Travel	459,924	148,653	92,425	218,846
40	Conferences, conventions, and meetings	592,500	408,406	44,081	140,013
41	TRAVEL GENERAL CAMPAIGN EVENTS	483,256	6,563	545	476,148
42	Depreciation, depletion, etc (attach schedule)	521,162	142,287	125,977	252,898
43	Other expenses (itemize) a	43a			
	b	43b			
	c SEE ATTACHED SCHEDULE	43c	5,896,216	225,725	5,412,346
	d	43d			
	e	43e			
44	Total functional expenses (add lines 22 through 43). Organizations completing columns (B)-(D), carry these totals to lines 13-15	133,058,819	115,175,606	3,520,614	14,362,599

Reporting of Joint Costs Did you report in column (B) (Program services) any joint costs from a combined educational campaign and fundraising solicitation? Yes No
 If "Yes," enter (i) the aggregate amount of these joint costs \$ _____ (ii) the amount allocated to Program services \$ _____
 (iii) the amount allocated to Management and general \$ _____, and (iv) the amount allocated to Fundraising \$ _____

Part III Statement of Program Service Accomplishments (See Specific Instructions on page 23)

What is the organization's primary exempt purpose? FUNDRAISING FOR DISTRIBUTION TO NOT-FOR-PROFIT ORGANIZATIONS	Program Service Expenses (Required for 501(c)(3) and (4) orgs. and 4947(a)(1) trusts but optional for others.)
a MEMBER AGENCIES GRANTS ALLOCATIONS TO HOSPITAL PARTICIPANTS (Grants and allocations \$ _____)	16,991,891 4,293,609
b DISCRETIONARY GRANTS TO PROVIDE ANNUAL SUPPORT TO FUND SPECIFIC HUMAN SERVICES PROGRAMS AND TO IMPROVE AGENCIES MANAGEMENT CAPABILITIES THROUGH TECHNICAL ASSISTANCE AND TRAINING (Grants and allocations \$ _____)	12,930,944
c CONTRACT SERVICES AND OTHER GRANTS TO SUPPORT HUMAN SERVICES PROGRAMS AMOUNTS RAISED ON BEHALF OF OTHERS (Grants and allocations \$ _____)	37,365,127 28,880,000
d ADDITIONAL PLEDGES NOT COLLECTED BY UNITED WAY DONATED MATERIALS AND EQUIPMENT AGENCY RELATIONS AND SERVICES SUPPORT (Grants and allocations \$ _____)	7,454,000 1,237,019 6,023,016
e Other program services (attach schedule) (Grants and allocations \$ _____)	115,175,606
f Total of Program Service Expenses (should equal line 44, column (B), Program services)	

Part IV Balance Sheets (See Specific Instructions on page 23)

Note		(A)		(B)		
Where required attached schedules and amounts within the description column should be for end-of-year amounts only		Beginning of year		End of year		
Assets	45	Cash—non-interest-bearing	592,839	45	409,182	
	46	Savings and temporary cash investments	12,145,829	46	9,477,706	
	47a	Accounts receivable				
	47b	Less allowance for doubtful accounts		47c		
	48a	Pledges receivable	42,809,368			
	48b	Less allowance for doubtful accounts	5,970,710	36,468,253	48c	36,838,658
	49	Grants receivable	3,484,051	49	3,556,081	
	50	Receivables from officers, directors, trustees, and key employees (attach schedule)		50		
	51a	Other notes and loans receivable (attach schedule)				
	51b	Less allowance for doubtful accounts	273,777	51c	178,840	
	52	Inventories for sale or use	364,851	52	291,086	
	53	Prepaid expenses and deferred charges	415,531	53	177,545	
	54	Investments—securities (attach schedule) <input type="checkbox"/> Cost <input checked="" type="checkbox"/> FMV	4,857,907	54	4,061,852	
	55a	Investments—land, buildings, and equipment basis				
	55b	Less accumulated depreciation (attach schedule)		55c		
	56	Investments—other (attach schedule)		56		
	57a	Land, buildings, and equipment basis	5,017,642			
	57b	Less accumulated depreciation (attach schedule)	1,046,339	3,900,585	57c	3,971,303
	58	Other assets (describe ► MISCELLANEOUS RECEIVABLES)	391,252	58	274,365	
59	Total assets (add lines 45 through 58) (must equal line 74)	62,894,875	59	59,236,618		
Liabilities	60	Accounts payable and accrued expenses	4,110,062	60	5,732,836	
	61	Grants payable	2,964,506	61	4,413,110	
	62	Deferred revenue		62		
	63	Loans from officers, directors, trustees, and key employees (attach schedule)		63		
	64a	Tax-exempt bond liabilities (attach schedule)		64a		
	64b	Mortgages and other notes payable (attach schedule)		64b		
	65	Other liabilities (describe ► ALLOCATIONS AND DESIGNATIONS PAYABLE)	35,066,464	65		
66	Total liabilities (add lines 60 through 65)	42,141,032	66	44,636,284		
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 67 through 69 and lines 73 and 74					
	67	Unrestricted	19,492,385	67	13,068,931	
	68	Temporarily restricted	158,912	68	428,648	
	69	Permanently restricted	1,102,546	69	1,102,755	
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 70 through 74					
	70	Capital stock, trust principal, or current funds		70		
	71	Paid-in or capital surplus, or land, building, and equipment fund		71		
	72	Retained earnings, endowment, accumulated income, or other funds		72		
	73	Total net assets or fund balances (add lines 67 through 69 OR lines 70 through 72, column (A) must equal line 19 and column (B) must equal line 21)	20,753,843	73	14,600,334	
	74	Total liabilities and net assets / fund balances (add lines 66 and 73)	62,894,875	74	59,236,618	

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore please make sure the return is complete and accurate and fully describes, in Part III the organization's programs and accomplishments.

Part VI Other information (See Specific Instructions on page 26)		N/A	Yes	No
76	Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity	76		X
77	Were any changes made in the organizing or governing documents but not reported to the IRS? If "Yes," attach a conformed copy of the changes	77		X
78a	Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?	78a		X
b	If "Yes," has it filed a tax return on Form 990-T for this year?	78b	N/A	
79	Was there a liquidation, dissolution, termination, or substantial contraction during the year? If "Yes," attach a statement	79		X
80a	Is the organization related (other than by association with a statewide or nationwide organization) through common membership, governing bodies, trustees, officers, etc., to any other exempt or nonexempt organization?	80a	X	
b	If "Yes," enter the name of the organization UNITED WAY OF TRI-STATE and check whether it is <input checked="" type="checkbox"/> exempt OR <input type="checkbox"/> nonexempt			
81a	Enter the amount of political expenditures, direct or indirect, as described in the instructions for line 81	81a	NONE	
b	Did the organization file Form 1120-POL for this year?	81b		X
82a	Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value?	82a	X	
b	If "Yes," you may indicate the value of these items here. Do not include this amount as revenue in Part I or as an expense in Part II (See instructions for reporting in Part III)	82b	\$20,000	
83a	Did the organization comply with the public inspection requirements for returns and exemption applications?	83a	X	
b	Did the organization comply with the disclosure requirements relating to quid pro quo contributions?	83b	X	
84a	Did the organization solicit any contributions or gifts that were not tax deductible?	84a	X	
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	84b	X	
85	501(c)(4), (5), or (6) organizations a Were substantially all dues nondeductible by members?	85a	N/A	
b	Did the organization make only in-house lobbying expenditures of \$2,000 or less? If "Yes" was answered to either 85a or 85b, do not complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year	85b	N/A	
c	Dues, assessments, and similar amounts from members	85c	N/A	
d	Section 162(e) lobbying and political expenditures	85d	N/A	
e	Aggregate nondeductible amount of section 6033(e)(1)(A) dues notices	85e	N/A	
f	Taxable amount of lobbying and political expenditures (line 85d less 85e)	85f	N/A	
g	Does the organization elect to pay the section 6033(e) tax on the amount in 85f?	85g		N/A
h	If section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount in 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year?	85h		N/A
86	501(c)(7) orgs Enter a Initiation fees and capital contributions included on line 12	86a	N/A	
b	Gross receipts, included on line 12, for public use of club facilities	86b	N/A	
87	501(c)(12) orgs Enter a Gross income from members or shareholders	87a	N/A	
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	87b	N/A	
88	At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Part IX	88		X
89a	501(c)(3) organizations Enter Amount of tax imposed on the organization during the year under section 4911 NONE , section 4912 NONE , section 4955 NONE			
b	501(c)(3) and 501(c)(4) orgs Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach a statement explaining each transaction	89b		X
c	Enter Amount of tax imposed on the organization managers or disqualified persons during the year under sections 4912, 4955, and 4958		NONE	
d	Enter Amount of tax on line 89c, above, reimbursed by the organization		NONE	
90a	List the states with which a copy of this return is filed NEW YORK			
b	Number of employees employed in the pay period that includes March 12, 2000 (See inst)	90b	149	
91	The books are in care of UNITED WAY OF NEW YORK CITY Telephone no (212) 251-2500 Located at 2 PARK AVENUE, NEW YORK, NEW YORK ZIP code 10016			
92	Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of Form 1041—Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the tax year	92	N/A	

Part VII Analysis of Income-Producing Activities (See Specific Instructions on page 30)

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513 or 514		(E) Related or exempt function income
	(A) Business code	(B) Amount	(C) Exclusion code	(D) Amount	
93 Program service revenue					
a					
b					
c					
d					
e					
f Medicare/Medicaid payments					
g Fees and contracts from government agencies					
94 Membership dues and assessments					
95 Interest on savings and temporary cash investments			14	\$466,359	
96 Dividends and interest from securities					
97 Net rental income or (loss) from real estate					
a debt-financed property					
b not debt-financed property					
98 Net rental income or (loss) from personal property			18	(4,450)	
99 Other investment income					
100 Gain or (loss) from sales of assets other than inventory					
101 Net income or (loss) from special events					
102 Gross profit or (loss) from sales of inventory					
103 Other revenue a MISCELLANEOUS			01	130,980	
b					
c					
d					
e					
104 Subtotal (add columns (B), (D), and (E))				\$592,889	
105 Total (add line 104, columns (B), (D), and (E))				\$592,889	

Note: Line 105 plus line 1d, Part I, should equal the amount on line 12, Part I

Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes (See Specific Instructions on page 31)

Line No	Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes)
▼	NOT APPLICABLE

Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities (See Specific Instructions on page 31)

(A) Name, address and EIN of corporation, partnership or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End-of-year assets
NOT APPLICABLE	%			
	%			
	%			
	%			

Part X Information Regarding Transfers Associated with Personal Benefit Contracts (See Specific Instructions on page 31)

- (a) Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No
- (b) Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
- Note: If "Yes" to (b), file Form 8870 and Form 4720 (see instructions)

Including accompanying schedules and statements, and to the best of my knowledge (other than officer) is based on all information of which preparer has any knowledge

5/14/02

RALPH DICKERSON, JR., PRESIDENT

SCHEDULE A
(Form 990 or 990-EZ)

Organization Exempt Under Section 501(c)(3)

(Except Private Foundation) and Section 501(e), 501(f), 501(k),
501(n), or Section 4947(a)(1) Nonexempt Charitable Trust

OMB No 1545-0047

2000

Department of the Treasury
Internal Revenue Service

Supplementary Information—(See separate instructions)

▶ **MUST be completed by the above organizations and attached to their Form 990 or 990-EZ**

Name of the organization
UNITED WAY OF NEW YORK CITY

Employer identification number
13 - 2617681

Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees
(See page 1 of the instructions List each one If there are none, enter "None")

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
LAWRENCE MANDELL 114 PIERREPONT STREET, #3 BROOKLYN, NY 11201	EXECUTIVE VICE PRESIDENT/CHIEF OPERATING OFFICER 35 HOURS	\$261,250	\$33,756	NONE
LILLIAM BARRIOS-PAOLI 245 EAST 25TH STREET, 7C NEW YORK, NY 10010	SENIOR VICE PRESIDENT 35 HOURS	\$205,000	\$28,671	NONE
GUY BENSON 3 BRISCOE TERRACE HAZLET, NJ 07730	SENIOR VICE PRESIDENT - CHIEF FINANCIAL OFF. 35 HOURS	\$191,500	\$25,618	\$3,427
CHANDRA ANDERSON 343 EAST 51ST STREET, #2C NEW YORK, NY 10022	SENIOR VICE PRESIDENT - 35 HOURS	\$145,000	\$18,588	NONE
FRAN CHARLES 136 MINIVALE ROAD STAMFORD, CT 06907	SENIOR VICE PRESIDENT - 35 HOURS	\$135,000	\$21,941	\$4,812
Total number of other employees paid over \$50,000 ▶	34			

Part II Compensation of the Five Highest Paid Independent Contractors for Professional Services
(See page 1 of the instructions List each one (whether individuals or firms) If there are none, enter "None")

(a) Name and address of each independent contractor paid more than \$50,000	(b) Type of service	(c) Compensation
DAH CONSULTING 99 WALL STREET, NEW YORK, NY 10005	CONSULTANT	\$390,606
VANTAGE CONSULTING GROUP 111 WEST 57TH STREET, NEW YORK, NY 10019	CONSULTANT	\$221,188
HEMMING & GILMAN, INC. 95 MADISON AVENUE, NEW YORK, NY 10016	PROFESSIONAL FUNDRAISING	\$144,555
PRICEWATERHOUSECOOPERS LLP 1301 AVENUE OF THE AMERICAS, NY, NY 10019	AUDIT	\$133,700
INTER-AMERICAN CONSULTING 67 WALL STREET, NEW YORK, NY 10005	CONSULTANT	\$128,085
Total number of others receiving over \$50,000 for professional services ▶	6	

Part III Statements About Activities

- 1 During the year, has the organization attempted to influence national, state, or local legislation including any attempt to influence public opinion on a legislative matter or referendum?
 If "Yes," enter the total expenses paid or incurred in connection with the lobbying activities ▶ \$ _____
 Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A. Other organizations checking "Yes," must complete Part VI B AND attach a statement giving a detailed description of the lobbying activities
- 2 During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any of its trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary:
- a Sale, exchange, or leasing of property?
 - b Lending of money or other extension of credit?
 - c Furnishing of goods, services, or facilities?
 - d Payment of compensation (or payment or reimbursement of expenses if more than \$1,000)?
 - e Transfer of any part of its income or assets?
 If the answer to any question is "Yes," attach a detailed statement explaining the transactions
- 3 Does the organization make grants for scholarships, fellowships, student loans, etc.?
- 4a Do you have a section 403(b) annuity plan for your employees?
- b Attach a statement to explain how the organization determines that individuals or organizations receiving grants or loans from it in furtherance of its charitable programs qualify to receive payments. (See page 2 of the instructions)

	Yes	No
1		X
2a		X
2b		X
2c	X	
2d	X	
2e		X
3	X	
4a	X	

Part IV Reason for Non-Private Foundation Status (See pages 2 through 5 of the instructions)

The organization is not a private foundation because it is: (Please check only **ONE** applicable box.)

- 5 A church, convention of churches, or association of churches. Section 170(b)(1)(A)(i).
- 6 A school. Section 170(b)(1)(A)(ii). (Also complete Part V, page 5.)
- 7 A hospital or a cooperative hospital service organization. Section 170(b)(1)(A)(iii).
- 8 A Federal, state, or local government or governmental unit. Section 170(b)(1)(A)(v).
- 9 A medical research organization operated in conjunction with a hospital. Section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state ▶ _____
- 10 An organization operated for the benefit of a college or university owned or operated by a governmental unit. Section 170(b)(1)(A)(iv). (Also complete the **Support Schedule** in Part IV-A.)
- 11a An organization that normally receives a substantial part of its support from a governmental unit or from the general public. Section 170(b)(1)(A)(vi). (Also complete the **Support Schedule** in Part IV-A.)
- 11b A community trust. Section 170(b)(1)(A)(vi). (Also complete the **Support Schedule** in Part IV-A.)
- 12 An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc., functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Also complete the **Support Schedule** in Part IV-A.)
- 13 An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in (1) lines 5 through 12 above, or (2) section 501(c)(4) (5) or (6), if they meet the test of section 509(a)(2). (See section 509(a)(3).)

Provide the following information about the supported organizations. (See page 5 of the instructions.)

(a) Name(s) of supported organization(s)	(b) Line number from above

- 14 An organization organized and operated to test for public safety. Section 509(a)(4). (See page 5 of the instructions.)

Part IV-A Support Schedule (Complete only if you checked a box on line 10 11 or 12) *Use cash method of accounting*

Note You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting

Calendar year (or fiscal year beginning in)	(a) 1999	(b) 1998	(c) 1997	(d) 1996	(e) Total
15 Gifts, grants and contributions received (Do not include unusual grants See line 28)	123,538,488	113,376,352	89,946,650	70,472,570	397,334,060
16 Membership fees received					
17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is not a business unrelated to the organization's charitable, etc purpose	708,951	459,618	272,355		1,440,924
18 Gross income from interest, dividends amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975	544,477	616,850	641,691	733,446	2,536,464
19 Net income from unrelated business activities not included in line 18					
20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf					
21 The value of services or facilities furnished to the organization by a governmental unit without charge Do not include the value of services or facilities generally furnished to the public without charge					
22 Other income Attach a schedule Do not include gain or (loss) from sale of capital assets					
23 Total of lines 15 through 22	124,791,916	114,452,820	90,860,696	71,206,016	401,311,448
24 Line 23 minus line 17	124,082,965	113,993,202	90,588,341	71,206,016	399,870,524
25 Enter 1% of line 23	1,247,919	1,144,528	908,607	712,060	
26 Organizations described on lines 10 or 11	a Enter 2% of amount in column (e), line 24				26a 7,997,410
	b Attach a list (which is not open to public inspection) showing the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 1996 through 1999 exceeded the amount shown in line 26a Enter the sum of all these excess amounts				26b 0
	c Total support for section 509(a)(1) test Enter line 24 column (e)				26c 399,870,524
	d Add Amounts from column (e) for lines 18 <u>2,536,464</u> 19 <u>0</u>				26d 2,536,464
	22 <u>0</u> 26b <u>0</u>				26e 397,334,060
	e Public support (line 26c minus line 26d total)				26f 99 %
	f Public support percentage (line 26e (numerator) divided by line 26c (denominator))				
27 Organizations described on line 12	a For amounts included in lines 15 16 and 17 that were received from a "disqualified person," attach a list (which is not open to public inspection) to show the name of, and total amounts received in each year from each "disqualified person" Enter the sum of such amounts for each year				
	(1999)	(1998)	(1997)	(1996)	
	b For any amount included in line 17 that was received from a nondisqualified person, attach a list to show the name of and amount received for each year that was more than the larger of (1) the amount on line 25 for the year or (2) \$5,000 (Include in the list organizations described in lines 5 through 11, as well as individuals) After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year				
	(1999)	(1998)	(1997)	(1996)	
	c Add Amounts from column (e) for lines 15 _____ 16 _____				27c
	17 _____ 20 _____ 21 _____				27d
	d Add Line 27a total _____ and line 27b total _____				27e
	e Public support (line 27c total minus line 27d total)				
	f Total support for section 509(a)(2) test Enter amount on line 23, column (e) 27f				
	g Public support percentage (line 27e (numerator) divided by line 27f (denominator))				27g %
	h Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator))				27h %
28 Unusual Grants For an organization described in line 10, 11 or 12 that received any unusual grants during 1996 through 1999 attach a list (which is not open to public inspection) for each year showing the name of the contributor the date and amount of the grant and a brief description of the nature of the grant Do not include these grants in line 15 (See page 5 of the instructions)					

Part V Private School Questionnaire (See page 5 of the instructions)
 (To be completed ONLY by schools that checked the box on line 6 in Part IV) N/A

	Yes	No
29 Does the organization have a racially nondiscriminatory policy toward students by statement in its charter bylaws, other governing instrument, or in a resolution of its governing body?		
30 Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures catalogues, and other written communications with the public dealing with student admissions programs and scholarships?		
31 Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe if "No" please explain (If you need more space, attach a separate statement)		
32 Does the organization maintain the following		
a Records indicating the racial composition of the student body, faculty, and administrative staff?		
b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?		
c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions programs, and scholarships?		
d Copies of all material used by the organization or on its behalf to solicit contributions?		
If you answered "No" to any of the above, please explain (If you need more space, attach a separate statement)		
33 Does the organization discriminate by race in any way with respect to		
a Students rights or privileges?		
b Admissions policies?		
c Employment of faculty or administrative staff?		
d Scholarships or other financial assistance?		
e Educational policies?		
f Use of facilities?		
g Athletic programs?		
h Other extracurricular activities?		
If you answered "Yes" to any of the above, please explain (If you need more space attach a separate statement)		
34a Does the organization receive any financial aid or assistance from a governmental agency?		
b Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either 34a or b please explain using an attached statement		
35 Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50 1975-2 C B 587 covering racial nondiscrimination? If "No" attach an explanation		

Part VI-A Lobbying Expenditures by Electing Public Charities (See page 7 of the instructions) **N/A**
 (To be completed **ONLY** by an eligible organization that filed Form 5768)

Check here a if the organization belongs to an affiliated group
 Check here b if you checked "a" above and "limited control" provisions apply

Limits on Lobbying Expenditures		(a) Affiliated group totals	(b) To be completed for ALL electing organizations
(The term "expenditures" means amounts paid or incurred)			
36	Total lobbying expenditures to influence public opinion (grassroots lobbying)	36	
37	Total lobbying expenditures to influence a legislative body (direct lobbying)	37	
38	Total lobbying expenditures (add lines 36 and 37)	38	
39	Other exempt purpose expenditures	39	
40	Total exempt purpose expenditures (add lines 38 and 39)	40	
41	Lobbying nontaxable amount Enter the amount from the following table—		
	If the amount on line 40 is—		
	Not over \$500,000		
	Over \$500,000 but not over \$1,000,000		
	Over \$1,000,000 but not over \$1,500,000		
	Over \$1,500,000 but not over \$17,000,000		
	Over \$17,000,000		
	The lobbying nontaxable amount is—		
	20% of the amount on line 40		
	\$100,000 plus 15% of the excess over \$500,000		
	\$175,000 plus 10% of the excess over \$1,000,000		
	\$225,000 plus 5% of the excess over \$1,500,000		
	\$1,000,000		
42	Grassroots nontaxable amount (enter 25% of line 41)		
43	Subtract line 42 from line 36 Enter -0- if line 42 is more than line 36		
44	Subtract line 41 from line 38 Enter -0- if line 41 is more than line 38		

Caution If there is an amount on either line 43 or line 44 you must file Form 4720

4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below
 See the instructions for lines 45 through 50 on page 9 of the instructions)

Calendar year (or fiscal year beginning in) ▶	Lobbying Expenditures During 4-Year Averaging Period				
	(a) 2000	(b) 1999	(c) 1998	(d) 1997	(e) Total
45	Lobbying nontaxable amount				
46	Lobbying ceiling amount (150% of line 45(e))				
47	Total lobbying expenditures				
48	Grassroots nontaxable amount				
49	Grassroots ceiling amount (150% of line 48(e))				
50	Grassroots lobbying expenditures				

Part VI-B Lobbying Activity by Nonelecting Public Charities
 (For reporting only by organizations that did not complete Part VI-A) (See page 9 of the instructions)

During the year, did the organization attempt to influence national state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum through the use of

- a Volunteers
- b Paid staff or management (Include compensation in expenses reported on lines c through h)
- c Media advertisements
- d Mailings to members legislators or the public
- e Publications or published or broadcast statements
- f Grants to other organizations for lobbying purposes
- g Direct contact with legislators, their staffs government officials, or a legislative body
- h Rallies demonstrations seminars conventions speeches lectures or any other means
- i Total lobbying expenditures (add lines c through h)

Yes	No	Amount
<input checked="" type="checkbox"/>	<input type="checkbox"/>	
<input checked="" type="checkbox"/>	<input type="checkbox"/>	
<input checked="" type="checkbox"/>	<input type="checkbox"/>	
<input checked="" type="checkbox"/>	<input type="checkbox"/>	
<input checked="" type="checkbox"/>	<input type="checkbox"/>	
<input checked="" type="checkbox"/>	<input type="checkbox"/>	
<input checked="" type="checkbox"/>	<input type="checkbox"/>	
<input checked="" type="checkbox"/>	<input type="checkbox"/>	
<input checked="" type="checkbox"/>	<input type="checkbox"/>	0

If "Yes" to any of the above also attach a statement giving a detailed description of the lobbying activities

UNITED WAY OF NEW YORK CITY
#13-2617681
JUNE 30, 2001

PART I, LINE 1C

GOVERNMENT GRANTS

\$14,513,345

500,000

6,285,019

21,100

12,788

425,351

5,543,438

\$27,301,041

UNITED WAY OF NEW YORK CITY

#13-2617681

JUNE 30, 2001

PART I - LINE 1B

United Way of New York City is a Participant in the annual United Way of
the Tri-State fundraising campaign in the New York, New Jersey and
Connecticut Tri-State region. The fund-raising activities are conducted by
each of the 30 Participant Local United Way organizations in the
system, as well as by the United Way of New York City organization.

United Way of New York City's share of the United Way of New York City system's campaign,
reflected as indirect public support in Form 990, Part I, Line 1b, is a result
of both the Local United Way Participant and regional United Way system efforts.

UNITED WAY OF NEW YORK CITY
#13-2617681
JUNE 30, 2001

PART VI, LINE 82A

provides pro-bono legal services through their legal department at no cost to
United Way of New York City Value for these services amounted to \$20,000 for fiscal year
2000-01

UNITED WAY OF NEW YORK CITY

#13-2617681

JUNE 30, 2001

Form 990, SCHEDULE A, PART III, LINE 2 (c)

In accordance with the policy adopted by the Board of Directors, each director, officer and senior manager is asked to complete a conflict of interests disclosure questionnaire. This step is taken to assure that the actions of a director, officer or senior manager are not in conflict with the interests of the United Way of New York City.

All transactions between members of the Board of Directors and United Way of New York City, which are made at arms-length in the ordinary course of business and are either at or below market value, are reviewed annually by a Committee of the Board and the results are reported to the Board of Directors.

The following provided goods or services to United Way of New York City:

\$168,022

3,819

40,970

184,230

54,200

1,000

UNITED WAY OF NEW YORK CITY
#13-2617681
JUNE 30, 2001

PART I - LINE 1A

The organization received no contribution from one person exceeding 2% of total contributions, bequests and devises received by the organization

THIS SCHEDULE IS NOT OPEN
FOR PUBLIC INSPECTION
PURSUANT TO SECTION 6104 (b)
OF THE INTERNAL REVENUE CODE

UNITED WAY OF NEW YORK CITY
 #13-2617681
 SCHEDULE OF GAINS (LOSSES) ON SALE OF SECURITIES
 TWELVE MONTHS ENDED JUNE 30, 2001

PART I, LINE 8D

Par Value/ Number of Shares	DESCRIPTION	BOOK VALUE	SALES PROCEEDS	GAIN (LOSS)
200	Allegiance Telecom, Inc	\$6,471	\$15,311	\$8,840
100	American International Group Inc	3,280	12,747	9,467
23,000	AT&T Corporation	19,556	17,923	(1,633)
42	Avaya, Inc	-----	544	544
39,000	Bank of America Corporation	38,723	39,448	725
25,000	Block Financial Corp	24,966	24,208	(758)
1,000	Bristol Myers Squibb Co	29,860	56,614	26,754
800	Charles Schwab Corporation	22,320	16,350	(5,970)
40,000	Citigroup Inc	36,438	39,693	3 255
300	Ciena Corporation	25,997	40,377	14,380
1,450	Circuit City Stores, Inc	55,509	18,652	36,857
650	Cisco Systems Inc	3,611	10,065	6,454
25,000	Consolidated Edison Co of New York	24,859	25,000	141
550	Costco Wholesale Corporation	13,638	18,022	4,384
800	DoubleClick	65,746	8,298	(57,448)
14,000	Enron Corporation	13,562	14,247	685
10,000	Eli Lilly & Company	10,000	10,142	142

UNITED WAY OF NEW YORK CITY
 #13-2617681
 SCHEDULE OF GAINS (LOSSES) ON SALE OF SECURITIES
 TWELVE MONTHS ENDED JUNE 30, 2001

PART I. LINE 8D (con't)

Par Value/ Number of Shares	DESCRIPTION	BOOK VALUE	SALES PROCEEDS	GAIN (LOSS)
34,000	Morgan Stanley Dean Witter & Company	34,007	34,512	505
18,000	Residential Accredited Loans, Inc	17,879	18,041	162
850	Sun Microsystems, Inc	15,124	66,598	51,474
550	Tellabs, Inc	35,028	17,666	(17,362)
900	Sealed Air Corporation	52,112	39,755	(12,357)
600	Proctor & Gamble Co	53,110	33,176	(19,934)
15,000	Proctor & Gamble Co	14,974	14,833	(141)
950	SBC Communications, Inc	47,664	40,281	(7,383)
1,100	Serano S A	26,786	23,340	(3,446)
1,151	Williams Communications Group Inc	3,923	5,572	1,649
400	Williams Companies Inc	10,471	14,368	3,897
10,000	Coca Cola Company Enterprises	9,979	10,399	420
30,000	Federal National Mortgage Assn	29,622	30,314	692
104,000	US Treasury Bonds	104,179	112,420	8,241
212,000	Federal Home Loan Mortgage Corporation	196,283	197,784	1,501
9,000	Viacom, Inc	9,036	9,785	749

UNITED WAY OF NEW YORK CITY
#13-2617681
SCHEDULE OF GAINS (LOSSES) ON SALE OF SECURITIES
TWELVE MONTHS ENDED JUNE 30, 2001

PART I, LINE 8D (con't)

Par Value/ Number of Shares	DESCRIPTION	BOOK VALUE	SALES PROCEEDS	GAIN (LOSS)
14,000	Visteon	13,957	13,949	(8)
750	Worldcom, Inc	27,885	11,852	(16,033)
13,000	Wal-Mart Stores	12,402	13,626	1,224
15,000	Xerox Cap Eupore PLC	14,925	12,616	(2,309)
	TOTAL	<u>\$2,121,116</u>	<u>\$2,116,666</u>	<u>\$(4,450)</u>

UNITED WAY OF NEW YORK CITY

#13-2617681

JUNE 30, 2001

PART I - LINE 16

Payments to National & State Organizations

United Way of America (Dues)	\$425,545
United Way of New York State (Dues)	184,315
	<u>\$609,860</u>

UNITED WAY OF NEW YORK CITY

#13-2617681

JUNE 30, 2001

PART 1 - LINE 20

Provision for Uncollectible Receivables	\$(5,120,349)
Investment Income – Permanently Restricted Net Assets	209
Net unrealized losses on investments	(768,814)
Increase in Temporarily Restricted Net Assets	<u>269,736</u>
	<u>\$(5,619,218)</u>

UNITED WAY OF NEW YORK CITY
#13-2617681
JUNE 30, 2001

PART II - LINE 43

OTHER EXPENSES

	<u>(A) TOTAL</u>	<u>(B) PROGRAM SERVICES</u>	<u>(C) MANAGEMENT AND GENERAL</u>	<u>(D) FUNDRAISING</u>
a) Insurance	\$ 90,271	\$ 22,218	\$ 29,161	\$ 38,892
(b) General Promotion	1,128,594	18,044	4,140	1,106,410
(c) Dues & Subscriptions	120,098	20,084	13,049	86,965
(d) Other Professional Fees	592,852	180,690	149,501	262,661
(e) Office Maintenance	76,787	17,106	29,874	29,807
(f) Share of United Way of Tri-State Expenses	3,887,614			3,887,614
	<u>\$5,896,216</u>	<u>\$258,142</u>	<u>\$225,725</u>	<u>\$5,412,349</u>

UNITED WAY OF NEW YORK CITY
#13-2617681
JUNE 30, 2001

PART III STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS

		<u>GRANTS AND ALLOCATIONS</u>
(A)	AMERICAN RED CROSS IN GREATER NY	\$3,046,956
(B)	SALVATION ARMY	1,846,640
(C)	THE AMERICAN CANCER SOCIETY	812,522
(D)	AMERICAN HEART ASSOCIATION	870,671
(E)	NEW YORK URBAN LEAGUE	357,523
(F)	YMCA OF GREATER NEW YORK	504,714
(G)	FORMULA GRANTS *	<u>9,552,865</u>
	MEMBER AGENCIES GRANTS	16,991,891
(H)	UNITED HOSPITAL FUND	4,293,609
(I)	DISCRETIONARY GRANTS *	12,930,944
(J)	GOVERNMENT CONTRACTS & PRIVATE GRANTS	37,365,127
(K)	AMOUNTS RAISED ON BEHALF OF OTHERS	28,880,000
(L)	ADDITIONAL PLEDGES NOT COLLECTED BY UNITED WAY	7,454,000
(M)	DONATED MATERIALS & EQUIPMENT	1,237,019
(N)	AGENCY RELATIONS AND SERVICES SUPPORT	<u>6,023,016</u>
	TOTAL	<u>\$115,175,606</u>

* Schedule attached

UNITED WAY OF NEW YORK CITY

13-2617681

JUNE 30, 2001

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FORMULA GRANTS

ABBOTT HOUSE	\$ 23,420
ACKERMAN INSTITUTE FOR THE FAMILY	24,945
ACTORS FUND OF AMERICA, INC	120,890
ADULT RETARDATES CENTER, INC., THE	10,875
ADVOCATES FOR CHILDREN OF NEW YORK	10,000
AGUDATH ISRAEL OF AMERICA	148,494
AMERICAN DIABETES ASSOC , NYS AFFILIATE, INC	68,437
AMERICAN ITALIAN COALITION OF ORGANIZATIONS, INC	10,000
AMERICAN LUNG ASSOCIATION OF BROOKLYN	15,728
AMERICAN LUNG ASSOCIATION OF NEW YORK	37,647
AMERICAN LUNG ASSOCIATION OF QUEENS, INC	22,465
ANGEL GUARDIAN HOME, THE	18,314
ARCHDIOCESAN CATHOLIC GUILD FOR THE BLIND, INC	10,000
ASIAN AMERICAN FOR EQUALITY, INC	11,073
ASSOCIATION FOR THE HELP OF RETARDED CHILDREN/NEW YORK CITY CHAPTER	71,873
ASTOR HOME FOR CHILDREN, THE	24,342
BAILEY HOUSE	10,000
BARRIER FREE LIVING, INC	10,000
BEDFORD STUYVESANT COMMUNITY MENTAL HEALTH CENTER	16,817
BETANCES HEALTH UNIT	10,000
BIG BROTHERS/BIG SISTERS OF NEW YORK CITY	21,829
BLACK VETERANS FOR SOCIAL JUSTICE	14,567
BLYTHEDALE CHILDREN'S HOSPITAL	21,571
BOYS AND GIRLS REPUBLIC	22,862
BOYS CLUB OF NEW YORK, INC , THE	126,852
BOYS HARBOR, INC.	53,082
BRIDGE STREET DEVELOPMENT CORPORATION	10,000
BRIDGE, INC , THE	23,321
BRONX INDEPENDENT LIVING SERVICES, INC	10,000
BRONX HOUSE JEWISH COMMUNITY CENTER	24,396
BRONX LEGAL SERVICES/LEGAL SERVICES FOR NEW YORK CITY	10,000
BROOKLYN BUREAU OF COMMUNITY SERVICE	62,845
BROOKLYN CENTER FOR THE INDEPENDENCE OF THE DISABLED	10,000
BROOKLYN PSYCHIATRIC CENTERS, INC	11,646
BURDEN CENTER FOR THE AGING, INC , THE	37,222
CAMP CHAVATZELETH	10,000
CAMP SUSSEX, INC	15,682
CANCER CARE, INC.	280,645
CARDINAL HAYES HOME FOR CHILDREN AT MILLBROOK, NEW YORK	23,311
CARDINAL MCCLOSKEY SERVICES	22,710
CARDINAL SPELLMAN CENTER	10,000
CARIBBEAN WOMEN'S HEALTH ASSOCIATION, INC	10,000
CARING COMMUNITY, INC , THE	12,125
CASITA MARIA, INC.	19,167
CATHOLIC BIG BROTHERS OF NEW YORK, INC	11,930
CATHOLIC CHARITIES COMMUNITY SERVICE, ARCHDIOCESE OF NEW YORK	53,269
CATHOLIC GUARDIAN SOCIETY	26,195
CATHOLIC HOME BUREAU FOR DEPENDENT CHILDREN	44,691
CATHOLIC YOUTH ORGANIZATION OF THE ARCHDIOCESE OF NEW YORK	54,985

JUNE 30, 2001

FORMULA GRANTS

CENTER FOR FAMILY SUPPORT, INC	13,361
CENTER FOR INDEPENDENCE OF THE DISABLED IN NEW YORK ,THE	15,554
CENTRAL HARLEM MEALS-ON-WHEELS, INC	10,000
CENTRAL QUEENS YM-YWHA	27,263
CHILDREN OF BELLEVUE, INC	10,000
CHILDREN'S AID SOCIETY, THE	129,854
CHILDREN'S DAY TREATMENT CENTER & SCHOOL	10,000
CHILDREN'S VILLAGE, INC , THE	34,099
CHINESE AMERICAN PLANNING COUNCIL, INC	31,108
CHINESE DEVELOPMENT COUNCIL	13,152
CITIZENS ADVICE BUREAU	34,312
COLONY-SOUTH BROOKLYN HOUSES, INC	15,806
COMMITTEE FOR HISPANIC CHILDREN & FAMILIES	10,000
COMMUNITY AGENCY FOR SENIOR CITIZENS (CASC)	10,000
COMMUNITY HEALTHCARE NETWORK	23,601
COMMUNITY MEDIATION SERVICES, INC	10,000
COMMUNITY RESOURCES FOR THE DEVELOPMENTALLY DISABLED	18,529
COMMUNITY SERVICE COUNCIL OF GREATER HARLEM	10,000
COMMUNITY SERVICE SOCIETY OF NEW YORK	171,621
CONSORTIUM FOR WORKERS EDUCATION	100,000
CONTEMPORARY GUIDANCE SERVICES, INC.	12,685
CORRECTIONAL ASSOCIATION OF NEW YORK ,THE	19,749
DOMINICAN SISTERS FAMILY HEALTH SERVICE, INC	21,463
EAST SIDE HOUSE, INC.	62,473
EDEN II SCHOOL FOR AUTISTIC CHILDREN, INC	12,120
EDUCATIONAL ALLIANCE, INC.	97,589
EDWIN GOULD SERVICES FOR CHILDREN	23,139
EPILEPSY SOCIETY OF NEW YORK CITY	10,000
EPISCOPAL SOCIAL SERVICES	39,311
EYE-BANK FOR SIGHT RESTORATION, INC ,THE	24,686
FAMILY CONSULTATION SERVICE, DIOCESE OF LONG ISLAND	10,000
FEDCAP REHABILITATION SERVICES	33,626
FEDERATION EMPLOYMENT & GUIDANCE SERVICE	242,226
FLOATING HOSPITAL, INC ,THE	39,329
FOREST HILLS COMMUNITY HOUSE, INC., THE	22,737
FORESTDALE, INC.	15,592
FORT GREENE SENIOR CITIZENS COUNCIL, INC	13,042
FOUNTAIN HOUSE, INC	67,787
FRESH AIR FUND,THE	126,422
FRIENDS HOUSE IN ROSEHILL	10,000
FRIENDS OF ISLAND ACADEMY	10,000
GLORIA Y BOYS AND GIRLS	10,000
GIRL SCOUT COUNCIL OF GREATER NEW YORK, INC	156,217
GIRLS' VACATION FUND, INC.	10,000
GODDARD-RIVERSIDE COMMUNITY CENTER	52,460
GOODWILL INDUSTRIES OF GREATER NEW YORK, INC	75,351
GRAND STREET SETTLEMENT	39,716
GREEN CHIMNEYS CHILDREN'S SERVICES, INC	23,508
GREENWICH HOUSE, INC	25,597
PROSVENOR NEIGHBORHOOD HOUSE, INC	17,566

JUNE 30, 2001

FORMULA GRANTS

GUILD FOR EXCEPTIONAL CHILDREN, INC., THE	19,856
HARLEM DOWLING-WEST SIDE CENTER FOR CHILDREN AND FAMILY SERVICES	18,051
HARTLEY HOUSE, INC	12,356
HEARTSHARE HUMAN SERVICES OF NEW YORK	16,330
HEBREW EDUCATIONAL SOCIETY OF BROOKLYN	17,717
HEIGHTS & HILL COMMUNITY COUNCIL	10,000
HELEN KELLER SERVICES FOR THE BLIND	100,108
HELENIC AMERICAN NEIGHBORHOOD ACTION COMMITTEE, INC	14,967
HENRY STREET SETTLEMENT	80,981
HUDSON GUILD	35,366
ICD-INTERNATIONAL CENTER FOR THE DISABLED	160,426
INCARNATION CAMP, INC.	10,000
inMOTION, INC	10,410
INSTITUTE FOR COMMUNITY LIVING, INC	20,207
INSTITUTE FOR PUERTO RICAN/HISPANIC ELDERLY	14,125
INSTITUTE OF APPLIED HUMAN DYNAMICS, THE	11,073
INTERFAITH NEIGHBORS, INC.	10,000
ITALIAN BOARD OF GUARDIANS, INC	10,000
JACOB A RIIS NEIGHBORHOOD SETTLEMENT	10,000
JAMAICA SERVICES PROGRAM FOR OLDER ADULTS (JSPOA)	10,000
JERICOHO PROJECT, INC , THE	10,120
JEWISH ASSOCIATION FOR SERVICES FOR THE AGED	131,487
JEWISH BOARD OF FAMILY & CHILDREN'S SERVICES	359,683
JEWISH CHILD CARE ASSOCIATION	115,793
JEWISH COMMUNITY CENTER OF STATEN ISLAND	23,186
JEWISH COMMUNITY HOUSE OF BENSONHURST	42,423
JEWISH GUILD FOR THE BLIND, THE	173,249
JWB, NEW YORK METROPOLITAN SECTION	10,864
KAREN HORNEY CLINIC	18,927
KENNEDY CHILD STUDY CENTER	18,823
KINGS BAY YM-YWHA	21,582
KINGSBRIDGE HEIGHTS COMMUNITY CENTER	11,073
KIPS BAY BOYS & GIRLS CLUB, INC	43,925
KOREAN COMMUNITY SERVICES OF METROPOLITAN NEW YORK, INC	10,000
LAKESIDE FAMILY AND CHILDREN'S SERVICES	23,974
LEAGUE FOR THE HARD OF HEARING	46,670
LEAKE & WATTS SERVICES, INC , THE	22,931
LEGAL ACTION CENTER OF THE CITY OF NEW YORK, INC	11,073
LEGAL AID SOCIETY, THE	260,638
LEGAL SERVICES FOR NEW YORK CITY	18,270
LENOX HILL NEIGHBORHOOD HOUSE	54,456
LEXINGTON CENTER, INC	46,012
LIFELINE CENTER FOR CHILD DEVELOPMENT, INC	10,000
LINCOLN HALL	24,948
LINCOLN SQUARE NEIGHBORHOOD COMMUNITY	10,000
LITTLE FLOWER CHILDREN'S SERVICES OF NEW YORK	44,528
LITTLE SISTERS OF THE ASSUMPTION FAMILY HEALTH SERVICE, INC	20,759
LOUISE WISE SERVICES FOR FAMILIES & CHILDREN	27,226
LOWER EAST SIDE FAMILY UNION	10,000

UNITED WAY OF NEW YORK CITY

13-2617681

JUNE 30, 2001

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FORMULA GRANTS

LOWER EAST SIDE SERVICE CENTER, INC	40,784
LT JOSEPH P KENNEDY, JR. MEMORIAL COMMUNITY CENTER	10,000
LUTHERAN SOCIAL SERVICES OF METROPOLITAN NEW YORK	31,281
MADISON SQUARE BOYS AND GIRLS CLUB	91,521
MATERNITY CENTER ASSOCIATION	19,272
MEALS ON WHEELS OF STATEN ISLAND, INC	16,056
MENTAL HEALTH ASSOCIATION OF NEW YORK, THE	12,455
MERCY HOME FOR CHILDREN	26,493
METROPOLITAN NEW YORK COORDINATING COUNCIL OF JEWISH POVERTY, INC	61,721
MIRACLE MAKERS, INC.	51,379
MOSHOLU-MONTEFIORE COMMUNITY CENTER, INC	21,292
MOUNT LORETTO MISSION OF THE IMMACULATE VIRGIN	14,455
NATIONAL COUNCIL OF JEWISH WOMEN OF BROOKLYN	12,388
NATIONAL COUNCIL OF JEWISH WOMEN OF NEW YORK	28,749
NAZARETH NURSERY	10,000
NEIGHBORHOOD SELF-HELP BY OLDER PERSONS PROJECT	10,000
NEIGHBORHOOD YOUTH AND FAMILY SERVICES	11,073
NEPHROLOGY FOUNDATION OF BROOKLYN	20,106
NEW YORK ASIAN WOMEN'S CENTER	10,000
NEW YORK ASSOCIATION FOR NEW AMERICANS	224,160
NEW YORK CITY MISSION SOCIETY	83,150
NEW YORK FOUNDLING HOSPITAL	333,426
NEW YORK LEGAL ASSISTANCE GROUP	10,000
NEW YORK SERVICE PROGRAM FOR OLDER PEOPLE	10,000
NEW YORK SOCIETY FOR THE DEAF	15,035
NEW YORK SOCIETY FOR THE PREVENTION OF CRUELTY TO CHILDREN	13,207
NEW YORK THERAPEUTIC COMMUNITIES, INC	10,000
NORTHERN MANHATTAN IMPROVEMENT CORPORATION	12,167
NORTHSIDE CENTER FOR CHILD DEVELOPMENT, INC	21,939
OHEL CHILDREN'S HOME & FAMILY SERVICES	62,501
PARTNERSHIP WITH CHILDREN, INC	23,875
PATHWAYS FOR YOUTH, INC	33,595
PAUL J. COOPER CENTER FOR HUMAN SERVICES	12,587
PIUS XII SCHOOL, INC.	34,537
POSTGRADUATE CENTER FOR MENTAL HEALTH, INC	31,367
PRESBYTERIAN SENIOR SERVICES	10,000
PROFESSIONAL SERVICE CENTERS FOR THE HANDICAPPED	24,595
PROJECT RENEWAL	66,004
PROJECT RETURN FOUNDATION, INC	24,180
PUERTO RICAN FAMILY INSTITUTE, INC	15,991
PUERTO RICAN ORGANIZATION TO MOTIVATE/ENLIGHTEN/SERVE ADDICTS (PROMESA)	15,519
QSAC, QUALITY-OF-LIFE AND SERVICES FOR THE AUTISM COMMUNITY	10,000
QUEENS CHILD GUIDANCE CENTER	21,699
RECREATION ROOMS AND SETTLEMENT	17,853
RENA-COA MULTI-SERVICE CENTER, INC	10,000
RICHMOND SENIOR SERVICES/PROJECT SHARE	10,000
RIVERDALE COMMUNITY CENTER, INC	10,000
RIVERDALE MENTAL HEALTH ASSOCIATION, INC , THE	10,000
RIVERDALE NEIGHBORHOOD HOUSE	11,223
RIVERDALE SENIOR SERVICES, INC	10,000

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FORMULA GRANTS

SAFE HORIZON	78,257
SAFE SPACE	19,236
SAMUEL FIELD YM-YWHA, INC/CAMP POYNTELLE-LEWIS VILLAGE	40,204
SAN JOSE DAY NURSERY	10,000
SCHOOL SETTLEMENT ASSOCIATION, INC	16,937
SEAFARERS AND INTERNATIONAL HOUSE	11,073
SEAMEN'S CHURCH INSTITUTE OF NEW YORK & NEW JERSEY, THE	46,972
SEAMEN'S SOCIETY FOR CHILDREN AND FAMILIES	22,177
SEARCH AND CARE, INC	11,772
SENECA CENTER, INC., THE	12,656
SENIOR ACTION IN A GAY ENVIRONMENT (SAGE)	10,000
SERVICES NOW FOR ADULT PERSONS	10,000
SHELTERING ARMS CHILDREN'S SERVICE	27,318
SHIELD INSTITUTE FOR MENTALLY RETARDED & DEVELOPMENTALLY DISABLED, THE	20,038
SHOREFRONT JEWISH COMMUNITY COUNCIL	10,000
SHOREFRONT YM-YWHA OF BRIGHTON BEACH	21,970
SINGLE PARENT RESOURCE CENTER, INC	10,000
SISTER SERVANTS OF MARY, INC	10,000
SMALL IMPACT FUND	21,647
SOCIETY OF ST VINCENT DE PAUL	21,173
SOCIETY OF THE THIRD STREET MUSIC SCHOOL SETTLEMENT	23,663
SOUTH QUEENS BOYS AND GIRLS CLUB, INC.	13,567
SOUTHEAST BRONX NEIGHBORHOOD CENTERS, INC	11,073
SPANISH SPEAKING ELDERLY COUNCIL	10,000
SPECIAL CITIZENS FUTURES UNLIMITED, INC	10,000
SPENCE-CHAPIN SERVICES FOR FAMILIES AND CHILDREN	30,632
ST BENEDICT DAY NURSERY	10,000
ST CABRINI HOME, INC.	23,074
ST CECILIA'S DAY NURSERY	10,000
ST CHRISTOPHER-OTILIE	57,729
ST CHRISTOPHER'S-JENNIE CLARKSON CHILD CARE SERVICES	49,518
ST DOMINIC'S HOME	22,732
ST JOHN'S PLACE FAMILY CENTER	10,000
ST MARY'S CHILDREN & FAMILY SERVICES	23,212
ST VINCENT'S SERVICES, INC.	59,746
STANLEY M ISAACS NEIGHBORHOOD CENTER, INC	30,036
STATE COMMUNITIES AID ASSOCIATION	18,336
STATEN ISLAND AIDS TASK FORCE	10,000
STATEN ISLAND CENTER FOR INDEPENDENT LIVING	10,000
STATEN ISLAND COMMUNITY SERVICES, INC	10,000
STATEN ISLAND MENTAL HEALTH SOCIETY, INC.	20,270
STEINWAY CHILD & FAMILY SERVICES, INC	21,328
SUNNYSIDE COMMUNITY SERVICES AND HOME CARE PROJECT	13,711
SUNSHINE ACRES	10,000
SUPPORTIVE CHILDREN'S ADVOCACY NETWORK (SCAN)	23,325
TALBOT PERKINS CHILDREN'S SERVICES	21,251
TLOURETTE SYNDROME ASSOCIATION, INC	35,819
TRAIL BLAZERS, INC	20,693
TRANSITIONAL SERVICES FOR NEW YORK, INC	18,461
UNION SETTLEMENT ASSOCIATION, INC , THE	39,618

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JUNE 30, 2001

FORMULA GRANTS

UNITAS THERAPEUTIC COMMUNITY	10,000
UNITED ACTIVITIES UNLIMITED, INC	10,000
UNITED JEWISH COUNCIL OF THE EAST SIDE, INC	10,634
UNITED NEIGHBORS OF EAST MIDTOWN	10,000
UNIVERSITY SETTLEMENT SOCIETY OF NEW YORK	33,290
VACAMAS PROGRAMS FOR YOUTH	21,262
VARIETY BOYS & GIRLS CLUB OF QUEENS, INC	12,482
VENTURE HOUSE, INC	10,000
VERA INSTITUTE OF JUSTICE	62,065
VIP COMMUNITY SERVICE	19,923
VISIONS SERVICES FOR THE BLIND & VISUALLY IMPAIRED	32,278
VISITING NEIGHBORS, INC	10,000
VISITING NURSE ASSOCIATION HEALTH CARE SERVICES, INC	17,742
VISITING NURSE ASSOCIATION OF BROOKLYN	17,968
VOCATIONAL FOUNDATION, INC	10,000
VOLUNTARY ASSOCIATION FOR SENIOR CITIZEN ACTIVITIES	10,000
VOLUNTEER SERVICES FOR CHILDREN, INC	22,060
WARBASSE NURSERY SCHOOL, INC	10,000
WESTON UNITED COMMUNITY RENEWAL, INC.	10,000
WILDCAT SERVICE CORPORATION	22,723
WILLIAM HODSON COMMUNITY CENTER	10,000
WOMEN IN NEED	10,000
WOMEN'S PRISON ASSOCIATION	20,709
WORKING ORGANIZATION FOR RETARDED CHILDREN & ADULTS	26,196
YAI/NATIONAL INSTITUTE FOR PEOPLE WITH DISABILITIES	21,090
YM-YWHA OF BORO PARK	17,104
YM-YWHA OF THE BRONX	58,426
YM-YWHA OF WASHINGTON HEIGHTS AND INWOOD	22,969
YM-YWHA/92ND STREET Y	213,723
YWCA DAY CARE, INC.	12,343
YWCA OF BROOKLYN	20,805
YWCA OF THE CITY OF NEW YORK	66,066

SUB-TOTAL 9,626,184

LESS PRIOR YEAR RESCINDED GRANTS (73,319)

\$ 9,552,865
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NONFORMULA GRANTS

4W CIRCLE OF ART & ENTERPRISE	1 600
651 ARTS	263
A BETTER BRONX FOR YOUTH	5 000
ACCION NEW YORK	25 000
ACHILLES TRACK CLUB	35 000
ACT/CO FUND FOR THE CITY OF NEW YORK	335 000
ADDICTION RESEARCH & TREATMENT CORPORATION	5 000
ADMIRAL COMMUNICATIONS	10 630
ADOLESCENT AND FAMILY COMPREHENSIVE SERVICES	5 000
AFRICAN ACTION ON AIDS	1 000
AFRICAN YOUTH IN ACTION	2 500
AFTER SCHOOL/CUNY INITIATIVE	426 759
AIDS CENTER OF QUEENS COUNTY	20 000
AIDS FUNDERS PROJECT/NEW YORK COMMUNITY TRUST	25 000
AIDS INSTITUTE	100 000
AIRCRAFT CASUALTY EMOTIONAL SUPPORT SERVICES	10 000
ALAN D GOODMAN CONSULTING	50 000
ALFRED B HERBERT JR (LESFU)	5 700
ALIANZA DOMINICANA INC	28 495
ALICE PAUL	1 700
ALTERNATIVE GRAPHICS	1 965
AMDeC-ACADEMIC MEDICINE DEVELOPMENT COMPANY	20 000
AMERICAN INDIAN LAW ALLIANCE	15 000
ANDREW GLOVER YOUTH PROGRAM, INC	20 000
ANNE W MITCHELL	92
ANSAAR HOUSE INC	1 500
ARGUS COMMUNITY	55 000
ASIAN AMERICAN FEDERATION OF NEW YORK	212 300
ASIAN AMERICANS FOR EQUALITY	90 000
ASIAN AMERICAN SERVICES	2 500
ASIAN WOMEN IN BUSINESS	10 000
ASPHALT GREEN	15 000
AUDUBON PARTNERSHIP FOR ECONOMIC DEVELOPMENT	25 000
AUSTIN'S CAFE	218
AYA	2 500
BAILEY HOUSE	8 067
BANK STREET COLLEGE OF EDUCATION	44 250
BARRIER FREE LIVING INC	25 000
BEHAVIORAL INDEPENDENT PRACTICE ASSOCIATION OF QUEENS	5 000
BETANCES HEALTH UNIT INC	35 000
BIG BROTHER/BIG SISTER	10 891
BINDING TOGETHER	342
BLACK CORE INITIATIVE	2 000
BLACK VETERANS FOR SOCIAL JUSTICE INC	25 000
BLACKWELL FRANKLIN INC	7 217
BOARD OF EDUCATION OF PEOPLE OF AFRICA	20 000
BOTTOMLESS CLOSET	10 000
BOWERY RESIDENTS COMMITTEE INC	25 000
BREAD AND ROSES CULTURAL PROJECT INC	500
BREAD OF LIFE	5 000
BRONX AIDS SERVICES	25 000
BRONX CHRISTIAN FELLOWSHIP	3 000
BRONX INDEPENDENT LIVING SERVICES	26 160
BRONX JEWISH COMMUNITY COUNCIL	13 788
BRONX WOMEN MOVING OUR WORLD	500
BROOKLYN CENTER FOR INDEPENDENCE OF THE DISABLED, INC	50 000
BROOKLYN CHINESE AMERICAN ASSOCIATION	13 000
BROOKLYN PSYCHIATRIC CENTERS	20 000
BROTHERHOOD/SISTER SOL	35 000
CAMBRIDGE CATERING	3 795
CAMP SUSSEX	5 000
CANCER CARE	1 745
CAPS	600 000
CARIBBEAN WOMEN S HEALTH ASSOCIATION	3 000
CASITA MARIA	25 000
CATHOLIC CHARITIES ARCHDIOCESE OF NEW YORK	300 953
CATHOLIC CHARITIES, DIOCESE OF BROOKLYN	312 466
CATHOLIC YOUTH ORGANIZATION	5 000

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NONFORMULA GRANTS

CAUSE EFFECTIVE INC	76 000
CENTER FOR AN URBAN FUTURE	15 000
CENTER FOR COURT INNOVATION	10 000
CENTER FOR FAMILY LIFE	50 000
CENTER FOR NONPROFIT MANAGEMENT OF NORTHERN MANHATTAN	40,000
CENTRAL FAMILY LIFE CENTER OF STATEN ISLAND	6 500
CENTRAL LABOR REHABILITATION COUNCIL	1 500
CENTRAL PARK CONSERVANCY	5 000
CHILD CARE INC	53 075
CHILDCARE POOLED FUND	50 000
CHINESE PROGRESSIVE ASSOCIATION	10 000
CHINESE-AMERICAN PLANNING COUNCIL	50 000
CITIZENS ADVICE BUREAU	50 000
CITIZEN'S COMMITTEE FOR CHILDREN OF NEW YORK	40 000
CITIZENS COMMITTEE FOR NEW YORK CITY	25 000
CITIZENS UNION FOUNDATION	2 500
CITIZENS UNION OF THE CITY OF NEW YORK	5 000
CITY PROJECT	60 000
CITY WIDE ARTS INITIATIVE	40 200
CITY WIDE TASKFORCE ON HOUSING COURT INC	170 000
CIVIC ASSOCIATION SERVING HARLEM INC	5 000
COALITION FOR ASIAN AMERICAN CHILDREN & FAMILIES	12 500
COALITION OF VOLUNTARY MENTAL HEALTH AGENCIES	50 580
COLLEGE NOW WITH CUNY	135 432
COLONY-SOUTH BROOKLYN HOUSES	5 000
COMITE NOVEMBRE	5 000
COMMITTEE FOR HISPANIC CHILDREN & FAMILIES	70 624
COMMON GROUND	50 000
COMMUNITY AGENCY FOR SENIOR CITIZENS	5 000
COMMUNITY ALLIANCE FOR YOUTH ACTION INC	5 000
COMMUNITY ASSOCIATION OF PROGRESSIVE DOMINICANS	5 000
COMMUNITY COUNSELING AND MEDIATION	5 000
COMMUNITY FOOD RESOURCE CENTER INC	15 000
COMMUNITY HEALTHCARE NETWORK	25 000
COMMUNITY JUSTICE CENTER	25 000
COMMUNITY RESOURCE EXCHANGE	198 850
COMMUNITY SERVICE COUNCIL OF GREATER HARLEM	5 000
COMMUNITY RESOURCES FOR THE DEVELOPMENTALLY DISABLED	7 000
CONSERVATION COMPANY	50 000
CONSORTIUM FOR WORKER EDUCATION	250 000
CONSUELO CORRETJER-LEE	1 522
COUNCIL OF FAMILY & CHILD CARING AGENCIES	20 571
COUNCIL OF SENIOR CENTER & SERVICES OF NEW YORK CITY	80 599
CRAIN COMMUNICATIONS	40
CREDIT WHERE CREDIT IS DUE INC	10 000
CURTIS MITCHELL TOW GRAPHICS	3 159
DAY CARE COUNCIL OF NEW YORK INC	79 067
DELPHI CONSULTING GROUP	62 000
DOMINICAN WOMEN'S DEVELOPMENT CENTER	24 985
EAST BROOKLYN CONGREGATION	15 000
EDEN II SCHOOL FOR AUTISTIC CHILDREN INC	2 500
EDWARD L KOESTER ESQ	3 000
EL PUENTE	25 000
ELMCOR YOUTH & ADULT ACTIVITIES INC	5 000
ENCORE COMMUNITY SERVICES	36 000
ENCORE SENIOR CENTER	5 000
EPISCOPAL SOCIAL SERVICES	15 000
ERASMUS NEIGHBORHOOD FEDERATION	500
FAITH CENTER FOR COMMUNITY DEVELOPMENT INC	75 000
FAMILY CONSULTATION SERVICES	10 000
FAMILY SERVICE CENTER	300
FCNY/FOUNTAIN FOR YOUTH	400
FEDERATION OF PROTESTANT WELFARE AGENCIES	118 646
FILIPINO AMERICAN HUMAN SERVICES INC	19 465
FINTEC LLC	50 912
FORDHAM BEDFORD CHILDREN S SERVICES	25 000
FOREST HILLS COMMUNITY HOUSE	50 000

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NONFORMULA GRANTS

FORT GREENE SENIOR CITIZENS COUNCIL INC	5 000
FOUNDATION NEWS & COMMENTARY	48
FOUNTAIN HOUSE	25,000
FRATERNITE NOTRE DAME	20,000
FRIENDS OF ISLAND ACADEMY	500
FWA OF NEW YORK EDUCATIONAL FUND	225
GENERAL DEVELOPMENT & ORIENTATION COUNCIL, INC	500
GILDA'S CLUB	1 284
GLOBAL KIDS	51 000
GLOBAL LINKAGES	60 000
GODDARD RIVERSIDE COMMUNITY CENTER	17 500
GOOD SHEPHERD SERVICES	30 000
GOODWILL INDUSTRIES OF GREATER NEW YORK	250 000
GREATER NEW YORK CHAPTER/NATIONAL SOCIETY OF FUND RAISING EXECUTIVES	1 200
GREATER NEW YORK MARCH OF DIMES	500
GROSVENOR NEIGHBORHOOD HOUSE INC	5 000
HAITIAN CENTERS COUNCIL	350
HAITIAN COMMUNITY HEALTH INFORMATION & REFERRAL CENTER	5 000
HAITIAN WOMEN'S PROGRAM	2 000
HARLEM UNITED	5 785
HARLEM VISITORS & CONVENTION ASSOCIATION	5 000
HARVARD BUSINESS REVIEW	118
HARVARD MANAGEMENT COMMUNICATION LETTER	79
HEALTH CARE CHOICES	10 000
HEALTH INDUSTRY RRESOURCES ENTERPRISES INC	15 000
HELL'S KITCHEN AIDS PROJECT	300
HELP LINE	2,000
HELPING HANDS, INC	3 888
HERBERT G BIRCH SERVICES INC	5 500
HERTZ CORP	423
HILTON-NEW YORK	1 000
HISPANIC COUNSELING CENTER	20 000
HISPANIC FEDERATION OF NEW YORK INC	367 440
HISPANICS IN PHILANTHROPY	175
HIV LAW PROJECT	25 000
HOSPICE OF THE WESTERN RESERVE	250
HOUSING AND SERVICES INC	1 500
HOWARD H SMITH JR	5 250
HUDSON PRINTING	8 650
HUMAN SERVICES COUNCIL OF NEW YORK	58 000
HUNTER COLLEGE FOUNDATION -PUBLIC SERVICE SCHOLAR PROGRAM	3 000
HUNTS POINT MULTI SERVICE CENTER	500
I HAVE A DREAM FOUNDATION	5 000
INFORMATION & REFERRAL PERSONEL	33 933
INNER-CITY STUDENT GOLF-USA	3 000
INSTITUTE FOR PUERTO RICAN/HISPANIC ELDERLY INC	37 500
INTERAGENCY COUNCIL OF M R D D AGENCIES	20 000
INTER-AMERICAN CONSULTING	128 085
INTERFAITH NEIGHBORHOOD INC	35 000
INTERNATIONAL CENTER FOR THE DISABLED	45 000
INWOOD HOUSE	10 000
JACOB A RIIS SETTLEMENT	7 895
JAE CHILDREN S LIBRARY OF NORTHSIDE CENTER FOR CHILD DEVELOPMENT	500
JEWISH BOARD OF FAMILY & CHILDREN'S SERVICES INC	10,000
JEWISH COMMUNITY CENTER OF STATEN ISLAND	7 000
JEWISH SERVICES COALITION/JEWISH COMMUNITY COUNCIL OF FAR ROCKAWAY	10 000
JOHN WILEY & SONS, INC	3,336
JOSSEY-BASS INC	178
K L EDMUNSON	1 800
KINGS COUNTY TENANT'S COALITION	5,000
KOREAN AMERICAN SENIOR CITIZENS ASSOCIATION OF NEW YORK INC	5,000
KOREAN COMMUNITY SERVICES OF METRO,NY	15,000
KRIYA ASSOCIATES	25,000
LATINO BEHAVIORAL CARE NETWORK	28 400
LATINO COMMISSION ON AIDS	850
LATINO GERONTOLOGICAL CENTER	5,000
LATINO ORGANIZATION FOR LIVER AWARENESS	3 000
LEAGUE FOR THE HARD OF HEARING	15 000
LEAGUE OF WOMEN VOTERS	10 000

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NONFORMULA GRANTS

LEARNING LEADERS	20 000
LEE BUCKLEY	15 000
LENOX HILL NEIGHBORHOOD HOUSE	5 000
LEXINGTON CENTER FOR MENTAL HEALTH SERVICES INC	40 000
LIFE FORCE-WOMEN FIGHTING AIDS INC	5,000
LIGHTNING COPY & PRINTING	19 600
LITERACY ASSISTANCE CENTER	10 000
LITTLE SHEPHERDS COMMUNITY SERVICES INC	7 000
LOWER EAST SIDE FAMILY UNION	30 200
MANAGEMENT ASSISTANCE PROGRAM INTERN	22 960
MANAGEMENT ASSISTANCE PROGRAM VARIOUS VENDORS	24 619
MARIE BROOKS PAN-CARIBBEAN DANCERS INC	9 825
MARTIN LUTHER KING JR CONCERT SERIES INC	7 000
MEAL ON WHEELS OF STATEN ISLAND	7 000
MEDGAR EVERS COLLEGE AFTER-SCHOOL PROGRAM	20 000
MENTORING PARTNERSHIP OF NEW YORK	29 109
MENTORING USA	90 000
METANETWORKS	18 000
METROPOLITAN COUNCIL ON JEWISH POVERTY	13 500
MILLER/ROLLINS	52 753
MIRACLE MAKERS INC	31 906
MOUNT LORETTO MISSION OF THE IMMACULATE VIRGIN	2 500
MOUNT SINAI NYU HEALTH	5 000
NAACP CORONA EAST ELMHUPST BRANCH	200
NATIONAL ASSOCIATION OF SOCIAL WORKERS	12 000
NATIONAL BLACK THEATRE	20 000
NATIONAL CENTER FOR NONPROFIT BOARDS	11 961
NATIONAL CONFERENCE FOR COMMUNITY & JUSTICE	2,150
NATIONAL EXCHANGE FOR URBAN LEADERSHIP	30 000
NATIONAL PARENTING ASSOCIATION	40 000
NEIGHBORHOOD ENHANCEMENT TRAINING AND SERVICES INC	10 000
NEIGHBORHOOD COALITION FOR SHELTER	10 000
NEIGHBORHOOD TECHNICAL ASSISTANCE CLINIC	25 000
NEW SCHOOL JAZZ & CONTEMPORARY/MUSIC PROGRAM	400
NEW YORK ACORN WEP WORKERS ORGANIZING COMMITTEE	20 000
NEW YORK ASIAN WOMEN S CENTER	1 000
NEW YORK AT RISK	15 000
NEW YORK CITIZEN'S COMMITTEE ON AGING INC	30 000
NEW YORK CITY COALITION AGAINST HUNGER/EMERGENCY FOOD ACTION CENTER	65 000
NEW YORK CITY GAUCHOS EXCEL	5 000
NEW YORK CITY MISSION SOCIETY	10 000
NEW YORK CITY PARTNERSHIP	5 000
NEW YORK COMMUNITY TRUST	50 000
NEW YORK COUNCIL ON ADOPTABLE CHILDREN	10 000
NEW YORK FOUNDLING HOSPITAL	34 900
NEW YORK IMMIGRATION COALITION	22 000
NEW YORK INDUSTRIAL RETENTION NETWORK	30 000
NEW YORK REGIONAL ASSOCIATION OF GRANTMAKERS	35
NEW YORK TECHNICAL ASSISTANCE PROVIDERS (NYTAP)	50
NEW YORK THERAPEUTIC COMMUNITIES INC	2 980
NEW YORK URBAN LEAGUE	5 000
NEW YORKERS FOR CHILDREN	10 000
NONPROFIT CONNECTION	134 850
NONPROFIT COORDINATING COMMITTEE OF NEW YORK	1 000
NONPROFIT FINANCE FUND	30 000
NORTH GENERAL HOSPITAL	20 000
NORTHERN MANHATTAN COALITION FOR IMMIGRANT RIGHTS	15 000
NORTHERN MANHATTAN IMPROVEMENT CORPORATION	50 000
NOT-FOR-PROFIT GRANT WRITERS	60,000
NUESTRA SALUD	7 600
NV COMMUNICATIONS	15 000
NYSAE EDUCATION & RESEARCH FOUNDATION	1 845
ODYSSEY HOUSE-OHEL	50 000
ON YOUR MARK INC	7 000
ONE HUNDRED BLACK MEN INC	5 000
OPPORTUNITIES FOR A BETTER TOMORROW	50 000
PEER INFLUENCE	43 000
PEOPLE UNITED FOR CHILDREN	15 000
PER SCHOLAS	50,000

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NONFORMULA GRANTS

PETER HARDIE	20 000
PHIPPS COMMUNITY DEVELOPMENT CORPORATION	2 000
PIUS XII FOUNDATION INC	87 000
PLANNED PARENTHOOD OF NEW YORK	6 500
PREGONES THEATRE	25 000
PREP-FOR PREP	7 000
PRESBYTERIAN SENIOR SERVICES	30 750
PREVENT BLINDNESS NY	25 000
PROJECT HOSPITALITY INC	6 000
PROJECT RETURN FOUNDATION	40 000
PROJECT STRIVE	200 000
PROJECT SUPPORT HEAL EDUCATE(SHE)	25 000
PUERTO RICAN FAMILY INSTITUTE INC	30 000
PUERTO RICAN LEGAL DEFENSE & EDUCATIONAL FUND	30 000
QUEENS CHILD GUIDANCE CENTER	30 000
QUEENS VOLUNTEER LAWYERS PROJECTS INC	16 500
QUEENSBORO COUNCIL FOR SOCIAL WELFARE INC	28 722
RAVAZZIN CENTER FOR SOCIAL WORK RESEARCH IN AGING	20
REDEEMED OUTREACH MINISTRIES	9 000
REPERTORIO ESPANOL	25 000
RICHARD HERSTEIN	80 400
RICHMOND SENIOR SERVICES	5 000
RIDGEWOOD BUSHWICK SENIOR CITIZENS COUNCIL INC	76 000
RIVERDALE COMMUNITY CENTER INC	2 500
ROMNEYCOM LLC	48 000
SAFE HORIZON	5 000
SAFE SPACE	1 000
SALES SERVICE OF AMERICA	2 519
SCHOMBURG CENTER FOR RESEARCH IN BLACK CULTURE	1 000
SEAMAN'S SOCIETY FOR CHILDREN AND FAMILIES	2 500
SEARCH AND CARE	20 000
SEEDCOIN PAC	881 348
SERVICE LEARNING INITIATIVE	39 000
SETTLEMENT HOUSING FUND INC	2 000
SHELTER & FOOD FOR THE HOMELESS	18 128
SKARROW ASSOCIATES	25 000
SKY LIGHT CENTER	2 500
SLOAN MANAGEMENT REVIEW	178
SNUG HARBOR CULTURAL CENTER	7 500
SOCIETY OF THE EDUCATION ARTS INC	3 000
SOUND ASSOCIATES INC	89
SOUTH QUEENS BOYS & GIRLS CLUB INC	1 000
SOUTH ASIAN YOUTH ACTION	10 000
SOUTH BRONX OVERALL ECONOMIC DEVELOPEMNT COPR	800
SOUTHERN QUEENS PARK ASSOCIATION INC	27 500
SPETT PRINTING COMPANY INC	486
SPORTS FOUNDATION INC	5 000
ST BARBARA S RECTORY	10 000
ST BARBARA S SOCIAL SERVICE	5 000
ST THOMAS AQUINAS COLLEGE	150
STANLEY M ISAACS NEIGHBORHOOD CENTER	28 195
STATEN ISLAND AIDS TASK FORCE INC	2 500
STATEN ISLAND CENTER FOR INDEPENDENT LIVING	6 000
STATEN ISLAND CHILDREN'S COUNCIL	5 000
STATEN ISLAND EMPLOYMENT EDUCATION CONSORTIUM	3 000
STATEN ISLAND MENTAL HEALTH SOCIETY	7 000
STEINWAY CHILD AND FAMILY SERVICES	12 000
STEPHEN J MENCHINI	600
SUNNYSIDE COMMUNITY SERVICES	26 755
SUPPORT CENTER FOR NONPROFIT MANAGEMENT OF NEW YORK CITY	70 340
SUPPORT NETWORK, INC	1 000
TADA, THEATRE AND DANCE ALLIANCE	55 000
THE AMADOU DIALLO EDUCATIONAL FOUNDATION	5 000
THE BERTRAM M BECK INSTITUTE ON RELIGION & POVERTY	20 000
THE BRONX HEALTH LINK INC	15 000
THE BROOKINGS INSTITUTION	19
THE BURDEN CENTER FOR THE AGING INC	20 000
THE CARING COMMUNITY	750

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THE CHILDREN S AID SOCIETY-GOODHUE CENTER	6 500
THE COALITION FOR ASIAN AMERICAN CHILDREN FAMILIES	10 000
THE COALITION FOR BATTERED WOMEN'S ADVOCATES	9 000
THE COALITION OF VOLUNTARY MENTAL HEALTH AGENCIES	21 250
THE FEDERATION OF COUNTY NETWORKS	25 000
THE FOUNDATION FOR ETHNIC UNDERSTANDING	2 000
THE GLAUCOMA FOUNDATION	10 000
THE GUILD GROUP INC	11 000
THE HETRICK MARTIN INSTITUTE INC	500
THE KOREAN AMERICAN FAMILY SERVICE CENTER	15 000
THE LEAGUE FOR THE HARD OF HEARING	40 000
THE LITTLE SISTERS OF THE ASSUMPTION FAMILY HEALTH SERVICE	50 000
THE NEW ENGLAND NONPROFIT QUARTERLY	24
THE NEW YORK ASSOCIATION OF COMMUNITY ORGANIZATION FOR REFORM NOW	35 000
THE NEW YORK COMMUNITY TRUST SUMMER IN THE CITY	25 000
THE NEW YORK FOUNDLING HOSPITAL	10 000
THE NEW YORK SOCIETY FOR THE PREVENTION OF CRUELTY TO CHILDREN	15 000
THE RANDOLPH EVANS MEMORIAL SCHOLARSHIP FUND	1 000
THE RENDALL MEMORIAL PRESBYTERIAN CHURCH	250
THE SENECA CENTER INC	10 000
THE SHOREFRONT YM-YWHA OF BRIGHTON-MANHATTAN	25 000
THE THORPE FAMILY RESIDENCE INC	5 000
THE VOCATIONAL FOUNDATION	25 000
THOMPSON & COLUMBUS INC	23 600
THORPE FAMILY RESIDENCE INC	150
TRANSITIONAL SERVICES FOR NEW YORK INC	40 000
UJA FEDERATION OF NEW YORK	233 146
UNITED ACTIVITIES UNLIMITED INC	7 000
UNITED CEREBAL PALS Y OF NEW YORK CITY	50 000
UNITED HOSPITAL FUND	267 150
UNITED NEIGHBORHOOD HOUSES	143 104
UNITED NEIGHBORS OF EAST MIDTOWN	10 000
UNITED WAY OF MIAMI DADE	250
UNITY IN THE COMMUNITY SPORTS FOUNDATION INC	2 500
UNIVERSITY SETTLEMENT	12 150
URBAN HEALTH PLAN INC	15 000
VANTAGE CONSULTING GROUP	178 312
VIP COMMUNITY SERVICES	5 085
VIRTUAL Y	50 000
VISIONS/SERVICES FOR THE BLIND AND VISUALLY IMPAIRED	35 275
VISITING NURSE ASSOCIATION OF STATEN ISLAND	6 000
VISITING NURSE SERVICE OF NEW YORK	37 500
VOLUNTEER CONSULTING GROUP	25
VOLUNTEERS OF LEGAL SERVICES INC	10 000
WILDCAT SERVICE CORPORATION	50 000
WILLIAM HODSON SENIOR CENTER	2 500
WOMEN IN NEED	7 500
WOMEN S CENTER FOR EDUCATION & CAREER ADVANCEMENT EDUCATION	380 000
WOMEN S CITY CLUB OF NEW YORK CITY	500
WOMEN'S VENTURE FUND INC	29 000
WORK 101 DEVELOPMENT CORPORATION	25 000
WORKS IN-PROGRESS ASSOCIATES	50 000
YMCA OF GREATER NEW YORK	105 000
YMCA OF GREATER NEW YORK-STATEN ISLAND BRANCH	4 000
YOUTH EDUCATION THROUGH SPORTS INC	5 000
YOUTH EMPLOYMENT PROGRAM	183 882
YVONNE PRASHAD	36 456
YWCA DAY CARE INC	20 000
YWCA OF THE CITY OF NEW YORK	113 780

SUB-TOTAL

13 362 845

LESS PRIOR YEAR RESCINDED GRANTS

(431 901)

12 930 944

UNITED WAY OF NEW YORK CITY

#13-2617681

JUNE 30, 2001

PART IV, LINE 51A

OTHER NOTES AND LOANS RECEIVABLE

REVOLVING RESERVE LOANS RECEIVABLE

\$178,840

UNITED WAY OF NEW YORK CITY
 #13-2617681
 SCHEDULE OF INVESTMENTS-SECURITIES
 JUNE 30, 2001

PART IV - LINE 54

Par Value/ Number of Shares	BONDS	MARKET VALUE
30,000	Associates Corp	30,102
17,000	AT&T Corp	14,515
20,000	General Electric Capital Corp	20,803
37,000	General Motors Acceptance Corp	38,060
5,000	Texas Eastern Transmission Corporation	5,182
13,000	Wells Fargo & Co	13,655
15,000	Duke Capital Corporation	15,565
27,000	Morgan Stanley Dean Witter	28,230
20,000	Washington Mutual Financial Corporation	20,067
25,000	Bank of America	26,011
17,000	Goldman Sachs Group Inc	16,905
13,000	Hertz Corporation	13,147
48,000	Lehman Brothers, Inc	50,216
12,000	El Paso Energy Corporation	11,784
20,000	Merrill Lynch & Co , Inc	19,603
20,000	Clear Channel Communications	20,813
9,000	Target Corporation	8,889
40,000	Citigroup, Inc	39,702
30,000	DuPont EIDE Nemours & Co	31,149
5,000	Vulcan Materials Company	5,022

UNITED WAY OF NEW YORK CITY
 #13-2617681
 SCHEDULE OF INVESTMENTS-SECURITIES
 JUNE 30, 2001

PART IV - LINE 54 (cont'd)

Par Value/ Number of Shares	BONDS	MARKET VALUE
14,000	Ford Motor Credit Co	14,183
10,000	Vodafone Airtouch PLC	10,507
17,000	Wal-Mart Stores	17,638
35,000	Walt Disney Company	36,214
70,000	IBM Corporation	67,353
9,000	Comcast Cable Communications	10,188
14,000	Kroger Company	13,802
9,000	Cox Communications Inc	8,775
4,000	Progress Energy Inc	4,077
28,000	Worldcom, Inc GA	28,858
16,000	Tyco International Group SA	15,850
24,000	Federal National Mortgage Assn 06/18 13	17,134
300,000	Federal Home Loan Mortgage Corp 07/01/05	5,820
10,000	Federal National Mortgage Assn 11/01/14	7,737
22,000	Federal National Mortgage Assn 05/15/29	21,037
40,000	Federal National Mortgage Assn 01/25/24	39,535
30,000	Federal National Mortgage Assn 05/25/23	26,660
20,000	Federal National Mortgage Assn 08/25/22	20,291
50,000	Federal Home Loan Mortgage Corp 09/01/11	15,384
25,000	Federal Home Loan Mortgage Corp 01/15/21	4,966

UNITED WAY OF NEW YORK CITY
 #13-2617681
 SCHEDULE OF INVESTMENTS-SECURITIES
 JUNE 30, 2001

PART IV - LINE 54 (con't)

Par Value/ Number of Shares	BONDS	MARKET VALUE
10,000	Federal Home Loan Mortgage Corp 08/25/22	10,086
40,000	Federal Home Loan Mortgage Corp 10/15/23	39,194
55,000	Government National Mortgage Assn 12/15/27	40,672
30,000	Government National Mortgage Assn 04/15/24	16,353
50,000	Government National Mortgage Assn 09/15/22	13,504
46,000	U S Treasury Bonds 02/15/23	52,728
26,000	U S Treasury Bonds 02/15/26	26,292
2,000	U S Treasury Bonds 05/15/16	2,276
6,000	U S Treasury Notes 08/15/04	6,229
105,000	U S Treasury Notes 05/15/05	111,005
18,000	U S Treasury Notes 08/15/09	18,720
65,000	U S Treasury Bonds 08/15/29	67,417
70,000	Federal Home Loan Mortgage Corp 01/15/05	73,566
11,000	Federal Home Loan Mortgage Corp 06/01/14	8,869
20,000	Federal Home loan Mortgage Corp 03/15/10	21,150
38,000	Federal National Mortgage Assn 2/15/06	37,952
25,828	Government National Mortgage Assn Pool #464686	20,944
12,000	Federal Home Loan Mortgage Corp 07/01/29	9,894
30,000	Federal Home Loan Mortgage Corp 12/01/29	23,952

UNITED WAY OF NEW YORK CITY
 #13-2617681
 SCHEDULE OF INVESTMENTS-SECURITIES
 JUNE 30, 2001

Par Value/ Number of Shares	BONDS	MARKET VALUE
30,000	Federal Home Loan Mortgage Corp 08/01/30	20,533
35,000	Federal National Mortgage Assn 08/01/30	31,888
50,000	Federal National Mortgage Assn 09/01/30	31,757
8,000	Federal Home Loan Mortgage Corp 11/01/30	7,141
15,000	Federal Home Loan Mortgage Corp 11/01/30	10,952
10,000	Federal Home Loan Mortgage Corp 11/01/30	9,126
11,000	Federal Home Loan Mortgage Corp 01/01/31	9,327
13,000	Federal Home Loan Mortgage Corp 03/01/31	12,425
10,000	Federal Home Loan Mortgage Corp 04/01/31	9,584
9,000	Federal Home Loan Mortgage Corp 04/01/31	8,838
9,000	Government National Mortgage Assn 04/15/31	9,002
8,000	Federal Home Loan Mortgage Corp 05/01/31	7,863
7,000	Government National Mortgage Assn 05/15/31	7,055
15,000	Federal Home Loan Mortgage Corp 06/01/31	14,770
12,000	Federal Home Loan Mortgage Corp 06/01/31	11,816
13,000	USA Networks, Inc	13,116
25,000	Chase Credit Card Master Trust	25,552
30,000	Discover Card Master Trust	30 216
17,000	Bear Stearns Commercial Mortgage Securities	15,849
20,000	Morgan Stanley Dean Witter	19,990
15,000	MBNA Master Credit Card Trust	15,688

UNITED WAY OF NEW YORK CITY
#13-2617681
SCHEDULE OF INVESTMENTS-SECURITIES
JUNE 30, 2001

Par Value/ Number of Shares	BONDS	MARKET VALUE
11,000	Residential Funding Mortgage Securities	11,000
18,000	Residential Accreditation Loans, Inc	<u>10,502</u>
	BONDS TOTAL	<u>\$1,760,222</u>

UNITED WAY OF NEW YORK CITY
 #13-2617681
 SCHEDULE OF INVESTMENTS-SECURITIES
 JUNE 30, 2001

PART IV - LINE 54 (con't)

Par Value/ Number of Shares	COMMON STOCK	MARKET VALUE
350	AOL time Warner, Inc	18,550
450	Amgen, Inc	27,306
2,500	AT&T Corp Liberty Media Group CL-A	43,725
750	CVS Corp	28,950
850	Clear Channel Communications, Inc	53,295
600	Colgate Palmolive Company	35,394
1,300	Comcast Corporation	56,420
800	Costco Wholesale Corp	32,864
700	Genentech, Inc	38,570
600	Gillette Company	17,394
1,200	Johnson & Johnson	60,000
750	Medtronic, Inc	34,508
1,200	Pfizer, Inc	48,060
750	Target Corp	25,950
900	Tiffany & Co	32,598
650	First Data Corp	41,827
550	Home Depot Inc	25,603
2,000	Nokia Corporation	44,380
1,350	Cisco Systems Inc	24,570
1,100	Corning, Inc	18,381

UNITED WAY OF NEW YORK CITY
#13-2617681
SCHEDULE OF INVESTMENTS-SECURITIES
JUNE 30, 2001

PART IV - LINE 54 (con't)

Par Value/ Number of Shares	COMMON STOCK	MARKET VALUE
1,100	EMC Corp	32,175
600	Emerson Electric Company	36,300
300	Exxon Mobil Corporation	26,205
650	Schlumberger Limited	34,222
1,550	Solectron Corporation	28,365
1,700	Sun Microsystems, Inc	26,724
1,100	Texas Instruments	35,090
500	Total Financial Elf S A ADR	35,100
1,000	Williams Companies, Inc	32,950
700	Allegiance Telecom, Inc	10,493
800	AES Corporation	34,440
1,200	Intel Corporation	35,100
1,400	Vodafone Airtouch Public Limited Company ADR	31,290
750	American International Group	63,758
400	Federal National Mortgage Assn	34,060

UNITED WAY OF NEW YORK CITY
#13-2617681
SCHEDULE OF INVESTMENTS-SECURITIES
JUNE 30, 2001

PART IV - LINE 54 (con't)

Par Value/ Number of Shares	COMMON STOCK	MARKET VALUE
500	BP P L C Spons ADR	24,925
500	Burlington Res Inc	15,900
500	Transocean Sedco Forex, Inc	20 625
600	El Paso Corporation	31,524
600	Enron Corporation	29,460
400	United Parcel Service, Inc	23,120
1,500	General Electric Company	73,125
750	Northern Trust Corp	46,875
825	J P Morgan Chase & Co	36 795
1,200	Citigroup, Inc	63,408
350	Morgan Stanley Dean Witter & Co	22,480
1,700	Schwab Charles Corporation	26,775
9,723 375	BNY Hamilton International Equity Fund	102,873
10,332 354	BNY Hamilton Small Cap Growth Fund	177,613
200	Ciena Corporation	7,600
COMMON STOCK TOTAL		<u>1,907,715</u>

UNITED WAY OF NEW YORK CITY
#13-2617681
SCHEDULE OF INVESTMENTS-SECURITIES
JUNE 30, 2001

PART IV - LINE 54 (con't)

PAR Value/ Number of Shares	OTHER ASSETS	MARKET VALUE
	The New York Community Trust	301,246
	Carver Federal Savings Bank C/D	92,669
	OTHER ASSETS TOTAL	<u>393,915</u>
	GRAND TOTAL	<u>\$4,061,852</u>

UNITED WAY OF NEW YORK CITY
#13-2617681
JUNE 30, 2001

PART IV. LINE 57

Furniture and fixtures	\$ 368,840
Information system equipment	718,812
Leasehold improvements	3,821,041
Office equipment	108,949
Less: Accumulated depreciation & amortization	(1,046,339)
	<u>\$3,971,303</u>

Depreciation expense recorded for fiscal year 2000/01 amounted to \$521,162

UNITED WAY OF NEW YORK CITY
#13-2617681
JUNE 30, 2001

PART IV - A - LINE d (2)

OTHER (SPECIFY)

PROVISION FOR UNCOLLECTIBLE PLEDGES	\$ 5,120,349
AMOUNTS RAISED ON BEHALF OF OTHERS	28,880,000
ADDITIONAL PLEDGES NOT COLLECTED BY UNITED WAY	7,454,000
FEDERAL EMERGENCY MANAGEMENT AGENCY FUNDS MANAGED BY UNITED WAY	5,488,183
JOINT PROGRAMS	
VIRTUAL "Y" FUNDS	5,700,000
CHILD CARE INITIATIVE	425,000
	<u>\$53,067,532</u>

UNITED WAY OF NEW YORK CITY

#13-2617681

JUNE 30, 2001

PART IV – B – LINE d (2)

OTHER (SPECIFY)

AMOUNTS RAISED ON BEHALF OF OTHERS	\$28,880,000
ADDITIONAL PLEDGES NOT COLLECTED BY UNITED WAY	7,454,000
FEDERAL EMERGENCY MANAGEMENT AGENCY FUNDS MANAGED BY UNITED WAY	\$5,488,183
JOINT PROGRAMS	
VIRTUAL "Y" FUNDS	5,700,000
CHILD CARE INITIATIVE	425,000
	<u>\$47,947,183</u>

UNITED WAY OF NEW YORK CITY

#13-2617681

JUNE 30, 2001

PART V LIST OF OFFICERS, DIRECTORS AND TRUSTEES

(A)	(B)	(C)	(D)	(E)
<u>Name & Address</u>	<u>Title</u>	<u>Compensation</u>	<u>Employee Benefit Plans</u>	<u>Expense Account Other Allowance</u>
C Lou Clemente Pfizer, Inc New York, NY 10017	Chairman of the Board & Chief Volunteer Officer and Chairman of the Executive Committee	None	None	None
John H Biggs, Ph D TIAA-CREF New York, NY 10017	Vice Chairperson	None	None	None
George Friedman World Medical Leaders Com, Inc New York, NY 10011	Vice Chairperson	None	None	None
Wilma S Tisch Esq 980 Fifth Avenue New York, NY 10021	Vice Chairperson	None	None	None

UNITED WAY OF NEW YORK CITY

#13-2617681

JUNE 30, 2001

PART V LIST OF OFFICERS, DIRECTORS AND TRUSTEES

(A)	(B)	(C)	(D)	(E)
<u>Name & Address</u>	<u>Title</u>	<u>Compensation</u>	<u>Employee Benefit Plans</u>	<u>Expense Account Other Allowance</u>
Rev Dr Calvin O Butts, III SUNY College of Old Westbury Old Westbury, NY 11568	Vice Chairperson	None	None	None
Lorian L Marlantes The Rockefeller Group International, Inc New York, NY 10020	Vice Chairperson	None	None	None
Thomas J Moran Mutual of America New York, NY 10022	Vice Chairperson	None	None	None
Joseph D Sargent Guardian Life Insurance Company New York, NY 10004-2616	Treasurer	None	None	None

UNITED WAY OF NEW YORK CITY
#13-2617681
JUNE 30, 2001

PART V LIST OF OFFICERS, DIRECTORS AND TRUSTEES

(A)	(B)	(C)	(D)	(E)
<u>Name & Address</u>	<u>Title</u>	<u>Compensation</u>	<u>Employee Benefit Plans</u>	<u>Expense Account Other Allowance</u>
Charles P Wang United Neighborhood Houses of New York New York, NY 10018	Secretary	None	None	None
Ralph Dickerson, Jr United Way of New York City New York, NY	President & Chief Professional Officer	\$420,000	50,129	\$15,265
		<u>\$420,000</u>	<u>\$50,129</u>	<u>\$15,265</u>

UNITED WAY OF NEW YORK CITY
2001 - 2002 BOARD OF DIRECTORS

CHAIRMAN OF THE BOARD AND
CHIEF VOLUNTEER OFFICER

C L Clemente *

Executive Vice President, Corporate Affairs
Pfizer Inc
235 East 42nd Street
New York, NY 10017

Tel: (212) 573-3558
Fax: (212) 573-2641
Lou.Clements@Pfizer.com

Diane Garbato@Pfizer.com

Asst Diane Garbato

VICE CHAIRS

*John H Biggs **

Chairman, President & Chief Executive Officer
TIAA-CREF
730 Third Avenue
New York, NY 10017

Tel: (212) 916-4280
Fax: (212) 916-6231
Jbiggs@tiaa-cref.org

Asst. Theresa (Terry) Luizzo

George Friedman

790 Madison Avenue, Suite 310
New York, NY 10021

Tel: (212)-288-2073
Fax: (212) 288-3054
george@appliedminds.net

No Assistant

*Wilma S Tisch **

980 Fifth Avenue
New York, NY 10021

Tel: (212) 249-6441
Fax: (212) 717-0926
wiltisch@aol.com

No Assistant

*Rev Dr Calvin O Butts, III **

President
SUNY College of Old Westbury
223 Store Hill Road
Old Westbury, NY 11568

Tel (516) 876-3161
Fax: (516) 876-3347
butts@oldwestbury.edu

Assis Mona Rankin/Barbara Gilman

UNITED WAY OF NEW YORK CITY
2001 - 2002 BOARD OF DIRECTORS

VICE CHAIRS

*Lorian L. Marlanics**

President and Chief Executive Officer
The Rockefeller Group
International, Inc
1221 Avenue of the Americas
New York, NY 10020

Tel. (212) 282-2020
Fax. (212) 282-2023
lmarlanics@rockgrp.com

jgibson@rockgrp.com

Asst Joyce Gibson

Thomas J Moran

President & Chief Executive Officer
Mutual of America
320 Park Avenue
New York, NY 10022

Tel (212) 224-1614
Fax: (212) 224-2518
thomas_moran@mutualofamerica.com

Asst Terry Schemen

Tel: (212) 224-1615
terry_schemen@mutualofamerica.com

SECRETARY

Charles P Wang

Director
Child Care Center Development Fund
United Neighborhood Houses
of New York
70 West 36th Street, 11th Floor
New York, NY 10018

Tel (212) 967-1763 ext 340
Fax (212) 695-8519
cwang@unhny.org

No Assistant

TREASURER

*Joseph D Sargent **

President & Chief Executive Officer
Guardian Life Insurance Company
7 Hanover Square, 27th Floor
New York, NY 10004-2616

Tel (212) 598-8040
Fax (212) 919-2424
Joseph_D_Sargent@glc.com

Asst Linda Linton

BOARD MEMBERS

UNITED WAY OF NEW YORK CITY
2001 - 2002 BOARD OF DIRECTORS

Walter A. Bell *

Vice President - Diversity
The MONY Group
1740 Broadway MD 7 - 39
New York, NY 10019

Tel. (212) 708-2166
Fax. (413) 513-0966
wbell@mony.com

Asst. Jackie McKenna

Susan L. Burden, C S W.

Psychotherapist
1020 Fifth Avenue
New York, NY 10028

Tel. (212) 288-9830
Fax (212) 794-4706
Sburden@dtb.net

No Assistant

Robert Carp

Investor and Philanthropist
118 East 60th Street, Apt 28E
New York, NY 10022

Tel. (212) 752-4118

No Assistant

Harry D. Carson

732 Barister Court
Franklin Lakes, NJ 07417

Tel. (201) 891-7222
Fax. (201) 891-5049
HCarsonunc@aol.com

No Assistant

Anthony M. Carvette

President and Chief Operating Officer
Structure Tone
15 East 26th Street
New York, NY 10010

Tel. (212) 251-9214
Fax (212) 679-4379
tcarvette@structuretone.com

Asst Barbara Schiavone

barbara_schiavone@structuretone.com

BOARD MEMBERS

UNITED WAY OF NEW YORK CITY 2001 - 2002 BOARD OF DIRECTORS

Marianne D Cooper

Vice President

State and Local Government/Public Sector
International Business Machines Corp
590 Madison Avenue, 15th Floor
New York, NY 10022

Tel (212) 745-2750
Fax. (212) 745-7342
mdcoop@us.ibm.com

Asst Donna Ferrer

Tel: (212) 745-2224

Lesley Daniels Webster

Executive Vice President

J P Morgan Chase & Co.
270 Park Avenue
New York, NY 10017

Tel. (212) 834-5180
Fax: (212) 834-6549
lesley.danielswebster@chase.com

Asst Ita Kulbit

Tel (212) 834-5181
ita.kulbit@chase.com

Ralph Destino

Chairman Emeritus
Cartier, Inc

720 Fifth Avenue, 9th Floor
New York, NY 10019

Tel. (212) 262-9013
Fax: (212) 262-8485
ralph.destino@vendome.com

Asst Carole Henry

carole.henry@vendome.com

Michael J Dolan

Chairman and Chief Executive Officer

Young & Rubicam, Inc.
285 Madison Avenue, 6th Floor
New York, NY 10017

Tel: (212) 210-3022
Fax: (212) 687-1393
michael_dolan@nyc.yr.com

Assis Julie Blanker/Charlotte Nelson

BOARD MEMBERS

UNITED WAY OF NEW YORK CITY
2001 - 2002 BOARD OF DIRECTORS

James R. Dumpson, Ph D

Senior Consultant
1270 Fifth Avenue, Apt 15
New York, NY 10029

Tel: (212) 686-0010, xt. 253
Fax (212) 532-8528

(Alten. Catherine Johnson)
cj@nyct-cfi.org

Asst Catherine Johnson

Joseph P Dunne

Director of Security
UBS-Paine Webber
1000 Harbor Boulevard
Weehawken, NJ 07087

Tel (201) 352-9992
Fax (201) 272-7007
jpduane@ubspw.com

Asst Sandy Alvarez

salvarez@ubspw.com

Gerald P FitzGerald

President
PB Aviation, Inc
One Penn Plaza
New York, NY 10119

Tel: (212) 465-5340
Fax: (212) 465-5193
fitzgerald@pbworld.com

No Assistant

Rev Dr James A Forbes, Jr.

Senior Minister
The Riverside Church
490 Riverside Drive
New York, NY 10027

Tel (212) 870-6776
Fax (212) 870-6749
smunster@theriversidechurchny.org

Asst. Angela Waithe

awaithe@theriversidechurchny.org

Stephen J Friedman

Partner
Debevoise & Plimpton
919 Third Avenue
New York, NY 10022

Tel (212) 909-6172
Fax (212) 909-6836
sfridman@dcbeyvoise.com

Asst Joanne Vaiano

jvaiano@dcbeyvoise.com

BOARD MEMBERS

UNITED WAY OF NEW YORK CITY
2001-2002 BOARD OF DIRECTORS

*Susan M. Gianunno **

Chairman and Chief Branding Officer
D'Arcy Masius Benton & Bowles
1675 Broadway
New York, NY 10019

Tel: (212) 468-3181
Fax (212) 468-3800
sgianunn@dmbb.com

Asst Melissa O'Shea

Tel (212) 468-4056
melissa.o'shea@darcyww.com

Kay I Gilman

President
Hemming + Gilman, Inc
95 Madison Avenue, Suite 601
New York, NY 10016

Tel. (212) 843-0606 xt 1711
Fax. (212) 843-1730
kgilman@hgmad.com

Asst Susan M Kozak

Dr. Matthew Goldstein

Chancellor
Office of the Chancellor
The City University of New York
535 East 80th Street
New York, NY 10021

Tel: (212) 794-5312
Fax: (212) 794-5671
mg@fiorello.cuny.edu

Special Asst. Angela Fernandez
Scheduling Coordinator Barbara Cura

angelaf@fiorello.cuny.edu
Tel: (212) 794-5312
barbarac@fiorella.cuny.edu
Tel: (212) 794-5583

Steven M Hankin

President
Starwood Technology and
Revenue Systems
777 Westchester Avenue
White Plains, NY 10604

Tel: (914) 640-8560
Fax: (914) 640-8575
steve.hankin@starwoodhotels.com

Asst Regina Hickey

Tel (914) 640-8114
regina.hickey@starwoodhotels.com

BOARD MEMBERS

UNITED WAY OF NEW YORK CITY
2001 - 2002 BOARD OF DIRECTORS

Sally Hernandez-Pincro

Senior Vice President
The Related Companies, L.P
625 Madison Avenue
New York, NY 10022

Tel.: (212) 588-2009
Fax: (212) 588-2165
spincro@related.com

No Assistant

Willie James

President
James Consulting Services, Inc.
985 Route 45
Pomona, New York 10970

Tel (845) 354-5319
Fax (845) 362-8997
preswj@aol.com

No Assistant

Thomas S Johnson

Chairman and Chief Executive Officer
GreenPoint Financial Corp &
GreenPoint Bank
90 Park Avenue
New York, NY 10016

Tel: (212) 834-1719
Fax: (212) 834-1408
Tjohnson@greenpoint.com

Asst. Margaret Lester

L. Dennis Kozlowski

Chairman and Chief Executive Officer
Tyco International Ltd
9 West 57th Street, 43rd Floor
New York, NY 10019

Tel (212) 424-1310
Fax (212) 977-7038

Asst Barbara Kenner

Tel: (212) 424-1316

Ralph J Lamberti

Senior Vice President
Staten Island University Hospital
375 Seguire Avenue
Staten Island, NY 10309

Tel. (718) 226-2007
Fax: (718) 967-2165
No direct e-mail

BOARD MEMBERS

UNITED WAY OF NEW YORK CITY
2001 - 2002 BOARD OF DIRECTORS

*Jerry W Levin **

Chairman and Chief Executive Officer
Sunbeam Corporation
2381 Executive Center Drive
Boca Raton, FL 33431

Tel: (561) 912-4454
Fax (561) 912-4469
levnj@sunbeam.com

Asst. Janet McCoy /Jean Daley

Tel (561) 912-4870
mccoyja@sunbeam.com

Patricia L. Lewis

84-54 Avon Street
Jamaica Estates, New York 11432

Tel. (718) 657-9313
Fax (718) 657-4912
pamilewis@hotmail.com

No Assistant

Jean R. Lobell, Ph D

Senior Consultant
Community Resource Exchange
39 Broadway, 10th Floor
New York, NY 10006

Tel (212) 894-3369
Fax (212) 616-4994 OR
(212) 616-4990
jlobell@cretnyc.org

No Assistant

Rev. Dr. Fred A Lucas, Jr

President and Chief Executive Officer
Faith Center for Community
Development, Inc
120 Wall Street, 26th Floor
New York, NY 10005

Tel (212) 785-2782
Fax: (212) 785-2787
flucas@food.org

Asst Charlene Phillips

W Carter McClelland

President
NY1-301-48-02
Banc of America Securities LLC
9 West 57th Street
New York, NY 10019

Tel: (212) 583-8140
Fax. (212) 583-8584
cmcclelland@bofasescurities.com

Assts Kim Snell and Joanna Barosh

BOARD MEMBERS

UNITED WAY OF NEW YORK CITY
2001 - 2002 BOARD OF DIRECTORS

Eugene R. McGrath

Chairman and Chief Executive Officer
Consolidated Edison Company
of New York
4 Irving Place, 16th Floor
New York, NY 10003

Tel. (212) 460-6344
Fax: (212) 529-7147
mcsgrathe@ced.com

Assis. Melba Hernandez/Yanhus Attrance

hernandezm@ced.com

Brian M. McLaughlin

President
New York City Central Labor Council,
AFL-CIO
31 West 15th Street
New York, NY 10011

Tel (212) 604-9552
Fax (212) 604-9550
(All e-mails must be sent to the address below)

Assi. Linda Catapano

clclinda@aol.com

Arthur J. Murante, II

President and Chief Executive Officer
Cushman & Wakefield, Inc.
51 West 52nd Street
New York, NY 10019

Tel: (212) 841-7800
Fax (212) 841-5033
arthur_murante@cushwake.com

Assi. Patricia Nayar/Carole Fryer

patricia_nayar@cushwake.com

Andrew J Parsons *

Retired Director & Senior Partner
56 Hereford Road
Bronxville, NY 10708

Tel: (914) 337-6911
Fax: (914) 961-0572
Andrew_Parsons@mctinsey.com

No Assistant

Lenore F Puleo

Executive Vice President, Client Services
KeySpan Energy
One MetroTech Center, 23rd Floor
Brooklyn, NY 11201

Tel (718) 403-2731
Fax: (718) 522-2647
lpuleo@keyspanenergy.com

Assst Ariene Jones

Tel (718) 403-2978

BOARD MEMBERS

UNITED WAY OF NEW YORK CITY
2001 - 2002 BOARD OF DIRECTORS

Dr. Mary Ann Quaranta

Provost

Marymount College
100 Marymount Avenue
Tarrytown, New York 10591

Tel: (914) 332-8205
Fax: (914) 332-4956

Asst Ann Chillemi

Karl B Rodney

Publisher

CARIB NEWS
15 West 39th Street, 3rd Floor
New York, NY 10018

Tel: (212) 944-1991
Fax: (212) 944-2089
caribnews@worldnet.att.net

Asst Sabrina Herridon

Rossana Rosado

Publisher & Chief Executive Officer

el diario-La Prensa
345 Hudson Street, 13th Floor
New York, NY 10014

Tel: (212) 807-4662
Fax: (212) 807-4746
rosado@aol.com
frvera@eldiariolaprensa.com

Asst Fabian Rivera

Rabbi Peter J. Rubenstein

Senior Rabbi

Central Synagogue
123 East 55th Street
New York, NY 10022

Tel: (212) 838-9311
Fax: (212) 355-4911
pjr@censyn.org
maia@censyn.org

Asst Maia Marko

Marc J Shapiro

Vice Chairman

The Chase Manhattan Bank
270 Park Avenue
New York, NY 10017

Tel: (212) 270-9895
Fax: (212) 270-3070
marc.shapiro@chase.com

† Kum Stawicki (Pronounced S-t-a-w-i-g-i)

BOARD MEMBERS

UNITED WAY OF NEW YORK CITY
2001-2002 BOARD OF DIRECTORS

Jeffrey Sherman

Chief Executive Officer
Limited Stores
3 Limited Parkway
Columbus, OH 43230

Tel (614) 415-2400
Fax: (614) 415-2405
jsherman@lunited.com

Asst Melissa Gregory

Tel. (614) 415-2402
mgregory@lunited.com

Alan B Siskand, Ph D

Executive Vice President
Jewish Board of Family &
Children's Services Inc
120 West 57th Street
New York, NY 10019

Tel: (212) 692-4606
Fax: (212) 692-4489
asiskand@jbfcs.org

Asst David Barnett

David B Skanner, M D *

President Emeritus
New York Presbyterian Hospital
1320 York Avenue, Suite 601
New York, NY 10021

Tel: (212) 746-6922
Fax: (212) 746-5560
dskanner@nyp.org

Asst Barbara O'Keardon

Tel (212) 746-6909

Lorne Slutsky

President & Director
The New York Community Trust
2 Park Avenue
New York, NY 10016

Tel (212) 686-0010 Ext 257
Fax: (212) 592-8528
las@nyct-cfi.org

Asst Elba Lunares

Tel (212) 686-0010 Ext. 235
el@nyct-cfi.org

BOARD MEMBERS

UNITED WAY OF NEW YORK CITY
2001-2002 BOARD OF DIRECTORS

Rhonda Weingarten (Prefers to be called
Randi)

President
United Federation of Teachers
260 Park Avenue South, 4th Floor
New York, NY 10010

Tel (212) 598-9215
Fax:(212) 598-7788
Rweingarte@aol.com

Asst Claudette Edwards

Saundra Williams-Cornwell

192 Columbia Heights
Brooklyn, NY 11201

Tel: (718) 875-9088
Fax: (718) 246-1407
saundra@granitely.com

No Assistant

Cheryle A Wills

President
JALA Group
7004 Boulevard East, Tower 3, Unit 42B
Guttenberg, NJ 07093

Tel: (201) 868-6069
Fax: (201) 453-9355

No Assistant

PRESIDENT
*Ralph Dickerson, Jr **

United Way of New York City
2 Park Avenue, Second Floor
New York, NY 10016

Tel: (212) 251-2401
Fax: (212) 696 1220
rdickerson@uw NYC.org

Asst Fran Charles

Tel. (212) 251-2402
fcharles@uw NYC.org

(*) = MEMBERS OF THE UNITED WAY OF NEW YORK CITY EXECUTIVE COMMITTEE
TOTAL: 54 DIRECTORS + PRESIDENT = 55

E:\WINFRED\BOARD LIST 2000-2001

UNITED WAY OF NEW YORK CITY

#13-2617681

JUNE 30, 2001

FORM 990, SCHEDULE A, PART III, LINE 2 (d)

The organization pays compensation to an employee who is an officer of the organization. See Form 990, Part V

UNITED WAY OF NEW YORK CITY

#13-2617681

JUNE 30, 2001

FORM 990, SCHEDULE A, PART III, LINE 3

All of the organization's charitable disbursements are payments to other United Way organizations in the New York Metropolitan area and other charitable organizations. All these organizations are exempt from income tax under Section 501 (c) (3) of the Internal Revenue Code.

UNITED WAY OF NEW YORK CITY

#13-2617681

JUNE 30, 2001

FORM 990, SCHEDULE A, PART III, LINE 4

- Current Audit Report certified by an independent public accountant
- Copy of most current performance, financial or investigative reports by government agencies if exceptions were noted
- Copy of most current OMB Circular A-133 Audit Report if exceptions were noted
- Filed IRS Form 990
- Filed Annual Report to New York State Charities Registration (Form 497)
- 501 (c) (3) Determination Letter

- If you are filing for an **Additional (not automatic) 3-Month Extension**, complete only Part II and check this box **X**
 - If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1)
- Note: Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868**

Part II Additional (not automatic) 3-Month Extension of Time — Must File Original and One Copy.		
Type or print	Name of Exempt Organization UNITED WAY OF NEW YORK CITY	Employer identification number 13-2617681
File by the extended due date for filing the return See instructions	Number, street, and room or suite no. If a PO box, see instructions 99 PARK AVENUE	For IRS use only
	City, town or post office, state and ZIP code For a foreign address, see instructions NEW YORK, N.Y. 10016	

Check type of return to be filed (File a separate application for each return)

Form 990
 Form 990-EZ
 Form 990-T (sec 401(a) or 408(a) trust)
 Form 1041-A
 Form 5227
 Form 8870
 Form 990-BL
 Form 990-PF
 Form 990-T (trust other than above)
 Form 4720
 Form 6069

STOP Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868

If the organization does not have an office or place of business in the United States, check this box **X**

If this is for a **Group Return**, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until MAY 15, 2002

5 For calendar year _____, or other tax year beginning JULY 1, 2000 and ending JUNE 30, 2001

6 If this tax year is for less than 12 months, check reason Initial return Final return Change in accounting period

7 State in detail why you need the extension ADDITIONAL INFORMATION IS NEEDED IN ORDER TO FILE A COMPLETE AND ACCURATE TAX RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions \$ _____

b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868 \$ _____

c **Balance Due** Subtract line 8b from line 8a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions \$ _____

Signature and Verification

Under penalties of perjury I declare that I have examined this form including accompanying schedules and statements and to the best of my knowledge and belief it is true, correct and complete, and that I am authorized to prepare this form.

Signature [Signature] Title CPA Date 02/04/02

Notice to Applicant — To Be Completed by the IRS

- We have approved this application. Please attach this form to the organization's return.
- We have not approved this application. However, we have granted a 10-day grace period from the later of the date shown below or the due date of the organization's return (including any prior extensions). This grace period is considered to be a valid extension of time for elections otherwise required to be made on a timely return. Please attach this form to the organization's return.
- We have not approved this application. After considering the reasons stated in item 7, we cannot grant your request for an extension of time to file. We are not granting a 10-day grace period.
- We cannot consider this application because it was filed after the due date of the return for which an extension was requested.
- Other _____

EXTENSION APPROVED
FEB 26 2002
 LINDA WEISKOPF, FIELD DIRECTOR
 SUBMISSION PROCESSING, OGDEN

Director _____ By _____ Date _____

Alternate Mailing Address — Enter the address if you want the copy of this application for an additional 3-month extension returned to an address different than the one entered above

Type or print	Name PRICEWATERHOUSECOOPERS, LLP ATTN:ROBERT TORTORELLA
	Number and street (include suite, room, or apt no) Or a PO box number 300 ATLANTIC STREET, 10TH FLOOR
	City or town, province or state, and country (including postal or ZIP code) STAMFORD, CT 06901

POSTMARK DATE FEB 05 2002